

# CPEN 502

## Assignment 2 Report

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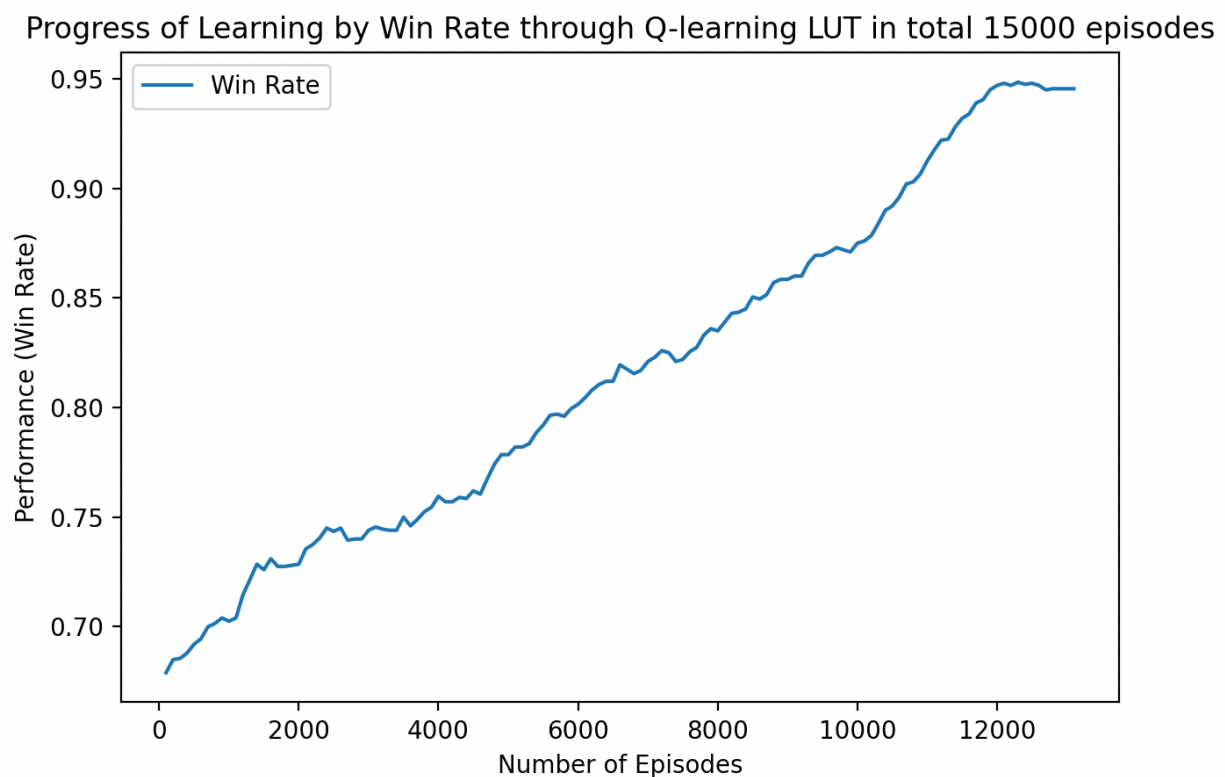
## Question 2a

**(2) Once you have your robot working, measure its learning performance as follows:**

**a) Draw a graph of a parameter that reflects a measure of progress of learning and comment on the convergence of learning of your robot.**

I chose win rate (number of winning rounds in every 100 episodes) to indicate the progress of learning. As the graph below shows, the win rate increases as the number of episodes increases from 0 to 15000. At around 12000 episodes, the win rate performance seems to converge to around 0.95.

Note: In order to better show the trend, moving average is used to smooth out fluctuations in data, which is likely the reason why the x slightly shifted.

