# UIT2201 Programming and Data Structures Analysis of Algorithms

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- 6 Summary



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- S(n): Space (memory cells) required by an algorithm for input of size



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- Other analysis include best-case and average-case
- Amortized Analysis: Worst-case analysis of a sequence of operations
   cost for individual operation is then amortized total cost divided by number of operations



```
def linsearch(obj, lst):
   index = 0
   while (index < len(lst) and lst[index] != obj)
      index += 1
   return index</pre>
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• Let us consider the linear search algorithm as an example

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- How many comparisons are made in the worst case? T(n) = n
- How many comparisons are made in the best case? T(n) = 1
- How about average case?



• Let *p* be the probability that the object is present in the list.





$$T(n) = p\left[1.\frac{1}{n} + 2.\frac{1}{n} + \dots + n.\frac{1}{n}\right] + (1-p).n$$

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## **Big-Oh Notation**

#### Big-Oh Definition

T(n) is O(f(n)) if there exist constants c and  $n_0$  such that  $T(n) \leq cf(n)$   $\forall n \geq n_0$ 



• Suppose 
$$T(n) = 3n^3 + 2n^2$$



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- Claim: For  $n_0 = 1$  and c = 5,  $3n^3 + 2n^2 \le 5n^3 \quad \forall n \ge 1$



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- Such a claim may be proved using Mathematical Induction
- If this claim is true, then the complexity (growth rate) can be expressed as  $O(n^3)$
- Technically, we can also say that this T(n) is  $O(n^4)$ !
- But, that is a weak statement, and it is understood that we need to find the "least upper bound"

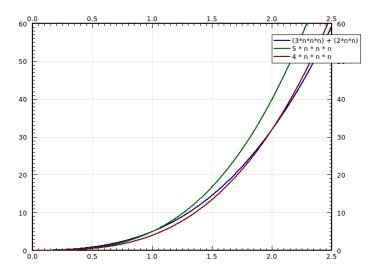


Figure: Big-Oh Illustration



# Big-Omega Notation

#### Big-Omega Definition

T(n) is  $\Omega(g(n))$  if there exists a positive constant c such that  $T(n) \geq cg(n)$  infinitely often



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T(n) is  $\Omega(g(n))$  if there exists a positive constant c such that  $T(n) \ge cg(n)$  infinitely often

• Like in the case of Big-Oh, g(n) is expected to be a tight lower bound for  $\mathcal{T}(n)$ 

## **Big-Theta Notation**

## Big-Theta Definition

T(n) is  $\Theta(f(n))$  if there exist positive constants  $c_1, c_2, n_0$  such that  $c_1 f(n) \le T(n) \le c_2 f(n) \ \forall n \ge n_0$ 

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• f(n) provides both upper and lower bounds for T(n), and hence Big-Theta is a much preferred notation



