

A Description of the Generalized Table of Free Weights

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May 23, 2021

1 Introduction

I'm going to consider a more general function than the one you've described in your PDF document. First, notice that we can let the initial tape $b(k)$ equal

$$b(k) = \begin{cases} 1 & k \text{ is even and negative} \\ 0 & k \text{ is odd or nonnegative} \end{cases}$$

and we have fundamentally the same tape; we have just divided all the values of your function by 4. This simplifies things slightly. Now, define $B_{x,y}(k, n)$ by

$$B_{x,y}(k, 0) = \begin{cases} 1 & k \equiv 0 \pmod{2} \text{ and } k \leq 0 \\ 0 & k \equiv 1 \pmod{2} \text{ or } k > 0 \end{cases} \quad (1)$$

and

$$B_{x,y}(k, n+1) = xB_{x,y}(k-1, n) + yB_{x,y}(k+1, n). \quad (2)$$

Note that the precise function you defined is $B_{1,3}(k, n)$ with n starting at 1 rather than 0 (so your definition for (1) would change similarly); I allow n to be zero as this doesn't change much other than making things simpler in many cases. One of the more noticeable changes is that, later on, your sequence $B_{1,3}(0, 2n-1)/4^{2n-1}$ will become $B_{1,3}(0, 2n)/4^{2n}$, with n starting at 0 rather than 1.

I'm going to prove everything that follows in the general case, for $B_{x,y}$. I will have a brief discussion at the end about your conjectures if you would just like to skip to the end. The proofs are not very enlightening or enjoyable to read; I essentially use induction to prove everything. I will give a brief description of what each theorem proves here, to make things easier to interpret.

2 Determination of the Table Values

This next result lemma says that, (a), "The value of $B_{x,y}$ to the left of, and on, the diagonal $(-k, k)$ equals $(x+y)^k$ ", for (b), "The value of $B_{x,y}$ to the right of the diagonal (k, k) equals zero", for (c), "The value of $B_{x,y}$ on the diagonal (k, k) equals x^k ", and, for (d), "There is a checkerboard pattern of zeroes on the entire grid". This completely determines the values of $B_{x,y}$ everywhere except on the upside-down triangle centered at 0 on the infinite grid.

Lemma 2.1. We have

(a) If $k, j \geq 0$, then $B_{x,y}(-k-2j, k) = (x+y)^k$.

(b) If $k \geq 0$ and $\ell \geq 1$, then $B_{x,y}(k + \ell, k) = 0$.

(c) If $k \geq 0$, then $B_{x,y}(k, k) = x^k$.

(d) If $j, k \in \mathbb{Z}$, then $B_{x,y}(2k + 1, 2j) = B_{x,y}(2k, 2j + 1) = 0$.

Proof. We proceed by induction on k . For $k = 0$, we have that, by definition, $B_{x,y}(-2j, 0) = 1 = (x + y)^0$. Letting $j = 0$ proves the base case of (c). For the base case of (b), we have $B(\ell, 0) = 0$. Now fix $k \in \mathbb{N}$ and suppose (a), (b), and (c) hold for k . Then, using (2),

$$\begin{aligned} B_{x,y}(-[k + 1] - 2j, k + 1) &= xB_{x,y}(-k - 2j - 2, k) + yB_{x,y}(-k - 2j, k) \\ &= xB_{x,y}(-k - 2(j + 1), k) + yB_{x,y}(-k - 2j, k) \\ &= x(x + y)^k + y(x + y)^k \\ &= (x + y)^{k+1}, \end{aligned}$$

which proves (a). For (b),

$$\begin{aligned} B_{x,y}([k + 1] + \ell, k + 1) &= xB_{x,y}(k + \ell, k) + yB_{x,y}(k + [\ell + 2], k) \\ &= 0 + 0 \\ &= 0. \end{aligned}$$

