# CPSC-402 Report Compiler Construction

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### Abstract

The purpose of this paper is to demonstrate my knowledge the compiler which sits behind high level code. Proper knowledge of assembly code can, in many cases lead to extreme improvements in efficiency. It is also possible that some tasks can only be completed when implemented in assembly code.

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### 1 Introduction

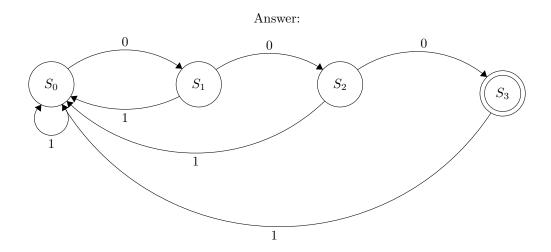
### 1.1 General Remarks

Compiler construction is an interesting course which I have enjoyed. I have found some of the assignments to be very difficult, however, after completion I have gained insight into creating more efficient code. The following report contains examples of my work throughout the year.

# 2 Homework

### 2.1 Homework 1

**Exercise 2.2.4** Give DFA's accepting the following languages over the alphabet 0, 1: a) The set of all strings ending in 000



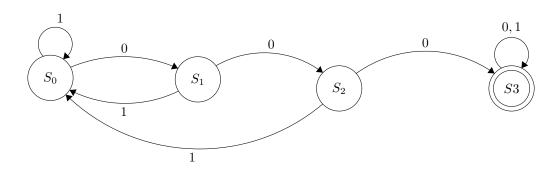
b) The set of all strings with three consecutive 0's (not necessarily at the end):

Answer:

This language is described as follows:

$$L = \{w\epsilon\{0,1\}^* | w = x000y, x, y\epsilon\{0,1\}^*\}$$

A DFA for this language can be seen here:



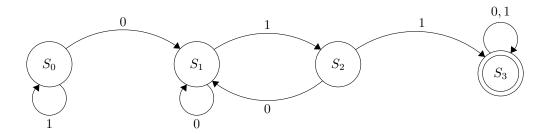
c) The set of strings with 011 as a substring.

Answer:

This language is described as follows:

$$L = \{w\epsilon\{0,1\}^* | w = x0y1z1u, x, yz, u\epsilon\{0,1\}^*\}$$

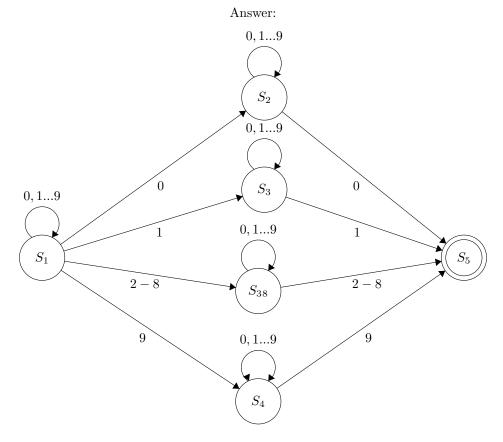
A DFA for this language can be seen here:



# 2.2 Homework 2 with Regular Expressions

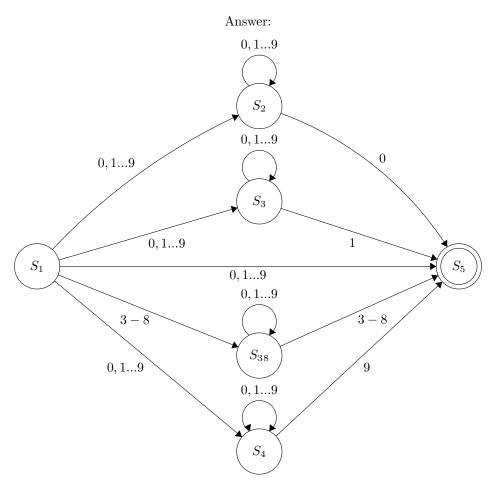
### Exercise 2.3.4

a) The set of strings over alphabet 0,1...9 such that the final digit has appeared before.



