Process Operations in POSIX/UNIX

A process is an instance of a program in execution. In UNIX/POSIX systems, processes are managed by the kernel through a set of system calls. These system calls allow process creation, execution, synchronization, communication, and termination.

1. Process Lifecycle in UNIX

A process typically goes through these states:

- 1. **New** → created by fork()
- 2. Ready → waiting for CPU scheduling
- 3. **Running** → executing instructions
- 4. Waiting (Blocked) → waiting for I/O or event
- 5. **Terminated** → exited (via exit())

Parent-child relationships form a **process tree**. The **init/systemd process (PID 1)** is the ancestor of all processes.

2. Process Creation: fork()

- fork() is the fundamental system call to create a new process.
- The child is an almost identical copy of the parent:
 - Gets its own PID
 - o Shares the same **code**, **heap**, **stack**, **file descriptors** (but as separate copies).
- Returns:
 - \circ 0 \rightarrow to the child process
 - \circ >0 (child PID) \rightarrow to the parent process
 - o -1 → on error

Both processes continue execution from the next statement after fork().

Syntax: pid_t fork(void);

Example:

```
#include <stdio.h>
#include <unistd.h>
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        printf("Child process, PID = %d\n", getpid());
    } else if (pid > 0) {
        printf("Parent process, PID = %d\n", getpid());
    } else {
        perror("fork failed");
    }
    return 0;
}
```

3. Program Execution: exec() Family

Once a child process is created, we often want it to run **another program**. That's where exec() comes in.

Replaces the process's text (code), data, heap, stack with a new program.

- The PID remains the same (process identity unchanged).
- On success, exec() does not return. If it returns, an error occurred.

Common Variants:

- execl(path, arg0, arg1, ..., NULL)
- execv(path, argv[])
- execlp(file, arg0, arg1, ..., NULL) → searches in PATH
- execvp(file, argv[]) → most commonly used

```
Syntax (execl): int execl(const char *path, const char *arg0, ..., NULL);
```

Example:

```
#include <stdio.h>
#include <unistd.h>
int main() {
    printf("Before exec\n");
    execl("/bin/ls", "ls", "-l", NULL);
    perror("exec failed");
    return 0;
}
```

4. Process Termination

A process can end in two ways:

- Voluntary Termination
 - o exit(status) → normal exit, cleans up resources
 - o _exit(status) → immediate exit, skips cleanup
- Involuntary Termination
 - Signal received (e.g., SIGKILL, SIGSEGV)
 - Parent kills it using kill(pid, SIGKILL)

After termination, the process becomes a **zombie** until the parent collects its status using **wait()**.

Syntax:

```
void exit(int status);
void exit(int status);
```

5. Process Synchronization (Parent-Child)

The parent can wait for child processes using:

- wait(int *status)
 - o Blocks parent until any child exits
 - o Status contains exit information
- waitpid(pid, &status, options)
 - Waits for a specific child (pid)
 - Non-blocking option (WNOHANG)

Prevents zombie processes by ensuring children are reaped.

```
Syntax:
```

```
pid_t wait(int *status);
pid_t waitpid(pid_t pid, int *status, int options);
```

Example:

```
#include <stdio.h>
#include <unistd.h>
#include <sys/wait.h>
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        printf("Child process\n");
        _exit(0);
    } else {
        int status;
        wait(&status);
        printf("Parent waited, child exited\n");
    }
    return 0;
}
```

6. Process Identification

- getpid() → returns calling process's PID
- getppid() → returns parent's PID
- getuid() → real user ID
- geteuid() → effective user ID (used for permissions)

Syntax:

```
pid_t getpid(void);
pid t getppid(void);
```

Example:

```
printf("PID = %d, Parent PID = %d\n", getpid(), getppid());
```

7. Process Control

• kill(pid, sig) → sends signal to process (e.g., kill(1234, SIGTERM))

Syntax:

```
int kill(pid t pid, int sig);
```

Example:

```
kill(child pid, SIGKILL);
```

alarm(seconds) → schedules SIGALRM after given time

Syntax:

```
#include <unistd.h>
unsigned int alarm(unsigned int seconds);
```

- Returns:
 - o 0 if no previous alarm was set
 - o Remaining seconds if a previous alarm was pending

