

# CONCURRENCY: INTRODUCTION

Shivaram Venkataraman

CS 537, Spring 2019

# ADMINISTRIVIA

- Project 2b is out. Due Feb 27<sup>th</sup>, 11:59
- Project 2a grading in progress

Discussion:

Makefile tutorial

How to return values from a syscall

# AGENDA / LEARNING OUTCOMES

Virtual memory: Summary

Concurrency

- What is the motivation for concurrent execution?

- What are some of the challenges?

**RECAP**

# SWAPPING INTUITION

Idea: OS keeps unreferenced pages on disk

- Slower, cheaper backing store than memory

Process can run when not all pages are loaded into main memory

OS and hardware cooperate to make large disk seem like memory

- Same behavior as if all of address space in main memory

Requirements:

- OS must have **mechanism** to identify location of each page in address space → in memory or on disk
- OS must have **policy** for determining which pages live in memory and which on disk

# VIRTUAL MEMORY MECHANISMS

First, hardware checks TLB for virtual address →

- if TLB hit, address translation is done; page in physical memory

Else

- Hardware or OS walk page tables → *VPN → PPN*

- If PTE designates page is present, then page in physical memory  
(i.e., present bit is cleared) → *Page Table*

Else

- Trap into OS (not handled by hardware)
- OS selects victim page in memory to replace → *if not enough memory*
  - Write victim page out to disk if modified (add dirty bit to PTE)
- OS reads referenced page from disk into memory
- Page table is updated, present bit is set ← *invalidate TLB*
- Process continues execution

# PAGE SELECTION

When

**Demand paging:** Load page only when page fault occurs

- Intuition: Wait until page must absolutely be in memory
- When process starts: No pages are loaded in memory
- Problems: Pay cost of page fault for every newly accessed page

Process  
↳ binary  
libraries

**Prepaging (anticipatory, prefetching):** Load page before referenced

- OS predicts future accesses (**oracle**) and brings pages into memory early
- Works well for some access patterns (e.g., **sequential**)

balancing working memory / disk operations

**Hints:** Combine above with user-supplied hints about page references

- User specifies: may need page in future, don't need this page anymore, or sequential access pattern, ...
- Example: madvise() in Unix

MEM  
limit



<https://tinyurl.com/cs537-sp19-bunny2>



# PAGE REPLACEMENT EXAMPLE

Page reference string:ABCABDADBCB

**Metric:**

## Miss count

# Three pages of physical memory

Reference string: ABCABDADBCB

OPT

FIFO

LRU

5 misses

3 misses

3 misses

FIFO 7 misses

LRU 5 misses

# IMPLEMENTING LRU

## Software Perfect LRU

- OS maintains ordered list of physical pages by reference time
- When page is referenced: Move page to front of list
- When need victim: Pick page at back of list
- Trade-off: Slow on memory reference, fast on replacement

*Update*  
*→ replacement*

## Hardware Perfect LRU

- Associate timestamp register with each page
- When page is referenced: Store system clock in register
- When need victim: Scan through registers to find oldest clock
- Trade-off: Fast on memory reference, slow on replacement (especially as size of memory grows)

*↓ Transferring  
can be slow*

## In practice, do not implement Perfect LRU

- LRU is an approximation anyway, so approximate more
- Goal: Find an old page, but not necessarily the very oldest

# CLOCK ALGORITHM

## Hardware

- Keep use (or reference) bit for each page frame
- When page is referenced: set use bit

