
Phys 476

GENERAL RELATIVITY

University of Waterloo

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Disclaimer

These notes are intended to be a reference for my future self (TC Fraser). If you the reader find these notes useful for yourself in any capacity, please feel free to use these notes as you wish, free of charge. However, I do not guarantee their complete accuracy and mistakes are likely present. If you notice any errors please email me at **tcfraser@tcfraser.com**, or contribute directly at **<https://github.com/tcfraser/course-notes>**. If you are the professor of this course (Florian Girelli) and you've managed to stumble upon these notes and would like to make large changes or additions, email me please.

Latest versions of all my course notes are available at **www.tcfraser.com**.

1 Introduction

1.1 History

The first lecture was a summary of astrophysical history from around $\sim 200\text{BC}$ to today. I elected not to take notes as it was pretty standard stuff and a lot of slides. Sorry.

2 Tensor Formalism

At the core of General Relativity is the mathematics of differential geometry. Differential geometry requires the idea of tensors, a generalization of vectors and matrices and forms that can handle messy geometries and metrics.

Let V be a vector space of finite dimension. Any V is isomorphic to \mathbb{R}^{n+1} through the coefficients of a chosen basis. Let the basis of V be given by,

$$\{e_i\}_{i=0,\dots,n}$$

Then any vector $v \in V$ is expressible by,

$$v = \sum_{i=0}^n v^i e_i$$

Where v^i are the i -th coefficients of the vector v with respect to the basis $\{e_i\}$.

2.1 Einstein Summation Rule

For convenience let's provide a new, shorter notation for the vector v .

$$v^i e_i = v^0 e_0 + \dots + v^n e_n = \sum_{i=0}^n v^i e_i$$

Effectively, we have just **dropped the summation sign**. The Einstein summation rule is as follows:

If there are two identical indices, 1 “up” and 1 “down”, it means that a summation is secretly present, it's just be removed for convenience. Note that the i in this case is *dummy index*.

$$v^i e_i = v^\alpha e_\alpha = v^j e_j$$

Here v^i are the components of vector $v \in V$ and are real numbers. $v^i \in \mathbb{R}, \forall i \in \{0, \dots, n\}$.

Note v^i is called the vector v when i is the set $\{0, \dots, n\}$, but can also be called the i -th component of v when i has a fixed value $i \in \{0, \dots, n\}$.

2.2 Examples of Basis for V

The values of e_i or the i 's themselves can take on many possible values.

- Cartesian coordinates t, x, y, z
- spherical coordinates t, r, ϕ, θ
- etc.

Each of the above examples is the space $V = \mathbb{R}^4$ (with some bounds for spherical coordinates).

2.3 Dual Vector Space

The dual vector space of V denoted V^* is also isomorphic to \mathbb{R}^{n+1} and is built from the space of linear forms on V .

$$V^* = \{w : V \rightarrow \mathbb{R} \mid w(\alpha v_1 + \beta v_2) = \alpha w(v_1) + \beta w(v_2)\}$$

where $v_1, v_2 \in V$ and $\alpha, \beta \in \mathbb{R}$.

In Quantum Mechanics, the vectors are the bras and the elements of the dual space (called the co-vectors) are the kets.

We note,

$$\{f^i\}_{i=0,\dots,n}$$

is the basis for V^* is defined by the Kronecker symbol δ ,

$$f^j(e_j) = \delta^j_i$$

$$\delta^j_i = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$$

An element in V^* is $w = w_i f^i$. w_i are the components of the covector w . Note that for a **finite dimensional vector space**,

$$V^{**} = V$$

2.4 Bilinear Maps

Introduce a bilinear map $B(v, w)$ where $B : V \times V \rightarrow \mathbb{R}$ where,

$$B(\alpha v_1 + \beta v_2, w) = \alpha B(v_1, w) + \beta B(v_2, w)$$

and the same for the other parameter w .

Examples include the inner product (otherwise known as the scale or dot product).

Bilinear forms are bilinear maps such that the following conditions are true:

- symmetric: $B(v, w) = B(w, v)$
- non-degenerated: $B(v, w) = 0 \quad \forall v \implies w = 0$

Playing with indices,

$$\begin{aligned} B(v, w) &= B(v^\alpha e_\alpha, w^\beta e_\beta) \\ &= v^\alpha B(e_\alpha, w^\beta e_\beta) \quad \text{By linearity} \\ &= v^\alpha w^\beta B(e_\alpha, e_\beta) \quad \text{By linearity} \end{aligned}$$

A bilinear map used in this way provides a way to eliminate the headache of complicated cross sums. Define new notation,

$$B(e_\alpha, e_\beta) \equiv g_{\alpha\beta}$$

Where $g_{\alpha\beta}$ is a real number \mathbb{R} because α and β are summed over.

$$B(v, w) = v^\alpha w^\beta g_{\alpha\beta} = v^\alpha g_{\alpha\beta} w^\beta = w^\beta g_{\alpha\beta} v^\alpha$$

All of the above terms are commutative because in the end, it represents a sum over all α, β .

$$B(v, w) = \underbrace{v^0 w^0 g_{00} + \dots + v^2 w^3 g_{2,3} + \dots + v^n w^n g_{nn}}_{(n+1)^2 \text{ terms}}$$

2.5 Distance and Norms

To define a distance in a vector space, we can use norms. In this case, $g_{\alpha\beta}$ would be called the metric. The Euclidean metric (with respect to a Cartesian basis) for example would be,

$$g_{\alpha\beta} = \begin{cases} 1 & \alpha = \beta \\ 0 & \alpha \neq \beta \end{cases}$$

We can also choose to enforce that the basis be orthonormal,

$$B(e_i, e_j) = \begin{cases} \pm 1 & i = j \\ 0 & i \neq j \end{cases}$$

Note that the potential for a negative norm means the notion of positive definiteness is no longer guaranteed.

2.6 Signatures of Metrics

We call the signature of the metric the number of $+1$'s and -1 's appearing in g_{ij} when dealing with the orthonormal basis. Signature is denoted as:

$$(p, q) = \left(\underbrace{p}_{\text{positive}}, \underbrace{q}_{\text{negative}} \right)$$

For example,

- Euclidean metric: $(n+1, 0)$
- Minkowski metric: $(n, 1)$

Note the order of the signature is chosen to be (p, q) and not (q, p) by convention.

2.7 Co-vectors from Vectors

Note that v^i was called the vector and w_i was called the covector. This notation seems to indicate that conversion between V and V^* is notationally equivalent to raising and lowering the indices.

We call the following operation “Lowering the index using the metric”.

$$\underbrace{v^\alpha}_{\text{components of vector}} \mapsto g_{\alpha\beta} v^\beta = \underbrace{v_\alpha}_{\text{components of covector}}$$

In use,

$$B(v, w) = v^\alpha g_{\alpha\beta} w^\beta = \underbrace{v_\beta}_{\text{bra}} \underbrace{w^\beta}_{\text{ket}}$$

2.8 Linear Map on V to V

$$M : V \rightarrow V$$

Where M is a matrix. An the map is equivalent to $v \rightarrow Mv \in V$. Some definition,

$$(Mv)^\alpha = \underbrace{M^\alpha_\beta}_{\text{Matrix(components)}} v^\beta$$

Note that $M^\alpha_\beta \in \mathbb{R}$ for α and β fixed. Example: The identity matrix is denoted $\delta^\alpha_\beta = \mathbb{I}$.

2.9 Scalar Product on Dual Space

Introduce a scalar product for the co-vectors w .

$$w, t \in V^*$$

$$w \cdot t = w_\alpha h^{\alpha\beta} t_\beta$$

Where $h^{\alpha\beta}$ is symmetric and non-degenerate.

So how is the scalar product between the dual and normal space related? Specifically how are $g_{\alpha\beta}$ and $h^{\alpha\beta}$ connected? Well,

$$\begin{aligned} v^\alpha g_{\alpha\beta} w^\beta &= v^\alpha w_\alpha \\ &= v_\gamma h^{\gamma\alpha} w_\alpha \\ &= v^\nu g_{\nu\gamma} h^{\gamma\alpha} w_\alpha \\ &= v^\nu g_{\nu\gamma} h^{\gamma\alpha} g_{\alpha\mu} w^\mu \end{aligned}$$

Since this is true for any v and w we require that,

$$h^{\gamma\alpha} g_{\alpha\mu} = \delta^\gamma_\mu$$

This means we say that the metric h is the inverse of the metric g . Convention on V^* : we denote the metric $g^{\alpha\beta}$ (the indices are “up”).

2.10 Invariance of Scalar Product

Let us say we have a matrix $M : v \rightarrow \tilde{v} = Mv, w \rightarrow \tilde{w} = Mw$ and that M preserves the scalar product.

$$\tilde{v} \cdot \tilde{w} = v \cdot w \quad \forall v, w$$

Examine,

$$M^\gamma_\alpha v^\alpha g_{\alpha\beta} M^\beta_\rho w^\rho = v^\alpha g_{\alpha\beta} w^\beta$$

Use commutativity and dummy-ness of indices to obtain,

$$v^\alpha M^\gamma_\alpha g_{\alpha\rho} M^\rho_\beta w^\beta = v^\alpha g_{\alpha\beta} w^\beta$$

Drop outer co-vectors v and w to get,

$$M^\gamma_\alpha g_{\alpha\rho} M^\rho_\beta = g_{\alpha\beta} \tag{2.1}$$

Note that this expression is consistent with the Einstein summation convention.

An example of an M on euclidean space could be a rotation matrix, or the identity.

When M satisfies 2.1, it is said to be orthogonal. If $\det(M) = 1$ then we say that M is *special*.

2.11 Trace of M

What is the trace of M ?

$$\text{Tr}(M) = M^\alpha{}_\alpha = M^0{}_0 + \dots + M^n{}_n$$

This is just a notationally convention. It is the sum of the diagonal terms of M .

2.12 Tensor Product

A tensor product makes a linear map a multi-linear map.

Theorem:

Let E and F be 2 vector spaces (with finite dimensionality.)

\exists a unique (!) set (up to isomorphism) $E \otimes F$ such that if f is a bilinear map $f : E \times F \rightarrow \mathbb{R}$ then \exists a linear map $f^* : E \otimes F \rightarrow \mathbb{R}$ such that $f = f^* \circ \phi$ with

$$\begin{array}{ccc} E \times F & & \\ \phi \downarrow & \searrow f & \\ E \otimes F & \xrightarrow{f^*} & \mathbb{R} \end{array}$$

Then we have,

$$\text{Lin}(E \otimes F, \mathbb{R}) \cong \text{Bin}(E \times F, \mathbb{R})$$

$$\text{Lin}(f^*, \mathbb{R}) \cong \text{Bin}(f, \mathbb{R})$$

where ‘ \cong ’ is used to denote *isomorphic*.

Properties:

Basis for $E \otimes F$ is $e_\alpha \otimes g_\alpha$ where e_α is the basis for E and g_α is the basis for F . For $a \in \mathbb{R}$ and $t, v \in E$, $u, w \in F$,

- $\dim(E \otimes F) = \dim(E) \dim(F)$
- $a(v \otimes w) = (av) \otimes w = v \otimes (aw)$
- $(v + t) \otimes w = v \otimes w + t \otimes w$
- $v \otimes (w + u) = v \otimes w + v \otimes u$
- $a \otimes w = aw$
- $\mathbb{R} \otimes F = F$

Note that $V^* \otimes V^* \cong \text{Bin}(V \times V, \mathbb{R})$. To motivate this, let $f^\alpha \otimes f^\beta$ be the basis for $V^* \otimes V^*$, and then a general element in $V^* \otimes V^*$ is,

$$t = t_{\alpha\beta} f^\alpha \otimes f^\beta$$

Note that $t_{\alpha\beta}$ is just a set of numbers. Then the tensor product is expanded as follows,

$$\begin{aligned} t(v \otimes w) &= t(v^\alpha e_\alpha \otimes w^\beta e_\beta) \\ &= t_{\gamma\delta} (f^\gamma \otimes f^\delta) (v^\alpha e_\alpha \otimes w^\beta e_\beta) \\ &= t_{\gamma\delta} v^\alpha w^\beta (f^\gamma \otimes f^\delta) (e_\alpha \otimes e_\beta) \quad \text{By linearity} \end{aligned}$$

$$\begin{aligned}
&= t_{\gamma\delta} v^\alpha w^\beta f^\gamma(e_\alpha) f^\delta(e_\beta) \quad \text{By foiling and definition of } f \\
&= t_{\gamma\delta} v^\alpha w^\beta \delta^\gamma_\alpha \delta^\delta_\beta \\
&= t_{\gamma\delta} v^\gamma w^\beta \delta^\delta_\beta \quad \text{By sifting property of } \delta \\
&= t_{\gamma\delta} v^\gamma w^\delta \quad \text{By sifting property of } \delta \text{ again}
\end{aligned}$$

Since $t(v \otimes w)$ is the tensor product $V^* \otimes V^*$ and $t_{\gamma\delta}$ is the components of the bilinear form, one can see the connection $V^* \otimes V^* \cong \text{Bin}(V \times V, \mathbb{R})$.

Tensors allow one to write bilinear maps as linear maps. What about multi-linear maps?

Tensors:

A tensor of rank (k, l) is a multi-linear map

$$\underbrace{V^* \times \cdots \times V^*}_k \times \underbrace{V \times \cdots \times V}_l \rightarrow \mathbb{R}$$

which transforms *well* under the change of basis of V and V^* .

Tensor	Rank
vectors	$(1, 0)$
co-vectors	$(0, 1)$
scalar	$(0, 0)$
metric	$(0, 2)$
inverse metric	$(2, 0)$
matrix	$(1, 1)$

The set of tensors of rank (k, l) is a vector space of dimension n^{k+l} (if V has dimension n). Checking with the examples above motivates this fact.

