# HashNet: Deep Learning to Hash by Continuation

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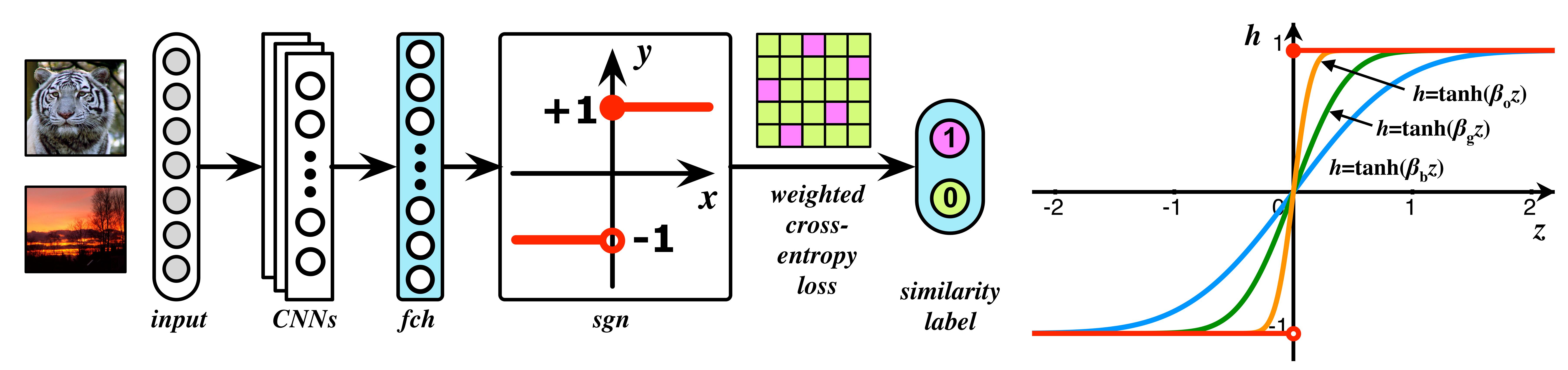


Figure 1: (left) The proposed HashNet for deep learning to hash by continuation, which is comprised of four key components: (1) Standard convolutional neural network (CNN), e.g. AlexNet and ResNet, for learning deep image representations, (2) a fully-connected hash layer (fch) for transforming the deep representation into K-dimensional representation into K-dimensional representation into K-dimensional representation into K-bit binary hash code, and (4) a novel weighted cross-entropy loss for similarity-preserving learning from sparse data. (right) Plot of smoothed responses of the sign function h = sgn(z): Red is the sign function, and blue, green and orange show functions  $h = \text{tanh}(\beta z)$  with bandwidths  $h = \text{tanh}(\beta z)$  with  $h = \text{tanh}(\beta z)$  with  $h = \text{tanh}(\beta z)$  with  $h = \text{tanh}(\beta$ 

## Summary

- 1. An end-to-end deep learning to hash architecture for image retrieval which unifies four key components:
- a standard convolutional neural network
- a fully-connected hash layer (fch) for transforming the deep
- representation into K-dimensional representation
- a sign activation function (sgn) for binarizing the K-dimensional representation into K-bit binary hash code
- a novel weighted cross-entropy loss for similarity-preserving learning from sparse data
- 2. Learning by continuation method to optimize HashNet

## Challenges

- 1. Limitations of previous works:
- *Ill-posed gradient* problem: We need the *sign* function as activation function to convert deep *continuous* representations to *binary* hash codes. But the gradient of the sign function is zero for all nonzero inputs, making standard back-propagation infeasible.
- $\rightarrow$  attack the *ill-posed gradient* problem by the *continuation* methods, which address a complex optimization problem by smoothing the original function, turning it into a easier to optimize problem.
- Data imbalance problem: The number of similar pairs is much smaller than that of dissimilar pairs in real retrieval systems which makes similarity-preserving learning ineffective.
- → propose a novel weighted cross-entropy loss for similarity-preserving learning from sparse similarity.

