# 344.075 KV: Natural Language Processing Compositional Representations



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# **Agenda**

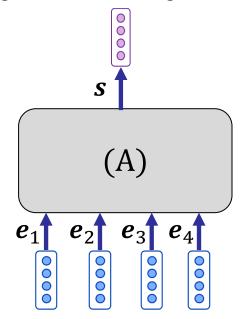
- Introduction
- Sentence embedding with sent2vec
- An overview on BERT

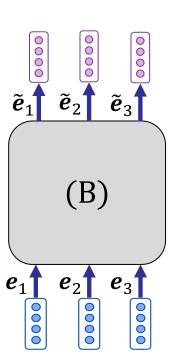
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## **Compositional Representation**

- Methods to compose (low-level) representations in order to create higher-level or richer representations
- Two common scenarios of compositional representation are ...
  - A. creating representations of high-level entities (i.e., n-gram, sentence, document) from lower-level entities (i.e., words, sub-words, characters)
  - B. creating contextualizing embeddings

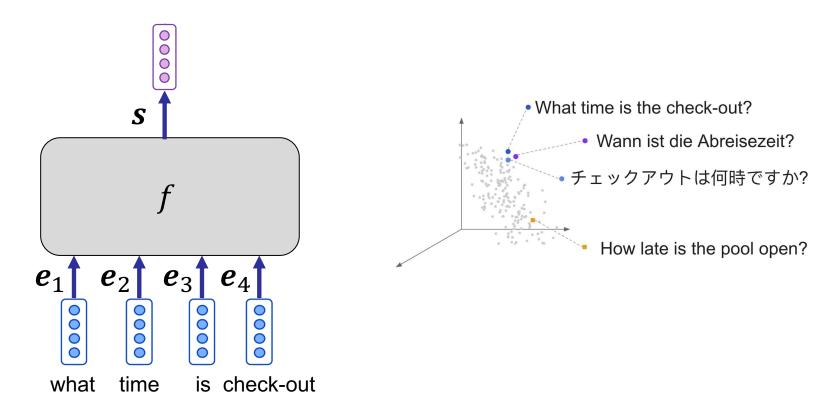




## **Compositional Representations**

# Scenario A: composing a high-level embedding from low-level embeddings

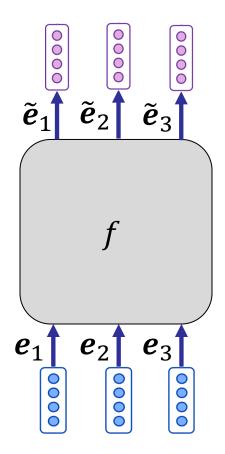
- Directly applicable to many down-stream tasks, i.e., document classification, clustering, question/answering, information retrieval, machine translation
- It can be seen as an aggregation problem

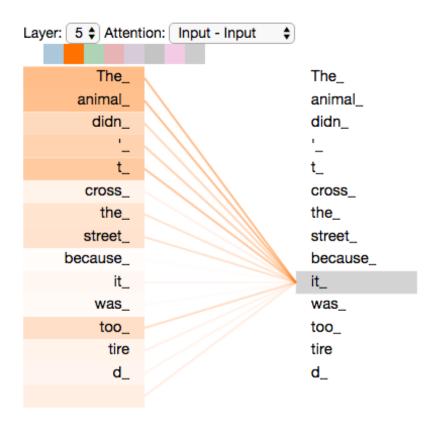


## **Compositional Representations**

#### Scenario B: contextualizing embeddings

- Each embedding is enriched by looking at other embeddings in its context
- The input is a sequence of <u>word embeddings</u> and the output is a sequence of <u>contextualized word embeddings</u>





## **Contextualized Word Embeddings**

- Semantics of language entities (such as words) should be defined by considering the contexts, they appear in
  - Example of sense disambiguation when context is available:
    - what is the definition of <u>apple</u> in vacuum?
    - "eating an <u>apple</u>" vs. "share of the <u>apple</u> company"
- In contextualized word embedding models, the representation of a word is created by also benefiting from the context, the word appears in
  - The contextualized embedding of a word becomes different, depending on the context that the word appears in