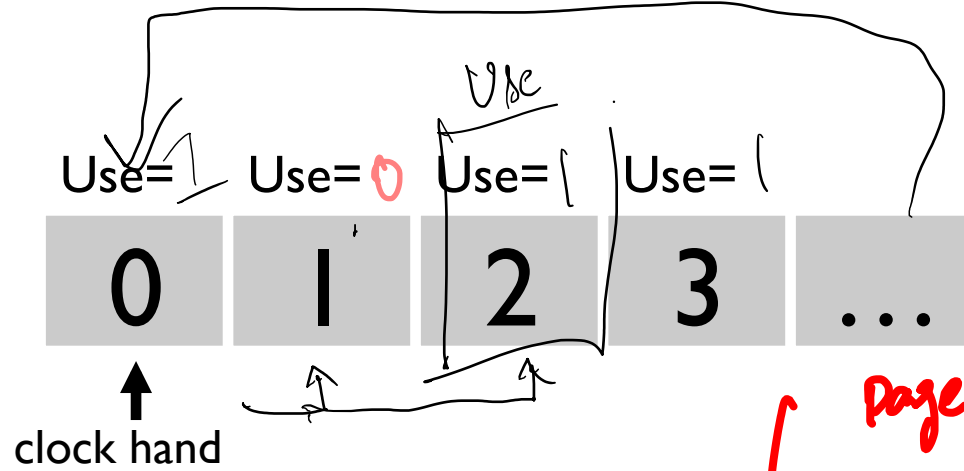
## Operating System

- Page replacement: Look for page with use bit cleared (has not been referenced for awhile)
- Implementation:
  - Keep pointer to last examined page frame
  - Traverse pages in circular buffer
  - Clear use bits as search
  - Stop when find page with already cleared use bit, replace this page

*bits are cheap!  
use = 1 page was  
recently  
cleared  
use = 0*

# CLOCK: LOOK FOR A PAGE

Physical Mem:



We need to find page to evict

if use-bit == 1  
clear use-bit  
move clock  
else select page

Page 0 is accessed

→ set use-bit to 1

Approx CPU

# SUMMARY: VIRTUAL MEMORY

Abstraction: Virtual address space with code, heap, stack

Address translation

- Contiguous memory: base, bounds, segmentation
- Using fixed sizes pages with page tables

Challenges with paging

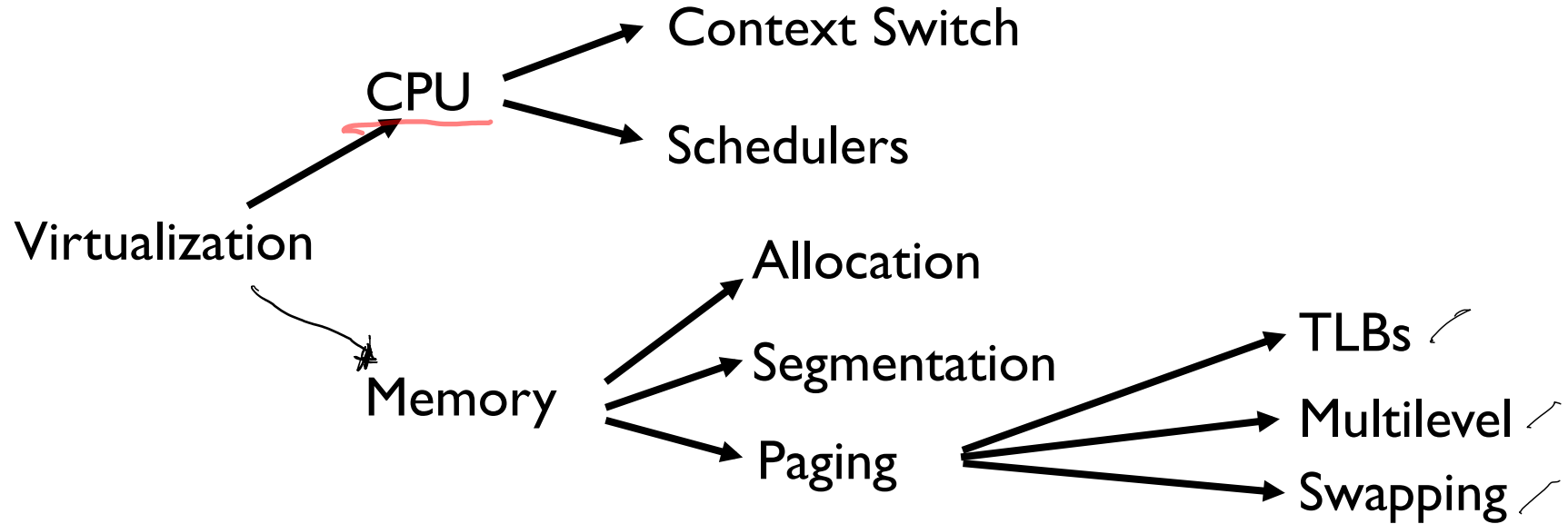
- Extra memory references: avoid with TLB
- Page table size: avoid with multi-level paging, inverted page tables etc.

