

Q) Consider the relation schema  $R$  with :- Dt:- 10/09/24

$\{A, B, C, D\}$ ,  $F = \{AB \rightarrow C, C \rightarrow D\}$

Find out whether the suppose  $R$  is decompose into relation schema  $R_1$  ( $\rightarrow A B C$ )  $R_2$  ( $\rightarrow C D$ )

$F_1 = \{AB \rightarrow C\}$ ,  $F_2 = \{C \rightarrow D\}$  Find out whether the decomposition is lossless or lossy.

$$F^+ = \{AB \rightarrow C, C \rightarrow D, AB \rightarrow D\} \not\rightarrow C$$

$R_1 \cap R_2 = (C)$  since  $C \rightarrow D$  is present in  $F^+$  so the decomposition is

$$R_1 - R_2 = (A, B)$$

$$R_2 - R_1 = (D)$$

Dependency preserving decomposition :-

Find  $F_1^+$  and  $F_2^+$  for  $R_1$  and  $R_2$  respectively

$$\text{IF } F^+ = (F_1 \cup F_2)^+$$

Then,  $\text{DPD} \overset{\text{Dependency}}{\text{is correct}}$

$$F_1 \cup F_2$$

$$(F_1 \cup F_2)^+$$

• IF DPD not o-

consider a relational schema  $R = (C, S, Z)$  with FD set  $F = \{CS \rightarrow Z, Z \rightarrow C\}$  test for lossless join decomposition and DPD for decomposition.

$\Leftrightarrow R \times R_1(S, Z)$  and  $R_2(C, Z)$

$$F_1 = \{R\}$$

$R_1 \cap R_2 = \emptyset$

$z \rightarrow s$  is not in  $F^+$  but  $z \rightarrow c$  is  
in  $F^+$  hence the decomposition is  
(lossless)

$R_1 - R_2 = \emptyset$

$R - R_1 = \emptyset$

Test for dependency preservation

$R_1(s, z) F_1 \{ \rightarrow s, z \rightarrow z \}$

$R_2 = \{ c, z \} F_2 \{ z \rightarrow c \}$

$F_1 \cup F_2 = \{ z \rightarrow c \} \neq F^+$

Decomposition is not dependency preserving decomposition.

Consider a relation schema  $R(A, B, C, D)$  with

$F$ )  $f = \{ AB \rightarrow C, C \rightarrow D \}$   $R$  is decomposed as  $R_1(A, B, C)$   
and  $R_2(C, D)$ . Test the decomposition is dependency  
preserving

$R_1(A, B, C) \quad F_1 = \{ AB \rightarrow C \} \quad F^+ = \{ AB \rightarrow C, C \rightarrow D \}$

$R_2(C, D) \quad F_2 = \{ C \rightarrow D \}$

$F_1 \cup F_2 = \{ AB \rightarrow C, C \rightarrow D \}$

$(F_1 \cup F_2)^+ = \{ AB \rightarrow C, C \rightarrow D, AB \rightarrow D \}$

As  $(F_1 \cup F_2)^+ = F^+$  so it is dependency  
preserving decomposition

Normal forms

1) First Normal form (1NF) :-

Example

1st Normal Form

ID	Name	courses	ID	Name	courses
1	A	C <sub>1</sub> , C <sub>2</sub>	1	A	C <sub>1</sub>
2	E	C <sub>3</sub>	2	E	C <sub>2</sub>
3	M	C <sub>2</sub> , C <sub>3</sub>	3	M	C <sub>2</sub>
			3	M	C <sub>3</sub>

2nd Normal Form  $\Leftrightarrow$  (2NF)

2nd NF is based on the concept of full functional dependency  $X \rightarrow Y$

FFD means  $X$  is the combination of more than one attribute

Q) suppose a relation schema R = {A, B, C, D, E, F} having FD, F = {AB  $\rightarrow$  C, B  $\rightarrow$  D, D  $\rightarrow$  E, A  $\rightarrow$  C, AD  $\rightarrow$  F}

Find out which of the FDs are partial FD and which are FFD.

