

## Induction and recursion

**Principle 8.1.1** Let  $m \in \mathbb{Z}$ . To prove that  $\forall n \in \mathbb{Z}_{\geq m} P(n)$  is true, where  $P(n)$  is a proposition, then it suffices to:

**(base step)** show that  $P(m)$  is true; and

**(induction step)** show that  $\forall k \in \mathbb{Z}_{\geq m}, P(k) \Rightarrow P(k+1)$ .

**Principle 8.2.1** Let  $m \in \mathbb{Z}$ . To prove that  $\forall n \in \mathbb{Z}_{\geq m} P(n)$  is true, where  $P(n)$  is a proposition, then it suffices to choose some  $l \in \mathbb{Z}_{\geq 0}$  and:

**(base step)** show that  $P(m), P(m+1), \dots, P(m+l-1)$  is true; and

**(induction step)** show that  $\forall k \in \mathbb{Z}_{\geq 0}$ ,

$$P(m) \wedge P(m+1) \wedge \dots \wedge P(m+l-1+k) \Rightarrow P(m+l+k)$$

is true.

**Theorem 8.2.10** (Well-Ordering Principle). Every non-empty subset of  $\mathbb{Z}_{\geq m}$ , where  $m \in \mathbb{Z}$ , has a smallest element.

**Rough idea 8.4.5** A recursive definition of a set  $S$  consists of three types of clauses.

**(base clause)** Specify that certain elements, called founders, are in  $S$ : if  $c$  is a founder, then  $c \in S$ .

**(recursion clause)** Specify certain functions, called constructors, under which the set  $S$  is closed: if  $f$  is a constructor and  $x \in S$ , then  $f(x) \in S$ .

**(minimality clause)** Membership for  $S$  can always be demonstrated by (finitely many) successive applications of the clauses above.

**Rough idea 8.4.6** (structural induction). Let  $S$  be a recursively defined set. To prove that  $\forall x \in S P(x)$  is true, where  $P(x)$  is a proposition, it suffices to:

**(base step)** show that  $P(c)$  is true for every founder  $c$ ; and

**(induction step)** show that  $\forall x \in S, P(x) \Rightarrow P(f(x))$  is true for every constructor  $f$ .

## Functions

**Definition 7.2.1** Let  $A, B$  be sets. A function from  $A$  to  $B$  is an assignment to each element of  $A$  exactly one element of  $B$ . Suppose  $f : A \rightarrow B$ .

1. Let  $x \in A$ . Then  $f(x)$  denotes the element of  $B$  that  $f$  assigns  $x$  to.  $f(x)$  is the image of  $x$  under  $f$ .
2.  $A$  is the domain of  $f$ , and  $B$  is the codomain of  $f$ .

**Definition 9.1.3** Let  $A$  be a set. A string or word over  $A$  is an expression of the form

$$a_0 a_1 \dots a_{l-1}$$

where  $l \in \mathbb{Z}_{\geq 0}$  and  $a_0, a_1, \dots, a_{l-1} \in A$ .  $l$  is the length of the string.  $A^*$  is the set of all strings over  $A$ . The empty string, denoted  $\varepsilon$  is the string of length 0.

**Definition 9.1.6** Two functions  $f : A \rightarrow B$  and  $g : C \rightarrow D$  are equal if

1.  $A = C$  and  $B = D$ ; and
2.  $f(x) = g(x)$  for all  $x \in A$ .

**Definition 9.3.1** Let  $f : A \rightarrow B$ .

1. If  $X \subseteq A$ , then  $f(X) = \{f(x) : x \in X\}$
2. If  $Y \subseteq B$ , then  $f^{-1}(Y) = \{x \in A : f(x) \in Y\}$

$f(X)$  is the setwise image of  $X$ .  $f^{-1}(Y)$  is the setwise preimage of  $Y$  under  $f$ .

**Definition 9.3.6** Let  $f : A \rightarrow B$ .

1.  $f$  is surjective or onto if

$$\forall y \in B \quad \exists x \in A \quad (y = f(x))$$

2.  $f$  is injective or one-to-one if

$$\forall x_1, x_2 \in A \quad (f(x_1) = f(x_2) \Rightarrow x_1 = x_2)$$

3.  $f$  is bijective if it is both surjective and injective, i.e.,

$$\forall y \in B \quad \exists! x \in A \quad (y = f(x))$$

**Proposition 9.3.17** (uniqueness of inverses). If  $g_1, g_2$  are inverses of  $f : A \rightarrow B$ , then  $g_1 = g_2$ .

**Theorem 9.3.19** A function  $f : A \rightarrow B$  is bijective if and only if it has an inverse.

**Remark**  $(g \circ h)^{-1} = h^{-1} \circ g^{-1}$

## Cardinality

**Theorem 10.1.1** (Pigeonhole Principle). Let  $A$  and  $B$  be finite sets. If there is an injection  $f : A \rightarrow B$ , then  $|A| \leq |B|$ .

