# Unit 1

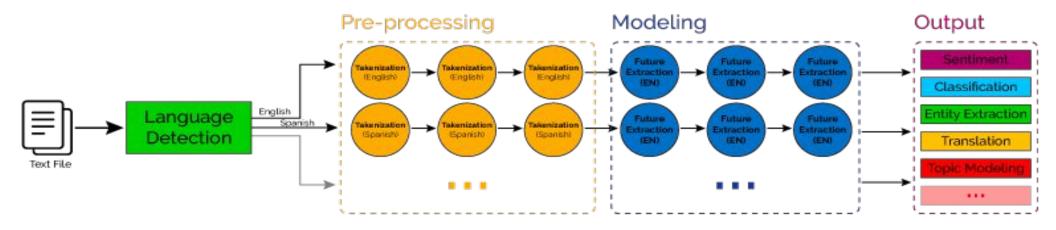
# Introduction to Natural Language Processing

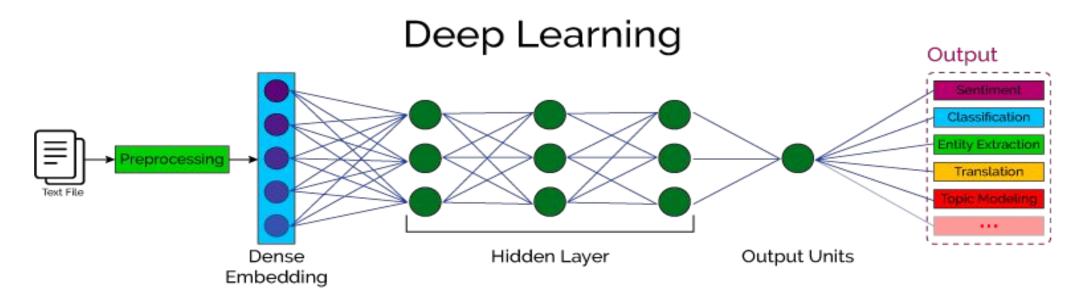
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## **Unit 1: Introduction to Natural Language Processing**

- Introduction: Scope, Applications and Challenges.
- Brief history and evolution of NLP: Programming languages Vs Natural Languages, Are Natural Languages Regular? Finite automata for NLP
- Stages of NLP
- NLP Basics of text processing: Tokenization, Stemming, Lemmatization, Part of Speech Tagging;
- NLP Components: Syntax, Semantics, and Pragmatics;
- Introduction to Regular Expressions and their Applications in NLP.

## Classical NLP





## **NLP Basics: Tokenization and Beyond**

Understanding fundamental NLP techniques



## **NLP Basics of Text Processing**

#### **Tokenization**

- Tokenization in NLP (Natural Language Processing) refers to the process of breaking down text into smaller units, called tokens.
- These tokens can be words, phrases, characters, or subwords, depending on the granularity required for the task at hand.
- Tokenization is a **fundamental step in text preprocessing** and plays a critical role in preparing textual data for machine learning models.



## **Types of Tokenization**

#### 1. Word Tokenization:

Splitting text into words.

"NLP is amazing!" → ["NLP", "is", "amazing", "!"]

#### 2. Sentence Tokenization:

Dividing text into sentences.

"Hello world. Welcome to AI."  $\rightarrow$  ["Hello world.", "Welcome to AI."]

#### 3. Sub word Tokenization:

Breaking words into smaller units. Common in NLP for handling rare or unknown words.

"unbelievable"  $\rightarrow$  ["un", "believ", "able"]

#### 4. Character Tokenization:

Splitting text into individual characters.

$$"AI" \rightarrow ["A", "I"]$$

#### **Challenges in Tokenization**

#### **Ambiguity in Language:**

Words like "New York" could be one token or two depending on context.

Handling contractions like "don't" (should it be ["do", "n't"] or ["don't"]?)

#### **Special Character:**

Deciding if punctuation marks should be part of tokens or separate. Managing punctuation, symbols, or URLs within text

#### Language Complexity:

Languages without clear boundaries (e.g., Chinese, Japanese) make tokenization harder.

