

# Thesis

Sander Beekhuis

November 1, 2016

# 1 Preliminaries

All graphs in this thesis are simple. Paths and cycles are always simple while walks are not necessarily simple. A path is a simple walk and a cycle is a closed path.

We will let  $\{i, \dots, j\}$  denote all the integers  $x$  such that  $i \leq x \leq j$ .

The *degree* of a face is the number of vertices it is incident to and a *triangular* face is a face of degree 3.

We will use *k-cycle* to denote a cycle of length  $k$ . Moreover a *triangle* is simply a cycle of length 3 (i.e. a 3-cycle).

By Jordan's curve theorem a cycle splits the plane into two parts, one bounded and one unbounded. We will call the bounded part the *interior* of this cycle and the unbounded part the *exterior* of this cycle. We will call a cycle *separating* if there are vertices in both its interior and exterior. An *interior edge* of a cycle is then an edge contained in the interior of the cycle.

We will sometimes give vertices of a path  $\mathcal{P}$  explicitly using  $p_1 \dots p_k$ . A chord of a walk is an edge that connects two vertices in a walk that is not part of the walk. If a walk has no chords we will call it *chordfree*. Since paths and cycles are special types the same definitions will hold for them.

Once we fix a planar embedding of a graph we can talk about the *rotation* at a vertex  $v$ . The rotation at a vertex is clockwise order of the edges incident to  $v$ . We will identify these edges with their other endpoints. Two vertices  $x, y$  are said to be *consecutive* in the rotation at  $v$  when the edges  $vx$  and  $vy$  are consecutive. In most of this thesis we implicitly fix an embedding since triangulations are 3-connected and thus only have one planar embedding by a theorem due to Whitney (1933). This statement is proven in for example [1, p. 267]

Given a path  $\mathcal{P}$  with vertices  $p_1 \dots p_k$  we will say that a vertex  $v \notin \mathcal{P}$  adjacent to  $p_i, i \in \{2, \dots, k-1\}$  lies on the *left* of  $\mathcal{P}$  if it lies between  $p_{i-1}$  and  $p_i + 1$  in the rotation at  $p_i$ . Otherwise  $v$  lies between  $p_{i+1}$  and  $p_{i-1}$  in the rotation at  $p_i$ . In this case we say that  $v$  lies to the *right* of  $\mathcal{P}$ .

We will use the same notion of left and right for edges.

## 1.1 Plane triangulations

**Definition** (Plane triangulation). A graph in which all faces are of degree 3.

**Definition** (Maximal planar graph). A graph such that adding any one edge makes it non-planar.

**Theorem 1.** Any graph  $G$  is a plane triangulation if and only if it is maximal planar

*Proof.* We will prove the equivalence of the negations.

Suppose that  $G$  is not maximally planar. Then there is a face  $F$  to which we can add an edge while keeping  $G$  planar, however this face must then have degree of degree 4 or larger since we can split into two faces with an edge. But a face has at least degree 3. Hence  $G$  is not a plane triangulation.

Suppose that  $G$  is not a plane triangulation. Then there must be a face  $F$  of degree larger than 3. This face will thus admit an extra edge without violating planarity and hence  $G$  is not maximally planar.  $\square$

FixMe: We could cite Jordan's paper here. Should I?

FixMe: We could provide a picture illustrating these concepts

Because every face of a plane triangulation is triangular we can make the following remark.

**Remark 2.** *Every edge is incident to two triangular faces*

**Lemma 3.** *If two vertices  $x, y$  are consecutive in the rotation at  $v$  then  $xy$  is an edge in  $G$  and  $vxy$  is a triangle.*

FiXme: Is this more a remark then a lemma?

*Proof.* in the described situation we have a partial face  $\dots xvy \dots$ . Since every face of  $G$  is a triangle  $xy$  must be an edge and  $vxy$  must be a triangle.  $\square$

### 1.1.1 Connectedness

Let us first note that any maximally planar graph is 2-connected. Suppose there is a cutvertex, then surely we can add an edge between the components found after removing this cutvertex.

**Theorem 4.** *Any plane triangulation  $T$  is 3-connected.*

*Proof.* Suppose that  $T$  is not 3-connected. Then there must be a 2-cutset  $S$ , given by the vertices  $x$  and  $y$ . Removing this cutset splits the graph into at least two connected components  $C_i$  and all components are incident to all cutvertices otherwise we would have found a 1-cutset.

Since  $S$  is a cutset, there can't be any edges incident to both  $C_1$  and  $C_2$ . But then the edge  $xy$  should be separating the 2 components on both sides. This is impossible since we can only draw this edge once.  $\square$

**Definition** (Irreducible triangulation). We call a triangulation irreducible if it has no separating triangles

FiXme: We could add a figure to make this more clear  
FiXme: It is called irreducible because there is a reduction that works on separating triangles. We might show this reduction

**Theorem 5.** *Any irreducible plane triangulation  $T$  is 4-connected.*

*Proof.* Note that any plane triangulation is 3-connected by Theorem 4.

Suppose that  $T$  is not 4-connected. Then there must be some 3-cutset (since it is 3-connected) let us denote the vertices of this cutset by  $x, y$  and  $z$ . Removing this cutset splits the graph into at least two connected components  $C_i$  and all components are incident to all cutvertices otherwise we would have found a 2- or 1-cutset.

However, now  $xy$  must be an edge in the triangulation  $T$  otherwise the graph is not maximal planar (There can't be an edge incident to both  $C_1$  and  $C_2$  because that would negate  $x, y, z$  being a cutset.). In the same way  $yz$  and  $xz$  are edges of  $T$ . But then  $xyz$  is a separating triangle. This is a contradiction and thus  $T$  is 4-connected  $\square$

## 1.2 Triangulations of the $k$ -gon

**Definition** (Triangulation of the  $k$ -gon). We call a graph a triangulation of the  $k$ -gon if the outer face has degree  $k$  and all interior faces have degree 3.

Vertices bordering the outer face are *outer vertices* while all other vertices are *interior vertices*. Furthermore the cycle formed by all vertices outer vertices is the *outer cycle*.

Sometimes such triangulations of the  $k$ -gon are called *(plane) triangulated graphs*.

**Definition** (Irreducible triangulation of the  $k$ -gon). We call a triangulation of the  $k$ -gon irreducible if it has no separating triangles.

Note that triangulation of the  $n$ -gon  $n \geq 4$  is not maximally planar and thus not plane triangulation.

The *completion* of a triangulation of the  $k$ -gon  $G = (V, E)$ . Is the graph  $G' = (V', E')$  with vertex set  $V' = V \cup \{s\}$  and edge set  $E' = E \cup \{sv | v \text{ is a outer vertex}\}$

The completion is plane triangulation. Since the interior of the outer cycle of  $G$  always consisted of faces of degree 3. The exterior of the outer cycle consisted of one face of degree  $k$  (the outer face) but the completion has turned this into  $k$  faces of degree 3.

**Theorem 6.** *A triangulation of the  $k$ -gon  $G$  is 2-connected.*

*Proof.* Suppose that  $G$  has a cutvertex  $v$ . Then the set  $\{s, v\}$  is a 2-cutset of the completion  $G'$  of  $G$ . This however is in contradiction to Theorem 4 stating that  $G'$  is 3-connected. Hence  $G$  has no cutvertex and is thus 2-connected.  $\square$

**Theorem 7.** *For every interior vertex  $v$  of a triangulation of the  $k$ -gon  $G$  is connected by at least 3 vertex disjoint paths to different outer vertices.*

*Proof.* By Theorem 4 the completion  $G'$  of  $G$  is 3-connected. Hence there are 3 vertex-disjoint paths from  $v$  to  $s$ . Since  $v$  is on the interior and  $s$  is on the exterior of the outer cycle  $\mathcal{C}$  all these 3 paths cross the outer cycle at least once. These paths cross  $\mathcal{C}$  for the first time in different vertices since they are vertex-disjoint. If we shorten the paths to their first crossing with  $\mathcal{C}$  we obtain the 3 paths in the theorem.  $\square$

FixMe: We can sharpen this to 4 if we have a irreducible triangulation of the  $k$ -gon with a chordfree outer cycle

## 2 Rectangular duals

In this section we will introduce the rectangular dual of a graph.

### 2.1 Rectangular layouts and their duals

We define a *rectangular layout* (or simply *layout*)  $\mathcal{L}$  to be a partition of a rectangle into finitely many interiorly disjoint rectangles such that no four rectangles meet in one point.

In the *dual graph*  $\mathcal{G}(\mathcal{L})$  of a layout  $\mathcal{L}$  we represent each rectangle by a vertex and we connect two vertices by an edge exactly when their rectangles are adjacent. In the reverse direction we say a layout  $\mathcal{L}$  is a *rectangular dual* of a graph  $G$  if we have that  $G = \mathcal{G}(\mathcal{L})$ .

One can also consider the *extended dual graph*  $\mathcal{G}_E(\mathcal{L})$  of a layout  $\mathcal{L}$ . In such a graph we not only represent each rectangle by a vertex. But furthermore we also add 4 vertices N, E, S, W (so-called *poles*) in the outer face, one associated to the north, east, south, west boundary segment of the outer rectangle respectively. Two vertices are then connected if their rectangles or boundary segments intersect.

If we take the *extended dual graph* of a layout and remove the 4 vertices corresponding to the outer face we end up with the *dual graph* of that layout.

**Properties** A plane triangulated graph  $\mathcal{G}$  does not necessarily have a rectangular dual nor is this dual necessarily unique.

Let us finally note that both the dual graph and the extended dual graph of a layout  $\mathcal{L}$  are not the same as the *graph dual* of  $\mathcal{L}$  when we view it as a graph (namely we don't represent the outer face of  $\mathcal{L}$  by a single vertex).

### 2.2 Different kinds of rectangular layouts

We can pose different restriction on a rectangular layout  $\mathcal{L}$ . For all these restrictions we have that if they hold for one layout  $\mathcal{L}$  they hold for all equivalent layouts.

We will call  $\mathcal{L}$  *area-equivalent* if no matter the areas we assign to the rectangles of  $\mathcal{L}$  there is a equivalent layout  $\mathcal{L}'$  such that each rectangle has the assigned area.

All other restrictions we introduce here will consider the *maximal line segments* of  $\mathcal{L}$ . A *line segment* of  $\mathcal{L}$  is a sequence of consecutive inner edges forming a line. Such a line segment is maximal if it's not contained in any other line segment. This notion is also introduced in [2]. A line segment is *one-sided* if it is on the boundary of one single rectangle. A line segment is  $(k, l)$ -sided with  $k < l$  if the line segment is on the boundary of at most  $k$  different rectangles on one side and at most  $l$  different rectangles on the other side.

We will then call a layout *one-sided* if all maximal line segments are one sided. Furthermore it is called *vertically one-sided* or *horizontally one-sided* if all vertical or horizontal maximal line segments are one-sided. Furthermore a layout is  $(k, l)$ -sided if all maximal line segments are  $(k, l)$ -sided.

Eppstein et al. [2] show that a layout is one-sided if and only if it is onesided.

FiXme: We should say something about equivalent layouts here

FiXme: in what sense not unique, provide examples

FiXme: Might add supporting figures

FiXme: Is this necessary?

FiXme: Might add supporting figures

## 2.3 Regular edge labeling

The extended dual of a layout allow a natural coloring and orientation of their edges. This *regular edge labeling* is created in the following way: For every edge  $vw$  in  $\mathcal{G}_{\mathcal{E}}(\mathcal{L})$  we consider whether the shared boundary of the rectangles is vertical or horizontal we then color the edge blue or red respectively. In the first case we orient the edge from the leftmost point to the rightmost point and in the second case we orient from bottom to top. We don't color or orient the edges between the poles.

From the nature of the adjacencies in a rectangular layout we can deduce the following two rules for a regular edge labeling.

**Interior vertex** In the rotation around every nonempty vertex we have the following subsequent non-empty sets: Incoming red edges, incoming blue edges, outgoing red edges and outgoing blue edges. And only these sets.

**Poles** N has only incoming red edge, E has only incoming blue edges, S has only outgoing red edges and W has only outgoing blue edges. Except of course the uncoloured edges between poles.