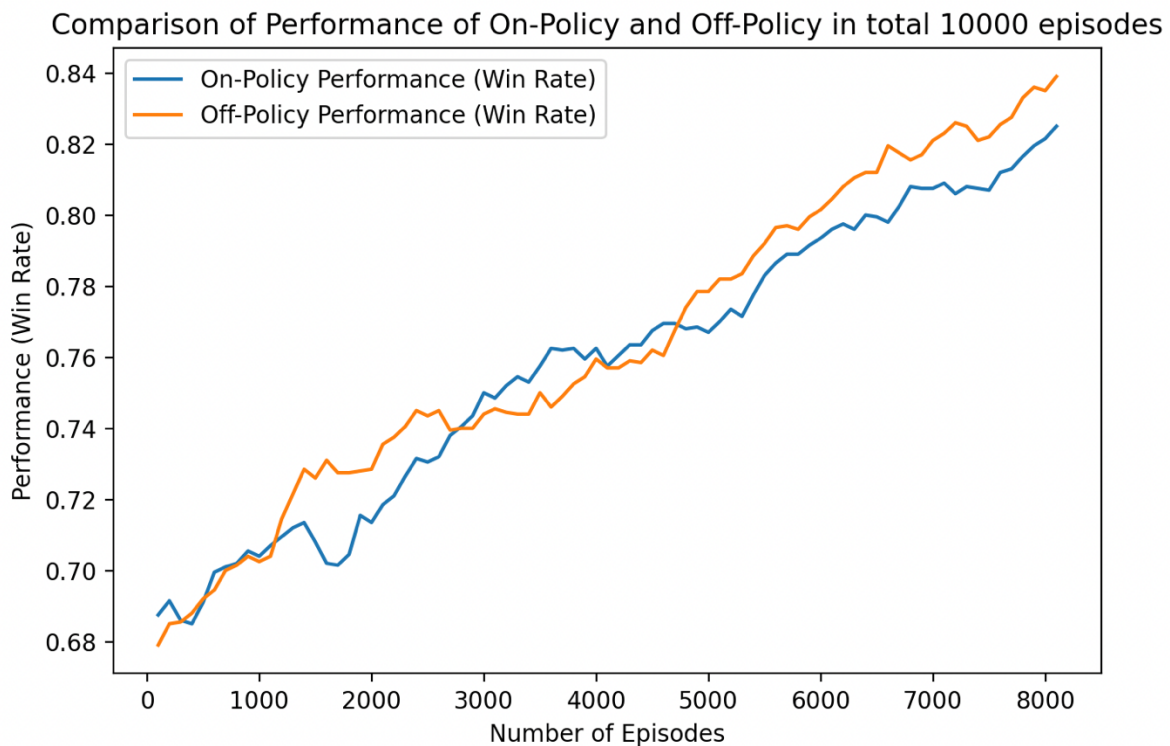


## Question 2b

**b) Using your robot, show a graph comparing the performance of your robot using on-policy learning vs off-policy learning.**

I compared the performance (using win rate in every 100 episodes) using on-policy learning vs off-policy learning in 10000 episodes. As the graph below shows, the off-policy learning seems ultimately better than on-policy training when the number of episodes is relatively large.

Note: In order to better show the trend, moving average is used to smooth out fluctuations in data, which is likely the reason why the x slightly shifted.

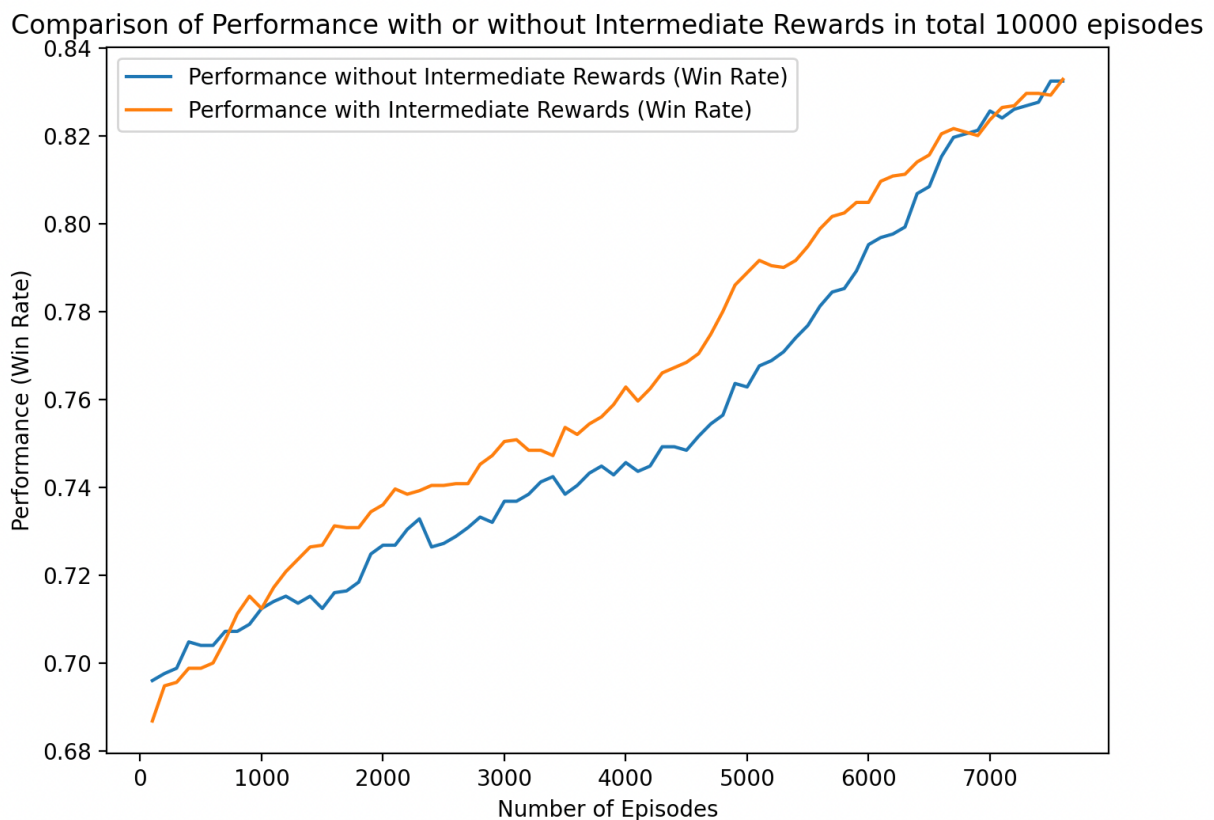


## Question 2c

**c) Implement a version of your robot that assumes only terminal rewards and show & compare its behaviour with one having intermediate rewards.**

