

# ASSIGNMENT - CSL251

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## Question 1

### Problem Statement

For this question, you'll need the following definition:

**Definition:** A sunlet is a graph with  $2n$  vertices that consists of a cycle of length  $n$ , and each vertex in the cycle is directly connected to exactly one node of degree one.

**Model:** Input is an undirected graph  $G$  with  $2n$  vertices. The algorithm can query an edge  $(i, j)$  and it will be told whether or not edge  $(i, j)$  is in graph  $G$ . Each query has cost 1.

**Problem:** Output “Yes” if the input graph  $G$  is a sunlet, otherwise output “No”.

**task:** Prove that every correct algorithm for the problem has worst-case cost at least  $\binom{2n}{2}$ .

### Claim

In the worst case, any deterministic algorithm must make  $\binom{2n}{2}$  edge queries to correctly identify whether the hidden graph is a sunlet.

### Proof (Detailed Explanation)

Suppose there exists a deterministic algorithm  $\mathcal{A}$  that can determine whether an unknown graph  $G$  is a sunlet using fewer than  $\binom{2n}{2}$  edge queries. This means that  $\mathcal{A}$  does not check the existence of all possible edges between pairs of the  $2n$  vertices.

Let  $U$  be the set of unordered vertex pairs whose edge status was never queried by  $\mathcal{A}$ . Then  $U \neq \emptyset$  by assumption.

We now consider an adversarial strategy. The adversary will respond to  $\mathcal{A}$ 's queries in a way that makes the graph appear to be a valid sunlet. Let  $G_1$  be the actual sunlet graph (a cycle of  $n$  nodes, each with a unique leaf attached). Now, consider a pair  $(u, v) \in U$  — the algorithm never asked whether there was an edge between  $u$  and  $v$ .

Now the adversary constructs another graph  $G_2$ :

- $G_2$  is identical to  $G_1$  in all queried edges.
- But  $G_2$  contains one additional edge  $(u, v)$  where both  $u$  and  $v$  are degree-one leaf nodes.

This new graph  $G_2$  is no longer a valid sunlet. Why?

- In a sunlet, every non-cycle node must have degree exactly 1.
- Adding an edge between two leaf nodes results in one or both of them having degree 2.
- Therefore,  $G_2$  violates the sunlet condition.

Since  $\mathcal{A}$  never queried  $(u, v)$ , it cannot distinguish between  $G_1$  and  $G_2$ . Thus, it will produce the same output for both graphs — either incorrectly rejecting the true sunlet or incorrectly accepting the non-sunlet.

Hence, in order to avoid such adversarial constructions, any algorithm must query every pair  $(i, j)$  with  $1 \leq i < j \leq 2n$ . That is:

$$\boxed{\binom{2n}{2}} \text{ edge queries are necessary in the worst case.}$$

### Example (n=3)

- Vertices: 1 to 6
- Cycle: (1–2), (2–3), (3–1)
- Leaves: (1–4), (2–5), (3–6)
- Suppose the algorithm does not query (4, 5)
- The adversary adds edge (4, 5) to break the sunlet condition (since now 4 and 5 have degree 2)
- The algorithm cannot distinguish this from a valid sunlet and may output the wrong result.

## Problem 2

Consider the following model and problem:

### Model

For the range of numbers  $1, 2, \dots, n$ , there is a special threshold value  $t \in \{0, 1, \dots, n\}$ . For all numbers  $i > t$ , the number  $i$  is considered “unsafe”. All other numbers in the range  $1, 2, \dots, t$  are considered “safe”. The algorithm can query any number  $i \in \{1, 2, \dots, n\}$ , and it will be told whether  $i$  is “safe” or “unsafe”. However, if the algorithm ever queries an “unsafe”  $i$ , the system shuts down and no further queries are possible.

## Problem

Determine the exact value of  $t$ .

## Task

- (a) Prove that any algorithm that solves the problem must perform at least  $n$  queries in the worst case.
- (b) Let's change the model a bit: suppose that one “unsafe” query is allowed. That is, the system shuts down after exactly two “unsafe” queries. Prove that any algorithm that determines the exact value of  $t$  must use  $\Omega(\sqrt{n})$  queries in the worst case.
- (c) Design an algorithm that uses  $O(\sqrt{n})$  many queries for the problem in part (b).

## (a) Claim: Worst-case $n$ Queries When No Unsafe Queries are Allowed

### Problem Recap

We are given a hidden threshold  $t \in \{0, 1, \dots, n\}$ . For any queried number  $i$ :

- If  $i \leq t$ , the query returns “safe”.
- If  $i > t$ , the query returns “unsafe” and the system shuts down immediately — no more queries can be made.

