

# PARAFAC

Date NOV 22

## ① Make a ChangeLog Sheet

- to cut down the data to put into MATLAB.
- in excel

A

B

C

Don't put ABC in excel  
ChangeLog file

path to your samples (in template form).	RU ↑ to recognize the tab RU in templates.	PARAFAC_1 PARAFAC_2
--	---	------------------------

- Put all samples  
in a folder called  
EEM-Scans.

Go to this folder  
& highlight all  
your samples  
right click

→ Path Copy

→ Copy Long Path.

- And paste

into column A

File → Save as Change  
Log in Folder  
where you are  
keeping everything.

## ② Open Matlab (7.50(R2007b))

Editor

Workspace

Current Directory

- where you code  
is (to read)

data in program  
that you're  
working with

where you  
switch b/t  
file folders.

Command Window

where you type  
the codes in.

- Click on Editor tab.

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③ File

Open

→ My Computer → Local Disk C → Program Files

→ MatLAB → R2007b → toolbox → DOMFluorV1-7

→ DOMFluor.

→ EEM Change for MatLAB

④ Within the code:

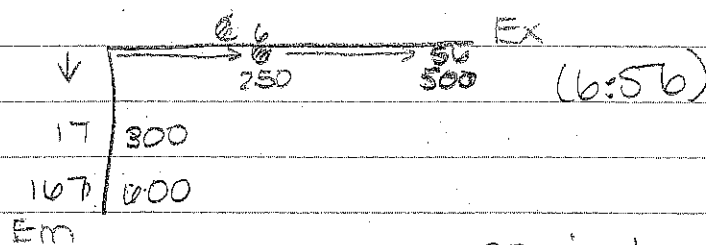
A = can remain the same.

B = should be ok. It's saying what part of the B-tab in the change log do you want to use.

want → Ex: 250-500

Em: 300-600

in RU tab.



∴ (17:167)

so just ensure this is right in the templates.

⑤ In Folders,

- make new one called 'NOVEEM.'

& 'NOVEEM\_Plot'

can change this to whatever.

⑥ Click on NOVEEM, open, & copy path  
paste into the purple code @pathname =  
at Line 31

⑦ Click on NOV EEM Plot, open, copy path  
 & paste into  
 path name = ① Line 95

\* Keep \ ; At end of extension.

⑧ At line 115 = pathname  
 - need to tell where you want FLnew Spreadsheet  
 to put. So put a folder ext pathway  
 in front of \FI-new.xls'

The FI-new wants EX = 370 <sup>(30 or AD)</sup>  
 EM = 470 → 520 <sup>(102 127)</sup>  
 so code is : EM:470 EX:370 EM:520 EX:370  
 B(102,30)/B(127,30) (line 112)

look at RU  
 tab to  
 ensure  
 matching up

⑨ Go to workspace

File > Import > Get Change-Log Spreadsheet  
 data

→ open → when Import Wizard dialog box  
 Appears, rename "Sheet 1" to  
 "test"

→ Click Finish.

⑩ Go back to Editor-tab

copy all & paste all into Command Window.

There will be a prompt for how  
 many samples you have in change-log  
 (ie → 5) folder.

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The contour plots will appear.

watch + make sure nothing seems wrong  
(ie  $\rightarrow$  axis doesn't change, the overall pattern  
doesn't change)

- \* if it says something is missing, program will stop. Can go see where it stopped + make new executable keeping only to where it stopped

- then in command type 'clear'

- reload new file

-copy & paste again

- type in how many samples have now

① The FI\_new didn't make sample names, but they are in the Change-log.

- make new excel sheet called NOVEM sample order.

names: PARAFAC # Removed B4 Big Matrix →  
Big Matrix  
order  
(n=5)

Cut data 20x70

test 1

test 1  
 $n = \underline{\quad}$  if removed any should be less than

Cut data 2 (35x35)

$$\text{test 2 } n =$$

Removed due to contamination



⑫ In MatLab.

- click editor → open → pathway to DOM Fluoro → combine sample file.

⑬ in Current Directory

- or click through directory & open the NOV\_EEM folder (with PARAFAC-1, PARAFAC-2, ... files).  
(.xls)

\* make sure files saved as PARAFAC-1.xls or will not import w/o error.

