FORM 1 - FOR LUMP SUM / SIP INVESTMENTS AXIS MUTUAL FUND Application No. THE APPLICATION FORM SHOULD BE FILLED IN BLOCK LETTERS ONLY. **Distributor ARN Sub-Distributor ARN** Internal Sub-Broker / Sol ID Serial No., Date & Time Stamp **Employee Code EUIN RIA CODE^** 9992 ARN ΔRN F Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor i)We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan of all schemes of Axis Mutual Fund under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser: "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is First / Sole Applicant / we network that the European and the European Resolution Resol TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer 20) In case the subscription amount is ₹ 10,000 I confirm that I am a first time investor across Mutual Funds. or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor Units will be issued against the balance amount invested. I confirm that I am an existing investor in Mutual Funds. UNIT HOLDING OPTION (To be filed in case of demat holding only) 2 EXISTING INVESTOR'S FOLIO NUMBER (If you have an existing folio with KYC validated, please mention here and skip to section 6/8.) DEMAT MODE PHYSICAL MODE Demat Account Details of First / Sole Applicant Folio Number (Name should be as per demat account) Depository Participant Name 3 INVESTMENT TYPE (Please tick any one) DP ID CDSL Beneficiery ID LUMP SUM LUMP SUM WITH SIP | LUMP SUM WITH STP NSDL Beneficiery ID Note: Please attach copy of Client Master List. MODE OF HOLDING (in case of Demat Purchase Mode of Holding should be same as in Demat Account) ☐ Single Anyone or Survivor Joint (Default) FIRST APPLICANT'S DETAILS (Non-individual invertors please fill in FATCA / CRS, UBO annexure and attach along with application form) Ref. 9 & 22. All fields are mandatory. Female Male Name (1st) (As in PAN card/ KYC/ Aadhaar records) PAN (Minor/1st Holder) KIN (Refer 8A) ☐ CKYC FORM ☐ SUPPLEMENTARY CKYC FORM Ref. 10 Aadhaar No. (Ref. 23) Date of birth (Minor / 1st Holder) Father's Name (as per Aadhaar records) Name of the Guardian (in case of minor please attach proof of date of birth) / POA (Contact person for non individuals / PoA holder name) Guardian / PoA PAN Guardian Aadhar No. Country of Birth Place of Birth Nationality For Investments "On behalf of Minor" (Refer 11) 🗌 Birth Certificate 🗌 School Certificate 🔲 Passport 🔲 Other Guardian named above is ☐ Father ☐ Mother ☐ Court Appointed Correspondence address (Please note: Address will be replace as per KYC records) City State Country Pin Code Overseas address (For FIIs/NRIs/PIOs) City Country Pin Code Fmail Mobile Tel Proprietor HUF Status Minor __ PIO Resident Individual __ FII __ NRI Society Partnership Firm Trust Company NPO* Other Other than NPC Occupation Pvt. Sector Service Public Sector Gov. Service Housewife Defence Professional Retired Business Agriculture Student Forex Dealer Other Are you FATCA Compliant (Please tick any one) Yes No (if no, please fill below details) Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes Type of address given at KRA $\ \square$ Residential or Business Residential Business Registered Office Permissible documents are Passport Election ID Card PAN Card Govt. ID Card Driving License UIDAI Card NREGA Job Card Others Gross Annual Income < 1L 1-5L 5-10L 10-25L > 25L < 1L 1.5L 5.10L 10.25L > 25L 25L.1C > 1C Is the entity involved in any of the following: Foreign Exchange/ Money Changer Yes No Net-worth* in ₹ as on Gaming/ Gambling/ Lottery Yes No *Not older than one year Politically Exposed Related to Money Lending/ Pawning Yes No Person (PEP) a PEP Any other information Continued Overlea 6 DEBIT MANDATE (For Axis Bank A/c only.) To be processed in CMS software under client code "AXISMF" TO BE DETACHED BY KARVY & PRESENTED TO AXIS BANK CMS Application No. I/ We authorise you to debit my/our account no. Account type Savings NRO NRE Current FCNR Others to pay for the purchase of Axis Equity Fund Amount ACKNOWLEDGMENT SLIP Received subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form. Application No. From Cheque no. Date Amount Scheme

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Having read and understood the content of scheme. I/We hereby declare that the an Notifications or Directives of the provision not received nor have been induced by an Customer" process is not completed by applicable NAV prevailing on the date of scommission or any other mode), payable to existing Micro SIP/Lumpsum investments house. For NRIs only - I / We confirm that Resident External / Non Resident Ordinary CERTIFICATION I / We have understood the information recomplete. I / We also confirm that I / We have	nount investors of the Incomprehence of the Incomprehence of the Such redements of him for the Such and We are I am/ we are I/FCNR acceptuirements	sted in the come Tax or gifts, ding satisfact applies and the differer gether with re Non Recount. I/West of this F	e schem Act, Anti rectly or ction of 1 I underta nt compe h the cur sidents c e confirm	e is throug i Money Lai indirectly the Mutual ake such of eting Schen rrent applic of Indian na n that detai	gh legiti underin in maki I Fund, ther act nes of v cation v ationali ils provi	imate soi g Laws, A ng this ir (I/we her tion with rarious M vill result ty/origin ded by m	urce onl Anti Corr Ivestme eby autl such fu utual Fu in aggr and that e/us are	y and or uption nt. I/W horize the nds the nds am egate in I/We horize and structions structions.	does n Laws e conf the M at may nongst nvestr lave re id corr	ot invo	lve des other ap ot the fu und, to uuired by the Sch exceedir funds f	igned for plicable unds involved invol	or the part laws e ested in the function of the interest of th	purpose nacted n the S nds inve e ARN ecomm a year rough a	e of the l by the Scheme rested holder ended (Appli	e Cont e Gove e, lega in the has di to me/ cable ed ban	rravent rnment Ily belo Schem sclosed us. I/W for Mic king ch	on of a of India ngs to r e, in fav to me/ e confir ro inves annels o	ny Act, from tir ne/us. I rour of us all th m that tment o	Rules, me to tin n event the app ne comm I/We do only.) w funds ir	Regula me. I/w "Know licant, nission: not ha ith you my/ or	ations, e have v Your at the s (trail ve any ır fund ur Non
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