CPU Scheduling

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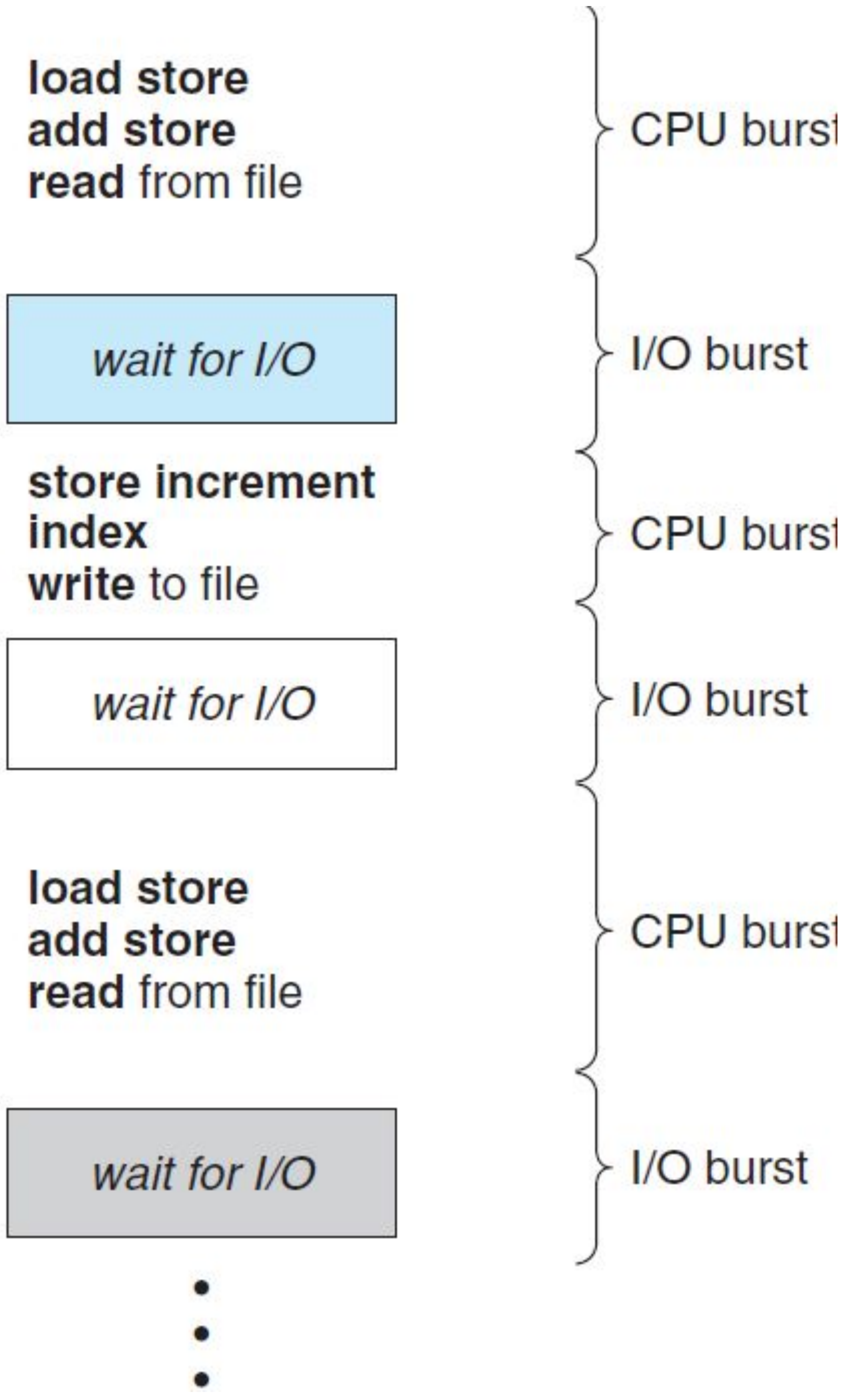
Basic Concepts

