

# Deep Neural Network Initialization with Sparsity Inducing Activations

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## Outline

**Motivation:** it is more efficient to compute the “forward pass” of a sparse neural network.

**We investigate** the training of neural networks with activation functions that induce highly sparse hidden layer outputs throughout both training and inference.

Our approach naturally combine with other standard post-processing procedures to sparsify a network, e.g. weight pruning and quantisation [1] by subselecting only a portion of the weight matrix active for that input.

## Edge of chaos (EoC) analysis

A feed-forward neural network could be expressed as the output of the  $\ell$ -th function in the function sequence  $\mathbf{h}^\ell(\mathbf{x})$ , where

$$h_j^\ell(\mathbf{x}) = \sum_{i=1}^{N_\ell} W_{ij}^\ell \phi(h_i^{\ell-1}(\mathbf{x})) + b_j^\ell. \quad (1)$$

Assume the weights  $W_{ij}^\ell$  are i.i.d.  $N(0, \sigma_w^2)$ , and the biases  $b_j^\ell$  are i.i.d.  $N(0, \sigma_b^2)$ . As  $N_\ell \rightarrow +\infty$ , the random functions  $\mathbf{h}^\ell$  converge to a Gaussian process with variance  $q^\ell := \text{Var}(h_i^\ell)$  that satisfies the recursion  $q^{\ell+1} = V_\phi(q^\ell)$  [2], where:

$$V_\phi(q^\ell) := \sigma_b^2 + \sigma_w^2 \int (\phi(\sqrt{q^\ell} z))^2 \gamma(dz) \quad (2)$$

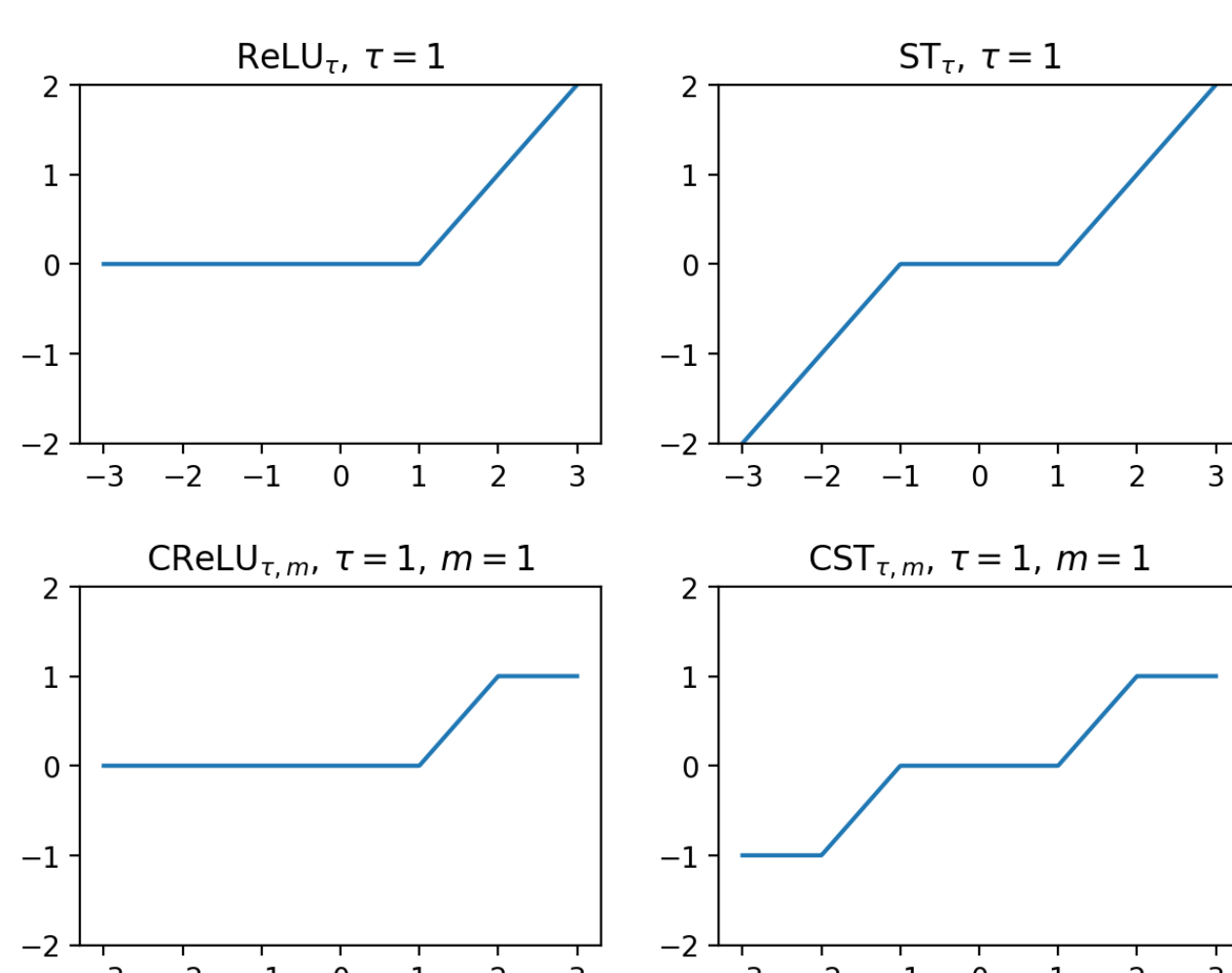
Here  $\gamma$  is the standard Gaussian measure. Assume  $q^\ell \equiv q^*$  remain constant. Then the correlation of the Gaussian processes  $c_{12}^\ell := \text{corr}(h_i^\ell(\mathbf{x}), h_i^\ell(\mathbf{x}'))$  could also be defined recursively. The sequence of correlations does not converge to the trivial limits (0 or 1) when  $\sigma_w^2$  is chosen at the “edge of chaos” (EoC), such that:

