

Exception Handling

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Exception Handling

- Syntax Error → can't handle the error
- Name Error - name used before defined
- ZeroDivisionError - division by 0 is an expression
- IndexError - Invalid Indexing.

Handle an Exception Code

```

try:
    ...
    ... ← code where error may occur
    ...
except IndexError:
    ... ← Handle it
except (NameError, KeyError):
    ... ← Handle multiple exception types.
except:
    ... ← Handle all other exceptions
else:
    ... ← execute if try runs without error
  
```

~~do~~  
example:-

```
scores = {"a": [3, 22],  
         "b": [200, 3]}
```

at updating

if batter ~~[c]~~ exists.

```
scores[c].append(s)
```

if new batter

```
scores[c] = [s]
```

traditional way

```
if b in scores.keys():
    scores[b].append(s)
```

```
scores[b] = [s]
```

Using except

```

try:
    ...
except scores[b].append(c):
    ...
except KeyError:
    ...
scores[b] = [s]
  
```

GCD - recursion way-

```
def gcd(m, n):
    (a, b) = (max(m, n), min(m, n))
    if (a % b == 0):
        return(b)
    else:
        return(gcd(b, a % b))
```

→ This take much longer steps for big nos.

Euclid's Algo

Suppose m not divide n ( $n < m$ )

Now  $m = qn + r$

So if n divides n  $\text{gcd}(m, n) = n$

else compute  $\text{gcd}(n, m \bmod n)$

Code:-

```
def gcd(m, n):
    (a, b) = (max(m, n), min(m, n))
    if (a % b == 0):
        return(b)
    else:
        return(gcd(b, a % b))
```

• Time & no. of digits.

→ python →  $10^7$  operations per sec.

\* imported time

start = time.perf\_counter()

\*\* [2]: [4] 0.0002

my code

end = time.perf\_counter()

elapsed\_time = end - start

upper bound

$f(n)$  is said to be  $O(g(n))$

if we can find  $C$  and  $n_0$  s.t.

$c g(n)$  is upper bound for  $f(n)$  for  $n \geq n_0$

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$100n+5$  is  $O(n^2)$

for  $n > n_0(5)$   $\underline{c=10}$

i.e.  $100n+5 \leq 100n^2$  for  $n \geq 5$ .

affter,

$100n+5 \leq 100n+5n = 105n$ ,  $n \geq 1$

~~$100n+5$~~   $105n \leq 105n^2$

choose  $c = 105$ ,  $n_0 = 1$

$100n^2 + 20n + 5$  is  $O(n^2)$

$100n^2 + 20n + 5 \leq 100n^2 + 20n^2 + 5n^2 = 125n^2$  for  $n \geq 1$

choose  $c = 125$ ,  $n_0 = 1$

$n^3$  is not  $O(n^2)$

bcz for every  $c$ ,  ~~$c n^2 > n^3$~~

properties -

①

if  $f_1(n)$  is  $O(g_1(n))$ ,  $f_2(n)$  is  $O(g_2(n))$

$\Rightarrow f_1(n) + f_2(n)$  is  $O(\max(g_1(n), g_2(n)))$ .

that means, if algorithm has 2 phase A and B with time  $O(g_A(n))$  and  $O(g_B(n))$ , then as a whole algorithm will take  $O(\max(g_A(n), g_B(n)))$  time.

i.e. least efficient phase is upper bound of the whole algorithm.

Lower bound

$f(n)$  is  $\Omega(g(n))$ , if for any  $c$  and  $n_0$   
 $\Rightarrow f(n) \geq c \cdot g(n) \quad \forall n \geq n_0$

$n^3$  is  $\Omega(n^2)$

- $n^3 \geq n^2$  for all  $n$ ,  $n_0 = 1$ ,  $c = 1$

If it is used for problems rather than programmes

like for sorting an array by comparing and swapping  
we require a min. of  $n \log n$  comparison

so  $\Omega$  lower bound is  $\Omega(n \log n)$ ,

Tight Bound  $\Theta(g(n))$ 

$f(n)$  is said to be  $\Theta(g(n))$  if it is both  $O(g(n))$  and  $\Omega(g(n))$

- constant  $a_0, c_1, c_2$

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad (\text{for } n > n_0)$$

ex

$n(n-1)/2$  is  $\Theta(n^2)$

upperbound

$$n(n-1)/2 \leq n^2/2 \quad \forall n \geq 0$$

lowerbound

$$n(n-1)/2 \geq n^2/2 - (n/2 \times n/2) \geq n^2/4 \quad \text{for } n \geq 2$$

so  $a_0 = 2, c_1 = 1/4, c_2 = 1/2$

$$\frac{1}{4}n^2 \leq \frac{n(n-1)}{2} \leq \frac{1}{2}n^2 \quad \forall n \geq 2$$

Tight bound is the optimal algo. for a problem.

## Calculating Complexity

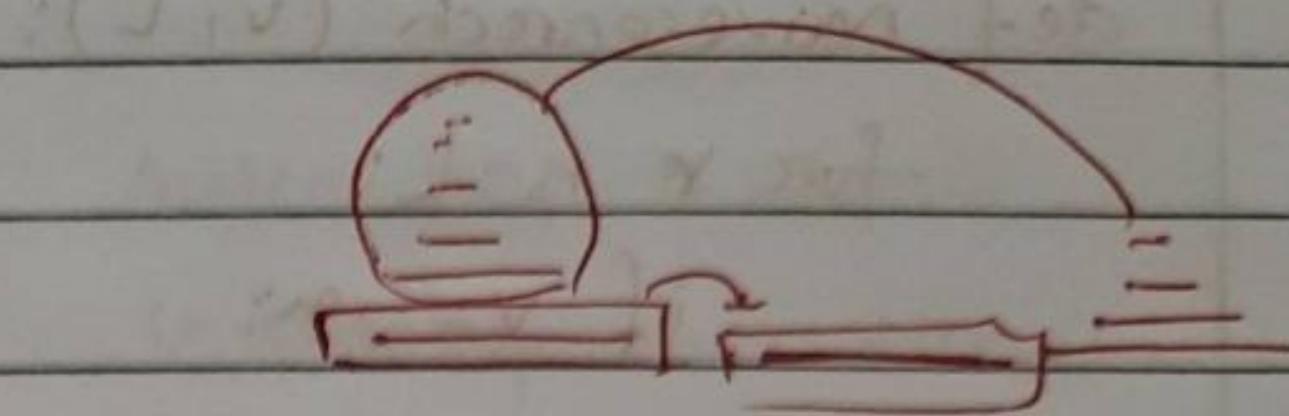
(recursive / iterative)

### Tower of Hanoi

move n from A to C

move large to B

move n from C to B as A (transipg)



### Recurrence Method

$M(n)$  - no. of moves to transfer n disk

$$M(1) = 1$$

$$M(n) = M(n-1) + 1 + M(n-1) \quad (2M(n-1) + 1)$$

$$\{ M(n) = 2(M(n-2) + 1) + 1 = 2^2 M(n-2) + (2+1)$$

$$\{ M(n-2) = 2^2(M(n-4) + 1) + (2+1) = 2^3 M(n-4) + (4+2+1)$$

so,

$$M(1) = 2^1 M(0) + (2^{n-2} + 2^{n-3} + \dots + 4 + 2 + 1)$$

$$\{ [n - (n-1)] = 2^1 M(0) + (2^{n-1} - 1)$$

$$= 2^n + 2^{n-1} - 1$$

$$\boxed{M(1) = 2^n - 1} \rightarrow \text{steps required.}$$

### No. of bit in a number:

count = 0

while ( $n > 1$ ) :

$n = n/2$

count = count + 1.

count += 1.

$\log_2(n)$  steps.

$$\log_{10}(n) = O(\log_2 n)$$

Matrix Mult :-  $O(n^3)$  steps.

## Searching in a list:-

def naivsearch (v, L):

    for x in L:

        if v == x:

            return (True)

    return (False)

worst time complexity -  $O(n)$

## what if L is sorted:

def binarysearch (v, L):

    if len(L) == 0:

        return (False)

    m = len(L) // 2

    if v == L[m]:

        return (True)

    if v < L[m]:

        return (binarysearch (v, L[:m]))

    else:

        return (binarysearch (v, L[m+1:]))

$n=0$

$$T(0) = 1$$

$$\text{else } T(n) = T(n/2) + 1$$

$$= T(n/4) + 2$$

$$= T(n/8) + 3$$

$$\vdots = T(n/2^k) + k$$

$$= T(1) + k, k = \log_2 n$$

$$\therefore T(0) + k + 1 = 2 + \log_2 n.$$

Selection-Sort-Technique:

~~algorithms~~ → Binary search, Median find, Duplicate check, Frequency Table Making,

def selectionsort(L):

n = len(L)

Outer loop n times

if n < 1:

Inner loop i-n times

return(L)

$$T(n) = (n-1) + (n-2) + \dots + 1.$$

for i in range(n):

$$(L[i+1], \dots, L[n-1]) = \frac{n(n-1)}{2}, = O(n^2).$$

npos = i

for j in range(i+1, n):

if (L[j] < L[npos]):

npos = j

(L[i], L[npos]) = (L[npos], L[i])

(L[i]) = (L[i])

Insertion-Sort-Technique

Create a new list, insert element according to order.

def insertionSort(L):

n = len(L)

if n < 1:

$$T(n) = 0 + 1 + 2 + \dots + (n-1)$$

return(L)

$$= n(n-1)/2$$

for i in range(n):

# Assume L[:i] is sorted until now

# move L[i] to correct pos

j = i

while (j > 0) && (L[j] < L[j-1]):

(L[j], L[j-1]) = (L[j-1], L[j])

j = j - 1

# now L[:i+1] is sorted

return(L)

*recursive way*

```
def Insert(L, v):
```

*many cause  
recursion  
depth  
errors*

```
n = len(L)
```

```
if n == 0:
```

```
    return [v]
```

```
if v >= L[-1]:
```

```
    return L + [v]
```

```
else:
```

```
    return (Insert(L[:n], v)) + L[n:]
```

T<sub>1</sub>

$$T_1(n) = T_1(n-1) + 1$$

$$\Rightarrow T_1(n) = n$$

```
def ISort(L):
```

*superior -> O(n^2)*

```
n = len(L)
```

```
if n < 1:
```

```
    return L
```

$$TS(n) = TS(n-1) + T_1(n-1)$$

$$= \frac{n(n-1)}{2} = O(n^2)$$

```
t = Insert(ISort(L[:-1]), L[-1])
```

```
return t
```

*last element [L[-1]]*

## Merge-Sort-Technique

(Divide & Conquer)

• Divide the list into two parts A & B

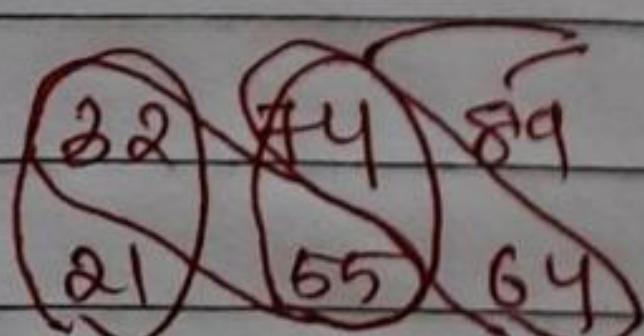
Sort both of them (A and B)

Combine two sorted list A & B into C.

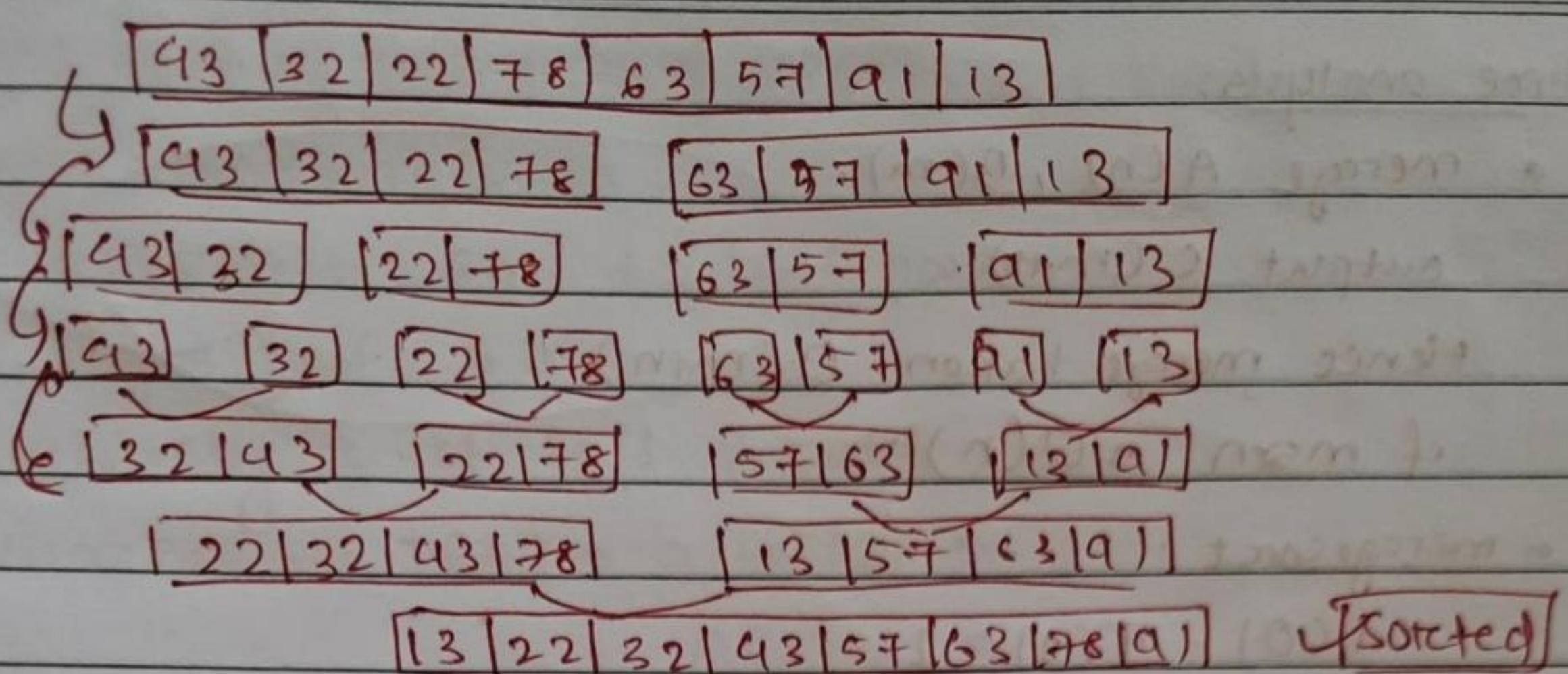
- compare first element of A & B

- Move the smaller of two to C

- Repeat till no elements left



→ 21 32 55 64 74 89



merging part:

```

def merge(A, B):
    (m, n) = (len(A), len(B))
    (c, i, j, k) = ([], 0, 0, 0)

    while (k < m+n):
        if i == m:
            c.extend(B[j:])
            k = k + (n-j)
        elif j == n:
            c.extend(A[i:])
            k = k + (n-i)
        elif A[i] < B[j]:
            c.append(A[i])
            (i, k) = (i+1, k+1)
        else:
            c.append(B[j])
            (j, k) = (j+1, k+1)
    return c
  
```

sort part: def mergesort(A):

n = len(A)

if n <= 1:

return A.