#### **Morphologically Rich Languages:**

Languages like Turkish or Finnish, with many inflected word forms, require specialized tokenization methods.

#### Chinese

#### • Nature of Writing:

Chinese is written using characters ( $\mathbb{Z}$  $\mathbb{F}$ , Hanzi), where each character represents a syllable and often has meaning on its own. Words are typically composed of one or more characters, but there are no spaces between words in standard writing.

#### • Example:

Sentence: 我喜欢学习语言 (I like learning languages)

Tokenized: ["我"(I), "喜欢"(like), "学习"(learning/study), "语言"(languages)]

#### • Challenge:

Identifying **boundaries between multi-character words**, especially when a sequence of characters can form different valid words depending on context.

元来日本語は漢文に倣い、文字を上 から下へ、また行を右から左へと進 めて表記を行っていた。 漢字と仮名 の筆順も縦書きを前提としており、 横書き不能な書体も存在する。

Japanese uses Comma(,), Period(o), Quotation marks( □ | )

Chinese occasionally uses question mark or exclamation point

Korean script uses marks as European languages

모든 인간은 태어날 때부터 자유로우며 그 존엄과 권리에 same punctuation → 있어 동등하다. 인간은 천부적으로 이성과 양심을 부여받았으며 서로 형제애의 정신으로 행동하여야 한다.

## **Japanese**

#### • Nature of Writing:

Japanese uses a mix of three scripts:

- Kanji (漢字): Logographic characters derived from Chinese.
- **Hiragana** (ひらがな): Phonetic script for grammatical elements and native words.
- **Katakana** (カタカナ): Phonetic script for foreign words and emphasis.
- Like Chinese, Japanese is often written without spaces in sentences. However, the mixture of scripts can sometimes help in identifying word boundaries.

#### • Example:

Sentence: 私は日本語を勉強しています (I am studying Japanese)
Tokenized: ["私" (I), "は" (topic marker), "日本語" (Japanese language), "を" (object marker), "勉強" (study), "しています" (am doing)]

#### • Challenge:

Complex grammar and the use of multiple scripts make tokenization context-dependent. For instance, a sequence of Hiragana could represent multiple words or a single grammatical form.

#### **Tools and Libraries for Tokenization**

#### 1.NLTK (Natural Language Toolkit)

•Functions: word\_tokenize, sent\_tokenize Example:

from nltk.tokenize import word\_tokenize
text = "Tokenization is crucial for NLP."
print(word\_tokenize(text))

#### 2. spaCy

Provides efficient tokenization as part of its NLP pipeline.

#### Example:

```
import spacy
nlp = spacy.load("en_core_web_sm")
doc = nlp("Tokenization with spaCy is easy.")
print([token.text for token in doc])
```

#### **3.Transformers (Hugging Face)**

• Tokenizers for modern pre-trained models like BERT, GPT, and RoBERTa.

```
from transformers import AutoTokenizer

tokenizer = AutoTokenizer.from_pretrained("bert-base-uncased")

tokens = tokenizer("Tokenization for transformers.")

print(tokens)
```

#### 4.Stanford CoreNLP

• A robust suite for tokenization and other NLP tasks.

## **Applications of Tokenization**

#### **Text Classification:**

Tokenized words are used as input to classify text into categories (e.g., spam detection).

#### **Machine Translation:**

Helps convert source language text into tokens for translation models.

#### **Sentiment Analysis:**

Enables models to analyze opinions in tokenized sentences.

#### **Search Engines:**

Tokenization assists in indexing and retrieving relevant documents.

#### **Speech Recognition:**

Tokenizes spoken language for text transcription.

## **Stemming in NLP**

• Stemming is a text normalization technique in Natural Language Processing (NLP) that reduces words to their root or base form (called the "stem"). The stem may not always be a valid word but is intended to capture the essential meaning of related words.