$$\chi_1 = \sigma_w^2 \int [\phi'(\sqrt{q^*} z)]^2 \gamma(dz) = 1. \quad (3)$$

Such initialisation mitigates the problem of vanishing/exploding gradients as well. [3, 4]

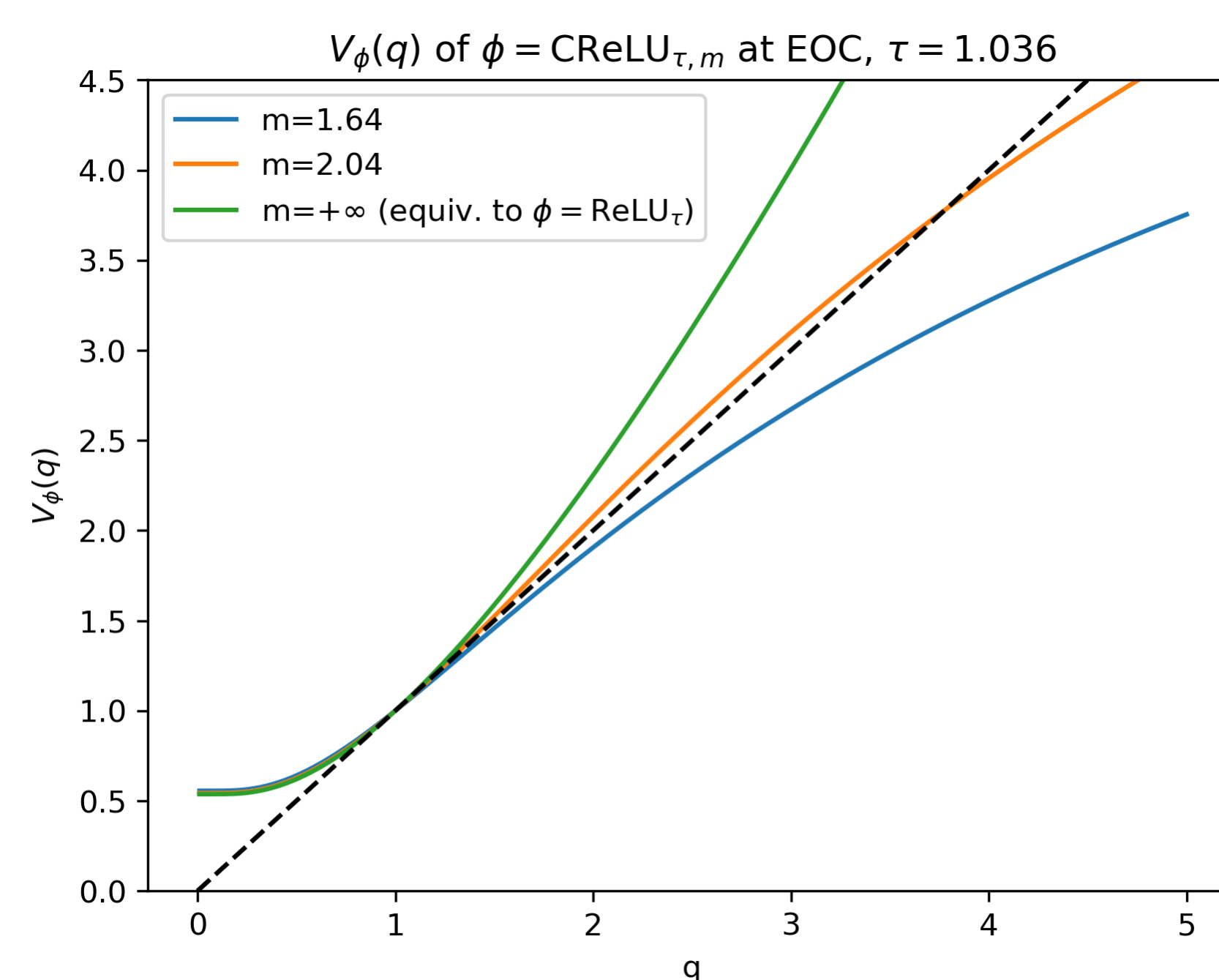
## Sparsifying Activation Functions

We choose the functions that are commonly used for signal denoising and compress sensing [5, 6].