For  $AB \rightarrow C$

$AB \rightarrow C$  is a partial FD as  $A \rightarrow C$  holds.

For  $AD \rightarrow F$

$AD \rightarrow F$  is a full FD as there  $A \rightarrow F$  and  $D \rightarrow F$

does not hold.

Determination of primary key

Let R(A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub>) be the relation schema

Then X is a PK if  $X \rightarrow A_1, A_2, \dots, A_n$  holds on R.

## 2nd NF

A relation schema  $R$  is in 2NF if it is in 1NF and every non-prime attribute  $A$  is R.F. and every non-prime attribute  $A$  is in R.F. Fully FD all the PK of  $R$ .

OR There does not exist any partial FD.

Q) Let  $R(A, B, C, D, E)$  and  $F = \{BC \rightarrow A, C \rightarrow DE, D \rightarrow E\}$ . Test whether  $R$  is in 2NF if not convert it into 2NF.

Step 1, PK of  $R$ .

$$(BC)^+ = \{A, B, C, D, E\}$$

$$C^+ = \{C, D, E\}$$

$$D^+ = \{E\}$$

PK is BC since BC is able to determine all attributes of  $R$  so BC is PK.

for  $BC \rightarrow A$

$BC \rightarrow A$  is a PFD as

prime attributes are  $(B, C)$

Non prime attribute are  $(A, D, E)$

In this particular FD set one Partial FD exist i.e.  $C \rightarrow DE$  as non-prime attribute  $D, E$  are partially dependent on the prime attributes.

If it is not in 2NF as hence is Partial FD.

Decomposition Rule:-

If  $X \rightarrow Y$  is the Partial FD in  $R$

Then,  $R$  is decomposed as

$x \rightarrow y$   
 $\downarrow$   
 $R(x, y)$       Rec. all attributes except  $y$ )  
 $\downarrow$   
 $R_a - y$   
 as per the rule  
 Hence,  $R_1(C, D, E)$ ,  $F_1 = \{C \rightarrow DE, D \rightarrow E\}$   
 $R_2(A, B, C)$ ,  $F_2 = \{BC \rightarrow A\}$

In  $F_1$ ,  $C$  is the PK and no partial FD exist  
 so  $R_1$  is in 2NF

In  $F_2$ ,  $BC$  is the PK and no partial FD  
 exist so  $R_2$  is in 2NF

Q) Given a relation schema  $R(A, B, C, D, E)$  with  
 FDs  $F = \{AB \rightarrow C, B \rightarrow D, A \rightarrow E\}$  DE-14/09/24

(i) Is  $R$  is in BCNF  
 (ii) If not decompose  $R$  into relation schemas such that

they will be in BCNF

(iii) If the decomposition is join decomposition.

IV) Test the decomposition to be dependency preserving.

Ans. - PK is AB

$PA \rightarrow A, B$

$N_r P, A \rightarrow C, D \in R$

(i) for  $AB \rightarrow C$

AB is a superkey:

Relation R is not

for  $B \rightarrow D$

B is not a superkey

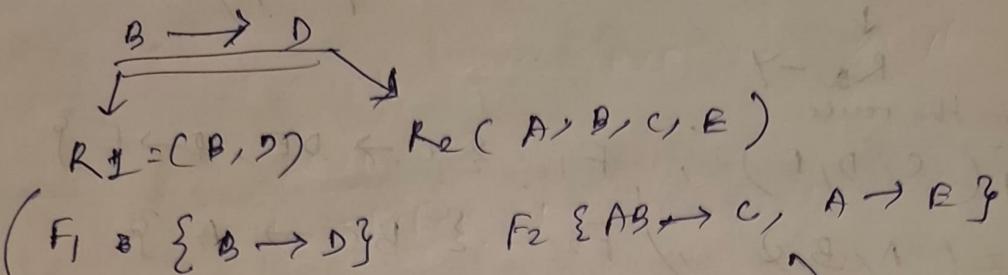
in BCNF

for  $A \rightarrow E$

A is not a superkey

(ii)  $AB \rightarrow C$  if due to the FD  $X \rightarrow Y$  the condition of BCNF decomposition violated i.e.

