# Lab 09

Basic Image Processing Fall 2018

### Last lab's results

Hopefully you have implemented the k-means segmentation algorithm according to the following signature:

```
function [LUT, M] = mykmeans(S,k)
```

Where

s is a matrix containing rows as vectors to be clustered

k is the number of cluster center points

LUT is the look-up-table, it tells you which row of S belong to which cluster center

M is the matrix containing the cluster center points

**Surprise-surprise!** The MATLAB's built-in K-means function is called **kmeans** and has the exact same parameters.

For the following exercises we are using the MATLAB's implementation of the k-means algorithm.

# Repeat: Spaces & dimensions (in MATLAB)

Let us translate the terms of the previous slide into MATLAB.

Consider an S <u>space</u>, represented by a *matrix*.

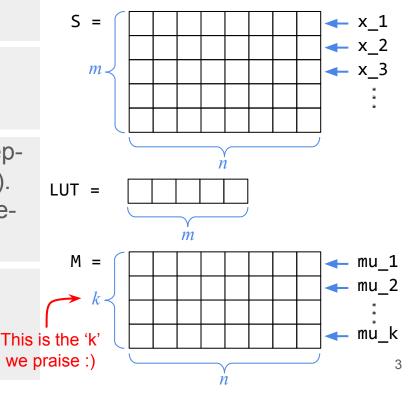
Every *row* of S is a <u>vector</u> with *n* items:

$$S(1,:) = x_1 = [x_11 x_12 ... x_1n]$$

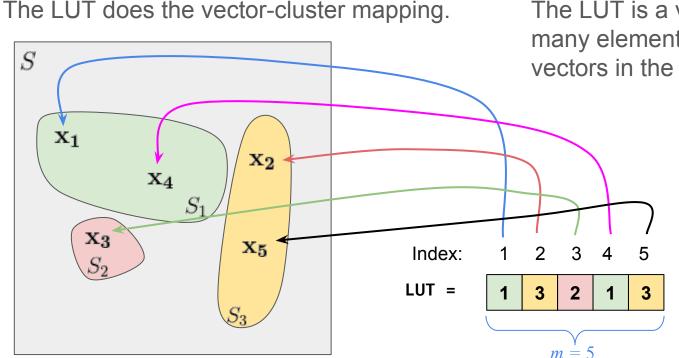
The  $S_i$  subsets of S are the <u>clusters</u>. Their representations are stored in a look-up-table (LUT). The index represents the index, the value represents the cluster # of a row vector  $x_a$  of S.

Also, the  $\mu$  mean vectors are stored in a matrix similar to S, denoted by M. Its elements are

$$M(j,:) = mu_j = [mu_j1 \dots mu_jn]$$



# Repeat: What is stored in the LUT?



The LUT is a vector. It has as many elements as the number of vectors in the space *S*.

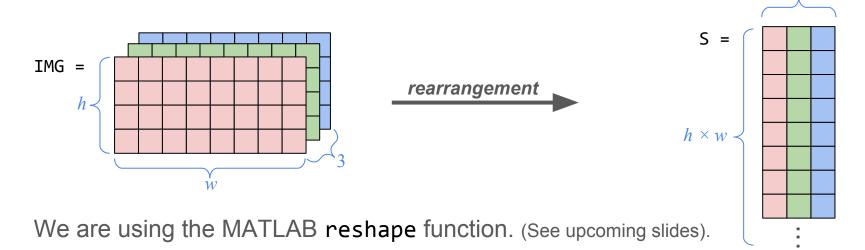
Every element of the LUT has an index and a value.

The value at position *j* tells us which cluster does vector **x**, belong to.

# Today we have images as the input

An RGB color image is represented by a 3D matrix. It has h rows, w columns and 3 layers along the 3rd dimension. These layers are the R, G and B color layers.

To be able to cluster an image with the the kmeans function we *somehow* has to transform the 3D matrix into a 2D array of row vectors.



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#### RGB feature space

#### Implement function step1\_A in which:

- input: I the image matrix
- output: S the RGB feature-space matrix
- convert the input matrix to have type double
- reshape this 3D matrix into a 2D vector of vectors

#### In MATLAB a 3D matrix (MAT) has 3 coordinates:

- 1.) index of the row  $\rightarrow$  (pixel y coordinate) 1  $\leq$  y  $\leq$  size (MAT,1) = h
- 2.) index of the column  $\rightarrow$  (pixel x coordinate)  $1 \le x \le \text{size}(MAT, 2) = w$
- 3.) index of the layer  $\rightarrow$  (pixel c coordinate) 1  $\leq$  c  $\leq$  size (MAT, 3) = d

#### The goal is to have a matrix that has

- (h\*w) rows (every row is a pixel), and
- **d** columns (every column is a *color* layer)

To rearrange a matrix like this you should use the reshape command with the appropriate parameters:

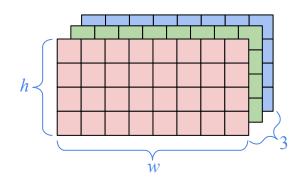
```
S = reshape(MAT, num_of_rows, num_of_cols)
```

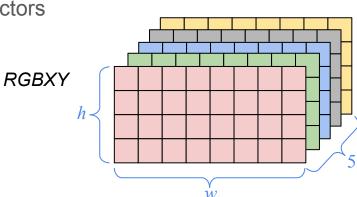
Moving to RGBXY feature space.