I compared the performance (using win rate in every 100 episodes) using only terminal rewards vs using both terminal and intermediate rewards in 10000 episodes. As the graph below shows, the one using both terminal and intermediate rewards seems to make progress faster. However they don't seem to have significant difference performance once the number of episodes is relatively large.

Note: In order to better show the trend, moving average is used to smooth out fluctuations in data, which is likely the reason why the x slightly shifted.



### Question 3a

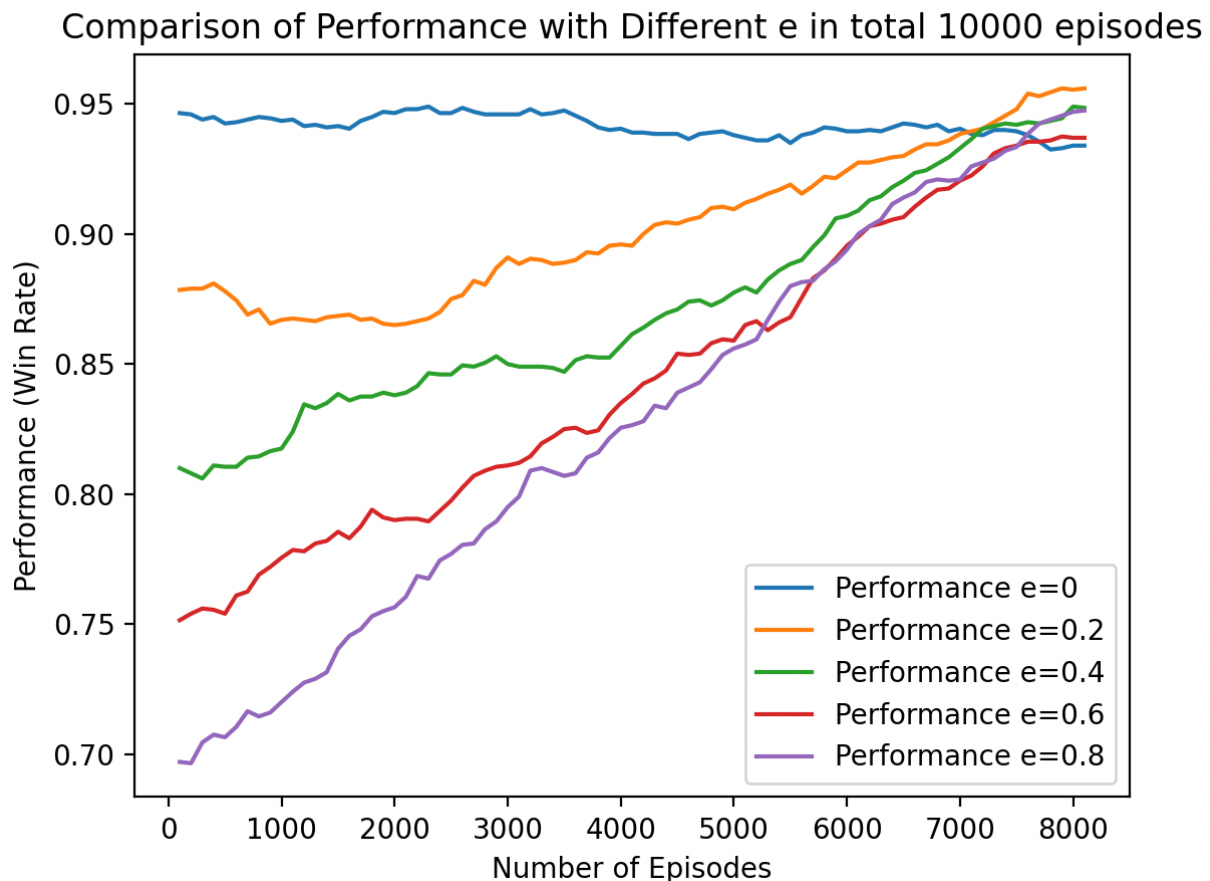
**(3) This part is about exploration. While training via RL, the next move is selected randomly with probability  $\epsilon$  and greedily with probability  $1-\epsilon$ .**

**a) Compare training performance using different values of  $\epsilon$  including no exploration at all. Provide graphs of the measured performance of your tank vs  $\epsilon$ .**

I compared the performance (using win rate in every 100 episodes) using different epsilon  $\epsilon$  in 10000 episodes. In every case,  $\epsilon$  is gradually decaying to 0 in the first 80% episodes, and staying at 0 in the last 20% episodes in order to be compared fairly.