L = mergesort(A[:n//2])

R = mergesort(A[n//2:])

B = merge(L, R)

return B.

### time analysis:

- merge A(n), B(m).

output C(m+n)

Hence merge taken  $O(mn)$

if  $m \approx n \rightarrow O(n)$

- mergesort

$$T(0) = T(1) = 1$$

$$T(n) = 2T(n/2) + n$$

$$= 2[2T(n/4) + n/2] + n$$

$$= 4T(n/4) + 2n$$

$$= 8T(n/8) + 3n \quad 8(n/8)=8$$

$$\vdots 2^k T(n/2^k) + kn$$

when  $k = \log n$

$$= 2^{\log_2 n} T(1) + n(\log n)$$

$$= n + n(\log n) = O(n \log n) \left( < O(n^2) \right)$$

### Variation

- Union, Intersection, List Difference (A-B)

- short coming*
- no obvious way to merge it in that list
  - extra storage needed
  - more recursion and return

Selection Sort  $\rightarrow O(n^2) \rightarrow$  no need of new list

Insertion Sort  $\rightarrow O(n^2)$

Merge Sort  $\rightarrow O(n \log n)$  *> need a new list*

## Quick-Sort-Technique

→ divide & conquer without merging.

① suppose median is m.

② move all values  $\leq m$  to left part L

Right half  $\geq m$ .

③ recursively sort left & right.

④ Recurrence -  $T(n) = 2T(n/2) + n$   
 $= O(n)$ .

• rearranging in single pass

so  $T(n) \rightarrow O(n \log n)$ .

To find median we have to sort first. (that's impossible).

Let us take some value in L - pivot

split arr. to pivot.

### C.A.R Hoare Algo

- choose a pivot. (typically the first element).

- do partition arr. of i..

High-level → less  $\leq$  pivot greater  $\geq$  pivot  
pivot | 32 | 22 | 98 | 63 | 57 | 91 | 13

32 | 22 | 13 | 93 | 78 | 63 | 57 | 91

↓ sort arr. to recursion.

### How to Partition

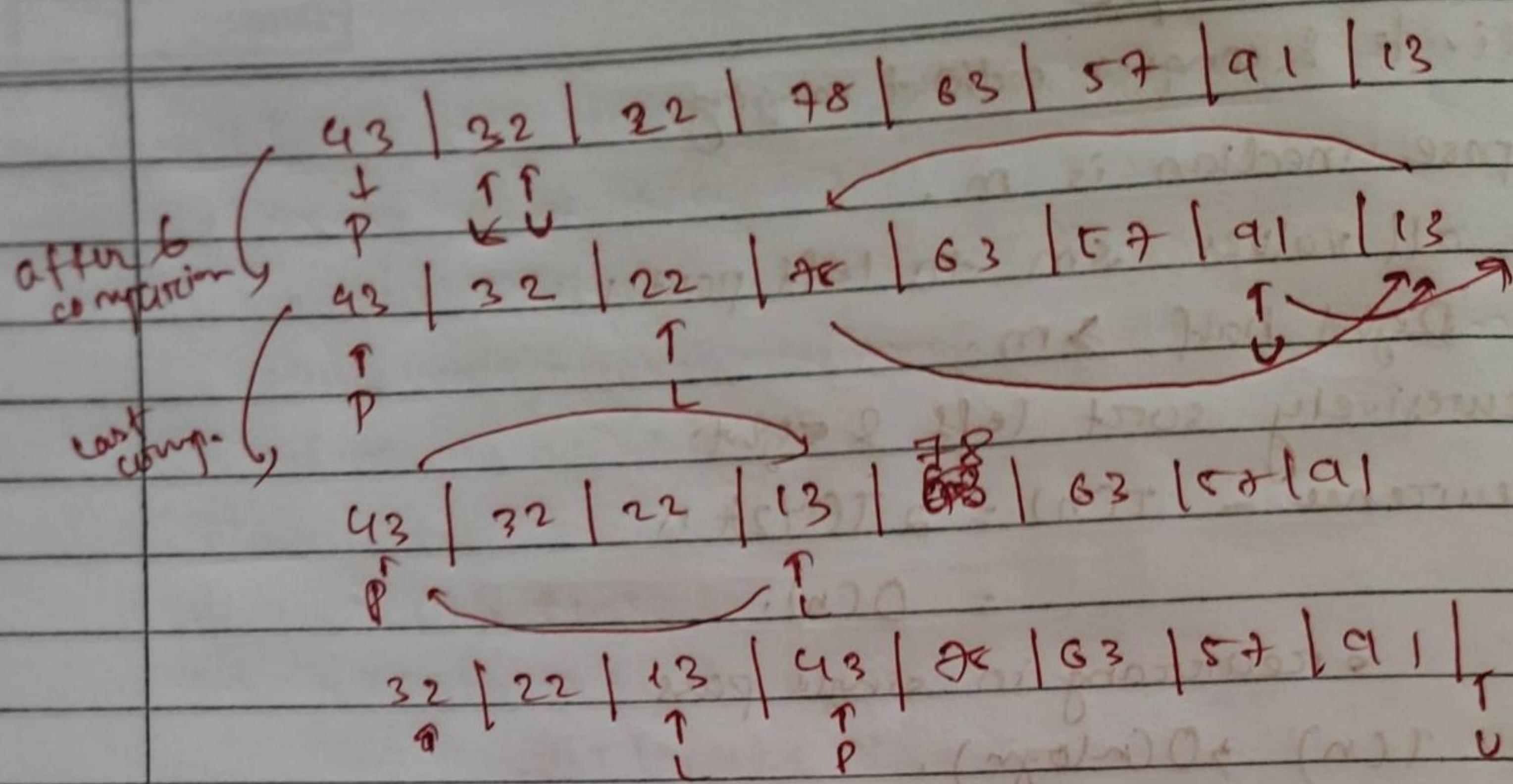
Four part :- Pivot lower Upper Unclassified.

Pivot | lower | upper | unclassified

check its first element

if  $>$  pivot  $\rightarrow$  extend upper

$\leq$  pivot  $\rightarrow$  exchange with first element of upper.  
 and shifts upper by one position.

Code:-

```

def quicksort(L, l, r):
    if (r-l <= 1):
        return L
    (pivot, lower, upper) = (L[l], l+1, l+1)
    for i in range(l+1, r):
        if (L[i] > pivot):
            # extend upper segment
            upper += 1
        else:
            # exchange L[i] with start of upper
            (L[i], L[lower]) = (L[lower], L[i])
            # shift both segments
            (lower, upper) = (lower+1, upper+1)
    # move pivot between lower and upper
    (L[r], L[lower-1]) = (L[lower-1], L[r])
    lower=lower-1
    # recursive calls without changing pos. of pivot
    quicksort(L, l, lower)
    quicksort(L, lower+1, upper)
    return(L)

```

- allows an in place sort.

Analysis:-

partition takes time  $O(n)$ .

if pivot is median,  $T(n) = 2T(n/2) + n$   
 $\hookrightarrow O(n \log n)$

→ in worst case, pivot is either maxm. or minimum.

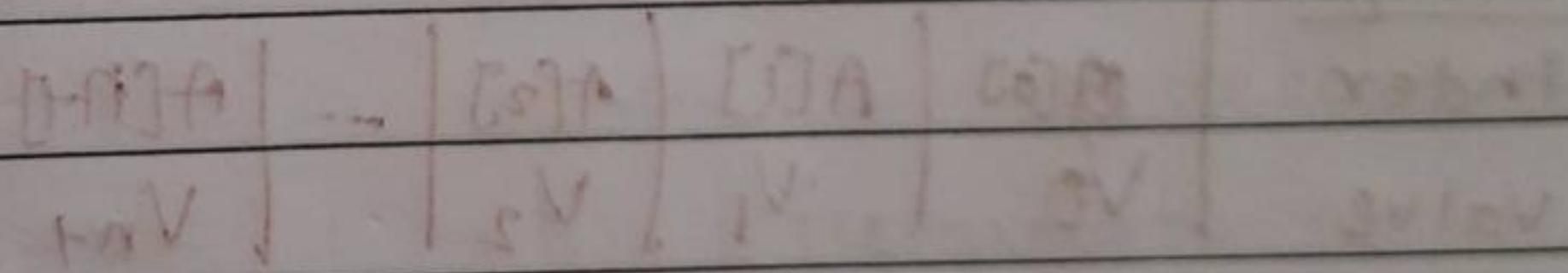
partition are of size  $= O(n-1)$ .

$$T(n) = T(n-1) + n$$

$$T(n) = n + (n-1) + \dots + 1 = O(n^2).$$

→ Always sorted array? ~~worst case.~~

sort() function uses this algo.



## List

flexible length

easy to modify structure.

values are scattered in memory

## Arrays

fixed size.

allocates a contiguous memory

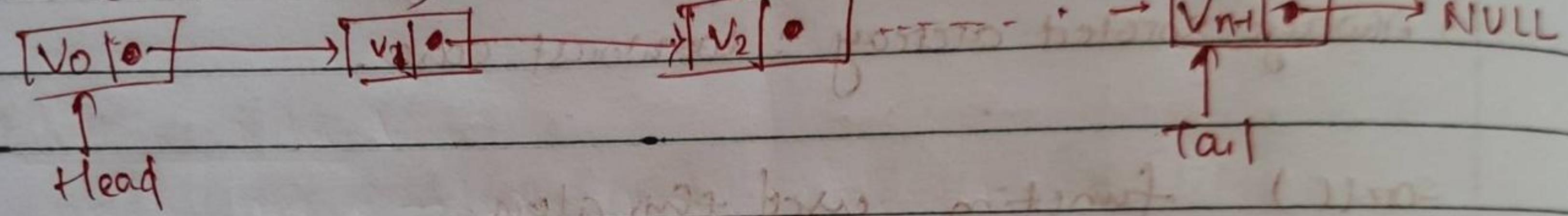
supports random access

## unks

sequence of nodes

each nodes contains a value and points to the next node

(linked list)



inserting and deleting is easy via local plumbing

to get the value at  $i^{th}$  pos., we need to traverse to it which takes time  $O(i)$ .

## Arrays

Index	A[0]	A[1]	A[2]	...	A[n-1]
Value	v0	v1	v2		vn

Random Access

Inserting and Deleting is expensive with worst case time  $O(n)$

Exchange Value (swap)  $\rightarrow O(n)$  for lists

Delete A[i]

Insert A[i] after A[i]

Constant time for array

if we're at A[i]

Constant time for array  
 $O(n)$  for arrays

# Implementing List

Date :

Python class Node

List is sequence of node

self.value → stores value

self.next → points to next

class Node:

def \_\_init\_\_(self, v=None):

self.value = v

self.next = None

return

def isempty(self):

if self.value == None:

return True

else:

return False

def append(self, v)

if self.isempty():

self.value = v

elif self.next == None:

self.next = Node(v)

# recursion

self.next.append(v)