# Approaches in NLP to create compositional embedding

- Common architectural choices
  - Convolutional Neural Networks
  - Recurrent Neural Networks
  - Transformers

- Optimization based on
  - Training data of down-stream tasks
  - Language modeling objectives
    - Predict the next word, or the words in context
    - Predict the next sentence
  - Others
    - Weak-supervision
    - Self-supervision

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## Sentence embedding

#### **Problem definition**

• Given a "sentence" S with length |S|, consisting of the words

$$v_1, v_2, \dots, v_{|S|}$$

with corresponding word vectors

$$oldsymbol{e}_{v_1}$$
 ,  $oldsymbol{e}_{v_2}$  , ... ,  $oldsymbol{e}_{v_{|S|}}$ 

create the sentence embedding:  $e_S$ 

- "Sentence" here can refer to
  - Any sequence of words with any arbitrary length
  - An actual sentence in language

## Sentence embedding

First approach ... simply average!

$$\boldsymbol{e}_{S} = \frac{1}{|S|} \sum_{v \in S} \boldsymbol{e}_{v}$$

As done in Assignment 2 and 3

What are the possible limitations of this approach?

## word2vec Skip-Gram - recap

 Training data with a window size of 2 in the form of (word, context-word), namely (v, c):

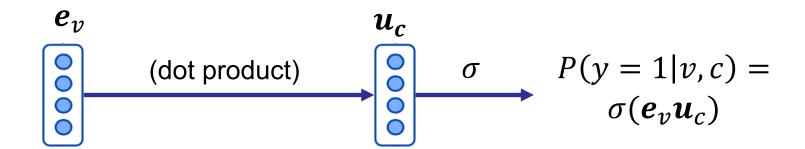
Tarahumara people drink Tesgüino during the rituals .

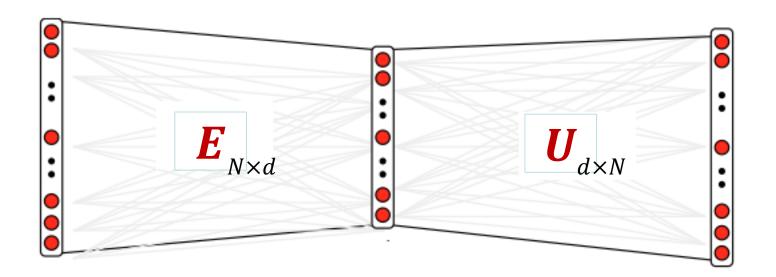
#### Some training data points in $\mathcal{D}$ :

```
(Tesgüino, people)
(Tesgüino, drink)
(Tesgüino, while)
(Tesgüino, the)
```

## word2vec Skip-Gram - recap

Training data: (v = Tesgüino, c = drink)





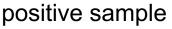
## word2vec Skip-Gram - recap

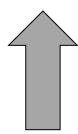
- Negative Sampling loss
  - increases P(y = 1|.) probability for positive sample (v, c)
  - decreases P(y = 1|.) probability for k negative samples  $(v, \tilde{c})$

#### Loss function:

$$\mathcal{L} = -\mathbb{E}_{(v,c)\sim\mathcal{D}} \left[ \log \sigma(\boldsymbol{e}_{v}\boldsymbol{u}_{c}) - \sum_{\substack{\tilde{c}\sim\widetilde{\mathcal{D}}\\k \text{ times}}} \log \sigma(\boldsymbol{e}_{v}\boldsymbol{u}_{\tilde{c}}) \right]$$







*k* negative samples

#### sent2vec

- A simple and efficient method for creating sentence representations
- sent2vec (similar to word2vec) trains word embeddings, and then calculates a sentence embedding as the average of word embeddings:

$$e_S = \frac{1}{|S|} \sum_{v \in S} e_v$$

The objective of sent2vec is to train effective sentence embeddings.
 It trains word embeddings (E) in the way they can fulfill this objective

## Sent2vec – Training

- Parameters of sent2vec similar to word2vec consists of word embeddings (*E*) and context-word embeddings (*U*)
- Given a sentence S, a training data point is defined as the pair of: (set of words in S while putting out one word v, the left-out word v)  $(S \setminus \{v\}, v)$
- During training,  $e_{S\setminus\{v\}}$ , the <u>sentence embedding without the left-out word</u>, aims to predict the <u>left-out word v</u>
- The optimization is done with Negative Sampling