# Model Formulation

Weighted Maximum Likelihood (WML) estimation:

$$\log P\left(\mathcal{S}|\boldsymbol{H}\right) = \sum_{s_{ij} \in \mathcal{S}} w_{ij} \log P\left(s_{ij}|\boldsymbol{h}_i, \boldsymbol{h}_j\right),$$

Weight Formulation:

$$w_{ij} = c_{ij} \cdot \begin{cases} |\mathcal{S}| / |\mathcal{S}_1|, & s_{ij} = 1 \\ |\mathcal{S}| / |\mathcal{S}_0|, & s_{ij} = 0 \end{cases}$$

$$(2)$$

Pairwise logistic function:

$$P(s_{ij}|\boldsymbol{h}_{i},\boldsymbol{h}_{j}) = \begin{cases} \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle), & s_{ij} = 1\\ 1 - \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle), & s_{ij} = 0 \end{cases}$$

$$= \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle)^{s_{ij}}(1 - \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle))^{1-s_{ij}}$$

$$= \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle)^{s_{ij}}(1 - \sigma(\langle \boldsymbol{h}_{i},\boldsymbol{h}_{j}\rangle))^{1-s_{ij}}$$

Optimization problem of HashNet:

$$\min_{\Theta} \sum_{s_{ij} \in \mathcal{S}} w_{ij} \left( \log \left( 1 + \exp \left( \alpha \left\langle \boldsymbol{h}_{i}, \boldsymbol{h}_{j} \right\rangle \right) \right) - \alpha s_{ij} \left\langle \boldsymbol{h}_{i}, \boldsymbol{h}_{j} \right\rangle \right), \tag{4}$$

# Learning by Continuation

## $\boldsymbol{Algorithm}:$

Input: A sequence  $1 = \beta_0 < \beta_1 < \dots < \beta_m = \infty$ 

for  $stage\ t = 0$  to m do

Train HashNet (4) with  $\tanh(\beta_t z)$  as activation Set converged HashNet as next stage initialization

## end

Output: HashNet with sgn(z) as activation,  $\beta_m \to \infty$ 

# Experiment Setup

Datasets: ImageNet, NUS-WIDE and MS COCO
Baselines: LSH, SH, ITQ, ITQ-CCA, BRE, KSH, SDH, CNNH, DNNH and DHN

Criteria: MAP, Precision-recall curve and Precision@top R curve

### Results

Dataset	Method	MAP			
		16 bits	32 bits	48 bits	64 bits
ImageNet	HashNet	0.5059	0.6306	0.6633	0.6835
	DHN	0.3106	0.4717	0.5419	0.5732
	DNNH	0.2903	0.4605	0.5301	0.5645
	CNNH	2812	0.4498	0.5245	0.5538
	SDH	0.2985	0.4551	0.5549	0.5852
	KSH	0.1599	0.2976	0.3422	0.3943
	ITQ-CCA	0.2659	0.4362	0.5479	0.5764
	ITQ	0.3255	0.4620	0.5170	0.5520
	BRE	0.5027	0.5290	0.5475	0.5546
	SH	0.2066	0.3280	0.3951	0.4191
	LSH	0.1007	0.2350	0.3121	0.3596
NUS- WIDE	HashNet	0.6623	0.6988	0.7114	0.7163
	DHN	0.6374	0.6637	0.6692	0.6714
	DNNH	0.5976	0.6158	0.6345	0.6388
	CNNH	0.5696	0.5827	0.5926	0.5996
	SDH	0.4756	0.5545	0.5786	0.5812
	KSH	0.3561	0.3327	0.3124	0.3368
	ITQ-CCA	0.4598	0.4052	0.3732	0.3467
	ITQ	0.5086	0.5425	0.5580	0.5611
	BRE	0.0628	0.2525	0.3300	0.3578
	SH	0.4058	0.4209	0.4211	0.4104
	LSH	0.3283	0.4227	0.4333	0.5009
	HashNet	0.6873	0.7184	0.7301	0.7362
MS COCO	DHN	0.6774	0.7013	0.6948	0.6944
	DNNH	0.5932	0.6034	0.6045	0.6099
	CNNH	0.5642	0.5744	0.5711	0.5671
	SDH	0.5545	0.5642	0.5723	0.5799
	KSH	0.5212	0.5343	0.5343	0.5361
	ITQ-CCA	0.5659	0.5624	0.5297	0.5019
	ITQ	0.5818	0.6243	0.6460	0.6574
	BRE	0.5920	0.6224	0.6300	0.6336
	SH	0.4951	0.5071	0.5099	0.5101
	LSH	0.4592	0.4856	0.5440	0.5849
Table 1: MAP of Hamming Ranking on the three datasets					