# recursion

return

return

temp = self

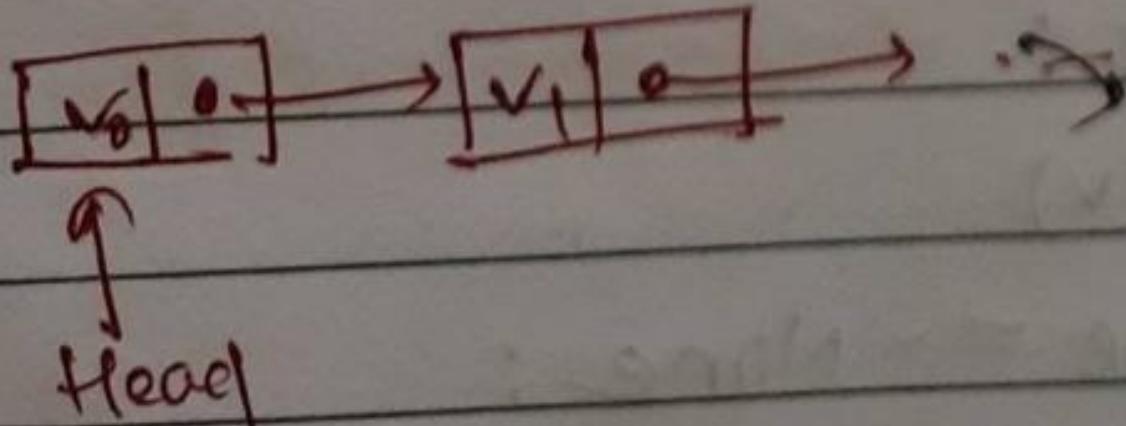
while temp.next != None:

temp = temp.next

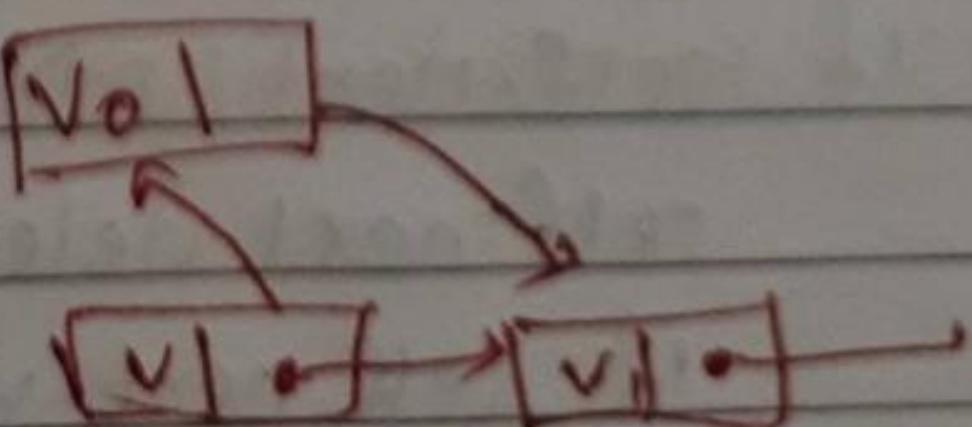
temp.next = Node(v)

## Insert at Beginning

[V10]



Swap values of V0 and V  
now do the plumbing



```
def insert(self, v):
    if self.isEmpty():
        self.value = v
        return
    newnode = Node(v)
```

```
(self.value, newnode.value) = (newnode.value, self.value)
(self.next, newnode.next) = (newnode, self.next)
return
```

### Delete a value V

- Scan list for v - look ahead at next node.

- if next node value is v, bypass it.

- can not bypass first node

- so swap with second node value

- now bypass the second.

```
def delete(self, v):
```

```
# recursive delete.
```

```
if self.isEmpty():
    return
```

```
if self.value == v:
```

```
    self.value = None
```

```
    if self.next != None:
```

```
        self.value = self.next.value
```

```
        self.next = self.next.next
```

```
    return
```

```
else:
```

```
    if self.next != None:
```

```
        self.next.delete(v)
```

```
    if self.next.value == None:
```

```
        self.next = None
```

```
return
```

## Python List

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lists in python are NOT implemented as linked list, rather than it is done as array.

- assign a fixed block when you create it.
- double size if it overflows.

## Arrays

Arrays are useful in matrices.

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \rightarrow [ [0, 1], [1, 0] ]$$

Need to be careful in N-D array

Code : `zerolist = [0, 0, 0]`

`zeromatrx = [zerolist, zerolist, zerolist]`

`zeromatrx[1][1] = 1`

`print(zeromatrx)`

↳ `[ [0, 1, 0], [0, 1, 0], [0, 1, 0] ]` [→ why this happen]

Because `zeromatrx` is pointing to same

`[0, 0, 0] = zerolist` zerolist everywhere

`zeromatrx = [1, 1, 1]` if one copy changes other copies also changes.

- Mutability : Aliases different values.

## How To Do Then ?

→ use list comprehension like

Code : `zeromatrx = [ [0 for i in range(3)] for j in range(3) ]`

→ OR use Numpy.

Code : `import numpy as np`.

`zeromatrx = np.zeros(shape=(3, 3))`

## Numpy Arrays

→ if provide basic array

import numpy as np

zeromatrix = np.zeros(shape=(3,3))

→ can create array from any sequence type

newarray = np.array([1, 0, 1, 0, 1])

→ arange is equivalent of range for lists

row2 = np.arange(5)

→ can operate on matrices as a whole

C = 3 \* A + B

C = np.matmul(A, B)

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# Dictionaries in Python

Page :

Date :

allows access through keys.

- collection of key-value pairs
- random access

underlying storage is an array.

keys have to mapped to  $\{0, 1, \dots, n-1\}$ .

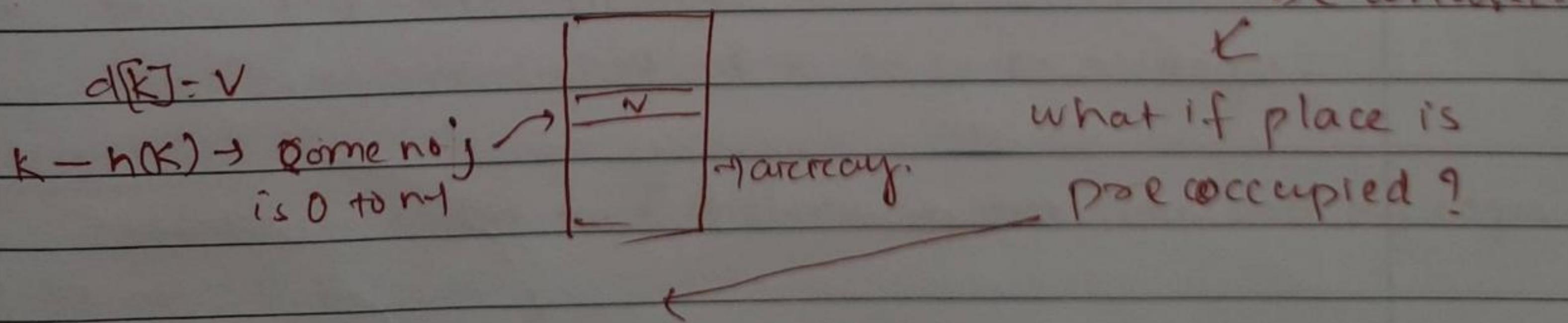
use HASH function

- $h: S \rightarrow X$ , maps a set of values  $S$  to small range of integers  $X = \{0, 1, 2, \dots, n-1\}$ .
- typically  $|X| < |S|$ ; so there will be collisions,  
 $h(s) = h(s')$ ,  $s \neq s'$
- SHA-256 is an industry standard hashing function whose range is 256 bits.

used to hash large files - avoid uploading duplicate files  
to cloud storage

## Hash Table

- An array  $A$  of size  $n$  combined with function  $h$ .
- $h$  maps keys to  $\{0, \dots, n-1\}$
- so when we create an entry for key  $k$ ,  $A[h(k)]$  will be unused.



Dealing with collision  $\rightarrow$   
next page.

## Dealing with collision

- Open addressing (closed hashing)
  - probe a sequence of alternate slots in some arrays
- Open hashing.
  - each pos. in array points to a list of values  
insert into list for given slot.

So Dictionary keys in Python must be ~~be~~ immutable.

Graph

$$G = (V, E)$$

$V$  is vertices or nodes

$E$  is set of edges.

$E \subseteq V \times V$  binary relation.

Directed graph

$(v, v') \in E$  does not imply  $(v', v) \in E$ . (Teacher  $\rightarrow$  course)

Undirected graph

$(v, v') \in E \Rightarrow (v', v) \in E$  (Friend  $\leftrightarrow$  friend)

they have the same edge

Path - it is a sequence of vertices connected by edges

for  $1 \leq i \leq k$ ,  $(v_i, v_{i+1}) \in E$

it does not visit a vertex twice.

Walk - A sequence which re-visits a vertex is usually called walk.

Reachability  $\rightarrow$  if there is a path, it is reachable.

Connected graph  $\rightarrow$  all nodes are reachable from every other node.

Graph colouring

$G = (V, E)$ ; set of colours  $C$ .

colouring is a fun.  $c: V \rightarrow C$  s.t.  $(u, v) \in E \Rightarrow c(u) \neq c(v)$

smallest set of colours  $C$  ?

by four colour theorem for planar graphs

Vertex cover

marking  $v$  covers all edges from  $v$ .

make smallest subset of  $V$  to cover all edges.

Independent set

subset of vertices such that no two are connected by edges

Matching

$G = (V, E)$  - undirected graph

A matching is a subset  $M \subseteq E$  of mutually disjoint ~~sets~~ edges

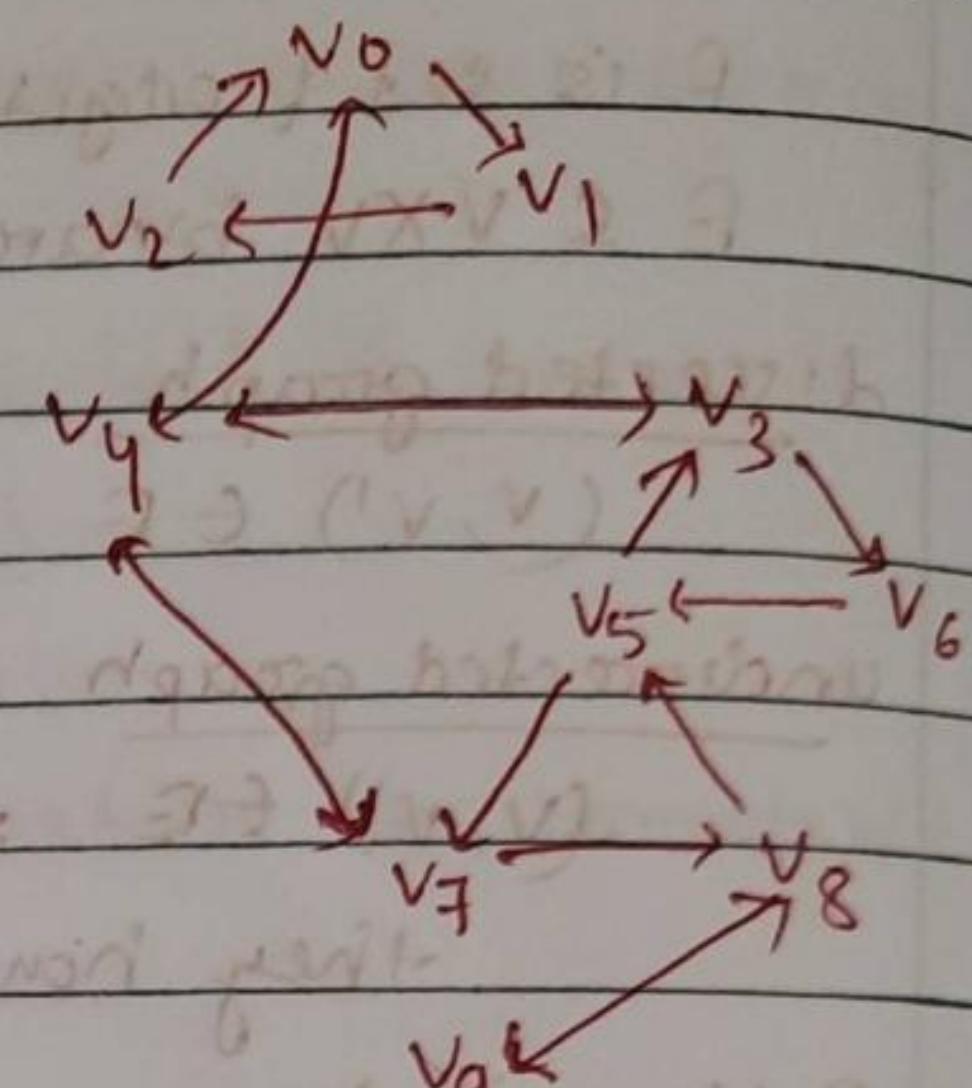
## Adjacency Matrix

size in  $2$   
 undirected  $|E| \leq n^{(m)/2}$   
 directed  $|E| \leq n^m$   
 overall  $|E| \ll n^2$

Row & columns numbered 0 to  $n-1$

$$A[i][j] = 1 \text{ if } (i, j) \in E$$

edges:  $\{(0,1), (0,4), (1,2), (2,0), (3,4), (3,6), (4,0), (4,3), (4,7), (5,3), (5,7), (6,5), (7,4), (7,8), (8,5), (8,9), (9,8)\}$



import numpy as np.

A = np.zeros((shape=(10,10)))

for (i, j) in edges:

$$A[i][j] = 1.$$

Adjacency List using Dictionaries

- Finding neighbours of a node: - Alist = {}

def neighbours(A, i):

nbres = []

(rows, cols) = A.shape

for j in range(cols):

if A[i][j] == 1:

nbres.append(j)

return nbres

for i in range(10):

Alist[i] = []

for (i, j) in edges:

Alist[i].append(j)

# Directed Graph rows  $\rightarrow$  columns is the direction

degree:-

in the graph degree(G) = 2.

but in degree(G) = 1 and outdegree(G) = 1

degree(G) = 9. inDegree(G) = 2 outDegree(G) = 2

## Checking reachability.

use BFS or DFS

(propagate in layers)

→ explore a path till it dies out, then backtrack.

### BFS

assume  $V = \{0, 1, \dots, n-1\}$

visited :  $V \rightarrow \{\text{True}, \text{False}\}$  tells us whether node is visited or not  
initially  $\text{visited}(v) = \text{False} \quad \forall v \in V$

maintain a sequence of visited vertices yet to be explored.

