

# Automated Mining Excavation Monitoring System

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# Satellite Data Sources: Optical Imagery

## ① Automated Acquisition

- Source: **Google Earth Engine (GEE) API.**
- Process: Auto-fetching monthly composites.
- Constraint: Max **10%** cloud cover.

## ② Feature Selection: {B4, B8, B11, B12}

- **Vegetation Loss:** Sharp decrease in **NBR** (B8, B11) and **NDVI** (B4, B8).
- **Material Exposure:** Pronounced response in **SWIR (B11, B12)** and **Red (B4)**.
- **Fresh Disturbance:** **B12** captures moisture variations in freshly turned earth.
- **Stability:** Normalized indices suppress illumination and seasonal noise.
- **Design:** Smallest representative set with minimal redundancy.

Sentinel-2 False Color (SWIR-NIR-Red)



Sentinel-2 optical false-color composite of the mine area

# Satellite Data Sources: SAR Imagery

## ① All-Weather Radar Sensing

- Source: **Sentinel-1 C-band SAR**.
- Capability: Cloud- and illumination-independent monitoring.

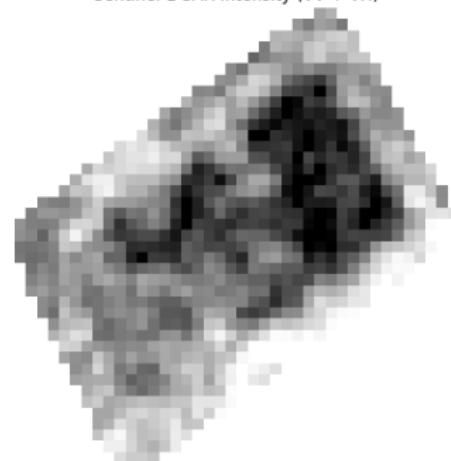
## ② Backscatter Feature Selection

- **VV Polarization:** Sensitive to surface roughness and structural changes.
- **VH Polarization:** Captures volume scattering from vegetation and loose material.
- **VV/VH Ratio:** Enhances discrimination of excavation-induced disruption.

③ **All-weather acquisition:** Sentinel-1 microwave SAR operates independent of sunlight and penetrates clouds and atmospheric haze.

④ **Physical surface sensitivity:** Backscatter directly encodes surface roughness, geometry, and dielectric changes caused by excavation.

Sentinel-1 SAR Intensity (VV + VH)



Sentinel-1 SAR backscatter image (VV/VH) of the same mine area

# Detection Algorithm: Change Vector Analysis

- Mining activity is inferred from **spectral-temporal change**, not absolute reflectance.
- Relative change improves robustness across land-cover, illumination, and seasonality.

## Per-pixel Temporal Differencing

For each pixel, spectral features are differenced between consecutive observations:

$$\Delta I_t = I_t - I_{t-1} \quad (1)$$

where  $I \in \{\text{NDVI}, \text{NBR}, \text{SWIR}, \text{VV}, \text{VH}, \text{VV/VH Ratio}\}$ .

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## Multi-Index Change Vector Analysis (CVA)

To jointly capture complementary excavation signatures, Change Vector Analysis is defined as:

$$CVA_{optical} = \sqrt{(\Delta NDVI)^2 + (\Delta NBR)^2 + (\Delta SWIR)^2} \quad (1)$$

$$CVA_{SAR} = |\Delta VV| + |\Delta VH| + |\Delta RATIO| \quad (2)$$

- Excavation manifests as vegetation loss and exposed soil/rock.
- CVA captures **change magnitude independent of direction**.
- Eliminates index-specific hard thresholds.

# Detection Algorithm: Adaptive Statistical Thresholding

- Fixed spectral thresholds are brittle under varying geology, illumination, and seasonal conditions.
- Thresholds are therefore derived from the **statistical distribution of per-pixel change**.

## Percentile-Based Change Selection

For each temporal interval, thresholds are computed from the distribution of Change Vector Analysis (CVA) magnitudes within the region of interest:

$$T_{\text{optical}} = P_{85}\left(\{\text{CVA}_{\text{optical}}^{(i)}\}\right), \quad T_{\text{SAR}} = P_{80}\left(\{\text{CVA}_{\text{SAR}}^{(i)}\}\right) \quad (3)$$

Pixels exceeding the threshold are treated as **candidate disturbances**, not final excavation.

- Percentiles isolate statistically extreme change rather than assuming a fixed mined fraction.
- Threshold values adapt automatically to local contrast and sensor noise characteristics.
- Final excavation is confirmed through **temporal persistence**, not a single decision step.

# Detection Algorithm: Temporal Stabilization

- True excavation produces **persistent, spatially coherent** change.
- Transient effects (seasonality, illumination, atmosphere) are non-persistent.