## sent2vec - Example

• Training data is in the form of  $(S \setminus \{v\}, v)$ 

S = Tarahumara people drink Tesgüino during the rituals

#### Some training data points in $\mathcal{D}$ :

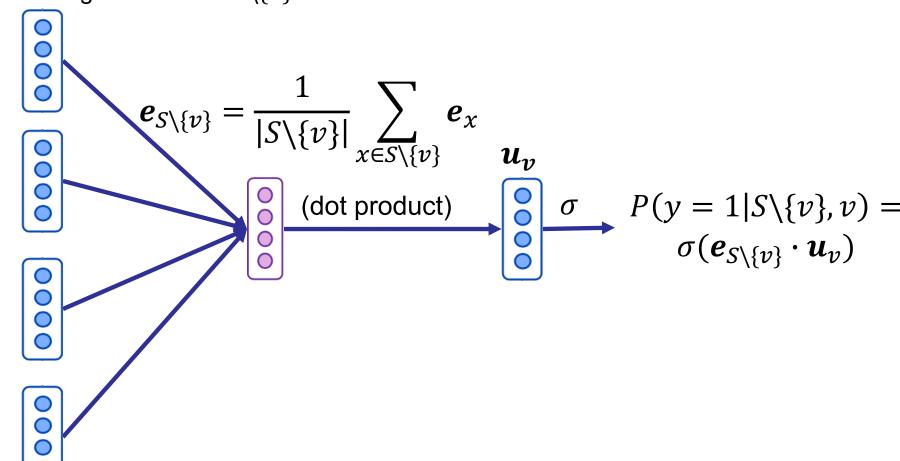
```
(people drink Tesgüino during the rituals, Tarahumara) (Tarahumara drink Tesgüino during the rituals, people) (Tarahumara people Tesgüino during the rituals, drink) (Tarahumara people drink during the rituals, Tesgüino) (Tarahumara people drink Tesgüino the rituals, during) ...
```

#### sent2vec - architcture

#### Training data:

 $(S \setminus \{v\}) = \text{Tarahumara people Tesgüino during the rituals}, v = drink)$ 

#### Embeddings of words in $S \setminus \{v\}$

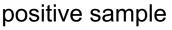


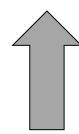
## sent2vec - Negative Sampling loss function

- Negative Sampling loss
  - increases P(y = 1|.) probability for positive sample  $(S \setminus \{v\}, v)$
  - decreases P(y = 1|.) probability for k negative samples  $(S \setminus \{v\}, \tilde{v})$
- Loss function:

$$\mathcal{L} = -\mathbb{E}_{(S \setminus \{v\}, v) \sim \mathcal{D}} \left[ \log \sigma(\boldsymbol{e}_{S \setminus \{v\}} \cdot \boldsymbol{u}_v) - \sum_{\substack{\widetilde{v} \sim \widetilde{\mathcal{D}} \\ k \text{ times}}} \log \sigma(\boldsymbol{e}_{S \setminus \{v\}} \cdot \boldsymbol{u}_{\widetilde{v}}) \right]$$