Regular Expressions:

- ${0+...9}*(0{0+...9}*0+...9)$ 
  - b) The set of strings over alphabet 0,1...9 the final digit has not appeared before.



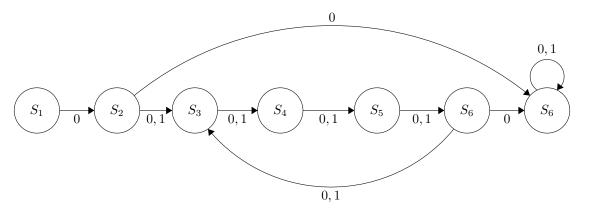
Definitions:

$$\begin{split} \sigma_0 &= 1+2+3+4+5+6+7+8+9 \\ \sigma_1 &= 0+2+3+4+5+6+7+8+9 \end{split}$$

First answer:  $\{0 + ...9\}^* (0\{0 + ...9\}0 + ...)$ Final Answer:  $\sigma^*0 + \sigma^*1 + \sigma^*2 + \sigma^*3...\sigma^*9$ 

c) The set of strings of 0 and 1's such that there are two 0s separated by a number of positions that is a multiple of 4 Note that 0 is an allowable multiple of 4.

Answer:

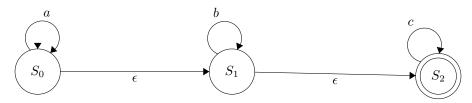


Regular Expressions: (0+1)\*0((0+1)(0+1)(0+1)(0+1))\*(0+1)\*

### Exercise 2.5.3

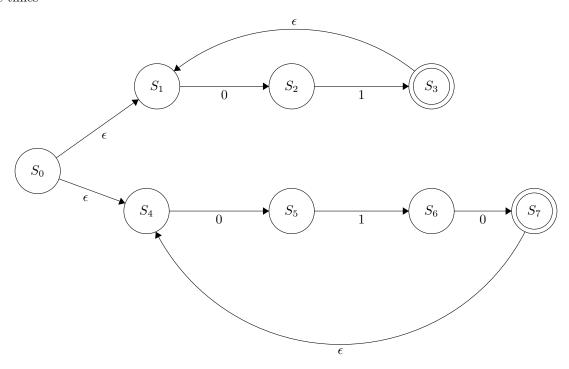
a) The set of strings consisting of zero or more a's followed by zero or more b's followed by 0 or more c's

### Answer:



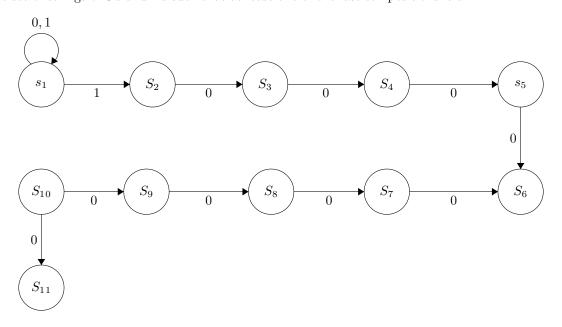
Regular Expressions: a\* b\* c\*

b) The set of strings that consist of either 01 repeated one or 010 repeated more times or repeated one or more times



Regular Expressions:  $01(01)^* + 010(010)^*$ 

c) The set of strings of 0's and 1's such that at least one of the last ten positions is a 1.



