

# Computing Science (CMPUT) 455

## Search, Knowledge, and Simulations

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## Today's Topics - Lecture 10

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- More on Minimax and Alphabeta
- Python sample codes
- Solve TicTacToe again, now with alphabeta
- Compare alphabeta with naive negamax and boolean negamax
- Iterative deepening
- Alphabeta and proof trees; principal variation
- Search enhancements: transposition table

# Coursework

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- Work on Assignment 2
- Should have finished reading Schaeffer et al, *Checkers is solved*. Science, 2007
- Read van der Werf et al., *Solving Go on small boards*
- Quiz 6
- Activities 10

# Review - Minimax and Alpha-Beta

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- Solve game tree for general case
- More than two (win-loss) outcomes
- Result in leaf nodes: numerical score
- Example: win-loss-draw, coded as e.g.  
win = +1, draw = 0, loss = -1
- Minimax: player maximizes their score,  
opponent minimizes
- Alphabeta: prune if move is outside alphabeta window
- Meaning of window: moves outside are too bad for one of  
the players, that player will make a different choice  
earlier on

# Minimax and Alphabeta Sample Code

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- New static evaluation function in  
`tic_tac_toe_integer_eval.py`
- The example is for negamax, from `toPlay`'s point of view
- Can also be used for depth-bounded search, if evaluation is also called for interior nodes:  
`alphabeta_depth_limited_tictactoe_test.py`
- Note: this uses *no* heuristic, so it is blind search
- Evaluation is exact at leaf nodes, 0 everywhere else

# Solve TicTacToe with Negamax and Alphabeta

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- Compare Three Search Algorithms
  - Solve TicTacToe in three different ways
- Naive negamax, alphabeta, boolean negamax
- boolean negamax test in  
`boolean_negamax_test_tictactoe.py`
- Naive negamax, alphabeta test in  
`alphabeta_tictactoe_test.py`
- All solve the game
- Performance of Alphabeta, boolean negamax is similar
- Naive negamax not competitive - no pruning at all
- None of these programs use a heuristic
- We can easily add a heuristic

## Activity 10a: add a heuristic for TicTacToe

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- Add a heuristic in the function

`staticallyEvaluateForToPlay()`

- Idea: highest value for sure wins (3 in a row complete), lowest for losses
- If not won or lost: scan all eight lines on the board (3 horizontal, 3 vertical, 2 diagonal)
- Compute a score for each line depending on how good or bad it is for you
- Add up all those scores to get an evaluation function

## Activity 10a Continued

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- How to evaluate a line?
- Check different “features” and give a bonus or penalty when they are present
  - If the line is blocked for both, then value 0
    - Examples: xox      .ox      xxo
  - If a line is an “open two”, then very valuable
    - Examples: xx.      o.o
  - If a line is an “open one”, then has some value for that player
    - Examples: x..      .o.
  - Completely open line
    - Example: ...



## Activity 10a-c Questions to Explore

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- For “open two”, should they be the same value for your own color and the opponent? Or is one more valuable than the other?
- How about a completely open line ... ? Should it be neutral, or a small advantage for the current player?
- What works better: adding up all features, or finding the most important one? Why?
- What are good “feature weights”? Experiment with different choices.
- Activities 10b and 10c: Test if the solver needs fewer nodes, and becomes faster.

# Depth-limited AlphaBeta

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From `alphabeta_depth_limited.py`

```
def alphabetaDL(state, alpha, beta, depth):  
    if state.endOfGame() or depth == 0:  
        return state.staticallyEvaluateForToPlay()  
    ...  
    value = -alphabetaDL(state, -beta,  
                        -alpha, depth - 1)  
    ...  
  
# initial call with full window  
def callAlphaBetaDL(rootState, depth):  
    return alphabetaDL(rootState, -INFINITY,  
                      INFINITY, depth)
```

# Experiment: Explore Depth-limited AlphaBeta

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`alphabeta_depth_limited_tictactoe_test.py`

- TicTacToe with evaluation scores in `tic_tac_toe_integer_eval.py`
- Runs alphabeta with different depth limits: iterative deepening
- Example 1: empty board. Result always 0
- Example 2: win for X. Result changes to win score when win is proven at depth 5