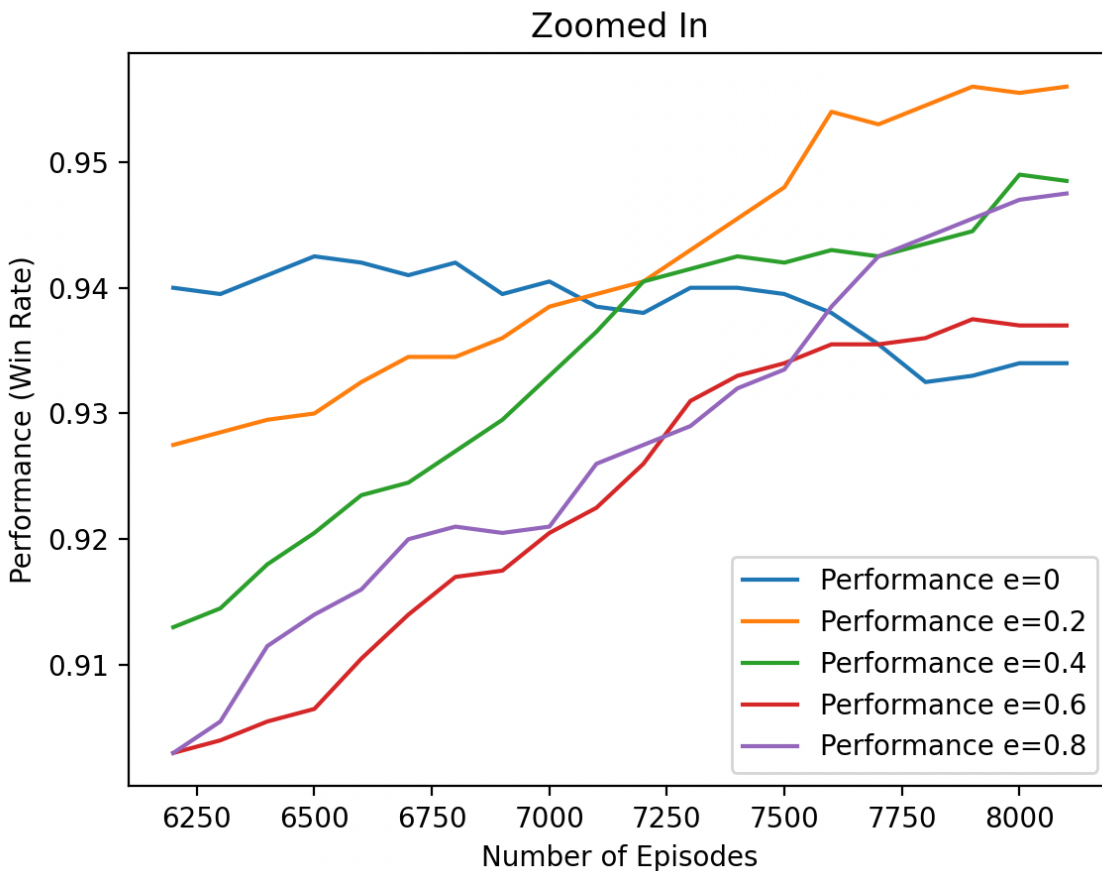
As the graph below shows, during the  $\epsilon$  decaying stage, learning processes using different  $\epsilon$  are all improving except  $\epsilon=0$ . During the measuring stage, we can see using  $\epsilon>0$  would have better performance than  $\epsilon=0$ . (see a zoomed-in graph in the next page)

Note: In order to better show the trend, moving average is used to smooth out fluctuations in data, which is likely the reason why the x slightly shifted.



This graph below is a zoomed-in portion of the graph above, to provide a close look at the measuring stage in the last 20% episodes. In which we can clearly see that using  $e > 0$  would have better performance than  $e=0$ . In this specific case,  $e=0.2$  has the best performance, followed with  $e=0.4$ ,  $e=0.8$ ,  $e=0.6$ , and  $e=0$  has the worst.

Note: In order to better show the trend, moving average is used to smooth out fluctuations in data, which is likely the reason why the x slightly shifted.