For e.g.



$$(F_1 = \{B \rightarrow D\}, F_2 = \{AB \rightarrow C, A \rightarrow E\})$$

$\leftarrow$  In  $R_1$ ,  $B$  is PK

since ~~the~~  $B$  is the super key

$$B^T = (B, D)$$

since  $AB$  is the superkey

$$AB^T = (A, B, C, E)$$

$AB \rightarrow C$  satisfy BCNF

$A \rightarrow E$  ~~so~~ not satisfy BCNF

$$R_3(A, E) \quad R_3(A, B, C, E)$$

$$F_3(A \rightarrow E) \quad F_4(\{AB \rightarrow C\} \cancel{\text{present}})$$

$R$  is decompose into  $R_1(B, D)$ ,  $R_3(A, E)$

and  $R_4(A, B, C)$

$$(iii) R_1 \cap R_2 = \{B\}$$

$$R_1 - R_2 = \{D\} \text{ present}$$

since  $B \rightarrow D$  is in  $F_B^+$  then so the decomposition is lossless

$$R_3 \cap R_4 = \{A\}$$

$$R_3 - R_4 = \{E\} \text{ present}$$

since  $A \rightarrow E$  is in  $F_B^+$  so the decomposition is lossless.

(iv)  $F_1 \cup F_3 \cup F_4$

$$= \{ \forall x \forall y \forall z \forall w (B \rightarrow D, A \rightarrow E, AB \rightarrow C) \}$$

$(F_1 \cup F_3 \cup F_4)^+$

$$= \{ B \rightarrow D, A \rightarrow E, AB \rightarrow C \}$$

Since  $(F_1 \cup F_3 \cup F_4)^+ = F^+$

Hence the decomposition is dependency preserving.

Multivalued Dependency in DBMS:-

For a single value of an attribute 'a', multiple values of attribute 'b' exist.

It is represented as:

$$a \rightarrow \rightarrow b$$

→ It is read as a multidependencies.

→ There are minimum 3 attributes in MVD.

Exist MVD and 4NF

$$\rightarrow t_3[x] = t_4[x] \wedge t_1[x] = t_2[x]$$

$$\rightarrow t_3[y] = t_1[y] \wedge t_4[y] = t_2[y]$$

$$\rightarrow t_3[z] = t_2[z] \text{ and } t_4[z] = t_1[z]$$

MVD is of 2 types

(i) Trivial MVD

(ii) Non-Trivial MVD

(i) Trivial MVD :- An MVD  $x \rightarrow \rightarrow y$  in R is called a trivial MVD if the following condition hold

a)  $\gamma c x \stackrel{OK}{\cancel{\rightarrow}} b) X \cup Y = R$

The rel<sup>n</sup> schema  $R(A, B)$  with FDs  $F = \{A \rightarrow B\}$ .  
it is a trivial MVD bcs  $A \cup B = R$

i) Non-trivial MVD:-

An MVD that satisfies Neither A NOR B is called a non-trivial MVD

Ex:- The rel<sup>n</sup>  $R(A, B, C)$  with FDs  $F = \{A \rightarrow B, A \rightarrow C\}$

$B \not\subset A$  and  $A \cup B \neq R$

This particular FD set is non-trivial.