- Maximum CPU utilization obtained with multiprogramming

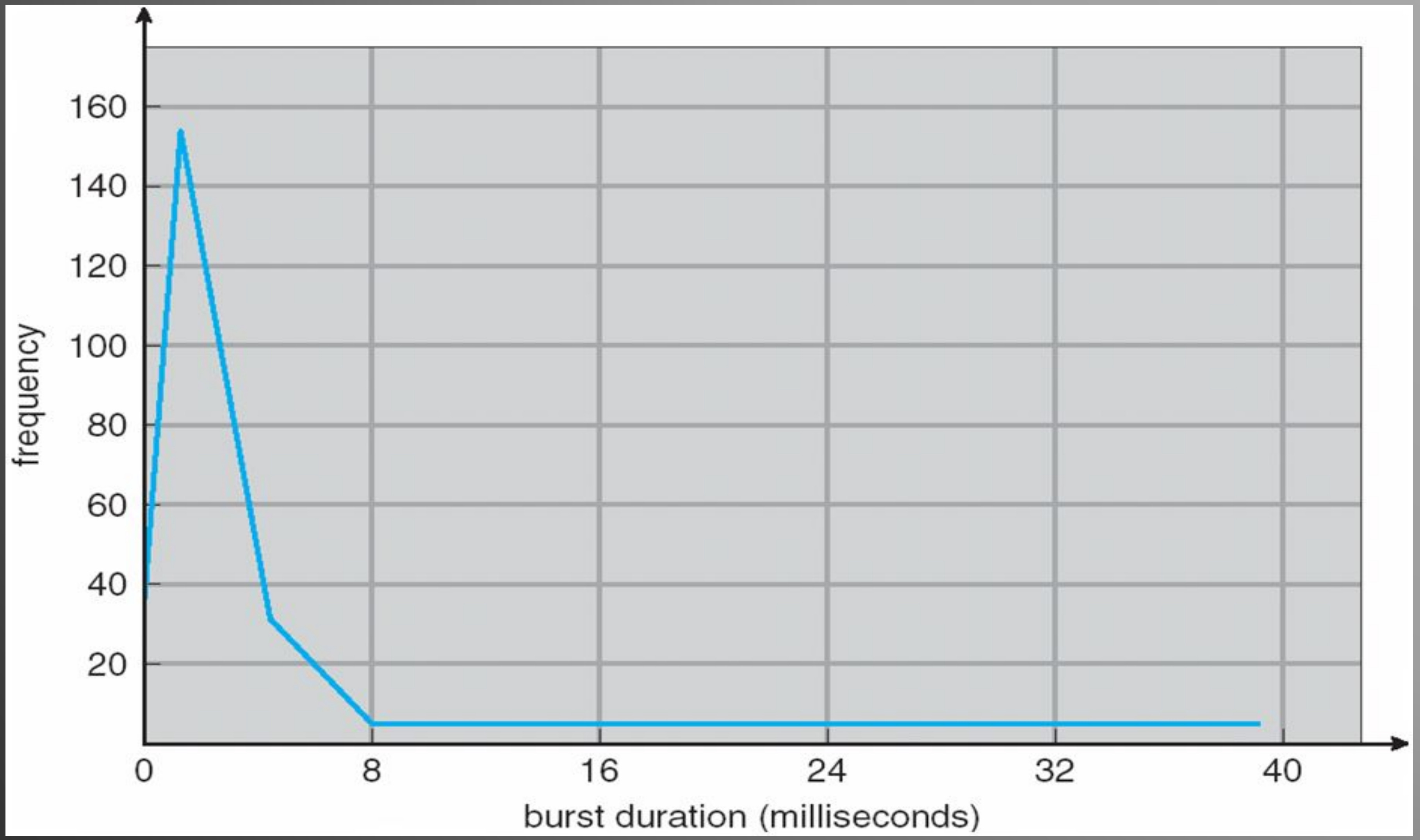
- CPU–I/O Burst Cycle – Process execution consists of a **cycle** of CPU execution and I/O wait

- **CPU burst** followed by **I/O burst**

- CPU burst distribution is of main concern



Histogram of CPU-burst Times



Preemptive Vs. Non Preemptive Scheduling

1. The basic difference between preemptive and non-preemptive scheduling is that in preemptive scheduling the CPU is allocated to the processes for the **limited** time. While in Non-preemptive scheduling, the CPU is allocated to the process till it **terminates** or switches to **waiting state**.
2. The executing process in preemptive scheduling is **interrupted** in the middle of execution whereas, the executing process in non-preemptive scheduling is **not interrupted** in the middle of execution.
3. Preemptive Scheduling has the **overhead** of switching the process from ready state to running state, vise-verse, and maintaining the ready queue. On the other hands, non-preemptive scheduling has **no overhead** of switching the process from running state to ready state.
4. In preemptive scheduling, if a process with high priority frequently arrives in the ready queue then the process with low priority have to wait for a long, and it may have to starve. On the other hands, in the non-preemptive scheduling, if CPU is allocated to the process with larger burst time then the processes with small burst time may have to starve.
5. Preemptive scheduling is quite **flexible** because the critical processes are allowed to access CPU as they arrive into the ready queue, no matter what process is executing currently. Non-preemptive scheduling is **rigid** as even if a critical process enters the ready queue the process running CPU is not disturbed.

Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program

- **Dispatch latency** – time it takes for the dispatcher to stop one process and start another running

Scheduling Criteria

- **CPU utilization** – keep the CPU as busy as possible
- **Throughput** – # of processes that complete their execution per time unit
- **Turnaround time** – amount of time to execute a particular process
- Turnaround time (TAT)=Completion time – Arrival time
- **Waiting time** – amount of time a process has been waiting in the ready queue
- **Response time** – amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)

Scheduling Algorithm Optimization Criteria

- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time

First-Come, First-Served (FCFS) Scheduling

Process	Burst Time
P_1	24
P_2	3
P_3	3

- Suppose that the processes arrive in the order: P_1 , P_2 , P_3
- 

Example FCFS

- Covered in class

FCFS Scheduling (Cont.)

Suppose that the processes arrive in the order:

P_2 , P_3 , P_1

- The Gantt chart for the schedule is:

- Waiting time for $P_1 = 6;\ P_2 = 0_;\ P_3 = 3$
- Average waiting time: $(6 + 0 + 3)/3 = 3$
- Much better than previous case
- **Convoy effect** - short process behind long process
  - Consider one CPU-bound and many I/O-bound processes

Shortest-Job-First (SJF) Scheduling

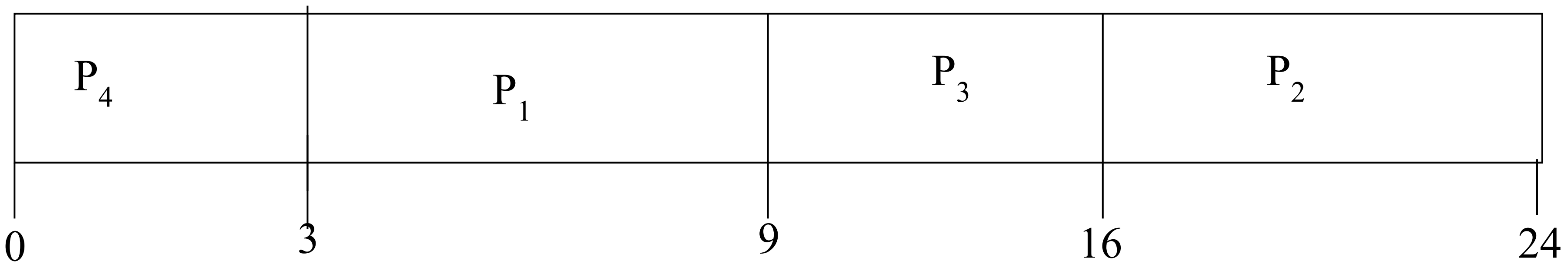
- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time

- SJF is optimal – gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
  - Could ask the user

Example of SJF

Process	Burst Time
P_1	6
P_2	8
P_3	7
P_4	3

- SJF scheduling chart



- Average waiting time = (3 + 16 + 9 + 0) / 4 = 7

Example of SJF

- Covered in class

Determining Length of Next CPU Burst

- Can only estimate the length – should be similar to the previous one
  - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging

$$\tau_{n+1} = \alpha\, t_n + (1 - \alpha)\tau_n .$$

- tn = most recent info
- $Tn = Past\ histoty$
- If α=0 then recent history has no effect
- If α=1, then =recent CPU burst matters
- Preemptive version called shortest-remaining-time-first

Shortest-remaining-time-first

- Now we add the concepts of varying arrival times and preemption to the analysis:
- the process with the smallest amount of time remaining until completion is selected to execute.

