

# Baez and Muniain - Gauge Fields, Knots and Gravity

## Part 2: Gauge Fields

### 4 Chern-Simons Theory

**Exercise 116.** Locally,

$$\begin{aligned}
 F_{\mu\nu} &= [D_\mu, D_\nu] \\
 &= [D_\mu^0 + A_\mu, D_\nu^0 + A_\nu] \\
 &= [D_\mu^0, D_\nu^0] + [D_\mu^0, A_\nu] - [D_\nu^0, A_\mu] + [A_\mu, A_\nu] \\
 &= (F_0)_{\mu\nu} + [D_\mu^0, A_\nu] - [D_\nu^0, A_\mu] + [A_\mu, A_\nu] \\
 \Rightarrow F &= F_0 + \frac{1}{2} ([D_\mu^0, A_\nu] - [D_\nu^0, A_\mu] + [A_\mu, A_\nu]) \otimes dx^\mu \wedge dx^\nu \\
 &= F_0 + [D_\mu^0, A] \otimes dx^\mu + A \wedge A \\
 &= F_0 + dA + A \wedge A
 \end{aligned}$$

as expected, where we have used  $[A, A] = A \wedge A + A \wedge A$ .

**Exercise 117.** Let  $\omega \in \Gamma(\text{End}(E) \otimes \bigwedge^p T^*M)$  and  $\mu \in \Gamma(\text{End}(E) \otimes \bigwedge^q T^*M)$ , and write  $\omega = \omega_I \otimes dx^I$  and  $\mu = \mu_J \otimes dx^J$ . Then

$$\omega \wedge \mu = \omega_I \mu_J \otimes dx^I \wedge dx^J$$

Similarly,

$$\begin{aligned}
 \mu \wedge \omega &= \mu_J \omega_I \otimes dx^J \wedge dx^I \\
 &= (-1)^{pq} \mu_J \omega_I \otimes dx^I \wedge dx^J
 \end{aligned}$$

Then

$$\text{tr}(\mu \wedge \omega) = (-1)^{pq} \text{tr}(\omega_J \mu_I) dx^I \wedge dx^J$$

Now,

$$(\mu_J \omega_I)_j^i = \mu_{Jk}^i \omega_{Ij}^k$$

So

$$\begin{aligned}\mathrm{tr}(\mu_J \omega_I) &= \mu_{Jk}^i \omega_{Ij}^k \\ &= \mathrm{tr}(\omega_I \mu_J)\end{aligned}$$

Therefore

$$\begin{aligned}\mathrm{tr}(\mu \wedge \omega) &= (-1)^{pq} \mathrm{tr}(\omega_I \mu_J) dx^I \wedge dx^J \\ &= (-1)^{pq} \mathrm{tr}(\omega \wedge \mu)\end{aligned}$$

Therefore we say that the trace is graded cyclic on wedge products of  $\mathrm{End}(E)$ -valued forms. This implies that

$$\begin{aligned}\mathrm{tr}([\omega, \mu]) &= \mathrm{tr}(\omega \wedge \mu - (-1)^{pq} \mu \wedge \omega) \\ &= \mathrm{tr}(\omega \wedge \mu) - (-1)^{pq} \mathrm{tr}(\mu \wedge \omega) \\ &= \mathrm{tr}(\omega \wedge \mu) - \mathrm{tr}(\omega \wedge \mu) \\ &= 0\end{aligned}$$

**Exercise 118.** Let  $\omega \in \Gamma(\mathrm{End}(E) \otimes \bigwedge^p T^*M)$ , and write  $\omega = \omega_I \otimes dx^I$ . Then

$$\begin{aligned}d_D \omega &= d_D \omega_I \wedge dx^I \\ &= D_\mu \omega_I \otimes dx^\mu \wedge dx^I \\ \mathrm{tr}(d_D \omega) &= \mathrm{tr}(D_\mu \omega_I) \otimes dx^\mu \wedge dx^I\end{aligned}$$

Let  $s \in \Gamma(E)$  be  $s = s^i e_i$ . Then

$$(D_\mu \omega_I)(s) = D_\mu(\omega_I s) - \omega_I(D_\mu s)$$

We have

$$\begin{aligned}\omega_I s &= \omega_{Ij}^i s^j e_i \\ D_\mu(\omega_I s) &= D_\mu^0(\omega_{Ij}^i s^j) e_i + A_{\mu j}^i \omega_{Ik}^j s^k e_i\end{aligned}$$

and

$$\begin{aligned}D_\mu s &= D_\mu^0 s^i e_i + A_{\mu j}^i s^j e_i \\ \omega_I(D_\mu s) &= \omega_{Ij}^i (D_\mu^0 s^j e_i + A_{\mu k}^j s^k e_i)\end{aligned}$$