*k* negative samples

## Some results on supervised tasks

Data	Model	MSRP (Acc / F1)	MR	CR	SUBJ	MPQA	TREC	Average
Unordered Sentences: (Toronto Books; 70 million sentences, 0.9 Billion Words)	SAE	<b>74.3</b> / 81.7	62.6	68.0	86.1	76.8	80.2	74.7
	SAE + embs.	70.6 / 77.9	73.2	75.3	89.8	86.2	80.4	79.3
	SDAE	76.4 / 83.4	67.6	74.0	89.3	81.3	77.7	78.3
	SDAE + embs.	<b>73.7</b> / 80.7	74.6	78.0	90.8	86.9	78.4	80.4
	ParagraphVec DBOW	72.9 / 81.1	60.2	66.9	76.3	70.7	59.4	67.7
	ParagraphVec DM	73.6 / <b>81.9</b>	61.5	68.6	76.4	78.1	55.8	69.0
	Skipgram	69.3 / 77.2	73.6	77.3	89.2	85.0	82.2	78.5
	C-BOW	67.6 / 76.1	73.6	77.3	89.1	85.0	82.2	79.1
	Unigram TFIDF	73.6 / 81.7	73.7	79.2	90.3	82.4	<b>85.0</b>	80.7
	Sent2Vec uni.	72.2 / 80.3	75.1	80.2	90.6	86.3	83.8	81.4
	Sent2Vec uni. + bi.	72.5 / 80.8	<b>75.8</b>	<u>80.3</u>	91.2	85.9	86.4	82.0
Ordered Sentences: Toronto Books	SkipThought	73.0 / <b>82.0</b>	76.5	80.1	93.6	<u>87.1</u>	92.2	83.8
	FastSent	72.2 / 80.3	70.8	78.4	88.7	80.6	76.8	77.9
	FastSent+AE	71.2 / 79.1	71.8	76.7	88.8	81.5	80.4	78.4
2.8 Billion words	C-PHRASE	72.2 / 79.6	75.7	78.8	91.1	86.2	78.8	80.5

## Some results on unsupervised tasks

	STS 2014						SICK 2014	
Model	News	Forum	WordNet	<b>Twitter</b>	<b>Images</b>	Headlines	Test + Train	Average
SAE	.17/.16	.12/.12	.30/.23	.28/.22	.49/.46	.13/.11	.32/.31	.26/.23
SAE + embs.	.52/.54	.22/.23	.60/.55	.60/.60	.64/.64	.41/.41	.47/.49	.50/.49
SDAE	.07/.04	.11/.13	.33/.24	.44/.42	.44/.38	.36/.36	.46/.46	.31/.29
SDAE + embs.	.51/.54	.29/.29	.56/.50	.57/.58	.59/.59	.43/.44	.46/.46	.49/.49
ParagraphVec DBOW	.31/.34	.32/.32	.53/.50	.43/.46	.46/.44	.39/.41	.42/.46	.41/.42
ParagraphVec DM	.42/.46	.33/.34	.51/.48	.54/.57	.32/.30	.46/.47	.44/.40	.43/.43
Skipgram	.56/.59	.42/.42	.73/ <b>.70</b>	<u>.<b>71</b></u> /.74	.65/.67	.55/.58	.60/.69	.60/.63
C-BOW	.57/.61	.43/.44	.72/.69	<u>.71/.75</u>	.71/.73	.55/.59	.60/.69	.60/.65
Unigram TF-IDF	.48/.48	.40/.38	.60/.59	.63/.65	.72/.74	.49/.49	.52/.58	.55/.56
Sent2Vec uni.	.62/.67	.49/.49	.75/.72	.70/ <u><b>.75</b></u>	<u>.78/.82</u>	.61/.63	.61/.70	<u>.65/.68</u>
Sent2Vec uni. + bi.	.62/.67	<u>.51/.51</u>	.71/.68	.70/ <u><b>.75</b></u>	.75/.79	.59/.62	<u>.62</u> /.70	<u>.65</u> /.67
SkipThought	.44/.45	.14/.15	.39/.34	.42/.43	.55/.60	.43/.44	.57/.60	.42/.43
FastSent	.58/.59	.41/.36	.74/.70	.63/.66	.74/.78	.57/.59	.61/ <u>.72</u>	.61/.63
FastSent+AE	.56/.59	.41/.40	.69/.64	.70/.74	.63/.65	.58/.60	.60/.65	.60/.61
Siamese C-BOW <sup>4</sup>	.58/.59	.42/.41	.66/.61	<u>.<b>71</b>/.73</u>	.65/.65	<u>.63</u> /.64	_	_
C-PHRASE	<u>.69/.71</u>	<b>.43</b> /.41	(A).7(A)	<u> .607.55</u>	<del>75</del> /.79	.60/ <u>.65</u>	.60/ <u>.72</u>	.63/.67