## Appendix for source code

## MyLUTRobot.java

```

1 package Robot.My502Robot;
2
3 import LUT.LUT;
4 import Robot.Action;
5 import Robot.State;
6 import robocode.*;
7
8 import java.awt.*;
9 import java.io.IOException;
10 import java.io.PrintStream;
11 import java.util.Arrays;
12 import java.util.Date;
13
14 import static robocode.util.Utils.normalRelativeAngleDegrees;
15
16
17 public class MyLUTRobot extends AdvancedRobot {
18     private enumOperationalMode operationalMode = enumOperationalMode.scan;
19     // private String weightsFileName = getClass().getSimpleName() + "-weights.txt";
20     private String logFileName = getClass().getSimpleName() + "-" + "qValues" + new Date().toString() + ".dat";
21
22     private String logFileNameWinRate = getClass().getSimpleName() + "-" + "winRate" + new Date().toString() + ".dat";
23
24     private String logFileNameEpsilonList = getClass().getSimpleName() + "-" + "epsilonList" + new Date().toString() + ".dat";
25     static private LUT lut = new LUT();
26     // private String[] outputLog;
27     private double curR;
28     private double goodTerminalReward = 1;
29     private double badTerminalReward = -1;
30     private double totalR = 0;
31
32
33
34
35     static double alpha = 0.2;
36
37     static double gamma = 1;
38     static int totalNumRounds = 0;
39     static int numRoundTo100 = 0;
40     static int numWins = 0;
41     // for question2(a), used 15000, other times used 10000
42     static int desiredTotalRounds = 300;
43     static double[] winRatePer100 = new double[desiredTotalRounds/100];
44
45     // for question3(a), try e = 0, 0.2, 0.4, 0.6 and 0.8(default)
46     static double epsilon = 0;
47     static double epsilon_init = 0;
48     static double[] epsilonList = new double[winRatePer100.length];
49
50
51     static int numOfRoundsToDecayE = (int) (desiredTotalRounds * 0.8);
52     static double decayEStepSize = epsilon_init/numOfRoundsToDecayE;
53
54     public enum enumOperationalMode {scan, performAction};
55     private double oE;
56     private double oD;
57     private double oV;
58     private double oB;
59     private double eH;
60
61     private State curS, preS;
62     private Action curA, preA;
63
64     public void run() {
65         initialize();
66         setColor();
67
68         while (true) {
69             switch (operationalMode) {
70                 case scan: {
71                     turnRadarLeft(360);
72                     curR = 0; // reset curR to 0 when scan again
73                     break;
74                 }
75                 case performAction: {
76                     if (Math.random() <= epsilon)
77                         curA = selectRandomAction();
78                     else
79                         curA = bestAction(curS);
80
81                     switch (curA) {
82                         case ATTACK: {
83                             turnGunRight(normalRelativeAngleDegrees(getHeading() - getGunHeading() + oB));
84                             fire(2);
85                             execute();
86                             break;
87                         }
88                     }
89                 }
90             }
91         }
92     }
93 }

```



```

88         case RUN_AWAY: {
89             turnRight(normalRelativeAngleDegrees(90 - (getHeading() - eH)));
90             ahead(50);
91             execute();
92             break;
93         }
94         // deleted this action because it does not seem to be useful
95         // case CHASE: {
96         //     turnRight(oB);
97         //     setVelocityRate(3);
98         //     ahead(50);
99         //     execute();
100        //     break;
101        // }
102    }
103
104    // update Q value for (s,a)
105    lut.train(preS.transformToX(preA), computeQ(preS, curS, curR));
106
107    //TODO: for assignment 3
108    replayMemory.add(new Experience(pres, preA, curR, curS));
109    replayExperience(replayMemory);
110
111    this.operationalMode = enumOperationalMode.scan;
112 }
113 }
114 }
115 }
116 }
117
118 private void setColor() {
119     setBodyColor(Color.yellow);
120     setGunColor(Color.black);
121     setRadarColor(Color.red);
122     setBulletColor(Color.white);
123     setScanColor(Color.white);
124 }
125
126 private void initialize() {
127     curS = new State(getEnergy(), 100, getX(), getY(), 100, getVelocity(), 0.2);
128     curA = Action.values()[0];
129
130     preS = curS;
131     preA = curA;
132 }
133
134 private Action bestAction(State curS) {
135     double bestQ = -Double.MAX_VALUE;
136     int bestAindex = 0;
137     double[] X = curS.transformToX();
138     double[] newX = Arrays.copyOf(X, X.length + 1);
139     for (int i = 0; i < Action.values().length; i++) {
140         newX[X.length] = i;
141         double q = lut.outputFor(newX);
142         if (q > bestQ) {
143             // bestQ = q;
144             bestAindex = i;
145         }
146     }
147     Action bestA = Action.values()[bestAindex];
148     return bestA;
149 }
150
151 private double bestActionQ(State curS) {
152     double bestQ = 0;
153     int bestAindex = 0;
154     double[] X = curS.transformToX();
155     double[] newX = Arrays.copyOf(X, X.length + 1);
156     for (int i = 0; i < Action.values().length; i++) {
157         newX[X.length] = i;
158         double q = lut.outputFor(newX);
159         if (q > bestQ) {
160             bestQ = q;
161             bestAindex = i;
162         }
163     }
164     Action bestA = Action.values()[bestAindex];
165     return bestQ;
166 }
167
168 private double computeQ(State preS, State curS, double r) {
169     // off-policy, q learning
170     // take action, observe r, s' (find the best a' and update Q(s,a))
171     // Q(s,a) = Q(s, a) + alpha(r + gamma * max(Q(s', a')) - Q(s,a))
172     double oldQ = lut.outputFor(preS.transformToX(preA));
173     double maxNextQ = bestActionQ(curS);
174     return oldQ + alpha * (r + gamma * maxNextQ - oldQ);
175 }
176
177 // on-policy
178 // double oldQ = lut.outputFor(preS.transformToX(preA));