Finally, for (c),

$$\begin{aligned} B_{x,y}(k + 1, k + 1) &= xB_{x,y}(k, k) + yB_{x,y}(k + 2, k) \\ &= xB_{x,y}(k, k) + yB_{x,y}([k + 1] + 1, [k + 1] - 1) \\ &\stackrel{(*)}{=} x(x^k) + 0 \\ &= x^{k+1}, \end{aligned}$$

where $(*)$ follows by (b). To prove (d), we do forward-backward induction on j . If $j = 0$, we have

$$B_{x,y}(2k + 1, 0) = 0$$

by definition, and

$$B_{x,y}(2k, 1) = xB_{x,y}(2k - 1, 0) + yB_{x,y}(2k + 1, 0) = 0 + 0 = 0$$

by the previous case. Now, fix $j \in \mathbb{N}$ and suppose (d) holds for this k . Then, for $j + 1$, we have

$$\begin{aligned} B_{x,y}(2k + 1, 2j + 2) &= xB_{x,y}(2k, 2j + 1) + yB_{x,y}(2[k + 1], 2j + 1) \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

and

$$\begin{aligned} B_{x,y}(2k, 2j + 3) &= xB_{x,y}(2k - 1, 2[j + 1]) + yB_{x,y}(2k + 1, 2[j + 1]) \\ &= 0 + 0 \\ &= 0, \end{aligned}$$

by the previous case. For $j - 1$, we have

$$\begin{aligned} B_{x,y}(2k+1, 2j-2) &= xB_{x,y}(2k, 2j-3) + yB_{x,y}(2[k+1], 2j-3) \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

and

$$\begin{aligned} B_{x,y}(2k, 2j-1) &= xB_{x,y}(2k-1, 2[j-1]) + yB_{x,y}(2k+1, 2[j-1]) \\ &= 0 + 0 \\ &= 0, \end{aligned}$$

again by the previous case. Hence, (d) holds for all $j, k \in \mathbb{Z}$. \square

Theorem 2.2. Let $k \geq 1$ and $j \geq 0$. Then

$$B_{x,y}(k-j, k+j) = \sum_{\ell=0}^j \binom{k+j}{\ell} x^{k+j-\ell} y^\ell = (x+y)^{k+j} - \sum_{\ell=j+1}^{k+j} \binom{k+j}{\ell} x^{k+j-\ell} y^\ell. \quad (3)$$

The second equality follows from the binomial theorem

Proof. This proof is by induction on k and j . The fact that the theorem holds for k and $j = 0$ is part (c) of the previous lemma. Now fix j and suppose that the theorem is true for all k for the fixed j ; we get an induction hypothesis (\star) . To prove that the theorem is true for $j+1$, we do induction on k . For $k = 1$, we have (using the second formulation of (3))

$$\begin{aligned} B_{x,y}(1 - [j+1], 1 + [j+1]) &= xB(-[j+1], [j+1]) + yB(1-j, 1+j) \\ &= x(x+y)^{j+1} + y \left[(x+y)^{j+1} - \sum_{\ell=j+1}^{j+1} \binom{j+1}{\ell} x^{j+1-\ell} y^\ell \right] \\ &= x(x+y)^{j+1} + y(x+y)^{j+1} - y(y^{j+1}) \\ &= (x+y)^{j+2} - y^{j+2} \\ &= \sum_{\ell=0}^{1+j} \binom{1+(j+1)}{\ell} x^{1+(j+1)-\ell} y^\ell. \end{aligned}$$

Now, fix k and suppose the theorem holds for this k and $j+1$; we get an induction hypothesis $(*)$. Then for $k+1$ and $j+1$, we have

$$B_{x,y}([k+1] - [j+1], [k+1] + [j+1]) = xB_{x,y}(k - [j+1], k + [j+1]) + yB_{x,y}([k+1] - j, [k+1] + j)$$

If we use the induction hypothesis $(*)$ on the first term and the induction hypothesis (\star) on the

second, we get

$$x \left((x+y)^{k+j+1} - \sum_{\ell=j+1}^{k+j+1} \binom{k+j+1}{\ell} x^{k+j+1-\ell} y^\ell \right) + \\ y \left((x+y)^{k+j+1} - \sum_{\ell=j}^{k+j+1} \binom{k+j+1}{\ell} x^{k+j+1-\ell} y^\ell \right).$$

First, we change the index of summation of the second term by replacing ℓ with $\ell - 1$ in the series. Then the bounds of the second sum become $\ell = j + 1$ to $\ell = k + j + 2$. After distributing the x and y into the summation, this gives us

$$(x+y)^{k+j+2} - \left[\sum_{\ell=j+1}^{k+j+1} \binom{k+j+1}{\ell} x^{k+j+2-\ell} y^\ell + \sum_{\ell=j+1}^{k+j+2} \binom{k+j+1}{\ell-1} x^{k+j+2-\ell} y^\ell \right],$$