Using the basis $e_{\alpha_1} \otimes \cdots \otimes e_{\alpha_k} \otimes f^{\beta_1} \otimes \cdots \otimes f^{\beta_l}$

$$T = T^{\alpha_1 \alpha_2 \cdots \alpha_k}_{\beta_1 \beta_2 \cdots \beta_l} e_{\alpha_1} \otimes \cdots \otimes e_{\alpha_k} \otimes f^{\beta_1} \otimes \cdots \otimes f^{\beta_l}$$

For fixed α_i and β_i this is a real number in \mathbb{R} . These are the *components of the tensor*.

By abuse of notation we will call $T^{\alpha_1 \alpha_2 \cdots \alpha_k}_{\beta_1 \beta_2 \cdots \beta_l}$ the tensor.

We are talking about these transformations as change of basis of V and V^* . Examples:

- rotations (boost)
- change of coordinates from Cartesian to spherical, cylindrical, etc.

We can have a linear change of basis $\tilde{x}^\mu = A^\mu_\nu x^\nu$.

Example:

Cartesian	Polar
$e_1 = \vec{i}$	$\tilde{e}_1 = e_r$
$e_2 = \vec{j}$	$\tilde{e}_2 = e_\theta$

Example:

$$\tilde{e}_\alpha = \underbrace{\frac{\partial x^\nu}{\partial \tilde{x}^\alpha}}_{\text{Jacobian}} e_\nu = A^\nu_\alpha e_\nu$$

Note: *Up in the denominator means down on the original coordinates (LHS).*

For example,

$$\begin{array}{l|l} x^1 = x & \tilde{x}^1 = r \\ x^2 = y & \tilde{x}^2 = \theta \end{array}$$

$$\begin{aligned} \tilde{e}_1 = e_r &= \frac{\partial x^1}{\partial \tilde{x}^1} e_1 + \frac{\partial x^2}{\partial \tilde{x}^1} e_2 = \cos \theta e_1 + \sin \theta e_2 \\ \tilde{e}_2 = e_\theta &= \frac{\partial x^1}{\partial \tilde{x}^2} e_1 + \frac{\partial x^2}{\partial \tilde{x}^2} e_2 = -r \sin \theta e_1 + r \cos \theta e_2 \end{aligned}$$

Vectors in multiple basis:

$$v = v^\nu e_\nu = \tilde{v}^\nu \tilde{e}_\nu$$

With conversion of basis given by,

$$\tilde{e}_\alpha = A^\nu{}_\alpha e_\nu$$

Thus substituting in,

$$v^\nu e_\nu = \tilde{v}^\alpha A^\nu{}_\alpha e_\nu \quad \text{Drop } e_\nu$$

$$v^\nu = \tilde{v}^\alpha A^\nu{}_\alpha$$

But with A as a Jacobian,

$$\begin{aligned} v^\nu &= \frac{\partial x^\nu}{\partial \tilde{x}^\alpha} \tilde{v}^\alpha \\ \tilde{v}^\alpha &= \frac{\partial \tilde{x}^\alpha}{\partial x^\nu} v^\nu \end{aligned}$$

But what about the dual space?

By definition,

$$\tilde{f}^\beta(\tilde{e}_\nu) = \delta_\mu^\beta = \tilde{f}^\beta(A^\alpha{}_\nu e_\alpha) = A^\alpha{}_\nu \tilde{f}^\beta(e_\alpha)$$

Let $\tilde{f}^\beta(e_\alpha)$ be expressed as $\tilde{f}^\beta = B^\beta{}_\gamma f^\gamma$

$$\begin{aligned} \tilde{f}^\beta(\tilde{e}_\nu) &= A^\alpha{}_\nu B^\beta{}_\gamma f^\gamma(e_\alpha) \\ &= A^\alpha{}_\nu B^\beta{}_\gamma \delta^\gamma{}_\alpha \\ &= B^\beta{}_\gamma A^\gamma{}_\nu \\ &= \delta^\beta{}_\nu \end{aligned}$$

Thus B is the inverse of A .

What does transforming *well* mean? A tensor is transforming well if its components transform as

$$T^{\nu_1 \nu_2 \dots \nu_k}{}_{\alpha_1 \alpha_2 \dots \alpha_l} \rightarrow \frac{\partial \tilde{x}^{\nu_1}}{\partial x^{\beta_1}} \dots \frac{\partial \tilde{x}^{\nu_k}}{\partial x^{\beta_k}} \frac{\partial x^{\gamma_1}}{\partial \tilde{x}^{\alpha_1}} \dots \frac{\partial x^{\gamma_l}}{\partial \tilde{x}^{\alpha_l}} T^{\beta_1 \beta_2 \dots \beta_k}{}_{\gamma_1 \gamma_2 \dots \gamma_l} = \tilde{T}^{\nu_1 \nu_2 \dots \nu_k}{}_{\alpha_1 \alpha_2 \dots \alpha_l}$$

If you find something like $T^\alpha{}_\beta$, is it a tensor? **No! You must check if it transforms well.**

$$\frac{\partial}{\partial x^\nu} v^\alpha \quad \text{This is not a tensor.}$$

The derivative here prevents it from being well-formed. In the future we will define a derivative that allows a tensor to transform well.

2.13 Operations on Tensors

- Add (with matching rank): $T^{\alpha_1\alpha_2}_{\beta_1\beta_2} + C^{\alpha_1\alpha_2}_{\beta_1\beta_2}$.
- Contraction (partial trace): $\mathcal{T}(k, k) \rightarrow \mathcal{T}(k-1, k-1)$.
 - $T^{\alpha_1\cdots\alpha_i\cdots\alpha_k}_{\beta_1\cdots\beta_j\cdots\beta_l} \rightarrow T^{\alpha_1\cdots\alpha_i\cdots\alpha_k}_{\beta_1\cdots\alpha_j\cdots\beta_l}$
- “Outer” Product (Gluing together tensors)
 - $\mathcal{T}(k, l) \times \mathcal{T}(k', l') \rightarrow \mathcal{T}(k+k', l+l')$
 - $(T_1, T_2) \rightarrow T_1 T_2$
 - $T_1 T_2 \rightarrow T_1^{\nu_1\cdots\nu_k}_{\alpha_1\cdots\alpha_l} T_2^{\beta_1\cdots\beta_k}_{\gamma_1\cdots\gamma_l}$
 - **Example:** $(v^\alpha, w_\beta) \rightarrow v^\alpha \otimes w_\beta = v^\alpha w_\beta$. (In QM this is $|\phi\rangle\langle\varphi|$)

The metric $g_{\alpha\beta}$ can change the rank of a tensor. Recall a metric is rank (0,2) is symmetric and is non-degenerate.

Example:

Changing from rank (1,0) to rank (0,1):

$$v^\alpha \rightarrow v_a = g_{\alpha\beta} v^\beta$$

Changing from rank (2,2) to rank (4,0):

$$C^{\alpha\beta}_{\gamma\delta} \rightarrow C_{\alpha\beta\gamma\delta} = g_{\alpha\rho} g_{\beta\eta} C^{\rho\eta}_{\gamma\delta}$$

Changing from rank (2,2) to a different rank (2,2):

$$C^{\alpha\beta}_{\gamma\delta} \rightarrow C^\alpha_{\beta\gamma}{}^\delta = g_{\beta\rho} g^{\gamma\eta} C^{\alpha\rho}_{\eta\delta}$$

2.14 Facts About Tensors

Order Matters:

The order of indices that label a tensor is **very** important. It indicates the product space you are mapping *from* to \mathbb{R} .

$$\begin{aligned} C^\alpha_{\beta} : & \quad V^* \times V \rightarrow \mathbb{R} \\ C_\alpha{}^\beta : & \quad V \times V^* \rightarrow \mathbb{R} \\ C^\beta_{\alpha} : & \quad \text{Nothing. Don't do this.} \end{aligned}$$

Equality between tensors:

As tensors, indices must match:

Position of indices is matching: $C^\alpha_{\gamma}{}^\delta = T^\alpha_{\gamma}{}^\delta$

Position of indices is **not** matching: $C^\alpha_{\gamma}{}^\delta \neq T^\alpha_{\gamma\delta}$

But for fixed α, γ, δ , one can abuse the notation a bit:

$$C^\alpha_{\gamma}{}^\delta = T^\alpha_{\gamma\delta} \quad \text{Try to avoid this.}$$

2.15 Outer Product and Contraction

Example:

Outer Product: $M^\alpha_\beta M^\gamma_\delta = C^\alpha_\beta{}^\gamma_\delta$

Contraction: $M^\alpha_\beta M^\beta_\delta = C^\alpha_\beta{}^\beta_\delta = C^\alpha_\gamma$

Example:

Outer product and contraction: $C^{\alpha\beta}{}_{\gamma\delta} T^{\gamma\delta}{}_\rho = A^{\alpha\beta}{}_\rho$

This doesn't make sense: $C^{\alpha\beta}{}_{\gamma\gamma} T^{\gamma\delta}{}_\rho = ??$

Note, when there is a “+” sign we can be “loose” with the indices. Here the dual indices **do not** indicate a summation. This acts as an abuse of notation, but is sometimes difficult to avoid.

$$C^{\alpha\gamma} T_\gamma{}^\delta + F_\gamma{}^\delta A^{\alpha\gamma}$$

2.16 Interpretation of Tensors

By looking at the indices, how can we interpret the physical meaning of the tensor object?

Tensor	Interpretation
v^ν	vector
v_ν	covector
M^α_β	matrix (α rows, β columns)
M^α_α	contracted matrix (trace)
$M^{\alpha\gamma}_\delta$	matrix whose elements are vectors themselves (\cdot^γ_δ is the matrix)
$M^{\alpha\gamma}_\delta$	vector with matrix components (M^α is the vector)
$R^{\alpha\beta}{}_{\gamma\delta}$	matrix of matrices *

*For example, if $\dim V = 4$, $R^{\alpha\beta}{}_{\gamma\delta}$ has $4^4 = 256$ components. Note however, there can be many symmetries that reduce the number of unique components.

2.17 Symmetry of Tensor

We can always build a symmetric and antisymmetric part of a tensor $T^{\alpha\beta}$. Let's look at the case of 2 indices α, β :

Symmetric Part:

$$T_{(\alpha\beta)} = \frac{1}{2} (T_{\alpha\beta} + T_{\beta\alpha})$$

$$T_{(\alpha\beta)} = T_{(\beta\alpha)}$$

Antisymmetric Part:

$$T_{[\alpha\beta]} = \frac{1}{2} (T_{\alpha\beta} - T_{\beta\alpha})$$

$$T_{[\alpha\beta]} = -T_{[\beta\alpha]}$$

Note that for all tensors $T^{\alpha\beta} = T^{(\alpha\beta)} + T^{[\alpha\beta]}$. This acts as the decomposition into odd and even symmetries of the tensor.

For more indices:

$$T^{(\alpha\beta)}{}_{[\gamma\delta]} = \frac{1}{4} (T^{\alpha\beta}{}_{\gamma\delta} + T^{\beta\alpha}{}_{\gamma\delta} - T^{\alpha\beta}{}_{\delta\gamma} - T^{\beta\alpha}{}_{\delta\gamma})$$

What does $T^{(\alpha\beta\gamma)}$ mean? For that we will need a permutation group.

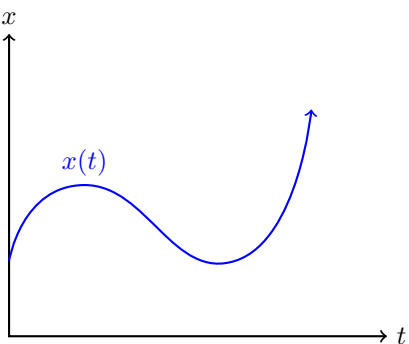
3 Physics Review

Moving away from tensors for a moment...

3.1 Newtonian Physics

According to Galileo and Newton, we got the interpretation that both space and time is flat (\mathbb{R}^3) and is absolute. More specifically, all clocks will have the same time if they are started/synced at some shared moment. It is built on cartesian coordinate system: (\vec{x}, t) . With this we say that an object is at position \vec{x} at time t . In this context, coordinates are *outcomes of measurements*. In General Relativity, the notion of coordinates can be quite different.

Consider a particle (2d spacetime):



Typically, x is drawn as the ordinate (y -axis) and t as the abscissa (x -axis).

Spacetime diagram:

In a spacetime diagram, t is drawn as the ordinate.



If we begin to use light to probe the position of objects, we are going to run into some surprising results. We will have to abandon Newtonian Physics and switch to the domain of Special Relativity.

3.1.1 Newton's Dynamical Law & Inertial Observers

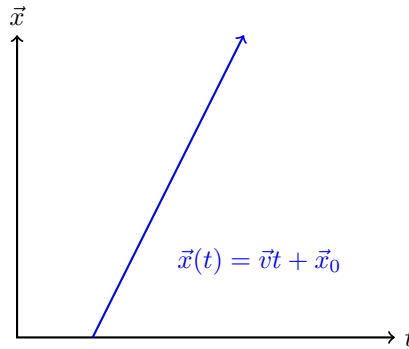
$$\vec{F} = m\vec{a}$$

Where \vec{F} is the total force applied to the system, $\vec{a} = \ddot{\vec{x}} = \frac{d^2\vec{x}}{dt^2}$ and m is the inertial mass. For \vec{x} it is convenient to use the Cartesian coordinate system.