```

```

179 //      double curQ = lut.outputFor(curS.transformToX(curA));
180 //      return oldQ + alpha * (r + gamma * curQ - oldQ);
181 }
182
183 private Action selectRandomAction() {
184     int numOfChoice = Action.values().length;
185     return Action.values()[((int) (Math.random() * numOfChoice))];
186 }
187
188 //      public void replayExperience(ReplayMemory rm){
189 //          int ms = rm.sizeOf();
190 //          int requestedSs = (ms < MAX)
191 //      }
192
193 public void onScannedRobot(ScannedRobotEvent e) {
194     // update preS, preA; update curS
195     preS = curS;
196     preA = curA;
197     curS = new State(getEnergy(), e.getEnergy(), getX(), getY(), e.getDistance(), getVelocity(), e.getVelocity());
198     oB = e.getBearing();
199     eH = e.getHeading();
200
201     this.operationalMode = enumOperationalMode.performAction;
202 }
203
204 public void onWin(WinEvent e) {
205     System.out.println("I win!!!!!!!!!!!!");
206     numWins++;
207
208     curR = goodTerminalReward;
209     totalR += curR;
210
211     lut.train(preS.transformToX(preA), computeQ(preS, curS, curR));
212     //TODO: can add stat
213 }
214
215 public void onDeath(DeathEvent e) {
216     System.out.println("I lose.");
217     curR = badTerminalReward;
218     totalR += curR;
219
220     lut.train(preS.transformToX(preA), computeQ(preS, curS, curR));
221     //TODO: can add stat
222 }
223
224 //////////////////////////////////////////////////intermediate rewards start////////////////////////////////
225 public void onBulletHit(BulletHitEvent e) {
226     curR = +0.4;
227     totalR += curR;
228
229     //lut.train(preS.transformToX(), computeQ(preS, curS, curR));
230 }
231
232 public void onBulletMissed(BulletMissedEvent e) {
233     curR = -0.01;
234     totalR += curR;
235 }
236
237 public void onHitByBullet(HitByBulletEvent event) {
238     curR = -0.2;
239     totalR += curR;
240 }
241
242 public void onHitWall(HitWallEvent event) {
243     curR = -0.01;
244     totalR += curR;
245 }
246 //////////////////////////////////////////////////intermediate rewards end////////////////////////////////
247 public void onRoundEnded(RoundEndedEvent event) {
248
249     if(totalNumRounds < numOfRoundsToDecayE){
250         if(epsilon > 0 & epsilon > decayEStepSize){
251             epsilon -= decayEStepSize; // so e decaying to 0 in the first 80% round
252         }
253     }else{
254         epsilon=0;
255     }
256
257     totalNumRounds++;
258     if(totalNumRounds % 100 == 0){
259         int index = totalNumRounds / 100 - 1;
260         winRatePer100[index] = numWins;
261         epsilonList[index] = epsilon;
262
263         out.println("The round has ended and the winRatePer100[] updated");
264         out.println("totalNumRounds"+ totalNumRounds);
265         out.println("winRatePer100" + winRatePer100[index]);
266         out.println("numWins" + numWins);
267         numWins = 0; // reset
268         out.println("numWins set to 0 again");
269     }

```

```

270     }
271     System.out.println("round ended");
272 }
273
274
275 public void onBattleEnded(BattleEndedEvent e)
276 {
277     finalWriteQ();
278     finalWriteWins();
279     finalWriteEpsilonList();
280 }
281
282 private void finalWriteEpsilonList() {
283     PrintStream w = null;
284     try {
285         w = new PrintStream(new RobocodeFileOutputStream(getDataFile(logFileNameEpsilonList)));
286         for(double e: epsilonList){
287             w.println(e);
288         }
289         if (w.checkError()) {
290             out.println("I could not write the finalWriteEpsilonList!");
291         }
292     } catch (IOException e) {
293         out.println("IOException trying to write: ");
294         e.printStackTrace(out);
295     } finally {
296         if (w != null) {
297             w.close();
298         }
299     }
300 }
301
302 private void finalWriteWins() {
303     PrintStream w = null;
304     try {
305         w = new PrintStream(new RobocodeFileOutputStream(getDataFile(logFileNameWinRate)));
306         for(double winR: winRatePer100){
307             w.println(winR);
308         }
309         if (w.checkError()) {
310             out.println("I could not write the winRatePer100!");
311         }
312     } catch (IOException e) {
313         out.println("IOException trying to write: ");
314         e.printStackTrace(out);
315     } finally {
316         if (w != null) {
317             w.close();
318         }
319     }
320 }
321
322 private void finalWriteQ(){
323     PrintStream w = null;
324     try {
325         w = new PrintStream(new RobocodeFileOutputStream(getDataFile(logFileName)));
326         double[] qs = lut.getQValues();
327         for(double q: qs){
328             w.println(q);
329             // PrintStreams don't throw IOExceptions during prints, they simply set a flag.... so check it here.
330             if (w.checkError()) {
331                 out.println("I could not finalWriteQ!");
332             }
333         }
334     } catch (IOException e) {
335         out.println("IOException trying to write: ");
336         e.printStackTrace(out);
337     } finally {
338         if (w != null) {
339             w.close();
340         }
341     }
342 }
343
344 }
345
346 }
347
348 }
349
350 }
351 }
352