Regular edge labeling were first introduced by Kant and He [5] but were also used in [2]. Fusy also studied these structures [4, 3] but he calls them *transversal structures*.

He showed [He] that given a rectangular edge labeling we can reconstruct a equivalent rectangular layout.

### Properties

**Lemma 8.** *A regular edge labeling doesn't admit a monocolored triangle*

*Proof.* Suppose we have a mononcolored triangle. Without loss of generality we will suppose that the color of this triangle is blue. Then at least one of the vertices has an incoming blue edge followed directly by an outgoing blue edge or an outgoing blue edge followed directly by an incoming blue edge in it's rotation. Thus this vertex has either an empty set of outgoing or incoming red edges, offending the coloring requirements of a REL  $\square$

A regular edge labeling of  $\bar{G}$  corresponds to a equivalence class of rectangular layouts  $\mathcal{L}$  that are a rectangular dual of  $G$ .

**Regular edge labelings and maximal segments** A REL is a pair of st planar gra[hs]

We will define

We wills define red faces and blue faces with two sides (which is difficult in unoriented settings) and define split and merge vertices as the first and last vertices of such face.

**Being one-sided in terms of REL** The blue and red faces of a

**Lemma 9.** *A face  $F$  with at least 3 edges on each side contains a  $Z$*

*Proof.*

FiXme: TODO  
fix cite, and  
something with  
equivalence  
class. Siam  
p540 bottom,  
READ  
ACTUAL  
PAPER

$\square$

FiXme: TODO

## Being pseudo-onesided in terms of REL

### 2.4 Extended graphs

Given a layout  $\mathcal{L}$  we can thus easily find the (extended) dual. However finding a rectangular dual of a plane triangulated graph  $G$  is more involved. Due to the algorithm by He [He] this boils down to finding a regular edge labeling of  $G$  with 4 additional vertices N, E, S, W. We will thus define  $G$  and these additional vertices to be an *extension* of  $G$ .

FiXme: TODO  
fix cite

**Definition** (Extension). A *extension*  $\bar{G}$  of  $G$  is a augmentation of  $G$  with 4 vertices (which we will call it's *poles*). Such that

1. every interior face has degree 3 and the exterior face has degree 4.
2. all poles are incident to the outer face
3.  $\bar{G}$  has no separating triangles (i.e separating 3-cycles).

Such a extended graph does not necessarily exist and is not necessarily unique. However we have the following result due to Kozminski and Kinnen

**Theorem 10** (Existence of a rectangular dual). *A plane triangulated graph  $G$  has a rectangular dual if and only if it has an extension  $\bar{G}$*

*Proof.* This shown in [6] □

FiXme:  
Provide  
location,  
Kozminski &  
Kinnen and  
ungar, See  
Siam paper

We call any (plane triangulated) graph  $G$  that has an extension a *proper* graph.

A proper graph  $G$  can have more then one extensions. Each such extension fixes which of the rectangles are in the corners of the rectangular dual  $\mathcal{L}$ . Hence sometimes such an extension is called a *corner assignment* by other authors.

Note that a graph  $G$  with a separating triangle can't be proper, since every possible extension will have a separating triangle.

**Tight extension** For use later in this thesis we will also define the closely related *tight extension* of  $G$ . Let  $G$  be a triangulated plane graph without separating triangles and  $v, v'$  be two vertices on its outer cycle. We only define the *tight extension*  $\bar{G}_t$  in  $v, v'$  if the outer cycle is split into two chordfree paths  $P, P'$  by  $v$  and  $v'$ . Otherwise the tight extension is undefined.

We can without loss of generality assume that the order of these vertices and paths is  $vPv'P'$  going clockwise along the outer cycle. We will then set  $W = v$ ,  $E = v'$  and add two vertices N, S. We connect every vertex of  $P$  to N and every vertex of  $P'$  to S.

**Lemma 11.** *If it is defined the tight extension of  $G$  in  $v, v'$  is a extension of  $G \setminus \{v, v'\}$ .*

*Proof.* It is clear from the construction that every interior face of  $\bar{G}_t$  is of degree 3 and that the outer face is given by NESW and is thus of degree 4. To see that  $\bar{G}_t$  has no separating triangles note that  $G$  has none and that any separating triangle must thus have one of N, S as a vertex. However a separating triangle containing N or S would imply a chord in  $P$  or  $P'$ . However in this case the tight extension is not defined. □

Hence we can also view  $\bar{G}_t$  as an extension in it's own right.

A tight extension  $\bar{G}_t$  in  $v, v'$  is uniquely determined if it exists. This follows from the fact that there is no choice in the procedure described above.



### 3 Fixing a extension

In our explorations to find a lower bound on what kind of *pseudo one-sidedness* is possible we will find it very useful to fix one particular extension  $\bar{G}$  of  $G$ . Unfortunately if there is no rectangular dual that's  $(k, l)$ -sided using some extension  $\bar{G}$  of  $G$ . This does not imply that  $G$  is not  $(k, l)$ -sided. There might be another extension of  $G$  that has a  $(k, l)$ -sided rectangular dual.

Fortunately for us however we can view  $\bar{G} = H$  as a graph in it's own right, then  $G$  is the interior of a separating 4-cycle of  $H$  and we will show this implies that  $G$  (as induced subgraph) has to be colored [according to](#) the extension  $\bar{G}$ .

We will thus proof the following theorem in this section.

**Theorem 12.** *When considering if there are rectangular duals satisfying a certain property. We can consider a fixed extension for a graph.*

**Remark 13.** *Let  $\mathcal{C}$  be a separating 4-cycle of  $G$  with interior  $I$ . Then in any rectangular dual of  $G$  the region enclosed by the rectangles dual to the vertices in  $\mathcal{C}$  is a rectangle.*

**Remark 14.** *Two disjoint rectangles are at most adjacent on one side.*

**Lemma 15.** *Let  $\mathcal{C} = \{a, b, c, d\}$  be a separating 4-cycle of  $\bar{G}$  with interior  $I$ . Then all interior edges incident to  $a, b, c$  and  $d$  respectively are red, blue, red and blue or blue, red, blue and red.*

*Proof.* By Remark 13 the union of the rectangles in the interior of  $\mathcal{C}$  will be some rectangle in any rectangular dual. We will denote this rectangle by  $I$ . Since two disjoint rectangles can only be adjacent to each other at one side all interior edges incident to any vertex of  $\mathcal{C}$  are of the same color.

Furthermore  $a, b, c, d$  are all adjacent to a different side of  $I$  since  $I$  has four sides that need to be covered and it is only adjacent to four rectangles. If we then apply the rules of a regular edge labeling we see that if the interior edges of  $a$  are one color, those incident to  $b$  and  $d$  should have the second color. Then of course the interior edges incident to  $c$  are again colored with the first color.  $\square$

Lemma 15 is useful because it allows us to fix a extension  $\bar{G}$  of  $G$  by building a *scaffold*. Suppose we want to investigate some extension  $\bar{G}$  of  $G$  with poles  $N, E, S$  and  $W$  then we can consider the graph  $\bar{G} = H$  as a graph in it's own right.  $H$  is a proper graph since it has no irreducible triangles in it's interior (because  $\bar{G}$  had none) and it admits a valid extension  $\bar{H}$  by connecting the new poles as in Table 1.  $\bar{H}$  is shown in Figure 1.

$NE$	$\parallel$	$N$	$E$	$SE$	$NW$
$SE$	$\parallel$	$S$	$E$	$NE$	$SW$
$SW$	$\parallel$	$S$	$W$	$SE$	$NW$
$NW$	$\parallel$	$N$	$W$	$NE$	$SW$

Table 1: The neighbors of the new poles

*Proof of Theorem 12.* The graph  $H$  can have more then one extension but they all contain the separating 4-cycle  $\mathcal{C} = NESW$  thus by Lemma 15 we see that, without loss of generality, the interior edges of  $\mathcal{C}$  incident to  $N$  and  $S$  are colored red and those incident to  $E$  or  $W$  are colored blue. This is exactly as if we forced the extension  $\bar{G}$   $\square$

FiXme: right choice of words?

FiXme: We want to provide a oriented version of this lemma for Section 3.2

FiXme: This lemma implies that any alternating 4-cycle is either left-alternating or right-alternating in the terminology of Fusy

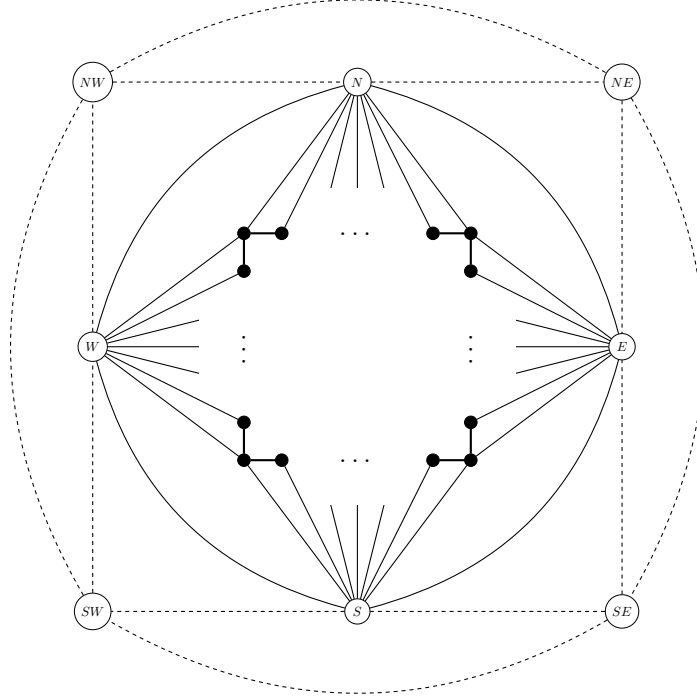


Figure 1: The construction of a scaffold.  $G$  is displayed in thick lines and with closed vertices. An arbitrary extension  $\bar{G} = H$  is then drawn with thin lines and open vertices. An extension of  $H$  is then drawn with dashed edges and open vertices.

### 3.1 An application: There are graphs that are $(2, \infty)$ -sided

We will show this by providing an example graph  $G$  with a fixed extension  $\bar{G}$  which we can do according to Theorem 12. Consider the graph in Figure 2. Note that most of the interior vertices are of degree 4 and thus the largest part of any regular edge labeling is forced. Those edges that are forced to have a certain color are already colored in Figure 2.

The only edge for which we have freedom to choose a color is the diagonal edge of  $G$ . However, if we color this edge blue we get a red  $(2, \infty)$  cycle and if we color this edge red we get a blue  $(2, \infty)$  cycle. In both cases we will thus obtain a  $(2, \infty)$ -sided segment in our dual.

### 3.2 Another application: There are graphs that are $(\infty, \infty)$ -sided

We will later see that all these graphs have adjacent maximal 4-cycles.

We consider the graph  $G$  with extension  $\bar{G}$  given in Figure 3. Note that this graph has two adjacent maximal separating 4-cycles. These are both marked by thick lines in Figure 3.

This graph has a lot of forced coloring. These forced colorings are performed

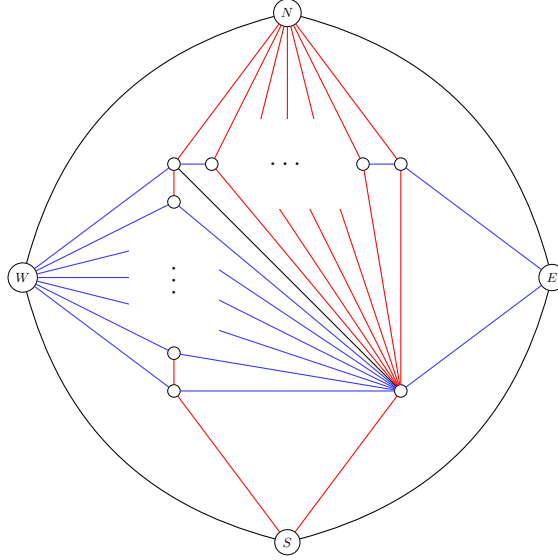


Figure 2: The fixed extension  $\tilde{G}$

in Figure 4. First we color the edges incident with the poles in accordance with the exterior vertex condition. Subsequently we propagate the coloring along both maximal separating 4-cycles in accordance with Lemma 15 and finally we color the edges in triangles that have the other two edges colored in the same color. We can do this due to the fact that triangles in a regular edge labeling are not mono-colored by Lemma 8.