Larger address spaces: Swapping mechanisms, policies (LRU, Clock)

*external  
fragmentation*

*cache*

# REVIEW: EASY PIECE 1

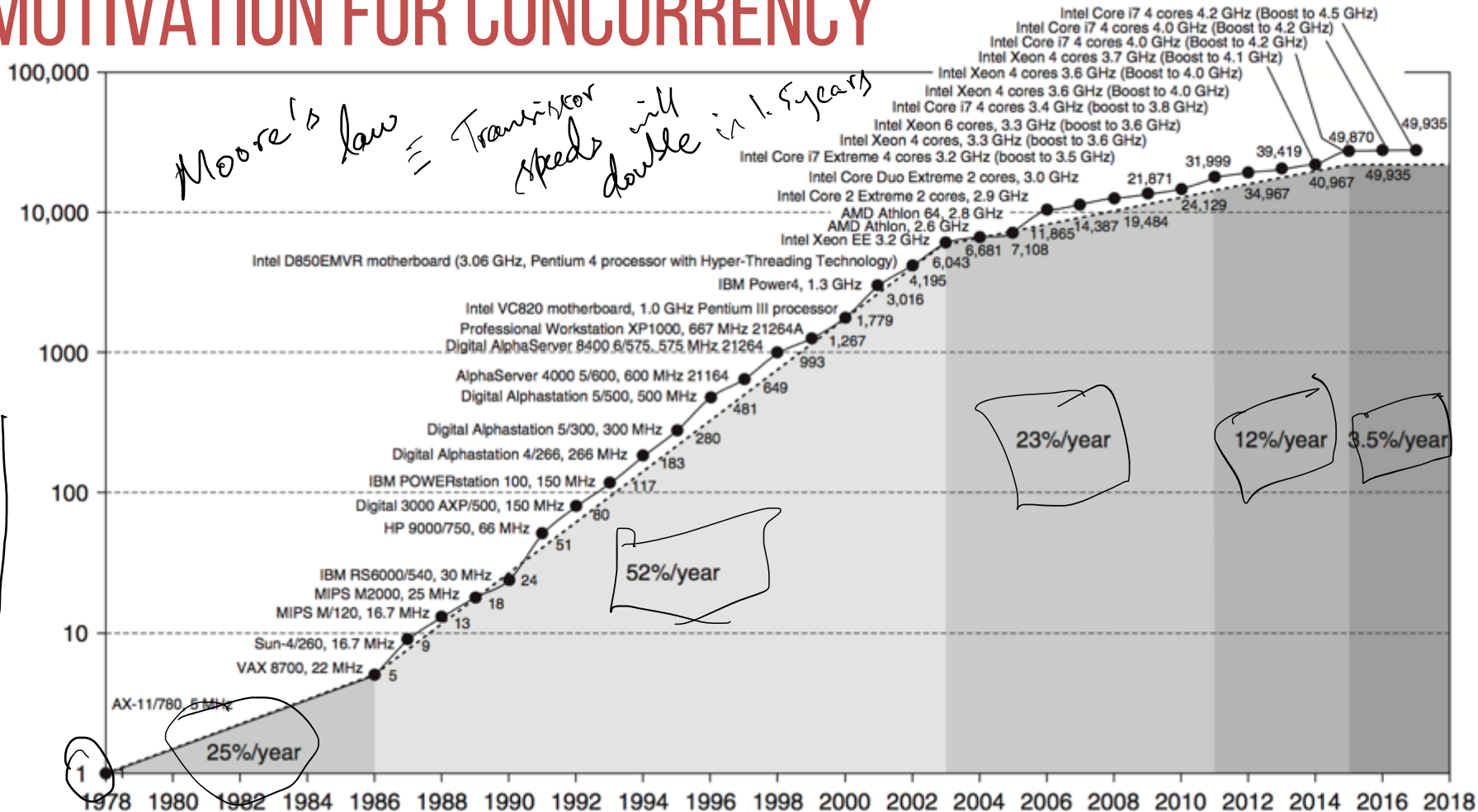


# CONCURRENCY

# MOTIVATION FOR CONCURRENCY

Moore's law  $\equiv$  Transistor speeds will double in 1.5 years

Performance (vs. VAX-11/780)