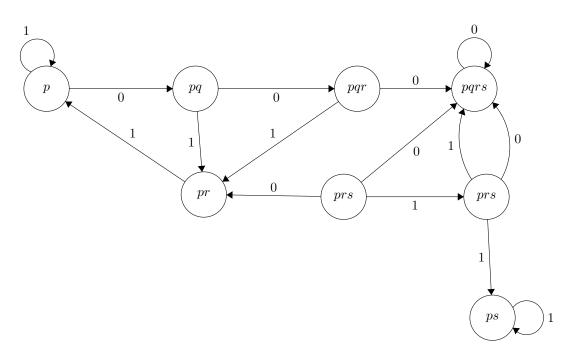
Helper definition:  $0^n = 000...0$  (n zeros)

Regular Expression:

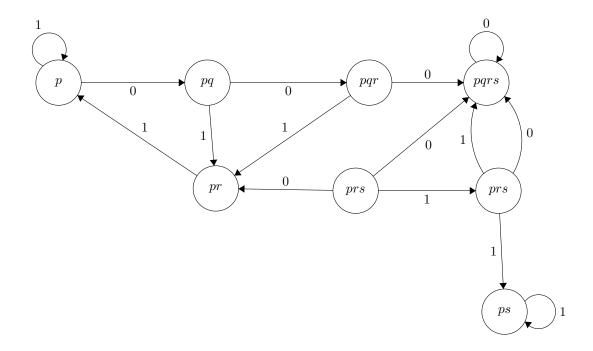
$$1 + 10 + 100 + 10^3 + 10^4 + 10^5 + 10^6 + 10^7 + 10^8 + 10^9$$

# 2.3 Homework 3: Converting NFA's to DFA's

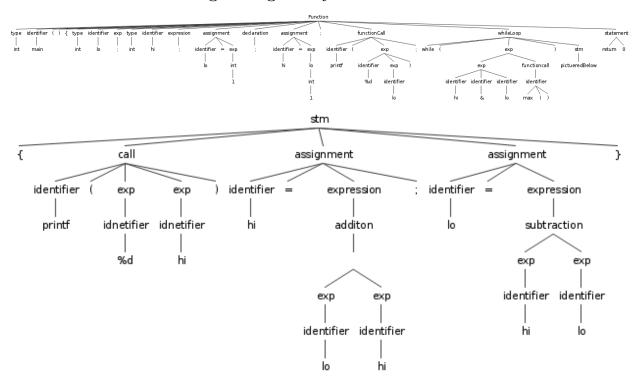
### 2.3.1



2.3.2



# 2.4 Homework 4: Parsing a Program by Hand



### 2.5 Homework 5: Proof Tree

```
G_2

G_1.[] ===> y++ +++y

G.[] ===> z DA <2, G.[]> G_1.[] ===> false && x; ===>y+++++y

G.[] ===>x=2; DA <2, [x:=2].[]> G_n.[] ===>bool x = false && x; ===> y = y+++++y; G_1=G_2

G.[] ===>x=2; bool x = false && x; y = y++ +++y;} ===> y=y+++++y ===>x=y;

[x:= T] ===> { x = 2; bool x = false && x; y = y+++++y; } x = y;

===> int x; { x = 2; bool x = false && x; y = y+++++y; } x = y;
```

# 3 Project

For my project I am choosing to compile C++ into assembly code using the gcc compiler. In order to accurately demonstrate some of the functionality of gcc, it is important to touch on some concepts. The following are components of compilation which I will speak about: function call, variable declaration, conditionals, and some basic computations. I will be working my way towards a program in c++ which will calculate whether or not a number is a palindrome.

In order to get started it is important to figure out what is most basic and essential to a programming language. Most programming languages allow for the declaration of scoped or global variables. The palindrome program is no exception. What can be seen in the code below is four declarations of integers located inside a main.

```
int main()
{
   int n, num, digit, rev = 0;
}
return 0
```

The corresponding assembly code can be seen here:

```
main:

push rbp
mov rbp, rsp
mov DWORD PTR [rbp-4], 0
mov eax, 0
pop rbp
ret
```

The functions push and mov are called at the beginning of a new context or scope. The push function pushes to the stack in memory and is called on the base pointer of the context in this case. The mov instruction copies data from the second argument into the first argument, which in this case is now the stack pointer.