Therefore

$$\begin{aligned}
(D_\mu \omega_I)(s) &= [(D_\mu^0 \omega_{Ij}^i) s^j + A_{\mu j}^i \omega_{Ik}^j s^k - \omega_{Ij}^i D_\mu^0 s^i - \omega_{Ij}^i A_{\mu k}^j s^k] e_i \\
(D_\mu \omega_I)_j^i s^j &= (D_\mu^0 \omega_{Ij}^i) s^j + (A_{\mu k}^i \omega_{Ij}^k - A_{\mu j}^k \omega_{Ik}^i) s^j \\
(D_\mu \omega_I)_j^i &= D_\mu^0 \omega_{Ij}^i + A_{\mu k}^i \omega_{Ij}^k - A_{\mu j}^k \omega_{Ik}^i
\end{aligned}$$

Therefore,

$$\begin{aligned}
\text{tr}(D_\mu \omega_I) &= D_\mu^0 \omega_{Ii}^i + A_{\mu k}^i \omega_{Ii}^k - A_{\mu i}^k \omega_{Ik}^i \\
&= D_\mu^0 \text{tr}(\omega_I)
\end{aligned}$$

So we have

$$\begin{aligned}
\text{tr}(d_D \omega) &= D_\mu^0 \text{tr}(\omega_I) \otimes dx^\mu \wedge dx^I \\
&= D_\mu^0 \text{tr}(\omega) \otimes dx^\mu \\
&= d \text{tr}(\omega)
\end{aligned}$$

**Exercise 119.** Let  $M$  be compact and oriented, and  $\dim M = n$ . Let  $\omega \in \Gamma(\text{End}(E) \otimes \bigwedge^p T^*M)$  and  $\mu \in \Gamma(\text{End}(E) \otimes \bigwedge^q T^*M)$ , where  $p + q = n - 1$ . We have

$$d_D(\omega \wedge \mu) = d_D \omega \wedge \mu + (-1)^p \omega \wedge d_D \mu$$

Then by the previous exercise, taking the trace we have

$$d \text{tr}(\omega \wedge \mu) = \text{tr}(d_D \omega \wedge \mu) + (-1)^p \text{tr}(\omega \wedge d_D \mu)$$

The LHS is an  $n$ -form, and  $M$  is compact, so we can integrate over  $M$ :

$$\int_M d \text{tr}(\omega \wedge \mu) = \int_M \text{tr}(d_D \omega \wedge \mu) + (-1)^p \int_M \text{tr}(\omega \wedge d_D \mu)$$

So assuming  $\partial M = \emptyset$ , we have by Stokes' theorem

$$\int_M \text{tr}(d_D \omega \wedge \mu) = (-1)^{p+1} \int_M \text{tr}(\omega \wedge d_D \mu)$$

Now, put a metric on  $M$ , so that we have  $*$ , and let  $q = p$  (I think there is an error in the question where it says to take  $p + q = n$ ). We have

$$\omega \wedge * \mu = \omega_I \mu_J \otimes dx^I \wedge * dx^J$$

Earlier we found that

$$dx^I \wedge *dx^J = g^{IJ} \text{vol}$$

where here by  $g^{IJ}$  we mean  $p$  metrics with the appropriate indices. That is,

$$\omega \wedge *\mu = \omega_I \mu^I \text{vol}$$

Locally, this is

$$\omega \wedge *\mu = \omega_{Ij}^i \mu_k^{Ij} e_i \otimes e^k$$

So

$$\begin{aligned} \text{tr}(\omega \wedge *\mu) &= \omega_{Ij}^i \mu_i^{Ij} \\ &= \text{tr}(\mu \wedge *\omega) \end{aligned}$$