⑭ In the Editor, copy statement:

bigMatrix = combineSampleFiles('\*.xls');  
line 6

& paste into command window.

- hit enter. You will see

not (shown)	n	#Emλ	#Ex	} can verify by opening PARAFAC-1 file & counting rows down (1st) & across (1st).
(shown)	5	157	51	

⑮ Back in Editor,

File → open → pathway to DOM Fluoro → PARAFAC - modelling.

(Prehandling of data software).

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(16) In the command window, copy + paste from code:

Step P1

X = bigMatrix;

clear bigMatrix;

Step P2

Em = (300:2:600)';

From Step 4

Ex = (250:5:500)';

Step P3

nEM = 151;

}

# of emmissions

nEX = 51

}

# of excitations

in PARAFAC\_1.xls files

nSample = \_

}

need to change this to # of samples you have. Like in your change log.xls

XBackup = X; }

}

backup of original data.

\* All these files will show in workspace tab.

\* Never change original file matrix.

Step P4

- copy all of step P4 and paste into command window.

\* Now steps follow with the Paper tutorial.

Stedmon & Bro.

## Tutorial + Continuation of Steps

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-The last thing you should see in command window is Original data:

Ex: [51 x 1 double] list of Ex  $\lambda$  (nm)  
250  $\rightarrow$  500 nm, measuring every 5 nm

Em: [151 x 1 double] list of Em  $\lambda$  (nm)  
300  $\rightarrow$  600 nm, measuring every 2 nm

X: [5 x 151 x 51 double] the fluore. data as a 3D array  
(5 samples x 151 em  $\lambda$ 's x 51 ex  $\lambda$ 's)

nEX: 51

nEm: 151

nSample: 5

} # of ex  $\lambda$ 's, # of em  $\lambda$ 's,  
# of samples.

XBackup: [5 x 151 x 51 double] backup copy of data

Step 1: Check EEM's.

PlotEEMby4(1, Original data, 'RU')  
↑

-red image would indicate a (-) #. We tell them to be 0.

-if they are in areas that are essential to us, we would have to take them out & remodel.

-write down red ones.

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Step 2: Cutting the region of the Spectra influenced by Scatter Peaks.

[CutData] = EEMcut(OriginalData, 20, 20, NaN, NaN, 'No')

if change to 'Yes', you click space bar to see graph. No just makes them go after a few seconds.

- this deletes data in region where no fluorescence. (em x's are less than ex x)  
+ regions influenced by scatter, & replaces them with NaN = (not a #).

\* can change the 20x20.

- Clayton Dec EEM Sample Summary file has 35x35,

\* need to remove data, may want to go to step 4 (removing outliers) first, before step 3 (identify outliers). So can get rid of the ones you visually see first.

Step 3: Initial explorative data analysis & outlier identification

Tutorial step before M.L step 3:

[Test 1] = OutlierTest(CutData, 2, 1, 7, 'No', 'No')

- will run models 2 → 7 components.



- to assess these models, use loadings & leverages.  
`PlotLoadings(Test1, 2)`

- will show the loadings of of the model (a, b, c from Test 1)

- b & c show the  $F_m$  &  $F_x$  loadings.

- a shows the <sup>new</sup> concentration of the two components varies between samples.

- loadings should be smooth

`PlotLeverage(Test1, 2)`

- will show a figure for the leverages

- plots with extreme leverages may indicate outliers. (ie  $\rightarrow$  higher than 0.5)

↑  
component.

`PlotLL(Test1, 2)`

will put the loadings & leverages on one graph.

- now would do `PlotLL(Test1, 3)`

" " 4)

" " 5)

" " 6)

" " 7)

- would need to constrain model, since some loadings may be negative. This makes loadings "look" wrong, bc a wrong amount of components may be being forced to *persue*,

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even if a certain number of them aren't valid.  
- so we rerun with non-negativity constraints.

M.L Step 3:

[Test2] = OutlierTest(CutData, 2, 1, 7, "Yes", "No")  
lowest # of components      highest # of components  
↑  
skipping by # of components.

'Yes' = make (-) #'s a 0?