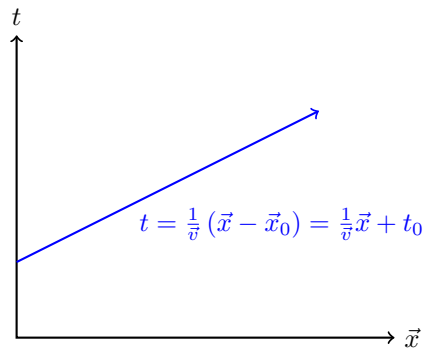
If $\vec{F} = \vec{0}$ then the dynamics becomes $\ddot{\vec{x}} = 0$ which yields solution,

$$\vec{x}(t) = \vec{v}t + \vec{x}_0$$

Where \vec{x}_0 is the initial condition and \vec{v} is the velocity in the observer's frame. This solution describes a straight line.

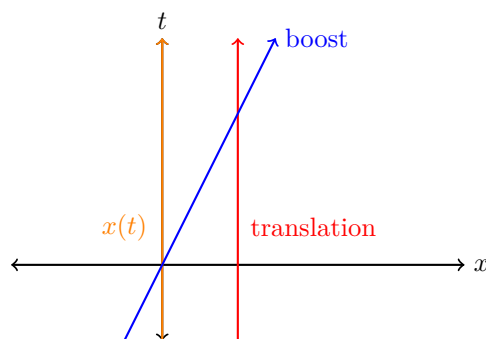


However, consider this solution in a spacetime diagram,



Definition:

The class of frames (observers) for which the the dynamics of a system is $\ddot{\vec{x}} = \vec{0}$ are called an inertial observers.



Note that rotations are not visible in this diagram as there is only one 1 space dimension. Transformations that relate inertial observers:

- translation: $\vec{x}(t) \rightarrow \vec{x}'(t) = \vec{x}(t) + \vec{a}$
- rotation: $\vec{x}(t) \rightarrow \vec{x}'(t) = R \cdot \vec{x}$
- Galilean boost: $\vec{x}(t) \rightarrow \vec{x}'(t) = -\vec{v}t + \vec{x}(t)$

For each of these transformations $\ddot{x}' = \vec{0}$. We will now prove the set of all these transformation of $\vec{x}(t) \rightarrow \vec{x}'(t)$ form a group.

Groups:

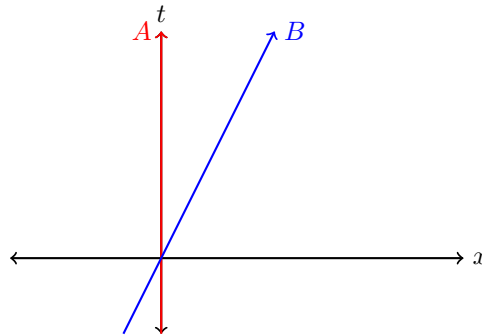
A Group is a set G equipped with an associated product (\cdot) , a unit element and an inverse.

- $g_1 \cdot g_2 = g \in G, g_i \in G$
- $g_1 \cdot (g_2 \cdot g_3) = (g_1 \cdot g_2) \cdot g_3$
- $g \cdot 1 = 1 \cdot g = g$
- $g \cdot g^{-1} = g^{-1} \cdot g = 1$
- In general, $g_1 \cdot g_2 \neq g_2 \cdot g_1$.
- An abelian group is one where $g_1 \cdot g_2 = g_2 \cdot g_1$.

Upon careful examinations of translations and rotation of space \mathbb{R}^n , both translations and rotations form a group. What about Galilean boosts?

Consider person A (Alice) standing on the ground and person B (Bob) in a rocket traveling with velocity \vec{v}_1 with respect to B . Give person B a ball in the rocket and let him/her kick it with velocity \vec{v}_2 with respect to B . What is the velocity of the ball with respect to person A ? Switching between the perspectives of the system is equivalent to performing a Galilean Boost.

Matrix Representation of a Group:



The boost B^α_γ is given by,

$$B^\alpha_\gamma = \begin{bmatrix} 1 & 0 & 0 & 0 \\ -v_1 & 1 & 0 & 0 \\ -v_2 & 0 & 1 & 0 \\ -v_3 & 0 & 0 & 1 \end{bmatrix}$$

Person A (sitting on the ground) is given by,

$$A \sim \begin{bmatrix} t \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Their product is given by,

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ -v_1 & 1 & 0 & 0 \\ -v_2 & 0 & 1 & 0 \\ -v_3 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} t \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} t \\ -v_1 t \\ -v_2 t \\ -v_3 t \end{bmatrix}$$

This is the trajectory of Alice with respect to Bob parametrized with time t . What about Bob's perspective under this linear map?

$$\begin{bmatrix} t \\ v_1 t \\ v_2 t \\ v_3 t \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ -v_1 & 1 & 0 & 0 \\ -v_2 & 0 & 1 & 0 \\ -v_3 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} t \\ -v_1 t + v_1 t \\ -v_2 t + v_2 t \\ -v_3 t + v_3 t \end{bmatrix} = \begin{bmatrix} t \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Thus Galilean boosts form an abelian group. When we move to the regime of Special Relativity, we will see that boosts no longer form an abelian group.

3.2 The Relativity Principle

Two inertial observers moving with constant velocity cannot be distinguished by any physical experiment.

Or alternatively,

Inertial frames are equivalent in terms of the description of physical phenomena.

This is most easily observed when sitting on a train next to another train. When your train is moving, it is unclear whether or not your train is moving or the other one is.

Inertial frames are systems with $\ddot{\vec{x}} = \vec{0}$ equipped with rods and clocks for measurements.

What happens to the notion of spatial length when you change inertial frames? Nothing should change due to the Relativity Principle, the lengths should remain the same.



In one frame,

$$|\vec{x}_B - \vec{x}_A|^2 = \ell^2$$

Perform a Galilean boost,

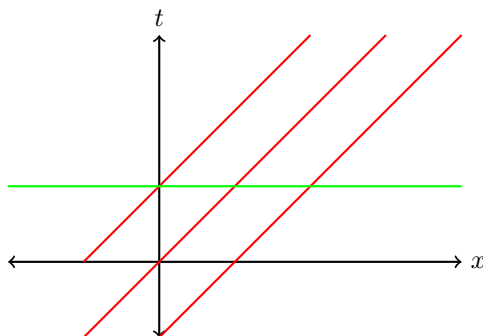
$$\vec{x}'_{A,B} = -\vec{v}t + \vec{x}_{A,B}$$

$$|\vec{x}'_B - \vec{x}'_A|^2 = \ell'^2$$

But it must be that,

$$\ell' = \ell$$

What about time? Galilean transformation leave time invariant because time is absolute in this Newtonian regime. The notion of simultaneity is the same for any inertial observer.



Red lines are stationary observers, and intersection with red lines indicate simultaneous events.

Math Perspective:

Are Galilean transformations the most general transformations between inertial observers? Use axioms?

Physics Perspective:

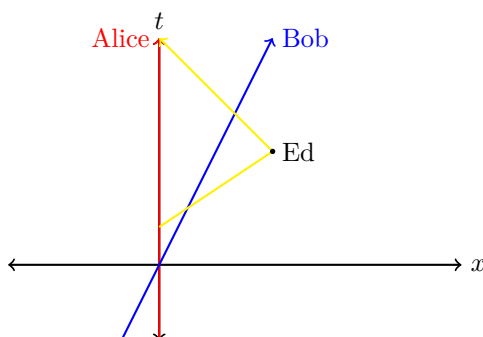
- Maxwell's equations do not transform well under Galilean transforms. Lorentz found the Lorentz transformations that allow Maxwell's equations to transform well.
- Michelson-Morley experiment: reveals that speed of light is invariant under the change of frame.
 - $v_1 + v_2 = v_3$ This is **not** the case if $v_2 = c$
 - $v_1 + c = c$ What why???
 - Under the assumption that light is a wave in the ether. Results suggest that the ether is not measurable.

3.3 Lorentz Transformations

Let's use light to measure objects in two frames; specifically let's determine the position using light.

Assumptions:

- The speed of light is the same in any frame.
- Relativity Principle
- Bob will move at velocity $v < c$
 - If an observer is moving $v > c$, their position can't be measured using light
- 2d for simplicity
- Set $c = 1$, $x = ct + x_0 = t + x_0$

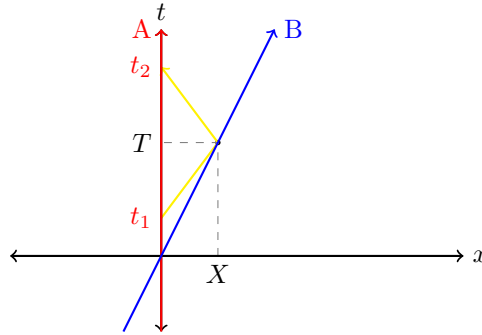


Let's measure the coordinates of Ed in Alice's frame and Bob's frame and the map relating the times using light to measure positions.

1) Using light to measure position,

$$x = \frac{1}{2c} (t_2 - t_1)$$

$$T = t_1 + \frac{1}{2} (t_2 - t_1) = \frac{1}{2} (t_2 + t_1)$$



2) Determine how the difference of times of reception and emission are related?

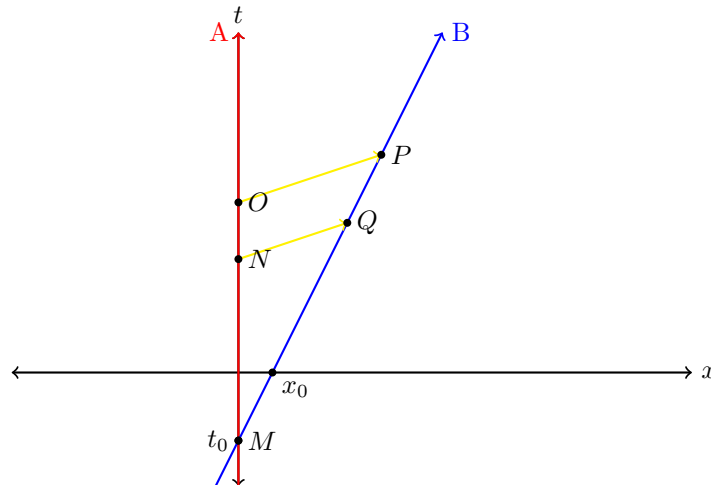
$$\Delta t_A = ON \quad \Delta t_B = QP$$

$$\frac{MN}{OM} = \frac{MP}{QM}$$

$$\frac{MN}{MN + \Delta t_A} = \frac{MP}{MP + \Delta t_B}$$

$$\frac{\Delta t_A}{MN} = \frac{\Delta t_B}{MP}$$

$$\Delta t_A \propto \Delta t_B$$



$$\Delta t_A = f^{-1}(v, c, x_0, y_0) \Delta t_B$$

By translational invariance, f cannot depend on x_0 or t_0 . Therefore,

$$\Delta t_A = f^{-1}(v, c) \Delta t_B$$

For convenience, we will find f defined as,

$$\Delta t_B = f(v, c) \Delta t_A$$

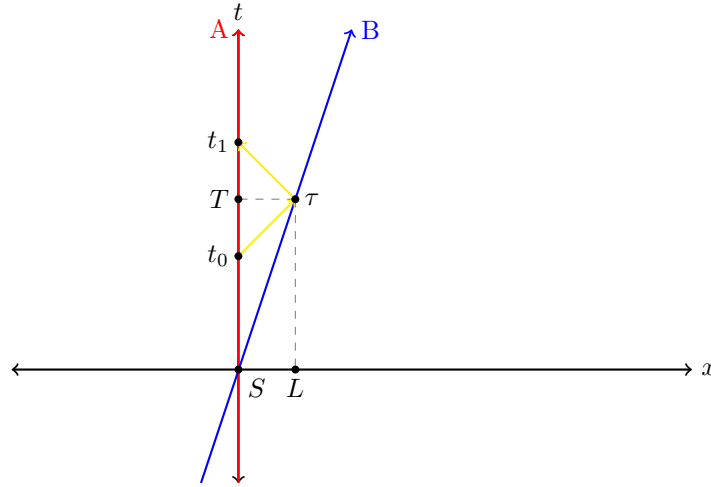
By similar analysis of a pair of light rays emanating from bob,

$$\Delta \tilde{t}_A = \tilde{f}(v, \tilde{c}) \Delta \tilde{t}_B = \tilde{f}(v, c) \Delta \tilde{t}_B$$

This uses the assumption $\tilde{c} = c$. Furthermore by the relativity principle, we must have $\tilde{f} = f$. Otherwise, the two inertial frames (A, B) would be distinguishable through f, \tilde{f} . In conclusion:

$$\Delta t_{\text{received}} = f(v, c) \Delta t_{\text{emitted}}$$

So what is the form of f ? Let us assume that there is a synchronization between the two frames so that calculations become easier.



Let's define:

$$\Delta t_A = S \rightarrow t_0 = t_0$$

$$\Delta \tilde{t}_A = S \rightarrow t_1 = t_1$$

$$\Delta t_B = S \rightarrow \tau = \tau = \Delta \tilde{t}_B$$

Therefore $\tau = f(v, c)t_0$ with,

$$t_1 = \Delta \tilde{t}_A = f(v, c) \Delta \tilde{t}_B = f^2(v, c)t_0$$

Thus we have from radar measurements,

$$L = \frac{1}{2}c(t_1 - t_0) = \frac{1}{2}c(f^2(v, c) - 1)t_0$$

$$T = \frac{1}{2}(t_1 + t_0) = \frac{1}{2}c(f^2(v, c) + 1)t_0$$

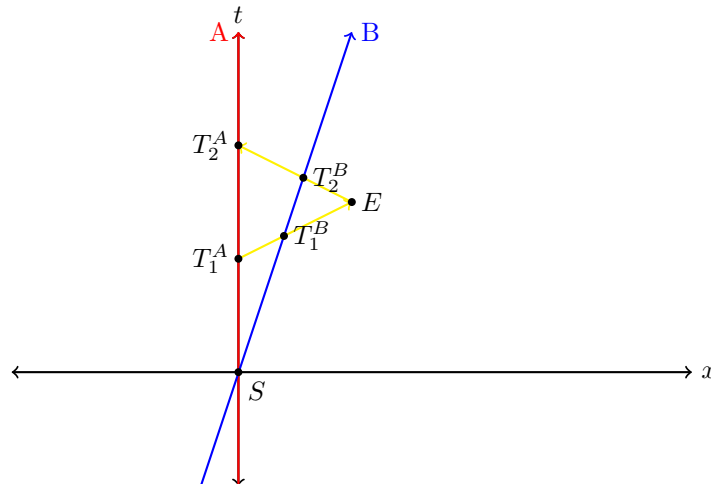
The ratio is given by,

$$\frac{L}{T} = v = c \frac{f^2(v, c) - 1}{f^2(v, c) + 1}$$

Inverting this expression (using $-c < v < c$) yields,

$$f(v, c) = \left(\frac{1 + \frac{v}{c}}{1 - \frac{v}{c}} \right)^{1/2}$$

4) Ed's coordinate from A's and B's perspective.