# MOTIVATION

CPU Trend: Same speed, but multiple cores

Goal: Write applications that fully utilize many cores

**Option 1:** Build apps from many communicating processes

- Example: Chrome (process per tab)
- Communicate via pipe()-or similar

*streams  
of execution  
parallelism*

*isolation,*

Pros?

- Don't need new abstractions; good for security

Cons?

- Cumbersome programming
- High communication overheads
- Expensive context switching (why expensive?)

# CONCURRENCY: OPTION 2

New abstraction: thread  threads vs process  
streams of execution

Threads are like processes, except:

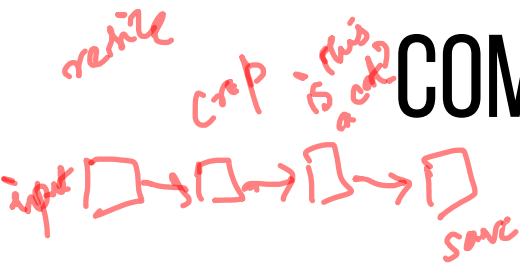
**multiple threads of same process share an address space**  Code, stack, heap??

Divide large task across several cooperative threads

Communicate through shared address space

↳ fine grained  
high performance

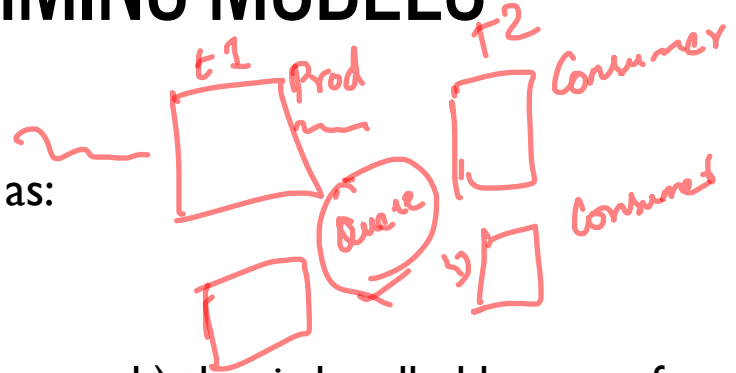
# COMMON PROGRAMMING MODELS



Multi-threaded programs tend to be structured as:

- **Producer/consumer**

Multiple producer threads create data (or work) that is handled by one of the multiple consumer threads