The result is then the graph displayed in Figure 5. The black edges in this figure are edges that don't have a force coloring by the above argument.<sup>1</sup> We will focus on the centered black edge  $e$  lying on both the maximal separating 4-cycles. We note that this edge is an interior edge of both the red and blue faces that we drew extra thick. These faces are currently  $(\infty, \infty)$ -faces. Hence  $e$  has to be colored both red and blue to prevent a  $(\infty, \infty)$ -sided maximal segment. This is impossible.

---

<sup>1</sup>Although most of them can be forced by Lemma 15

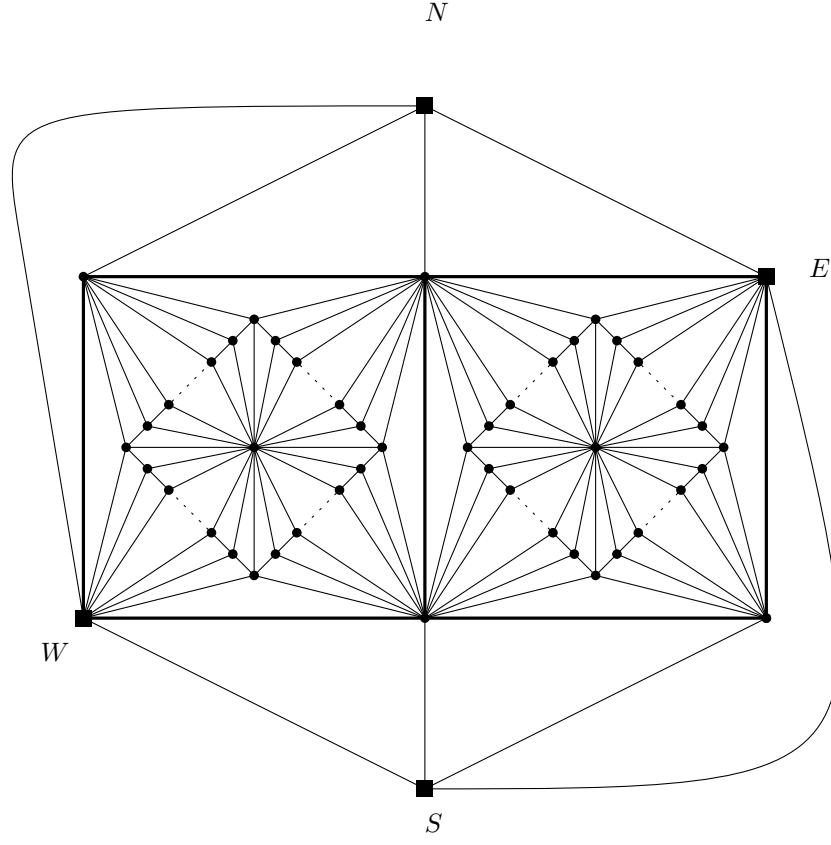


Figure 3

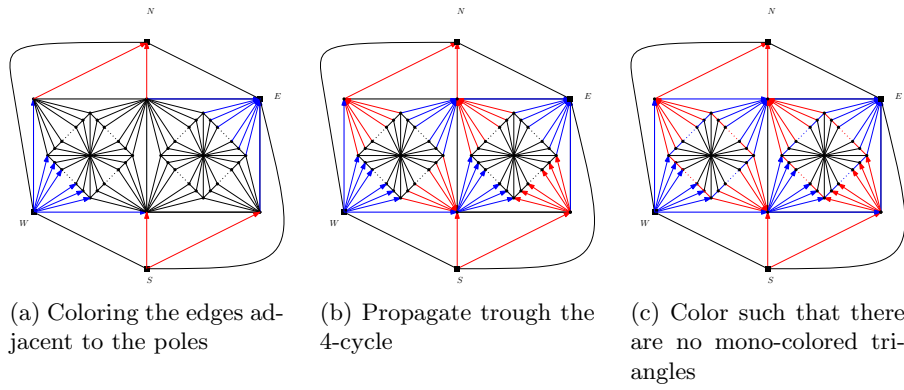


Figure 4: Coloring the graph

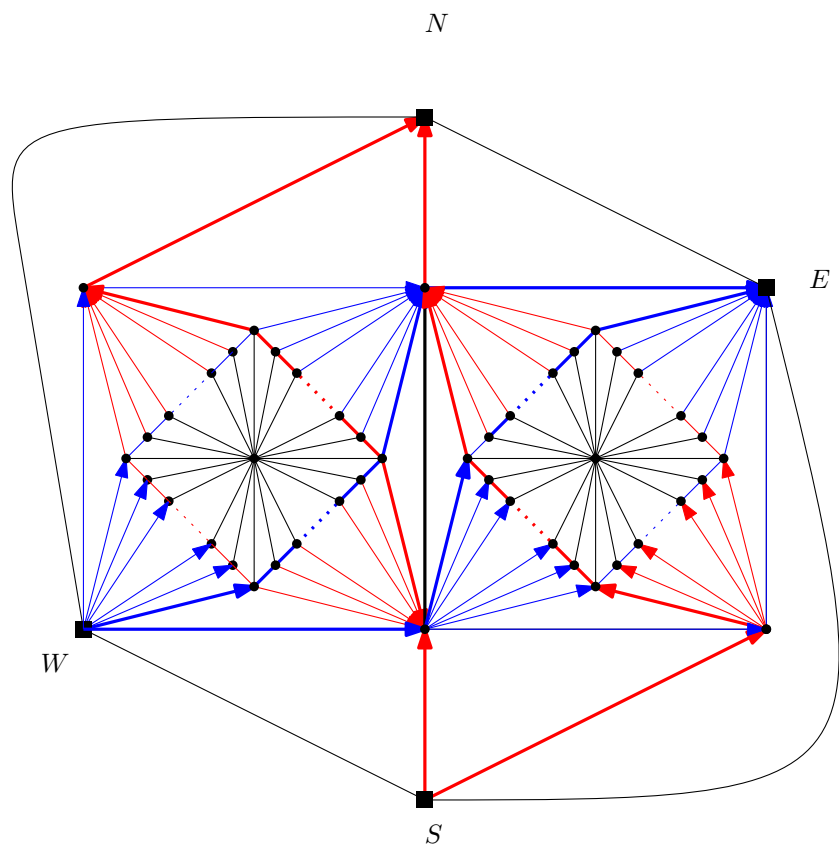


Figure 5

FiXme:  
Harmonize  
reference  
invariants and  
eligible/valid  
path  
requirements.  
and change E  
to V (for  
valids)

## 4 Algorithms

Kant and He [5] were the first to design algorithms that determine a regular edge labeling.

Fusy [4] recently developed a different algorithm computing a specific regular edge labeling using a method shrinking a sweepcycle while coloring the outside in accordance with a regular edge labeling.<sup>2</sup>

All algorithms in this section will have the same core (based on [4]). Consisting of shrinking a sweepcycle by so called *valid* paths.<sup>3</sup> But will differ in which valid paths they choose (if there are multiple).

We will start this section with some notation and preliminaries in Subsection 4.1. Then we will state the core algorithm and show that it always computes a regular edge labeling in Subsection 4.2. Afterwards we show in Subsections 4.3, 4.4 and Section 5 how one can adapt the choice of the valid paths to obtain regular edge labellings with certain properties. Namely a the minimal element of the distributive lattices of regular edge labellings and regular edge labeling corresponding to horizontal and vertical rectangular duals.

### 4.1 Notation and Preliminaries

**Definition** (Interior path). We call a path  $P$  an internal path of a cycle  $C$  if all vertices except the first and last one are in the interior of  $C$  and it connects two distinct vertices of  $C$

We will use a script  $\mathcal{C}$  to indicate the current sweep cycle. We will repeatedly only consider the path  $\mathcal{C} \setminus \{S\}$ . In that case we will always order it from W to E. That these edges are always in  $\mathcal{C}$  is a result of Invariant 16 (I1).

We will let  $\mathcal{P}$  denote a interior path. Given such a path of  $k$  vertices we will index it's nodes by  $p_1, \dots, p_k$  in such a way that  $p_1$  is closer to W then  $p_k$  is (and thus that  $p_k$  is closer to E then  $p_1$  is).

Then  $p_1$  and  $p_k$  indicate the two unique vertices of the walk that are also part of the cycle. We will then let  $\mathcal{C}|_{\mathcal{P}}$  denote the part of  $\mathcal{C} \setminus \{S\}$  that is between  $p_1$  and  $p_k$  (including).  $\mathcal{C}_{\mathcal{P}}$  will denote the cycle we get when we paste  $\mathcal{C}|_{\mathcal{P}}$  and  $\mathcal{P}$ .

### 4.2 Core

The algorithm will always maintain the following three invariants

#### Invariants 16

- (I1) The cycle  $\mathcal{C}$  contains the two edges SW and SE.
- (I2)  $\mathcal{C} \setminus \{S\}$  has no chords
- (I3) All inner edges of  $T$  outside of  $\mathcal{C}$  are colored and oriented in such that the inner vertex condition holds.

<sup>2</sup>The specific regular edge labeling Fusy obtained was the minimal element of the distributive lattice of regular edge labellings.

<sup>3</sup>In Fusy's work he calls these *eligible paths*

FiXme: We  
need to add a  
partial inner  
vertex  
condition

A cycle satisfying these three invariants will have the same general shape as in figure 6. We note that the cycle has at least 4 vertices because otherwise a separating triangle is created.

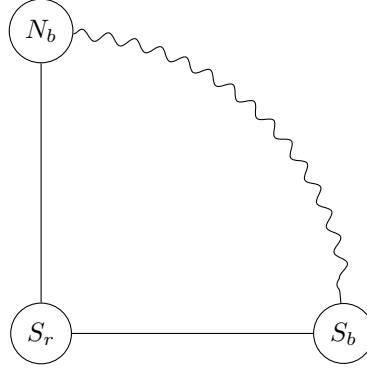


Figure 6: An example of a cycle  $\mathcal{C}$  satisfying the invariants

It is also nice to note that the union of the cycle and its interior form a triangulation of the  $n$ -gon since it is an induced subgraph of a triangulation of the 4-gon.

#### 4.2.1 Valid paths

**Definition** (valid path). We call an internal path  $\mathcal{P}$  from  $w_1$  to  $w_k$  valid if

- (E1) Neither  $p_1$  or  $p_k$  is  $S$
- (E2) The paths  $\mathcal{P}$  and  $\mathcal{C}|_{\mathcal{P}}$  both have more than 1 edge <sup>4</sup>
- (E3) Every interior edge of  $\mathcal{C}_{\mathcal{P}}$  connects a vertex of  $\mathcal{P} \setminus \{p_1, p_k\}$  and  $\mathcal{C}|_{\mathcal{P}} \setminus \{p_1, p_k\}$ .  
In particular  $\mathcal{C}_{\mathcal{P}}$  is a non-separating cycle.
- (E4) The path  $\mathcal{C}' \setminus \{S\}$ , where  $\mathcal{C}'$  is obtained by replacing  $\mathcal{C}|_{\mathcal{P}}$  by  $\mathcal{P}$  in  $\mathcal{C}$ , is chordfree.

We note that (E3) and (E4) partially overlap. (E3) already implies that there can't be chords on the left of  $\mathcal{C} \setminus \{S\}$ .

**Remark 17.** “Shrinking” the cycle with an valid path will keep all the invariants true.

**FiXme:** We haven't proven this yet

We will show the following proposition.

**Theorem 18** (Existence of a eligible path). *When the algorithm's invariant (16 (I1) - 16 (I3)) are satisfied and the cycle  $\mathcal{C}$  is separating then there exist a eligible internal path.*

**FiXme:** As outlined in last meeting this proof is not complete as is, it has been moved to the appendix. We are stuck on the part where we need to find a path satisfying E4. We might proof this from red algo.