This is an  $n$ -form, so we can integrate over  $M$  to obtain

$$\int_M \text{tr}(\omega \wedge *\mu) = \int_M \text{tr}(\mu \wedge *\omega)$$

**Exercise 120.** Suppose  $M$  is not compact. Then in general we cannot define

$$S_{YM} = \frac{1}{2} \int_M \text{tr}(F \wedge *F)$$

However, we can define

$$\delta S_{TM} = \frac{1}{2} \int_M \delta \text{tr}(F \wedge *F)$$

if we restrict to variations  $\delta A$  with compact support. Then we have

$$\begin{aligned} \delta S_{YM} &= \frac{1}{2} \int_M \text{tr}(\delta F \wedge *F + F \wedge *\delta F) \\ &= \int_M \text{tr}(\delta F \wedge *F) \\ &= \int_M \text{tr}(d_D \delta A \wedge *F) \end{aligned}$$

Now we are inclined to use

$$d \text{tr}(\delta A \wedge *F) = \text{tr}(d_D \delta A \wedge *F) - \text{tr}(\delta A \wedge d_D *F)$$

but we ought to check this is legitimate. Indeed since  $\delta A$  has compact support so does each term here, so this is ok. Then again assuming  $\delta M = \emptyset$ , we have

$$\delta S_{YM} = \int_M \text{tr}(\delta A \wedge d_D *F)$$

Thus  $\delta S_{YM} = 0$  implies

$$d_D * F = 0$$

and hence the Yang-Mills equation

$$*d_D * F = 0$$

**Exercise 121.** Consider electromagnetism:

$$S(A) = -\frac{1}{2} \int_M F \wedge *F$$

where  $F = dA$  and  $A \in \Omega^1(M)$ . Now, we can follow the previous derivations of equations of motion, but with just  $d$  instead of  $d_D$  to get

$$d * F = 0$$

and of course  $dF = 0$  follows from  $F = dA$ .

Now we want to generalise beyond electromagnetism. On  $M = \mathbb{R} \times S$ , we can again write

$$F = B + E \wedge dt$$

but now  $B$  and  $E$  are  $\text{End}(E)$ -valued 2- and 1-forms. We can define an inner product on  $\text{End}(E)$ -valued  $p$ -forms by

$$\langle A, B \rangle = A_I B_J \langle dx^I, dx^J \rangle$$

where  $\langle dx^I, dx^J \rangle$  is the usual inner product on  $\Omega^p(M)$ . However, it is not immediately obvious that this is symmetric. To check, write

$$\begin{aligned} \langle A, B \rangle &= A_{Ij}^i e_i \otimes e^j (B_{Jl}^k e_k \otimes e^l) \langle dx^I, dx^J \rangle \\ &= A_{Ij}^i B_i^{Jj} \end{aligned}$$

This is therefore a legitimate inner product. As before, we have

$$F \wedge *F = \langle F, F \rangle \text{vol}$$

and

$$\langle F, F \rangle = \langle B, B \rangle - \langle E, E \rangle$$

Thus we can write

$$S_{YM}(A) = \frac{1}{2} \int_M (\langle E, E \rangle - \langle B, B \rangle) \text{vol}$$

**Exercise 122.** Let  $E$  be a  $U(1)$  bundle over  $M$  with standard fibre the fundamental representation. Since this is a  $U(1)$  bundle the first Chern form is just  $(i/2\pi)F$ . Let  $\Sigma$  be an arbitrary 2d compact submanifold of  $M$  without boundary, and define a loop  $\gamma : S^1 \rightarrow \Sigma$ , which we can regard as defining two pieces  $\Sigma^+$  and  $\Sigma^-$  of  $\Sigma$  (in the standard computation in the context of magnetic monopoles a la Dirac, we take  $\gamma$  to map out the equator of  $S^2$  and talk about northern and southern hemispheres). Now, since  $\Sigma^+$  is compact, we can choose a finite open cover  $(U_i)_{i \in I}$ , over which we can locally trivialise. Then define a collection  $(\gamma_i)_{i \in I}$  of loops such that the image of each  $\gamma_i$  is only in  $U_i$ , and such that

$$\gamma = \prod_{i \in I} \gamma_i$$

(For instance, if we had a sphere, we could imagine these  $\gamma_i$  looked like lines of longitude and latitude covering a hemisphere up to the equator.) Now, put a connection  $D$  on  $E$ . Then we have

$$H(\gamma, D) = \prod_{i \in I} H(\gamma_i, D)$$

For each factor, we can work in a local trivialisation and write  $D = D^0 + A$ . Then, since this is a  $U(1)$  bundle, the holonomy takes the simple form

$$H(\gamma_i, D) = e^{-\int_{\gamma_i} A}$$

Let  $\Sigma_i$  be the surface enclosed by  $\gamma_i$ , i.e.  $\gamma_i = \partial \Sigma_i$ . Then

$$H(\gamma_i, D) = e^{-\int_{\Sigma_i} F}$$

Then

$$\begin{aligned} H(\gamma, D) &= \prod_{i \in I} e^{-\int_{\Sigma_i} F} \\ &= e^{-\sum_{i \in I} \int_{\Sigma_i} F} \\ &= e^{-\int_{\Sigma^+} F} \end{aligned}$$