In contrast, the functions pop and ret are called at the end of every context. In this case the pop function pops the first item from the stack while the ret function clears the information regarding the stack located in system hardware. From this, you can conclude that there are two arguments which correspond to assigning the four variables.

It is important to note that the push function decrements the stack pointer register by 4, then places its second argument into the 32 bit location of the first argument. As a result, the function call mov DWORD PTR [rbp-4], 0 will yield the the 32-bit integer representation of 0 being moved into the 4 bytes starting at the address in rbp-4. The line: mov eax, [0] results in the movement of the 4 bytes in memory at the address contained in 0 into EAX.

### 3.1 Do While Loop

In this variation of the code, I will explore how the gcc compiler deals with Do while loops. In addition the code below exemplifies the difference between inline declaration and declarations on multiple lines. For expressions of the same type that are declared on there own line, Assembly code will individually call the mov DWORD PTR call to a separate location. This is why it is more efficient in most cases to use inline declaration. The following code is an example of a do while loop in c++:

```
int main()
{
    int n, num, digit, rev = 1;
    int x = 5;
    do
    {
        num = num + 3;
    } while (num != 1000);
    return 0;
}
```

The corresponding assembly code can be seen below:

```
main:
               rbp
       push
               rbp, rsp
       mov
               DWORD PTR [rbp-8], 1
       mov
               DWORD PTR [rbp-12], 5
       mov
.L2:
       add
               DWORD PTR [rbp-4], 3
               DWORD PTR [rbp-4], 1000
       cmp
               .L2
       jne
               eax, 0
       mov
       pop
               rbp
       ret
```

From the code above you can see that a new context is created for the do while loop. In line two, you can see that DWORD PTR [rbp-4], which refers to the value num is incremented by 3 using the add command. An interesting discovery I made is that you can find information about the exact location of each variable by compiling with different values in place of num. This provides in site into how the DWORD PTR command works.

After the add command is ran, the cmp command is used to compare equality between num and the value 1000. If the result is not equal, the subsequent line jne will trigger a jump back to the beginning of the do loop. If the values are equal, it will then exit the loop. The last three command mov, pop rbp, and ret are used to clear the context and maintain scope.

### 3.2 if else

The if else statement is central to almost any coding language. Because of this, it makes sense to try to understand what is occurring at the assembly level code. The following is a simple example of an if else statement as well as the corresponding assembly code.

```
int main()
{
    int n, num, digit, rev = 0;

    if (n == rev)
        num++;
    else
        digit++;
    return 0;
}
```

The corresponding assembly code:

```
main:
       push
              rbp
              rbp, rsp
      mov
              DWORD PTR [rbp-4], 0
      mov
              eax, DWORD PTR [rbp-8] \n is at location rbp-12
      mov
              eax, DWORD PTR [rbp-4] \\eax corresponds to n
       cmp
       jne
              DWORD PTR [rbp-16], 1 \\num is at location rbp16
       add
              .L3
       jmp
.L2:
              DWORD PTR [rbp-12], 1 \\digit is at location rbp-12
       add
.L3:
```

```
mov eax, 0 pop rbp ret
```

In this assembly code, there are three contexts to examine. First, the context labled .L3 deals with the return statement. .L3 is only called after the else clause. Context .L2 corresponds to the line where digit is post incremented. The if clause is evaluated for equality on lines 5 and 6 with the cmp and jne command respectively. If the jump is not executed, the add statement is executed. The add statement corresponds to the evaluation of the else clause. Utilizing this method, the gcc compiler is able to generate assembly code which function's properly. Because compilation works by recursion over abstract syntax, the compiler must be clever when computing math with re-declared variables. This can be seen in the following section.