<sup>4</sup>i.e. both have an interior vertex

### 4.3 Minimum distributive lattice element

We get this when we take the “leftmost” eligible path. As is outlined in [4]

### 4.4 Horizontal one-sides

As an exercise one could try to adapt Fusy’s algorithm to generate horizontally one-sided layouts directly, without doing flips in the distributive lattice. It turns out that this is not that difficult.

Since the horizontal segments correspond to faces in the blue bipolar orientation we want that one of the two borders of the face has a length of at most two. Since every valid path which we update the cycle with splits off one face in the blue bipolar orientation it is easy to control this property.

**Theorem 19.** *In the update of the algorithm there is always an eligible path  $\mathcal{P}$  available such that either  $\mathcal{P}$  or  $\mathcal{C}_{|\mathcal{P}}$  is of length 2.*

In order to proof this theorem we will first show the following lemma.

**Lemma 20.** *If  $\mathcal{P}$  is an eligible path giving raise to a cycle  $\mathcal{C}_{\mathcal{P}}$  of which both borders have length of at least 3. Then there exist an eligible path  $\mathcal{P}'$  such that the path border and cycle border of its cycle  $\mathcal{C}_{\mathcal{P}'}$  are both at least 1 shorter than those of  $\mathcal{C}_{\mathcal{P}}$ .*

*Proof.* In this proof we will frequently use property (E3) of a valid path, we won’t mention it every time we use it.

We denote the source by  $s$  and the sink by  $t$ . We also assign names  $a, b$  and  $x, y$  to the first two vertices on both borders, see Figure 7a. Since every interior face of  $G$  is a triangle  $ax$  is an edge. Now we distinguish two cases, either  $ay$  is an edge (case 1) or  $bx$  is an edge (case 2). They can’t both be an edge at the same time due to planarity, neither can it happen that both of them are not an edge since then the face containing the path  $baxy$  is at least of degree 4.

In the first case  $a$  may be connected to more vertices on the path border, however there is a last one, say  $z$ . And this vertex is then also connected to  $b$ , otherwise it would not be the last one. Now we can provide an shorter eligible path  $\mathcal{P}'$ . We start at  $a$  go to  $z$  and from there we follow the old path  $\mathcal{P}$  to  $t$ . See figure 7b. It is easy to see that all four properties of an eligible path hold for  $\mathcal{P}'$ .

In the second case  $x$  may be connected to more vertices along the cycle border, however there is a last one, say  $c$ . And this vertex is then also connected to  $y$ , otherwise it would not be the last one. Now we can provide an shorter eligible path  $\mathcal{P}' = sxx$ . See figure 7c. It is straightforward to see that all four properties of an eligible path hold for  $\mathcal{P}'$ .  $\square$

*Proof of Theorem 19.* By Theorem 38 we know there is a eligible path  $\mathcal{P}$ . If one of the borders of  $\mathcal{C}_{\mathcal{P}}$  is of length 2 or less we are done. If this path gives raise to a face  $\mathcal{C}_{\mathcal{P}}$  with both borders are both of length at least 3 we can repeatedly apply Lemma 20 until at least one of the borders is of length at most 2.  $\square$

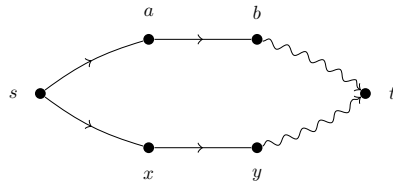
If we in every update of the algorithm take the paths from Theorem 19 we end up with the correct faces in the blue bipolar orientation and hence a horizontally one sided rectangular dual.

FixMe:  
Expand this  
subsection

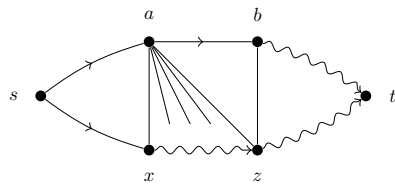
FixMe: Define  
what we mean  
with cycle  
border and  
face border

FixMe: Revisit  
notation after  
writing section  
on oriented  
REL

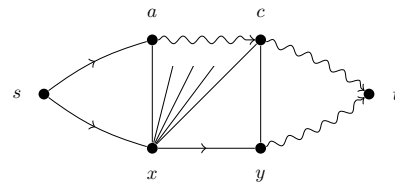




(a) The setting



(b) Case 1



(c) Case 2

Figure 7

## 5 Vertical one-sided dual

We can also adapt Fusy's algorithm to generate a vertically one-sided dual. We then need to generate a regular edge labeling without red faces that have 3 or more edges on both borders.

We will have an additional requirement on top of the requirement that  $\bar{G}$  has no separating triangles. We will also require that  $G$  has no separating four cycles.

**Notational concerns** Just as in Section 4 we will use  $\mathcal{C}$  to indicate the current sweep line cycle. We will repeatedly only consider the path  $\mathcal{C} \setminus \{S\}$ . In that case we will always order it from  $W$  to  $E$ .

Instead of interior paths we will consider interior walks but we will use similar notation. That is a walk between two distinct vertices of  $\mathcal{C}$  of which all vertices except the first and last one are in the interior of  $\mathcal{C}$ .

We will let  $\mathcal{W}$  denote a interior walk. Given such a walk of  $k$  vertices we index its nodes  $w_1, \dots, w_k$  in such a way that  $w_1$  is closer to  $W$  than  $w_k$  is (and thus that  $w_k$  is closer to  $E$  than  $w_1$  is).

Then  $w_1$  and  $w_k$  indicate the two unique vertices of the walk that are also part of the cycle. We will then let  $\mathcal{C}|_{\mathcal{W}}$  denote the part of  $\mathcal{C} \setminus S$  that is between  $w_1$  and  $w_k$  (including).  $\mathcal{C}_{\mathcal{W}}$  will denote the closed walk formed when we paste  $\mathcal{C}|_{\mathcal{W}}$  and  $\mathcal{W}$ .

Since paths are a subclass of walks all of the above notation can also be used for a path  $\mathcal{P}$ . Note that the closed walk  $\mathcal{C}_{\mathcal{P}}$  in this case will actually be a cycle.

### 5.1 The neighbor walk of a path

During this proof we will frequently use the concept of the left or right neighbor walk of a path. Given a path  $P = p_1 \dots p_k$  in a graph  $G$  The *right neighbor walk*  $W$  of  $P$  will consist of  $p_1$ , we will then take the vertices adjacent to  $p_2$  between  $p_1$  and  $p_3$  in the clockwise rotation at  $p_2$ , followed by the vertices between  $p_2$  and  $p_4$  in the rotation at  $p_3$  and so further until we add the vertices between  $p_{k-2}$  and  $p_k$  in the rotation around  $p_{k-1}$  and finally we finish by adding  $p_k$  to  $W$ . We then remove all subsequent duplicates from  $W$ .

**Lemma 21.** *The right neighbor walk  $W$  is a walk.*

*Proof.* Let us first show that  $W$  is indeed a walk. We will proof that every vertex is adjacent to the next vertex. Let us suppose that  $w$  and  $w'$  are two subsequent vertices in  $W$ , we will show that  $ww'$  is an edge if  $\{w, w'\} \cap \{p_1, p_k\} = \emptyset$ . Afterwards we will consider this edge case. There are then two cases for  $w, w'$ . Either (a)  $w$  and  $w'$  are vertices adjacent to some  $p_i$  subsequent in clockwise order or (b)  $w$  was the last vertex adjacent to some  $p_i$  and thus  $w'$  is the first vertex adjacent to  $p_{i+1}$ .

The following two situations can also be seen in Figure 10.

In case (a) we note that  $p_i w$  and  $p_i w'$  are edges next to each other in the clockwise rotation at  $p_i$ . Since every interior face of  $\bar{G}$  is a triangle  $ww'$  must be an edge. We thus see that  $w, w'$  are adjacent and not duplicates.

In case (b) we note that  $p_i w$  and  $p_i p_{i+1}$  are edges subsequent in clockwise order, hence  $w p_{i+1}$  is also an edge. Hence  $w$  is the first vertex adjacent to  $p_{i+1}$

FiXme: TODO  
adapt figures  
to  $p_i$  instead of  
 $v_i$

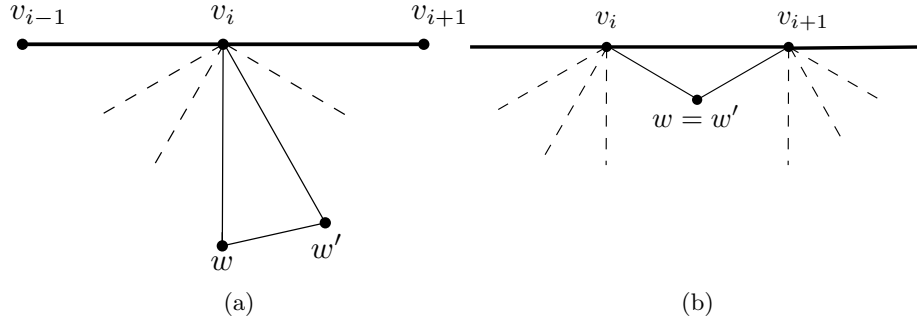


Figure 8: The two main cases of the proof showing that  $W$  is a walk

subsequent to  $v_i$  in the clockwise rotation. Thus  $w = w'$ . They are duplicates and one of them must have been removed.

Now for the edge cases: Let  $x$  be the first vertex adjacent to  $p_{i+1}$  and let  $y$  be the last vertex adjacent to  $p_{j-1}$ .  $p_i$  and  $x$  are vertices adjacent to  $p_{i+1}$  subsequent in the clockwise rotation, and hence connected by Lemma 3. In the same way  $y$  and  $v_j$  are subsequent vertices in the rotation at  $v_n$  and hence connected.

Hence  $W$  is a walk.  $\square$

We will call a walk *noncrossing* if at every vertex  $w$  in the walk that is visited  $k$  times such that  $w_{i_1} = w_{i_2} = \dots = w_{i_k}$  in the walk the clockwise intervals  $[w_{i_j-1}, w_{i_j+1}]$  for  $j \in \{1, \dots, k\}$  are disjoint in the rotation at  $w$ .

**Lemma 22.** *The right neighbor walk  $W$  is a non-crossing walk.*

*Proof.* Suppose that the right neighbor walk is crossing at a vertex  $w = w_i = w_j$ . Then one of  $w_{j-1}$  and  $w_{j+1}$  is in the clockwise interval  $[w_{i-1}, w_{i+1}]$  at the rotation at  $w$ . We will denote this vertex by  $w'$ . It is clear that  $w'$  cannot be on the path unless  $w'$  is  $p_1$  or  $p_k$ . In this case however we see that  $w_{i-1}$  or  $w_{i+1}$  respectively couldn't have been part of the path.

So we continue with  $w'$  not on the path. All neighbors of  $w$  between  $w_{i-1}$  and  $w_{i+1}$  in the clockwise rotation are on the path. . So we have a series of triangles by Lemma 3. Now  $w'$  must be inside one of these triangles, otherwise we would have a crossing edge (and thus a non-planar graph.) Now the triangle containing  $w$  is a separating triangle.

We conclude that  $W$  must be a non-crossing walk.  $\square$

The nice thing about non-crossing walks is that they if they return to their startpoint they allow a notion of interior and exterior. We can see this by applying Jordans curve theorem to a version of this walk that is very slightly perturbed at every vertex visited multiple times. Which we can do due to the disjoint intervals in the rotation.

**Lemma 23.** *The left of the of a right neighbor walk and the right of the left neighbor walk are chordfree.*

*Proof.* Suppose that the right neighbor walk  $W = w_1 \dots w_k$  has a chord on the left, say between  $w_i$  and  $w_j$  with  $i < j - 1$ . There is a vertex  $p_\ell \in P$  on the path

FiXme: We might add a (small) figure for clarity (i.e. of a crossing and a non-crossing walk)

FiXme: TODO make this a lemma

FiXme: TODO Define left and right of a walk

such that  $w_{i+1}$  is a neighbour of  $p_\ell$  to the left of  $p_\ell$ . Consider now the following non-crossing closed walk  $Pw_k \dots w_{j+1}w_jw_iw_{i-1} \dots w_1$  (thick in Figure 9) this walk has  $w_{i+1}$  in its exterior. But then  $p_\ell w_{i+1}$  is a crossing edge. Which is forbidden.

