

# CSEN 703 - Analysis and Design of Algorithms

## Lecture 2 - Asymptotic Analysis

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# Outline

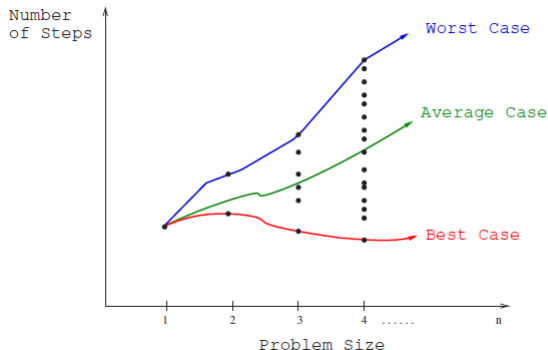
## 1 Motivation

## 2 Asymptotic Notations

## 3 Recap

# In the Previous Lecture

- Analyzing algorithms is mostly about predicting their computational time.
- Using the RAM model, we can count how many steps any algorithm takes on any given input instance.



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- We can determine the exact running time, but the extra precision is usually not worth the effort of computing it.
- As  $n$  grows, the multiplicative constants and lower order terms are dominated by higher order terms.
- **Asymptotic Analysis** allows us to study how the running time increases as the input size increases without bounds ignoring levels of detail that do not impact our comparison of algorithms.

# Growth Rates of Common Functions

$n$	$\lg n$	$n$	$n \lg n$	$n^2$	$2^n$	$n!$
10	0.003 $\mu$ s	0.01 $\mu$ s	0.033 $\mu$ s	0.1 $\mu$ s	1 $\mu$ s	3.63 ms
20	0.004 $\mu$ s	0.02 $\mu$ s	0.086 $\mu$ s	0.4 $\mu$ s	1 ms	77.1 years
30	0.005 $\mu$ s	0.03 $\mu$ s	0.147 $\mu$ s	0.9 $\mu$ s	1 sec	$8.4 \times 10^{15}$ yrs
40	0.005 $\mu$ s	0.04 $\mu$ s	0.213 $\mu$ s	1.6 $\mu$ s	18.3 min	
50	0.006 $\mu$ s	0.05 $\mu$ s	0.282 $\mu$ s	2.5 $\mu$ s	13 days	
100	0.007 $\mu$ s	0.1 $\mu$ s	0.644 $\mu$ s	10 $\mu$ s	$4 \times 10^{13}$ yrs	
1,000	0.010 $\mu$ s	1.00 $\mu$ s	9.966 $\mu$ s	1 ms		
10,000	0.013 $\mu$ s	10 $\mu$ s	130 $\mu$ s	100 ms		
100,000	0.017 $\mu$ s	0.10 ms	1.67 ms	10 sec		
1,000,000	0.020 $\mu$ s	1 ms	19.93 ms	16.7 min		
10,000,000	0.023 $\mu$ s	0.01 sec	0.23 sec	1.16 days		
100,000,000	0.027 $\mu$ s	0.10 sec	2.66 sec	115.7 days		
1,000,000,000	0.030 $\mu$ s	1 sec	29.90 sec	31.7 years		

**Figure:** How long algorithms that use  $f(n)$  operations take to run on a fast computer, where each operation costs one nanosecond.

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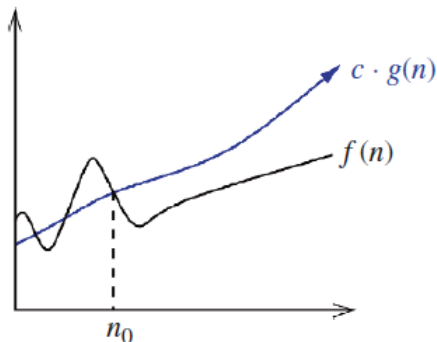
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# Big-Oh Notation - Asymptotic Upper Bound



## Definition

$O(g(n)) = \{f(n) \mid \text{there exist +ve constants } c, n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0\}.$

# Big-Oh Notation

## Key Takeaway

The statement  $f(n) = O(g(n))$  means that the growth rate of  $f(n)$  is **no more** than the growth rate of  $g(n)$ . It is useful for analyzing the **worst case**.