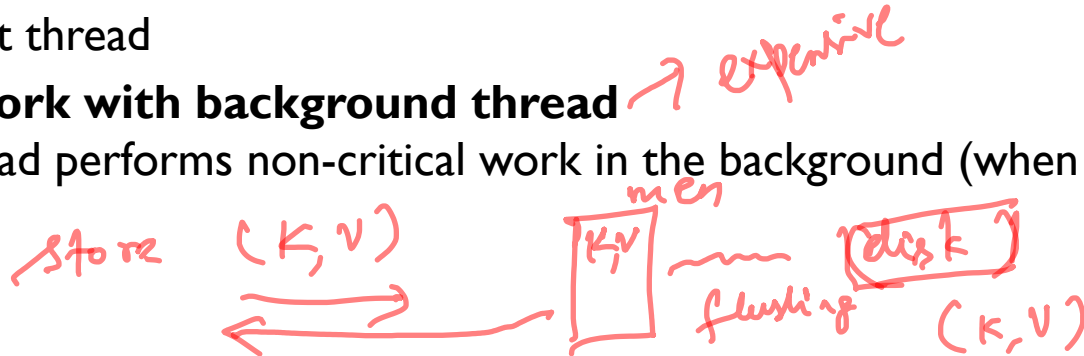


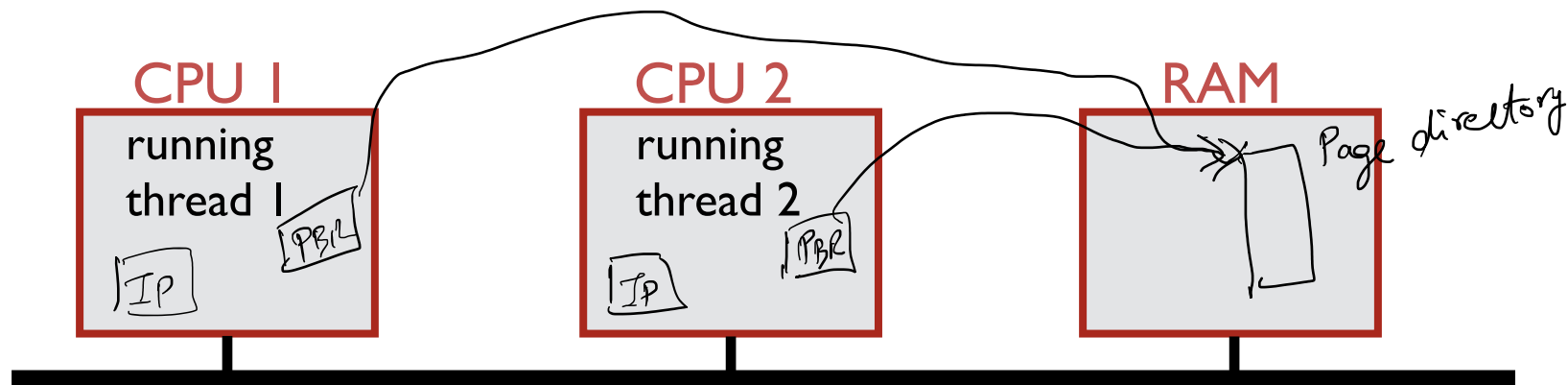
- **Pipeline**

Task is divided into series of subtasks, each of which is handled in series by a different thread

- **Defer work with background thread**

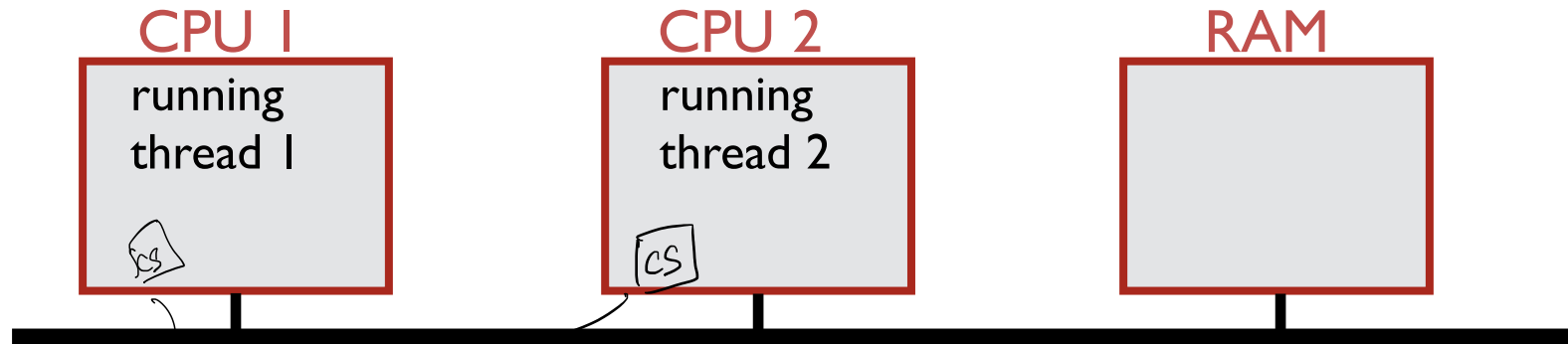
One thread performs non-critical work in the background (when CPU idle)





What state do threads share?

Page directories, Page tables ? Shared  
instruction pointer ? Not shared



What state do threads share?