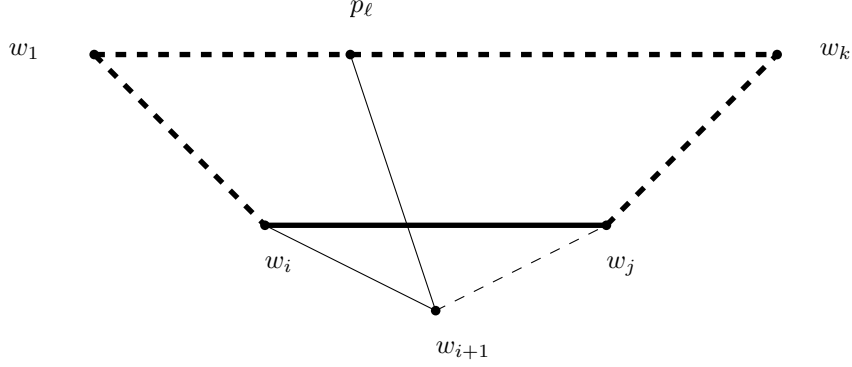


Figure 9: The construction in the proof of Lemma 23

□

## 5.2 Outline

To describe the algorithm two more definitions are necessary

**Definition** (Preference). A preference  $\mathcal{W}$  is a interior walk of  $\mathcal{C}$  starting at  $v_i \in \mathcal{C}$  and ending at  $v_j \in \mathcal{C}$  both adjacent to  $S$

- (P1) For every  $v_i \in \mathcal{C} \setminus \{W, S, E\}$  we have that all vertices between  $v_{i+1}$  and  $v_{i-1}$  in the rotation at  $v_i$  are in  $\mathcal{W} \setminus \{W, E\}$
- (P2) For every  $w_i \in \mathcal{W} \setminus \{W, E\}$  we have that all vertices between  $w_{i-1}$  and  $w_{i+1}$  in rotation at  $w_i$  are in  $\mathcal{C} \setminus \{W, S, E\}$
- (P3)  $w_2$  and  $v_{i+1}$  are consecutive in the rotation at  $v_i$
- (P4)  $v_{j-1}$  and  $w_{k-1}$  are consecutive in the rotation at  $v_j$

We enforce these conditions because they imply (E3) when  $\mathcal{W}$  is a path as we will show in Lemma 25.

For a walk however the interior is not clearly defined.

**Definition** (Fence). A fence is a valid path starting and ending at a vertex adjacent to  $S$

We will show that there is a algorithm if there are no separating 4-cycles in  $G$  and no separating 3-cycles in  $\bar{G}$ .

The algorithm will receive as input a extended graph  $\bar{G}$  and will return a regular edge labeling such that all red faces are  $(1 - \infty)$  using a sweep-cycle approach inspired by Fusy[4].

We will start by creating a preference  $W$ . This may not be a valid path, it doesn't even have to be a path. During the algorithm we will make a number of moves that will turn this preference into a fence. In each move we shrink  $C$  by employing a valid paths and change the preference.

Fixme:  
expand on  
nam-  
ing/reasons of  
fence

### 5.3 Finding a initial preference

Let  $v_i$  denote all the vertices of  $\mathcal{C} \setminus \{S\}$  in the following order  $W = v_1 v_2 \dots v_{n-1} v_n = E$ . Some intervals of these vertices will be adjacent to  $S$ . However, they can't be all adjacent to  $S$  since then the sweepcycle would be non-separating since we can't have separating triangles. We denote by  $v_i$  the last vertex of first interval of vertices adjacent to  $S$  and by  $v_j$  the first vertex of the second interval. As candidate walk we will start with  $v_i$ , we will then take the vertices adjacent to  $v_{i+1}$  between  $v_i$  and  $v_{i+2}$  in the rotation at  $v_{i+1}$ , followed the vertices between  $v_{i+1}$  and  $v_{i+3}$  in the rotation at  $v_{i+2}$  and so further until we add the vertices between  $v_{j-2}$  and  $v_j$  in the rotation around  $v_{j-1}$  and finally we finish by adding  $v_j$ .

We then remove all subsequent duplicate vertices from  $W$ .

**Lemma 24.** *The collection  $W$  described above is a preference.*

*Proof.* We will first show that  $W$  is a walk. We will proof that every vertex is adjacent to the next vertex. Let us suppose that  $w$  and  $w'$  are two subsequent vertices in  $W$ , we will show that  $ww'$  is an edge if  $\{w, w'\} \cap \{v_i, v_j\} = \emptyset$ . Afterwards we will consider this edge case. There are then two cases for  $w, w'$ . Either (a)  $w$  and  $w'$  are vertices adjacent to some  $v_i$  subsequent in clockwise order or (b)  $w$  was the last vertex adjacent to some  $v_i$  and thus  $w'$  is the first vertex adjacent to  $v_{i+1}$ .

The following two situations can also be seen in Figure 10.

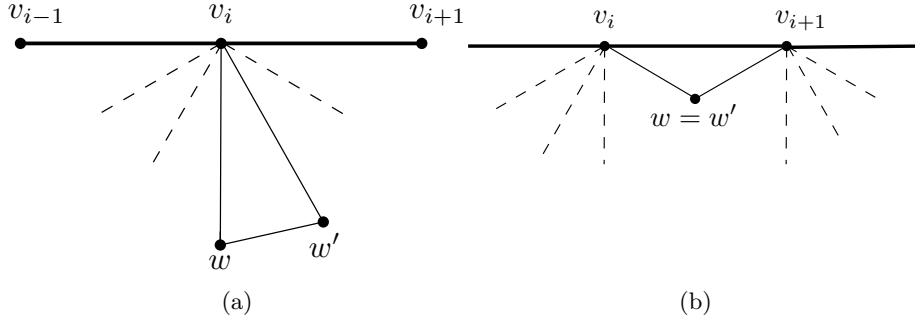


Figure 10: The two main cases of the proof showing that  $W$  is a walk

In case (a) we note that  $v_i w$  and  $v_i w'$  are edges next to each other in clockwise order around  $v_i$ . Since every interior face of  $\bar{G}$  is a triangle  $ww'$  must be an edge. We thus see that  $w, w'$  are adjacent and not duplicates.

In case (b) we note that  $v_i w$  and  $v_i v_{i+1}$  are edges subsequent in clockwise order, hence  $ww_{i+1}$  is also an edge. Hence  $w$  is the first vertex adjacent to  $v_{i+1}$  after  $v_i$  in clockwise order. Thus  $w = w'$ . They are duplicates and one of them must have been removed.

Now for the edge cases: Let  $x$  be the first vertex adjacent to  $v_{i+1}$  and let  $y$  be the last vertex adjacent to  $v_{j-1}$ .  $v_i$  and  $x$  are vertices adjacent to  $v_{i+1}$  subsequent in clockwise order, and hence connected by Lemma 3. In the same way  $y$  and  $v_j$  are subsequent vertices in the rotation at  $v_n$  and hence connected.

Hence  $W$  is a walk. The above also shows that  $v_i v_{i+1} x$  and  $v_{j-1} v_j y$  are triangles by Lemma 3 and hence  $W$  satisfies properties (P3) and (P4) of being a preference.

Moreover this walk satisfies (P1) because  $\mathcal{W}$  by construction contains all neighbors of any vertex  $v_i \in \mathcal{C}|_{\mathcal{W}} \setminus \{v_i, v_j\}$  between  $v_{i-1}$  and  $v_{i+1}$  in the rotation of  $v_i$ .

Finally to see that  $\mathcal{W}$  also satisfies (P2). Consider a vertex  $w_j \in \mathcal{W} \setminus \{v_i, v_j\}$  then either it is (a) the neighbor of some vertex  $v_i$  and only of this vertex or it is (b) the unique vertex neighboring in the interior of the cycle the  $\ell + 1$  vertices  $v_i, \dots, v_{i+\ell}$ . This is essentially the same case distinction as above. However now (a)  $w_{i-1}w_i v_i$  and  $v_i w_i w_{i+1}$  or (b)  $w_{i-1}w_i v_i, v_i w_i v_{i+1}, \dots, v_{i+\ell-1}w_i v_{i+\ell}$  and  $v_{i+\ell}w_i w_{i+1}$  form a set of triangles spanning the area between  $w_{i-1}$  and  $w_{i+1}$  in the rotation at  $w_i$ . Thus any edge not going to  $\mathcal{C}|_{\mathcal{W}} \setminus \{v_i, v_j\}$  in this sector will lead to a separating triangle. We however have assumed  $G$  has no separating triangles. Hence (P3) holds.<sup>5</sup>  $\square$

We then orient  $\mathcal{W}$  from  $v_i$  (the vertex closest to W) to  $v_j$  (the vertex closest to E) and denote its vertices by  $w_1 \dots w_k$ .

## 5.4 Irregularities

We will distinguish two kinds of *irregularities* in a preference.

1. The candidate walk is non-simple in a certain vertex. That is, if we traverse the sequence of vertices in  $\mathcal{W}$  we see that  $w_i = w_j$  for some  $i < j$ .
2. The candidate walk has a chord on the right. That is, there is an edge  $w_i w_j$  on the right of  $\mathcal{W}$  with  $i < j$  and  $i$  and  $j$  not subsequent (i.e.  $i < j - 1$ ).

Note that we can't have a chord can on the left of  $\mathcal{W}$  ( $\mathcal{W}$  being oriented from W to E), since if it would lie on the left of  $\mathcal{W}$  the vertices  $w_{i+1}, \dots, w_{j-1}$  would not have been chosen in the construction of the preference.

**Lemma 25.** *If a preference has no irregularities it is a fence.*

*Proof.* We will show that all the requirements of being a valid path are met.

Path Let us begin by noting that since there are no non-simple points we have a path and not just a walk.

(E1) It is clear that both  $w_1$  and  $w_k$  are not S by the construction of the candidate walk.

(E2) For  $\mathcal{W}$  or  $\mathcal{C}|_{\mathcal{W}}$  to have only one edge we need to have that  $v_i v_j$  is an edge. However,  $v_i v_j$  can not be an edge in  $\mathcal{C}$  since  $v_i$  and  $v_j$  are from different intervals of vertices adjacent to S. It can also not be an edge in  $\bar{G} \setminus \mathcal{C}$  since that would be a chord of the cycle and these don't exist by Invariant 16 (I2)

(E3) Every interior edge of  $\mathcal{C}_{\mathcal{W}}$  with at least one endpoint on the cycle is of the required type by the conditions (P1) - (P4). We note that these edges in particular have both endpoints on the cycle  $\mathcal{C}_{\mathcal{W}}$ .

Interior edges with both endpoints not on the cycle can a priori exist. However since a triangulation is a connected graph there must then also

---

<sup>5</sup>FixMe: I believe this is still true when separating triangles are allowed to occur. However the prove will have to be different.

be an edge with one endpoint on  $\mathcal{C}_W$ , and one inside  $\mathcal{C}_W$  but this can not be if  $W$  is a preference. However by the argument above both endpoints must then be on  $\mathcal{C}_W$ , this is a contradiction.

- (E4) The cycle  $\mathcal{C}'$  only changes between  $v_i$  and  $v_j$ . There can be no chord with one vertex from cycle  $\mathcal{C} \setminus \mathcal{C}|_W$  and one from  $W$  since such a chord would cross  $Sv_i$  or  $Sv_j$ . There is no chord with two vertices in  $W$  since that would be a irregularity and there is no chord with two vertices from  $\mathcal{C} \setminus \mathcal{C}|_W$  by Invariant 16 (I2).

Hence, if  $W$  has no irregularities it is a valid path.

Furthermore,  $W$  is a path starting and ending at a vertex adjacent to  $S$  because it is preference. And thus it is a fence.  $\square$

**Definition** (Range of a irregularity). For a non-simple point  $w_i = w_j$  with  $i < j$  has *range*  $\{i, \dots, j\} \subset \mathbb{N}$ . A chord  $w_i w_j$  with  $i < j - 1$  has *range*  $\{i, \dots, j\} \subset \mathbb{N}$ .