Example:

```
#include <stdio.h>
#include <unistd.h>
#include <signal.h>
void handler(int sig) {
    printf("Caught SIGALRM after 5 seconds!\n");
}
int main() {
    signal(SIGALRM, handler); // Register signal handler
    alarm(5); // Trigger SIGALRM in 5 seconds
    pause(); // Wait until signal received
    return 0;
}
```

Output: Program waits 5 seconds, then prints message.

pause() → process sleeps until signal received

Syntax:

```
#include <unistd.h>
int pause(void);
```

• Returns -1 and sets errno when a signal is caught.

Example (combined with alarm):

```
#include <stdio.h>
#include <unistd.h>
#include <signal.h>
void handler(int sig) {
    printf("Pause ended! Signal received.\n");
}
int main() {
    signal(SIGALRM, handler); // Handle SIGALRM
    alarm(3); // Schedule alarm after 3 seconds
    printf("Waiting for signal...\n");
    pause(); // Block until signal arrives
    printf("Continuing execution.\n");
    return 0;}
```

8. Signals and Handlers

Signals are asynchronous notifications delivered to processes.

• signal(int sig, void (*handler)(int)): Registers a custom signal handler.

Syntax:

```
#include <signal.h>
     void (*signal(int sig, void (*handler)(int)))(int);
sig > signal number (e.g., SIGINT, SIGTERM)
```

handler → function pointer to signal handler

Example:

```
#include <stdio.h>
#include <signal.h>
#include <unistd.h>
void handler(int sig) {
    printf("Caught signal %d\n", sig);
}
int main() {
    signal(SIGINT, handler); // Catch Ctrl+C
    while (1) {
        printf("Running... Press Ctrl+C\n");
        sleep(2);
    }
    return 0;
}
```

When you press Ctrl+C, instead of terminating, it prints "Caught signal 2".

Common signals:

- SIGINT → Ctrl+C
- SIGKILL → force kill (cannot be caught)
- SIGTERM → request to terminate
- SIGCHLD → sent to parent when child exits

9. Orphan and Zombie Processes

- Zombie process → Occurs when child exits but parent does not call wait().
 - Entry remains in process table until collected.

```
#include <stdio.h>
#include <unistd.h>
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        printf("Child process exiting...\n");
        _exit(0); // Child terminates
    } else {
        printf("Parent sleeping... (not waiting for child)\n");
        sleep(20); // Parent does not call wait()
    }
    return 0;
}
```

- **Orphan process** → Parent terminates before child.
 - Child is adopted by init/system (PID 1).

```
#include <stdio.h>
#include <unistd.h>
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        sleep(5); // Child waits
        printf("Child (PID: %d), Parent (PID: %d)\n", getpid(),
        getppid());
    } else {
        printf("Parent exiting...\n");
        _exit(0); // Parent exits immediately
    }
    return 0;
}
```

10. Process Priority and Scheduling

- UNIX scheduling uses **nice values** (-20 to 19):
 - Lower value = higher priority
 - Default = 0
- Functions:

Example: Changing Priority with nice ()

```
#include <stdio.h>
#include <unistd.h>
int main() {
    int old = nice(0); // get current priority
    printf("Current nice value = %d\n", old);

    int new = nice(5); // increase by 5 (lower priority)
    printf("New nice value = %d\n", new);
    return 0;
}
```

Example: Using getpriority() and setpriority()

```
#include <stdio.h>
#include <sys/resource.h>
#include <unistd.h>
int main() {
    pid_t pid = getpid();
    printf("PID = %d\n", pid);

int prio = getpriority(PRIO_PROCESS, pid);
    printf("Current priority = %d\n", prio);
```

```
setpriority(PRIO_PROCESS, pid, 10);
prio = getpriority(PRIO_PROCESS, pid);
printf("New priority = %d\n", prio);
return 0;
```

Important Headers

All process-related functions typically require:

Detailed Function Reference

Function	Header	Purpose
fork()	<unistd.h></unistd.h>	Create a child process
exec*()	<unistd.h></unistd.h>	Replace process image
exit()	<stdlib.h></stdlib.h>	Terminate process gracefully
_exit()	<unistd.h></unistd.h>	Immediate termination
wait()	<sys wait.h=""></sys>	Wait for child process
waitpid()	<sys wait.h=""></sys>	Wait for specific child
getpid()	<unistd.h></unistd.h>	Get process ID
getppid()	<unistd.h></unistd.h>	Get parent process ID
kill()	<signal.h></signal.h>	Send signal to process
signal()	<signal.h></signal.h>	Define signal handler
nice()	<unistd.h></unistd.h>	Adjust priority

Process Management Commands

- 1. $ps \rightarrow Displays running processes$.
 - o ps $-ef \rightarrow Full list of all processes with details.$
 - o ps $aux \rightarrow BSD$ style, shows CPU and memory usage.
 - o ps $-u < user > \rightarrow Show processes of a specific user.$
- 2. $top \rightarrow Real$ -time dynamic view of processes.
 - o Shows CPU, memory usage, process priority, etc.
 - o Useful for monitoring and killing processes interactively.
- 3. htop (if installed) \rightarrow Improved version of top with colors and scrolling.
- 4. $jobs \rightarrow Lists$ background and suspended jobs in the current shell.
- 5. fg / bg \rightarrow Resume jobs in foreground/background.
- 6. $kill \rightarrow Sends$ a signal to a process.
 - o kill $-9 < pid > \rightarrow Force kill (SIGKILL)$.
 - o kill $-15 < pid > \rightarrow Graceful termination (SIGTERM).$
- 7. killall $\langle name \rangle \rightarrow Kill all processes with given name.$
- 8. pkill <pattern> \rightarrow Kill processes by name/regex.

Process Information Commands

- 1. who \rightarrow Shows logged-in users.
- 2. $w \rightarrow$ Shows logged-in users + processes they are running.
- 3. who ami \rightarrow Prints current logged-in user.
- 4. id \rightarrow Shows UID, GID, and groups of the user.
- 5. uname $-a \rightarrow System$ and kernel info.

Scheduling and Priority

- 1. nice -n <value> command \rightarrow Run a command with a given priority.
 - o Example: nice -n 10 ./myprog
- 2. renice $\langle priority \rangle p \langle pid \rangle \rightarrow Change priority of a running process.$

Resource & System Monitoring

- 1. uptime \rightarrow System load averages.
- 2. free $-m \rightarrow Memory usage$.
- 3. $vmstat \rightarrow CPU$, memory, I/O stats.
- 4. iostat \rightarrow Disk I/O statistics.
- 5. $lsof \rightarrow List open files by processes.$
- 6. df $-h \rightarrow Disk space usage$.
- 7. du $-sh < dir > \rightarrow Disk usage of a directory.$

Special Process/Signals

- 1. strace $\langle command \rangle \rightarrow Trace$ system calls made by a process.
- 2. time <command $> \rightarrow$ Execution time of a process.
- 3. nohup $\langle command \rangle \& \rightarrow Run$ a command immune to hangups (useful for background jobs).
- 4. at $/ cron \rightarrow Schedule processes for later execution.$

Example:

```
ps -ef | grep firefox  # Check if firefox is running kill -9 12345  # Kill process with PID 12345 nice -n 5 ./myapp  # Start app with lower priority renice -10 -p 5678  # Increase priority of PID 5678 jobs  # List jobs in this shell fg %1  # Bring job 1 to foreground
```

Process Management: ps, top, htop, jobs, fg, bg, kill, pkill, killall

Info: who, w, whoami, id, uname -a

Scheduling: nice, renice

Monitoring: uptime, free -m, vmstat, iostat, lsof, df -h, du -sh

Special: strace, time, nohup, at, cron

- 1. Write a program that calls fork () once and both parent and child print a message.
- 2. Write a program to print PID and PPID of both parent and child.
- 3. Write a program where the parent creates two children. Each child prints its PID and exits.
- 4. Write a program that creates a child process which terminates immediately, while the parent sleeps for 20 seconds. What will you see if you run ps -1 during the sleep?
- 5. Write a program to show how to change process priority with nice ().