- use a queue structure (FIFO)

class queue :

def \_\_init\_\_(self):

    self.queue = []

def addq(self, v):

    self.queue.append(v)

def delq(self):

    v = None

    if not self.isEmpty():

        v = self.queue[0]

        self.queue = self.queue[1:]

    return v

def isEmpty(self):

    return self.queue == []

def \_\_str\_\_(self):

    return str(self.queue)

q = queue()

for i in range(3):

    q.addq(i)

# q = [0, 1, 2]

False

for i in range(3):

    q.delq()

# q = []

True

print(q.isEmpty())

how to do?

initially  $\text{visited}(v) = \text{false}$   $\forall v \in V$

queue is empty

start from vertex  $j$

$\text{visited}(j) = \text{True}$

Add  $j$  to queue.

remove and explore  $i$  at head of queue

- for each edge  $(i, j)$ , if  $\text{visited}(j)$  is False

- $\text{visited}(j) = \text{True}$

- append  $j$  to queue

stop when queue is empty.

def BFS(A, v):

(rows, cols) = A.shape

visited = {}

for i in range(rows):

    visited[i] = False

q = Queue()

visited[v] = True

q.addq(v)

while (not q.isempty()):

    j = q.delq()

    for k in neighbours(A, j)

        if (not visited[k]):

            visited[k] = True

            q.addq(k)

return visited

Breadth  
First Search  
Matrix

complexity

$$G = (V, E)$$

$|V| = n$   $|E| = m$ .  $m$  vary from  $n-1$  to  $n(n-1)/2$

(sum of degrees =  $2m$ ).

with adjacency matrix -  $O(n^2)$

with adjacency list -  $O(n+m)$

we can use level instead

def BFSListPath (Alist, v):

(visited, parent) = ({}, {})

for i in Alist.keys():

visited[i] = False

parent[i] = -1

q = queue()

visited[v] = True

q.append(v)

while (not q.isempty()):

j = q.popleft()

for k in Alist[j]:

if (not visited[k]):

visited[k] = True

parent[k] = j

q.append(k)

return (parent, visited)

after running this code (start from 7)

node	visited	parent	node	visited	parent
0	True	4	6	True	5
1	True	0	7	True	-1
2	True	0	8	True	7
3	True	4	9	True	8
4	True	7			
5	True	7			

path for 2 to 7  $\rightarrow$  2-0-4-7.

## DFS

start with i, visit unvisited j

suspend i, explore j

continue till deadend (backtrack to nearest suspended vertex)

suspended are stored using stack DS (LIFO)

### Using Adjacency Matrix:-

```
def DFSInit(amat):
```

(rows, cols) = amat.shape

(visited, parent) = ({} , {}).

for i in range (rows):

    visited[i] = False

    parent[i] = -1.

return (parent, visited)

```
def DFS(amat, visited, parent, v):
```

    visited[v] = True

    for k in neighbours (amat, v):

        if (not visited[k]):

            parent[k] = v

            (visited, parent) = DFS(amat, visited, parent, k)

    return (parent, visited)

### Using an Adjacency List:-

```
def DFSInitList(Alist):
```

(visited, parent) = ({} , {})

for i in Alist.keys ():

    visited[i] = False

    parent[i] = -1

return (visited, parent)

```
def DFSList(Alist, visited, parent, v):
```

    visited[v] = True

    for k in Alist[v]:

        if (not visited[k]):

            parent[k] = v

            (visited, parent) =

            DFSList(Alist, visited, parent, k)

return (parent, visited)

## Complexity -

using matrix  $O(n^2)$   
list  $O(m+n)$

### Summary:

- \* Paths discovered by DFS are not shortest unless BFS.
- \* It is more informative than BFS in some cases.

## ② Application

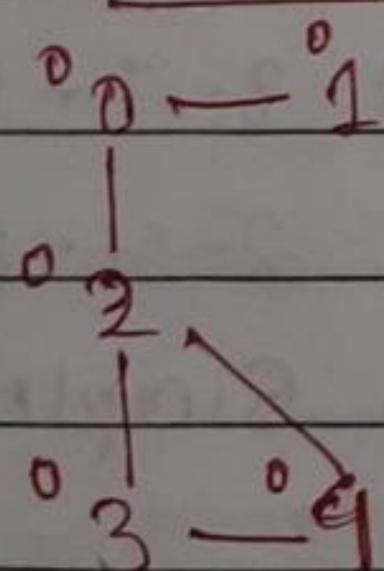
BFS discovers shortest path in terms of no. of edges

connected graph  $\rightarrow$  every vertex is reachable from every other vertex

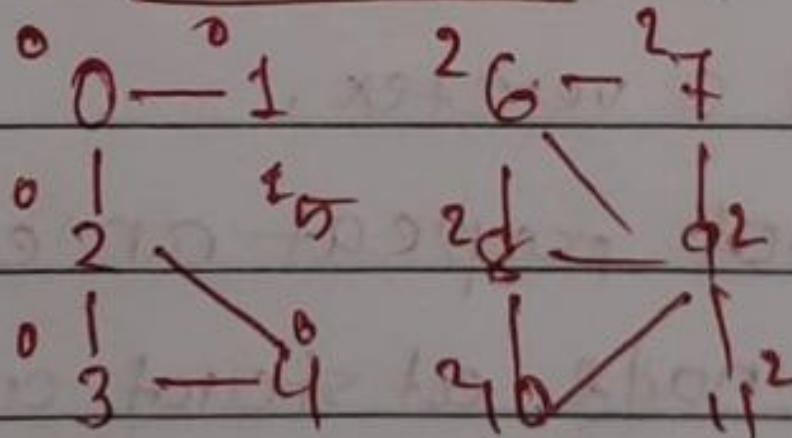
connected comp.  $\rightarrow$  maximal subset of vertices that are connected

trivial comp.  $\rightarrow$  isolated vertices

### Connected



### Disconnected



### Algorithm $\rightarrow$

① assign vertex component no.

② start from 0.

    ↳ all visited no. assigned no. 0.

③ pick smallest unvisited node assign it 1.

    ↳ all visited through this should be assigned 1.

④ Repeat it upto every node is visited.

⑤ Once component no.  $\rightarrow$  connected

more than 1 component no.  $\rightarrow$  disconnected.

BFS way code -

```
def components(Alist):
```

```
    component = {}
```

```
    for i in Alist.keys():
```

```
        component[i] = -1.
```

```
(compid, seen) = (0, 0)
```

```
while seen < max(Alist.keys()):
```

```
    startv = min([i for i in Alist.keys()])
```

```
    if component[startv] == -1)
```

```
visited = BFSList(Alist, startv)
```

```
for i in visited.keys():
```

```
if visited[i]:
```

```
seen = seen + 1
```

```
component[i] = compid
```

```
compid += 1.
```

```
return(component)
```

→ cycle → path that starts at end at same vertex

it may repeat a vertex.

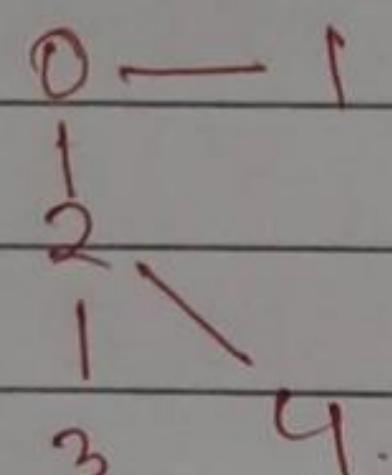
but it must not repeat an edge.

→ cycle with one repeat node at start and end is Simple Cycle.

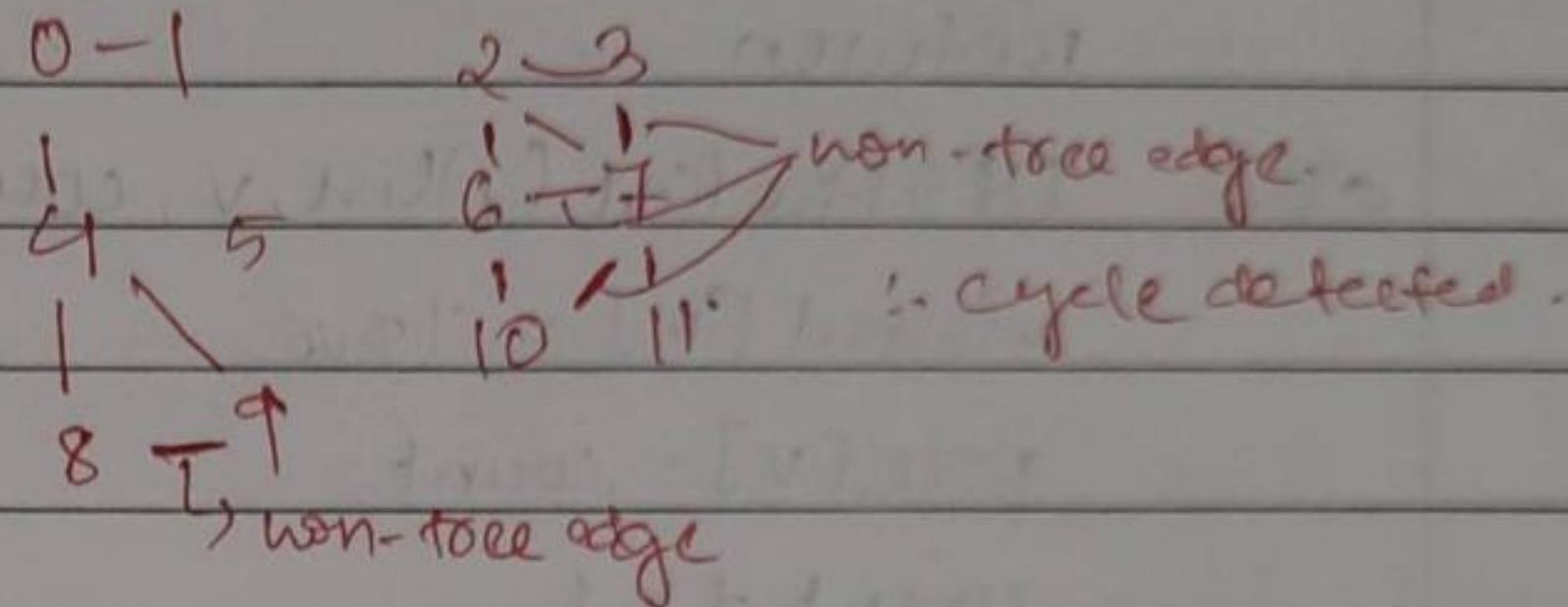
→ graph is acyclic → it has no cycle.

## Edges explored by BFS forest Tree

If there is a edge in a graph which is not part of tree  
 → it forms a cycle. Because the edge must be discarded previously as its target node is encountered before.



no non-tree edge

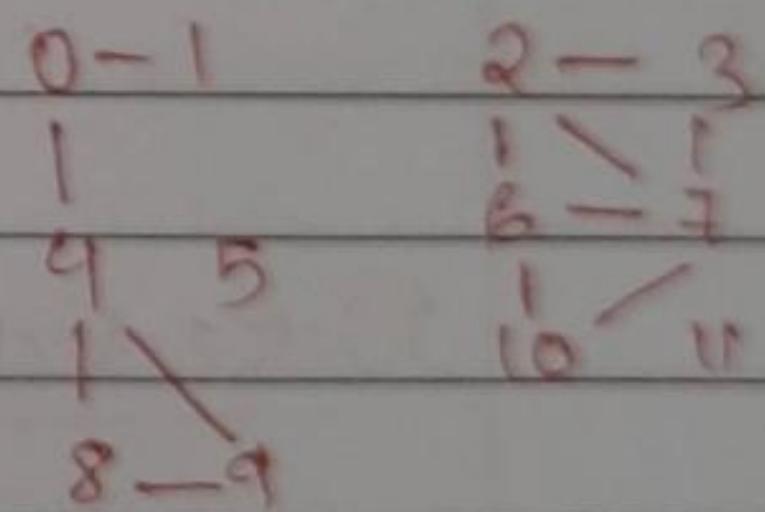
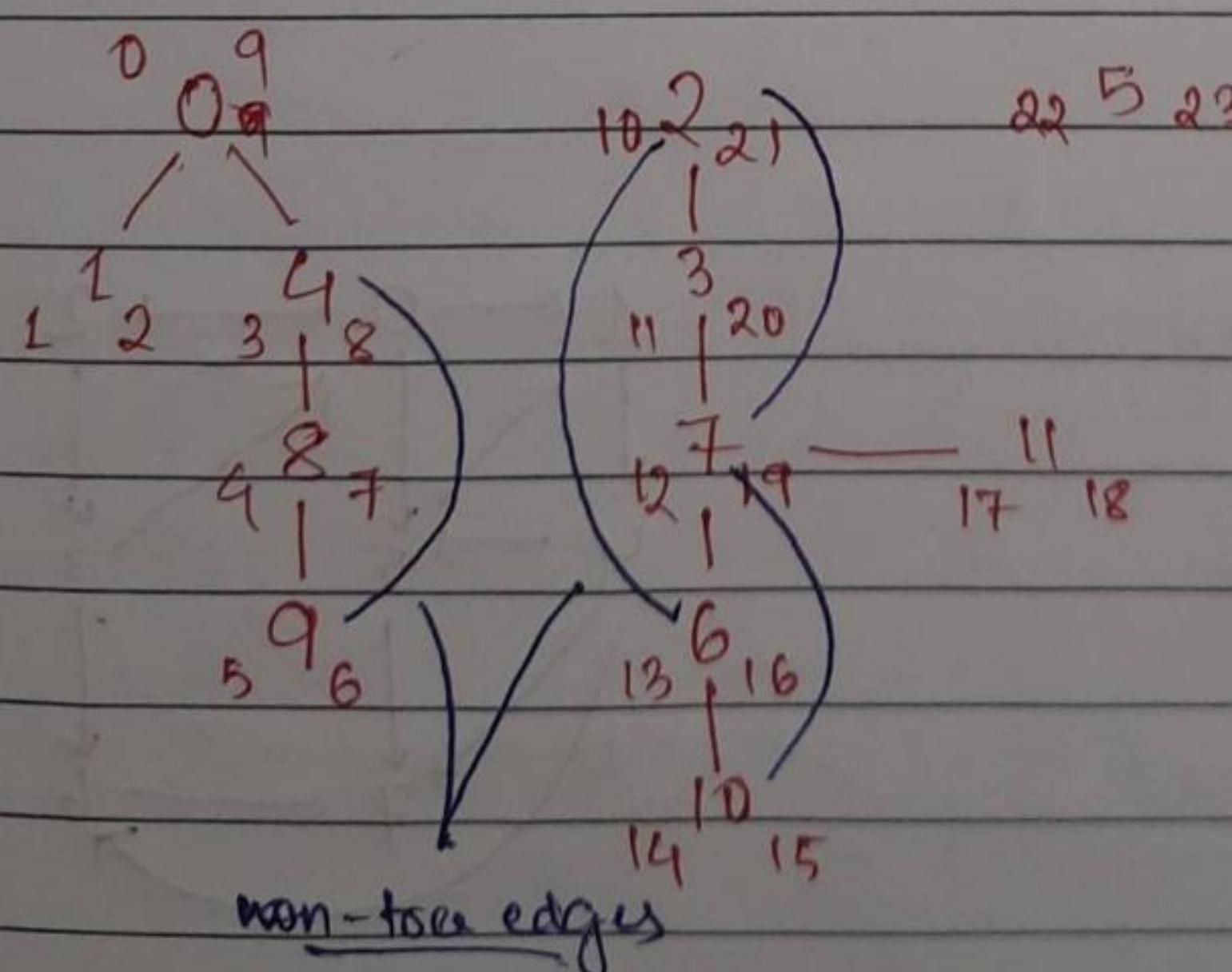


### DFS Tree

DFS counter maintained.