Now, we can do a similar thing with  $\Sigma^-$ . Since this inherits the opposite orientation to  $\Sigma^+$ , we have  $\partial \Sigma^- = \gamma^{-1}$ . Thus we calculate

$$H(\gamma^{-1}, D) = e^{-\int_{\Sigma^-} F}$$

We therefore have

$$\begin{aligned} H(\gamma, D)H(\gamma^{-1}, D) &= e^{-\int_{\Sigma^+} F} e^{-\int_{\Sigma^-} F} \\ &= e^{-\int_{\Sigma} F} \end{aligned}$$

Thus we must have

$$F = 2n\pi i$$

for some  $n \in \mathbb{Z}$ , i.e.

$$\frac{i}{2\pi} F \in \mathbb{Z}$$

The first Chern class is integral.

**Exercise 123.** Let  $E$  be a trivial bundle over  $M$  and write  $D = D^0 + A$ . Define  $A_s = A$  and

$$F_s = d_{D^s} A_s = sdA + s^2 A \wedge A$$

as before. The calculation of the  $k^{\text{th}}$  Chern-Simons form proceeds as in the case  $k = 2$ , except with  $F_s^{k-1}$  the second factor in the wedge product. That is, we obtain

$$\begin{aligned} \text{tr}(F \wedge F) &= kd \int_0^1 \text{tr} \left( A \wedge F_s^{k-1} \right) ds \\ &= kd \int_0^1 \text{tr} \left( A \wedge (sdA + s^2 A \wedge A)^{k-1} \right) ds \end{aligned}$$

This is as explicit we can get for generic  $k$ : we would want to use the binomial theorem for  $F_s^{k-1}$ , but we cannot, since non-commutativity of  $dA$  and  $A \wedge A$  prevents gathering terms. The trace helps somewhat (completely for  $k = 3$ ), but not totally, since, for instance, I cannot use the cyclic property of the trace to gather  $\text{tr}(A^3 \wedge dA^2)$  with  $\text{tr}(A \wedge dA \wedge A^2 \wedge dA)$  (these both appear in  $\text{tr}(A \wedge F_s^3)$ ).

**Exercise 124.** We have

$$\begin{aligned} \frac{d}{ds} S_{CS}(A_s) \Big|_{s=0} &= \frac{d}{ds} \int_S \text{tr} \left( A_s \wedge dA_s + \frac{2}{3} A_s \wedge A_s \wedge A_s \right) \Big|_{s=0} \\ &= \int_S \text{tr} \left( \frac{dA_s}{ds} \wedge dA_s + A_s \wedge \frac{d}{ds} dA_s + 2A_s \wedge A_s \wedge \frac{dA_s}{ds} \right) \Big|_{s=0} \end{aligned}$$

where we have used graded cyclicity to gather terms to form the last here. Then, writing

$$d \left( A_s \wedge \frac{dA_s}{ds} \right) = dA_s \wedge \frac{dA_s}{ds} - A_s \wedge \frac{d}{ds} dA_s$$

and using  $\partial S = \emptyset$ , and using graded cyclicity further, we have

$$\begin{aligned} \frac{d}{ds} S_{CS}(A_s) \Big|_{s=0} &= 2 \int_S \text{tr} \left( \frac{dA_s}{ds} \wedge dA_s + A_s \wedge A_s \wedge \frac{dA_s}{ds} \right) \Big|_{s=0} \\ &= 2 \int_S \text{tr}(( [T, A] - dT) \wedge dA + A \wedge A \wedge ([T, A] - dT)) \end{aligned}$$

Then using

$$dT \wedge dA = d(T \wedge dA)$$

and  $\partial S = \emptyset$  again, we obtain

$$\left. \frac{d}{ds} S_{CS}(A_s) \right|_{s=0} = 2 \int_S \text{tr}([T, A] \wedge dA + A \wedge A \wedge ([T, A] - dT))$$