

## Homework Assignment 1 – [30 points]

STAT430 Unsupervised Learning - Fall 2021

Due: Friday, September 3 on Compass

**Question #1: [4 pt]** Plotted and shown below is a two-dimensional dataset with 10 objects. Also plotted below are two centroids that have been randomly initialized to be (1,7) and (4,5.25). What will be the NEXT position of the two centroids in the first step of the k-means algorithm? Show your work.

	Data		Additional Information	
	x	y	Squared Distance to Initial Random Centroid 1 (1,7)	Squared Distance to Initial Random Centroid 2 (4,5.25)
Object 1	1	1	36.00	27.06
Object 2	2	2	26.00	14.56
Object 3	1	2	25.00	19.56
Object 4	2	1	37.00	22.06
Object 5	1.5	1.5	30.50	20.31
Object 6	3	7	4.00	4.06
Object 7	3	8	5.00	8.56
Object 8	4	7	9.00	3.06
Object 9	4	8	10.00	7.56
Object 10	3.5	7.5	6.50	5.31

With the random initialization point belongs to

centroid 2

centroid 2

centroid 2

centroid 2

centroid 2

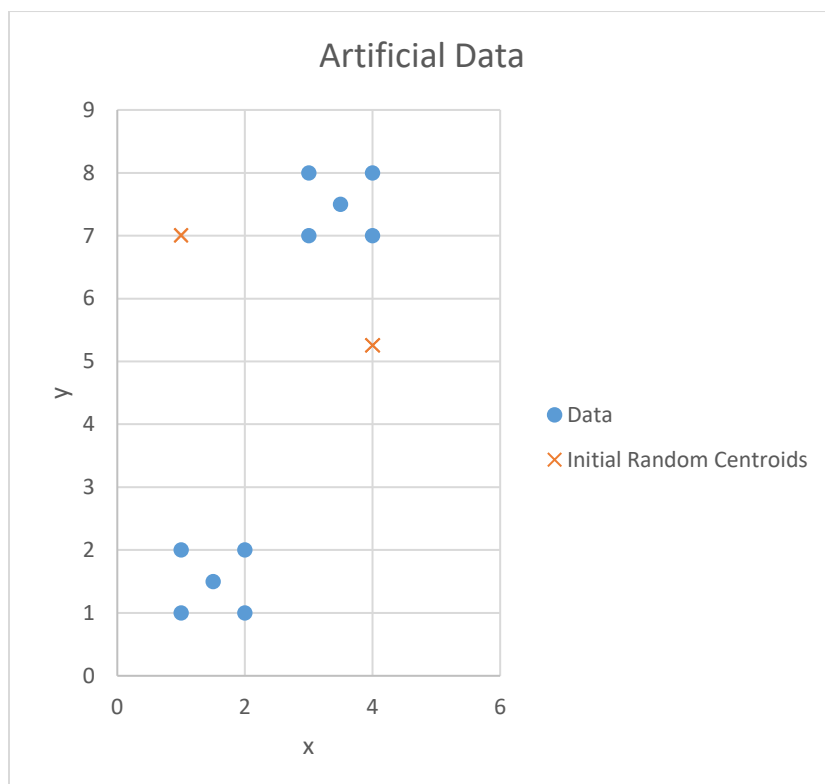
centroid 1

centroid 1

centroid 2

centroid 2

centroid 2



new centroid 1 position:

$$x1 = (3+3)/2 = 3$$

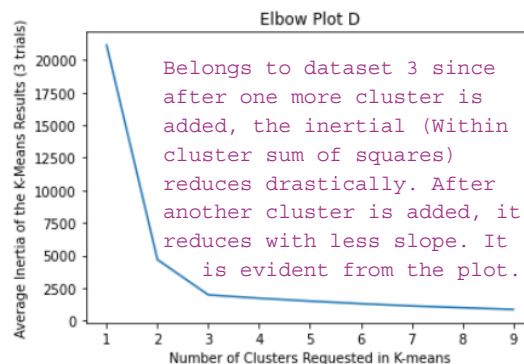
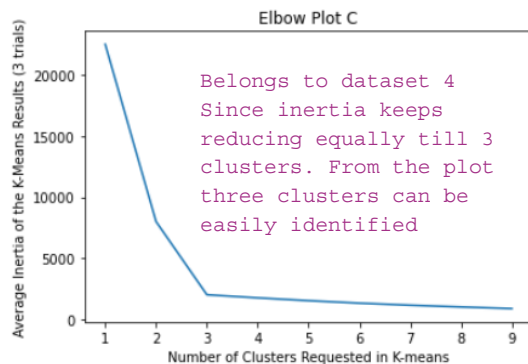
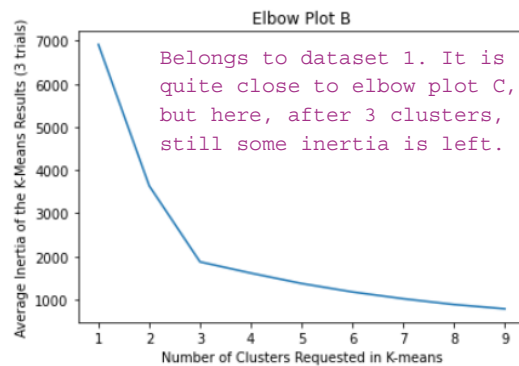
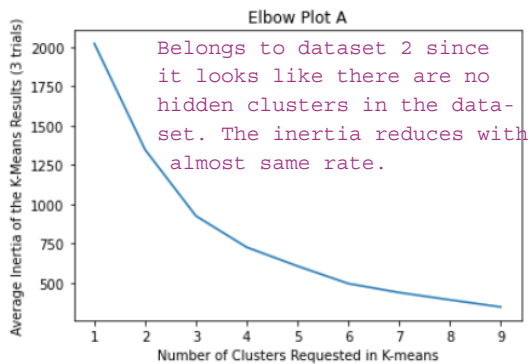
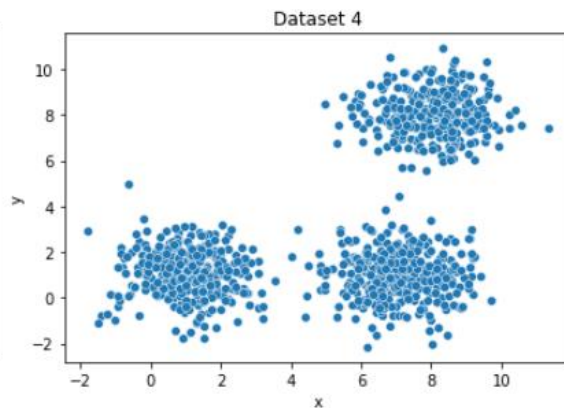
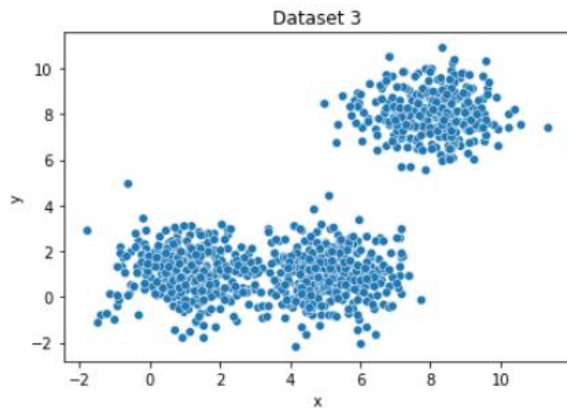
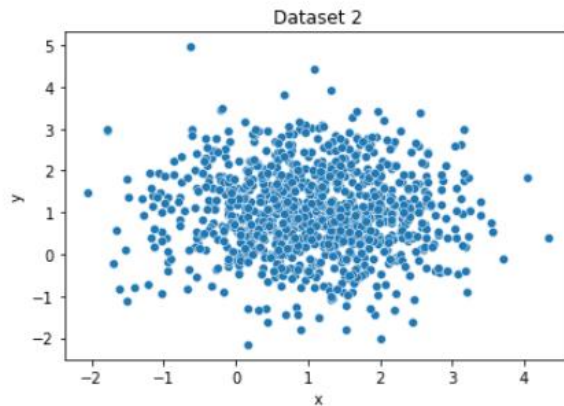
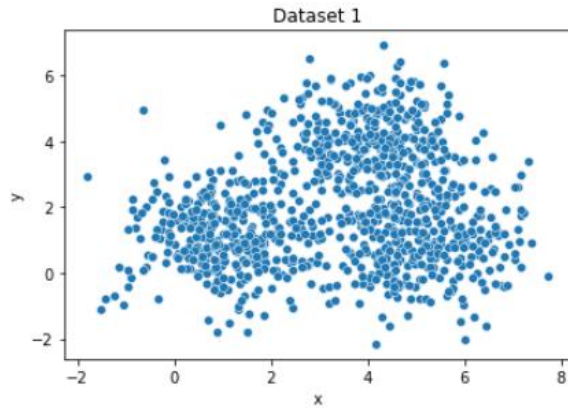
$$y1 = (7+8)/2 = 7.5$$

new centroid 2 position:

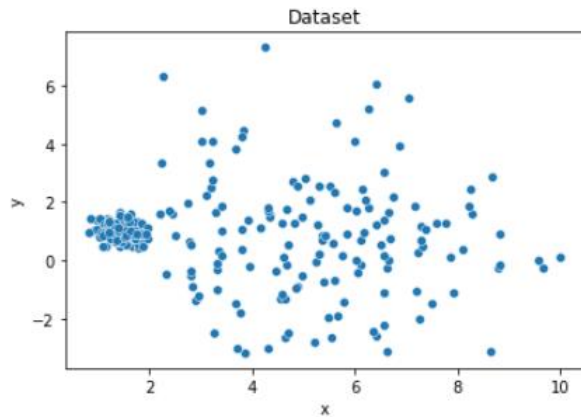
$$x2 = (1+2+1+2+1.5+4+4+3.5)/8 = 2.375$$

$$y2 = (1+2+2+1+1.5+7+8+7.5)/8 = 3.75$$

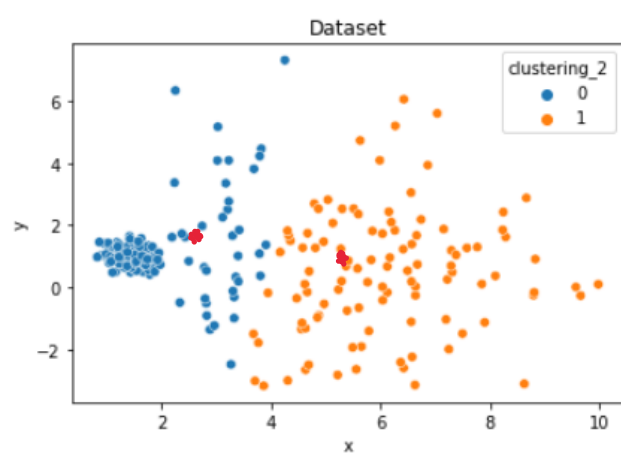
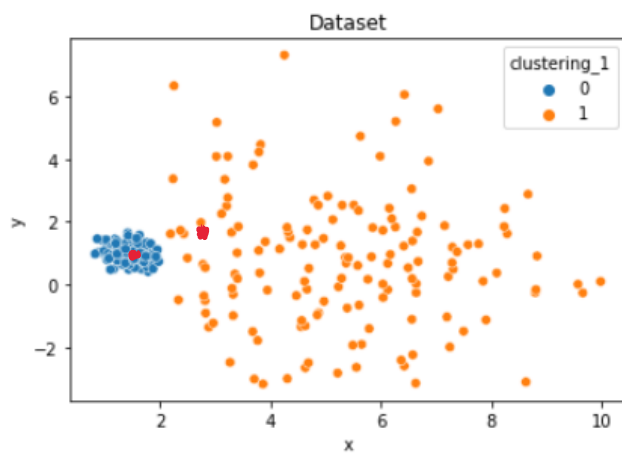
**Question #2: [4 pt]** Match the dataset to the k-means elbow plot that was created from this dataset. (Explanations not required, but may help with partial credit if you are wrong.)



**Question #3: [4 pt]** The data displayed below shows two clusters. The dense cluster on the left contains about 150 objects and the sparse cluster on the right also contains about 150 objects.



Displayed below are two clusterings of the same dataset (ie. Clustering 1 and Clustering 2).



- For Clustering 1, approximate where the centroids of the two clusters would be (drawing on the graph or an approximate numerical point is fine).
- For Clustering 2, approximate where the centroids of the two clusters would be (drawing on the graph or an approximate numerical point is fine).

- One of these clusterings has an inertia of 917 and the other clustering has an inertia of 1105. Which inertia do you think corresponds to which clustering? Explain why.

- These values correspond to the second clustering (clustering\_2) since blue colored cluster (0) in clustering\_1 looks very tightly packed, hence it will have very less inertia and difference between two clusterings inertia will be much more than 917 and 1105.
- The inertia 917 belongs to blue cluster (0) from clustering\_2 since it is less sparse compared to orange cluster in clustering\_2.

d. Do you think the k-means clustering algorithm will work well for this dataset? Why or why not?

k-means clustering algorithm will not work here due to the following reasons:

1. The clusters are not spherical (even though one is spherical, the other one is not).
2. The clusters do not have same sparsity.

**Question #4:**

1. Download the Assignment\_01.zip file from Compass.
2. Edit the Jupyter notebook (.ipynb) file to complete/answer questions 4.1-4.10.
3. Submit your completed Jupyter notebook (.ipynb) file as well as any other files you used to answer Questions 1-3 to compass.