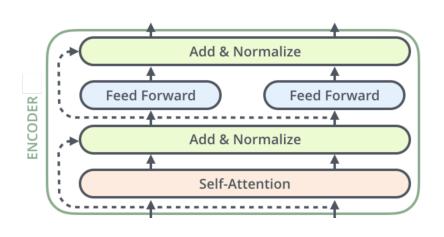
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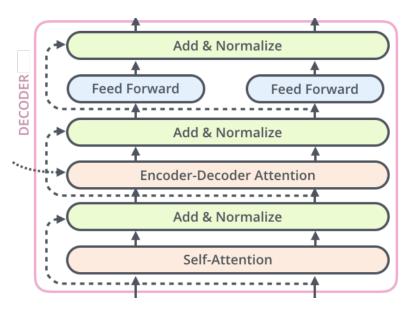
#### **Transformers**

 Transformers are originally introduced for machine translation, and since then are widely used in almost any task for <u>sequence</u> <u>encoding</u> and <u>sequence decoding</u>

#### **Transformer encoder**



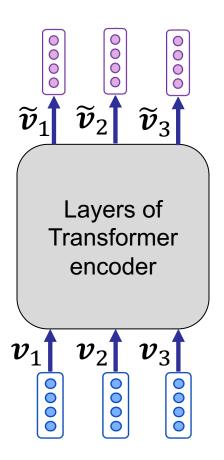
#### **Transformer decoder**

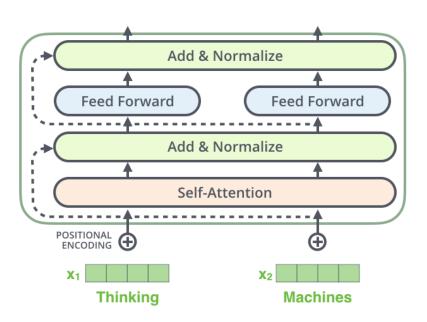


**Attention is all you need**. Vaswani, A., Shazeer, N., Parmar, N., Uszkoreit, J., Jones, L., Gomez, A. N., Polosukhin, I. (2017). In *NeurIPS*.

## Contextualized word embeddings with Transformer

- Each encoded vector is the contextual embedding of the corresponding input vector
  - $\widetilde{oldsymbol{v}}_i$  is the contextual embedding of  $oldsymbol{v}_i$





## Contextualized word embeddings with LM objective

All of these models are Transformer models

**ELMo** Oct 2017 Training: 800M words 42 GPU days **GPT** June 2018 Training 800M words 240 GPU days

**BERT** Oct 2018 Training 3.3B words 256 TPU days ~320-560 **GPU days** 



GPT-2 XL-Net, Feb 2019 ERNIE, Grover Training RoBERTa, T5 40B words ~2048 TPU v3 July 2019 days according to a reddit thread A Facebook Al Research (FAIR



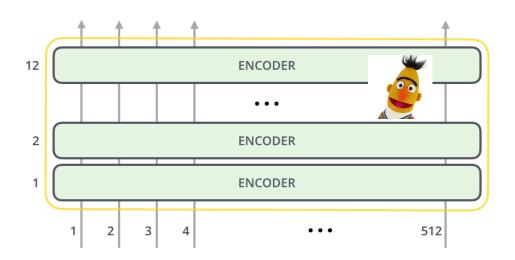




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#### **BERT**

#### **Bidirectional Encoder Representation from Transformers**



- BERT is a pre-trained language model, composed of multi-layers of Transformer encoder
- BERT ...
  - provides contextualized word embeddings
  - uses WordPiece for tokenization
  - uses sentence pair encoding which provides ...
    - sequence (sentence/document/etc.) embedding
    - an embedding for relation estimation between two sequences