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- f(n) provides both upper and lower bounds for T(n), and hence Big-Theta is a much preferred notation
- In other words, for any T(n) and f(n), T(n) is  $\Theta(f(n))$  if and only if T(n) is O(f(n)) and T(n) is  $\Omega(f(n))$

• Consider 
$$T(n) = \frac{n^2}{2} - 3n$$



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- Note that  $\frac{1}{2} \frac{3}{n}$  approaches  $\frac{1}{2}$  as n grows larger, and hence  $c_2 = \frac{1}{2}$
- Note that  $\frac{1}{2} \frac{3}{n}$  becomes positive  $(\frac{1}{14})$  when n = 7. Hence, we can conclude that for any  $n \ge 7$ ,  $\frac{1}{2} \frac{3}{n} \ge \frac{1}{14}$



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- Thus, by selecting  $c_1 = \frac{1}{14}$ ,  $c_2 = \frac{1}{2}$ , and  $n_0 = 7$ , we can show that T(n) is  $\Theta(n^2)$



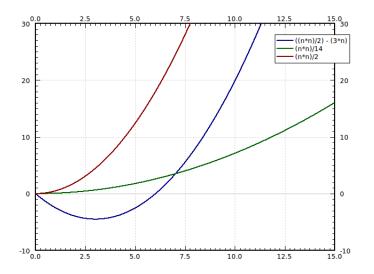


Figure: Big-Theta Illustration



# Big-Theta Theorem

## **Theorem**

Any polynomial  $T(n) = \sum_{i=0}^{d} a_i n^i$  with  $a_d > 0$  is  $\Theta(n^d)$ 

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## Theorem

As a special case, when d=0, T(n) is a constant and can be expressed as  $\Theta(1)$ 



## **Small Notations**

#### small-oh Definition

T(n) is o(f(n)) if for any positive constant c>0,  $\exists n_0$  such that  $T(n) < cf(n) \ \forall n > n_0$ 



## **Small Notations**

#### small-oh Definition

T(n) is o(f(n)) if for any positive constant c>0,  $\exists n_0$  such that  $T(n) < cf(n) \ \forall n > n_0$ 

## small-omega Definition

T(n) is  $\omega(f(n))$  if for any positive constant c>0,  $\exists n_0$  such that  $T(n)>cf(n)\ \forall n>n_0$ 



- O(1)
- O(log n)
- $O(\log^2 n)$
- O(n)
- O(n log n)
- $O(n^2)$
- $O(n^3)$
- $O(2^n)$
- O(n!)



• O(1)

Constant Time

- O(log n)
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#### Constant Time

## Logarithmic Time



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#### Constant Time

Logarithmic Time

Linear Time



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- $O(\log^2 n)$
- O(n)
- O(n log n)
- $O(n^2)$
- $O(n^3)$
- $O(2^n)$
- O(n!)

#### Constant Time

Logarithmic Time

Linear Time

Polynomial Time



• O(1)

O(log n)

•  $O(\log^2 n)$ 

O(n)

O(n log n)

•  $O(n^2)$ 

•  $O(n^3)$ 

•  $O(2^n)$ 

O(n!)

Constant Time

Logarithmic Time

Linear Time

Polynomial Time

Exponential Time

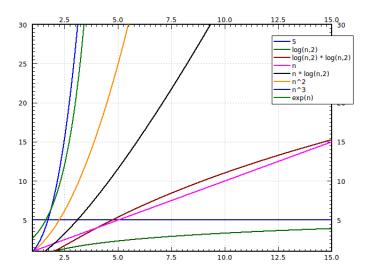


Figure: Typical Growth Rates



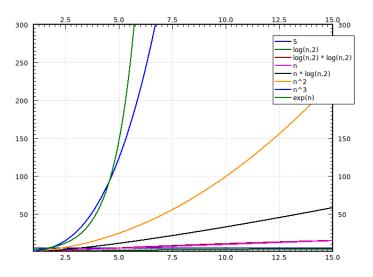


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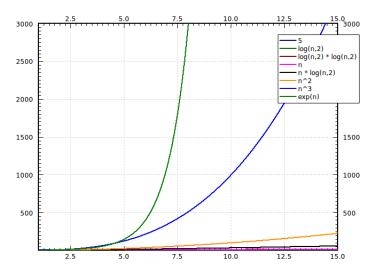


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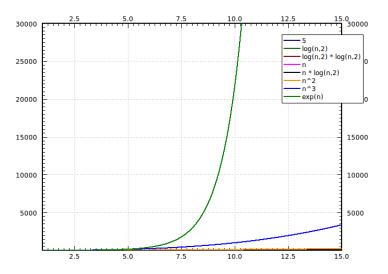


Figure: Typical Growth Rates