#### Why is Stemming Used?

- **1. Reduce Redundancy**: It helps group similar words together for easier analysis, such as "running," "runner," and "runs" being reduced to "run."
- **2. Improve Performance**: By reducing words to their stems, search engines, information retrieval systems, and text mining tasks process fewer unique tokens.
- **3. Enhance Consistency**: It aligns different forms of a word, making text data cleaner for tasks like classification, clustering, or sentiment analysis.

Stemming algorithms apply heuristic rules to strip suffixes (e.g., "ing," "ed," "es") from words. For example:

Word: "Playing"

Stem: "Play"

Word: "Studies"

Stem: "Studi" (not always a valid word)

#### **Common Stemming Algorithms**

- **1. Porter Stemmer** (Most Popular): Focuses on removing common English suffixes systematically.
- **2.** Lancaster Stemmer: More aggressive, often produces shorter stems.
- **3. Snowball Stemmer**: An improved version of the Porter Stemmer, supporting multiple languages.

```
from nltk.stem import PorterStemmer
# Initialize stemmer
stemmer = PorterStemmer()
# Sample words
words = ["running", "runner", "ran", "runs", "easily", "fairness"]
# Apply stemming
stems = [stemmer.stem(word) for word in words]
# Print stems
print(stems)
```

Output

```
['run', 'runner', 'ran', 'run', 'easili', 'fair']
```

## **Limitations of Stemming**

- **1. Over-Stemming**: Sometimes stems are too aggressive, leading to loss of meaning. Example: "Studies" → "Studi"
- **2. Under-Stemming**: Some forms of a word may not be reduced to the same root. Example: "Relational" and "Relation" remain distinct.
- **3.** Language Dependency: Most stemming algorithms are designed for specific languages, e.g., English.

#### Why "easily" was stemmed to "easili"?

- The Porter Stemmer operates using a set of heuristic rules to strip suffixes. It doesn't rely on vocabulary or dictionary checks, so it doesn't always produce valid words.
- The suffix "-ly" is identified and removed from "easily," resulting in "easili."
  - This is a **mechanical transformation** and doesn't consider that "easily" has a semantic root "easy."
- Limitations: The Porter Stemmer doesn't verify if the stemmed result is meaningful, leading to "easili," which is not a valid word.

#### Why "runner" was not further stemmed?

- The word "runner" **already looks like its base form**, as per the heuristic rules of the Porter Stemmer.
  - The Porter Stemmer focuses on suffix removal (like "-ing", "-ed", "-ly"), but "runner" has the suffix "-er", which is often considered part of the root meaning (e.g., a "doer" or "runner").
  - Aggressively stripping "-er" might lead to incorrect or overly generalized stems, so the algorithm avoids doing so.

#### • Contrast with Other Words:

- For example, "running" → "run" because "-ing" is a suffix commonly stripped by the rules.
- "runner" is retained because it's already close to its base form and stripping further might distort its meaning.

• "easily" → "easili": A byproduct of heuristic rules that don't guarantee meaningful stems.

• "runner" unchanged: The algorithm heuristically decides not to stem further to avoid over-stemming.

# Comparison

• Stemming is fast and simple but doesn't always yield meaningful or accurate results. For more precise processing:

• Use **lemmatization** instead, as it considers the meaning and grammatical role of words.

• Example comparison of stemming vs. lemmatization:

## Example comparison of stemming vs. lemmatization

```
from nltk.stem import PorterStemmer
from nltk.stem import WordNetLemmatizer
import nltk
nltk.download('wordnet')
stemmer = PorterStemmer()
lemmatizer = WordNetLemmatizer()
word = "easily"
print("Stemmed:", stemmer.stem(word)) # Output: easili
print("Lemmatized:", lemmatizer.lemmatize(word)) # Output: easy
```

- Lemmatization is a text normalization technique in **Natural Language Processing (NLP)** that reduces words to their base or canonical form, called the **lemma**.
- Unlike stemming, lemmatization uses a **dictionary or linguistic knowledge** to ensure that the root word is meaningful and grammatically correct.
- Lemmatization considers:
- **1. Word Context**: It uses part-of-speech (POS) tagging to determine the grammatical role of a word (noun, verb, etc.).
- **2. Dictionary Lookup**: It relies on vocabulary and morphological analysis to map inflected forms to their base forms.
  - 1. Example: "Running"  $\rightarrow$  "Run" (verb) but "Better"  $\rightarrow$  "Good" (adjective).