Let E be identified in two ways,

Alice's Perspective	(x_A^E, t_A^E)
Bob's Perspective	(x_B^E, t_B^E)

Therefore,

$$x_A^E = \frac{1}{2}c(T_2^A - T_1^A)$$

$$t_A^E = \frac{1}{2}(T_2^A + T_1^A)$$

$$x_B^E = \frac{1}{2}c(T_2^B - T_1^B)$$

$$t_B^E = \frac{1}{2}(T_2^B + T_1^B)$$

We know that $T_1^B = fT_1^A$ and $T_2^B = fT_2^A$ hence we can get the relation between (x_A^E, t_A^E) and (x_B^E, t_B^E) .

$$x_B^E = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} (x_A^E - vt_A^E)$$

$$t_B^E = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \left(t_A^E - \frac{v}{c^2} x_A^E \right)$$

For convenience we can relabel,

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

In matrix form,

$$\begin{bmatrix} T' \\ X' \end{bmatrix} = \underbrace{\gamma \begin{bmatrix} 1 & \frac{-v}{c^2} \\ -v & 1 \end{bmatrix}}_{\text{Lorentz Boost}} \begin{bmatrix} T \\ X \end{bmatrix}$$

Notice in the limit that $v \ll c$, the Lorentz boost becomes equivalent to the Galilean boost discussed earlier.

3.3.1 Consequences of Lorentz Transformations

1. From Alice's perspective, Bob's time axis is,

$$\bullet \quad x^B = 0 = \gamma(x_A - vt_A) \implies x = vt$$

2. From Alice's perspective, Bob's spatial slice is,

$$\bullet \quad T^B = 0 = \gamma\left(t_A - \frac{v}{c^2}\right)x_A \implies t = \frac{v}{c^2}x$$

- The slope of this line in Alice's perspective is the **inverse** (with $c \rightarrow 1$) of the slope of Bob's time axis

Again, notice that Galilean simultaneity is recovered in the limit that $v \rightarrow v \ll c$. Our new theory is still consistent with our old theories.

3.4 Length & Time

3.4.1 Einstein's Train & Simultaneity



Therefore, simultaneity is **relative**.

3.4.2 Length

What about spatial length? Alice has a ruler of length $x_2 - x_1 = \ell$. In Bob's frame,

$$x_i = \left(\underbrace{x'_i + vt'}_{\text{Bob's coords}} \right) \gamma \quad i = 1, 2$$

Thus,

$$x'_2 - x'_1 = (x_2 - x_1) \frac{1}{\gamma} \implies \ell' = \frac{\ell}{\gamma} \quad \text{Length contraction.}$$

If Bob has a ruler of length $\ell' = x'_2 - x'_1$,

$$x'_i = \left(\underbrace{x_i + vt}_{\text{Alice's coords}} \right) \gamma \quad i = 1, 2$$

$$x_2 - x_1 = \ell = \frac{1}{\gamma} \ell' \quad \text{Length contraction.}$$

3.4.3 Time

In a similar manner, we have time dilation,

$$t'_2 - t'_1 = \gamma (t_2 - t_1) \quad \text{With } \gamma > 1.$$

3.4.4 Invariant Length & Minkowski Metric

Can we construct/define a notion of length that is invariant under these transformations? Namely,

$$\begin{bmatrix} \gamma & -\gamma \frac{v}{c^2} \\ -\gamma v & \gamma \end{bmatrix} = \Lambda^\alpha{}_\beta$$

Let's introduce a metric, $g_{\alpha\beta}$ that is of course symmetric and non non-degenerate. Therefore,

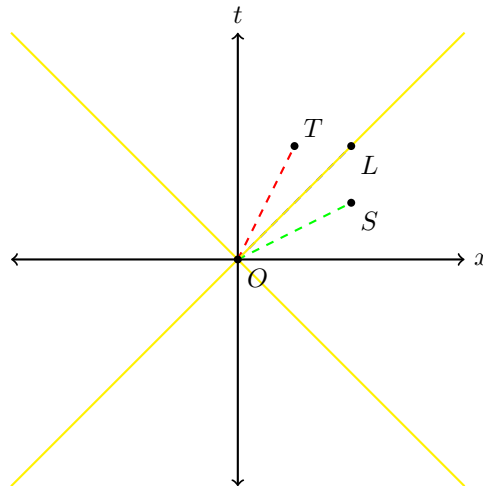
$$\Lambda^\alpha{}_\beta g_{\alpha\gamma} \Lambda^\gamma{}_\delta = g_{\beta\delta}$$

Reminder: $v \cdot w = v' \cdot w'$ with $v'^\alpha = \Lambda^\alpha{}_\gamma v^\gamma$. The solution here for 1d motion:

$$g_{\alpha\beta} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

These are called *Minkowski Metrics*. The difference between these two is a matter of convention. We will select the convention,

$$g_{\alpha\beta} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$



Let us define,

$$|\vec{OT}|^2 < 0 \quad \text{“time like”}$$

$$\begin{aligned} |\vec{OL}|^2 &= 0 \quad \text{“light like”} \\ |\vec{OS}|^2 &> 0 \quad \text{“space like”} \end{aligned}$$

We say that a vector v^α is time-like if $v^\alpha \eta_{\alpha\beta} v^\beta \equiv |v|^2 < 0$, light-like if $v^\alpha \eta_{\alpha\beta} v^\beta \equiv |v|^2 = 0$ and space-like if $v^\alpha \eta_{\alpha\beta} v^\beta \equiv |v|^2 > 0$.

What are inertial observers in the relativistic regime? They are *still* given by a *straight lines*. What are the set of transformations relating inertial observers (4d) ?

- rotations: R_x, R_y, R_z

$$\rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & \sin \theta & 0 \\ 0 & -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- boost: B_x, B_y, B_z

$$B_z \rightarrow \begin{bmatrix} \gamma & -\gamma \frac{v}{c^2} & 0 & 0 \\ -\gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Therefore,

$$\det B_z = \gamma^2 - \gamma^2 \frac{v^2}{c^2} = \gamma^2 \left(1 - \frac{v^2}{c^2}\right) = 1$$

Let us relabel $\gamma^2 = \cosh^2 \eta$ and $\gamma^2 \frac{v^2}{c^2} = \sinh^2 \eta$,

$$B_z \rightarrow \begin{bmatrix} \cosh \eta & -\frac{\sinh \eta}{c^2} & 0 & 0 \\ -\sinh \eta & \cosh \eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- translation: $\vec{x} \rightarrow \vec{x} + \vec{a}; t \rightarrow t + b$
 $- x^\nu \rightarrow x^\nu + a^\nu$

All of these together form the Poincaré group.

3.4.5 Poincaré Group

Galilean boosts:

$$\vec{v}_1 + \vec{v}_2 = \vec{v}_3$$

Lorentzian boosts:

$$\overrightarrow{v_1 \oplus v_2} = \frac{1}{1 + \frac{\vec{v}_1 \cdot \vec{v}_2}{c^2}} \left(\vec{v}_1 + \frac{1}{\gamma_1} \vec{v}_2 + \frac{1}{c} \frac{\gamma_1}{1 - \gamma_1} (\vec{v}_1 \cdot \vec{v}_2) \vec{v}_2 \right)$$

Note these aren't associative,

$$\overrightarrow{v_1 \oplus v_2 \oplus v_3} \neq \overrightarrow{v_1 \oplus v_2 \oplus v_3}$$

However if \vec{v}_1 is parallel to \vec{v}_2 then,

$$\overrightarrow{v_1 \oplus v_2} = \frac{\vec{v}_1 + \vec{v}_2}{1 + \frac{\vec{v}_1 \cdot \vec{v}_2}{c^2}}$$

Which forces $\left| \overrightarrow{v_1 \oplus v_2} \right|^2 = c^2$ whenever either \vec{v}_1 or \vec{v}_2 has $|\vec{v}_i|^2 = c^2$.

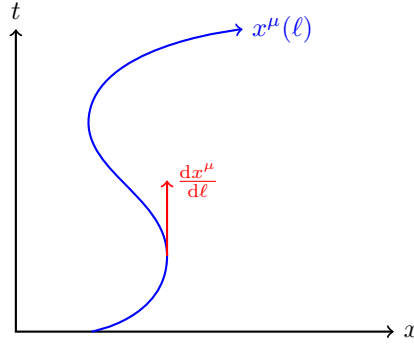
4 Formulation of Gravity

4.1 Flat Spacetime

Spacetime is \mathbb{R}^4 and we will consider the Minkowski metric $\eta_{\alpha\beta}$. In Cartesian coordinates,

$$x^\mu = [ct \quad x \quad y \quad z]$$

Consider a curve in spacetime $x^\nu(\ell)$ where ℓ is a curvilinear parameter that parametrizes the curve. The tangent vector is given by $\frac{dx^\mu}{d\ell}$.



If the tangent $\frac{dx^\mu}{d\ell}$ is always time-like, then the curve is called time-like. Analogously for space-like and light-like curves. We can then define an arclength of the curve.

$$\tau \neq \int \sqrt{\frac{dx^\mu}{d\ell} n_{\mu\nu} \frac{dx^\nu}{d\ell}} d\ell$$

This expression does not work because the metric $\eta_{\mu\nu}$ is not positive definite. Therefore the length could be complex. To combat this, introduce an absolute magnitude,

$$\tau = \int \sqrt{\left| \frac{dx^\mu}{d\ell} n_{\mu\nu} \frac{dx^\nu}{d\ell} \right|} d\ell$$

Which suggests,

$$\frac{d\tau}{d\ell} = \sqrt{\left| \frac{dx^\mu}{d\ell} n_{\mu\nu} \frac{dx^\nu}{d\ell} \right|}$$

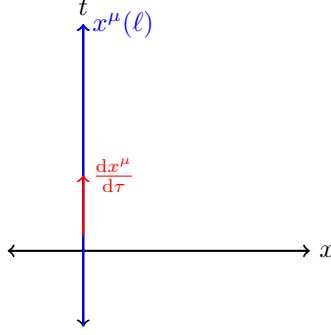
Which implies

$$d\tau^2 = |dx^\mu n_{\mu\nu} dx^\nu|$$

Now consider using τ as the curvilinear parameter. So that the tangent is defined as,

$$\frac{dx^\mu}{d\tau}$$

What does this encapsulate? Consider an observer at rest:



Here, the notion of arclength coincides with time.

$$d\tau^2 = dt^2$$

Considering a time-like curve, the proper length or arclength is interpreted as the time as measured by a clock carried by the observer having the curve as his/her spacetime trajectory (world-line).

Parametrization of the world line of an observer at rest ($\vec{x} = \vec{0}$).

$$x^0(\tau) = \tau \quad \text{and} \quad \vec{x} = \vec{0}$$

Which gives,

$$\frac{dx^\mu}{d\tau} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \delta^\mu_0 \neq \delta^0_\mu \quad \text{Be careful to matching indices.}$$

Labeling $\delta^\mu_0 = V^\alpha$,

$$V^\alpha \eta_{\alpha\beta} V^\beta = \delta^\alpha_0 \eta_{\alpha\beta} \delta^\beta_0 = \eta_{00} = -1 < 0$$

Therefore, V^α is time-like. However in general,

$$\begin{aligned} V^\mu \eta_{\mu\beta} V^\beta &= \frac{dx^\mu}{d\tau} \eta_{\mu\alpha} \frac{dx^\alpha}{d\tau} \\ &= \frac{dx^\mu \eta_{\mu\alpha} dx^\alpha}{d\tau^2} \\ &= \frac{ds^2}{d\tau^2} \quad ds^2 \text{ is the line element.} \\ &= -1 \quad \text{Since } d\tau^2 = |ds^2| = -ds^2 \text{ (this vector is time-like).} \end{aligned}$$

We can also write (with $c = 1$),

$$\begin{aligned} d\tau^2 &= dt^2 - d\vec{x}^2 \\ &= dt^2 \left(1 - \frac{d\vec{x}^2}{dt^2} \right) \\ &= dt^2 (1 - v^2) \end{aligned}$$

Which when rearranged yields (noting the negative is ignored because we are considering time moving forward),

$$\frac{dt}{d\tau} = \frac{1}{\sqrt{1 - v^2}} = \gamma$$

Noting that,

$$v^\mu = \left[\frac{dt}{d\tau} c \right] = \left[\frac{\gamma c}{\frac{d\vec{x}}{d\tau}} \right] = \left[\frac{\gamma c}{\gamma \vec{v}} \right]$$

Noting that \vec{v} is a velocity in 3d space while v^μ is a relativistic velocity which is a 4d object. We have shown that,

$$v^\mu v_\mu = -1$$

In the Galilean regime, $\vec{v} \in \mathbb{R}^3$ which allows one to use the vector space structure of \mathbb{R}^3 to add velocities,

$$\vec{v}_1 + \vec{v}_2 = \vec{v}_{12}$$

But for relativistic velocities $\vec{v} \in \mathbb{H}^3$ hyperboloid.

$$\{v^\mu \in \mathbb{R}^4 \mid v^\mu v_\mu = -1\}$$

This is somewhat surprising, but there exists a constraint that reduces the dimensionality.

$$v^\mu v_\mu = -1 = -(v^t)^2 + (v^x)^2 + (v^y)^2 + (v^z)^2$$



This new space \mathbb{H}^3 allows for the addition of velocities,

$$\overrightarrow{v_1 \oplus v_2} = \vec{v}_{12}$$

Particle Considerations:

- The world-line of a material particle is a time-like curve
- The world-line of photons (massless) is a light-like curve
- The world-line of a tachyon ($|v| > c$) is space-like

Relativistic acceleration is given by,

$$a^\mu = \frac{dv^\mu}{d\tau} = \frac{d^2 x^\mu}{d\tau^2}$$

For inertial observer, $a^\mu = 0$.