Bringing out the $\ell = k + j + 2$ term, we have

$$(x+y)^{k+j+2} - \left[\sum_{\ell=j+1}^{k+j+1} \binom{k+j+1}{\ell} x^{k+j+2-\ell} y^\ell + \sum_{\ell=j+1}^{k+j+1} \binom{k+j+1}{\ell-1} x^{k+j+1-\ell} y^\ell + y^{k+j+2} \right].$$

Combining the summations yields

$$(x+y)^{k+j+2} - \left\{ \sum_{\ell=j+1}^{k+j+1} \left[\binom{k+j+1}{\ell} + \binom{k+j+1}{\ell-1} \right] x^{k+j+2-\ell} y^\ell + y^{k+j+2} \right\}$$

Now we use the fact that $\binom{n-1}{k} + \binom{n-1}{k-1} = \binom{n}{k}$ to get

$$(x+y)^{k+j+2} - \left[\sum_{\ell=j+1}^{k+j+1} \binom{k+j+2}{\ell} x^{k+j+2-\ell} y^\ell + y^{k+j+2} \right]$$

which simply equals

$$(x+y)^{k+j+2} - \left[\sum_{\ell=j+1}^{k+j+2} \binom{k+j+2}{\ell} x^{k+j+2-\ell} y^\ell \right],$$

as desired. Thus, the theorem holds for all k and $j + 1$ by induction, so we conclude it holds for all j, k with $j \geq 0$ and $k \geq 1$ by induction. \square

Remark. This completely determines all values of $B_{x,y}(k, n)$. If (n, k) have opposite parity, then $B_{x,y}(k, n) = 0$. If they have the same parity, they lie on a diagonal. Specifically, if $|k| < n$, then $\alpha = (n + k)/2 > 0$ (and is a natural number, since n and k have the same parity) and $\delta = n - \alpha = \alpha - k$. Thus, we have $k = \alpha - \delta$, $n = \alpha + \delta$ and we apply the previous theorem to get the value of $B_{x,y}(\alpha - \delta, \alpha + \delta)$. If $|k| = n$, then this is either case (a) or (c). If $|k| > n$, then this is either case (a) or case (b).

Now, we define $\|B_{x,y}(k, n)\|$ by

$$\|B_{x,y}(k, n)\| = \frac{B_{x,y}(k, n)}{(x+y)^n}.$$

3 Description of the Sequence

Theorem 3.1. Suppose $x, y > 0$. Then

- (a) If $x + y > 1$, then $\|B_{x,y}(k, n)\| \leq 1$ for all $k \in \mathbb{Z}$ and $n \in \mathbb{N}_0$.
- (b) If $k \geq 2$, then $a_k = \|B_{x,y}(0, 2k)\|$ is a monotonically decreasing sequence with $a_k \geq a_{k+1}$ so long as

$$\frac{y}{x} \geq \left(1 - \frac{1}{k+1}\right).$$

Thus, if $y \geq x > 0$, the sequence is monotonically decreasing with limit 0.

Proof. For (a), this is clear since $B_{x,y}(n, k)$ is either 0, $(x+y)^n$, or $(x+y)^n$ minus a portion of its binomial expansion — a positive quantity (since x and y are assumed to be positive).

For (b), the expression $a_{k+1} = \|B_{x,y}(0, 2(k+1))\| \leq \|B_{x,y}(0, 2k)\| = a_k$ is equivalent to

$$B_{x,y}(0, 2(k+1)) \leq (x+y)^2 B_{x,y}(0, 2k). \quad (4)$$

If we expand out $B_{x,y}(0, 2(k+1))$ via the definition and expand/distribute the RHS, we have

$$x^2 B_{x,y}(-2, 2k) + 2xy B_{x,y}(0, 2k) + y^2 B_{x,y}(2, 2k) \leq x^2 B(0, 2k) + 2xy B(0, 2k) + y^2 B(0, 2k),$$

thus, (4) is equivalent to the inequality

$$x^2 B_{x,y}(-2, 2k) + y^2 B_{x,y}(2, 2k) \leq x^2 B_{x,y}(0, 2k) + y^2 B_{x,y}(0, 2k). \quad (5)$$