## Stemming

```
adjustable → adjust formality → formaliti formaliti → formaliti airliner → airlin
```

### Lemmatization



#### Why Lemmatization is Used?

- **1. Improves Data Consistency**: Reduces word variations like "running," "ran," and "runs" to their base form "run."
- 2. Enhances Accuracy: Produces grammatically valid words, which is critical for downstream tasks like machine translation, information retrieval, and sentiment analysis.
- 3. Language-Specific Normalization: Lemmatization is adaptable to the linguistic rules of different languages.

## **Lemmatization vs. Stemming**

Feature	Lemmatization	Stemming
Output	Produces valid words	May produce invalid words
Approach	Rule-based (linguistic)	Heuristic (suffix removal)
Accuracy	High	Moderate
Speed	Slower	Faster
Example ("better")	"good" (based on POS)	"better" (unchanged)

## **Common Lemmatization Algorithms**

#### 1. WordNet Lemmatizer:

- Uses the WordNet lexical database for linguistic analysis.
- Requires specifying the POS tag (default is "noun").

#### 2. SpaCy Lemmatizer:

- Built into the SpaCy library and supports multiple languages.
- Automatically detects POS and applies lemmatization.

#### **Applications of Lemmatization**

- 1. Search Engines: Improves query matching by normalizing search terms.
  - 1. E.g., "running" and "ran"  $\rightarrow$  "run".
- 2. Text Summarization: Reduces word forms for better semantic understanding.
- **3. Sentiment Analysis**: Consistent word forms lead to more accurate sentiment predictions.
- 4. Chatbots: Helps bots understand user input by normalizing variations of words.
- 5. Machine Translation: Ensures grammatically correct translations.

#### **Advantages of Lemmatization**

- Produces meaningful base forms.
- Helps preserve semantics in text analysis.
- Works well for language-specific tasks.

#### **Limitations of Lemmatization**

- 1. Slower than Stemming: Requires POS tagging and dictionary lookups.
- **2. Dependency on Context**: May produce incorrect lemmas if the context is misinterpreted.
  - 1. E.g., "flies" as a noun  $\rightarrow$  "fly" (insect) vs. verb  $\rightarrow$  "fly" (to soar).
- 3. Language-Specific: Needs separate models or databases for each language.

## **Understanding Parts of Speech Tagging**

The Process of Identifying Words' Roles

#### What is Parts of Speech Tagging?

It identifies the grammatical category of each word in a sentence.

#### Noun (NN)

Nouns represent people, places, things, or ideas. Example: 'dog', 'city'.

#### Adjective (JJ)

Adjectives describe or modify nouns. Example: 'happy', 'blue'.

#### Adverb (RB)

Adverbs modify verbs, adjectives, or other adverbs. Example: 'quickly', 'very'.

#### Verb (VB)

Verbs express actions or states of being. Example 'run', 'is'.

#### Pronoun (PRP)

Pronouns replace nouns to avoid repetition. Example: 'he', 'they'.

## Parts of Speech (POS) Tagging

• POS Tagging is a **fundamental task** in Natural Language Processing (NLP) that involves **labeling each word in a text with its corresponding part of speech** based on its definition and context.

• POS tagging is **essential for many higher-level NLP tasks**, such as parsing, named entity recognition, machine translation, and sentiment analysis.

## **Key Components of POS Tagging**

#### 1. Parts of Speech: Common parts of speech include:

- 1. Noun (NN): Represents a person, place, or thing (e.g., "cat," "city").
- 2. Verb (VB): Represents an action or state (e.g., "run," "is").
- **3.** Adjective (JJ): Describes or modifies nouns (e.g., "beautiful").
- 4. Adverb (RB): Modifies verbs, adjectives, or other adverbs (e.g., "quickly").
- **5. Pronoun (PRP)**: Replaces nouns (e.g., "he," "they").
- 6. Preposition (IN): Indicates relationships between nouns (e.g., "in," "on").
- 7. Conjunction (CC): Connects clauses or sentences (e.g., "and," "but").
- **8. Determiner (DT)**: Points to nouns (e.g., "the," "an").
- **9.** Interjection (UH): Expresses emotions (e.g., "wow!").