Relativistic momentum is given for a material particle with mass m ,

$$p^\mu = m v^\mu \implies p^\mu p_\mu = -m^2$$

Which has the property,

$$p^\mu = \begin{bmatrix} E = m\gamma \\ \vec{p} = m\gamma\vec{v} \end{bmatrix}$$

Examine the space term,

$$E = m\gamma = m \frac{1}{\sqrt{1-v^2}} = m + \underbrace{\frac{1}{2}mv^2}_{\text{kinetic energy}} + \dots \quad \text{Taylor Series}$$

And also rest,

$$E^2 = m^2 c^4$$

4.2 Relativistic Dynamics

What about the dynamics in the relativistic regime? Recall in the non-relativistic regime, Newton's law is given by,

$$m_i \vec{a} = \vec{F}_{\text{tot}}$$

Where m_i is the inertial mass. How can we modify this equation to the relativistic regime. m_i has no need to change, \vec{a} becomes the 4d spacetime vector $a^\mu = \frac{d^2 x^\mu}{d\tau^2}$ and force becomes,

$$m_i a^\mu = F^\mu$$

4.2.1 Lorentz Force

Consider the Lorentz force,

$$\vec{F} = q \left(\vec{E} + \vec{v} \times \vec{B} \right)$$

Where q is the charge of the particle, \vec{E} is the electric field, \vec{v} is the velocity of the particle and \vec{B} is the magnetic field of the particle. In the relativistic regime,

$$F^\mu = q F^{\alpha\beta} V_\beta$$

Where $F^{\alpha\beta}$ is known as the Maxwell tensor that is anti-symmetric,

$$F^{\alpha\beta} = \begin{bmatrix} 0 & E_x & E_y & E_z \\ -E_x & 0 & B_z & -B_y \\ -E_y & -B_z & 0 & B_x \\ -E_z & B_y & -B_x & 0 \end{bmatrix}$$

And V_β is the relativistic velocity with,

$$V_\beta = \eta_{\alpha\beta} V^\alpha$$

4.2.2 Gravity

What about the relativistic behavior of gravity?

$$\vec{F} = -m_g \vec{\nabla} \phi = -\frac{G m_g M \hat{r}}{r^2}$$

Where m_g is gravitational mass, ϕ is the gravitational potential, G is Newton's constant, and M is the mass of the system that generates the gravitational force. For a mass density ρ ,

$$M = \iiint_V \rho dV$$

Given a source with mass density ρ we have ϕ given by the Poisson Equation,

$$\vec{\nabla} \vec{\nabla} \phi = \Delta \phi = 4\pi G \rho$$

Where Δ is given by,

$$\Delta = \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right)$$

Gravity tells me how the matter source propagate,

$$\vec{F}_g = m_i \vec{a} \quad \text{gravity} \implies \text{matter} \quad (4.1)$$

While matter tells gravity how to behave through the Poisson Equation,

$$\Delta \phi = 4\pi G \rho \quad \text{matter} \implies \text{gravity} \quad (4.2)$$

Here you can see the dual nature between equations (4.1) and (4.2). How can we generalize these equations to the relativistic regime. What we will see is that (4.1) become the geodesic equations, while (4.2) become the Einstein field equations.

Let's find the relativistic version of (4.2).

$$\Delta \phi = \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \phi$$

Here Δ is the Laplacian. The relativistic notation is given by,

$$\square \phi \equiv \eta^{\alpha\beta} \frac{\partial}{\partial x^\alpha} \frac{\partial}{\partial x^\beta} \phi = \left(-\frac{1}{c^2} \frac{\partial^2}{\partial t^2} + \Delta \right) \phi \quad (4.3)$$

Where \square is called the *D'Alembertian*. What about the RHS of (4.2)? $4, \pi, G$ are all constants, so all that remains is ρ . Notice that $\rho \sim M/V$ is a mass over a volume. As we have seen above mass M is like an energy. Furthermore, boosts affect the volume V .

$$p^\mu = \begin{bmatrix} E \\ \vec{p} \end{bmatrix}$$

Now perform a boost on p^μ ($p^\mu \rightarrow \tilde{p}^\mu$) using Λ^α_β ,

$$\Lambda^\alpha_\beta = \begin{bmatrix} \gamma & \frac{\gamma v}{c^2} & 0 & 0 \\ \gamma v & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (4.4)$$

As you can see, the energy terms will get mixed up with the momentum terms.

Consider a *perfect fluid* (set of particles) characterized by,

- velocity v
- mass density ρ
- pressure p

A perfect field is called *dust* if $p = 0$. Then $\rho = M/V$ where the total mass M is given by,

$$M = n \cdot m$$

Where n is the number of particles and m is the mass of each particle. Therefore,

$$\rho = \frac{M}{V} = \frac{nm}{V} = \frac{n}{V}m$$

Now the term n/V represents a number density of particles. What is the relativistic version of each of these two terms (n/V and m). Evident m is going to be generalized using the four-momentum p^μ . But what about n/V ?

$$N \equiv \frac{n}{V} = \text{number density}$$

In the co-moving frame (i.e. the frame at which the fluid is at rest) we have, $N = n/V$. Consider a rectangular prism in 3d space with sides $\Delta x, \Delta y, \Delta z$. Then the total volume is $\Delta x \Delta y \Delta z$. Now consider a frame that is not co-moving. Consider this frame moving at velocity $\vec{v} = v_x \hat{x}$. What happens to Δx ? Length contraction decreases the width of the box.

$$\Delta x \rightarrow \Delta \bar{x} = \frac{1}{\gamma} \Delta x$$

What happens to N ? Well since the total number of particles n remains constant, the number density increases,

$$N \rightarrow \bar{N} = \frac{n}{\Delta \bar{V}} = \gamma \frac{n}{\Delta x \Delta y \Delta z}$$

Can we see a flux as a number density (i.e. a number of particles crossing an area per unit area of time)? In the co-moving frame, we are moving with the particles so there is no flux. However in the non co-moving frame where $\vec{v} = v_x \hat{x}$, consider a slice along the \bar{x} axis ($\bar{y}\bar{z}$ -plane). What is the flux of particles flowing through this slice?

$$\# \text{ particles crossing slice} = \bar{N} \cdot V = \bar{N} \underbrace{\Delta \bar{x}}_{v \Delta \bar{t}} \underbrace{\Delta \bar{y} \Delta \bar{z}}_{\Delta A}$$

The flux then is given by,

$$\text{Flux} = \frac{\#}{\Delta \bar{t} \Delta \bar{A}}$$

Where $\Delta \bar{t} \Delta \bar{A}$ can be thought of as a *spacetime volume* in 3d. So flux is a number density defined with respect to a spacetime 3d volume. Therefore,

$$\text{Flux} = \bar{N} v = v \gamma N$$

4.3 Duality of Flux and Number Density

In summary of the above considerations,

	Co-moving Frame	Frame (v_x)
# density	$N = \frac{n}{V}$	$\bar{N} = \frac{n}{\bar{V}} = \gamma \frac{n}{V} = \gamma N$
Flux	0	$F_x = \gamma N v_x$

The question becomes, can we see a flux as a number density? In the comoving frame we have:



Thus this represents some sort of flux through a fixed time.

$$\# \text{density} = \frac{\# \text{particles}}{\Delta V} = \frac{\# \text{particles}}{\Delta x \Delta A}$$

Whereas in the non co-moving frame the particles moving at speed v_x :



The relevant notion of relativistic number density is the notion of flux through the $x^\mu = \text{const.}$ We will introduce the “#-flux” vector N^μ . Where at rest,

$$N^\mu = \begin{bmatrix} N \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

And under a boost such as in equation (4.4) where $v = v_x$,

$$N^\mu = \begin{bmatrix} \gamma N \\ \gamma N v_x \\ 0 \\ 0 \end{bmatrix} = N \begin{bmatrix} \gamma \\ \gamma v_x \\ 0 \\ 0 \end{bmatrix} = N v^\mu$$

As a result, the magnitude of N^μ is given by,

$$N^\mu N_\mu = N^2 v^\mu v_\mu = -N^2$$

Now consider the mass density discussed above $\rho = M/V$. At rest we have $\rho = M/V$ with $E = M$ ($c = 1$). Under a boost with v_x ,

$$\begin{bmatrix} E = m \\ 0 \\ 0 \\ 0 \end{bmatrix} \rightarrow \begin{bmatrix} \gamma m = \bar{E} \\ m \gamma v \\ 0 \\ 0 \end{bmatrix}$$

Furthermore the volume under the boost becomes $V \rightarrow \bar{V} = \frac{1}{\gamma}V$. So $\rho = M/V$ at rest becomes under a boost,

$$\bar{\rho} = \gamma^2 \rho$$

This is similar to the velocity terms but instead we have a γ^2 term instead of γ . Note that density is given by,

$$\rho = \frac{M}{V} = \frac{n}{V}m \quad (4.5)$$

Where m is the mass of a single particle that should be considered the mass given by the four-momentum p^α . The number density should be given by the four-number density N^β . Using this interpretation, (4.5) is expressed under the relativistic regime as,

$$\rho \rightarrow N^\alpha \otimes p^\beta = N v^\alpha \otimes m v^\beta = m N v^\alpha \otimes v^\beta = \rho v^\alpha \otimes v^\beta$$

This new quantity $\rho v^\alpha \otimes v^\beta$ will be called $T^{\alpha\beta}$. At rest,

$$v^\alpha = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Which gives $T^{00} = \rho$ and $T^{\alpha\beta} = 0$ whenever $\alpha \neq 0$ or $\beta \neq 0$. While in frame (v_x) ,

$$v^\alpha = \begin{bmatrix} \gamma \\ v_x \\ 0 \\ 0 \end{bmatrix}$$

Which gives,

$$T^{00} = \gamma^2 \rho$$

So why does this γ^2 term keep showing up? Since T^{00} is a tensor with two components the γ induced by the boost affects each of the components, namely M and V . The boost affects the tensor for each index,

$$T^{\alpha\beta} \xrightarrow[\text{boost}]{\Lambda^\alpha_\gamma \Lambda^\beta_\delta} T^{\gamma\delta} = \bar{T}^{\alpha\beta}$$

This tensor $T^{\alpha\beta}$ is called the *stress energy tensor* and will act as a source of gravity.

- T^{00} : energy density
- T^{0i} : energy flux across i -th surface ($i = 1, 2, 3$)
- T^{i0} : momentum density ($i = 1, 2, 3$)
- T^{ij} : flux of i -th momentum through j -th surface ($i, j = 1, 2, 3$)
 - this is known as the stress tensor that appears when particles have interactions with one another.

4.4 Properties of Stress Energy Tensor

symmetric: $T^{\alpha\beta} = T^{\beta\alpha}$

Here is a “proof” using dimensional arguments.

$$\begin{aligned}
 T^{0i} &= \text{energy flux} \\
 &= \text{density of energy} \times \text{speed of flow} \\
 &= \text{density of mass} \times \text{speed of flow} \\
 &= \text{density of momentum} \\
 &= T^{i0}
 \end{aligned}$$

conservation: $\frac{\partial}{\partial x^\beta} T^{\alpha\beta} = 0$

To demonstrate this conservation, think of conservation as “what goes in and out of a box encodes the variations of what’s inside the box.” Consider a cube of side length ℓ aligned to a Cartesian coordinate system. Focusing on energy,

$$\ell^3 \frac{\partial}{\partial t} T^{00} \quad \text{variation of what's inside the box} \quad (4.6)$$

The rate of flow of energy of through each of the 6 faces of the cube is given by,

$$\ell^2 T^{0x}(x=0) - \ell^2 T^{0x}(x=\ell) + \ell^2 T^{0y}(y=0) - \ell^2 T^{0y}(y=\ell) + \ell^2 T^{0z}(z=0) - \ell^2 T^{0z}(z=\ell) \quad (4.7)$$

Therefore by conservation (4.6) must equal (4.7),

$$\ell^3 \frac{\partial}{\partial t} T^{00} = \ell^2 (T^{0x}(x=0) - T^{0x}(x=\ell) + T^{0y}(y=0) - T^{0y}(y=\ell) + T^{0z}(z=0) - T^{0z}(z=\ell))$$

Or more cleanly, dividing by ℓ^3 and considering the limit as $\ell \rightarrow 0$,

$$\frac{\partial}{\partial t} T^{00} = \lim_{\ell \rightarrow 0} \frac{1}{\ell} \sum_{i=1}^3 (T^{0i}(x^i=0) - T^{0i}(x^i=\ell))$$

Using the definition of partial derivatives,

$$\frac{\partial}{\partial t} T^{00} = -\frac{\partial}{\partial x} T^{0x} - \frac{\partial}{\partial y} T^{0y} - \frac{\partial}{\partial z} T^{0z}$$

Or rearranged,

$$\sum_{\alpha=1}^3 \frac{\partial}{\partial x^\alpha} T^{0\alpha} = \frac{\partial}{\partial t} T^{0t} + \frac{\partial}{\partial x} T^{0x} + \frac{\partial}{\partial y} T^{0y} + \frac{\partial}{\partial z} T^{0z} = 0$$

By Einstein summation convention,

$$\frac{\partial}{\partial x^\alpha} T^{\alpha} = 0$$

4.5 Early Attempts at Relativistic Gravity

Now what can we propose about gravity? Nordstrom in 1907 proposed to Einstein the idea of taking,

$$\Delta\phi = 4\pi G\rho$$

And replacing this with the D'Alembertian defined as (4.3) and the stress energy tensor,

$$\square\phi = 4\pi GT^\alpha{}_\alpha$$

This proposed theory is incorrect because this equation is linear. Here, gravity doesn't *gravitate*. In 1913, after hard work, Nordstrom proposed,

$$\frac{\square\phi}{\phi} = 4\pi GT^\alpha{}_\alpha \quad (4.8)$$

This theory is self consistent by it can be shown that under this theory, light doesn't bend with gravity. This has been proven to be true in our universe so it must also be wrong.