```
Sequential Segments
<.... Program Segment 1
....
>
```



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. . . Program Segment 2

```
Sequential Segments

< . . . Program Segment 1

. . . .

> . . . Program Segment 2

. . . . Program Segment 2

. . . . T_1(n)
```



## Sequential Segments

```
<
... Program Segment 1
...
>
<
... Program Segment 2
...</pre>
```

 $T(n) = T_1(n) + T_2(n)$ 

$$T_1(n)$$

$$T_2(n)$$



## Sequential Segments

## Sum Rule

Suppose  $T_1(n)$  is O(f(n)) and  $T_2(n)$  is O(g(n)), then T(n) is  $O\left(\max\left(f(n),g(n)\right)\right)$ 

```
Iteration
```

```
< . . . Program Segment . . . . > executed T_2(n) times
```



executed  $T_2(n)$  times

# $\begin{array}{c} \textbf{Iteration} \\ < \\ \ldots \ \ \textbf{Program Segment} \\ \cdots \end{array}$

## Iteration

<

. . . Program Segment

. .

>

executed  $T_2(n)$  times

$$T(n) = T_1(n) \times T_2(n)$$



 $T_1(n)$ 

#### Iteration

$$T_1(n)$$

$$T(n) = T_1(n) \times T_2(n)$$

#### Product Rule

Suppose  $T_1(n)$  is O(f(n)) and  $T_2(n)$  is O(g(n)), then T(n) is O(f(n)g(n))



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#### Product Rule

Suppose  $T_1(n)$  is O(f(n)) and  $T_2(n)$  is O(g(n)), then T(n) is O(f(n)g(n))

Note that O(cf(n)) is same as O(f(n))



# Example: Maximum Sub-sequence Sum

• Given a sequence of integers  $\langle a_1, a_2, \cdots, a_N \rangle$ , find the maximum value of

$$\sum_{k=i}^{j} a_k$$

for some (i,j) range within the bounds of the sequence.

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- An instance:  $\langle -2, 11, -4, 13, -5, -2 \rangle$
- Answer?



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- $\bullet$  An instance:  $\langle -2,11,-4,13,-5,-2\rangle$
- Answer? 20
- Algorithm idea?



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- You may assume that sum may be reported as 0 whenever it goes negative
- $\bullet$  An instance:  $\langle -2,11,-4,13,-5,-2\rangle$
- Answer? 20
- Algorithm idea? Brute-force approach exhaustive search examine all the sub-sequences and choose the maximum