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## Big-Oh Rule

$f(n) = O(g(n))$  where  $g(n)$  is  $f(n)$  after **dropping lower-order terms and constant factors**.

# Big-Oh Notation



**jwcarroll**  
@jwcarroll



Alternative Big O notation:

$O(1) = O(\text{yeah})$

$O(\log n) = O(\text{nice})$

$O(n) = O(\text{ok})$

$O(n^2) = O(\text{my})$

$O(2^n) = O(\text{no})$

$O(n!) = O(\text{mg!})$

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# Big-Oh Examples

## Example

- Is  $2n + 10 = O(n)$ ?

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$$2n + 10 \leq cn$$

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Choose  $c = 3$  and  $n_0 = 10$

- Is  $3 \log(n) + 5 = O(\log(n))$ ?

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Choose  $c = 8$  and  $n_0 = 2$

# Big-Oh Example

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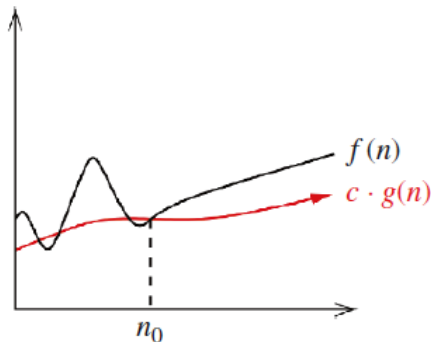
- Is  $n^2 = O(n)$ ?

$$n^2 \leq cn$$

$$n \leq c$$

Impossible to satisfy since  $c$  must be a constant!

## Big-Omega Notation - Asymptotic Lower Bound



## Definition

$\Omega(g(n)) = \{f(n) \mid \text{there exist +ve constants } c, n_0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0\}.$

# Big-Omega Notation

## Key Takeaway

The statement  $f(n) = \Omega(g(n))$  means that the growth rate of  $f(n)$  is **not less** than the growth rate of  $g(n)$ . It is useful for analyzing the **best case**.

# Big-Omega Examples

## Example

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$$2n^2 + 1 \geq cn$$

$$2n + \frac{1}{n} \geq c$$



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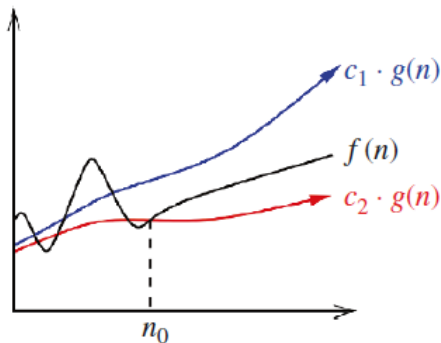
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$$2n^2 + 1 \geq cn$$

$$2n + \frac{1}{n} \geq c$$

Choose  $c = 3$  and  $n_0 = 1$

# Big-Theta Notation - Asymptotic Tight Bound



## Definition

$\Theta(g(n)) = \{f(n) \mid \text{there exist +ve constants } c_1, c_2, n_0 \text{ such that } 0 \leq c_2 g(n) \leq f(n) \leq c_1 g(n) \text{ for all } n \geq n_0\}.$

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## Observation

If  $f(n) = \Theta(g(n))$  then  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

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Choose  $c = 5$  and  $n_0 = 1$

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# Properties of Asymptotic Notations

## ① Transitivity.

- If  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ , then  $f(n) = O(h(n))$ .
- If  $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$ , then  $f(n) = \Omega(h(n))$ .
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## ② Reflexivity.

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- $f(n) = O(f(n))$ .
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## ③ Symmetry.

- $f(n) = \Theta(g(n))$  iff  $g(n) = \Theta(f(n))$ .

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## ③ Symmetry.

- $f(n) = \Theta(g(n))$  iff  $g(n) = \Theta(f(n))$ .