In 1914 however, Einstein and Fohker discovered that (4.8) could be recast as,

$$R = \tilde{T}^\alpha{}_\alpha$$

Where R is the *Ricci scalar curvature* from the study of Differential Geometry. This new theory is a geometrical theory. This is nice because it is a geometric, scalar, relativistic theory for gravity. Again this theory does not permit for the bending of light just as (4.8); thus it must be wrong. Almost immediately afterwards, Einstein came out with his theory of general relativity in 1915.

4.6 Equivalence Principles

What is the difference between inertial mass and gravitational mass.

- **Inertial mass:** Mass of an object measured by it's resistance to acceleration.
 - An object with high inertial mass with accelerate less than objects with low inertial mass when subject to the same applied force
 - $a = F/m_I$
- **Gravitational mass:** Mass of an object that defines the gravitational force on a system.
 - $m_G \vec{g} = \vec{F}_g$

Note there are other forms of mass like relativistic mass and rest mass.

Experimentally inertial mass m_I and gravitational mass m_G have been found to be identical.

4.6.1 Weak Equivalence Principle

The weak equivalence principle states that test bodies fall with the same acceleration independently of their structure or composition. Formally,

$$\frac{m_I}{m_G} = 1$$

This was experimentally verified by experiments in 1885 by Eötvös. Today we have verified this to 10^{-12} , or 12 decimal places. Exploring this idea,

$$m_I \vec{a} = m_G \vec{g} \implies \vec{a} = \vec{g}$$

Uniform acceleration cannot be distinguished from a uniform gravitational field.

Consider the ISS orbiting at an altitude of $h = 400$ km. The gravitational field is roughly 90% that of the surface gravitational field.

$$g = \frac{GM}{R+h}$$

Consider a particle in space characterized by position \vec{x} .

$$m_I \frac{d^2 \vec{x}}{dt^2} = m_G \vec{g}$$

Now consider a new frame $\vec{\chi}$ such that $\frac{d^2 \vec{\chi}}{dt^2} = \vec{a}$ with coordinates in the old frame given by,

$$\vec{x}' = \vec{x} = \vec{\chi}$$



Therefore,

$$m_I \frac{d^2 \vec{x}'}{dt^2} = m_I \frac{d^2 \vec{x}}{dt^2} - m_I \frac{d^2 \vec{\chi}}{dt^2} = m_G \vec{g} - m_I \vec{a} = m_G (\vec{g} - \vec{a})$$

Which confirms again that uniform acceleration is indistinguishable from a uniform gravitational field. Also note that the first falling frame is an inertial frame.

$$m_I \frac{d^2 \vec{x}'}{dt^2} = m_G (\vec{g} - \vec{g}) = \vec{0}$$

4.6.2 Tidal Forces

Consider a spaceship large enough to experience the change in the gravitational field from one end to another. This force gradient causes stress and strain on the spaceship. These forces are called Tidal forces. To avoid tidal forces, only consider objects in a *local inertial frame* where the field is *uniform*.

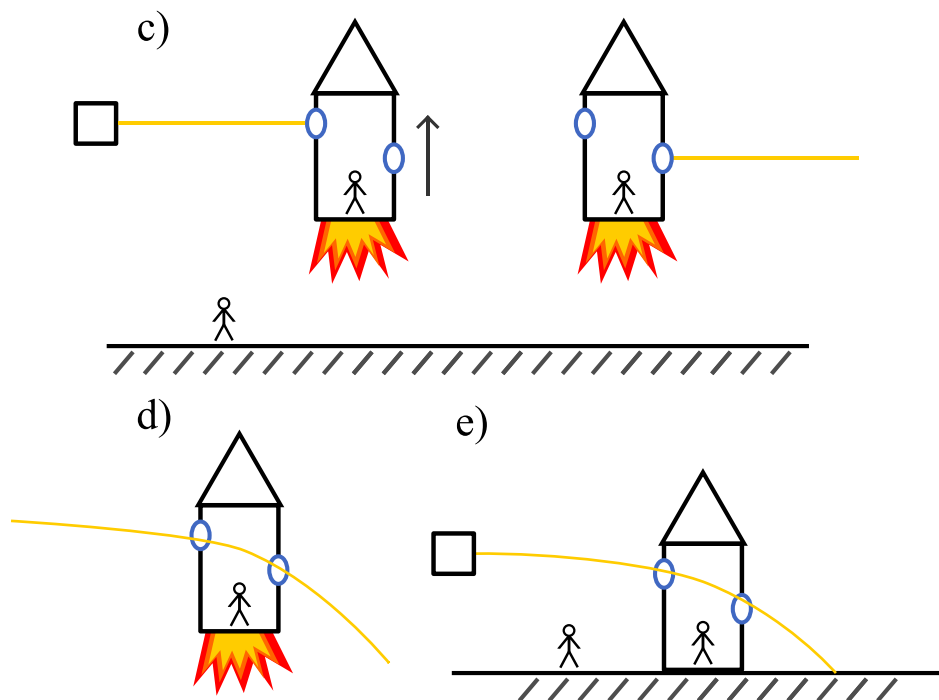
4.6.3 Einstein's Strong Equivalence Principle

In a local inertial frame (where \vec{g} is uniform), the results of all experiments will be indistinguishable from the results of the same experiments performed in a inertial frame in Minkowski spacetime.

Consider the case of a rocket with initially no acceleration $\vec{a} = \vec{0}$ and constant velocity $\vec{v} = \text{const}$. Another ship comes along and shoots a laser. Suppose there is a window on the left w_L and a window on the right w_R . Now suppose the spaceship gets lucky and the laser passes through w_L and then w_R (a). From the perspective of the captain of the ship, the light moves as a straight line (b). Nothing too complicated.



Now consider the rocket moving with constant acceleration $\vec{a} = \text{const}$ (c). Additionally, the fired laser luckily misses the ship and passed through w_L and then w_R . From the perspective of the captain, the light travels along a curved trajectory (d). Nothing too complicated. However by the Einstein's Strong Equivalence principle, this experiment must be identical to a stationary spaceship in a uniform gravitational field. Therefore, in a uniform gravitational field with everything else stationary, light **must** travel along a curved trajectory (e). Gravity bends light!



We must be able to measure this effect. If the light falls in the gravitational field, it must gain energy $E = h\omega$ and thus it will be blue shifted. Similarly, if the light climbs out of the gravitational field, it will lose energy and be red shifted. To explore this idea, consider a rocket with two individuals, one at the top of the rocket (A) and one at the bottom (B) (separated by height h). The rocket will be accelerating with \vec{g} in just the \hat{z} direction which gives,

$$\ddot{z}_A = \ddot{z}_B = g$$

Therefore,

$$z_A(t) = h + \frac{1}{2}gt^2$$

$$z_B(t) = \frac{1}{2}gt^2$$

At $t = 0$, A sends a light signal to B and is received by B at time $t_1 > t_0 = 0$.

$$ct_1 = z_A(0) - z_B(t_1)$$

At time $t = \Delta\tau_A$, A emits another light signal to B and it will be received at time $t_1 + \Delta\tau_B$,

$$c(t_1 + \Delta\tau_B - \Delta\tau_A) = z_A(\Delta\tau_A) - z_B(t_1 + \Delta\tau_B)$$

With these two relations, we want to get an expression that relates $\Delta\tau_A$ to $\Delta\tau_B$. Considering a non-relativistic regime $c \gg 1$, and at 1st order in $\Delta\tau_i$ with $i = A, B$,

$$\Delta\tau_B = \Delta\tau_A \left(1 - \frac{gh}{c^2}\right)$$

This is strange. If there were no acceleration $\Delta\tau_B = \Delta\tau_A$. However, there is an extra factor of due to the acceleration \vec{g} . Because of the acceleration, the second beam of light had to travel a farther distance making $\Delta\tau_B < \Delta\tau_A$. If $\Delta\tau$ is taken to be the period of light waves,

$$\Delta\tau = \frac{\lambda}{c}$$

Thus,

$$\lambda_B = \lambda_A \left(1 - \frac{gh}{c^2}\right)$$

Which gives use a similar relation between λ_A and λ_B , namely $\lambda_B < \lambda_A$. This corresponds to a **blue shift**. Following a similar argument with $g \rightarrow -g$ it becomes that $\lambda_B > \lambda_A$ which corresponds to a **red shift**.

However, by Einstein's Strong Equivalence Principle, the *exact* same effect should occur while stationary under a uniform gravitational field \vec{g} . We can perform this experiment on earth and measure the effect. This was known as the *Pound Rebka experiment*. This effect is very small. On earth,

$$\frac{gh}{c^2} \sim 10^{-15}$$

What does this experiment look like as a spacetime diagram,



There is a problem here. Since the field \vec{g} is uniform, it must be that the two light rays are *parallel*. Since the gravitational potential at A and B is given by ϕ_A, ϕ_B and they are equal,

$$\Delta\tau_B \approx \left(1 - \frac{\phi_A - \phi_B}{c^2}\right) \Delta\tau_A = \Delta\tau_A \quad (4.9)$$

However, we have just shown that,

$$\Delta\tau_B < \Delta\tau_A$$

Which indicates that these lines are converging and thus should **not** be parallel. Nonetheless, this problem can be resolved when considering geometries like the surface of a sphere where *great circles* are both parallel and intersecting. This convinced Einstein that he would have to learn *differential geometry*.

Before moving to differential geometry, let us recall that for the Minkowski metric,

$$d\tau^2 = dt^2 - d\vec{x}^2$$

Now consider a modified metric,

$$d\tau^2 = (1 + 2\phi(\vec{x})) dt^2 - (1 - 2\phi(\vec{x})) d\vec{x}^2 \quad (4.10)$$

This is known as the *static weak field metric* where ϕ is the gravitational potential. Can this metric recover some of the issues discussed above? If A and B are at rest $\Delta\vec{x}^2 = 0$,

$$\Delta\tau_A^2 = (1 + 2\phi(x_A)) \Delta t^2$$

$$\Delta\tau_B^2 = (1 + 2\phi(x_B)) \Delta t^2$$

Which gives,

$$\Delta\tau_A^2 = \frac{1 + 2\phi(x_A)}{1 + 2\phi(x_B)} \Delta\tau_B^2$$

Where a Taylor series expansion gives,

$$\Delta\tau_A \approx (1 + \phi(x_A) - \phi(x_B)) \Delta\tau_B$$

Which can be seen to mimic (4.9). This motivates how gravity can be described as a modified metric which in turn implies a gravity affects the space in which the system lives.

5 Differential Geometry

We know the flat \mathbb{R}^n space very well. How can we relate different geometries back to \mathbb{R}^n . Consider the surface of the earth. How can we measure *where we are*? Consider someone living in Waterloo, they might have a map that has coordinates describing where they are. Similarly for someone in Toronto with a different map, they would have coordinates that describe where they are. In order for these two people to communicate, there needs to be a translation between the coordinates on one map to another. This is the spirit of differential geometry. By stitching together maps (charts), we can get a description of geometry through the non-trivial overlaps between adjacent maps. At any given space in our manifold, we can define a set of tangent vectors which span a *tangent bundle* or tangent plane. These tangent planes can form a vector space throughout the geometry. Through these spaces, we can define co-vectors and thus tensors like metrics without these spaces. We will also explore the idea of a derivative of a tensor that relates difference tangent planes.

5.1 Definitions

Open Ball in \mathbb{R}^n : An open ball in \mathbb{R}^n centered at y is the set of all $x \in \mathbb{R}^n$ such that,

$$\left\{ x \in \mathbb{R}^3 \mid \text{for a given } y \in \mathbb{R}^n, |x - y| < R \text{ with } |x - y|^2 = \sum_i (x - y)_i^2 \right\}$$

Where $R \in \mathbb{R}_{>0}$.

Open set: An open set in \mathbb{R}^n is built from the union of open balls.