## Persistence-Based Stabilization

A pixel is confirmed as excavation only if the change persists across consecutive observations:

$$M_{\text{stable}}^t = M_{\text{candidate}}^t \cap M_{\text{candidate}}^{t-1} \quad (4)$$

- Enforces physical consistency and **suppresses false positives**.
- Stable detections are accumulated over time to form a cumulative excavation mask.
- Ensures **monotonic spatial growth** of detected mining extent.

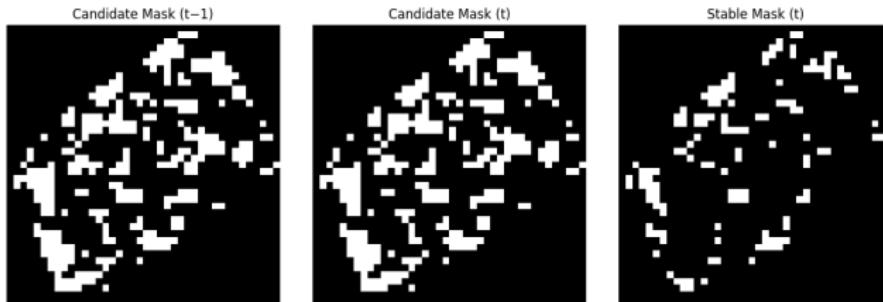
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*Persistence-based temporal stabilization of excavation masks*

# Detection Algorithm: Multi-Sensor Fusion

- Optical and SAR sensors capture **complementary excavation signatures**.
- Optical data is sensitive to vegetation loss and surface exposure.
- SAR data responds to structural and roughness changes, independent of clouds.

## Logical Fusion Strategy

To ensure robust detection under varying acquisition conditions, the two detection streams are fused using a relaxed logical OR:

$$M_{\text{candidate}} = M_{\text{optical}} \vee M_{\text{SAR}} \quad (5)$$

- Preserves detections when either modality is reliable.
- Ensures continuity during cloud cover or optical data gaps.
- Fusion occurs **before temporal stabilization**.

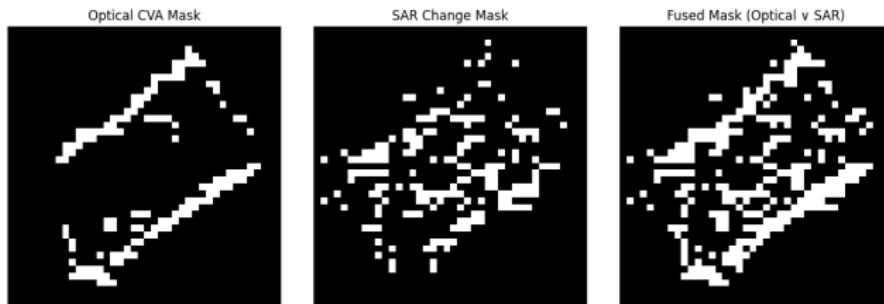
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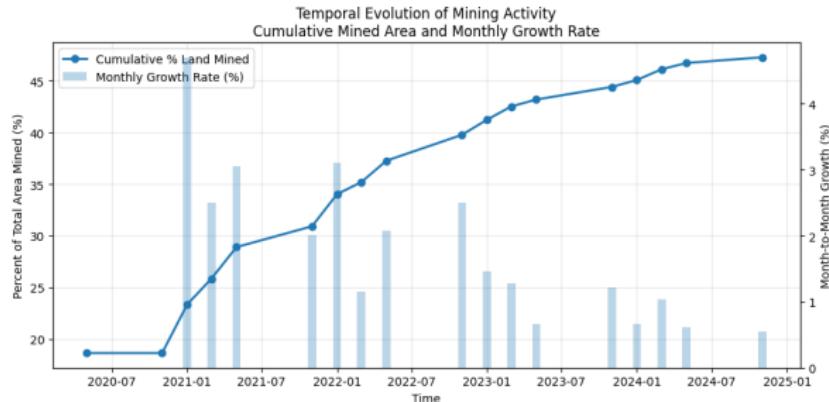
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Optical CVA, SAR-based change detection, and fused excavation mask

# Results: Cumulative Excavation Area vs Time



- Mining activity is quantified through temporal analysis of stabilized excavation masks.
- Cumulative excavated area captures long-term, physically consistent mine expansion.
- Month-to-month growth rates reveal short-term excavation intensity and operational phases.

# System Robustness and Generalization

- **Mine-agnostic detection:** Excavation is inferred from relative spectral-temporal change rather than absolute reflectance values.
- **Adaptive self-calibration:** Percentile-based thresholds are derived from local change statistics, avoiding fixed, site-specific tuning.
- **Cross-sensor robustness:** Optical and SAR signals capture complementary excavation signatures under clouds and low-light conditions.
- **Deterministic, non-ML inference:** Physics-informed change metrics and statistical rules produce reproducible results with clear pixel-level reasoning.
- **Operational efficiency:** The absence of model training and inference overhead enables fast, scalable deployment for continuous monitoring.