#### Implement function step1\_B in which:

- input: I the image matrix
- output: S the RGB feature-space matrix
- convert the input matrix to have type double
- extend this matrix along the 3rd dimension: add two layers where the x and y coordinate of the pixel is stored.
- reshape this 3D matrix into a 2D vector of vectors

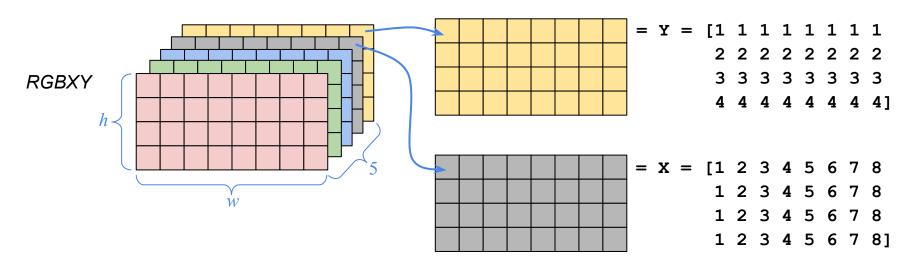
RGB





# Exercise 2 – continued

**Watch out:** The X and Y layers are containing the row and column indices:

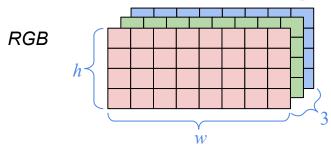


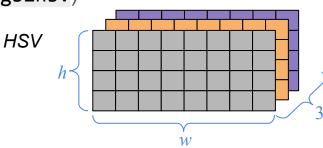
One can easily create the matrices X and Y with the help of the meshgrid function: [X, Y] = meshgrid(1:w, 1:h);

Moving to H feature space.

#### Implement function step1\_C in which:

- input: I the image matrix
- output: S the RGB feature-space matrix
- convert the input matrix from rgb to hsv space (rgb2hsv)





- remove the S and V layers of the HSV image (set I = I(:,:,1))
- reshape this newly created 2D matrix into a 2D vector of vectors

Test your step1\_A, step1\_B, step1\_C functions with **script** script1\_to\_step1ABC

Do the k-means clustering and replace cluster indices with centroid values

#### Implement function step2 in which:

- input: S the feature-space matrix,
  - k number of clusters,
- output: A the clustered feature-matrix
- calculate the look-up vector (cluster indices) and centroid values with the built-in kmeans function, on the previously calculated feature matrix (S vector of vectors from the I matrix):

[LUT, 
$$M$$
] = kmeans( $S$ ,  $k$ )

replace cluster indices with centroid values -- see next slide

# Exercise 4 - continued

The result of the clustering is a look-up-table (LUT) and a vector of centroid vectors (M).

You have to index M with LUT to create a new array (A), that has the same size as S and instead of the cluster center indices it contains the cluster center point vectors copied to the appropriate positions. See next slide!

**MATLAB hint:** You can index a vector logically! If the LUT is a vector and you write **LUT** == 1 then this expression will return a logical vector: 1 if the element == 1, 0 otherwise.

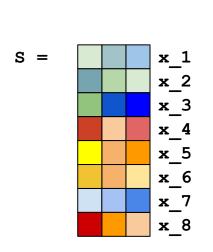
```
If A = [1 2 3 1 1 2 1] then A == 1 returns [1 0 0 1 1 0 1]
```

The other trick is that if you index a vector or matrix with a logical vector, the result will be the set of those elements that has the same indices where the logical vector contained 1-s.

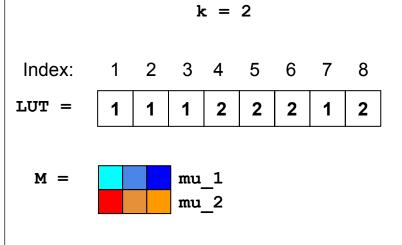
```
 | \text{If B} = [1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ \text{then B}(:,[1 \ 0 \ 0 \ 1 \ 1 \ 0 \ 1]) \ \text{returns} \ [1 \ 4 \ 5 \ 7 \ . \\ 2 \ 0 \ 2 \ 0 \ 1 \ 0]
```

# Exercise 4 - continued

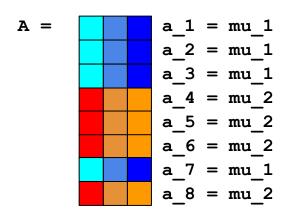
#### **Before clustering**



#### **Result of the clustering**



#### Replacement result



The previous step gives you an A matrix that is 2D and which should be reshaped to a 3D structure that has the same size as the original input (I).