Chart: Let M be a set not necessarily \mathbb{R}^n . A **chart** or coordinate system (ϕ, \mathcal{U}) consists of a subset \mathcal{U} of M with a one-to-one map,

$$\phi : \mathcal{U} \rightarrow \mathbb{R}^n$$

Such that $\phi(\mathcal{U}) = V$ is an open set (in \mathbb{R}^n). We can say that $\mathcal{U} = \phi^{-1}(V)$. We can use V to induce the topology of our topological space. By notation, we usually note $\phi(p)$ where $p \in \mathcal{U}$, as,

$$\phi(p) \equiv x^\mu(p) \quad \phi \text{ acts as the } \textit{notion} \text{ or coordinates in } \mathbb{R}^n \text{ at } p$$

Atlas: An atlas is a collection of charts $(\mathcal{U}_\alpha, \phi_\alpha)$ such that the union of all \mathcal{U}_α covers all of M ,

$$\cup_\alpha \mathcal{U}_\alpha = M$$

If two charts happen to overlap, (i.e. $\mathcal{U}_\alpha \cap \mathcal{U}_\beta \neq \emptyset$), then the map $\phi_\alpha \circ \phi_\beta^{-1}$ takes points in $\phi_\beta(\mathcal{U}_\alpha \cap \mathcal{U}_\beta)$ to $\phi_\alpha(\mathcal{U}_\alpha \cap \mathcal{U}_\beta)$. We say that the chart is C^∞ (or smooth) if ϕ_α is C^∞ (infinitely differentiable). If all the charts are C^∞ then $\phi_\alpha \circ \phi_\beta^{-1}$ and its inverse are C^∞ .

Manifold: A manifold is a set M equipped with a maximal atlas (i.e. the one that contains all the possible charts). If the atlas is defined by $\phi_\alpha : M \rightarrow \mathbb{R}^n, \forall \alpha$ then M is a manifold of dimension n .

5.1.1 Examples of Manifolds

Consider S^1 the circle in the plane \mathbb{R}^2 ,

$$S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$$

Now consider the open sets consisting of $y > 0$ (red) and $y < 0$ (green), as well as $x > 0$ (yellow) and $x < 0$ (blue).



The four points of the form, $(x, y) = (1, 0), (-1, 0), (0, 1), (0, -1)$, all belong to a single open set on S^1 . However, for regions that belong to two separate open sets, we need a map that takes us from one open set to the next. For example, to map from yellow to red,

$$y_{\text{red}} = \sqrt{1 - x_{\text{yellow}}^2} \quad (5.1)$$

This acts as the form $\phi_x \circ \phi_y^{-1}(x)$. Is this composite map C^∞ ? Note that (5.1) is only not differentiable at $x = 0$. However, this point doesn't belong to the intersection of the red and yellow regions. Therefore, (5.1) is C^∞ . More more, see Carroll's book (online) for differential geometry.

5.2 Tangent Vectors

Before we defined the notion of tangent vectors, we will need to first discuss trajectories.

5.2.1 Trajectories

Definition: A C^∞ curve in a manifold M is a map $\mathcal{C} : \mathbb{R} \supset I \rightarrow M, \tau \rightarrow \mathcal{C}(\tau)$ where I is an interval on \mathbb{R} such that,

$$\forall \alpha : \phi_\alpha \circ \mathcal{C} \text{ is a } C^\infty \text{ map}$$

For points p in M get mapped to $x^\mu(P)$ in \mathbb{R}^n through ϕ_m along the interval I parametrized by τ . In summary, we take an interval I in \mathbb{R} and then map it to a trajectory in M using \mathcal{C} which is taken locally to \mathbb{R}^n by ϕ_α .

$$\mathcal{C} : I \rightarrow M$$

$$\phi_\alpha \circ \mathcal{C}(\tau) \equiv x^\mu(\tau)$$

Definition: We note $\mathcal{C}^\infty(M)$ the **algebra** of C^∞ functions of the form $f : M \rightarrow \mathbb{R}$, with point-wise product given by,

$$(f_1 \cdot f_2)(p) = f_1(p) f_2(p)$$

Where $P \in M$.

Definition: Let $\mathcal{C} : I \rightarrow M$ be a smooth curve with $\mathcal{C}(0) = p$. The **tangent vector** to \mathcal{C} at p is the linear map $X_p : \mathcal{C}^\infty(M) \rightarrow \mathbb{R}$ given by,

$$X_p(f) = \left. \frac{d}{d\tau} f(\mathcal{C}(\tau)) \right|_{\tau=0}$$

Notation:

$$f \circ \mathcal{C} = f \circ \phi_\alpha^{-1} \circ \phi_\alpha \circ \mathcal{C}$$

Using the combination $F = f \circ \phi_\alpha^{-1}$ which is a map $F : \mathbb{R}^n \rightarrow \mathbb{R}$ (since $\phi_\alpha \circ \mathcal{C} : I \rightarrow \mathbb{R}^n$) which gives a more convenient expression,

$$f \circ \mathcal{C}(\tau) = F(x^\mu(\tau))$$

Using the coordinate system, this becomes,

$$\begin{aligned} X_P(f) &= \left. \frac{d}{d\tau} (F(x^\mu(\tau))) \right|_{\tau=0} \\ &= \underbrace{\left(\left. \frac{dx^\mu}{d\tau} \right|_{\tau=0} \right)}_{\text{components}} \underbrace{\left(\left. \frac{\partial}{\partial x^\mu} F(x^\mu) \right|_p \right)}_{\text{basis}} \quad \text{Chain rule.} \end{aligned}$$

Exploring this notation motivates the separation of the coordinates and basis of the tangent vector $X_p(f)$. Focusing on the specific curves $\mathcal{C}_k(\tau)$ such that,

$$x^\mu(\mathcal{C}_k(\tau)) = (x^0(p), \dots, x^k(p) + \tau, \dots, x^n(p))$$

This addition of τ along each direction allows for the “exploration” of the k -th direction by an amount τ .

$$\mathcal{C}_1 : (x(p) + \tau, y(p))$$

$$\mathcal{C}_2 : (x(p), y(p) + \tau)$$

This gives the form,

$$\left. \frac{dx^\mu}{d\tau} (\mathcal{C}_k(\tau)) \right|_{\tau=0} = \delta^\mu_k$$

So that the tangent vector of \mathcal{C}_k is $\frac{\partial}{\partial x^k}|_p$.

Definition: The set of all tangent vectors at a point p is the tangent plane $T_p M$ at p .

Theorem: If M has dimension n then $T_p M$ has dimension n , making $T_p M \sim \mathbb{R}^n$. The basis of $T_p M$ is given by $\frac{\partial}{\partial x^\mu}|_p$.

Comments:

- In the tangent space $T_p M$, a general vector is $X = X^\mu \frac{\partial}{\partial x^\mu} = X^\mu \partial_\mu$ (noting, down in the ∂ term means up in the denominator by notation).
- The basis $\frac{\partial}{\partial x^\mu}$ is coordinate dependent. Another coordinate system could be $\frac{\partial}{\partial y^\mu}|_p$.

These different basis are of course related by the Jacobian (Wald p.17),

$$\frac{\partial}{\partial x^\mu} \Big|_p = \frac{\partial y^\alpha}{\partial x^\mu} \Big|_p \frac{\partial}{\partial y^\alpha} \Big|_p$$

So a generic vector in $T_p M$ is $X = X^\mu \frac{\partial}{\partial x^\mu} = \tilde{X}^\alpha \frac{\partial}{\partial y^\alpha}$. How do the components X^μ relate to \tilde{X}^α ?

$$X^\mu \frac{\partial}{\partial x^\mu} = \tilde{X}^\alpha \frac{\partial}{\partial y^\alpha} = X^\mu \frac{\partial y^\alpha}{\partial x^\mu} \frac{\partial}{\partial y^\alpha}$$

This gives the relation,

$$X^\mu \rightarrow \tilde{X}^\alpha = X^\mu \frac{\partial y^\alpha}{\partial x^\mu}$$

Definition: We define a cotangent space $T_p^* M$ as the set of linear maps $T_p M \rightarrow \mathbb{R}$. It has dimension n . We note the basis of $T_p^* M$ by dx^μ (sometimes called a form).

$$dx^\mu (\partial_\alpha) = \delta^\mu_\alpha \quad \text{analogously to } f^\mu (e_\alpha) = \delta^\mu_\alpha$$

Remark: Both the tangent space $T_p M$ and cotangent space $T_p^* M$ are in general not contained in the manifold M . Only in flat Minkowski space is this the case.

5.3 Tensor Calculus

Definition: A tensor of type (r, q) on $T_p M$ is a multi-linear map:

$$T : \underbrace{T_p^* M \times \cdots \times T_p^* M}_r \times \underbrace{T_p M \times \cdots \times T_p M}_q \rightarrow \mathbb{R}$$

Examples:

Tensor	Rank
X^μ	$(1, 0)$
X_μ	$(0, 1)$
$g_{\alpha\beta}$	$(0, 2)$

Definition: A type $(0, 2)$ tensor $g_{\alpha\beta}$ which is non-degenerate ($v^\mu g_{\mu\alpha} w^\alpha = 0 \quad \forall w^\alpha \implies v^\mu = 0$) and symmetric ($g_{\alpha\beta} = g_{\beta\alpha}$) is a metric tensor. The notion of signature defined in \mathbb{R}^n is the same as before.

Definition: We consider the union of the tangent spaces $T_p M$ for all $p \in M$ (respectively $T_p^* M$). We note,

$$T(M) = \bigcup_{p \in M} T_p M \quad T^*(M) = \bigcup_{p \in M} T_p^* M$$

A **vector field** is a map $X : M \rightarrow T(M), p \rightarrow X_p$. Likewise a **tensor field** is,

$$T : M \rightarrow T^*(M) \times \cdots \times T^*(M) \times T(M) \cdots \times T(M)$$

Or for a specific point $p, p \rightarrow T_p$. From now on we will work with tensor fields. We extend all the formalism done in the Minkowski space.

- Metric gives us the norm of vectors $v^\alpha g_{\alpha\beta} v^\beta = L$ where $v^\alpha, g_{\alpha\beta}$ are associated with a tangent plane at a point $T_p M$
- The sign of L determines the type of vector
 - $L > 0 \rightarrow V^\alpha$ is space-like
 - $L = 0 \rightarrow V^\alpha$ is light-like
 - $L < 0 \rightarrow V^\alpha$ is time-like
- A curve in M has type that follows from the tangent vector v^α
 - M is space-like if tangent vector is space-like
 - M is light-like if tangent vector is light-like
 - M is time-like if tangent vector is time-like
- The arclength of a curve is given by,

$$\tau = \int \sqrt{\left| \frac{dx^\mu}{d\ell} g_{\mu\alpha} \frac{dx^\alpha}{d\ell} \right|}$$

Where ℓ is a curvilinear parameter of the curve and $\frac{dx^\mu}{d\ell}$ is the tangent vector. The line element is given by,

$$d\tau^2 = |g_{\alpha\mu} dx^\mu dx^\alpha|$$

We will physically interpret the arclength τ to be proper time.

- $\frac{dx^\mu}{d\tau}$ = tangent vector = relativistic velocity
- $\frac{d^2 x^\mu}{d\tau^2}$ = relativistic acceleration

How can we generalize Newton's law $\vec{F}_g = m_I \vec{a}$. Using the scalar gravitational field,

$$-m_G \vec{\nabla} \phi = m_I \vec{a}$$

Since the weak equivalence principle gives us $m_G = m_I$. Therefore,

$$-\vec{\nabla} \phi = \vec{a}$$

What is the relativistic version? Like us assume we are in a *free falling* frame given by coordinate ξ^α . Then according to Einstein's strong equivalence principle, the acceleration is just zero,

$$a^\mu = \frac{d^2 \xi^\mu}{d\tau^2} = 0$$

Since we are in a free falling frame, physics must behave like the physics in Minkowski spacetime. The line element is given by,

$$d\tau^2 = \eta_{\mu\alpha} d\xi^\mu d\xi^\alpha$$

Where $\eta_{\mu\alpha}$ is the Minkowski metric. Now let us perform a change of coordinates and move *away* from the free falling frame.

$$\xi^\mu \rightarrow x^\mu$$

This transformation is transformed by the Jacobian. However notice that,

$$\frac{d^2 \xi^\mu}{d\tau^2} = \frac{dv^\mu}{d\tau}$$

But as shown on the assignment, v^μ 's coordinates do not transform well. There are extra terms generated.

$$\frac{\partial \xi^\mu}{\partial x^\alpha} \quad \text{Jacobian}$$

$$\frac{\partial x^\alpha}{\partial \xi^\mu} \quad \text{Inverse Jacobian}$$

Which gives via the inverse relation,

$$\frac{\partial \xi^\mu}{\partial x^\alpha} \frac{\partial x^\alpha}{\partial \xi^\rho} = \delta^\mu_\rho$$

Which gives,

$$\begin{aligned} d\tau^2 &= \eta_{\mu\alpha} d\xi^\mu d\xi^\alpha \\ &= \eta_{\mu\alpha} \frac{\partial \xi^\mu}{\partial x^\gamma} \frac{\partial \xi^\alpha}{\partial x^\beta} dx^\gamma dx^\beta \\ &= g_{\gamma\beta} dx^\gamma dx^\beta \end{aligned}$$

But if we have the velocity to be,

$$v^\mu = \frac{d\xi^\mu}{d\tau} = \frac{\partial \xi^\mu}{\partial x^\alpha} \frac{dx^\alpha}{d\tau}$$

This implies acceleration is given by *product rule*,

$$\begin{aligned} 0 &= a^\mu \\ &= \frac{d}{d\tau} v^\mu \\ &= \frac{d}{d\tau} \left(\frac{\partial \xi^\mu}{\partial x^\alpha} \frac{dx^\alpha}{d\tau} \right) \\ &= \frac{dx^\alpha}{d\tau} \frac{d}{d\tau} \left(\frac{\partial \xi^\mu}{\partial x^\alpha} \right) + \frac{\partial \xi^\mu}{\partial x^\alpha} \frac{d}{d\tau} \left(\frac{dx^\alpha}{d\tau} \right) \quad \text{Product rule.} \\ &= \frac{dx^\alpha}{d\tau} \frac{\partial^2 \xi^\mu}{\partial x^\alpha \partial x^\beta} \frac{dx^\beta}{d\tau} + \frac{\partial \xi^\mu}{\partial x^\alpha} \frac{d^2 x^\alpha}{d\tau^2} \quad \text{Chain rule.} \end{aligned}$$