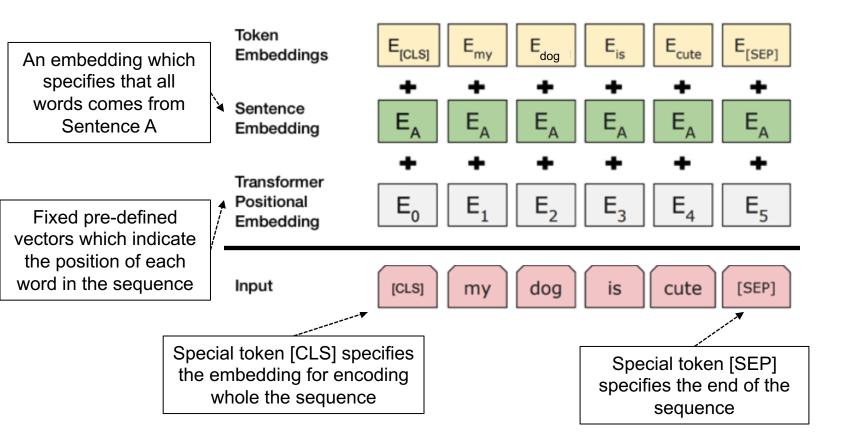
## **Training**

- Training objective is Masked Language Model (MLM)
  - MLM masks a word inside a sequence and then tries to predict it (instead of predicting the next word in common LM objectives)
  - Trained on Wikipedia + BookCorpus
  - Fairly expensive for training from scratch takes four days on 4 to 16 TPUs
- Dictionary size is only ~30K tokens due to WordPiece subword tokenization
- Specs of some provided pre-trained models:
  - BERT-Tiny: 2-layer, 128-hidden, 2-head, ~4M parameters\*
  - BERT-Mini: 4-layer, 256-hidden, 4-head, ~11M parameters\*
  - BERT-Base: 12-layer, 768-hidden, 12-head, ~110M parameters\*
  - BERT-Large: 24-layer, 1024-hidden, 16-head, ~340M parameters\*
- Some resources:
  - https://github.com/google-research/bert
  - Library to have BERT models in PyTorch: <a href="https://huggingface.co/transformers/">https://huggingface.co/transformers/</a>

<sup>\*</sup> For comparison, a (static) word embedding like word2vec with vocabulary size 200K and vector size 768 has 153M parameters

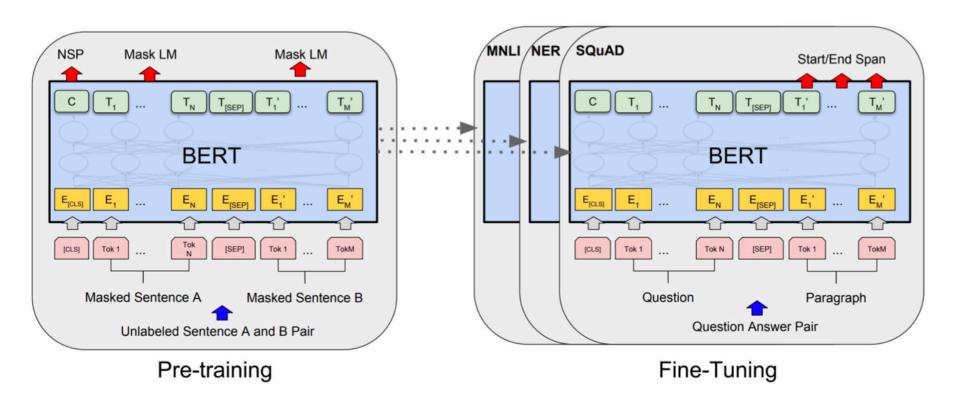
## Input to BERT

- The input sequence to BERT
- The input embeddings to BERT are in fact the sum of three types of embeddings



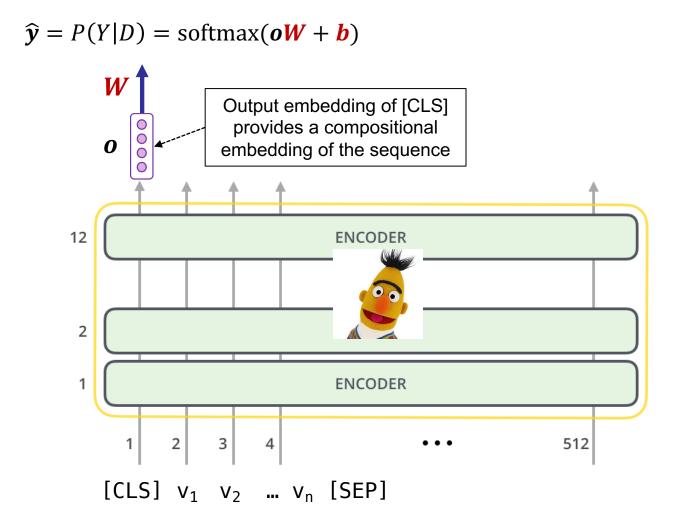
## **Fine-tuning**

 To exploit BERT in down-stream tasks, the output embeddings are used for the corresponding predictions, and then all (or some) of parameters are updated end-to-end. This process is called fine-tuning.