# Experiment: Explore Depth-limited Alphabeta

---

`alphabeta_depth_limited_tictactoe_test.py`

- TicTacToe with evaluation scores in `tic_tac_toe_integer_eval.py`
- Runs alphabeta with different depth limits: iterative deepening
- Example 1: empty board. Result always 0
- Example 2: win for X. Result changes to win score when win is proven at depth 5
- Interpretation: against best response:
  - Black can win in 5 moves
  - Black cannot win in 4 or fewer moves

# Alphabeta and Proofs

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- Assume the minimax value of a game is  $m$
- alphabeta search (with no depth limit) computes the score  $m$
- We can view alphabeta as finding two proofs at the same time
- Max player can guarantee **at least**  $m$
- Min player can limit score to **at most**  $m$

## Alphabeta and Proofs - Continued

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- If we store information about all nodes in the search, then we have these two strategies stored explicitly
- Also remember the relation to boolean minimax:
  - If we know a candidate value  $m$ :
  - We can do two boolean searches with tests  $\geq m$  and  $> m$
  - Together they can verify that  $m$  is the minimax result

## Alphabeta and Playing Optimally - OR Node

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- Assume both players follow best play based on the stored values
- Assume the root  $n$  is an OR node with minimax value  $score(n) = m$
- Children  $c_1, \dots, c_k$ :
- $score(n) = m = \max(score(c_1), score(c_2), \dots, score(c_k))$
- OR player can find (at least) one child  $c_i$  with  $score(c_i) = m$ , and play that move
- This move leads to an AND node

## Alphabeta and Playing Optimally - AND Node

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- $c_i$  is an AND node
- $\text{score}(c_i) = m = \min(\text{score}(c_{i1}), \text{score}(c_{i2}), \dots)$
- AND player can find (at least) one child  $c_{ij}$  with  $\text{score}(c_{ij}) = m$ , and play that move
- This move leads to an OR node
- Repeat the same arguments until the end of the game



# Principal Variation (PV)

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- If both players play best moves:  
they follow a *principal variation* or PV of the search
- This is a move sequence with the property that  
**each node in the sequence has a score of  $m$**
- Even with a depth-limited search and heuristic evaluation,  
a PV exists
- It will only go as deep as the search
- All these nodes have the same value as the  
**heuristic evaluation** of the last node in the sequence

# Principal Variation and Proof Trees

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- Consider the two parts of the proof that the minimax value is  $m$ 
  - Proof that the max player can get at least  $m$
  - Proof that the min player can get  $m$  or less
- If both players follow their proof, they will play out a PV
- The reverse is also true:
- Assume both players follow a move sequence  $S$ , such that for all nodes along that sequence the minimax score is  $m$
- Then there exist two proof trees:
  - $P1$  for max
  - $P2$  for min
  - $S$  is the intersection of  $P1$  and  $P2$  (set of nodes that are in both trees)

# Summary of Basic Solving Algorithms

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- Alphabeta and Negamax
- Alphabeta performance similar to boolean negamax here
- Naive negamax much worse, no pruning
- Discussed relation between alphabeta and proof trees
- Principal variation: a line with best play for both

# Minimax Search Enhancements

# Search Enhancements - Overview

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- Hashing to cache search results
- Transposition table
- From searching a tree to searching a DAG
- Iterative deepening and move ordering
- History heuristic
- More alphabeta search improvements

## How to Store Information About Search Nodes?

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- In our minimax codes so far we did not store any information on search states
- The searches just returned a boolean, or an integer
- The searches used *depth-first* order
  - Go to first child, then first child of first child,...
- What if we want more detailed search results, and store them?
- Examples:
  - Store the best move
  - Store a proof tree
  - Store search statistics
  - Re-use search results for a later, deeper search

## Get Best Move and PV

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- It is easy to modify search to return both the score and a PV
- However, the overhead is quite large
- Very many move sequences are created during search
- Almost all of them are discarded later
- Much more efficient approach: use a **transposition table**
- Can get best move and PV information almost for free
- Several other important benefits

# Big Idea: Caching Information

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- A cache is an information store
- Example: on-chip cache for CPU
  - Accesses data much faster than loading from main memory
- Example: cache for rendered web pages
  - Data in cache is stored locally as opposed to loading from web, parsing html, loading images, building on-screen image, recomputing...