Example of SRTF

- Covered in class

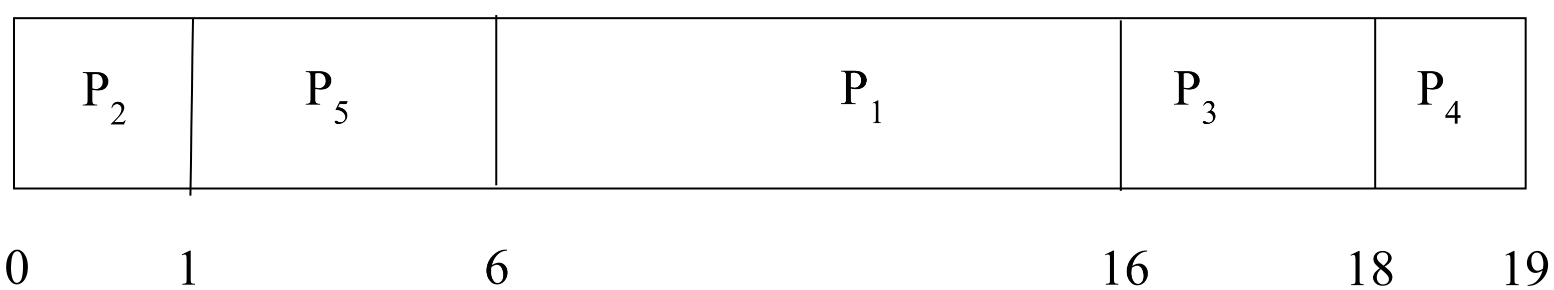
Priority Scheduling

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer ≡ highest priority)
  - Preemptive
  - Non-preemptive
- Problem ≡ **Starvation** – low priority processes may never execute
- Solution ≡ **Aging** – as time progresses increase the priority of the process

Example of Priority Scheduling

Process	Burst Time	Priority
P_1	10	3
P_2	1	1
P_3	2	4
P_4	1	5
P_5	5	2

- Priority scheduling Gantt Chart



- Average waiting time = 8.2 msec

Example Priority

- Covered in class

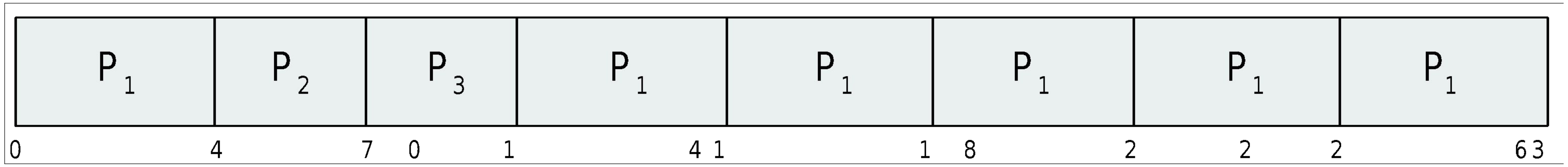
Round Robin (RR)

- Each process gets a small unit of CPU time (**time quantum** q), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q, then each process gets $1/n$ of the CPU time in chunks of at most q time units at once. No process waits more than $(n-1)q$ time units.
- Timer interrupts every quantum to schedule next process
- Performance
  - q large $\Rightarrow$ FIFO
  - q small $\Rightarrow$ q must be large with respect to context switch, otherwise overhead is too high

Example of RR with Time Quantum = 4

Process	Burst Time
P_1	24
P_2	3
P_3	3

- The Gantt chart is:



- ***Completion time*** **=** *P1=30; P2=7; P3=10*
- *TAT =P1= (30-0); P2=(7-4); P3=(10-7)*
- ***Avg. TAT=***