FiXme: Is it better to call this a non-simple point or a non-simple vertex?

Note that a chord can't have the same range as a non-simple point since then  $w_i w_j$  will be a loop and we are considering simple graphs. Furthermore two chords have different ranges because we otherwise have a multiedge. Two nonsimple points with the same range are, in fact, the same. This leads us to the following remark.

**Remark 26.** *Distinct irregularities have distinct ranges.*

**Definition** (Maximal irregularity). A irregularity is maximal if it's range is not contained<sup>6</sup> in the range of any other irregularity.

**Lemma 27.** *Maximal irregularities have ranges whose overlap is at most one integer.*

*Proof.* We let  $I$  and  $J$  denote two distinct maximal irregularities with ranges  $\{i_1, \dots, i_2\}$  and  $\{j_1, \dots, j_2\}$ . Let us for the moment suppose that  $I$  and  $J$  have ranges that overlap more then one integer. Since  $I$  and  $J$  are both maximal their ranges can not be contained in each other.

Without loss of generality we thus have  $i_1 < j_1 < i_2 < j_2$ .

Now two chords to the right of  $W$  would cross each other but we have a planar graph so this can't be the case.

Now let us without loss of generality suppose that  $I$  is a non-simple point. A non-simple point  $w_{i_1} = w_{i_2}$  is adjacent to two ranges of vertices in  $\mathcal{C} \setminus \{S\}$ .  $v_a \dots v_b$  and  $v_c \dots v_d$  then  $\tilde{C} = w_{i_1} v_b \dots v_c$  is a cycle. And because of the rotation at  $w_{i_1} = w_{i_2}$  we have that  $w_{i_1+1}, \dots, w_{i_2-1}$  are inside this cycle while  $w_1 \dots w_{i_1-1}$  and  $w_{i_2+1} \dots w_k$  are outside the cycle. See Figure.

Now if  $J$  is a chord we have  $\tilde{C}$ , which can't be. If  $J$  is also a nonsimple point this would imply that the vertex  $w_{j_1} = w_{j_2}$  is at the same time inside and outside  $\tilde{C}$  which is clearly impossible.  $\square$

FiXme: We could add figure to clarify.

<sup>6</sup>Because of Remark 26 being contained is the same as being strictly contained

## 5.5 Moves

The algorithm will remove these irregularities by recursing on a subgraph for each maximal irregularity. We shrink the cycle  $\mathcal{C}$  with every valid path that is found in the recurrence, in the order they are found. Afterwards we update the preference by removing  $w_{i+1}, \dots, w_{j-1}$ . In subsection 5.5.3 we will show that the updated preference is a preference for the updated cycle  $\mathcal{C}$ .

We will first show how to remove these maximal irregularities in Subsections 5.5.1 and 5.5.2. That is, we show which subgraph  $H$  we recurse upon for both kinds of irregularity. Furthermore we show that these subgraphs suffice the requirements of the algorithm.

Afterwards, in subsection 5.5.3 we will make sure that the subgraphs we recurse upon are edge-disjoint. That is, they only overlap in border vertices.

It is worth noting that other irregularities contained in such a maximal irregularity are solved in the recurrence.

### 5.5.1 Chords

If we encounter a chord we will extract a subgraph and recurse on this subgraph. A chord  $w_i w_j$  has a triangular face on the left and on the right (like every edge). The third vertex in the face to the left will be called  $x$ .  $x$  is not necessarily distinct from  $w_{i+1}$  and/or  $w_{j-1}$  but this is also not necessary for the rest of the argument.

The vertex  $v_a$  on the cycle is uniquely determined as the vertices adjacent to both  $w_i$  and  $w_{i+1}$ . In the same way  $v_b$  is the unique neighbor of  $w_{j-1}$  and  $w_j$ .

We will describe a walk  $\mathcal{U}$  running from  $v_a$  to  $v_b$ . This path consists of all vertices adjacent to  $w_i$  in clockwise order from  $v_a$  (inclusive) to  $x$  (inclusive) and subsequently all vertices adjacent to  $w_j$  in clockwise order from  $x$  (exclusive) to  $v_b$  (inclusive). This path is given in bold in Figure 11.

**Lemma 28.**  $\mathcal{U}$  is a chordfree path

*Proof.* We note that  $\mathcal{U}$  is a walk by the same reasoning as is given in Lemma 24.

$\mathcal{U}$  can't have a non-simple point  $x'$  since it would have to be connected to at least two vertices. However a vertex  $x'$  that is distinct from  $x$  and is connected to both  $w_i$  and  $w_j$  will induce a separating triangle  $w_i x' w_j$ .  $\mathcal{U}$  also can't be nonsimple at  $x$  since  $x$  is the third vertex of the triangular face  $w_i w_j x$ . Hence  $\mathcal{U}$  is a path.

$\mathcal{U}$  can't have chords  $u_i u_j$  since they would either induce a separating 3- or 4-cycle either  $w_i u_i u_j$  or  $w_j u_i u_j$  or  $w_i u_i u_j w_j$  depending on the vertex adjacent to  $u_i$  and  $u_j$ .  $\square$

We then consider the interior of the cycle  $\mathcal{C}_{\mathcal{U}}$  and the cycle  $\mathcal{C}_{\mathcal{U}}$  itself as the subgraph  $H$ . We then take the tight extension at  $v_a$  and  $v_b$ . We will then recurse on this graph  $\bar{H}_t$ . See also Figure 11. Since  $\mathcal{C}$  is chordfree by invariant 16 (I2) so is  $\mathcal{C}|_{\mathcal{U}}$ . We have also just shown that  $\mathcal{U}$  is chordfree. So  $\bar{H}_t$  is indeed defined. Furthermore, since  $H$  is a induced subgraph of  $G$ ,  $\bar{H}_t$  contains no separating 4-cycles not involving the poles.

We update the preference by removing  $w_{i+1}, \dots, w_{j-1}$ .

FiXme: We might also work these out in a Figure.

FiXme: Is it nice to refer to a line of reasoning like this?

FiXme: We use that we have no 4-cycles here



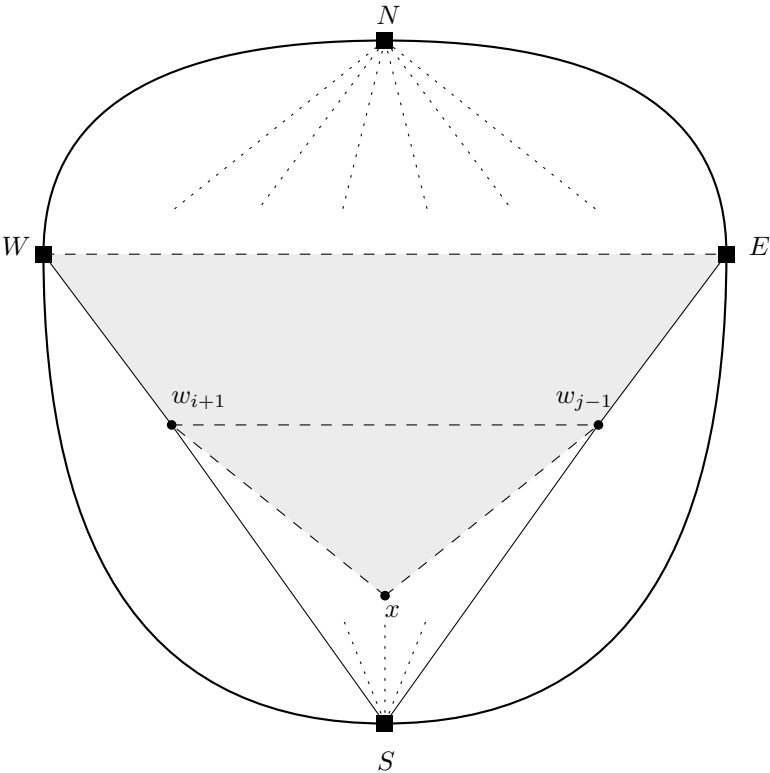
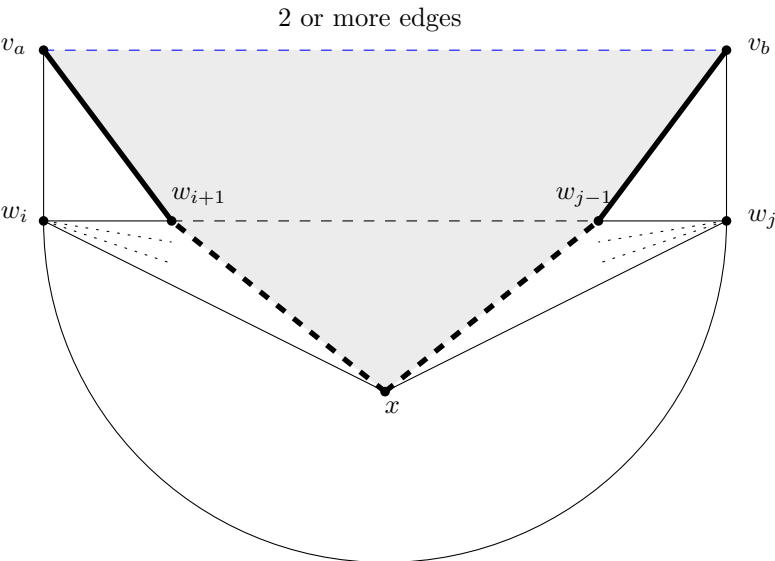


Figure 11: Removing a chord

### 5.5.2 Nonsimple points

Removing a non-simple point is done in a similar manner.

The vertex  $v_a$  on  $\mathcal{C}$  is uniquely determined as the vertices adjacent to both  $w_i = w_j$  and  $w_i + 1$ . In the same way  $v_b$  is the unique neighbor of  $w_{j-1}$  and  $w_j = w_i$ . Note that it may be that  $w_{i+1} = w_j - 1$  this does not matter for the rest of the argument.

We will describe a walk  $\mathcal{U}$  running from  $v_a$  to  $v_b$ . This path consists of all vertices in the rotation at  $w_i = w_j$  from  $v_b$  (inclusive) to  $v_a$  (inclusive). This path is given in bold in Figure 12.

FiXme: We may show this in a figure.

**Lemma 29.**  *$\mathcal{U}$  is a chordfree path.*

*Proof.* If we orient  $\mathcal{U}$  from  $v_a$  to  $v_b$  we see that  $\mathcal{U}$  cant have a non-simple point since such a point would have edges to at least two vertices on the right. However every vertex can only be connected to  $w_i = w_j$ . Hence  $\mathcal{U}$  is a path.

$\mathcal{U}$  can't have chords on the right of the path by the way we construct  $\mathcal{U}$ . Furthermore  $\mathcal{U}$  can't have chords  $u_i u_j$  on the left since they would either induce a separating 3-cycle  $w_i u_i u_j$ .  $\square$

We then consider the interior of the cycle  $\mathcal{C}_{\mathcal{U}}$  and the cycle  $\mathcal{C}_{\mathcal{U}}$  itself as the subgraph  $H$ .

We then take the tight extension of  $H$  at  $v_a$  and  $v_b$  to recurse on. See also Figure 12. Since  $\mathcal{C}$  is chordfree by Invariant 16 (I2) so is  $\mathcal{C}_{\mathcal{U}}$ . We have also just shown that  $\mathcal{U}$  is chordfree. So  $\bar{H}_t$  is indeed defined. Furthermore, since  $H$  is a induced subgraph of  $G$ ,  $\bar{H}_t$  contains no separating 4-cycles not involving the poles.

We update the preference by removing  $w_{i+1}, \dots, w_{j-1}$  and we also recognize that  $w_i = w_j$  is now a duplicate subsequent occurrence of the same vertex. So we also remove  $w_j$ .

### 5.5.3 Validity

**Lemma 30.** *After doing a move the updated preference  $W$  is a preference for the updated cycle  $\mathcal{C}$*

*Proof.*

$\square$

FiXme: TODO

**Lemma 31.** *Let  $H_I$  and  $H_J$  be two recursion subgraphs for different maximal irregularities  $I$  and  $J$ . Then  $H_I$  and  $H_J$  are edge disjoint.*

*Proof.*

$\square$

FiXme: TODO

## 5.6 Correctness

As long as the interior of  $\mathcal{C}$  is nonempty we can find a preference. And thus we find valid paths. Since we continuously shrink the cycle with valid paths we end up with a regular edge labeling. See core algorithm

The algorithm finishes because it keeps on recursing and shrinking until no graph is left.