The goal is to have a matrix that has

- h rows
- w columns
- d layers

To rearrange a matrix like this you should use the reshape command with the appropriate parameters:

```
SEG = reshape(A, num_of_rows, num_of_cols, num_of_layers)
```

#### Implement function step3\_A in which:

- input: A the clustered feature-matrix,
  - I original image,
- output: SEG the segmented image itself
- reshape your clustered RGB-feature matrix (A) to the size of your original image (I),
- convert the datatype back to uint8.

#### Implement function step3\_B in which:

- input: A the clustered feature-matrix,
  I original image,
- output: SEG the segmented image itself
- reshape your clustered RGBXY-feature matrix (A) to the size of your original image (I),
  - order of data, but after reordering, get rid of layers 4&5:

```
SEG = SEG(:, :, 1:3)
```

convert the datatype back to uint8.

#### Implement function step3\_C in which:

- input: A the clustered feature-matrix,
  I original image,
- output: SEG the segmented image itself
- reshape your clustered H-feature matrix (A) to the size of your original image (I),
  - remember, your 3rd dimension should contain 1 layer only
  - after reordering, extend the shallow matrix with two layers each of them containing
    0.7 as value (set SEG(:,:,2:3) to 0.7)
- convert back your array from the HSV-space to the RGB-space (hsv2rgb)
  - the content of your data will be in the range [0, 1], so scale it to the range [0, 255]
    and
  - convert the datatype back to uint8.

Test your step3\_A, step3\_B, step3\_C functions with **script** script3\_to\_step3ABC

The complete RGB-space segmentation

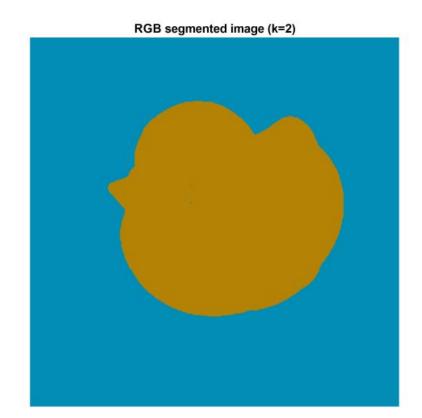
Implement function pixel\_based\_segmentation\_with\_kmeans\_A in which:

- input: I original image,
  - k number of clusters,
- output: SEG the segmented image itself
- with function step1\_A produce the feature matrix in RGB-space
- with function step2 convert your feature matrix to a clustered feature matrix
- with function step3\_A restore the original shape of your image on the clustered feature matrix data

Run it with script4 to test your RGB-segmentation process on the duck-image (see next slide).

# Exercise 8 - continued





The complete RGBXY-space segmentation

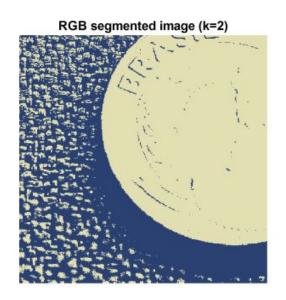
Implement function pixel\_based\_segmentation\_with\_kmeans\_B in which:

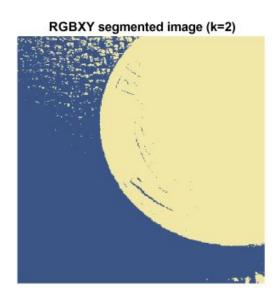
- input: I original image,
  - k number of clusters,
- output: SEG the segmented image itself
- with function step1\_B produce the feature matrix in RGBXY-space
- with function step2 convert your feature matrix to a clustered feature matrix
- with function step3\_B restore the original shape of your image on the clustered feature matrix data

Run it with script5 to test your RGBXY-segmentation process on the coin-image (see next slide).

# Exercise 9 - continued







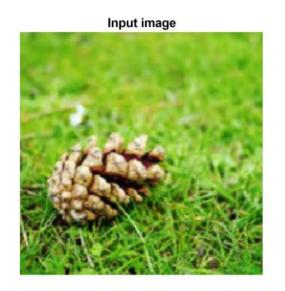
The complete H-space segmentation

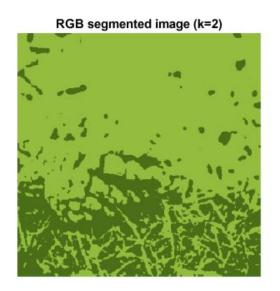
Implement function pixel\_based\_segmentation\_with\_kmeans\_C in which:

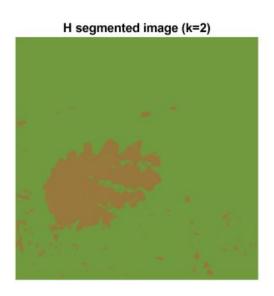
- input: I original image,
  - k number of clusters,
- output: SEG the segmented image itself
- with function step1\_C produce the feature matrix in H-space
- with function step2 convert your feature matrix to a clustered feature matrix
- with function step3\_C restore the original shape of your image on the clustered feature matrix data

Run it with script6 to test your H-segmentation process on the toboz-image (see next slide).

# Exercise 10 - continued







# THE END