### Deep Q-Networks for Event Summarization

Francisco Javier Arceo

Chris Kedzie

fja2114@columbia.edu

kedzie@cs.columbia.edu

Columbia University in the City of New York

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# Agenda

**Event Summarization** 

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### Event Summarization

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- ▶ As crises unfold and many articles are generated about a given event, it is beneficial to have a meaningful summary about the incident.
- Given the seemingly countless number of news and media outlets, reading and summarizing all of this content is impractical.
- ▶ Instead, it is useful to have a system that evaluates these articles automatically and returns the most valuable information.



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- ▶ Extractive streaming summarization takes as input a short text description of a topic or an event known as a query, a document stream, and a time ordered set of sentences relevant to the query.
- ▶ The algorithm sequentially examines each sentence from the document stream and includes the candidate sentence into the summary when novel and important information is detected.

### Previous Work in Extractive Event Summarization

- ▶ Recent research in text retrieval has focused on extractive algorithms to identify important sentences from a large set of documents for summarizing articles from different events in the news (e.g., [1], [4], [2], and [3]).
- ▶ [4] has shown that it is possible to select relevant sentences from a massive number of documents on the web to create summaries with meaningful content by adapting classifiers to maximize search policies.
- ▶ These systems have been shown to fall short of simple heuristic algorithms [2], which may be due to the limited ability of traditional n-gram models to capture the rich and often idiosyncratic structure of language.



## Deep Q-Networks for Event Summarization

This leads us to explore Deep Q-Networks (DQN) for 3 reasons:

- 1. We can define an architecture that propagates information end-to-end about the inputs, actions, and rewards
- 2. The representation and interaction between the stream, summary, and query can be learned jointly
- 3. RNN-LSTM embeddings can learn a more robust semantic representation than classical n-gram models

## Deep Q-Networks: A Brief Introduction

- ▶ Q-Learning is a Reinforcement Learning framework that finds an optimal policy by taking an input state, set of possible actions available, and returning the action with the highest reward.
- ▶ When the state-action space becomes intractable, deterministic algorithms are no longer feasible for an optimal policy and researchers instead train a model to learn the policy.
- ▶ Deep Q-Networks [5] are an increasingly popular framework for learning these policies from end-to-end.



#### States

- ▶ A state  $s(x_t, \tilde{y}_t, d)$  at time t is a function of the stream  $X_d$ ,  $\forall d \in D$ , which represents the candidate sentence,  $x_t$  to include in the summary, the state of the current summary  $\tilde{y}_t$ , and the query d.
- ▶ For brevity we use  $s_t$  where the dependence on  $x_t$ ,  $\tilde{y}_t$ , and d is assumed.

#### Actions

We define our set of actions as  $\mathcal{A} := \{select, skip\}$  where select corresponds to adding the current sentence  $x_t$  to the predicted summary  $\tilde{y}_t$  and incrementing the current system time, or skip where only t is incremented without changing the current summary. Simply,

$$\tilde{y}_{t+1} = \begin{cases} \tilde{y}_t \cup \{x_t\}, & \text{if } a_t = select \\ \tilde{y}_t, & \text{if } a_t = skip. \end{cases}$$
(1)

#### Reward

The reward r for a given action a at time t is measured by the change in ROUGE-F1 score of the predicted summary  $\tilde{y}_t$  measured against a gold standard summary Y.

$$\text{ROUGE-R}(\tilde{y}, Y) = \frac{\sum_{g \in Y} \min\left(\text{count}(g, \tilde{y}), \text{count}(g, Y)\right)}{\sum_{g \in Y} \text{count}(g, Y)} \quad (2)$$

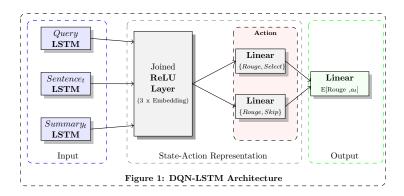
$$\text{ROUGE-P}(\tilde{y}, Y) = \frac{\sum_{g \in \tilde{Y}} \min\left(\text{count}(g, \tilde{y}), \text{count}(g, Y)\right)}{\sum_{g \in \tilde{Y}} \text{count}(g, \tilde{Y})} \quad (3)$$

$$r_t = \text{ROUGE-F1}(\tilde{y}_t, Y) - \text{ROUGE-F1}(\tilde{y}_{t-1}, Y).$$
 (4)

### Policy

- ▶ We define our Q-Learner as an RNN-LSTM that is trained by randomly exploring the state-space using an  $\epsilon$ -greedy search over actions and states.
- ▶ We train our Q-Learner by iteratively updating the learned extraction policy through backpropagation of the observed reward for each action.
- ▶ We define an architecture similar to that of [6] and map our three inputs (query, sentence, and current predicted summary) into LSTM embeddings according to **Figure 1**