### 3.3 Basic Computations

In C++ there are only 5 lines of simple code to understand. In assembly the code is considerably more complicated. Because of this it is useful to break the code into each computation. The following section will refer to this block of code broken down:

```
int main()
{
   int n, num, digit, rev = 0;
   digit = num % 10;
   rev = (rev * 10) + digit;
   num = num / 10;
   return 0;
}
```

### 3.3.1 Modulo

The following code is what is generated as a result of the second line of code in the section above. This single line of code in C++ results in a complicated set of movements for assembly code. The following code exemplifies how assembly deals with the modulo operation successfully.

```
edx, DWORD PTR [rbp-8]
mov
movsx
       rax, edx
                             \\rax contains num
                  1717986919 \\rax=rax*1717986919=8589934595 (all ones in binary)
imul
       rax, rax,
shr
       rax, 32
                      \\unsigned divide
mov
       ecx, eax
sar
       ecx, 2
                      \\signed divide
       eax, edx
                  \\edx is still num which is 0
mov
       eax. 31
                   \\signed divide
sar
       ecx, eax
sub
mov
       eax, ecx
       eax, 2 \\multiplies
sal
add
       eax, ecx
add
       eax, eax
sub
       edx, eax
       DWORD PTR [rbp-12], edx
mov
```

The algorithm used for modulo is a bit complicated. First, the value num is placed into the register rax. Then, imul is called with three arguments resulting in the multiplication of the second and third arguments. The result of imul is then stored in the first argument. The shr will shift the rax register by 32 bits. The

operation sar then acts as division in this case. The SAR command is then called with a value of 31. The value of the following is subtracted from ecx and stored in eax. the sal command is the called on eax with a value of 2. The values of ecx and eax are added and stored in eax. The desired result is then acquired by subtracting eax from edx and storing the final result in edx.

The key to understanding how this functions is by understanding the relationship between sar, sal and shr. The command sal shifts a value left based on the second input. A left shift in binary is similar to multiplication by power of 2. In contrast the sar and shr commands act as a right shifts. The shift proportionally to the second operand. A left shift will result in division by a power of 2.

By utilizing this algorithm, the assembly code can successfully calculate modulo and division.

### 3.3.2 Multiplication

The following line requires a variable be re declared, as well as two variable being added. While this may be easy in C++, it takes considerably more effort in assembly.

```
rev = (rev * 10) + digit;
```

The corresponding assembly code can be seen here:

```
edx, DWORD PTR [rbp-4]
mov
              eax, edx
       mov
              eax, 2
       sal
       add
              eax, edx
       add
              eax, eax
      mov
              edx, eax
              eax, DWORD PTR [rbp-12]
      mov
              eax, edx
       add
              DWORD PTR [rbp-4], eax
      mov
```

The value of rev is obtained from DWORD PTR [rbp-4] with the mov function call. The next three lines are the main algorithm which accomplishes our desired computation. The sal instruction shift the bits in the eax register left. The second argument of sal refers to the number of bits to shift. The next two lines shift the bits by a value of two in eax, aswell as add eax to our original value and itself. This results in successful computation of rev \* 10. The last three lines move registers and add digit to the previously calculated informant. the result is stored at DWORD PTR [rbp-4].

#### 3.3.3 Division

The following code is a result of the simple division call made just before the return statement. The C++ code can be seen here:

```
num = num / 10;
```

The corresponding assembly code:

```
eax, DWORD PTR [rbp-8]
       rdx, eax
                     \\rdx contains num
imul
       rdx, rdx, 1717986919 \\similar pattern as modulo
       rdx, 32
shr
mov
       ecx, edx
       ecx, 2
sar
cdq
             \\doubles the size of eax
       eax, ecx
mov
sub
       eax. edx
       DWORD PTR [rbp-8], eax
mov
```

The division operator uses a similar algorithm to that of the modulo. The 3 function calls shr, mov and sar are called in a similar way to modulo. Interestingly, the command cdq is called after. The function call cdq can be used to produce a quadword dividend from a doubleword before doubleword division. In this case, it doubles the size of eax. The last three lines of assembly are also comparable to those in the modulo. The final result is stored in the original location as this is a re-declaration of num.