Increment each time when start and finish exploring a node.  
 each vertex have 2 nos (pre) and (post).

vertex	
(pre)	(post)



$(\text{visited}, \text{pre}, \text{post}) = (\{\}, \{\}, \{\})$

def DFSInitPrePost (Alist):

#initialization.

for i in Alist.keys ():

visited [i] = false

pre [i], post [i] = (-1, -1)

return

def DFSPrePost (Alist, v, count):

visited [v] = True

pre [v] = count

count += 1

for k in Alist [v]:

if not visited [k]:

#parent [k] = v

count = DFSPrePost (Alist, k, count)

post [v] = count

count += 1

return (count)

## Directed cycles:-

Cycle must follow direction.

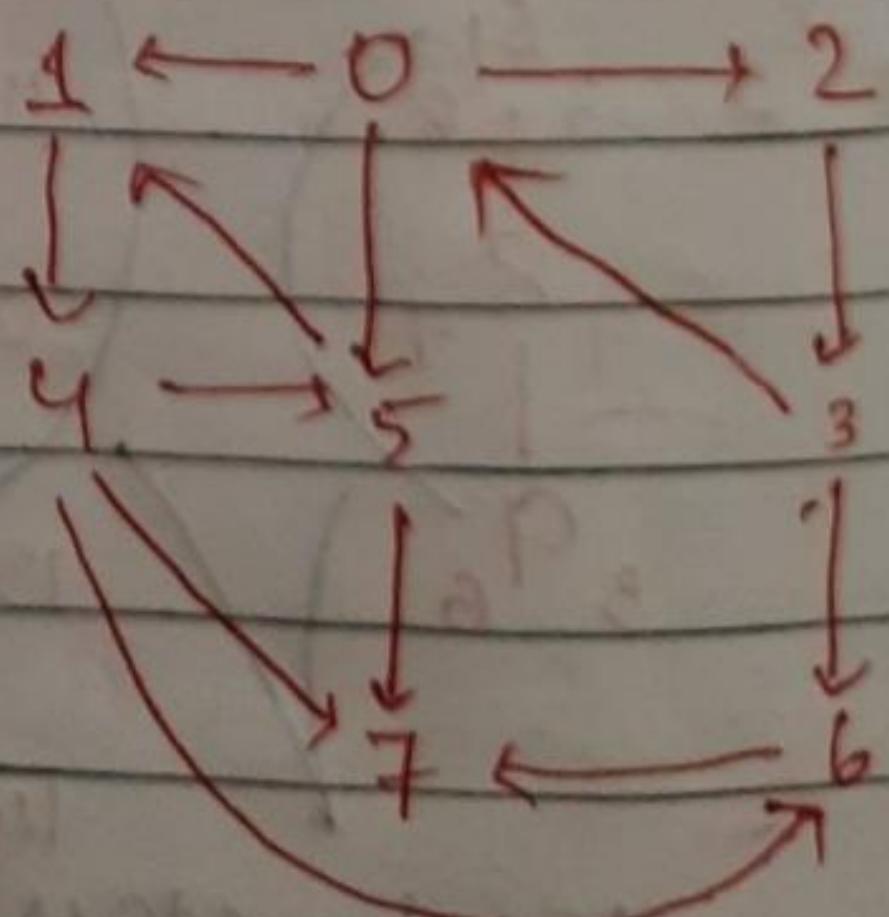
0 → 2 → 3 → 0 cycle

0 → 5 → 1 → 0 not cycle

Types of non-tree edges

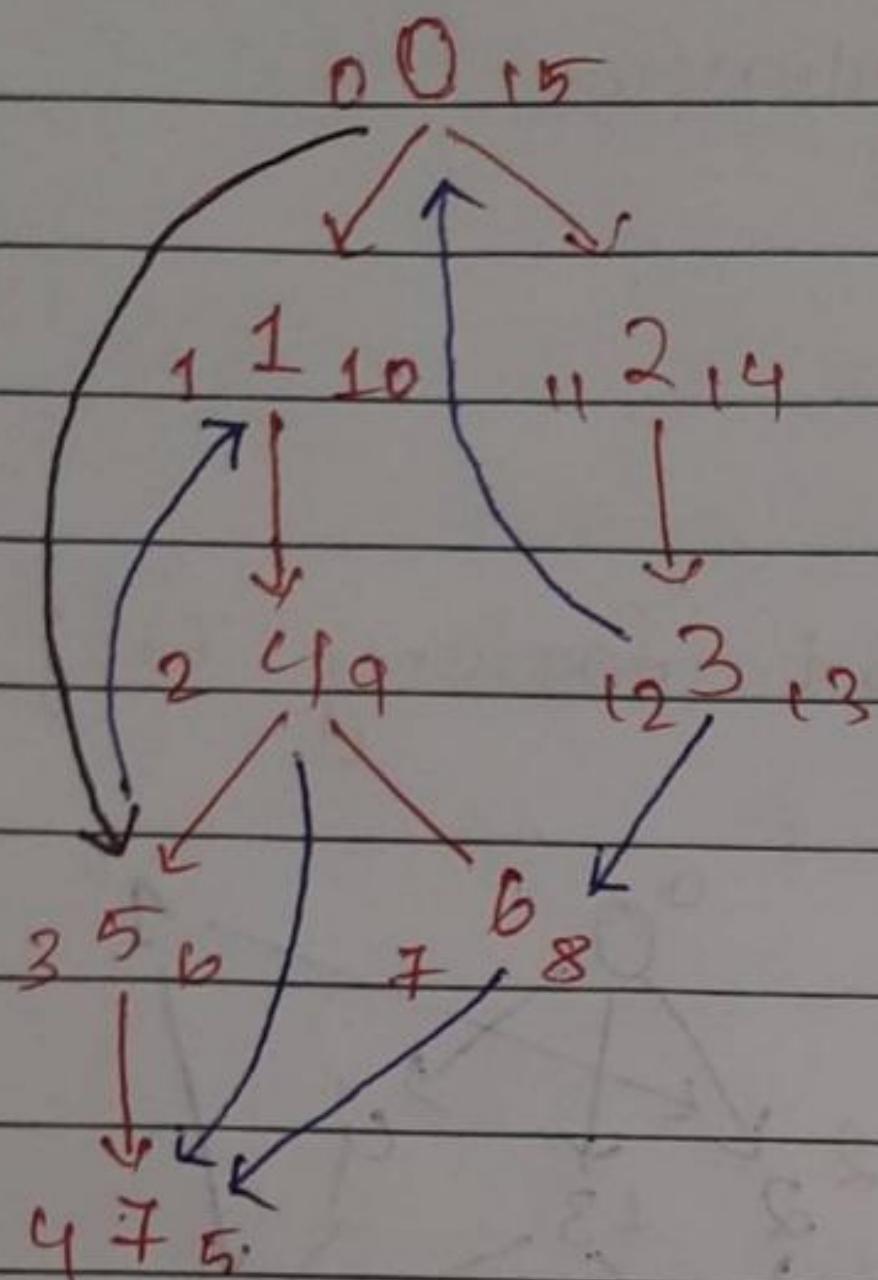
- forward
- back
- cross

Only back edges corresponds to a cycle



# DAG (Directed Acyclic Graphs)

## DFS Tree



- Forwarded Edge  $(u, v)$

$[pre(u), post(u)]$  contains

$[pre(v), post(v)]$

- Back Edge  $(u, v)$

$[pre(v), post(v)]$  contains

$[pre(u), post(u)]$

- Cross Edge  $(u, v)$

$[pre(u), post(u)]$  and

$[pre(v), post(v)]$  disjoint.

- Strongly Connected Component (SCC) vertices are those vertices which is ~~are~~ accessible to other node from both end.

like if  $i$  and  $j$  are (SCCs), then  $i$  is reachable from  $j$  and vice-versa.

- DFS numbering used to identify articulation point (vertices) and bridges (cut edges).

DAGs

$G = (V, E) \rightarrow$  directed graph without directed cycle.

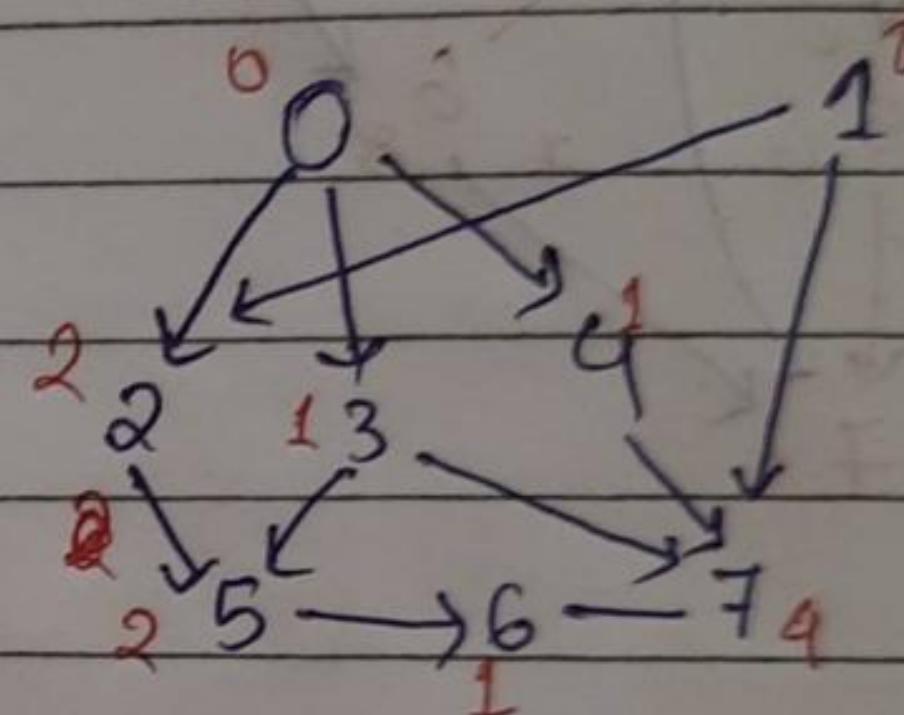
- ↳ Topological Sorting [no cycle is possible].
- ↳ Longest Paths
- natural way to represent dependencies

Topological Sorting.

Enumerate  $V = \{0, 1, \dots, n-1\}$  s.t. for any  $(i, j) \in E$ ,  
*i* appears before *j*.

vertices with no dependencies

has  $\text{indegree}(v) = 0$ .



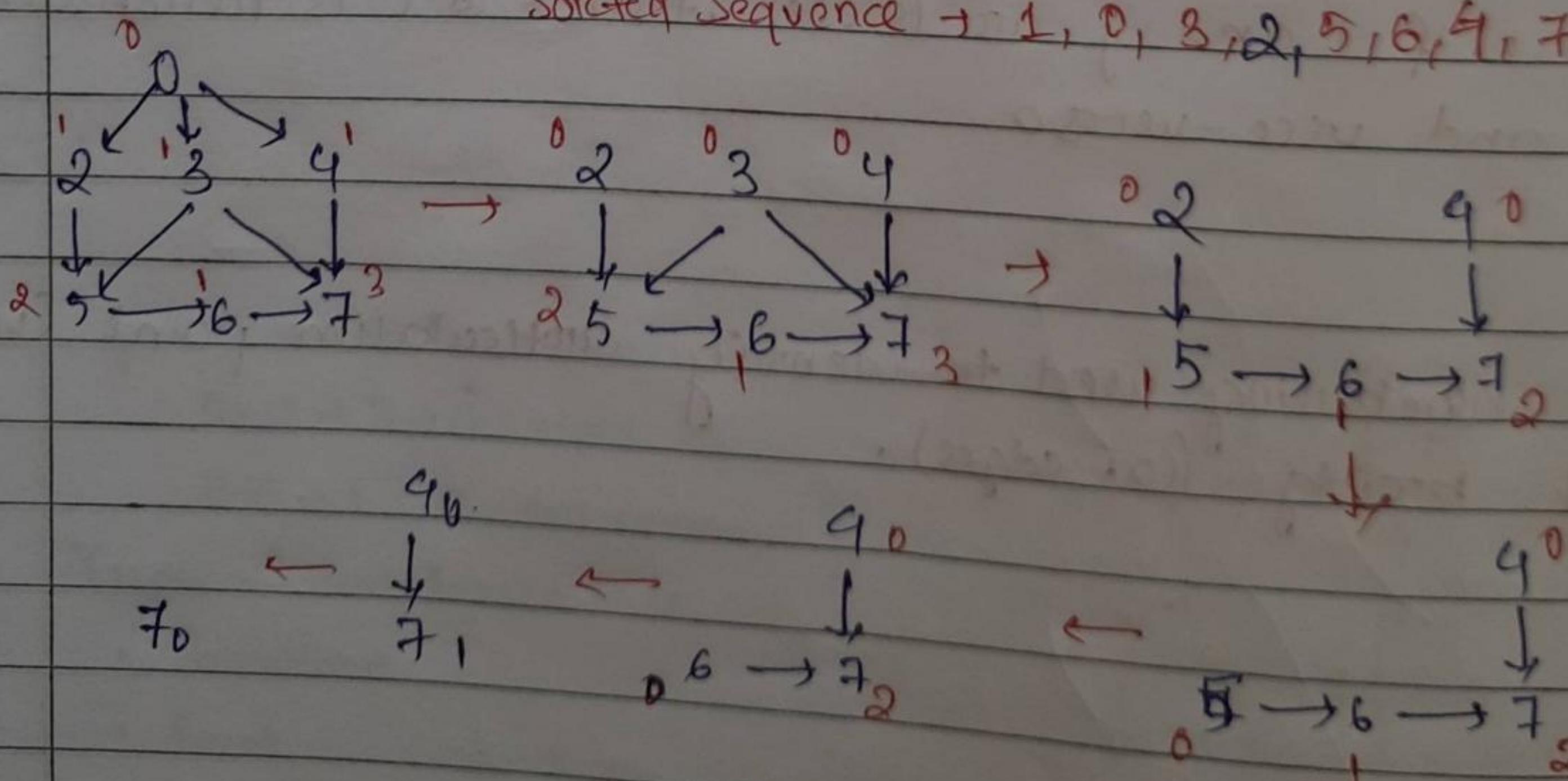
→ Pick vertex with in-degree 0 and eliminate it

→ Eliminate associate edges.