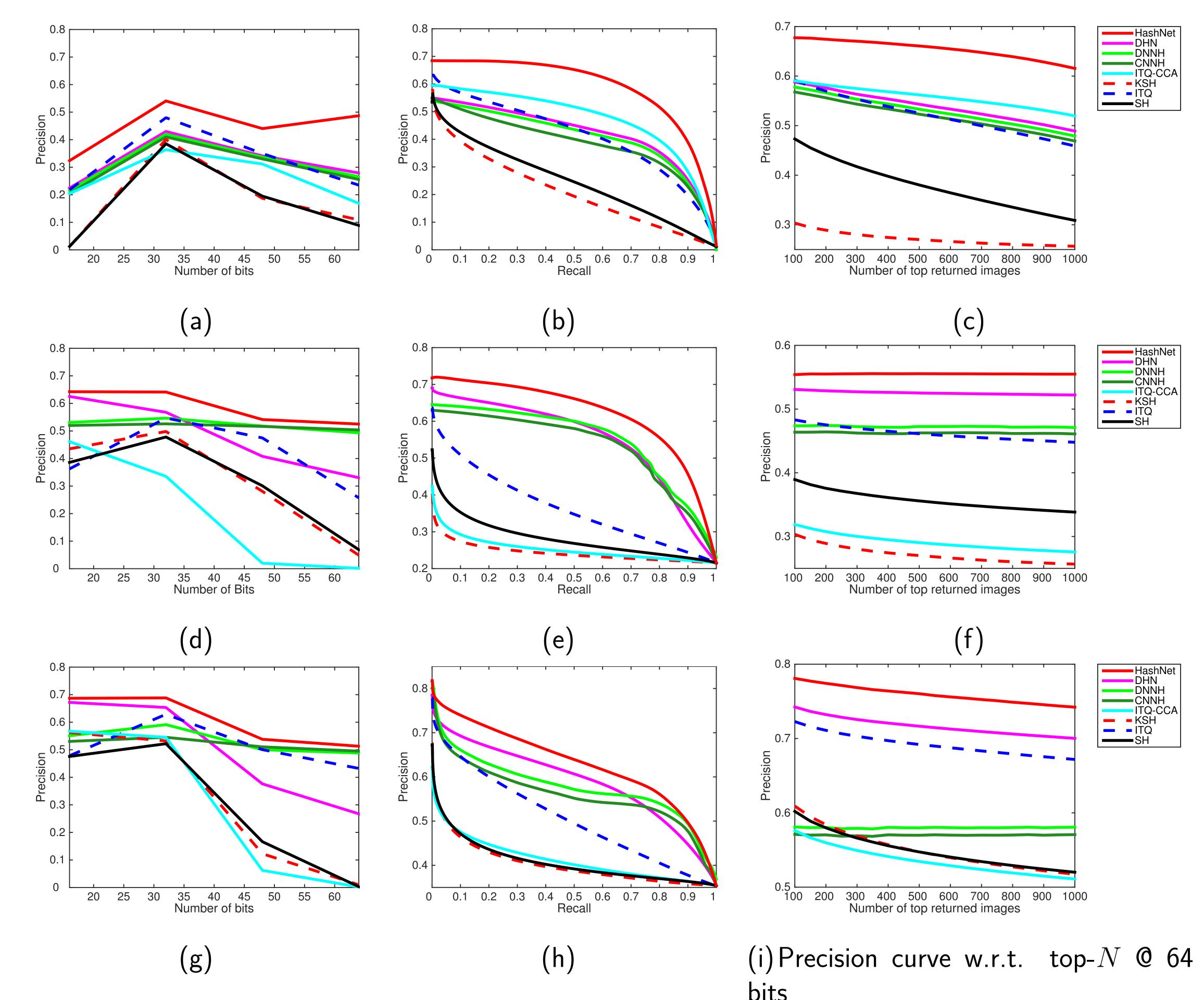