Example RR

- Covered in class

Multilevel Queue

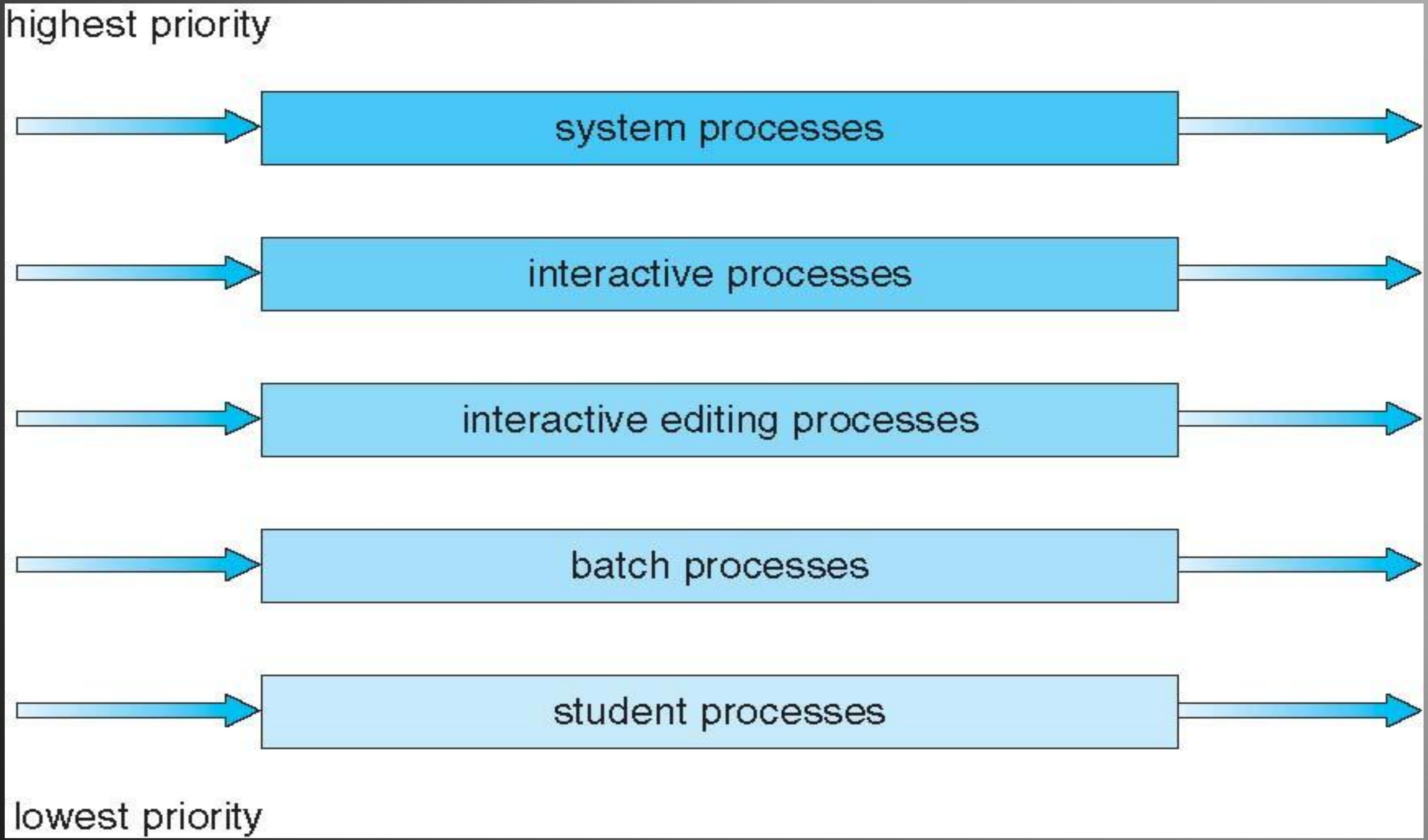
- Process is assigned to one queue based on memory size, priority, process type.

- Ready queue is partitioned into separate queues, eg:
  - **foreground** (interactive)
  - **background** (batch)

- Each queue has its own scheduling algorithm:
  - foreground – RR
  - background – FCFS

- Scheduling must be done between the queues:
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice – each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR
  - 20% to background in FCFS