# Hashing and Transposition Table

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- Idea:  
Store game positions and its search information
- Examples: minimax score, win/loss flag, best move, search depth reached in iterative search, number of nodes searched, timestamp (when solved),...
- How to store?
- Typically, a fixed-size array is used for a search
- For our simple example,  
we just use a Python dictionary

# Storing a TicTacToe Position

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- How to store?
- Standard approach: compute a *code* or *hash code* for the position
- Store in the transposition table under this code
- For TicTacToe, less than  $3^9 = 19,683$  states total
- Can easily store all states that we search

# Code for a TicTacToe Position

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- Remember our 1-d array representation
- Number code for each point
  - EMPTY = 0
  - BLACK = 1, used for 'X'
  - WHITE = 2, used for 'O'

```
# Board stored in array of size 9:  
# 0 1 2  
# 3 4 5  
# 6 7 8
```

- View state as array of codes:
  - `s = [1, 1, 2, 2, 2, 1, 1, 0, 0]`
  - Example: `s[0] = 1` means top left corner is 'X'

# Store Code and Data in Simple Transposition Table

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- Array of codes:  $s = [1, 1, 2, 2, 2, 1, 1, 0, 0]$
- Code of state: treat codes as a base 3 integer = 112221100 in base 3
- $\text{code}(s) =$   
 $1 \times 3^8 + 1 \times 3^7 + 2 \times 3^6 + 2 \times 3^5 +$   
 $+ 2 \times 3^4 + 1 \times 3^3 + 1 \times 3^2 + 0 \times 3^1 + 0 \times 3^0$
- Store pairs  $(\text{code}(s), \text{data}(s))$
- To store in a Python dictionary
  - Use  $\text{code}(s)$  as the key
  - Store  $\text{data}(s)$  as the value under that key

## Example: Simple Transposition Table

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- `transposition_table_simple.py`
- Store boolean result score (True or False) as value
  - It is easy to store best move as well
- Use code as key
- Lookup failure: return `None`
- Lookup success - return score: True, or False

```
class TranspositionTable(object):  
    ...  
    def store(self, code, score):  
        self.table[code] = score  
  
    def lookup(self, code):  
        return self.table.get(code)
```

## Example: Boolean Negamax with Simple Transposition Table

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- `boolean_negamax_tt.py`
- Always try lookup first
- If succeeds:
  - Done, no search needed
- Otherwise:
  - Do the regular search
  - Store result in table before return from function

```
def negamaxBoolean(state, tt):  
    result = tt.lookup(state.code())  
    if result != None:  
        return result  
    ...
```

## boolean\_negamax\_tt.py continued

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```
if state.endOfGame():
    result = state.staticallyEvaluateForToPlay()
    return storeResult(tt, state, result)
for m in state.legalMoves():
    state.play(m)
    success = not negamaxBoolean(state, tt)
    state.undoMove()
    if success:
        return storeResult(tt, state, True)
return storeResult(tt, state, False)

def storeResult(tt, state, result):
    tt.store(state.code(), result)
    return result
```

# Apply Transposition Table to Solving TicTacToe

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- `tic_tac_toe_solve_with_tt.py`
- About 3x faster than without table
- For larger problems (Go, NoGo, Gomoku, ...) using the table can be several orders of magnitude faster



# Full Transposition Table

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- Problem with our simple approach so far:
- Does not scale to large searches
- Using dictionary to store all states will fill memory within seconds
  - For a fast program written in something like C++ anyway...
- We need a solution that works with fixed memory limit
- Only store most important states
- Need information-losing hash codes (see next slide)
- **Warning: it is surprisingly hard to do this in Python...**

## Example: Code for $19 \times 19$ Go

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- Why do we not always use the full code?
- Example: Full code for  $19 \times 19$  Go
- 3 states per point,  $19 \times 19 = 361$  points
- Total  $3^{361} > 2^{572}$  different codes
- Not even considering history here, which is needed for Ko rule - Go has even more distinct states
- Storing everything in a table is not feasible
- Using full 573+ bit codes is not necessary
- Standard today: use 64 bit codes

# Zobrist Hash Codes

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- How to compute a good 64 bit code for a state?
- Standard: Zobrist hashing, [https://en.wikipedia.org/wiki/Zobrist\\_hashing](https://en.wikipedia.org/wiki/Zobrist_hashing)
- Prepare one random number `code[point][color]` for each (point, color) combination
- Code of state is bitwise logical `xor` over all points on the board
- example:  
`board[0] = WHITE, board[1] = EMPTY,`  
`board[2] = BLACK, ...`
- `hashcode = code[0][WHITE] xor`  
`code[1][EMPTY] xor code [2][BLACK] xor ...`

# Bitwise xor in Python

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- Option 1: use ^