```

## LUT.java

```

1  package LUT;
2
3  import Interface.LUTInterface;
4  import Robot.Action;
5  import Robot.State;
6
7  import java.io.File;
8  import java.io.IOException;
9
10 public class LUT implements LUTInterface {
11     private double[] qValues;
12     private int numOfStates;
13     private int numOfActions;
14     /**
15     * Constructor. (You will need to define one in your implementation)
16     * @param argNumInputs The number of inputs in your input vector
17     * @param argVariableFloor An array specifying the lowest value of each variable in the input vector.
18     * @param argVariableCeiling An array specifying the highest value of each of the variables in the input vector.
19     * The order must match the order as referred to in argVariableFloor. *
20     */
21     /// public LUT( int argNumInputs, int [] argVariableFloor, int [] argVariableCeiling ){
22     public LUT(){
23         numOfStates = State.possibleStates;
24         numOfActions = Action.values().length;
25         int totalStates = 0;
26         for(int i = 0; i < argNumInputs-1; i++){
27             totalStates += (argVariableCeiling[i] - argVariableFloor[i]);
28         }
29         this.numOfStates = totalStates;
30         this.numOfActions = argVariableCeiling[argNumInputs] - argVariableFloor[argNumInputs];
31         initialiseLUT();
32     }
33
34     /**
35     * Initialise the look up table to all zeros.
36     */
37     @Override
38     public void initialiseLUT() {
39         this.qValues = new double[numOfStates * numOfActions];
40     }
41
42     /**
43     * A helper method that translates a vector being used to index the
44     * look up table into an ordinal that can then be used to access
45     * the associated look up table element.
46     * @param X The state action vector used to index the LUT.LUT
47     * @return The index where this vector maps to
48     */
49     @Override
50     public int indexFor(double[] X) {
51         // X = state + action
52         // form the stateVec from copying first n-1 element from X
53         // so that it can be used as a parameter to form a State object
54         // so that we can use getIndex function in State.class to index
55         double[] stateVec = new double[X.length-1];
56         for (int i = 0; i < stateVec.length; i++) {
57             stateVec[i] = X[i];
58         }
59         State state = new State(stateVec[0], stateVec[1], stateVec[2], stateVec[3], stateVec[4], stateVec[5], stateVec[6]);
60         int index = state.getIndex((int) X[X.length]);
61         // we can form the action from X, but it is useless
62         // Action action = Action.values()[X[X.length]];
63         return index;
64     }
65
66     @Override
67     public int indexFor(double[] X) {
68         // X = state(size=5) + action (size=1) -> X length = 6
69         State state = new State((int)X[0], (int)X[1], (int)X[2], (int)X[3], (int)X[4]);
70         int actionIndex = (int) X[5];
71         int index = state.getIndex(actionIndex);
72         return index;
73     }
74
75     @Override
76     public double outputFor(double[] X) {
77         double output = 0.0;
78         try {
79             output = qValues[indexFor(X)];
80         } catch (Exception e) {
81             //return output;
82         }
83     }
84 }

```

```
88     } catch (ArrayIndexOutOfBoundsException e) {
89         System.out.println("Error: " + e.getMessage());
90         for(double x: X){
91             System.out.println(x);
92         }
93     }
94     return output;
95     // return qValues[indexFor(X)];
96 }
97 public double[] getQValues(){
98     return qValues;
99 }
100
101 @Override
102 public double train(double[] X, double argValue) {
103     qValues[indexFor(X)] = argValue;
104     return 0;
105 }
106
107 @Override
108 public void save(File argFile) {
109
110 }
111
112 @Override
113 public void load(String argFileName) throws IOException {
114
115 }
116
117 }
118
```