The goal is to find the **exact** value of  $t$  using the minimum number of queries in the **worst case**.

### Worst-Case Lower Bound: $n$ Queries

Since making a single unsafe query ends the process, the only valid strategy is to:

- Query numbers sequentially from 1 to  $n$  in increasing order.
- Stop just before the first unsafe number appears.

**Why can't we skip values?** Suppose the algorithm skips values and directly queries some  $i > 1$ :

- If  $i > t$ , and  $i$  is unsafe, the system halts and we get no info about the skipped values.
- We risk overshooting  $t$  without knowing where the threshold lies.

Thus, querying must be cautious and strictly sequential.

## Example

Let  $n = 5$ , and  $t = 3$ :

Query	Response
1	safe
2	safe
3	safe
4	<b>unsafe (system shuts down)</b>

The algorithm halts after 4 queries and concludes  $t = 3$ .

## Conclusion

In the worst case (e.g., when  $t = n$ ), the algorithm must make  $n$  queries.

Thus, any correct algorithm under this constraint must perform **at least  $n$  queries in the worst case**.

## (b) Lower Bound: $\Omega(\sqrt{n})$ Queries with One Unsafe Allowed

**Claim.** Even if one unsafe query is allowed (i.e., the system shuts down only after the **second** unsafe query), any correct algorithm to determine the threshold  $t$  must make at least  $\Omega(\sqrt{n})$  queries in the worst case.

### Intuition Behind the Bound

The key challenge is that we are allowed only **one unsafe query**, so we must be cautious. We want to minimize the number of queries while still safely determining the exact value of  $t$ .

If we just tried skipping around and probing randomly, we might:

- Hit two unsafe values too soon, which shuts down the system before we learn enough.
- Fail to identify  $t$  correctly because we haven't explored enough positions.

Thus, we must strike a balance between:

- Skipping ahead enough to reduce total queries,
- Being cautious enough to not accidentally trigger both unsafe queries too early.

### Decision Tree Argument

Suppose the algorithm makes  $k$  queries in total. We model the behavior as a decision tree:

- Each node is a query to some  $i \in \{1, 2, \dots, n\}$ .
- The edges are based on responses: either “safe” (S) or “unsafe” (U).

- Since the system stops after 2 unsafe queries, each root-to-leaf path can contain at most 2 U's.

The total number of different possible patterns of responses the algorithm can encounter along any path of length  $k$  with at most 2 unsafe responses is:

$$\binom{k}{0} + \binom{k}{1} + \binom{k}{2} = 1 + k + \frac{k(k-1)}{2}$$

This is the number of different paths the algorithm might explore. But we must distinguish between all possible  $t \in \{0, 1, \dots, n\}$ , which are  $n + 1$  possibilities. Therefore:

$$1 + k + \frac{k(k-1)}{2} \geq n + 1$$

Solving this inequality gives:

$$\frac{k^2}{2} = \Omega(n) \Rightarrow k = \Omega(\sqrt{n})$$

## Conclusion

Thus, even with the extra flexibility of one allowed unsafe query, we still need at least  $\Omega(\sqrt{n})$  queries in the worst case to correctly determine  $t$ .

Any correct algorithm must use at least  $\Omega(\sqrt{n})$  queries in the worst case.

## (c) Upper Bound: $O(\sqrt{n})$ Queries

**Two-Phase Search Algorithm.** Let  $m = \lceil \sqrt{n} \rceil$ . We perform:

1. **Block Search:** Query indices  $m, 2m, 3m, \dots$  until the first unsafe at  $jm$ . (At most one unsafe.)
2. **Local Scan:** Let  $L = (j-1)m$ . Sequentially query  $L+1, L+2, \dots$  until the next unsafe at index  $i$ . Then  $t = i-1$ . (Second unsafe shuts down, but only after this final probe.)

**Complexity.** At most  $m$  block queries plus  $m$  local probes, giving  $2m = O(\sqrt{n})$  total. We use at most two unsafe queries (one in each phase) without premature termination.

**Worked Example** ( $n = 20, t = 13$ ). Here  $m = 5$ . The algorithm runs:

- *Block Search:* Query 5(S), 10(S), 15(U) ( $j = 3$ ).
- Now  $L = 10$ , so  $t \in \{11, 12, 13, 14\}$ .
- *Local Scan:* Query 11(S), 12(S), 13(S), 14(U) ( $i = 14$ ). Conclude  $t = 14 - 1 = 13$ .

Total queries =  $3 + 4 = 7 = O(\sqrt{20})$ .