**Theorem 10.1.2** (Dual Pigeonhole Principle). Let  $A$  and  $B$  be finite sets. If there is a surjection  $f : A \rightarrow B$ , then  $|A| \geq |B|$ .

**Theorem 10.1.3** Let  $A$  and  $B$  be finite sets. Then there is a bijection  $A \rightarrow B$  if and only if  $|A| = |B|$ .

**Definition 10.2.1** (Cantor). A set  $A$  is said to have the same cardinality as a set  $B$  if there is a bijection  $A \rightarrow B$ . In this case, we write  $|A| = |B|$ .

## Countability

**Definition 10.3.1** (Cantor). A set is countable if it is finite, or it has the same cardinality as  $\mathbb{Z}_{\geq 0}$ .

**Note 10.3.4** An infinite set  $B$  is countable if and only if there is a sequence  $b_0, b_1, b_2, \dots \in B$  in which every element of  $B$  appears exactly once.

**Lemma 10.3.5** An infinite set  $B$  is countable if and only if there is a sequence  $c_0, c_1, c_2, \dots \in B$  in which every element of  $B$  appears. There could be repeats, there could be elements not in  $B$ .

**Proposition 10.3.6** Any subset  $A$  of a countable set  $B$  is countable.

**Proposition 10.3.7** Every infinite set  $B$  has a countable infinite subset.

**Proposition 10.4.1** Let  $A, B$  be countable infinite sets. Then  $A \cup B$  is countable.

**Theorem 10.4.2**  $\mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0}$  is countable.

**Theorem 10.4.3** (Cantor 1891). Let  $A$  be a countable infinite set. Then  $\mathcal{P}(A)$  is not countable.

**Remark** Removing finitely many elements from an infinite set still leaves an infinite set.

## Counting

**Theorem 9.1.1** If  $m$  and  $n$  are integers and  $m \leq n$ , then there are  $n - m + 1$  integers from  $m$  to  $n$  inclusive.

**Theorem 9.2.3** If  $n$  and  $r$  are integers and  $1 \leq r \leq n$ , then the number of  $r$ -permutations of a set with  $n$  elements is given by

$$P(n, r) = n(n-1) \dots (n-r+1) = \frac{n!}{(n-r)!}$$

**Theorem 9.3.1** Suppose a finite set  $A$  equals the union of  $k$  distinct mutually disjoint subsets  $A_1, A_2, \dots, A_k$ . Then

$$|A| = |A_1| + |A_2| + \dots + |A_k|$$

**Theorem 9.3.2** If  $A$  is a finite set and  $B \subseteq A$ , then

$$|A \setminus B| = |A| - |B|$$

**Theorem 9.3.3** If  $A, B, C$  are finite sets, then

$$|A \cup B| = |A| + |B| - |A \cap B|$$

and

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

**Pigeonhole Principle** A function from one finite set to a smaller finite set cannot be one-to-one: There must be at least 2 elements in the domain that have the same image in the co-domain.

**Generalized Pigeonhole Principle** For any function  $f$  from a finite set  $X$  with  $n$  elements to a finite set  $Y$  with  $m$  elements and for any positive integer  $k$ , if  $k < n/m$ , then there is some  $y \in Y$  such that  $y$  is the image of at least  $k + 1$  distinct elements of  $X$ .

**Generalized Pigeonhole Principle (Contrapositive)** For any function  $f$  from a finite set  $X$  with  $n$  elements to a finite set  $Y$  with  $m$  elements and for any positive integer  $k$ , if for each  $y \in Y$ ,  $f^{-1}(y)$  has at most  $k$  elements, then  $X$  has at most  $km$  elements; in other words,  $n \leq km$ .

**Theorem 9.5.1** The number of subsets of size  $r$  (or  $r$ -combinations) that can be chosen from a set of  $n$  elements is given by

$$\binom{n}{r} = \frac{P(n, r)}{r!} = \frac{n!}{r!(n-r)!}$$

**Theorem 9.5.2** Suppose a collection consists of  $n$  objects of which  $n_i$  are of type  $i$ , and are indistinguishable from each other, for integers  $1 \leq i \leq k$ . Then the number of distinguishable permutations of the  $n$  objects is

$$\binom{n}{n_1} \binom{n-n_1}{n_2} \dots \binom{n-n_1-\dots-n_{k-1}}{n_k} = \frac{n!}{n_1!n_2!\dots n_k!}$$