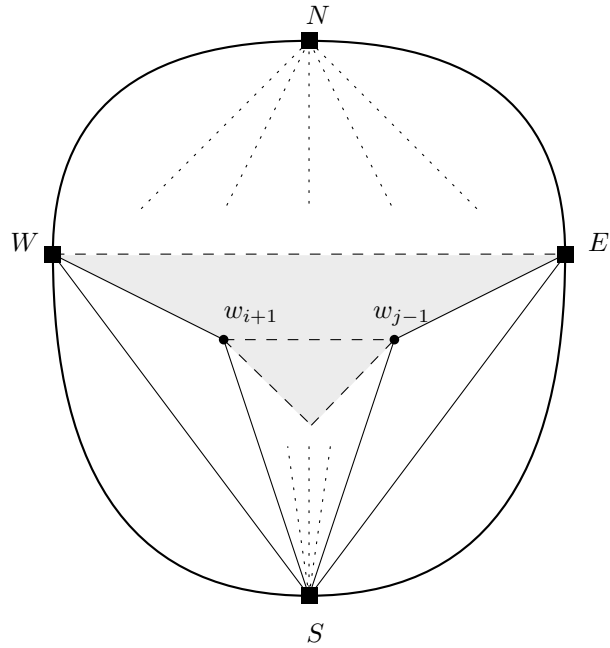
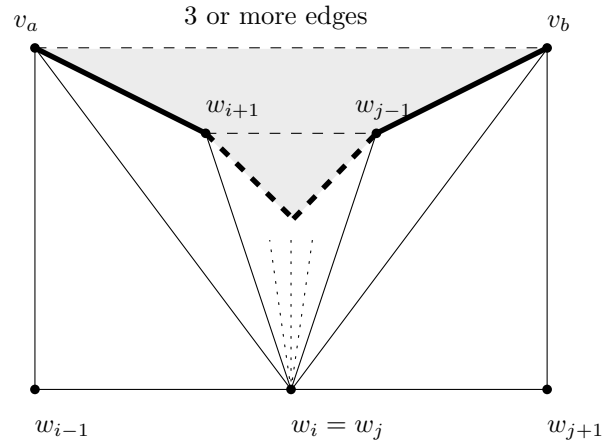


Figure 12: Removing a non-simple point

### 5.6.1 The red faces

Let us then argue that the red faces are all  $(1 - \infty)$  faces, corresponding to one-sided vertical segments. As is shown in Lemma 9 it is sufficient to show no two vertices subsequent on a blue path are first a merge and then a split or vice versa.

We will show the following

**Lemma 32.** *A split or merge always happens on a vertex that is adjacent to  $S$*

for some recursion.

*Proof.* Every valid path we shrink the cycle by is found as a fence on some recursion level. In this recursion level both  $w_1$  and  $w_k$  are adjacent to  $S$ .  $\square$

**Lemma 33.** *A path starting at a certain recursion level will stay at that recursion level. It may share vertices with the north boundary of a lower recursion level but never with the south boundary.*

*Proof.* A valid path can never leave the subgraph  $H$  in which its start- and end-vertex are located. Because it is found as a fence in this subgraph. It can also never run through a graph  $H'$  on a lower recursion level (except for the north boundary path) because in every move the vertices of the preference in  $H'$  are deleted.  $\square$

Recall that all our valid paths are oriented from a start vertex to end vertex.

**Lemma 34.** *A split can't directly be followed by a merge along any valid path during the algorithm.*

*Proof.* One of paths after the split is no longer on the south boundary of this subgraph  $H$ , nor on the south boundary of any other subgraph by Lemma 33. This path hence can't contain a merge.

The other path still potentially follow the south boundary. However merging from the southward side of the path is impossible by Lemma 33 from the northward side is equally impossible since the split and merge have to be neighboring vertices in the rotations of these vertices and thus the path  $\mathcal{P}$  that merged must also join again.

But then it is not a valid path.  $\square$

However for a blue Z to occur there has to be a valid path that first has a split and then has a merge. Since this can't be all red faces must have only 2 edges on at least one side. Hence the regular edge labeling this algorithm produces corresponds to a vertically one-sided rectangular dual.

**FiXme:** Show how the algorithm works with some cool examples: For example: The multiple non-simple point  $v_i = v_j = v_k$ ; Example of page F1; Example with lots of layered chords

**notation** We note that the interior of some cycle  $\text{Int}(C)$  are all vertices strictly in the interior of this cycle. We will sometimes also take  $\text{Int}(C)$  to refer to the induced subgraph of these vertices.

We let  $\text{Int}^+(C)$  denote the the vertices of  $C$  and  $C$ 's interior vertices. We will also sometimes let it refer to the subgraph of  $G$  induced by these vertices.

**coloring** In this section we will use lots of figures to demonstrate how to handle each type of 4-cycle.

**Usefull lemma's and notions** All edges adjacent to the same exterior vertex of  $\mathcal{D}$  in the interior of  $\mathcal{D}$  have the same color and orientation.

Once we have chosen a direction and color for one such exterior vertex this choice follows for the rest of the exterior vertices.

Hence it is trivial to recurse on a 4-cycles once we haven chosen edge colors.

## 6 Seperating 4 cycles

Let  $\mathcal{D}$  be a maximal separating 4-cycle.

Note that the only problem is given by 4-cycles that are entirely inside the cycle  $\mathcal{C}$  maintained by the algorithm. If  $\mathcal{C}$  is currently crossing  $\mathcal{D}$  then the is not any longer a problem.

We can discern 7 types of adjacency for 4-cycles to a cycle if it's entirely inside some cycle.

- (a)  $\mathcal{D}$  has 1 edge on the cycle
- (b)  $\mathcal{D}$  has 2 consecutive edges on the cycle
- (c) 2 non-consecutive edges on the cycle
- (d) 3 edges on the cycle
- (e) Just a vertex on the cycle
- (f) Two consecutive vertices on the cycle
- (g) Two non-consecutive vertices on the cycle

We will show by case distinction that everything will be okay. Sometimes we will make a move (updating cycle and prefence) to remove a irregularity and sometimes this will not be necessary.

We know that  $\mathcal{D} \subseteq \text{Int}^+(\mathcal{C})$ . Either  $\mathcal{D} \cap \mathcal{C} \neq \emptyset$  or  $\mathcal{D} \cap \mathcal{C} = \emptyset$ . In the first case we will say that  $\mathcal{D}$  is on the cycle. In the second case we have that  $\mathcal{D} \subseteq \text{Int}^+(\mathcal{C}_{\mathcal{F}})$  since

### 6.1 On the cycle

Note that type (c), (d) and (f) can't occur on the cycle  $\mathcal{C}$  maintained by the algorithm since they give a chord, offending Invariant 16 (I2).

**Type (a)** This is a *short chord*, that is a chord with a range of size only 3. Note that we allow a choice of edge flip to be made later.

**Type (b)** We can do a simple move evading the problem.  
We make the move depicted in Figure 13

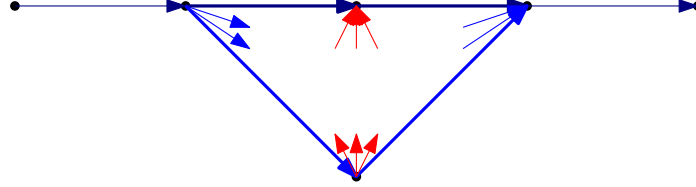


Figure 13: Removing a Type (b) separating 4-cycle

This moves the the cycle  $\mathcal{C}$  past the problematic 4-cycle  $\mathcal{D}$ . Note that we don't allow any freedom in the interior edges in this case.

**Type (e)** This type of separating 4-cycle does not induce a irregularity in the fence. Hence no operation is necessary. As can be seen in Figure 14, we can just "corner-slice" it. This separating 4 cycle is no problem since it produces no irregularity on the (pre)fence  $\mathcal{W}$ .

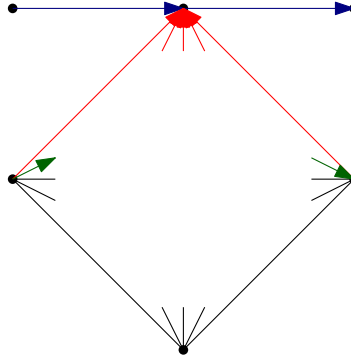


Figure 14: A preference has no problem with a Type (e) separating 4-cycle

Note that the remainder of this maximal 4-cycle may contain another separating 4-cycle. Even when the two green edges are not a 4-cycle. Such a 4-cycle however is by design non-separating since the fence takes the topmost path.

**Type (g)** This is just a combination of a ordinary non-simple point and a Type (b) case. If we first recurse on the inner non-simple point we can then solve the rest like the Type (b) case.

## 6.2 On the fence

Note that Types (a), (b) and (e) do not provide irregularities when they are on the fence. Hence we don't have to do anything to deal with these separating 4-cycles. Instead we can treat them as being "on the cycle" in later iterations of this algorithm.

**Type (c)** See Figure 15.

FixMe: Show that these types are okay with an image

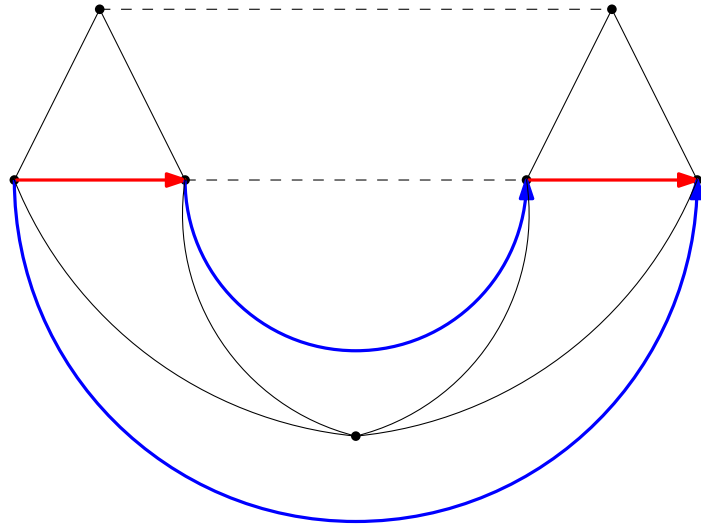


Figure 15: Removing Type (c) on the fence

**Type (d)** See Figure 16

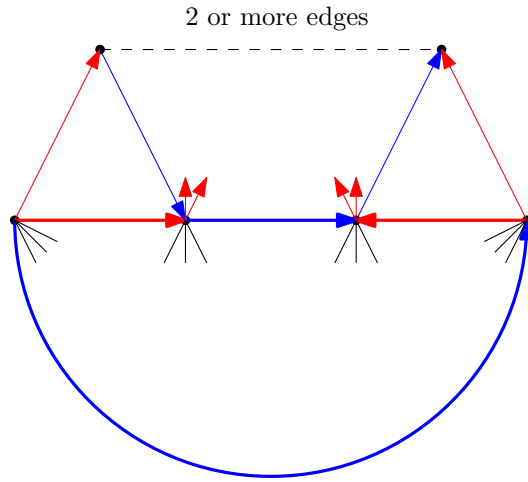


Figure 16: Removing Type (d) on the fence

**Type (g)**

**Type (f)** This is the inside of a chord

### 6.3 Not on the cycle or on the fence

Then  $\mathcal{D}$  certainly causes no problems is no problem.

## **6.4 4-cycles with a complicated interior**

We can just recurse

## **6.5 Adjacent 4-cycles**

Give huge problems. See counterexample in section 2

Can share only 1 edge. Otherwise they are not maximal or the graph doesn't make sense.