## Why clipping?

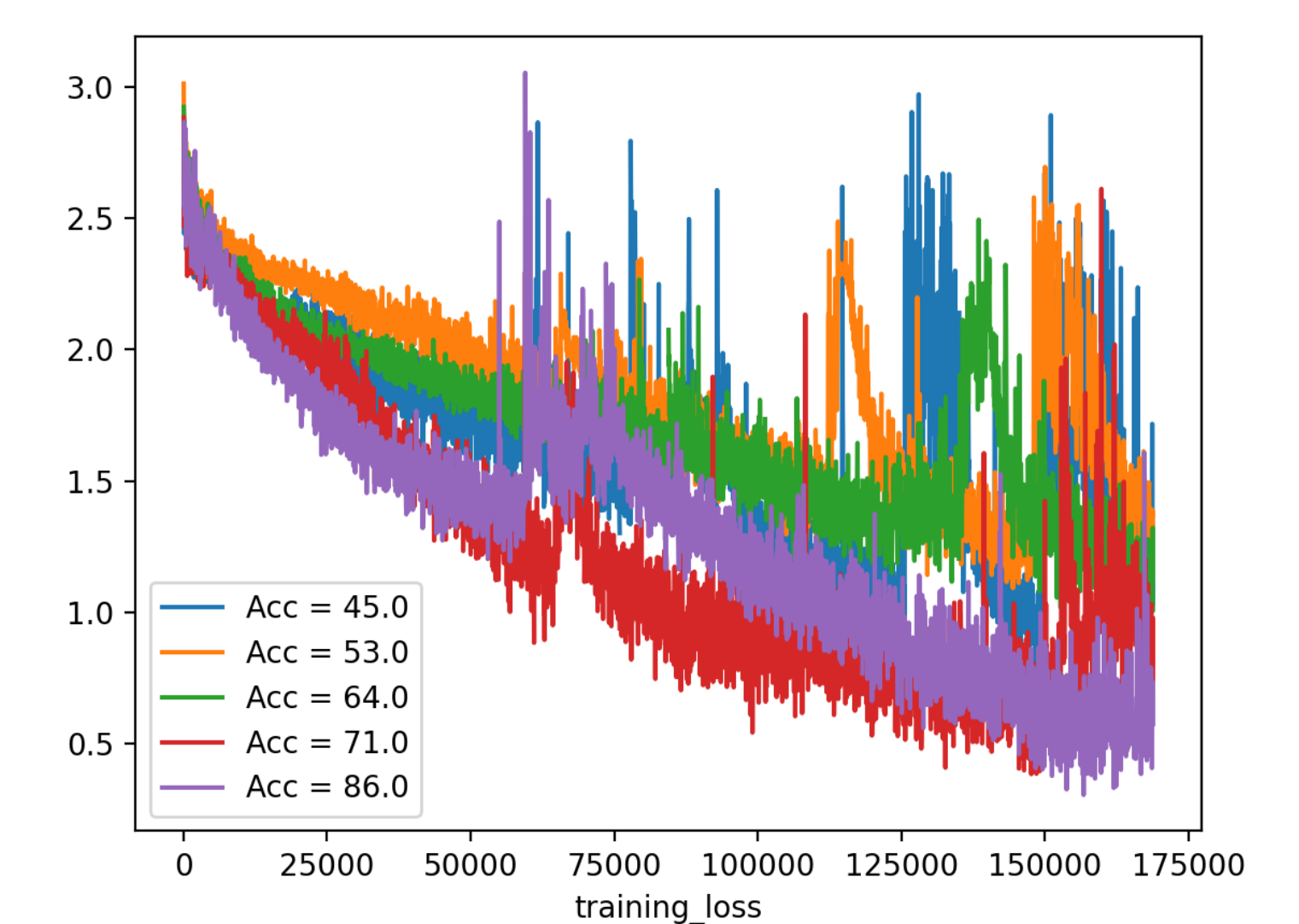
If a feed-forward neural network with  $\phi = \text{ReLU}_\tau$  or  $\text{ST}_\tau$  activation functions are initialised at the EoC, then the map  $V_\phi(q)$  has a half-stable fixed point  $q^*$ , making the assumption of  $q^\ell \equiv q^*$  being impractical to achieve. Using a clipped version of the above activation functions, i.e.  $\text{CReLU}_{\tau, m}$  or  $\text{CST}_{\tau, m}$  could stabilise the fixed point.