Multiply by the inverse Jacobian on both sides (and send $\alpha \rightarrow \gamma$ for ease of notation),

$$0 = \frac{d^2 x^\alpha}{d\tau^2} + \underbrace{\frac{\partial x^\alpha}{\partial \xi^\mu} \frac{\partial^2 \xi^\mu}{\partial x^\gamma \partial x^\beta}}_{\Gamma^\alpha_{\gamma\beta}} \frac{dx^\gamma}{d\tau} \frac{dx^\beta}{d\tau}$$

Where $\Gamma^\alpha_{\gamma\beta}$ are called the Christoffel symbols. Notice that they are symmetric in the lower indices,

$$\Gamma^\alpha_{\gamma\beta} = \Gamma^\alpha_{\beta\gamma}$$

There is relationship between $\Gamma^\alpha_{\beta\gamma}$ and the metric which and the metric transformation,

$$g_{\alpha\beta} = \eta_{\mu\nu} \frac{\partial \xi^\mu}{\partial x^\alpha} \frac{\partial \xi^\nu}{\partial x^\beta}$$

Which can be derived to be,

$$\Gamma^\alpha_{\gamma\beta} = \frac{1}{2} g^{\nu\sigma} \left(\frac{\partial g_{\mu\nu}}{\partial x^\lambda} + \frac{\partial g_{\lambda\nu}}{\partial x^\mu} + \frac{\partial g_{\mu\lambda}}{\partial x^\nu} \right)$$

This implies,

$$\frac{d^2 x^\alpha}{d\tau^2} + \Gamma^\alpha_{\gamma\beta} \frac{dx^\gamma}{d\tau} \frac{dx^\beta}{d\tau}$$

Which implies the relationship between acceleration and the change of coordinates characterized by $\Gamma^\alpha_{\gamma\beta}$,

$$a^\alpha = -\Gamma^\alpha_{\gamma\beta} v^\gamma v^\beta$$

Can we retrieve in a non relativistic limit $\vec{a} = -\vec{\nabla}\phi$? To do this we need to convert show the analogy of this geometrical equation,

$$\tilde{a}^\mu = \frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu_{\alpha\beta} v^\alpha v^\beta = 0 \quad (5.2)$$

To the gravitational force equation,

$$\vec{a} = -\vec{\nabla}\phi \quad (5.3)$$

In order to reveal this connection, we will perform a non-relativistic limit to (5.2) and a weak field stationary metric to (5.3). Using $c = 1$ and $|\vec{v}| \ll 1$ we have,

$$v^\alpha = \begin{bmatrix} \gamma \\ \gamma v^1 \\ \gamma v^2 \\ \gamma v^3 \end{bmatrix}$$

Making for $i = 1, 2, 3$, the real space velocity components v^i/v^0 . Therefore we have $|\vec{v}/v_0| \ll 1$. The non-relativistic limit becomes,

$$|\vec{v}| \ll v_0$$

So therefore the time components dominate,

$$\Gamma^\alpha_{\alpha\beta} v^\alpha v^\beta \approx \Gamma^\alpha_{00} v^0 v^0$$

By definition of $\Gamma^\alpha_{\alpha\beta}$,

$$\Gamma^\alpha_{00} = -\frac{1}{2} g^{\mu\alpha} \frac{\partial g_{00}}{\partial x^\alpha} \quad (5.4)$$

Now we will perform a weak field stationary metric on (5.3). Therefore for a general metric $g_{\alpha\beta}$ we can treat it as a Minkowski metric plus a tiny perturbation $|h_{\alpha\beta}| \ll 1$,

$$g_{\alpha\beta} = \eta_{\alpha\beta} + h_{\alpha\beta} \quad (5.5)$$

As such we will work in a 1st order approximation in $h_{\alpha\beta}$. What is $g^{\alpha\beta}$?

$$g^{\alpha\beta} g_{\beta\gamma} = \delta^\alpha_\gamma$$

Subbing in (5.5) the LHS becomes,

$$(\eta^{\alpha\beta} - h^{\alpha\beta})(\eta_{\beta\gamma} + h_{\beta\gamma}) = \eta^{\alpha\beta} \eta_{\beta\gamma} - h^{\alpha\beta} \eta_{\beta\gamma} + \eta^{\alpha\beta} h_{\beta\gamma} - h^{\alpha\beta} h_{\beta\gamma}$$

Noting that $\eta^{\alpha\beta} - h^{\alpha\beta}$ is a candidate for the inverse metric which will be justified after the fact for a first order approximation. $h^{\alpha\beta} h_{\beta\gamma}$ is second order in $h_{\alpha\beta}$ so it is negligible. Also, $\eta^{\alpha\beta} \eta_{\beta\gamma} = \delta^\alpha_\gamma$ by inverse property and the remaining terms cancel out since $h_{\alpha\beta}$ must be symmetric (because it's a metric). Therefore out inverse candidate is given by the assumed,

$$g^{\alpha\beta} = \eta^{\alpha\beta} - h^{\alpha\beta}$$

Then using (5.4)

$$\begin{aligned} \Gamma^\mu_{00} &= -\frac{1}{2} g^{\mu\alpha} \frac{\partial g_{00}}{\partial x^\alpha} \\ &= -\frac{1}{2} (\eta^{\mu\alpha} - h^{\mu\alpha}) \frac{\partial}{\partial x^\alpha} (\eta^{00} + h^{00}) \\ &= -\frac{1}{2} (\eta^{\mu\alpha} - h^{\mu\alpha}) \frac{\partial}{\partial x^\alpha} (h^{00}) \quad \text{Since } \eta^{00} = -1 \text{ in Cartesian coords} \end{aligned}$$

$$= -\frac{1}{2} (\eta^{\mu\alpha}) \frac{\partial}{\partial x^\alpha} (h^{00}) \quad \text{Ignoring terms that are second order in } h_{\alpha\beta}$$

Recall when discussing the bending of light, (4.10) reproduced the right results. We should expect the following metric,

$$g_{\alpha\beta} = \eta_{\alpha\beta} - 2\delta_{\alpha\beta}\phi(\vec{x})$$

to hold. This motivates $h_{\alpha\beta}$ to take the form $2\delta_{\alpha\beta}\phi(\vec{x})$. Note that this metric is *stationary*. Meaning that,

$$\partial_t h_{\alpha\beta} = \partial_t 2\delta_{\alpha\beta}\phi(\vec{x}) = 0$$

Therefore,

$$\tilde{a}^\mu = \frac{d^2 x^\mu}{d\tau^2} = -\gamma^\mu{}_{\alpha\beta} v^\alpha v^\beta \approx -\gamma^\mu{}_{00} v^0 v^0$$

Becomes,

$$\tilde{a}^\mu = +\frac{1}{2}\eta^{\mu\alpha} \left(\frac{\partial}{\partial x^\alpha} h_{00} \right) v^0 v^0$$

Which has time component $\mu = 0$,

$$\tilde{a}^0 = +\frac{1}{2}\eta^{0\alpha} \left(\frac{\partial}{\partial x^\alpha} h_{00} \right) v^0 v^0 = 0$$

Since $h_{\alpha\beta}$ is stationary. It also has space components $i = 1, 2, 3$,

$$\tilde{a}^i = \frac{d^2 x^i}{d\tau^2} = +\frac{1}{2}\eta^{i\alpha} \left(\frac{\partial}{\partial x^\alpha} h_{00} \right) v^0 v^0$$

Noting that the v^0 terms are (again with $c = 1$),

$$v^0 = \frac{dx^0}{d\tau} = \frac{dt}{d\tau}$$

Therefore,

$$\frac{d^2 x^i}{d\tau^2} = +\frac{1}{2}\eta^{i\alpha} \left(\frac{\partial}{\partial x^\alpha} h_{00} \right) \left(\frac{dt}{d\tau} \right)^2$$

In the non-relativistic limit $\tau \rightarrow t$. Therefore,

$$\frac{d^2 x^i}{dt^2} = +\frac{1}{2}\eta^{i\alpha} \left(\frac{\partial}{\partial x^\alpha} h_{00} \right)$$

Noting by motivation above that $h_{00} = -2\phi(\vec{x})$,

$$\frac{d^2 x^i}{dt^2} = +\frac{1}{2}\eta^{i\alpha} \left(\frac{\partial}{\partial x^\alpha} (-2\phi(\vec{x})) \right)$$

$$\frac{d^2 x^i}{dt^2} = -\eta^{i\alpha} (\partial^i \phi)$$

Which by sifting of $\alpha = i, \eta^{ii} = 1$,

$$\begin{aligned} \frac{d^2 x^i}{dt^2} &= -\partial^i \phi \\ \vec{\tilde{a}} &= -\vec{\nabla} \phi \end{aligned}$$

Therefore Gravity is not a force by a curvature or geometry of spacetime.

5.4 Covariant Derivative

Let us continue to explore,

$$m\vec{a} = \vec{F}_g$$

And manipulate the derived expression,

$$m(a^\mu + \Gamma^\mu_{\alpha\beta} v^\alpha v^\beta) = 0$$

Using the definition of a^μ ,

$$\frac{d^2 x^\mu}{d\tau^2} = \frac{dx^\alpha}{d\tau} \frac{\partial}{\partial x^\alpha} \frac{dx^\mu}{d\tau} = v^\alpha \frac{\partial}{\partial x^\alpha} v^\mu$$

Therefore,

$$v^\alpha \frac{\partial}{\partial x^\alpha} v^\mu + \Gamma^\mu_{\alpha\beta} v^\alpha v^\beta = 0$$

Which suggests,

$$v^\alpha \left(\frac{\partial}{\partial x^\alpha} v^\mu + \Gamma^\mu_{\alpha\beta} v^\beta \right) = 0$$

Where the **covariant derivative** is given by, $\nabla_\alpha v^\mu$ where,

$$\nabla_\alpha v^\mu = \frac{\partial}{\partial x^\alpha} v^\mu + \Gamma^\mu_{\alpha\beta} v^\beta$$

This leads to something known as the **geodesic equation**,

$$v^\alpha \nabla_\alpha v^\mu = 0$$

With v^μ being the tangent vector to the geodesic. More formally, the covariant derivative is the *notion of derivative that transforms well under a change of coordinates*.

Definition: The *covariant derivative* ∇ on a manifold M is a map $\nabla : T(M) \times T(M) \rightarrow T(M)$ where $T(M) = \cup_{p \in M} T_p M$. It takes two vector fields X, Y and maps it to $\nabla_X Y$. It does so such that the following properties hold,

$$\nabla_{fX+gZ} Y = f\nabla_X Y + g\nabla_Z Y \quad \text{Linearity} \quad (5.6)$$

$$\nabla_X (Y + Z) = \nabla_X Y + \nabla_X Z \quad \text{Linearity} \quad (5.7)$$

$$\nabla_X (fY) = (\nabla_X f) Y + f(\nabla_X Y) \quad \text{Product rule}$$

Where $X, Y : \mathcal{C}^\infty(M) \rightarrow \mathbb{R}$,

$$f \rightarrow X(f) = X^\mu \partial_\mu f$$

Where f comes from $F = f \circ \phi^{-1}$. The covariant derivative on a scalar $\nabla_X f$ is given by,

$$\nabla_X f \equiv X(f) = X^\mu \frac{\partial}{\partial x^\mu} f$$

Consider a generic $\nabla_X Y$ and take X in the basis $X^\mu = \delta^{\alpha\mu}$ so that,

$$X = X^\mu \partial_\mu = \delta^{\alpha\mu} \partial_\mu = \partial_\alpha$$

Which suggests the notation for this particular basis,

$$\nabla_\alpha Y \equiv \nabla_{e_\alpha} Y$$

What is $\nabla_X Y$ in terms of the basis e_α of $T_p(M)$? Let us examine the action of the covariant derivative directly on the basis. Since this quantity $\nabla_\alpha e_\beta$ is an element in the tangent space, it should be able to be written with respect to the basis e_α ,

$$\nabla_\alpha e_\beta \equiv \Gamma^\gamma_{\alpha\beta} e_\gamma$$

Where $\Gamma_{\alpha\beta}^\gamma$ is the coefficients of the *connection* in the basis e_α . The *Christoffel symbols* are an example. Therefore what is the expression for $\nabla_X Y$? Writing X, Y as their basis expansions,

$$\nabla_X Y = \nabla_{X^\alpha e_\alpha} (Y^\beta e_\beta)$$

Where X^α, Y^β are components of the vector field at a point in the manifold with respect to the corresponding basis $e_\alpha \in T_p(M)$. They are functions of p the point in the manifold. Using (5.6),

$$\nabla_X Y = X^\alpha \nabla_{e_\alpha} (Y^\beta e_\beta)$$

Now using (5.7),

$$\begin{aligned} \nabla_X Y &= \nabla_X (Y^\beta e_\beta) \\ &= \nabla_X (Y^0 e_0 + Y^1 e_1 + \cdots) \\ &= \nabla_X (Y^0 e_0) + \nabla_X (Y^1 e_1) + \cdots \\ &= X(Y^0) e_0 + Y^0 \nabla_X e_0 + X(Y^1) e_1 + Y^1 \nabla_X e_1 + \cdots \\ &= X(Y^\beta) e_\beta + Y^\beta \nabla_X e_\beta \end{aligned}$$

Where $X(Y^\beta)$ is given by,

$$X(Y^\beta) = X^\alpha e_\alpha(Y^\beta)$$

Which more specifically can be written using the basis for the tangent space $e_\alpha = \frac{\partial}{\partial x^\alpha}$,

$$X(Y^\beta) = X^\alpha \frac{\partial}{\partial x^\alpha} (Y^\beta)$$