#### 2. Tagging Schemes:

- •Commonly used tagging standards include **Penn Treebank POS tags**, which consist of detailed tags for words.
- •Example: "The cat sleeps"  $\rightarrow$  [DT, NN, VBZ]

#### 3. Disambiguation:

Many words have multiple possible tags (e.g., "book" can be a noun or verb). POS tagging uses context to resolve ambiguities.

## **Methods of POS Tagging**

#### 1. Rule-Based Tagging:

- Relies on a set of predefined linguistic rules.
- Example: If a word ends with "-ly," it is likely an adverb.

#### 2. Statistical Tagging:

- Uses machine learning models and probabilities to predict the POS tag.
- Examples:
  - **1. Hidden Markov Models (HMMs)**: Predicts tags based on transition and emission probabilities.
  - 2. Maximum Entropy Models.

#### 3. Deep Learning Approaches:

- Leverages neural networks, such as Recurrent Neural Networks (RNNs), Long Short-Term Memory (LSTM), or Transformers.
- Requires large annotated datasets but achieves state-of-the-art accuracy.

#### **Applications of POS Tagging**

- 1. Text Parsing: Helps in syntactic and grammatical structure analysis.
- 2. Named Entity Recognition (NER): Assists in identifying entities like names, locations, etc.
- 3. Sentiment Analysis: Identifies sentiment-revealing adjectives and adverbs.
- 4. Machine Translation: Provides structural insights for accurate translation.

#### **Example of POS Tagging**

• Input Sentence:

"The quick brown fox jumps over the lazy dog."

• Tagged Output:

The/DT quick/JJ brown/JJ fox/NN jumps/VBZ over/IN the/DT lazy/JJ dog/NN.

#### **Tools for POS Tagging**

- 1. NLTK (Natural Language Toolkit): Popular library in Python for basic tagging.
- 2. spaCy: Fast and efficient, with pre-trained models.
- 3. Stanford NLP: Advanced models for POS tagging and syntactic parsing.
- 4. Hugging Face Transformers: For deep learning-based tagging.

#### **POS Tagging with NLTK**

```
import nltk
from nltk.tokenize import word_tokenize
from nltk import pos_tag
# Download necessary resources
nltk.download('punkt')
nltk.download('averaged_perceptron_tagger')
# Input text
text = "The quick brown fox jumps over the lazy dog."
# Tokenize the text
tokens = word_tokenize(text)
# Apply POS tagging
tagged_words = pos tag(tokens)
# Print the result
print("POS Tags using NLTK:")
print(tagged_words)
```

#### POS Tagging with spaCy

```
import spacy
# Load spaCy's English model
nlp = spacy.load("en_core_web_sm")
# Input text
text = "The quick brown fox jumps over the lazy dog."
# Process the text
doc = nlp(text)
# Extract tokens and their POS tags
print("POS Tags using spaCy:")
for token in doc:
    print(f"{token.text:10} {token.pos_:10} {token.tag_:10}")
```

#### **NLTK Output:**

[('The', 'DT'), ('quick', 'JJ'), ('brown', 'JJ'), ('fox', 'NN'), ('jumps', 'VBZ'), ('over', 'IN'), ('the', 'DT'), ('lazy', 'JJ'), ('dog', 'NN')]

Each tuple contains the word and its POS tag:

DT: Determiner

JJ: Adjective

NN: Noun

VBZ: Verb (3rd person singular present)

**IN: Preposition** 

## spaCy Output:

The	DET	DT
quick	ADJ	33
brown	ADJ	33
fox	NOUN	NN
jumps	VERB	VBZ
over	ADP	IN
the	DET	DT
lazy	ADJ	33
dog	NOUN	NN