Observe the table below. You will find some sample questions filled for the entities using the 5Ws + HOW framework. Fill in the questions with blanks ----? with suitable questions that you would ask to know the situation better.

Questions

	Client/Prospect	Investment fund	Securities	Investment horizon	Financial goals
Who?	Who is the investor assigned to you?	---------?	---------?	---------?	---------?
What?	---------?	What are the total funds that the client is willing to invest?	---------?	---------?	---------?
When?	---------?	---------?	---------?	When does the client intend to withdraw the returns?	---------?
Which?	---------?	---------?	Which of the securities will provide the best returns?	---------?	---------?

	Client/Prospect	Investment fund	Securities	Investment horizon	Financial goals
Where?	---------?	---------?	Where does the client wish to invest the available funds?	---------?	---------?
How?	---------?	---------?	---------?	---------?	How does the individual intend to use the returns from the investment?

Complete the document with the relevant questions. You must provide an answer to each question using the information provided about the investor and the available securities in the videos.

Answers

Questions	Client	Investment Fund	Securities	Investment Horizon	Financial Goals
Who	Who is the investor assigned to you?	Who provides the investment fund?	Who issues the securities?	Who determines the investment horizon?	Who sets the financial goals?
What	What is the client's risk tolerance and investment profile?	What are the total funds that the client is willing to invest?	What types of securities are included in the portfolio?	What is the duration of the investment horizon?	What are the specific financial goals of the client?
When	When does the client plan to start investing?	When will the funds be available for investment?	When are the securities expected to mature or be sold?	When does the client intend to withdraw the returns?	When does the client expect to achieve these financial goals?
Which	Which investment products or services is the client interested in?	Which types of assets will the funds be invested in?	Which of the securities will provide the best returns?	Which factors influence the chosen investment horizon?	Which milestones are critical to achieving these financial goals?
Where	Where is the client based (geographical location)?	Where are the funds sourced from?	Where does the client wish to invest the available funds?	Where will the investments be held or managed?	Where will the financial goals have an impact (e.g., retirement location)?
How	How does the client expect the portfolio to be managed?	How will the funds be allocated across assets?	How do the securities align with the client's risk and return profile?	How does the investment horizon affect the strategy?	How does the individual intend to use the returns from the investment?