

Systematic Investment Plan (SIP) Registration cum mandate form for NACH/Direct Debit

New Investors are requested to fill-in the scheme application form also

Application No :

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

☐ New SIP ☐ Micro SIP

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

- **Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant :**
☐ Yes ☐ No (Mandatory to ✓)
If Yes, please fill FATCA/CRS declaration
- **NRI investors should mandatorily fill separate FATCA/CRS declarations**
- **Non-Individual investors should mandatorily fill separate FATCA / CRS & UBO declarations**

Instructions

¹Investors applying under the direct plan must mention "Direct" against Scheme name.

²Not applicable in case of CDSL. Applicable only to existing investors for fresh SIP enrolment.

Key Partner/Agent Information

Distributor / Broker ARN ARN - 9992	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIN) (Of Individual ARN holder or Of employee/ Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor Code

1. Investment and SIP Details¹

First / Sole	Mr. / Ms. / M/s.																				
Application No. (New Investor)									Folio No. (Existing Unit Holder)												
PAN/KRN	<div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div>								Enclosed KYC Proof	<input type="checkbox"/>											
KIN	<div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div>																				
Existing UMRN in folio									or <input type="checkbox"/> Last Registered UMRN in the folio												
SIP Reference No.	For existing investors																				
Scheme	Invesco India								Plan												
Each SIP Amount (Rs.)					Option					Dividend Frequency											
SIP Date	Date of your choice				(15 th Default)				Frequency	<input type="checkbox"/> Monthly (Default) or <input type="checkbox"/> Quarterly (Jan, Apr, Jun, Oct)											
												(Except 29, 30, 31)									
SIP Period	From	D	D	M	M	Y	Y	Y	Y	To	D	D	M	M	Y	Y	Y	Y	(or) <input type="checkbox"/> Till further notice		
SIP Top-Up (Optional)	Top-up Amount Rs.									Top-up Start Month	For existing investors										
												Frequency	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default)				Top-up Cap	M M Y Y Y Y			

2. Demat Account Details (Optional)

DP ID ²	I	N							Beneficiary Account No.				
DP Name													

3. First SIP Transaction

Cheque No.									Cheque Date	D	D	M	M	Y	Y	Y	Y	Amount (Rs.)				
Bank																						
Bank A/C No.																						

Note: Investors who have not registered for NACH Debit facility, fill the attached NACH mandate.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

Declaration : I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply to the Trustee of Invesco Mutual Fund for enrolment under the SIP of the Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Invesco Asset Management (India)/Invesco Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

- In case there is modification of scheme, then the load structure in new scheme prevailing at the time of registration of Modify facility mandate will be applicable. However, in case of request for modification of plan / option of the scheme, frequency, amount and date of SIP installment, the load structure prevailing at the time of registration of SIP will continue to be applicable.

Pause SIP

- Investor can opt for Pause facility only twice during the tenure of a particular SIP.
- Pause request should be submitted at least 30 calendar days prior to the next SIP installment date.
- Pause request under SIP can be for minimum of 1 installment and for maximum of 6 installments.
- If the Pause period is coinciding with the Top-Up facility, the SIP installment amount post completion of Pause period would be inclusive of Top-Up amounts falling during that Top-Up cycle; e.g. SIP installment amount prior to Pause period is Rs. 5,000/- and Top-Up amount is Rs. 1,000/- and if the Pause period is completed after date for Top-Up, then the SIP installment amount post completion of Pause period shall be Rs. 6,000/-.
- Investor must mention SIP Registration Number (SRN) as stated in the account statement to avail Pause facility. In case an investor does not mention the SRN and has more than one live SIP in a single folio in the same scheme with same SIP date / amount then the first registered SIP would be paused.

Terms & Conditions - SIP Top-up Facility

'Top-Up' facility will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP.

The features, terms and conditions for availing the 'Top-Up' facility shall be as follows:

- Top-Up facility offers frequency at half yearly and yearly intervals. In case the Top-Up frequency is not specified, it will be considered as yearly frequency.
- Minimum Top-Up amount would be Rs.100/- and in multiples of Re. 1/-thereafter for all the schemes of the Fund that offer SIP facility except Invesco India Tax Plan wherein minimum Top-Up amount would be Rs. 500/- and in multiples of Rs. 500 thereafter.
- In case the investor does not specify Top-Up amount, Rs. 100/- will be considered as the Top-Up amount (in case of Invesco India Tax Plan, Rs. 500/-will be considered as Top-Up amount) and the request will be processed accordingly.
- Top-Up facility would be available to all new SIP enrolments. Existing investors who have enrolled for SIP with maximum amount for debit are also eligible to avail Top-Up facility and will be required to submit 'SIP cum Registration Mandate' at least 20 calendar days prior to the Top-Up start month. In case SIP installment after Top-Up exceeds maximum amount for debit, then the request for Top-Up will be processed upto the maximum amount for debit. Further, if the investor revises the maximum amount for debit, then such increase in amount will be effective from the next Top-Up cycle. However, maximum amount registered for debit mandate cannot be reduced.
- Existing investors registered for SIP through Direct Debit facility and intending to avail Top-Up facility will be required to cancel the existing SIP and enroll a fresh SIP with Top-Up details.
- It would be mandatory for investor to mention in 'SIP cum Registration Mandate' the period (month - year) upto which he wishes to avail Top-Up facility.

An Illustration : The Top-Up facility will work as follows:

Details of SIP registered	Top-up facility
<ul style="list-style-type: none"> • Fixed SIP Installment amount: Rs. 5,000/- • SIP Period: 01-April-2016 till 31-March-2019 (3 years) • SIP date: 1st of every month (36 installments) 	<p>By providing/choosing the following additional details, an investor can avail Top-Up facility.</p> <p>Example:</p> <ul style="list-style-type: none"> • Top-Up Amount: Rs. 1,000/- • Top-Up Frequency: Every 6 months

SIP Installments shall be as follows :

Installment No(s).	SIP Installment (in Rs.) (A)	Top-Up amount (in Rs.) (B)	Monthly SIP Installment amount after Top-up (in Rs.) (A+B)
1 to 6	5,000	N.A.	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000

Top-up/Modify/Pause SIP would not be available to investors registered under SIP through PDC / Channel Partner / Stock Exchange.

Terms & Conditions - NACH

- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website www.npci.org.in
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/updation at any time in future at the sole discretion of Invesco Asset Management (India) Private Limited, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI (www.npci.org.in).
- The Registration cum Mandate Form for NACH should be submitted at least 21 Calendar days prior to next SIP cycle date through NACH.
- Unique Mandate Registration Number (UMRN) is auto generated by NPCI during the mandate creation for the first time. Investors, who do not have the UMRN, please leave it blank. UMRN would be linked to the folio and maximum length is 20 characters comprising of Alpha Numeric Character allotted by NPCI.
- Investors who already have UMRN registered under the folio can fill up the SIP Registration cum Mandate Form for NACH and should be submitted at least 10 Calendar days before the date of the first debit through NACH.
- Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered.
- Investors need to mandatorily fill the SIP Registration Cum Mandate form for NACH for any amendment and cancellation quoting their UMRN by giving 21 calendar days prior notice to any of the investor service centre.
- Investor can choose to discontinue the SIP facility under folio without cancelling the UMRN by giving 10 Business days prior notice to any of the Investor Service Centre.
- Please refer your cheque leaf for IFSC/MICR of customer bank. (maximum length-11 Alpha Numeric Characters).
- In case of existing investor, if application is received without existing UMRN then the last UMRN registered in the folio would be considered.
- Maximum amount is the uppermost limit per transaction set by the investor for his registered bank account to be debited.
- Investor should mention the complete CBS (Core Banking System) Bank Account number and the Account-holder name as per the Bank records on the mandate. In case the bank account is under joint mode then the details of the joint holder should also be mentioned on the mandate.
- Investor should sign on the mandate as per their bank records.

Terms & Conditions - Direct Debit

Direct Debit: The Direct Debit Facility is available only with the banks with which The AMC or its service provider has tie up for Direct Debit as mentioned below:

List of banks/branches for SIP Direct Debit Facility

Banks	Branches
Axis Bank, IDBI Bank, Kotak Mahindra Bank, IndusInd Bank, Bank of India, Punjab National Bank, Allahabad Bank, Federal Bank, United Bank of India, ICICI Bank, Bank of Baroda, Union Bank of India, State Bank of India, UCO Bank, Citi Bank, Corporation Bank, South Indian Bank	All Branches

²Please contact Invesco Mutual Fund Investor Service Center for updated list of banks/ branches eligible for Direct Debit Facility.

- You can choose to change your bank account by giving 30 calendar days written notice to any of our Investor Service Centers.
- You can choose to discontinue this facility by giving 10 business days written notice to any of our Investor Service Centers.