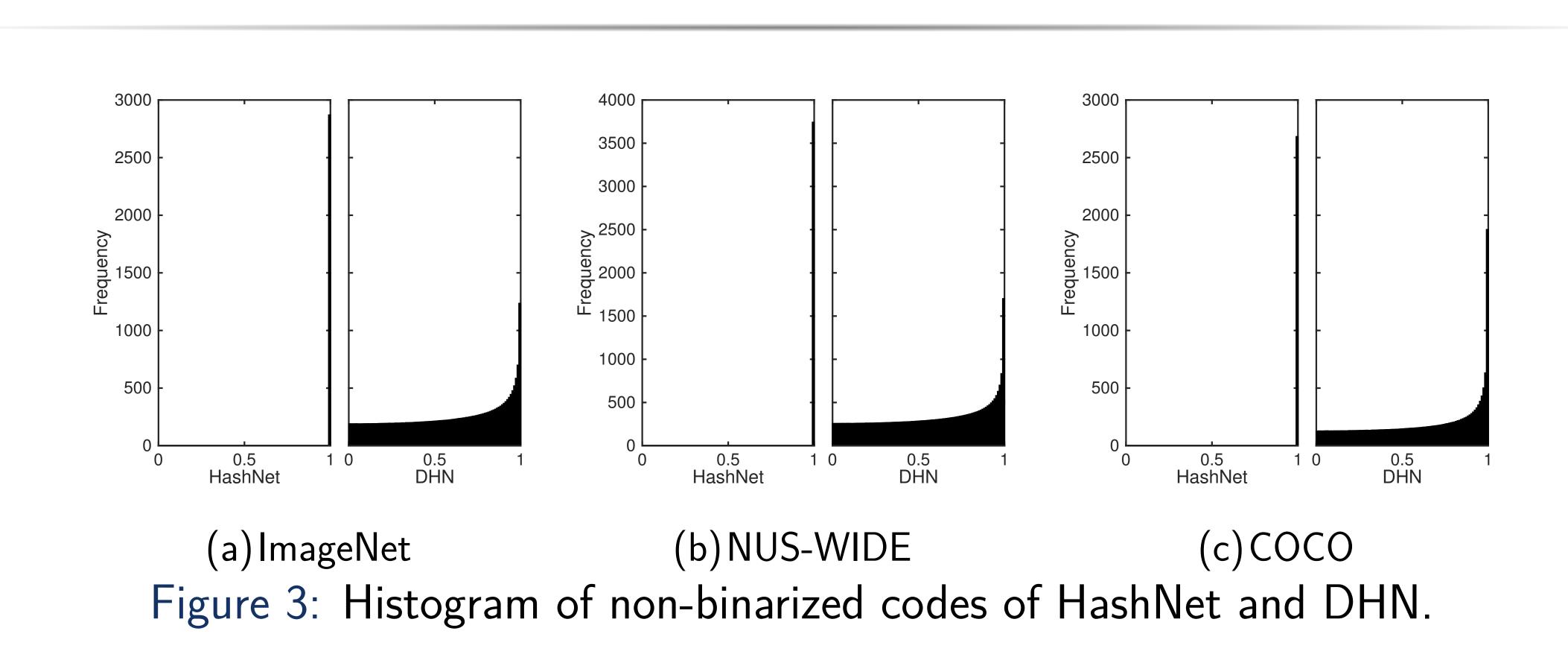