Multilevel Queue Scheduling



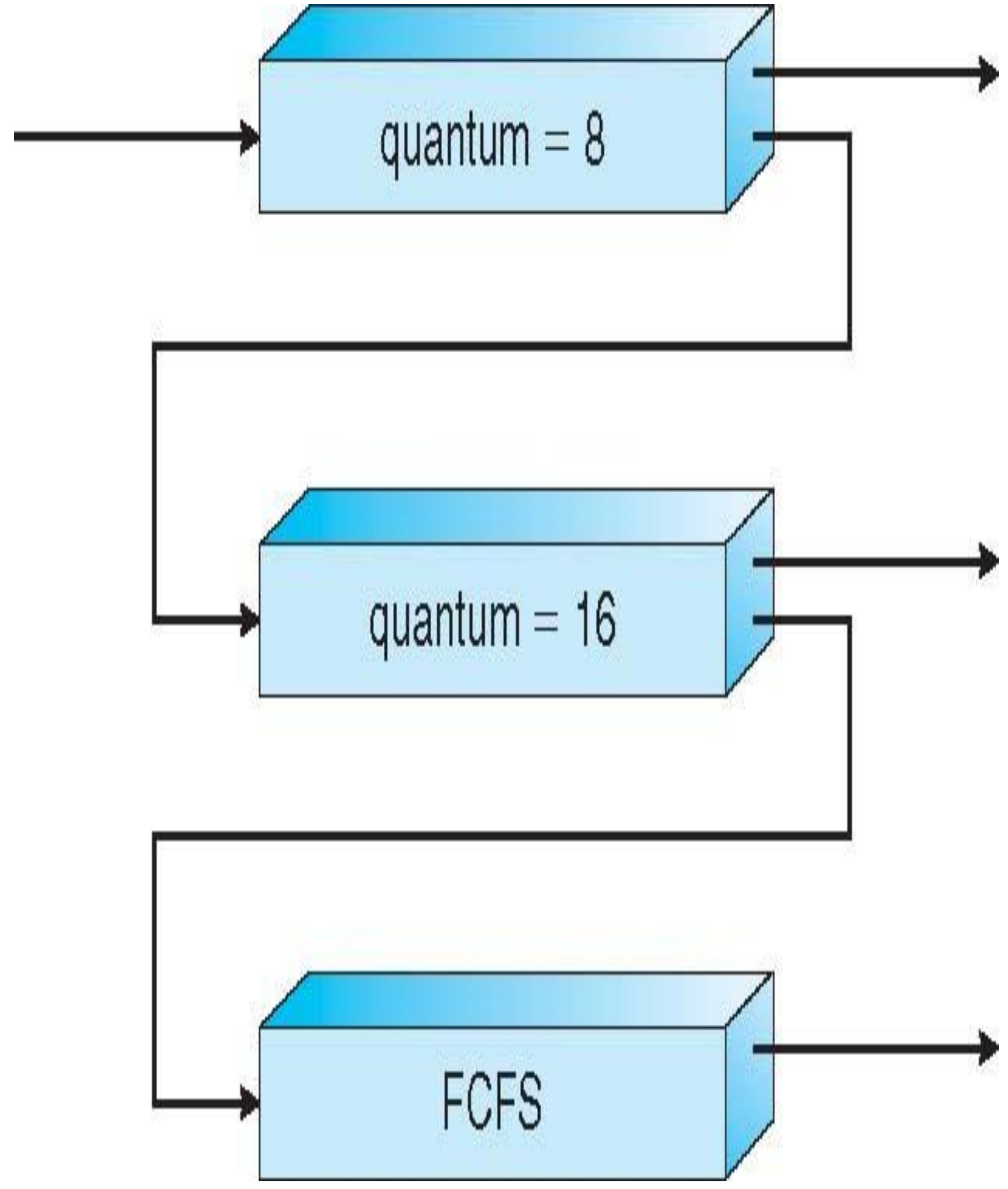
Multilevel Feedback Queue

- Idea is to separate process according to CPU burst time
- If a process uses too much CPU time, it is moved to low priority
- If a process waits too long (aging) in low priority then it is moved to high priority

- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service

Example of Multilevel Feedback Queue

- Three queues:
  - Q_0 – RR with time quantum 8 milliseconds
  - Q_1 – RR time quantum 16 milliseconds
  - Q_2 – FCFS
- Scheduling
  - A new job enters queue Q_0 which is served FCFS
    - When it gains CPU, job receives 8 milliseconds
    - If it does not finish in 8 milliseconds, job is moved to queue Q_1
  - At Q_1 job is again served FCFS and receives 16 additional milliseconds
    - If it still does not complete, it is preempted and moved to queue Q_2



Multiple-Processor Scheduling

- CPU scheduling more complex when multiple CPUs are available
- **Homogeneous processors** within a multiprocessor
- **Asymmetric multiprocessing** – only one processor accesses the system data structures, alleviating the need for data sharing
- **Symmetric multiprocessing** (**SMP**) – each processor is self-scheduling, all processes in common ready queue, or each has its own private queue of ready processes

Multiple-Processor Scheduling

- **Processor affinity** – process has affinity for processor on which it is currently running
- **soft affinity:** When an operating system has a policy of attempting to keep a process running on the same processor—but not guaranteeing that it will do so known as **soft affinity**.
- **hard affinity:** allowing a process to specify a subset of processors on which it may run.

Multiple-Processor Scheduling – Load Balancing

- If SMP, need to keep all CPUs loaded for efficiency
- **Load balancing** attempts to keep workload evenly distributed
- **Push migration** – periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs
- **Pull migration** – idle processors pulls waiting task from busy processor

Real-Time CPU Scheduling

- **Soft real-time systems** – no guarantee as to when critical real-time process will be scheduled
- **Hard real-time systems** – task must be serviced by its deadline
- Two types of latencies affect performance
  1. Interrupt latency – time from arrival of interrupt to start of routine that services interrupt
  2. Dispatch latency – time for schedule to take current process off CPU and switch to another