**Theorem 9.6.1** The number of  $r$ -combination with repetition allowed (multisets of size  $r$ ) that can be selected from a set of  $n$  elements is:

$$\binom{r+n-1}{r}$$

**Theorem 9.7.1** Let  $n$  and  $r$  be positive integers,  $r \leq n$ . Then

$$\binom{n+1}{r} = \binom{n}{r-1} + \binom{n}{r}$$

**Theorem 9.7.2** Given any real numbers  $a$  and  $b$  and any non-negative integer  $n$ ,

$$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^{n-k} b^k$$

## Probability

**Probability Axioms** Let  $S$  be a sample space. A probability function  $P$  from the set of all events in  $S$  to the set of real numbers satisfies the following axioms: For all events  $A$  and  $B$  in  $S$ ,

1.  $0 \leq P(A) \leq 1$
2.  $P(\emptyset) = 0$  and  $P(S) = 1$
3. If  $A$  and  $B$  are disjoint, then  $P(A \cup B) = P(A) + P(B)$

**Probability of Complement** If  $A$  is any event in a sample space  $S$ , then  $P(A') = 1 - P(A)$ .

**Probability of Union** If  $A$  and  $B$  are any events in a sample space  $S$ , then  $P(A \cup B) = P(A) + P(B) - P(A \cap B)$ .

**Expected Value** Suppose the possible outcomes of an experiment, or random process, are real numbers  $a_1, a_2, \dots, a_n$ , which occur with probabilities  $p_1, p_2, \dots, p_n$ . The expected value of the process is

$$\sum_{k=1}^n a_k p_k = a_1 p_1 + a_2 p_2 + \dots + a_n p_n$$

**Linearity** For random variables  $X$  and  $Y$ , and for scalars  $a, b$ ,

$$E[aX + bY] = aE[X] + bE[Y]$$

**Conditional Prob.** Let  $A$  and  $B$  be events in a sample space  $S$ . If  $P(A) \neq 0$ , then the conditional probability of  $B$  given  $A$  is

$$P(B | A) = \frac{P(A \cap B)}{P(A)}$$

**Theorem 9.9.1** (Bayes' Theorem). Suppose that a sample space  $S$  is a union of mutually disjoint events  $B_1, B_2, \dots, B_n$ . Suppose  $A$  is an event in  $S$ , and suppose  $P(A), P(B_1), P(B_2), \dots, P(B_n)$  are all non-zero. If  $k$  is an integer with  $1 \leq k \leq n$ , then

$$P(B_k | A) = \frac{P(A | B_k) \cdot P(B_k)}{P(A | B_1) \cdot P(B_1) + \dots + P(A | B_n) \cdot P(B_n)}$$

**Independent Events** If  $A$  and  $B$  are events in a sample space  $S$ , then  $A$  and  $B$  are independent, if and only if,

$$P(A \cap B) = P(A) \cdot P(B)$$

**Pairwise and Mutual Independence** Let  $A, B$  and  $C$  be events in a sample space  $S$ .  $A, B$  and  $C$  are pairwise independent if and only if conditions 1-3 are fulfilled.  $A, B$  and  $C$  are mutually independent if and only if all conditions are fulfilled.

1.  $P(A \cap B) = P(A) \cdot P(B)$
2.  $P(A \cap C) = P(A) \cdot P(C)$
3.  $P(B \cap C) = P(B) \cdot P(C)$
4.  $P(A \cap B \cap C) = P(A) \cdot P(B) \cdot P(C)$

## Graphs (additional)

### Adjacency Matrix

1. Sum along row of adjacency matrix is the number of outgoing edges.
2. Sum along column of adjacency matrix is the number of incoming edges.

**Eulerian circuit** In the Eulerian circuit, each edge in the graph is taken once, but can revisit vertices.

**Hamiltonian circuit** In the Hamiltonian circuit, each vertex in the graph is visited once. Any complete graph with at least 2 vertices has a Hamiltonian circuit.

### Pre- and in-order

1. The leftmost element in the pre-order is the root, let it be  $V$ .
2. Find  $V$  in the in-order. Everything to the left of  $V$  will be in the left subtree of  $V$ , and vice versa for the right.

### In- and post-order

1. The rightmost element in the post-order is the root, let it be  $V$ .
2. Find  $V$  in the in-order. Everything to the left of  $V$  will be in the left subtree of  $V$ , and vice versa for the right.

### Pre- and post-order (Full Binary Tree only)

1. The leftmost element in the pre-order is the root, let it be  $V$ .
2. The second element is the left child of the root, let it be  $C$ .
3. Find  $C$  in the post-order. Everything to the left of  $C$  will be in the left subtree of  $V$ . Everything to the right of  $C$  is in the right subtree of  $V$ .

## Misc (unproved)

**Inverse Relation**  $R^{-1}$  is an equivalence relation if and only if  $R$  is an equivalence relation.

**Distributivity of  $\times$**  Set  $\times$  is distributive over  $\cap$  and  $\cup$ .

**Subset of partial order** A subset of a partial order is also anti-symmetric.