### Architecture of the Q-Learner



<sup>\*</sup>ReLU(x) = max(0, x)

#### DQN-LSTM for Event Summarization Training Procedure

```
 \begin{array}{ll} \textbf{Input:} & \{\mathcal{D} \text{: Event queries, } X_d \text{: Input sentences, } N \text{: Number of epochs} \} \\ \textbf{Output:} & \{\hat{Q} \text{: extraction policy, } \hat{Y}_d \text{: event summary for query } d\} \\ \end{array}
```

```
1: Initialize extraction policy \hat{Q} with random weights
 2: Initialize memory and summary: \Gamma, \tilde{Y} = \{\emptyset\}_{d=1}^{|\mathcal{D}|}, \{\emptyset\}_{d=1}^{|\mathcal{D}|}
 3: for epoch = 1, ..., N do
 4:
           for query d \in \mathcal{D} do
                 \tilde{X}_d, \tilde{Y}_d = \{\text{Extract } t = 1, ..., T_d \ (sentences_d, summary_d)\}
 5:
                 for x_t, \tilde{y}_t \in X_d, \tilde{Y}_d do
 6:
                      Set s_t = s(x_t, \tilde{y}_t, d)
 7:
                      \forall a_t \in \mathcal{A}(s_t) \text{ compute } \hat{Q}(s_t, a_t) \text{ and select } a_t^* = \operatorname{argmax}_{a_t} \hat{Q}(s_t, a_t)
 8:
                      if random() < \epsilon then select a_t^* at random with Pr(a_t) = \frac{1}{|A|}
 9:
10:
                       Update \tilde{y}_{t+1} according to equation (1)
11:
                      Execute action a_t^* and observe reward r_t and new state s_{t+1}
12:
                       Update \Gamma_d = \Gamma_d \cup \{[s_t, a_t^*, r_t, s_{t+1}]\}
13:
           for j = 1, ..., J transitions sampled from \Gamma do
14:
                                Set y_j = \begin{cases} r_j & \text{if } s_{j+1} \text{ is terminal} \\ r_i + \gamma \max_{a'} \hat{Q}(s_{j+1}, a'; \theta) & \text{if } s_{j+1} \text{ is non-terminal} \end{cases}
```

Perform gradient step on 
$$\mathcal{L}(\theta) = (y_i - \hat{Q}(s_i, a_i; \theta))^2$$

Perform gradient step on  $\mathcal{L}(\theta) = (y_j - Q(s_j, a_j; \theta))^{-1}$ 

15:

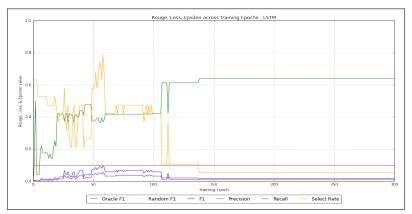
#### Small Problems

Before evaluating the model on test data, it is illuminating to understand the in-sample training behavior of the architecture on a small-sample problem. Small sample experimentation allows us to

- 1. Verify that the model is able to solve our specified problem
- 2. Understand the number of iterations required to explore the state-space
- 3. Gain intuition about the impact of hyperparameters on the speed at which it takes to solve the problem

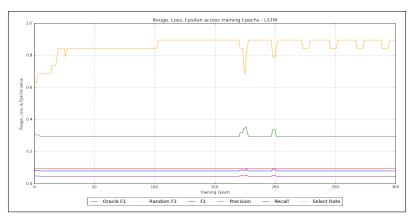
### DQN-LSTM for 1 Query and 20 Sentences: Precision

Model learned to select the single best sentence.



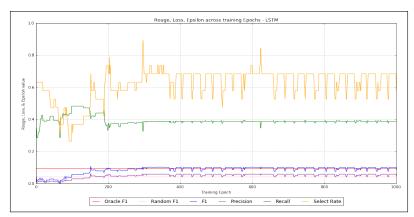
# DQN-LSTM for 1 Query and 20 Sentences: Recall

Model learned to select all sentences.



# DQN-LSTM for 1 Query and 20 Sentences: F1

Model was able to maximize F1.



### What did we learn?

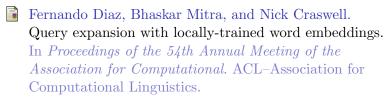
The experiments are extremely useful in understanding the implications of the specification of the network. We found that

- 1. Maximizing Precision is easier than maximizing Recall.
- 2. Choosing either Precision or Recall yields obvious pathological results; i.e., selecting the single best sentence or selecting all sentences.
- 3. Optimizing F1 requires much longer training to choose the optimal strategy.

### References and Links

#### Thank you

► GitHub Repository



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