Code segment → shared

Stack ? Not stack

# THREAD VS. PROCESS

Multiple threads within a single process share:

- Process ID (PID)
- Address space: Code (instructions), Most data (heap)
- Open file descriptors
- Current working directory
- User and group id

Each thread has its own

- Thread ID (TID)
- Set of registers, including Program counter and Stack pointer
- Stack for local variables and return addresses  
(in same address space)

*register files*

# OS SUPPORT: APPROACH 1

## User-level threads: Many-to-one thread mapping

- Implemented by user-level runtime libraries
- Create, schedule, synchronize threads at user-level
- OS is not aware of user-level threads

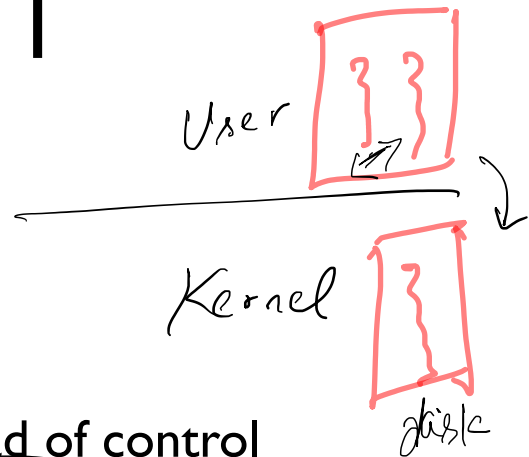
OS thinks each process contains only a single thread of control

## Advantages

- Does not require OS support; Portable
- Can tune scheduling policy to meet application demands
- Lower overhead thread operations since no system call

## Disadvantages?

- Cannot leverage multiprocessors
- Entire process blocks when one thread blocks



# OS SUPPORT: APPROACH 2

## Kernel-level threads: One-to-one thread mapping

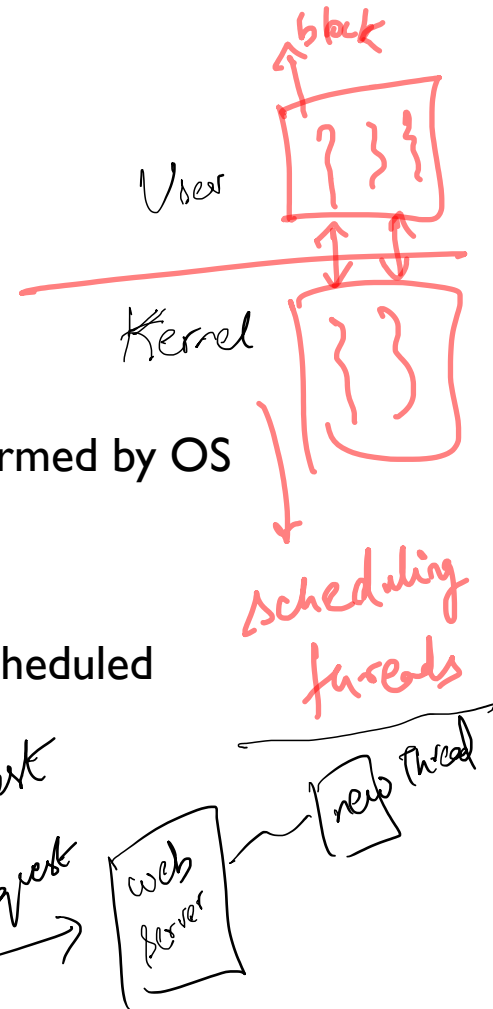
- OS provides each user-level thread with a kernel thread
- Each kernel thread scheduled independently
- Thread operations (creation, scheduling, synchronization) performed by OS

### Advantages

- Each kernel-level thread can run in parallel on a multiprocessor
- When one thread blocks, other threads from process can be scheduled

### Disadvantages

- Higher overhead for thread operations
- OS must scale well with increasing number of threads





# THREADS DEMO

# THREAD SCHEDULE #1

balance = balance + 1; balance at 0x9cd4

**State:**

0x9cd4:

%eax:

%rip = 0x195

101 ← 102  
100 ←

thread  
control  
blocks:

Thread 1

%eax: 101  
%rip: 0x19d

Thread 2

%eax: 102  
%rip: 0x195

T1

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax ←
- 0x19d mov %eax, 0x9cd4