→ update indegrees of left DAG.

→ Continue until all vertices is eliminated.

Sorted Sequence  $\rightarrow 1, 0, 3, 2, 5, 6, 4, 7$



```
def toposort(AMat):
    (rows, cols) = AMat.shape
    indegree = [0] * rows
    toposortlist = []
```

$O(n^2)$

```
for c in range(cols):
    indegree[c] = 0
    for r in range(rows):
        if AMat[r, c] == 1:
            indegree[c] += 1.
```

$O(n^2)$

```
for i in range(rows):
     $j = \min\{k \text{ for } k \in \text{range}(cols) \text{ if } \text{indegree}[k] == 0\}$ 
    toposortlist.append(j)
    indegree[j] -= 1.
 $O(n)$  ← for k in range(cols):
    if AMat[j, k] == 1
        indegree[k] -= 1.
```

```
return toposortlist
```

Overall  $O(n^2)$ .

In using Adjacency list: (maintaining zero degree)  
 \* initializing indegree  $O(mn)$

→ updating indegree: amortised  $O(m)$

Overall  $O(mn)$

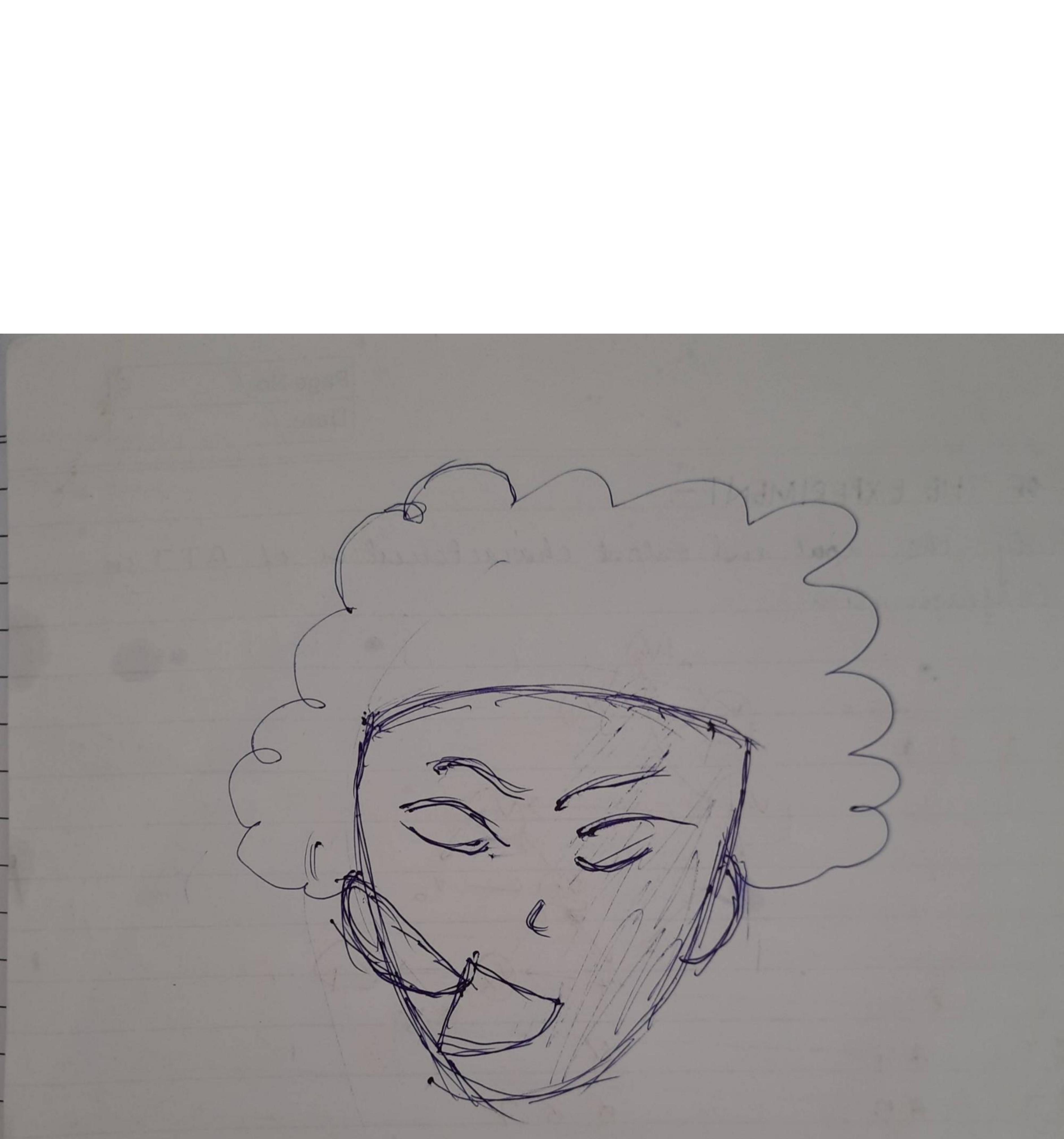
## Longest Path

$\text{indegree}(i) = 0 \Rightarrow \text{longest-path-to}(i) = 0$

$\text{indegree}(i) > 1 \Rightarrow \text{longest-path-to}(i) = \ell + \max\{\text{longest-path-to}(j) | (i, j) \in E\}$

$O(m+n)$ .

it can be calculated parallel to topological sort



# Balanced search tree (AVL Tree) - : - Greedy Algorithm

## Binary search tree

- find(), insert() and delete() all walk down a single path
- Worst-case: height of the tree An unbalanced tree with n nodes may have height  $O(n)$

## AVL Tree

- Balanced trees have height  $O(\log n)$
- Using rotations, we can maintain height balance
- Height balanced trees have height  $O(\log n)$
- find(), insert() and delete() all walk down a single path, take time  $O(\log n)$
- Minimum number of node  $S(h)=S(h-2)+S(h-1)+1$
- Maximum number of nodes  $2^h-1$

## Greedy Algorithm

- Need to make a sequence of choices to achieve a global optimum
- At each stage, make the next choice based on some local criterion
- Never go back and revise an earlier decision
- Drastically reduces space to search for solutions
- Greedy strategy needs a proof of optimality
- Example :
  - Dijkstra's
  - Prim's
  - Kruskal's
  - Interval scheduling
  - Minimize lateness
  - Huffman coding

## Algorithm

1. Sort all jobs which based on end time in increasing order.
2. Take the interval which has earliest finish time.
3. Repeat next two steps till you process all jobs.
4. Eliminate all intervals which have start time less than selected interval's end time.
5. If interval has start time greater than current interval's end time, at it to set. Set current interval to new interval.

## Analysis

- Initially, sort n bookings by finish time -  $O(n \log n)$
- Single scan,  $O(n)$
- overall  $O(n \log n)$

```
#Interval Scheduling:  
def tuplesort(L, index):  
    L_ = []  
    for t in L:  
        L_.append(t[index:index+1] +  
                  t[:index]+t[index+1:])  
    L_.sort()  
  
    L__ = []  
    for t in L_:  
        L__.append(t[1:index+1] +  
                    t[0:1]+t[index+1:])  
    return L__  
  
def intervalschedule(L):  
    sortedL = tuplesort(L, 2)  
    accepted = [sortedL[0][0]]  
    for i, s, f in sortedL[1:]:  
        if s > L[accepted[-1]][2]:  
            accepted.append(i)  
    return accepted
```

## Minimize Lateness

### Algorithm

1. Sort all job in ascending order of deadlines
2. Start with time  $t = 0$
3. For each job in the list
  1. Schedule the job at time  $t$
  2. Finish time =  $t + \text{processing time of job}$
  3.  $t = \text{finish time}$
4. Return (start time, finish time) for each job

### Analysis

- Sort the requests by  $D(i)$  —  $O(n \log n)$
- Read all schedule in sorted order —  $O(n)$
- overall  $O(n \log n)$

```
from operator import itemgetter

def minimize_lateness(jobs):
    schedule = []
    max_lateness = 0
    t = 0

    sorted_jobs = sorted(jobs, key=itemgetter(2))

    for job in sorted_jobs:
        job_start_time = t
        job_finish_time = t + job[1]

        t = job_finish_time
        if(job_finish_time > job[2]):
            max_lateness = max(max_lateness,
                               (job_finish_time - job[2]))
        schedule.append((job[0], job_start_time,
                        job_finish_time))

    return max_lateness, schedule
```

## Huffman Coding

### Algorithm

1. Calculate the frequency of each character in the string.
2. Sort the characters in increasing order of the frequency.
3. Make each unique character as a leaf node.
4. Create an empty node z. Assign the minimum frequency to the left child of z and assign the second minimum frequency to the right child of z. Set the value of the z as the sum of the above two minimum frequencies.
5. Remove these two minimum frequencies from Q and add the sum into the list of frequencies.
6. Insert node z into the tree.
7. Repeat steps 3 to 5 for all the characters.
8. For each non-leaf node, assign 0 to the left edge and 1 to the right edge.

### Analysis

- At each recursive step, extract letters with minimum frequency and replace by composite letter with combined frequency
- Store frequencies in an array
- Linear scan to find minimum values
- $|A|=k$ , number of recursive calls is  $k-1$
- Complexity is  $O(k^2)$
- Instead, maintain frequencies in an heap
- Extracting two minimum frequency letters and adding back compound letter are both  $O(\log k)$
- Complexity drops to  $O(k \log K)$

```

1
2 class Node:
3     def __init__(self,frequency,symbol = None,left = None,right = None):
4         self.frequency = frequency
5         self.symbol = symbol
6         self.left = left
7         self.right = right
8
9 # solution
10
11 def Huffman(s):
12     huffcode = {}
13     char = list(s)
14     freqlist = []
15     unique_char = set(char)
16     for c in unique_char:
17         freqlist.append((char.count(c),c))
18     nodes = []
19     for nd in sorted(freqlist):
20         nodes.append((nd,Node(nd[0],nd[1])))
21     while len(nodes) > 1:
22         nodes.sort()
23         L = nodes[0][1]
24         R = nodes[1][1]
25         newnode = Node(L.frequency + R.frequency, L.symbol + R.symbol,L,R)
26         nodes.pop(0)
27         nodes.pop(0)
28         nodes.append(((L.frequency + R.frequency, L.symbol + R.symbol),newnode))
29
30     for ch in unique_char:
31         temp = newnode
32         code = ''
33         while ch != temp.symbol:
34             if ch in temp.left.symbol:
35                 code += '0'
36                 temp = temp.left
37             else:
38                 code += '1'
39                 temp = temp.right
40         huffcode[ch] = code
41     return huffcode

```

## Union-Find data structure

- A set  $S$  partitioned into components  $\{C_1, C_2, \dots, C_k\}$ 
  - Each  $s \in S$  belongs to exactly one  $C_j$
- Support the following operations
  - $\text{MakeUnionFind}(S)$  — set up initial singleton components  $\{s\}$ , for each  $s \in S$
  - $\text{Find}(s)$  — return the component containing  $s$
  - $\text{Union}(s, s')$  — merges components containing  $s, s'$

```
#Naive Implementation of Union-Find
class MakeUnionFind:
    def __init__(self):
        self.components = {}
        self.size = 0
    def make_union_find(self, vertices):
        self.size = vertices
        for vertex in range(vertices):
            self.components[vertex] = vertex
    def find(self, vertex):
        return self.components[vertex]
    def union(self, u, v):
        c_old = self.components[u]
        c_new = self.components[v]
        for k in range(self.size):
            if Component[k] == c_old:
                Component[k] = c_new
```

```
#Improved Implementation of Union-Find
class MakeUnionFind:
    def __init__(self):
        self.components = {}
        self.members = {}
        self.size = {}
    def make_union_find(self, vertices):
        for vertex in range(vertices):
            self.components[vertex] = vertex
            self.members[vertex] = [vertex]
            self.size[vertex] = 1
    def find(self, vertex):
        return self.components[vertex]
    def union(self, u, v):
        c_old = self.components[u]
        c_new = self.components[v]
        '''Always add member in components which
        have greater size'''
        if self.size[c_new] >= self.size[c_old]:
            for x in self.members[c_old]:
                self.components[x] = c_new
                self.members[c_new].append(x)
                self.size[c_new] += 1
        else:
            for x in self.members[c_new]:
                self.components[x] = c_old
                self.members[c_old].append(x)
                self.size[c_old] += 1
```