## State.java

```

1  package Robot;
2
3  public class State {
4      static final int numOfLevelForDistance = 5;
5      static final int disForTooCloseToWall = 100;
6      static final int numOfLevelForEnergy = 5;
7
8      public static final int possibleStates = numOfLevelForDistance * numOfLevelForEnergy * numOfLevelForEnergy * 2 * 2;
9      private int disL;
10     private int isCloseToW;
11     private int myEL;
12     private int oEL;
13     private int isFaster;
14     public State(double myE, double oE, double myX, double myY, double oD, double myV, double oV){
15         // disL: distance level between the enemy and our robot: low(1), high(numOfLevelForDistance)
16         // closeToW: if it is too close to wall: yes(1), no(-2)
17         // elMy: my energy level: low(1), high(numOfLevelForEnergy)
18         // elO: enemy's energy level: low(1), high(numOfLevelForEnergy)
19         // total possible state: numOfLevelForDistance * numOfLevelForEnergy * numOfLevelForEnergy * 2 * 2
20         this.myEL = computeEnergyLevel(myE);
21         this.oEL = computeEnergyLevel(oE);
22         this.disL = computeDistanceLevel(oD);
23         this.isCloseToW = computeTooCloseToWall(myX, myY);
24         this.isFaster = computeIsFaster(oV, myV);
25     }
26
27
28     public State(int myE, int oEL, int disL, int isCloseToW, int isFaster){
29         this.myEL = myE;
30         this.oEL = oEL;
31         this.disL = disL;
32         this.isCloseToW = isCloseToW;
33         this.isFaster = isFaster;
34     }
35
36
37     /*
38     return the index for this state (among all possible states)
39     */
40     public int getIndex(int actionIndex){
41         int tempForisCloseToW = 0;
42         int tempForisFaster = 0;
43         if(this.isCloseToW == -1){
44             tempForisCloseToW = 1;
45         }else{
46             tempForisCloseToW = 2;
47         }
48
49         if(this.isFaster == -1){
50             tempForisFaster = 1;
51         }else{
52             tempForisFaster = 2;
53         }
54         //int actionIndex = a.ordinal();
55         //int numOfActions = Action.values().length;
56         //return this.myEL*this.oEL*this.disL*tempForisCloseToW*tempForisFaster*numOfActions + actionIndex;
57
58         int NUM_ACTIONS = Action.values().length;
59         return (myEL-1) * (numOfLevelForEnergy * numOfLevelForDistance * 2 * 2 * NUM_ACTIONS)
60             + (oEL-1) * (numOfLevelForDistance * 2 * 2 * NUM_ACTIONS)
61             + (disL-1) * (2 * 2 * NUM_ACTIONS)
62             + (tempForisCloseToW-1) * (2 * NUM_ACTIONS)
63             + (tempForisFaster-1) * NUM_ACTIONS
64             + actionIndex;
65     }
66
67     public double[] transformToX(){
68         double[] X = new double[5];
69         X[0] = this.myEL;
70         X[1] = this.oEL;
71         X[2] = this.disL;
72         X[3] = this.isCloseToW;
73         X[4] = this.isFaster;
74         return X;
75     }
76
77     public double[] transformToX(Action a){
78         double[] X = new double[6];
79         X[0] = this.myEL;
80         X[1] = this.oEL;
81         X[2] = this.disL;

```

```

82     X[3] = this.isCloseToW;
83     X[4] = this.isFaster;
84     X[5] = a.ordinal();
85     return X;
86 }
87
88 private int computeIsFaster(double oV, double myV) {
89     if (oV < myV){
90         return 1; // faster than the opponent
91     }else{
92         return -1;
93     }
94 }
95
96 private int computeEnergyLevel(double e) {
97     double ratio = e / 100.0;
98     int output = (int) Math.ceil(1 + ratio * (numOfLevelForEnergy-1));
99     return output > 5? 5: output; //energy can actually go beyond 100
100    //return (int) Math.ceil(1 + ratio * (numOfLevelForEnergy-1));
101    //return numOfLevelForEnergy - (int) Math.round(myE / numOfLevelForEnergy);
102 }
103
104 private int computeTooCloseToWall(double myX, double myY) {
105     double YtoWall = Math.min(myY, 600-myY);
106     double XtoWall = Math.min(myX, 800-myX);
107     double toWall = Math.min(YtoWall, XtoWall);
108     if (toWall < disForTooCloseToWall){
109         return 1; // to close to wall!
110     }else{
111         return -1;
112     }
113 }
114
115 private int computeDistanceLevel(double oD) {
116     double ratio = oD / 1000.0;
117     return (int) Math.ceil(1 + ratio * (numOfLevelForDistance-1));
118     //return numOfLevelForDistance- (int) Math.round(oD/numOfLevelForDistance);
119 }
120
121 }
122

```

## Action.java

```
1  package Robot;
2
3  public enum Action {
4      //      MOVE_UP,
5      //      MOVE_DOWN,
6      RUN_AWAY,
7      ATTACK;
8      //      CHASE;
9
10 }
11
```



## LUTInterface.java

```
1  package Interface;
2
3  public interface LUTInterface extends CommonInterface {
4
5
6      /**
7       * Initialise the look up table to all zeros.
8       */
9      public void initialiseLUT();
10
11
12
13     /**
14      * A helper method that translates a vector being used to index the
15      * look up table into an ordinal that can then be used to access
16      * the associated look up table element.
17      * @param X The state action vector used to index the LUT.LUT
18      * @return The index where this vector maps to
19      */
20     public int indexFor(double [] X);
21 }
22
```