T2

0x195  
0x19a

# THREAD SCHEDULE #2

balance = balance + 1; balance at 0x9cd4

**State:**

0x9cd4: 100

%eax:

%rip = 0x195

thread  
control  
blocks:

Thread 1

%eax: 101  
%rip: 0x195

Thread 2

%eax: 101  
%rip: 0x195

T1

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

Context Switch  
mov T2  
add  
mov

Final answer

101  $\equiv$  Context  
switch

T1 resumes

# TIMELINE VIEW

100

## Thread 1

mov 0x123, %eax

add %0x1, %eax

mov %eax, 0x123

## Thread 2

mov 0x123, %eax

add %0x2, %eax

mov %eax, 0x123

3 increment

✓ correct

Time

# BUNNY

[tinyurl.com/cs537-sp19-bunny3](https://tinyurl.com/cs537-sp19-bunny3)



# NON-DETERMINISM

Concurrency leads to non-deterministic results

- Different results even with same inputs
- race conditions

Whether bug manifests depends on CPU schedule!

How to program: imagine scheduler is malicious?!

# WHAT DO WE WANT?

Want 3 instructions to execute as an uninterruptable group

That is, we want them to be atomic

```
mov 0x123, %eax  
add %0x1, %eax  
mov %eax, 0x123
```

More general: Need mutual exclusion for critical sections

if thread A is in critical section C, thread B isn't  
(okay if other threads do unrelated work)

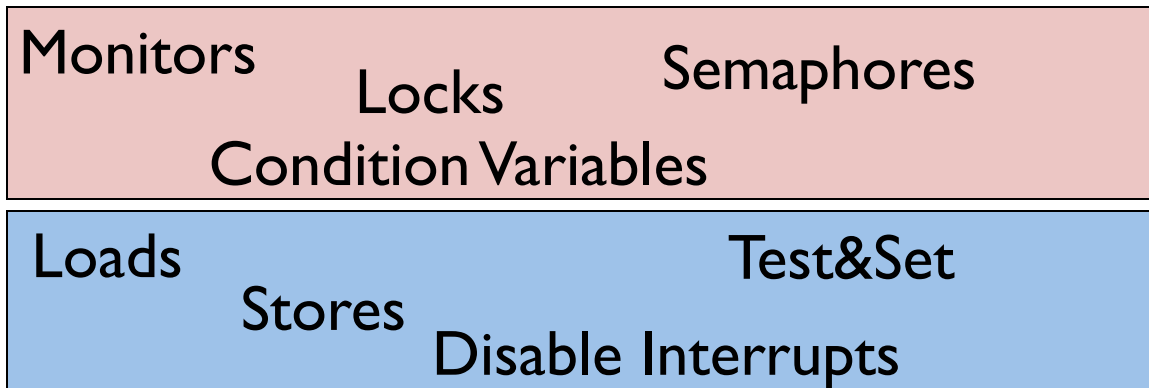
# SYNCHRONIZATION

Build higher-level synchronization primitives in OS

Operations that ensure correct ordering of instructions across threads

Use help from hardware

Motivation: Build them once and get them right





# CONCURRENCY SUMMARY

Concurrency is needed for high performance when using multiple cores

Threads are multiple execution streams within a single process or address space (share PID and address space, own registers and stack)

Context switches within a critical section can lead to non-deterministic bugs

# LOCKS

Goal: Provide mutual exclusion (**mutex**)

Allocate and Initialize

- **Pthread\_mutex\_t** mylock = PTHREAD\_MUTEX\_INITIALIZER;

Acquire

- Acquire exclusion access to lock;
- Wait if lock is not available (some other process in critical section)
- Spin or block (relinquish CPU) while waiting
- **Pthread\_mutex\_lock**(&mylock);

Release

- Release exclusive access to lock; let another process enter critical section
- **Pthread\_mutex\_unlock**(&mylock);

# THREADS DEMO2

# NEXT STEPS

Project 2b: Out now

Next class: How to implement locks?

Discussion:

- Makefile tutorial

- How to return values from a syscall