## Complexity

- $\text{MakeUnionFind}(S) - O(n)$
- $\text{Find}(i) - O(1)$
- $\text{Union}(i, j) - O(n)$
- Sequence of  $m$   $\text{Union}()$  operations takes time  $O(mn)$

## Complexity

- $\text{MakeUnionFind}(S) - O(n)$
- $\text{Find}(i) - O(1)$
- $\text{Union}(i, j) - O(\log n)$

## Improved Kruskal's using algorithm using Union-find:

### Complexity

- Tree has  $n - 1$  edges, so  $O(n)$   $\text{Union}()$  operations
- $O(n \log n)$  amortized cost, overall

- Sorting  $E$  takes  $O(m \log m)$ 
  - Equivalently  $O(m \log n)$ , since  $m \leq n^2$
- Overall time,  $O((m + n) \log n)$

```

#Improved Kruskal's algorithm using Union-find
def kruskal(WList):
    (edges,TE) = ([],[])
    for u in WList.keys():
        edges.extend([(d,u,v) for (v,d) in WList[u]])
    edges.sort()
    mf = MakeUnionFind() #Given on Page1
    mf.make_union_find(len(WList))
    for (d,u,v) in edges:
        if mf.components[u] != mf.components[v]:
            mf.union(u,v)
            TE.append((u,v,d))
    '''We can stop the process if the size becomes
       equal to the total number of vertices'''
    # Which represent that a spanning tree is completed
    if mf.size[mf.components[u]]>= mf.size[mf.components[u]]:
        if mf.size[mf.components[u]] == len(WList):
            break
    else:
        if mf.size[mf.components[v]] == len(WList):
            break
    return(TE)

```

## Priority Queue

Need to maintain a collection of items with priorities to optimize the following operations

- **delete max( )**
  - Identify and remove item with highest priority
  - Need not be unique
- **insert( )**
  - Add a new item to the list

## Implementing Priority Queues

### One dimensional :

- Unsorted list
  - insert( ) is  $O(1)$
  - delete\_max( ) is  $O(n)$
- Sorted list
  - delete\_max( ) is  $O(1)$
  - insert( ) is  $O(n)$
- Processing n items requires  $O(n^2)$

### Two dimensional :

- $\sqrt{N} \times \sqrt{N}$  array with sorted rows
  - insert( ) is  $O(\sqrt{N})$
  - delete\_max is  $O(\sqrt{N})$
  - Processing N items is  $O(N\sqrt{N})$

## Binary tree

A binary tree is a tree data structure in which each node can contain at most 2 children, which are referred to as the left child and the right child.

## Heap

Heap is a binary tree, filled level by level, left to right. There are two types of the heap:

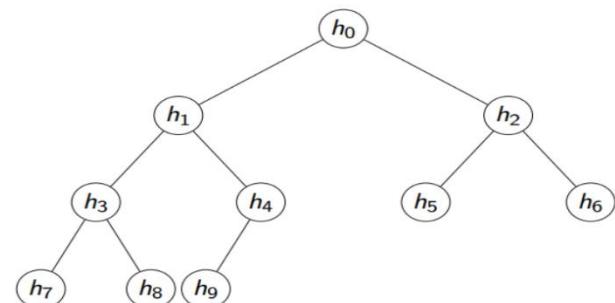
- Max heap - For each node V in heap except for leaf nodes, the value of V should be greater or equal to its child's node value.
- Min heap - For each node V in heap except for leaf nodes, the value of V should be less or equal to its child's node value.
- We can represent heap using array(list in python)

```
H = [h0, h1, h2, h3, h4, h5, h6, h7, h8, h9]
```

left child of  $H[i] = H[2 * i + 1]$

Right child of  $H[i] = H[2 * i + 2]$

Parent of  $H[i] = H[(i-1) // 2]$ , for  $i > 0$



```
#Max Heap
class maxheap:
    def __init__(self):
        self.A = []
    def max_heapify(self,k):
        l = 2 * k + 1
        r = 2 * k + 2
        largest = k
        if l < len(self.A) and self.A[l]>self.A[largest]:
            largest = l
        if r < len(self.A) and self.A[r]>self.A[largest]:
            largest = r
        if largest != k:
            self.A[k],self.A[largest]=self.A[largest],self.A[k]
            self.max_heapify(largest)

    def build_max_heap(self,L):
        self.A = []
        for i in L:
            self.A.append(i)
        n = int((len(self.A)//2)-1)
        for k in range(n, -1, -1):
            self.max_heapify(k)

    def delete_max(self):
        item = None
        if self.A != []:
            self.A[0],self.A[-1] = self.A[-1],self.A[0]
            item = self.A.pop()
            self.max_heapify(0)
        return item

    def insert_in_maxheap(self,d):
        self.A.append(d)
        index = len(self.A)-1
        while index > 0:
            parent = (index-1)//2
            if self.A[index] > self.A[parent]:
                self.A[index],self.A[parent]=self.A[parent],
                self.A[index]
                index = parent
            else:
                break
```

```
#Min Heap
class minheap:
    def __init__(self):
        self.A = []
    def min_heapify(self,k):
        l = 2 * k + 1
        r = 2 * k + 2
        smallest = k
        if l < len(self.A) and self.A[l]<self.A[smallest]:
            smallest = l
        if r < len(self.A) and self.A[r]<self.A[smallest]:
            smallest = r
        if smallest != k:
            self.A[k], self.A[smallest]=self.A[smallest],self.A[k]
            self.min_heapify(smallest)

    def build_min_heap(self,L):
        self.A = []
        for i in L:
            self.A.append(i)
        n = int((len(self.A)//2)-1)
        for k in range(n, -1, -1):
            self.min_heapify(k)

    def delete_min(self):
        item = None
        if self.A != []:
            self.A[0],self.A[-1] = self.A[-1],self.A[0]
            item = self.A.pop()
            self.min_heapify(0)
        return item

    def insert_in_minheap(self,d):
        self.A.append(d)
        index = len(self.A)-1
        while index > 0:
            parent = (index-1)//2
            if self.A[index] < self.A[parent]:
                self.A[index],self.A[parent] = self.A[parent],
                self.A[index]
                index = parent
            else:
                break
```

## Complexity

Heaps are a tree implementation of priority queues

- insert( ) is  $O(\log N)$
- delete max( ) is  $O(\log N)$
- heapify( ) builds a heap in  $O(N)$

## Complexity : Heap Sort

- Start with an unordered list
- Build a heap —  $O(n)$
- Call delete max() n times to extract elements in descending order —  $O(n \log n)$
- After each delete max(), heap shrinks by 1
- Store maximum value at the end of current heap
- In place  $O(n \log n)$  sort

## Binary Search Tree (BST)

A **binary search tree** is a binary tree that is either empty or satisfies the following conditions:

For each node V in the Tree

- The value of the left child or left subtree is always less than the value of V.
- The value of the right child or right subtree is always greater than the value of V

```
# considering dictionary as a heap for given code
def min_heapify(i,size):
    lchild = 2*i + 1
    rchild = 2*i + 2
    small = i
    if lchild < size-1 and HtoV[lchild][1] < HtoV[small][1]:
        small = lchild
    if rchild < size-1 and HtoV[rchild][1] < HtoV[small][1]:
        small = rchild
    if small != i:
        VtoH[HtoV[small][0]] = i
        VtoH[HtoV[i][0]] = small
        (HtoV[small],HtoV[i]) = (HtoV[i], HtoV[small])
        min_heapify(small,size)

def create_minheap(size):
    for x in range((size//2)-1,-1,-1):
        min_heapify(x,size)

def minheap_update(i,size):
    if i!= 0:
        while i > 0:
            parent = (i-1)//2
            if HtoV[parent][1] > HtoV[i][1]:
                VtoH[HtoV[parent][0]] = i
                VtoH[HtoV[i][0]] = parent
                (HtoV[parent],HtoV[i]) = (HtoV[i], HtoV[parent])
            else:
                break
            i = parent

def delete_min(hsize):
    VtoH[HtoV[0][0]] = hsize-1
    VtoH[HtoV[hsize-1][0]] = 0
    HtoV[hsize-1],HtoV[0] = HtoV[0],HtoV[hsize-1]
    node,dist = HtoV[hsize-1]
    hsize = hsize - 1
    min_heapify(0,hsize)
    return node,dist,hsize
```

```
#Heap sort Implementation:
def max_heapify(A,size,k):
    l = 2 * k + 1
    r = 2 * k + 2
    largest = k
    if l < size and A[l] > A[largest]:
        largest = l
    if r < size and A[r] > A[largest]:
        largest = r
    if largest != k:
        (A[k], A[largest]) = (A[largest], A[k])
        max_heapify(A,size,largest)

def build_max_heap(A):
    n = (len(A)//2)-1
    for i in range(n, -1, -1):
        max_heapify(A,len(A),i)

def heapsort(A):
    build_max_heap(A)
    n = len(A)
    for i in range(n-1,-1,-1):
        A[0],A[i] = A[i],A[0]
        max_heapify(A,i,0)
```

```

#Updated Implementation for adjacency matrix using min heap:
#global HtoV map heap index to (vertex,distance from source)
#global VtoH map vertex to heap index
HtoV, VtoH = {}, {}
def dijkstra(WMat,s):
    (rows,cols,x) = WMat.shape
    infinity = float('inf')
    visited = {}
    heapsize = rows
    for v in range(rows):
        VtoH[v]=v
        HtoV[v]=[v,infinity]
        visited[v] = False
    HtoV[s]= [s,0]
    create_minheap(heapsize)

    for u in range(rows):
        nextd,ds,heapsize = delete_min(heapsize)
        visited[nextd] = True
        for v in range(cols):
            if WMat[nextd,v,0] == 1 and (not visited[v]):
                '''update distance of adjacent of v if it is
                less than to previous one'''
                HtoV[VtoH[v]][1] = min(HtoV[VtoH[v]][1],ds+WMat[nextd,v,1])
                minheap_update(VtoH[v],heapsize)

```

```

#Updated Implementation for adjacency list using min heap:
HtoV, VtoH = {}, {}
#global HtoV map heap index to (vertex,distance from source)
#global VtoH map vertex to heap index
def dijkstralist(WList,s):
    infinity = float('inf')
    visited = {}
    heapsize = len(WList)
    for v in WList.keys():
        VtoH[v]=v
        HtoV[v]=[v,infinity]
        visited[v] = False
    HtoV[s]= [s,0]
    create_minheap(heapsize)

    for u in WList.keys():
        nextd,ds,heapsize = delete_min(heapsize)
        visited[nextd] = True
        for v,d in WList[nextd]:
            if not visited[v]:
                HtoV[VtoH[v]][1] = min(HtoV[VtoH[v]][1],ds+d)
                minheap_update(VtoH[v],heapsize)

```

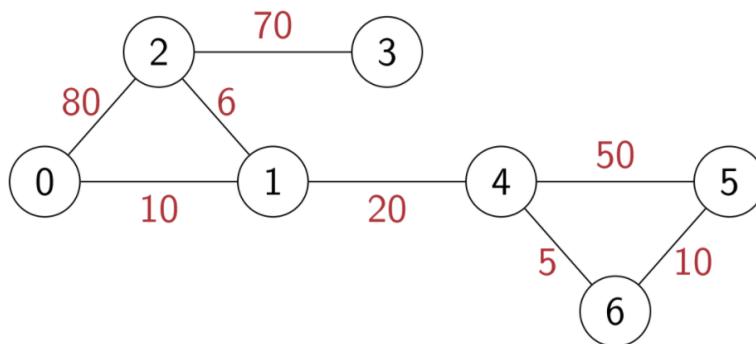
## Complexity : BST

- find( ), insert( ) and delete( ) all walk down a single path
- Worst-case: height of the tree
- An unbalanced tree with n nodes may have height  $O(n)$
- Balanced trees have height  $O(\log n)$
- Will see how to keep a tree balanced to ensure all operations remain  $O(\log n)$

# Shortest Paths in Weighted Graphs

## Weighted Graphs

- Recall that BFS explores a graph level-by-level
- BFS computes the shortest path, in terms on number of edges, to every reachable vertex
- May assign values to edges
  - Cost, time, distance, ...
  - Weighted graph
- $G = (V, E)$ ,  $W: E \rightarrow R$ , where  $R$  represents the set of real numbers



- **Adjacency matrix**
  - Record weights along with edge information -- weight is always 0 if there is no edge

	0	1	2	3	4	5	6
0	(0,0)	(1,10)	(1,80)	(0,0)	(0,0)	(0,0)	(0,0)
1	(1,10)	(0,0)	(1,6)	(0,0)	(1,20)	(0,0)	(0,0)
2	(1,80)	(1,6)	(0,0)	(1,70)	(0,0)	(0,0)	(0,0)
3	(0,0)	(0,0)	(1,70)	(0,0)	(0,0)	(0,0)	(0,0)
4	(0,0)	(1,20)	(0,0)	(0,0)	(0,0)	(1,50)	(1,5)
5	(0,0)	(0,0)	(0,0)	(0,0)	(1,50)	(0,0)	(1,10)
6	(0,0)	(0,0)	(0,0)	(0,0)	(1,5)	(1,10)	(0,0)

