# DL project

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# 1 Explanation SL EA Tuning

#### 1.1 SLEA Structure Overview

The general structure of the Samuele Lippolis Evolutionary Algorithm (SLEA) tuning is given by the following schema:

- Initialization (generate  $\mu$  individuals)
- FA (how?)
- Repeat
  - Generate offsprings (build  $\lambda$  offsprings)
  - FA
  - Offsprings selection (select  $\mu$  individuals)
  - If FA  $\geq n$  fa-threshold, then return all individuals and end

## 1.2 Solution Encoding

An individual i belongs to  $i \in G \times S \times Q$ , where G is the space of the genotypes, S the space of the solutions, and Q the space of the quality (fitness) of the solution.

The spaces are defined as follows:

$$G = \mathbb{R} \times \mathbb{R}^k \times \mathbb{N} \times \mathbb{R} \times \mathbb{R}$$
$$S = \mathbb{N} \times \mathbb{N}^k \times \mathbb{N} \times \mathbb{R} \times \mathbb{R}$$

- The first component: the number of layers of the Neural Network (NN).
- The second component: the number of units in each layer.
- The third component: encoding of the activation function.
- The fourth component: learning rate.
- The fifth component: dropout rate.

#### 1.3 Fitness Assessment

The fitness assessment of the NN works as follows:

- Train
- Test
- Measure the test loss of each epoch
- Take the minimum of the test loss of the last k epochs or the average of the test loss of the last k epochs

## 1.4 Generate Offsprings

- Repeat  $\lambda/2$  times
  - Tournament selection (select 2 from the population)
  - Choose randomly between CX (which one?) or Mutation (which one?)
  - Perform CX or mutation

## 1.5 Offspring Selection

The offspring selection is a truncated selection on the offsprings ( $\lambda$  individuals) to generate the new population ( $\mu$  individuals).

#### 1.6 Evolutionary Operators

#### 1.6.1 Crossover

Crossover (CX) is applied only to genotypes that are real numbers. In this case, the CX operator is a k-linear recombination, meaning that a random number r is uniformly sampled from the interval (-k, 1+k). Then, the gene of the offspring is calculated as follows:

gene\_offspring = 
$$r \cdot \text{gene\_1} + (1 - r) \cdot \text{gene\_2}$$

#### 1.6.2 Mutation Operators on Different Genotype Types

There are different mutation operators depending on the genotype type.

- If the genotype is an integer, the mutation is:
  - With probability p
    - \* af\_new = random-choice(all the af)
- If the genotype is composed of real numbers, there are two functions: preprocess and gaussian-mutation.

- The preprocess function works as follows:
  - \* Find the maximum length across all genotypes.
  - \* For each gene position in a genotype:
    - · Compute the mean of all genes in that position across all genotypes:  $g_{-}$ mean<sup>(1)</sup>,  $g_{-}$ mean<sup>(2)</sup>,...
    - · Compute the maximum of all genes in that position across all genotypes:  $g_{-}\max^{(1)}, g_{-}\max^{(2)}, \dots$
- The gaussian-mutation works as follows:
  - $\ast\,$  For each gene j
    - · gene = gene +  $\mathcal{N}(0, g_{\text{-}}\text{mean}^{(j)}/10)$
    - $\cdot$  gene = bound-constraint(gene)