2019 Expert Survey on AI Governance and Progress Detailed Consent Form

General Information

Welcome. We researchers at the University of Oxford, the University of Pennsylvania, and Yale University are conducting a study of progress and policy-implications thereof in artificial intelligence and are interested in your understanding of developments in the field.

You have been invited to participate as you have authored a paper at the NeurIPS and/or ICML conferences. Please read through these terms before agreeing to participate by ticking the 'yes' box below. You may ask any questions before taking part by contacting the researcher (details below).

Our estimated median time for completing this survey is 12 minutes. As an expression of gratitude, there will be a prize draw. We will send one in every ten respondents chosen at random $250 USD, either as a cash payment or via a gift card.

Do I have to take part?

Please note that your participation is voluntary. You may withdraw at any point during the questionnaire for any reason, by closing the browser. However, only participants who complete the full survey are eligible for the prize draw.

How will your data be used?

Your answers will be completely anonymous. We will use all reasonable measures to keep your data confidential. Your data will be stored in a password-protected file and may be used in academic publications. Your IP address will not be stored.

Research data will be stored for a minimum of five years after publication or public release. Any data that we share outside of this research group will be anonymized so that you cannot be identified.

The data that we collect from you may be transferred to and stored or processed at a

destination outside the European Economic Area ("EEA"). By submitting your personal data, you agree to this transfer, storing or processing.

Who will have access to your data?

The University of Oxford, the University of Pennsylvania, and Yale University are the controller with respect to your personal data, and as such will determine how your personal data is used in the study. Research is a task that we perform in the public interest. Further information about your rights with respect to your personal data is available from http://www.admin.ox.ac.uk/councilsec/compliance/gdpr/individualrights/.

Your information may be shared with research assistants who are working on this project.

We would like your permission to use your anonymized data in future studies and to share data with other researchers (e.g. in online databases). Any personal information that could identify you will be removed or changed before files are shared with other researchers or results are made public. Responsible members of the University of Oxford, the University of Pennsylvania, and Yale University may be given access to data for monitoring and/or audit of the study to ensure we are complying with guidelines, or as otherwise required by law.

This survey is a joint project between the following principal investigators:
- Allan Dafoe, the Center for the Governance of AI, University of Oxford, allan.dafoe@governance.ai
- Michael Horowitz, Department of Political Science, University of Pennsylvania, horom@sas.upenn.edu
- Baobao Zhang, Department of Political Science, Yale University, baobao.zhang@yale.edu

This project has been reviewed by, and received ethics clearance through the following research ethics committees:
- the University of Oxford Central University Research Ethics Committee R63308/RE001
- the University of Pennsylvania Institutional Review Board Protocol #828933
- the Yale University Institutional Review Board Protocol #1603017354

What if there is a problem?

If you have a concern about any aspect of this project, please speak to the researcher Baobao Zhang at baobao.zhang@yale.edu, who will do their best to answer your query. The researcher

should acknowledge your concern within 10 working days and give you an indication of how they intend to deal with it.

If you remain unhappy or wish to make a formal complaint, please contact the relevant Chair of the Research Ethics Committee at the University of Oxford:

Chair, Social Sciences & Humanities Inter-Divisional Research Ethics Committee; Email: ethics@socsci.ox.ac.uk; Address: Research Services, University of Oxford, Wellington Square, Oxford OX1 2JD OR

The Chair will seek to resolve the matter in a reasonably expeditious manner.

Consent

☐ I certify that I am 18 years of age or over.

If you have read the information above and agree to participate with the understanding that the data (including any personal data) you submit will be processed accordingly, please check the relevant box below to get started.

☐ Yes, I agree to take part in this survey