```
MaxSum = 0
for i in range(N):
  for j in range(i, N):
    ThisSum = 0
    for k in range(i, j+1):
        ThisSum += A[k]
    if (ThisSum > MaxSum):
        MaxSum = ThisSum;
return MaxSum
```



$$\sum_{k=i}^{j} 1 =$$



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```

$$\sum_{k=i}^{j} 1 = j - i + 1$$



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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            \sum_{j=1}^{J} 1 = j - i + 1
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         ThisSum += A[k]
     if (ThisSum > MaxSum):
         MaxSum = ThisSum; • "k" - loop
return MaxSum
                                              \sum_{j=1}^{J} 1 = j - i + 1
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$$\begin{aligned} & \text{MaxSum} = 0 \\ & \text{for i in range}(N): \\ & \text{for j in range}(i, N): & \text{"j"-loop} \\ & \text{ThisSum} = 0 \\ & \text{for k in range}(i, j+1): & \sum_{j=i}^{N-1} (j-i+1) = \frac{(N-i)(N-i+1)}{2} \\ & \text{if (ThisSum} > \text{MaxSum}): \\ & \text{MaxSum} = \text{ThisSum}; & \text{"k"-loop} \\ & \text{return MaxSum} \end{aligned}$$

"i" – loop



 $\sum_{i=1}^{N-1} \frac{(N-i)(N-i+1)}{2} = \frac{N(N+1)(N+2)}{6}$ MaxSum = 0for i in range (N): for i in range(i, N): • "i" - loop ThisSum = 0for k in range (i, j+1):  $\sum_{N=1}^{N-1} (j-i+1) = \frac{(N-i)(N-i+1)}{2}$ ThisSum += A[k]if (ThisSum > MaxSum): MaxSum = ThisSum; • "k" - loop return MaxSum

"i" – loop

$$\sum_{k=i}^{j} 1 = j-i+1$$



• "i" – loop  $\sum_{i=0}^{N-1} \frac{(N-i)(N-i+1)}{2} = \frac{N(N+1)(N+2)}{6}$ 

$$\begin{aligned} &\text{MaxSum} = 0 & \sum_{i=0}^{\infty} \frac{(k-i)(k-i+2)}{2} = \frac{k(k+2)(k-1)}{6} \\ &\text{for i in range}(N): & \text{ "j"-loop} \\ &\text{for j in range}(i, N): & \text{ "j"-loop} \\ &\text{ThisSum} = 0 & \sum_{j=i}^{N-1} (j-i+1) = \frac{(N-i)(N-i+1)}{2} \\ &\text{if (ThisSum} > \text{MaxSum}): & \text{ "k"-loop} \\ &\text{return MaxSum} & \end{aligned}$$

$$\sum_{i=1}^{j} 1 = j - i + 1$$

Time complexity is  $O(n^3)$ 



$$\begin{aligned} &\text{MaxSum} = 0 \\ &\text{for i in range}(\mathsf{N}): \\ &\text{for j in range}(\mathsf{i}, \, \mathsf{N}): \\ &\text{for j in range}(\mathsf{i}, \, \mathsf{N}): \\ &\text{ThisSum} = 0 \\ &\text{for k in range}(\mathsf{i}, \, \mathsf{j}+1): \\ &\text{ThisSum} += \mathsf{A}[\mathsf{k}] \\ &\text{if (ThisSum} > \mathsf{MaxSum}): \\ &\text{MaxSum} = \mathsf{ThisSum}; \\ &\text{w"k"-loop} \end{aligned}$$

Time complexity is  $O(n^3)$ 

Is it possible to reduce the work done?



$$\begin{aligned} \text{MaxSum} &= 0 \\ \text{for i in range}(N): \\ \text{for j in range}(i, N): & \bullet \text{"j"-loop} \\ \text{ThisSum} &= 0 \\ \text{for k in range}(i, j+1): \\ \text{ThisSum} &+= A[k] \\ \text{if (ThisSum} &> \text{MaxSum}): \\ \text{MaxSum} &= \text{ThisSum}; & \bullet \text{"k"-loop} \\ \end{aligned}$$

"i" – loop

Time complexity is  $O(n^3)$ 



Is it possible to reduce the work done? Dynamic Programming!

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MaxSum = 0
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  for j in range(i, N):
    ThisSum += A[j]
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• "j" – loop
$$\sum_{i=1}^{N-1} 1 = (N-1) - i + 1 = N-i$$



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• "i" – loop

$$\sum_{i=0}^{N-1} N - i = N + (N-1) + \dots + 1 = \frac{N(N+1)}{2}$$

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Time complexity is  $O(n^2)$ 

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Time complexity is  $O(n^2)$ 

Is it possible to find the maximum sum without examining all the sub-sequences!?



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MaxSum = ThisSum = 0
for j in range(N):
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ullet Try this on the instance  $\langle -2,11,-4,13,-5,-2 
angle$ 



 $\bullet$   $O(n) \times O(1)$ 

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- Note that all the sub-sequences are not examined!



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- Try this on the instance  $\langle -2, 11, -4, 13, -5, -2 \rangle$
- $O(n) \times O(1)$
- Time complexity is O(n)
- Note that all the sub-sequences are not examined! Greedy!?



• Is it possible to empirically verify if the running time of an algorithm is O(f(n))?



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- Implement the algorithm and note down the actual running time T(n) for different values of n (you may have to take average of several runs for each n)

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- $\bullet$  f(n) is a tight bound if this ratio converges to a positive constant
- If the ratio converges to 0, then f(n) is an over-estimate
- f(n) is an under-estimation, if this ratio diverges



# Summary

- Time / Space complexity of an algorithm are expressed in notations such as Big-Oh and Big-Theta
- These notations bring out the growth rate of time / space wrt the size of the input
- These notations enable us to avoid exact calculations of number of "basic steps" or memory space required — overall growth rate can be estimated based on growth rates of components
- It is possible to come up with several designs of algorithms for a problem, and analysis is important to choose the appropriate one
- It is also possible to perform empirical ratio analysis to determine / verify time complexity of an algorithm



#### What next?

- We will take up analysis of recursive algorithms in the next lecture
- Meanwhile, start reading about complexity analysis of algorithms from standard books