### UNF

A rel<sup>n</sup> schema R is in UNF with respect to a set of dependencies F (that includes FDs and MVDs) if for every non-trivial MVD  $X \rightarrow Y$  in F, X is a superkey for R.

lossless join decomposition into UNF :-  
The relation schema  $R_1$  and  $R_2$  form a lossless join decomposition of R iff  
condition : - (i)  $R_1 \cap R_2 \rightarrow R_1 - R_2$   
OR  
(ii)  $R_1 \cap R_2 \rightarrow R_2 - R_1$

Ex:- EMP

Ename	Pname	Dname	dependent name
smith	X	john	
smith	Y	Anna	
smith	X	Anna	
smith	Y	John	

EMP - PROJECTS

Ename	Pname
smith	X
smith	Y

EMP - DEPENDENT

Ename	Pname
smith	John
smith	Anna

Hence the FD list ~~two can~~ consists of 2 MVDs i.e.

$$\text{Ename} \rightarrow \rightarrow \text{Pname} \quad \text{Ename} \rightarrow \rightarrow \text{Dname}$$

check for 4NF

For  $\text{Ename} \rightarrow \rightarrow \text{Pname}$

~~Ename is not a superkey of LHS~~

Neither it is not in 4NF

$$\text{Ename} \rightarrow \rightarrow \text{Dname}$$

Hence the superkey is Ename, Pname & D-name

Decomposition (to make 4NF)

In EMP - PROJECTS  
 $F_1 = \{ \text{Ename} \rightarrow \rightarrow \text{Pname} \}$

In EMP - DEPENDENT  
 $F_2 = \{ \text{Ename} \rightarrow \rightarrow \text{Dname} \}$

↓  
 It is as trivial MVD  
 so it is in 4NF (since it is a trivial MVD)

no need to check for superkey

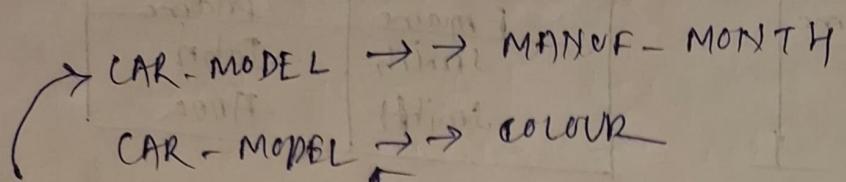
DT: 17/09/24

CAR-MODEL

MANUF-MONTH

COLOUR

This is not in YNF due to the presence of Non-trivial MVD and L.H.S is not a superkey.



1st decomposed rel      2nd. decomposed rel

OR these dependencies are trivial in nature since there is no non-trivial dependencies so by default relation is in YNF.

	X	Y	Z
Ename	Dname	Dname	
t1	smith	X	John
t2	smith	Y	Anna
t3	Smith	X	Anna
t4	Smith	Y	John

It is not in YNF.

Ename is not a superkey

$$1) t_3[X] = t_4[X] = t_2[X]$$

$$2) t_3[Y] = t_1[Y]$$

Ename  $\rightarrow \rightarrow$  Dname }  $\rightarrow$  both are non-trivial

Ename  $\rightarrow \rightarrow$  Dname } but L.H.S is not a superkey

Ename	Dname
smith	X
smith	Y

Ename	Dname
smith	John
smith	Anna

No non-trivial dependencies so by default it is

Inference rules for FDs and MVD :-

1) IR1: Reflexive Rule :-

If  $X \rightarrow Y$  then  $Y$  is a subset of  $X$  then it is called reflexive rule for FDs

2) IR2: Augmentation Rule (FD) :-

If  $X \rightarrow Y$  then  $XZ \rightarrow YZ$

3) IR3:- Transitive Rule for FDS :-

4) IR4:- complementation Rule for MVDS :-

If  $\{x \rightarrow y\}$  then we can infer  $\{x \rightarrow (R - (x \cup y))\}$

5) IR5:- Augmentation Rules for MVDS :-

If  $\{x \rightarrow y\}$  and  $w \supseteq z$ , then  $wx \rightarrow yz$

$x, y, z, w$  are attributes sets which subset of relation schema  $R$ .