- **Adjacency list**
  - Record weights along with edge information

0	[(1,10),(2,80)]
1	[(0,10),(2,6),(4,20)]
2	[(0,80),(1,6),(3,70)]
3	[(2,70)]
4	[(1,20),(5,50),(6,5)]
5	[(4,50),(6,10)]
6	[(4,5),(5,10)]

```

#Weighted directed graph
#Adjacency matrix representation in Python
dedges = [(0,1,10),(0,2,80),(1,2,6),(1,4,20),
           (2,3,70),(4,5,50),(4,6,5),(5,6,10)]
size = 7
import numpy as np
W = np.zeros(shape=(size,size,2))
for (i,j,w) in dedges:
    W[i,j,0] = 1
    W[i,j,1] = w
print(W)

#Adjacency list representation in Python
dedges = [(0,1,10),(0,2,80),(1,2,6),(1,4,20),
           (2,3,70),(4,5,50),(4,6,5),(5,6,10)]
size = 7
WL = {}
for i in range(size):
    WL[i] = []
for (i,j,d) in dedges:
    WL[i].append((j,d))
print(WL)

```

```

#Weighted undirected graph
#Adjacency matrix representation in Python
dedges = [(0,1,10),(0,2,80),(1,2,6),(1,4,20),
           (2,3,70),(4,5,50),(4,6,5),(5,6,10)]
edges = dedges + [(j,i,w) for (i,j,w) in dedges]
size = 7
import numpy as np
W = np.zeros(shape=(size,size,2))
for (i,j,w) in edges:
    W[i,j,0] = 1
    W[i,j,1] = w
print(W)

#Adjacency list representation in Python
dedges = [(0,1,10),(0,2,80),(1,2,6),(1,4,20),
           (2,3,70),(4,5,50),(4,6,5),(5,6,10)]
edges = dedges + [(j,i,w) for (i,j,w) in dedges]
size = 7
WL = {}
for i in range(size):
    WL[i] = []
for (i,j,d) in edges:
    WL[i].append((j,d))
print(WL)

```

## Shortest paths in weighted graphs

- BFS computes shortest path, in terms of number of edges, to every reachable vertex
- In a weighted graph, add up the weights along the path
- Weighted shortest path need not have minimum number of edges
  - Shortest path from 0 to 2 is via 1

### Single source shortest paths

- Find shortest paths from a fixed vertex to every other vertex
- Transport finished product from factory (single source) to all retail outlets
- Courier company delivers items from distribution centre (single source) to addresses

### All pairs shortest paths

- Find shortest paths between every pair of vertices i and j
- Optimal airline, railway, road routes between cities

### Negative edge weights

- Can negative edge weights be meaningful?
- Taxi driver trying to head home at the end of the day
  - Roads with few customers, drive empty (positive weight)
  - Roads with many customers, make profit (negative weight)
  - Find a route toward home that minimizes the cost

### Negative cycles

- A negative cycle is one whose weight is negative
  - Sum of the weights of edges that make up the cycle

- By repeatedly traversing a negative cycle, total cost keeps on decreasing
- If a graph has a negative cycle, shortest paths are not defined
- Without negative cycles, we can compute shortest paths even if some weights are negative

## Summary

- In a weighted graph, each edge has a cost
  - Entries in adjacency matrix capture edge weights
- Length of a path is the sum of the weights
  - Shortest path in a weighted graph need not be the minimum in terms of number of edges
- Different shortest path problems
  - Single source: from one designated vertex to all others
  - All-pairs: between every pair of vertices
- Negative edge weights
  - Should not have negative cycles
  - Without negative cycles, shortest paths still well defined

## Dijkstra's Algorithm : Single Source Shortest Path

- Dijkstra's algorithm works for both directed and undirected graphs.
- Dijkstra's algorithm doesn't work for graphs with negative weights or negative weight cycles.
- This algorithm returns the shortest distance from the source to all other nodes, but after some modification like maintaining parent information of each node we can find out the shortest path.

## Implementation

- Maintain two dictionaries with vertices as keys
  - visited, initially False for all v (burnt vertices)
  - distance, initially infinity for all v (expected burn time)
- Set distance[s] to 0
- Repeat, until all reachable vertices are visited
  - Find unvisited vertex nextv with minimum distance
  - Set visited[nextv] to True
  - Recompute distance[v] for every neighbour v of nextv

## Summary

- Dijkstra's algorithm computes single source shortest paths
- Use a greedy strategy to identify vertices to visit
  - Next vertex to visit is based on shortest distance computed so far
  - Need to prove that such a strategy is correct
  - Correctness requires edge weights to be non-negative

## Complexity is $O(n^2)$

- Even with adjacency lists
- Bottleneck is identifying unvisited vertex with minimum distance
- Need a better data structure to identify and remove minimum (or max) from a collection.

```

# Adjacency matrix implementation
def dijkstra(WMat, s):
    (rows, cols, x) = WMat.shape
    infinity = np.max(WMat) * rows + 1
    (visited, distance) = ({}, {})

    for v in range(rows):
        (visited[v], distance[v]) = (False, infinity)

    distance[s] = 0

    for u in range(rows):
        nextd = min([distance[v] for v in range(rows)
                     if not visited[v]])
        nextvlist = [v for v in range(rows)
                     if (not visited[v]) and
                        distance[v] == nextd]

        if nextvlist == []:
            break

        nextv = min(nextvlist)
        visited[nextv] = True

        for v in range(cols):
            if WMat[nextv, v, 0] == 1 and (not visited[v]):
                distance[v] = min(distance[v], distance[nextv]
                                   + WMat[nextv, v, 1])

    return distance

```

```

# Adjacency list implementation
def dijkstra(WList, s):
    infinity = 1 + len(WList.keys()) * max([d for u in WList.keys()
                                              for (v, d) in WList[u]])
    (visited, distance) = ({}, {})

    for v in WList.keys():
        (visited[v], distance[v]) = (False, infinity)

    distance[s] = 0

    for u in WList.keys():
        nextd = min([distance[v] for v in WList.keys()
                     if not visited[v]])
        nextvlist = [v for v in WList.keys()
                     if (not visited[v]) and
                        distance[v] == nextd]

        if nextvlist == []:
            break

        nextv = min(nextvlist)
        visited[nextv] = True

        for (v, d) in WList[nextv]:
            if not visited[v]:
                distance[v] = min(distance[v], distance[nextv] + d)

    return distance

```

## Bellman Ford algorithm : Single Source Shortest with Negative Weights

- Bellman-Ford works for both directed and undirected graphs with non-negative edges weights.
- Bellman-Ford does not work with an undirected graph with negative edges weight, as it will be declared as a negative weight cycle.
- Bellman-Ford works for a directed graph with negative edge weight, but not with negative weight cycle.

## Complexity

- $O(n^3)$  for adjacency matrix.
- $O(mn)$  for adjacency list : where m is number of edges and n is number of vertices.

## Summary

- Dijkstra's algorithm assumes non-negative edge weights
  - Final distance is frozen each time a vertex "burns"
  - Should not encounter a shorter route discovered later
- Without negative cycles, every shortest route is a path
- Every prefix of a shortest path is also a shortest path
- Iteratively find the shortest paths of length 1,2,...,n-1
- Update distance of each vertex with every iteration -- **Bellman-Ford algorithm**
- \$\$ time with adjacency matrix,  $O(mn)$  time with adjacency list
- if Bellman-Ford algorithm does not converge after n-1 iterations, there is a negative cycle

```

def bellman_ford(WMat, s):
    (rows, cols, x) = WMat.shape
    infinity = np.max(Wmat) * rows + 1
    distance = {}

    for v in range(rows):
        distance[v] = infinity

    for i in range(rows):
        for u in range(rows):
            for v in range(cols):
                if WMat[u, v, 0] == 1:
                    distance[v] = min(distance[v],
                        distance[u] + WMat[u, v, 1])

    return distance

```

```

def bellman_ford_list(WList, s):
    infinity = 1 + len(WList.keys()) * max
    ([d for u in WList.keys() for (v, d) in WList[u]])
    distance = {}

    for v in WList.keys():
        distance[v] = infinity

    distance[s] = 0

    for i in WList.keys():
        for u in WList.keys():
            for (v, d) in WList[u]:
                distance[v] = min(distance[v],
                    distance[u] + d)

    return distance

```

## All pair of shortest path

- Find the shortest paths between every pair of vertices  $i$  and  $j$ .
- It is equivalent to if run Dijkstra or Bellman-Ford from each vertex.

## Floyd-Warshall algorithm

- Floyd-Warshall's works for both directed and undirected graphs with non-negative edges weights.
- Floyd-Warshall's does not work with an undirected graph with negative edges weight, as it will be declared as a negative weight cycle.
- Floyd-Warshall's algorithm is an alternative way to compute transitive closure  $B^k[i, j] = 1$  if we can reach  $j$  from  $i$  using vertices in  $\{0, 1, \dots, k-1\}$
- Floyd-Warshall works for a directed graph with negative edge weight, but not with a negative weight cycle.
- Formula for Floyd-Warshall algorithm is given below:-
- $$SP^k[i, j] = \min[SP^{k-1}[i, j], SP^{k-1}[i, k] + SP^{k-1}[k, j]]$$

## Summary

- Warshall's algorithm is an alternative way to compute transitive closure
  - $B^k[i, j] = 1$  if we can reach  $j$  from  $i$  using the vertices in  $\{0, 1, \dots, k-1\}$
- Adapt Warshall's algorithm to compute all pairs of shortest paths
  - $SP^k[i, j]$  if the length of the shortest path from  $i$  to  $j$  using vertices in  $\{0, 1, \dots, k-1\}$
  - $SP^n[i, j]$  is the length of the overall shortest path
- **Works with negative edge weights assuming no negative cycles**
- **Simple nested loop implementation, time  $O(n^3)$**
- Space can be limited to  $O(n^2)$  by re-using 2 "slices"  $SP$  and  $SP'$

```

#For adjacency matrix
def floyd_warshall(WMat):
    (rows, cols, x) = WMat.shape
    infinity = np.max(WMat) * rows * rows + 1
    SP = np.zeros(shape=(rows, cols, cols + 1))

    for i in range(rows):
        for j in range(cols):
            SP[i, j, 0] = infinity

    for i in range(rows):
        for j in range(cols):
            if WMat[i, j, 0] == 1:
                SP[i, j, 0] = WMat[i, j, 1]

    for k in range(1, cols + 1):
        for i in range(rows):
            for j in range(cols):
                SP[i, j, k] = min(SP[i, j, k - 1]),
                SP[i, k - 1, k - 1] + SP[k - 1, j, k - 1])

    return SP[:, :, cols]

```

## Minimum Cost Spanning Tree(MCST)

### Spanning Tree(ST)

- Retain a minimal set of edges so that graph remains connected
- Recall that a minimally connected graph is a tree
- Adding an edge to a tree creates a loop
- Removing an edge disconnects the graph
- Want a tree that connects all the vertices — **spanning tree**
- More than one spanning tree, in general

### Spanning trees with cost

- Restoring a road or laying a fiber optic cable has a cost
- Minimum cost spanning tree
  - Add the cost of all the edges in the tree
  - Among the different spanning trees, choose one with the minimum cost
- Some facts about trees
  - A tree on  $n$  vertices has exactly  $n - 1$  edges
  - Adding an edge to a tree must create a cycle.
  - In a tree, every pair of vertices is connected by a unique path

### Building minimum cost spanning trees

- We will use these facts about trees to build minimum cost spanning trees
- Two natural strategies
- Start with the smallest edge and "grow" a tree
  - **Prim's Algorithm**
- Scan the edges in ascending order of weight to connect components without forming cycles
  - **Kruskal's Algorithm**

### Summary

- **Prim's algorithm grows an MCST starting with any vertex**
- Implementation similar to Dijkstra's algorithms : Update rule for distance is different

- At each step, connect one more vertex to the tree using minimum cost edge from inside the tree to outside the tree
- Complexity is  $O(n^2)$ 
  - Even with adjacency lists
  - Bottleneck is identifying unvisited vertex with minimum distance
  - Need a better data structure to identify and remove minimum (or max) from a collection
- **Kruskal's algorithm builds an MCST bottom up**
  - Start with  $n$  components, each an isolated vertex
  - Scan the edges in ascending order of cost
  - Whenever an edge merges disjoint components, add it to the MCST
- Correctness follows from Minimum Separator Lemma
- Complexity is  $O(n^2)$  due to naive handling of components
  - We will see how to improve to  $O(m \cdot \log n)$
- If edge weights repeat, MCST is not unique
- "Choose minimum cost edge" will allow choices
  - Consider a triangle on 3 vertices with all edges equal
- Different choices lead to different spanning trees
- In general, there may be a very large number of minimum cost spanning trees

```
#Prim's Algorithm using List
def prim_list2(WList):
    infinity = 1 + max([d for u in WList.keys()
                        for (v, d) in WList[u]])
    (visited, distance, nbr) = ({}, {}, {})

    for v in WList.keys():
        (visited[v], distance[v], nbr[v]) = (False, infinity, -1)

    visited[0] = True

    for (v, d) in WList[0]:
        (distance[v], nbr[v]) = (d, 0)

    for i in range(1, len(WList.keys())):
        nextd = min([distance[v] for v in WList.keys()
                    if not visited[v]])
        nextvlist = [v for v in WList.keys()
                    if (not visited[v]) and
                       distance[v] == nextd]

        if nextvlist == []:
            break

        nextv = min(nextvlist)
        visited[nextv] = True

        for (v, d) in WList[nextv]:
            if not visited[v]:
                (distance[v], nbr[v]) = (min(distance[v], d),
                                          nextv)

    return nbr
```

```
#Kruskal's Algorithm using List
def kruskal(WList):
    (edges, components, TE) = ([], {}, [])

    for u in WList.keys():
        edges.extend([(d, u, v) for (v, d) in WList[u]])
        component[u] = u
    edges.sort()

    for (d, u, v) in edges:
        if component[u] != component[v]:
            TE.append((u, v))
            c = component[u]
            for w in WList.keys():
                if component[w] == c:
                    component[w] = component[v]

    return TE
```