Figure 2: The experimental results of Precision within Hamming radius 2, Precision-recall curve @ 64 bits and Precision curve w.r.t. top-N @ 64 bits on the three datasets.

## Code Quality



### Ablation Study

ImageNetNUS-WIDEMethodImageNetNUS-WIDE16 bits32 bits48 bits64 bitsHashNet+C0.50590.63060.66330.68350.66460.70240.72090.7259HashNet0.50590.63060.66330.68350.66230.69880.71140.7163HashNet-W0.33500.48520.56680.59920.64000.66380.67880.6933HashNet-sgn0.42490.54500.58280.60610.66030.67700.69210.7020Table 2: MAP Results of HashNet and Its Variants on ImageNet and NUS-WIDE

Table 3: MAP Results of HashNet and Its Variants on MS COCO

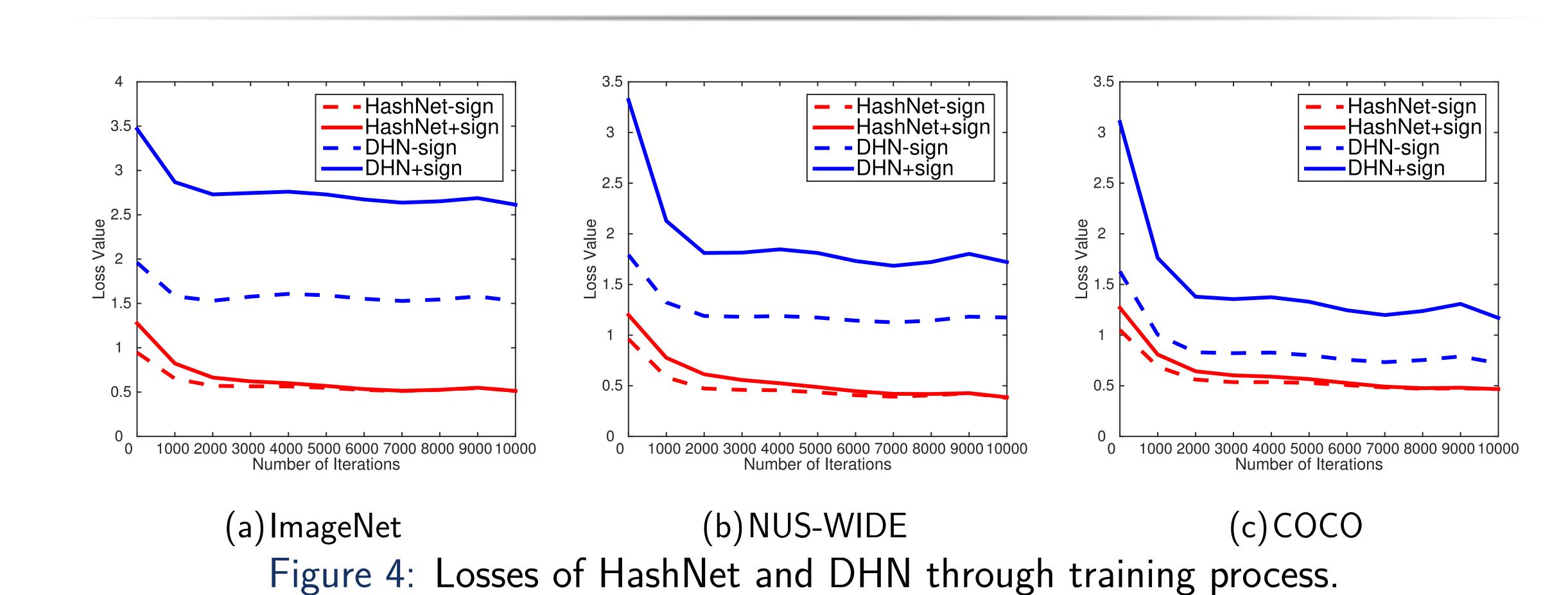
MS COCOMethodMS COCO16 bits32 bits48 bits64 bitsHashNet+C**0.6876 0.7261 0.7371 0.7419**HashNet0.6873 0.7184 0.7301 0.7362HashNet-W0.6853 0.7174 0.7297 0.7348HashNet-sgn0.6449 0.6891 0.7056 0.7138

HashNet+C: variant using continuous similarity.