Source: <a href="http://web.stanford.edu/class/cs224n/">http://web.stanford.edu/class/cs224n/</a>

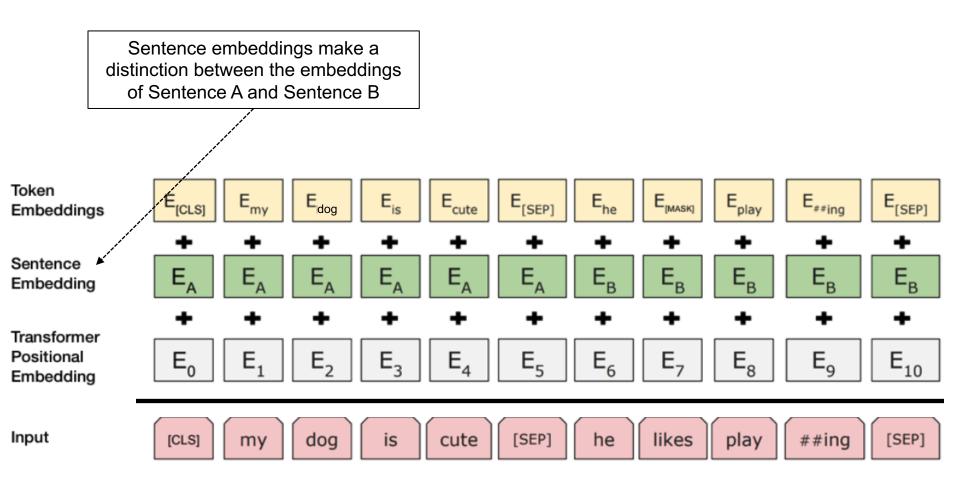
## **BERT Fine-tuning for classification**



## Sentence pair encoding

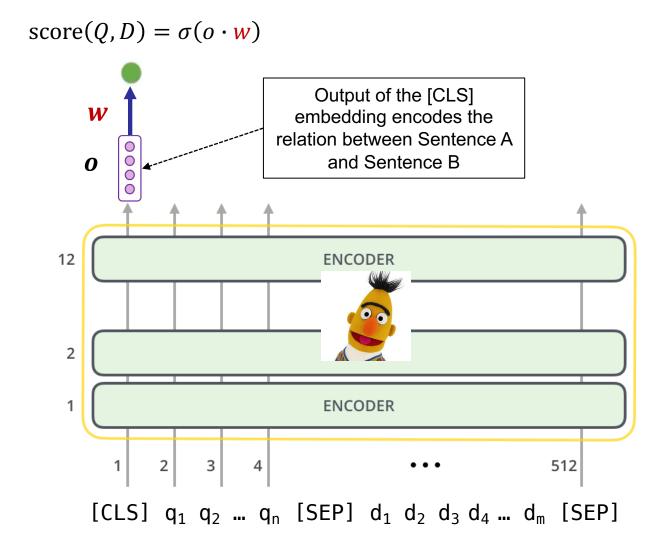
- Many NLP tasks require an estimation of the relation between two sequences
  - E.g., question answering, information retrieval, natural language inference, paraphrasing, etc.
- During training, BERT learns the relationships between two sentences using an additional binary classifier objective
  - The binary classifier predicts whether Sentence B is the actual sentence that proceeds Sentence A, or a random sentence
  - The classifier is optimized based on the output embedding of [CLS]
  - The binary classifier is jointly optimized with the MLM objective

## Input to BERT – two sequences



## **BERT Fine-tuning for ranking**

or any other matching task



#### Some results

 A generic, deep, pre-trained model that can simply be plugged in (almost) any NLP task!

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT <sub>LARGE</sub>	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9



## Recap

- Compositional representations
  - A. Sequence encoding
  - B. Contextualized word embeddings
- Efficient sentence embedding with sent2vec
- Word/sentence/sequence pair encoding with BERT