```
0b1111011 ^ 0b111001000
```

- Option 2:

```
from operator import xor  
xor(0b1111011, 0b111001000)
```

# Transposition Table in Fixed Size Array

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- **Warning: it is surprisingly hard to do this well in Python. The reasons are buried in the obscure details of how Python works**
- Where in table to store state  $s$ ?
- For a fixed size array, we need to compute an array index from the 64 bit code of  $s$
- Typical solution:
  - Use array of some size  $2^n$
  - Take the first  $n$  bits of `code(s)` as the array index
- Avoid collisions: store full 64 bit code as part of data
- At each lookup, compare full 64 bit code
- Do not trust 64 bit codes for proofs!
  - Verify solution tree without using hashing

# Transposition Table Entries

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- What data to store?
- Depends on type of search
- For boolean negamax we only needed one bit
  - True/False minimax value of state
- For alphabeta, iterative deepening, need to store more
  - Best move from this state
  - Search score
  - Flags: exact value or upper or lower bound
  - Search depth
  - A flag whether it is exact result or heuristic score
- Details - `https://chessprogramming.org/Transposition_Table`

## State Space of TicTacToe

# Enumerating the State Space of TicTacToe

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- TicTacToe has a small enough state space to create and count all states
- We will do it both for the tree and the DAG model
- How much do we save from using Transposition Table?



# Estimating the Tree of TicTacToe

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- `tic_tac_toe_estimate_tree.py`
- Estimate for the tree model
- Branching factor: 9 at root, then 8, 7, ...
- Model as in Lecture 4

Estimated Tic Tac Toe positions in the tree model:

[1, 9, 72, 504, 3024, 15120, 60480, 181440, 362880, 362880]

# Enumerating the Tree of TicTacToe

---

- Now we can do the exact count for the tree model
- Brute force enumeration of the whole state space
- `tic_tac_toe_count_tree.py`

```
def countTicTacToeTree():  
    t = TicTacToe()  
    positionsAtDepth = [0] * 10  
    countAtDepth(t, 0, positionsAtDepth)  
    print("Tic Tac Toe positions in tree model: ",  
          positionsAtDepth)
```

# Enumerating the Tree of TicTacToe

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- Brute force enumeration of the whole state space
- In all reachable states..
- ...try all legal moves
- Stop only when the game is over

```
def countAtDepth(t, depth, positionsAtDepth):  
    positionsAtDepth[depth] += 1  
    if t.endOfGame():  
        return  
    for i in range(9):  
        if t.board[i] == EMPTY:  
            t.play(i)  
            countAtDepth(t, depth + 1,  
                          positionsAtDepth)  
            t.undoMove()
```

# Enumerating the DAG of TicTacToe

---

- With transposition table, we can now count the size of the TicTacToe state space in the DAG model
- Main idea: skip states that we saw before
- `tic_tac_toe_count_dag.py`

```
def countTicTacToeDAG():  
    tt = TranspositionTable()  
    t = TicTacToe()  
    positionsAtDepth = [0] * 10  
    countAtDepth(t, 0, positionsAtDepth, tt)  
    print("Tic Tac Toe positions in DAG model: ",  
          positionsAtDepth)
```

# Enumerating the DAG of TicTacToe

---

```
def countAtDepth(state, depth, positionsAtDepth, tt)
    result = tt.lookup(state.code())
    if result != None:
        return
    tt.store(state.code(), True)
    positionsAtDepth[depth] += 1
    if state.endOfGame(): return
    for i in range(9):
        if state.board[i] == EMPTY:
            state.play(i)
            countAtDepth(state, depth + 1,
                          positionsAtDepth, tt)
            state.undoMove()
```

# TicTacToe - DAG vs Tree Comparison

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```
Run tic_tac_toe_estimate_tree.py,  
tic_tac_toe_count_tree.py,  
tic_tac_toe_count_dag.py
```

Estimated positions in the tree model:

```
[1, 9, 72, 504, 3024, 15120, 60480, 181440,  
362880, 362880]
```

positions in tree model:

```
[1, 9, 72, 504, 3024, 15120, 54720, 148176,  
200448, 127872]
```

positions in DAG model:

```
[1, 9, 72, 252, 756, 1260, 1520, 1140,  
390, 78]
```