Now, using Theorem 2.2 and that

$$\begin{aligned} B_{x,y}(0, 2k) &= B_{x,y}(k-k, k+k) \\ B_{x,y}(-2, 2k) &= B_{x,y}([k-1] - [k+1], [k-1] + [k+1]) \\ B_{x,y}(2, 2k) &= B_{x,y}([k+1] - [k-1], [k+1] + [k-1]), \end{aligned}$$

we expand everything out into its closed form

$$\begin{aligned} x^2 B_{x,y}(0, 2k) &= \sum_{\ell=0}^k \binom{2k}{\ell} x^{2k+2-\ell} y^{\ell} \\ y^2 B_{x,y}(0, 2k) &= \sum_{\ell=0}^k \binom{2k}{\ell} x^{2k-\ell} y^{\ell+2} \\ x^2 B_{x,y}(-2, 2k) &= \sum_{\ell=0}^{k+1} \binom{2k}{\ell} x^{2k+2-\ell} y^{\ell} \\ y^2 B_{x,y}(2, 2k) &= \sum_{\ell=0}^{k-1} \binom{2k}{\ell} x^{2k-\ell} y^{\ell+2}. \end{aligned}$$

It is here that we use the assumption that $k \geq 2$, so that we can indeed apply Theorem 2.2. This shows us that, in fact, the LHS of (5) is equivalent to

$$\left[\binom{2k}{k+1} x^{k+1} y^{k+1} + \sum_{\ell=0}^k \binom{2k}{\ell} x^{2k+2-\ell} y^{\ell} \right] + \left[\sum_{\ell=0}^k \binom{2k}{\ell} x^{2k-\ell} y^{\ell+2} - \binom{2k}{k} x^k y^{k+2} \right].$$

Because the middle two sums are equal to the RHS of (5), it follows that (5) is equivalent to the inequality (after dividing by $x^{k+1} y^{k+1}$)

$$\begin{aligned} 0 &\geq \binom{2k}{k+1} - \binom{2k}{k} \left(\frac{y}{x} \right) \\ &= -C_n + \left(1 - \frac{y}{x} \right) \binom{2k}{k} \\ &= -\frac{1}{k+1} \binom{2k}{k} + \left(1 - \frac{y}{x} \right) \binom{2k}{k}, \end{aligned}$$

where C_n are the Catalan numbers. After dividing by $\binom{2k}{k}$, this is equivalent to

$$\frac{1}{k+1} \geq \left(1 - \frac{y}{x} \right),$$

as desired. □

4 Specific Cases and Conjectures

In this section, $B(k, n)$ refers to the function you defined in your paper, and $B_{1,3}(k, n)$ refers to the slightly different one I've defined here. First, the most notable result is that the closed form expression for the middle sequence (without the norm) $a_k = B(0, 2k-1)$ you defined is given by (in terms of my functions)

$$a_k = 4B_{1,3}(0, 2k) = 4 \sum_{\ell=0}^k \binom{2k}{\ell} 1^{2k-\ell} 3^{\ell} = 4 \sum_{\ell=0}^k \binom{2k}{\ell} 3^{\ell}.$$

Lemma 2.1 says that $B(k-2j, k+1) = (1+3)^k = 4^k$ for all $j, k \geq 0$, $B(k, n) = 0$ if k and n have opposite parity, $B(k, k+1) = 1^k = 1$, and $B(k+j, k+1) = 0$ for all $j > 0$. All of the other terms can be found in a somewhat roundabout way by applying Theorem 2.2 and using the remark.

Unfortunately, most of the conjectures fail. The prime number conjecture fails at $B_{1,3}(-3, 5) = 781$ (or $B(-3, 6) = 781 \times 4$ with your original function), since $781 = 11 \times 71$, none of which appear in the prime factorization of previous numbers.

The conjecture 2.2a fails because the sequence is monotonically decreasing (as Theorem 3.1 shows; we have that $3 \geq 1$). Theorem 3.1 shows that conjecture 2.2b holds, because it holds for every number on the table. It seems you had the idea that the sequence was bounded between $7/16$ and 1 , but this is not the case, which means that 2.2c fails as well.