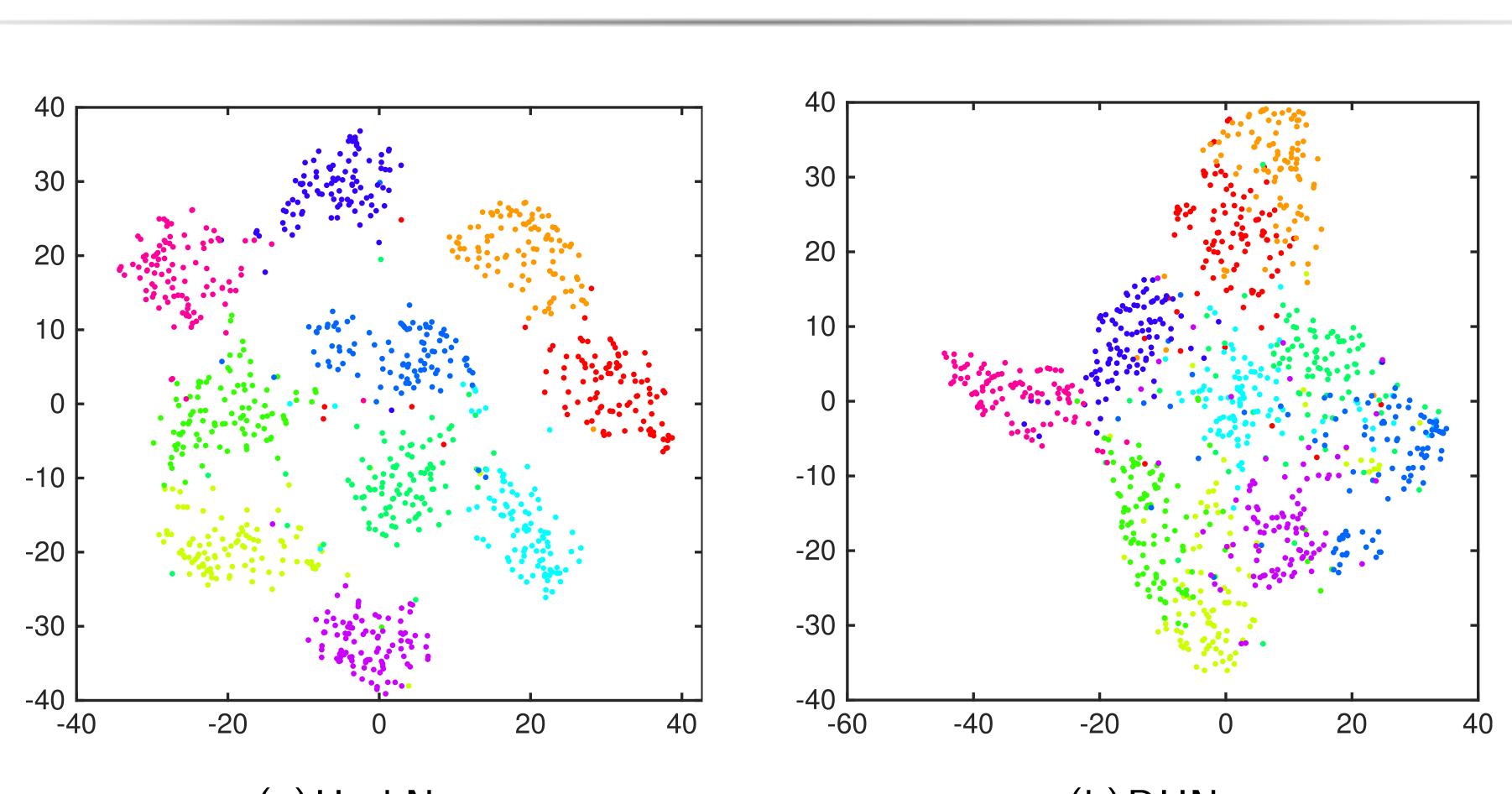
HashNet-W: variant using maximum likelihood without weight.

HashNet-sgn: variant using tanh() not sgn() as activation function.

## Convergence Analysis



# Visualization



(a) HashNet (b) DHN Figure 5: The t-SNE of hash codes learned by HashNet and DHN.

## Contact Information

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