## TicTacToe - DAG vs Tree Discussion

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- Tree: estimate is exact at depth 0 ... 5 (why?)
- DAG: No savings at lower levels (why?)
- Massive savings deeper in DAG

# Another Application of Transposition Table: Solve All TicTacToe States

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- `tic_tac_toe_solve_all.py`:  
Traverse whole state space
- Solve each state
- Store all solved nodes in transposition table
- Optional activity: modify the code to print each DAG state only once (use `tt`)

```
Solve all TicTacToe states black win/draw/white win
Depth 0: 0 black, 1 draws, 0 white, 1 total positions
Depth 1: 0 black, 9 draws, 0 white, 9 total positions
Depth 2: 48 black, 24 draws, 0 white, 72 total positions
Depth 3: 128 black, 276 draws, 100 white, 504 total positions
Depth 4: 2336 black, 544 draws, 144 white, 3024 total positions
Depth 5: 5472 black, 3168 draws, 6480 white, 15120 total positions
Depth 6: 38016 black, 7200 draws, 9504 white, 54720 total positions
Depth 7: 59472 black, 28800 draws, 59904 white, 148176 total positions
Depth 8: 81792 black, 46080 draws, 72576 white, 200448 total positions
Depth 9: 81792 black, 46080 draws, 0 white, 127872 total positions
```



## More Alphabet Improvements

# Alphabeta Improvement: Iterative deepening and Move Ordering

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- We have seen iterative deepening before
- Search with depth limit of 1, 2, 3, ...
- Scenario now: heuristic alphabeta search with a (good) evaluation function
- Even shallow searches will often find a good move
- Remember - alphabeta is most effective if **strongest move is tried first**
- Alphabeta window is reduced the most, can cut more moves
- Idea: first try the strongest move from **previous** search
- This is a very strong heuristic and used in most alphabeta implementations

# Alphabeta Improvement: History Heuristic

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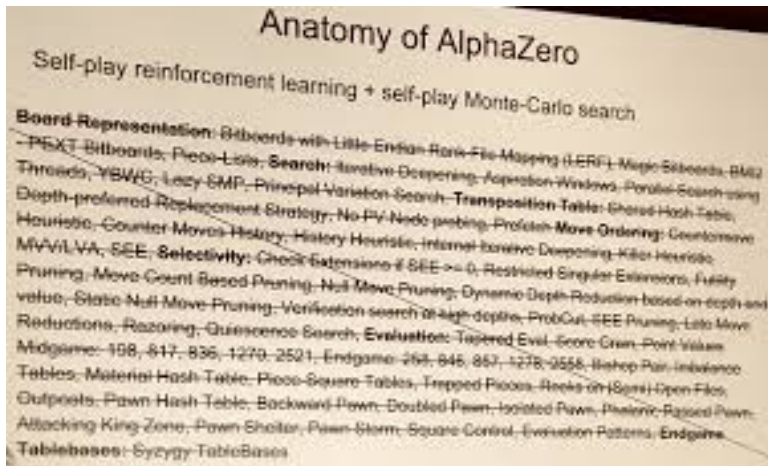
- Invented by Jonathan Schaeffer (prof in our department) in 1983
- Game-independent improvement
- Idea: keep track of which moves are effective in causing beta cuts in the search
- Give a bonus for those moves, try them earlier among all children
- Similar idea: countermove heuristic (Uiterwijk)
  - store good reply to a move

# Many More Alphabeta Enhancements

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- Huge number of ideas have been tried in last 70 years
- Examples:
  - Minimal window search, Scout, PVS
  - Quiescence search
  - Parallel search
  - Late move reductions
- Very good website:  
`https://chessprogramming.org/`
- Do we still need to learn all these enhancements?

# Alpha Zero vs Alphabet Enhancements



Image

source: [lifein19x19.com](http://lifein19x19.com)

- If the Alpha Zero approach works,
- and if we have enough computing power: no!

# Summary and Preview

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- This concludes our discussion of standard minimax algorithms
- Next topic: closer look at using knowledge in search
- After that: Monte Carlo Tree Search (MCTS) - a quite different way to approach minimax search problems
- However, it has the same goals as alphabeta
  - Heuristic search: play as well as possible when time limit is given
  - Solve: with unlimited time, eventually find the (perfect play) minimax solution
  - Most work on MCTS is on heuristic search, playing well
  - Also an interesting algorithm for solving games