Combining the features previously discussed will result in assembly that is essentially a combination of the previous functions. With the capabilities discussed so far, one can created many basic functions of a programming language. With that in mind, in order to have a fully operable palindrome.cc, some other basic functions must be discussed. These functions will allow for printing and important statements as well as some other utilities. Below is the full palindrom.cc program along with the corresponding Assembly level code. The full assembly code is available on bottom of this page:

#### Palindrome.cc

```
#include <iostream>
using namespace std;
int main()
{
     int n, num, digit, rev = 0;
    cout << "Enter a positive number: ";</pre>
    cin >> num;
    n = num;
    do
     {
        digit = num % 10;
        rev = (rev * 10) + digit;
        num = num / 10;
    } while (num != 0);
     cout << " The reverse of the number is: " << rev << endl;</pre>
     if (n == rev)
         cout << " The number is a palindrome.";</pre>
        cout << " The number is not a palindrome.";</pre>
   return 0:
}
```

One unique feature of this assembly code is that the strings are each declared in separate labels at the top of the file. This allows them to be referred to later. This can be seen in this section of the code:

```
LCO:
    .string "Enter a positive number: "
.LC1:
    .string " The reverse of the number is: "
.LC2:
    .string " The number is a palindrome."
.LC3:
    .string " The number is not a palindrome."
```

A second feature which needs to be discussed is the use of the print statement. The first print statement corresponds to the following three lines of assembly code:

```
mov esi, OFFSET FLAT:.LCO
mov edi, OFFSET FLAT:_ZSt4cout
```

In the first mov statement, the string stored at label .LC0 is stored into register esi. The second mov statement corresponds to utilities that are needed before a function call. Lastly, The call instruction is used to call a function. The call instruction pushes the address immediately after itself on the stack. This will allow the computer to print the string stored at .LC0 while not loosing its place on the stack. The program palindrome.cc produces the following assembly code:

### Full Assembly Code:

```
LCO:
       .string "Enter a positive number: "
.LC1:
       .string " The reverse of the number is: "
.LC2:
       .string " The number is a palindrome."
.LC3:
       .string " The number is not a palindrome."
main:
               rbp
       push
       mov
               rbp, rsp
       sub
               rsp, 16
               DWORD PTR [rbp-4], 0
       mov
       mov
               esi, OFFSET FLAT:.LCO
               edi, OFFSET FLAT:_ZSt4cout
       mov
               std::basic_ostream<char, std::char_traits<char> >& std::operator<<
       call
            <std::char_traits<char> >(std::basic_ostream<char, std::char_traits<char> >&, char
            const*)
       lea
              rax, [rbp-16]
               rsi, rax
       mov
               edi, OFFSET FLAT:_ZSt3cin
       mov
               std::basic_istream<char, std::char_traits<char> >::operator>>(int&)
       call
               eax, DWORD PTR [rbp-16]
       mov
               DWORD PTR [rbp-8], eax
       mov
.L2:
       mov
               edx, DWORD PTR [rbp-16]
       movsx
              rax, edx
       imul
               rax, rax, 1717986919
               rax, 32
       shr
               eax, 2
       sar
       mov
               ecx, edx
               ecx, 31
       sar
               eax, ecx
       sub
               DWORD PTR [rbp-12], eax
       mov
               ecx, DWORD PTR [rbp-12]
       mov
               eax, ecx
       mov
               eax, 2
       sal
       add
               eax, ecx
       add
               eax, eax
       sub
               edx, eax
               DWORD PTR [rbp-12], edx
       mov
               edx, DWORD PTR [rbp-4]
       mov
               eax, edx
       mov
```

```
sal
              eax, 2
       add
              eax, edx
       add
              eax, eax
              edx, eax
      mov
              eax, DWORD PTR [rbp-12]
      mov
              eax, edx
       add
      mov
              DWORD PTR [rbp-4], eax
      mov
              eax, DWORD PTR [rbp-16]
      movsx rdx, eax
             rdx, rdx, 1717986919
       imul
              rdx, 32
       shr
      mov
              ecx, edx
              ecx, 2
       sar
       cdq
      mov
              eax, ecx
       sub
              eax, edx
              DWORD PTR [rbp-16], eax
      mov
              eax, DWORD PTR [rbp-16]
       mov
             eax, eax
       test
       jne
              .L2
      mov
              esi, OFFSET FLAT:.LC1
              edi, OFFSET FLAT:_ZSt4cout
      mov
              std::basic_ostream<char, std::char_traits<char> >& std::operator<<
           <std::char_traits<char> >(std::basic_ostream<char, std::char_traits<char> >&, char
           const*)
              rdx, rax
      mov
              eax, DWORD PTR [rbp-4]
      {\tt mov}
              esi, eax
      mov
             rdi, rdx
      mov
              std::basic_ostream<char, std::char_traits<char> >::operator<<(int)</pre>
       call
              esi, OFFSET FLAT:_ZSt4endlIcSt11char_traitsIcEERSt13basic_ostreamIT_T0_ES6_
      mov
              std::basic_ostream<char, std::char_traits<char>
           >::operator<<(std::basic_ostream<char, std::char_traits<char> >&
           (*)(std::basic_ostream<char, std::char_traits<char> >&))
              eax, DWORD PTR [rbp-8]
      mov
              eax, DWORD PTR [rbp-4]
       cmp
       jne
              .L3
              esi, OFFSET FLAT:.LC2
              edi, OFFSET FLAT:_ZSt4cout
       call
              std::basic_ostream<char, std::char_traits<char> >& std::operator<<
           <std::char_traits<char> >(std::basic_ostream<char, std::char_traits<char> >&, char
           const*)
       jmp
              .L4
.L3:
              esi, OFFSET FLAT:.LC3
              edi, OFFSET FLAT:_ZSt4cout
              std::basic_ostream<char, std::char_traits<char> >& std::operator<<
           <std::char_traits<char> >(std::basic_ostream<char, std::char_traits<char> >&, char
           const*)
.L4:
      mov
              eax, 0
      leave
      ret
            -----Generated by Import Statements-----
__static_initialization_and_destruction_0(int, int):
```

```
push
              rbp
       mov
              rbp, rsp
              rsp, 16
       sub
              DWORD PTR [rbp-4], edi
       mov
              DWORD PTR [rbp-8], esi
       mov
              DWORD PTR [rbp-4], 1
       cmp
       jne
              DWORD PTR [rbp-8], 65535
       cmp
               .L8
       jne
              edi, OFFSET FLAT: ZStL8_ioinit
       mov
              std::ios_base::Init::Init() [complete object constructor]
       call
              edx, OFFSET FLAT:__dso_handle
              esi, OFFSET FLAT: ZStL8_ioinit
              edi, OFFSET FLAT: _ZNSt8ios_base4InitD1Ev
       mov
       call
              __cxa_atexit
.L8:
       nop
       leave
       ret
_GLOBAL__sub_I_main:
       push
              rbp
              rbp, rsp
       mov
              esi, 65535
       mov
              edi, 1
       mov
              __static_initialization_and_destruction_0(int, int)
       call
       pop
       ret
```

Lastly, the code generated below the green dashed comment is what is generated by the two import statements. The code consists of several labels which serve as utilities for basics functionality of those libraries.

### 4 Conclusions

If there is one idea to take away from this course it is to be thankful that there are compilers which do much of the complicated pattern matching work for the user. It is interesting to look back on programming languages like python and see how intuitive they are. All languages must be compiled down to assembly and down to bite code. When attempting to figure out assembly code, it was important to edit the source code down to more reasonable sub functions, with that in mind there are some common patterns in Assembly code which are somewhat intuitive.

### References

[HMU] John E. Hopcroft, Rajeev Motwani, Jeffrey D. Ullman: Introduction to automata theory, languages, and computation, 3rd Edition. Pearson international edition, Addison-Wesley 2007

```
[oracle] oracle link
[aldeid] aldeid
```