6) IR6:- Transitive Rule for MVDS :-

If  $\{x \rightarrow y, y \rightarrow z\} \vdash x \rightarrow (z - y)$

7) IR7:- Replication Rule for connecting FD to MVD :-

$\{x \rightarrow y\} \vdash x \rightarrow y$

8) IR8:- (coalescence Rule for FDS and MBVDS) :-

If  $x \rightarrow y$  and there exist  $w$  with the properties that a)  $w \cap y = \emptyset$  b)  $w \rightarrow z$  and c)  $y \supseteq z$  then

$x \rightarrow z$ .

Ex:- Let  $R(A, B, C, D)$  be the rel<sup>n</sup> schema with FDs,  $F = \{A \rightarrow B, BC \rightarrow D\}$  Test whether it is in 4NF or not if not decompose the rel<sup>n</sup> into 4NF Primary Key, is ABC as  $(ABC)^+ = \{A, B, C, D\}$

$F^+ = \{A \rightarrow B, A \rightarrow CD, BC \rightarrow D\}$

According to rule IR4 if  $x \rightarrow y$  then  $x \rightarrow (R - (x \cup y))$

$A \rightarrow B$ ,  $A \rightarrow CD$

Non-trivial MVDs.

rein R is not in 4NF bcs both the MVDs are non-trivial but LH.s are not super key

$A \rightarrow B$

$R_1(A-B)$

$F_1 = \{ A \rightarrow B \}$

$A \rightarrow CD$

$R_2(A-CD)$

$F_2 = \{ A \rightarrow CD \}$

Now  $R_1$  and  $R_2$  are 4NF normalized bcs both the MVDs are trivial in nature.

5NF :-

The 5NF is based on the concept of join dependency

Join dependency :-

If it is denoted by  $JDC(R_1, R_2, \dots, R_n)$  specified on rein schema  $R$ , specifies constraint on the states of  $R$ .

$\Pi_{R_1}(rc), \Pi_{R_2}(rc) \dots \Pi_{R_n}(rc)$

rein  $R$  decomposed into a no. of  $R_i$  with attributes  $R_1 \dots R_n$

A join dependency  $JDC(R_i)$  if one of the decomposed rein schema  $R_i$  is  $R$  then it is called trivial JD

else it is non-trivial JD

→ For every non-trivial JD,  $JDC(R_1, R_2, \dots)$

Supply

sname	part-name	proj-name
smith	Bolt	proj X
smith	Nut	proj Y
Adamsky	Bolt	proj Y
wallon	Nut	proj Z
Adamsky	Nail	proj X
Adamsky	Bolt	proj X
smith	Bolt	proj Y

The join dependency constraint can be stated  $JD(R_1, R_2, R_3)$

$R_1 = (sname, part-name)$ ,  $R_2 = (sname, proj-name)$  &

$R_3 = (part-name, proj-name)$

only instance containing attribute of  $R_1$

\*  $(\Pi_{R_1}(Supply), \Pi_{R_2}(Supply), \Pi_{R_3}(Supply))$

natural join  $R_1, R_2$   
from  $R_1 \bowtie R_2$

sname	part-name	proj-name
smith	Bolt	proj X
smith	Nut	proj Y
Adamsky	Bolt	proj Y
wallon	Nut	proj Z
Adamsky	Nail	proj X
Adamsky	Bolt	proj X
smith	Bolt	proj Y
smith	Nut	proj X
Adamsky	Nail	proj Y

$R_1 \bowtie R_2 \bowtie R_3$

name	product-name	proj-name	date	status
s smith				
smith				
Adamsuy				
wallon				
Adamnuy				

The dependency relation is  $\text{INF}$ . As  $R_1 \bowtie R_2 \bowtie R_3 = \text{Supply}$  and we don't have any non-trivial join dependency in  $R_1, R_2$  and  $R_3$ .

## MODULE - IV

[Date: 30/09/24]

Query processing refers to the range of activities that are involved in extracting data from a database.

### Basic steps in query processing :-

The basic steps involved in processing query are:-

- 1) Parsing and Translation.
- 2) Optimization
- 3) Evaluation
- 4) Parsing and Translation