## Failure Modes

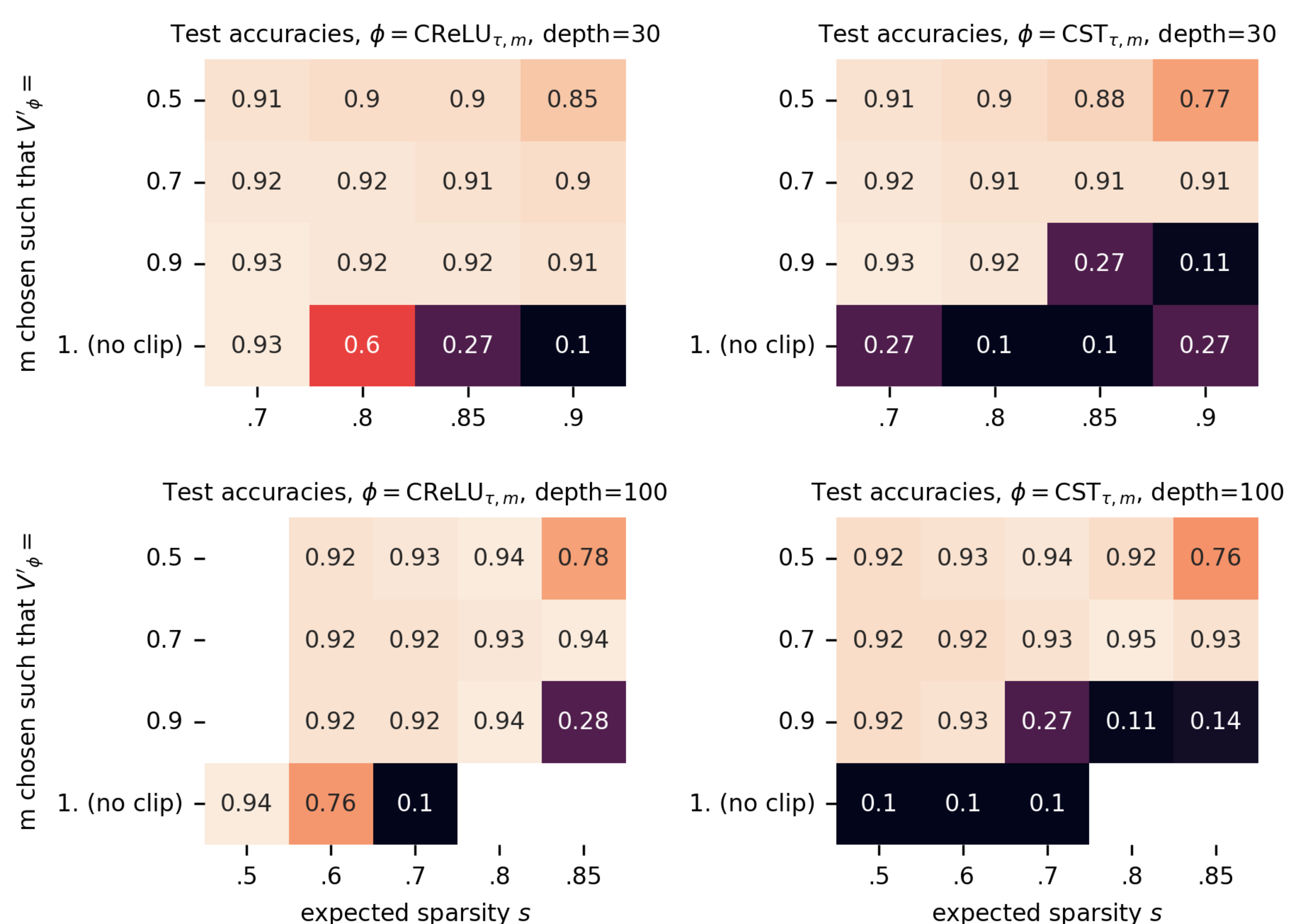
We identify two modes of failures to train a sparse neural network:

- The map  $V_\phi(q)$  exhibits more than one fixed points when  $m$  is large, so it becomes difficult to initialise at the EoC. **This is captured by the usual vanishing/exploding of gradients.**
- The network is too sparse, so training becomes **unstable** even for small  $m$ .



## Accuracies could still be retained on sparse networks

Sparse feed-forward neural networks with widths 300 and depths 30 or 100 are trained to classify the digits in the MNIST dataset. The experiments are repeated five times. We report here the mean of the test accuracies.



## References

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