

GRANT PROPOSAL PROCESSING FORM

The Grant Proposal Processing Form captures summary information about your proposal. Please complete this form in its entirety. You may attach additional pages to report anything not captured in the questions.

A grant represents a contract between the college and the funding organization. Therefore, this form must be signed by all relevant parties prior to submission of the proposal. Please complete, sign, and submit this form with a copy of your draft* proposal to:

*Emily Robinson
Sponsored Research Office (c/o Provost's Office)
College Hall 206*

We must receive these materials at least ten (10) business days prior to the submission deadline.

The Principal Investigator/Project Director is responsible for obtaining the signature of any co-investigator (affiliated with Smith College) and the signature of one's direct supervisor (department chair, program director, dean of the School for Social Work, or other as applicable). The Sponsored Research Office will obtain the final required signatures.

If submitting an application in paper (hardcopy) format, the Principal Investigator/Project Director is responsible for mailing it to the funding organization in time for the submission deadline.

*Your draft proposal must include your working narrative or a project summary, budget, and budget justification. A final copy of all materials should be forwarded to the Sponsored Research Office as soon as they are available.

SMITH COLLEGE GRANT PROPOSAL PROCESSING FORM

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Principal Investigator/Project Director R. Jordan Crouser | | Smith ID No. 990655122 (located on OneCard) | |
| Department/Program Statistical and Data Sciences | | Campus Phone 4036 Email jcrouser@smith.edu | |
| Project Title Theoretical Models of Human-Machine Interaction in Streaming Analysis Contexts | | | |
| Funding Organization Pacific Northwest National Laboratory | | Funding Opportunity No./Program Name | |
| Proposed Start Date 1/1/2016 | End Date 9/1/2016 | Submission Deadline | |
| Grant Request \$50,009 (If a subaward, only those funds requested for Smith College) | | | |
| Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Renewal <input type="checkbox"/> Supplement <input type="checkbox"/> Revision | | Type of Project: <input checked="" type="checkbox"/> Research <input type="checkbox"/> Instruction <input type="checkbox"/> Other (specify) _____ | |
| If there is a subaward, indicate type (if no subawards, leave blank): <input type="checkbox"/> Smith College will be the lead institution - List Subawardee(s) _____ <input type="checkbox"/> Smith College will be a subawardee - List Lead/Primary Institution _____ | | | |

Please answer the following:

1) Where will your project take place? If any activities will occur outside of your office and/or lab, please specify location(s):
Smith College

2) ☐ Yes ☒ No Does the project require additional laboratory or office space for equipment, employees, and/or students? Please briefly explain. If you have a specific space in mind, note it here:

3) ☐ Yes ☒ No Will any building renovation or construction be required to complete this project?
 If yes, have you obtained an estimate from Facilities for this work? ☐ Yes ☐ No
 If yes, which funds are included in the proposal to cover this expense?
 If funds are not included in the proposal, what will be the source of funds?

4a) ☐ Yes ☒ No Does the project include cost sharing -- cash, personnel, or in-kind -- from the college or other sources? Please describe the type of cost sharing proposed and identify the cost share funding source(s).

4b) ☐ Yes ☒ No Does the project include equipment? If yes, please address service contracts, installation, building renovation, and materials and supplies during and after the grant period.

5) ☐ Yes ☒ No Does the project include release time or leave? Course releases are calculated on a pro rata basis; each course release should normally be included in your grant budget as 1/5 of annual salary (plus benefits) for those at full-time status. If yes, duration of leave and source of funding.

6) Compliance (See www.smith.edu/deanoffaculty/sro.html for additional committee information.)

(a) ☒ Yes ☐ No Will the project use human subjects?

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|--------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> IRB Approved Approval Date: _____ IRB Assigned No.: _____ |
| <input checked="" type="checkbox"/> Pending (If funded, the PI will contact the IRB in advance of starting the project.) |

(b) ☐ Yes ☒ No Will the project require the use of animals or animal tissues either at Smith or elsewhere?

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|---------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> IACUC Approved Approval Date: _____ IACUC Assigned No.: _____ |
| <input type="checkbox"/> Pending (If funded, the PI will contact the committee in advance of starting the project.) |

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(Question 6 continued)

- (c) ☐ Yes ☒ No Will the project use recombinant DNA, infectious agents, or regulated biological materials such as Select Agents or human/primate blood or tissues?

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|---------------------------------------------------------------------------------------------------------------------|----------------|
| <input type="checkbox"/> Biosafety Committee Approved | Approval Date: |
| <input type="checkbox"/> Pending (If funded, the PI will contact the committee in advance of starting the project.) | |

- (d) ☐ Yes ☒ No Will the project use radioactive materials, or Class IIIB or IV lasers?

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|---------------------------------------------------------------------------------------------------------------------|----------------|
| <input type="checkbox"/> Radiation Safety Committee Approved | Approval Date: |
| <input type="checkbox"/> Pending (If funded, the PI will contact the committee in advance of starting the project.) | |

INVESTIGATOR CERTIFICATIONS:

Financial Conflict of Interest: If the grant seeks funding from Public Health Service agencies (e.g., NIH) or NSF, all investigators must complete a Disclosure of Significant Financial Interest available at <http://www.smith.edu/deanoffaculty/sro.html>. See also the Policy on Academic Financial Conflict of Interest in Research (PHS and NSF) at the same website.

Debarment/Suspension: The Principal Investigator and co-investigators are not debarred or suspended from doing business with the federal government.

Human Subjects Training: The Principal Investigator and co-investigators certify that they have completed or will complete all required human subjects training.

Financial Conflict of Interest Training: All investigators seeking or in receipt of Public Health Service funding certify that they have completed or will complete the required financial conflict of interest training.

For NIH Proposals: The Principal Investigator and co-investigators must attach a signed NIH PI Assurance Certification, available at <http://www.smith.edu/deanoffaculty/sro.html>.

I hereby certify that the statements made in the attached proposal and on this form are true and complete to the best of my knowledge. I agree to comply with the award terms and conditions if an award is made.

Principal Investigator

(Date)

Co-Investigator

(Date)

If there are other co-investigators, please provide their signatures on the back of this form.

The attached proposal is within the total program and academic objectives of the department. Adequate space is available or planned for the conduct of this project. The professional time allocations described therein are realistic and within college guidelines.

**Department Chair, Program Director,
SSW Dean, or other supervisor**

(Date)

Institution Approvals:

This proposal has been reviewed and approved. It is consistent with the overall objectives of the college.

**Associate Provost/
Director of Sponsored Research**

(Date)

We authorize the applicant to enter into contracted obligations on behalf of Smith College.

**Associate Vice President for
Finance**

(Date)