'No' = stop everytime it makes a model?

do the PlotLL(Test2, 2), IT

" 3)  
" 4)  
" 5)  
" 6)  
" 7)

\* if the leverage plots show samples that may be problematic, try plotting them again & seeing how they compare to others.

i.e: write down their numbers [6, 9, 47]

\* do PlotEFMby4(1:5, Test2, 'RU')

\* watch for the identified samples.

- if they look questionable, remove!

Step 4 Remove Outliers from DataSet

`[Test3] = RemoveOutliers(cutData, [5], [], [])`

\* so, if wanted to remove samples 2 & 5, would  
readd... `[2 5], [], []`.

- (I only removed 5 in practice run Nov 22).

To rerun model on new data:

`[Test3] = OutlierTest(Test3, 1, 1, 7, 'No', 'No')`

↑  
but this would cause the  
negative issues again.  
(And MATLAB just shows a error...)

so go

`[Test3] = OutlierTest(Test3, 1, 1, 7, 'Yes', 'No')`  
↑  
to make 0 values a 0.

Again want to look at the loadings / leverages.  
to see if any other now look questionable.

`PlotLL(Test3, 1)`

\* if some looked questionable, remove, & repeat  
steps. Also can compare samples again  
by `PlotEEMby1(1:4, Test3, 'RU')`

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Now, comparing the Spectral Sum of Squares.  
Compare SpecSSE (Test 3, 4, 5, 6, ...)

components you're  
interested in.

- can then compare other components.

ie  $\rightarrow (6, 7, 8) \dots (4, 6, 8) \dots (3, 5, 7) \dots$

- once comparing them all, if you see, for example,  
that the step from the  $6 \rightarrow 7$  model offers little  
improvement of fit, it suggests that 6 or fewer  
components are adequate for the data.

So you know the highest component may be 6.

Now, Compare 2 models

Compare 2 Models (Test 3, 3, 4)

this will look at the models 3 & 4. Then can  
repeat for 4, 5 ; & 5, 6 , & 6, 7

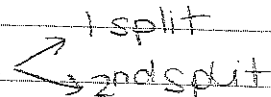
This will somehow? tell you the at least  
number of components you have. (ie maybe 4)

- can then compare loadings of models  
by PlotLoadings (Test 3, 4)

;) )



## Step 5: Split Half Analysis + Validation

This splits the data twice 

```
[Analysis Data] = SplitData (Test 3)
```

- creates a figure w four plots, showing the sum of squares for each split.
- The curves for each pair of halves should be similar.

Now to split between components

```
[Analysis Data] = SplitHalfAnalysis (Analysis Data,  
    (3:7), 'Mydata.mat')  
    or SplitHalfTest1.mat)
```

this will fit a model with 3-7 components.

Next, use

```
SplitHalfValidation (Analysis Data, '1-2', 3)
```

This will look at split 1-2 on the 3 component model. Then do 3-4 on 3 component model, & repeat for model 4-8 or highest chosen.

```
(e Split..., '1-2', 4)
```

```
'3-4', 4)
```

```
'1-2', 5)
```

```
'3-4', 5)
```

The code will tell you if validated or not.

## Step 6 Analysis using Random initialization.

[Analysis Data] = Rand Init Anal (Analysis Data, 4, 10)

This is running 10-four component models using random initialization. (Note: if in Step 5, the Split half validation Analysis found that component 5 & 6, were validated, you would have to run these components too)

ie ... Analysis Data, 5, 10)

... Analysis Data, 6, 10)

- once the model finishes, it shows a plot of the sum of squares. The model with the least sum of squares is highlighted with a green circle.

(Note you can enter in more than one

[Analysis Data] = Rand Init Anal (Analysis Data, 5, 10)  
" 6, 10)

at once. will show you the component image.

- Now, check & see that the loadings & fit of the model are OK.

PlotLL(AnalysisData, 4)

SplitHalfValidation(AnalysisData, '1-2', 4)

can also EvalModel(AnalysisData, 4)

& do the Tucker Congruence Coefficient

TCC(AnalysisData.Model14, AnalysisData.

Split(1).Fac4)

↑  
ML codes says is wrong

\*using component 4 in all these because that's what was validated.

Now, create contour plots of components

ComponentEEM(AnalysisData, 4)

Step 7: Save & Export data

[E\_max] highlight path of directory where you want it to save \name spreadsheet.xls

save Path of directory \name.mat