## List of Corrections

We could cite Jordan's paper here. Should I?	2
We could provide a picture illustrating these concepts	2
Is this more a remark then a lemma?	3
We could add a figure to make this more clear	3
It is called irreducible because there is a reduction that works on separating triangles. We might show this reduction	3
We can sharpen this to 4 if we have a irreducible triangulation of the $k$ -gon with a chordfree outer cycle	4
We should say something about equivalent layouts here	5
in what sense not unique, provide examples	5
Might add supporting figures	5
Is this necessary?	5
Might add supporting figures	5
TODO fix cite, and something with equivalence class. Siam p540 bottom, READ ACTUAL PAPER	6
TODO	6
TODO fix cite	7
Provide location, Kozminski & Kinnen and ungar, See Siam paper	7
right choice of words?	9
We want to provide a oriented version of this lemma for Section 3.2	9
This lemma implies that any <i>alternating 4-cycle</i> is either <i>left-alternating</i> or <i>right-alternating</i> in the terminology of Fusy	9
Harmonize reference invariants and eligible/valid path requirements. and change E to V (for valids)	14
We need to add a partial inner vertex condition	14
We haven't proven this yet	15
As outlined in last meeting this proof is not complete as is, it has been moved to the appendix. We are stuck on the part where we need to find a path satisfying E4. We might proof this from red algo.	15
Expand this subsection	16
Define what we mean with <i>cycle border</i> and <i>face border</i>	16
Revisit notation after writing section on oriented REL	16
TODO adapt figures to $p_i$ instead of $v_i$	18
We might add a (small) figure for clarity (i.e. of a crossing and a non-crossing walk)	19
TODO make this a lemma	19
TODO Define left and right of a walk	19
expand on naming/reasons of fence	20
I believe this is still true when separating triangles are allowed to occur. However the prove will have to be different.	22
Is it better to call this a non-simple point or a non-simple vertex?	23
We could add figure to clarify.	23
We might also work these out in a Figure.	24
Is it nice to refer to a line of reasoning like this?	24
We use that we have no 4-cycles here	24
We may show this in a figure.	26
TODO	26
TODO	26

Show how the algorithm works with some cool examples: For example:	
The multiple non-simple point $v_i = v_j = v_k$ ; Example of page <i>F1</i> ;	
Example with lots of layered chords . . . . .	28
Show that these types are okay with an image . . . . .	30

## 7 Appendix: Unused theorems

**Theorem 35.** *A irreducible triangulation  $G$  of the  $k$ -gon with a chordfree outer cycle is 3-connected.*

*Proof.* This proof has to be expanded.

The completion  $G'$  is a irreducible triangulation. Chordfree outer cycle is important, because a chord will form a separating triangle in  $G'$ .  $\square$

**Theorem 36.** *Any irreducible triangulation  $T$  of the 4-gon with  $n \geq 5$  is 3-connected.*

*Proof.* Let us name the four vertices of the outer cycle  $a, b, c, d$ , this cycle has at least one interior vertex  $v$  since  $n \geq 5$ . The edges  $ac$  and  $bd$  can't exist since they would create a separating triangle containing  $v$ . hence the outer cycle is chordfree. Theorem 35 then concludes the proof  $\square$

**Theorem 37.** *Every interior vertex of a triangulation of the  $n$ -gon has degree at least 3.*

*Proof.* This follows directly from Theorem 7. If a interior vertex would have a lower degree it can never have 3 vertex disjoint paths to the outer cycle.  $\square$

### 7.1 Incomplete proofs

**Theorem 38** (Existence of a eligible path). *When the algorithm's invariant (16 (I1) - 16 (I3)) are satisfied and the cycle  $\mathcal{C}$  is separating then there exist a eligible internal path.*

*Proof.* We will first show that there always exists an internal path  $\mathcal{P}$ . We will then show that a internal path can be found that satisfies conditions (E1)–(E4).

In the proof we will often use that a

Let us first note that if the cycle  $\mathcal{C}$  is separating (i.e has a non-empty interior), there is at least one interior vertex  $v$ . Since the triangulation of a  $n$ -gon is 2-connected there are two ways to go from  $v$  to (say)  $S_r$ . Hence there is an internal path  $\mathcal{P}_0$ .

If this path does not satisfy (E1) we can use the following construction. The other vertex where  $\mathcal{P}_0$  intersects  $\mathcal{C}$  is not  $S_r$ . Let us call this vertex  $x$  and it's neighbor on the path  $y$ . The vertex  $x$  might be  $N_b$  or  $S_b$  but can't be both, hence it has at least one neighbor  $z$  on the cycle that is not  $S_r$ . Because the triangulation of a  $n$ -gon is internally maximally planar we have that  $yz$  is an edge. Now  $xyz$  is an internal path satisfying (E1). See also figure 17, here we made a choice on which side of  $y$  the vertex  $z$  lies, but this choice can be made without losing generality.

Hence we have now constructed, or already had, a path that satisfies (E1). Let us for the remainder of the proof denote this path by  $\mathcal{P}_1$ .

**There is a path that also satisfies (E2)** If  $\mathcal{P}_1$  satisfies (E2) we set  $\mathcal{P}_2 = \mathcal{P}_1$  otherwise we will create a path that satisfies (E1) and (E2). If the path  $\mathcal{P}_1$  does not satisfy (E2) <sup>7</sup> then there are two possibilities a)  $\mathcal{P}_1$  does not have interior

---

<sup>7</sup>which will be the case if the above construction has been used

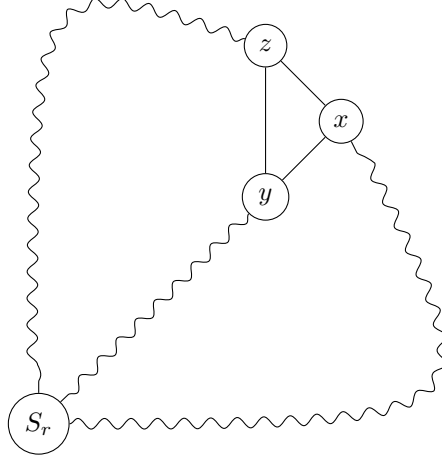


Figure 17: Constructing a path satisfying (E1)

vertices and/or b)  $[v, v']$  does not have interior vertices. If a) would be true the existence of  $P_0$  would contradict Invariant 16 (I2). Hence the only problem can be that b) occurs.

If  $v = N_b$  and  $v' = S_b$  we have found a separating triangle given by  $S_r N_b S_b$ <sup>8</sup> in original graph. Hence at least one of  $v$  or  $v'$  is not  $N_b$  or  $S_b$ . If we call this vertex  $x$  its neighbor on the path  $y$  and its neighbor outside  $[v, v']$   $z$ . We see that by the interior of  $\mathcal{C}$  being maximally planar  $yz$  must be an edge. If we now adapt  $P_1$  by replacing  $yx$  by  $yz$  we have made  $[v, v']$  one vertex longer and hence created a path satisfying (E2). In figure 18 we show this procedure in two cases. Executing this procedure does not change that  $S_r$  is not one of the endpoints of the path. Hence we have now created a path  $\mathcal{P}_2$  that satisfies (E1) and (E2).

**There is a path that also satisfies (E3)** If  $\mathcal{P}_2$  satisfies (E3), we take  $\mathcal{P}_3 = \mathcal{P}_2$ . Otherwise we will remedy the defect. We separate five different cases of offending edges. All of the five cases will be easy to remedy giving a path  $\mathcal{P}'_2$  still satisfying (E1) and (E2) such that  $\mathcal{C}_{\mathcal{P}'_2}$  is strictly contained in  $\mathcal{C}_{\mathcal{P}_2}$

- a) edges from  $[v, v'] \setminus v, v'$  to  $[v, v'] \setminus v, v'$
- b) edges from  $\mathcal{P} \setminus v, v'$  to  $\mathcal{P} \setminus v, v'$
- c) edges incident to  $v$  or  $v'$  and some other vertex on  $\mathcal{C}_{\mathcal{P}_2}$
- d) edges from  $[v, v']$  to some internal vertex
- e) edges from  $\mathcal{P} \setminus v, v'$  to some internal vertex

The existence of an edge as in a) is forbidden by Invariant 16 (I2). If b) occurs we can simply shortcut our original path  $\mathcal{P}_2$  with this edge. If c) occurs

---

<sup>8</sup>this is the cycle  $\mathcal{C}$  which is separating

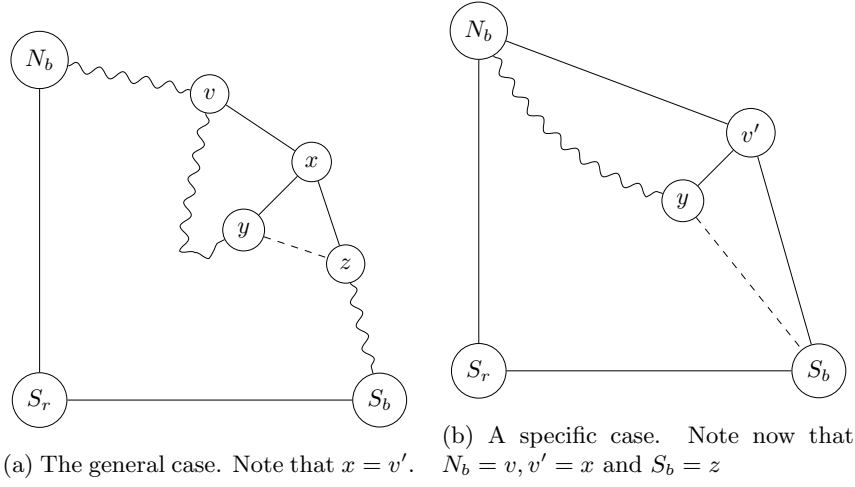


Figure 18: Creating a path satisfying (E2). The dotted line is the edge we take in the new path  $\mathcal{P}_2$

this edge can't go to another vertex in  $[v, v']$  since that would offend Invariant 16 (I2). Hence they go to a vertex in  $\mathcal{P}_2$  and we can shortcut the path as in b).

If d) occurs we simply make a new path and if e) occurs we take a slightly adapted interior path. See figures

Since all of the moves shrink  $\mathcal{C}_{\mathcal{P}_2}$  while keeping (E1) and (E2) intact and we can't infinitely shrink this means at a certain point no more moves are available. Since every offending edges allows a move this means that there are no more offending edges. Hence this version of  $\mathcal{P}'_2$  satisfies (E3). For the final step of the proof we take  $\mathcal{P}_3 = \mathcal{P}'_2$ .

**There is a path that also satisfies (E4)** Suppose that  $\mathcal{P}_3$  does not satisfy (E4). Then we can just take the would be interior edge and take this for a new path. This is again a finite procedure reducing the sum of  $|\mathcal{P}_3| - |[v, v']|$ . In the end we have a path satisfying (E1) - (E4).

□

## References

- [1] A. Bondy and U. S. Murty. *Graph Theory (Graduate Texts in Mathematics 244)*. Springer, 2008.
- [2] D. Eppstein, E. Mumford, B. Speckmann, and K. Verbeek. “Area-Universal and Constrained Rectangular Layouts”. In: *SIAM Journal on Computing* 41.3 (2012), pp. 537–564. DOI: 10.1137/110834032.
- [3] É. Fusy. “Transversal structures on triangulations: A combinatorial study and straight-line drawings”. In: *Discrete Mathematics* 309.7 (2009), pp. 1870–1894. DOI: 10.1016/j.disc.2007.12.093.
- [4] É. Fusy. “Transversal Structures on Triangulations, with Application to Straight-Line Drawing”. In: *Graph Drawing*. Springer Science+Business Media, 2006, pp. 177–188. DOI: 10.1007/11618058\_17.
- [5] G. Kant and X. He. “Regular edge labeling of 4-connected plane graphs and its applications in graph drawing problems”. In: *Theoretical Computer Science* 172.1-2 (1997), pp. 175–193. DOI: 10.1016/s0304-3975(95)00257-x.
- [6] K. Kozminski and E. Kinnen. “An Algorithm for Finding a Rectangular Dual of a Planar Graph for Use in Area Planning for VLSI Integrated Circuits”. In: *21st Design Automation Conference Proceedings*. Institute of Electrical and Electronics Engineers (IEEE), 1984. DOI: 10.1109/dac.1984.1585872.