## ④ Complementarity.

- $f(n) = O(g(n))$  iff  $g(n) = \Omega(f(n))$ .

# Other Asymptotic Notations

## Definition (Little-Oh Notation)

$o(g(n)) = \{f(n) \mid \text{for any +ve constant } c, \text{ there is a +ve constant } n_0 \text{ such that } 0 \leq f(n) < c g(n) \text{ for all } n \geq n_0 \}.$

## Definition (Little-Omega Notation)

$\omega(g(n)) = \{f(n) \mid \text{for any +ve constant } c, \text{ there is an } n_0 \text{ such that } 0 \leq f(n) > c g(n) \text{ for all } n \geq n_0 \}.$

# Little-Oh and Little-Omega

## Key Takeaway

- The statement  $f(n) = o(g(n))$  means that the growth rate of  $f(n)$  is **less** than the growth rate of  $g(n)$ .
- The statement  $f(n) = \omega(g(n))$  means that the growth rate of  $f(n)$  is **more** than the growth rate of  $g(n)$ .

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## Example

$2n^2 = O(n^2)$  and  $2n^2 = \Omega(n^2)$  but  $2n^2 \neq o(n^2)$  and  $2n^2 \neq \omega(n^2)$ .



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## Observation

If  $f(n) = o(g(n))$ , then  $f(n) = O(g(n))$ . If  $f(n) = \omega(g(n))$ , then  $f(n) = \Omega(g(n))$ .

# The Limit Test

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- ②  $= \infty$ , then  $f(n) = w(g(n))$ .
- ③  $= c \in \mathbb{R}^+$ , then  $f(n) = \Theta(g(n))$ .

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- ③  $= c \in \mathbb{R}^+$ , then  $f(n) = \Theta(g(n))$ .
- ④  $\neq \infty$ , then  $f(n) = O(g(n))$ .
- ⑤  $\neq 0$ , then  $f(n) = \Omega(g(n))$ .

# The Limit Test - Examples

## Example

Show using the limit test that  $4n^3 + 3n^2 = O(n^4)$  and  $4n^3 + 3n^2 = \Omega(n^2)$ .

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$$\lim_{n \rightarrow \infty} \frac{4n^3 + 3n^2}{n^4}$$
$$\lim_{n \rightarrow \infty} \frac{4}{n} + \frac{3}{n^2}$$
$$= 0$$

$$\lim_{n \rightarrow \infty} \frac{4n^3 + 3n^2}{n^2}$$
$$\lim_{n \rightarrow \infty} 4n + 3$$
$$= \infty$$

# The Limit Test - Examples

## Example

Show using the limit test that  $\log_{10}(n) = \Theta(\ln(n))$ .

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Show using the limit test that  $\log_{10}(n) = \Theta(\ln(n))$ .

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{\log_{10}(n)}{\ln(n)} &= \lim_{n \rightarrow \infty} \frac{\frac{1}{n \ln(10)}}{\frac{1}{n}} \\ &= \frac{1}{\ln(10)} = 0.434 \in \mathbb{R}^+.\end{aligned}$$



# Useful Logarithmic Identities

- $\log(n) = \log_2(n)$
- $\ln(n) = \log_e(n)$
- $\log^k(n) = (\log(n))^k$
- $\log_c(ab) = \log_c(a) + \log_c(b)$
- $\log_c\left(\frac{a}{b}\right) = \log_c(a) - \log_c(b)$
- $\log_b(a^n) = n\log_b(a)$
- $a^{\log_b(c)} = c^{\log_b(a)}$
- $\log_b(a) = \frac{\log_c(a)}{\log_c(b)}$
- $\frac{d}{dn} \ln(n) = \frac{1}{n}$ .
- $\frac{d}{dn} \log_b(n) = \frac{1}{n \ln(b)}$ .

# Final Exercises (1/2)

## Example

Algorithm  $A$  is in  $O(n \log n)$  and algorithm  $B$  is in  $\Omega(n^2)$ . Your friend then claims that given the same input,  $A$  always takes less time to run than  $B$ . **Is your friend right?**

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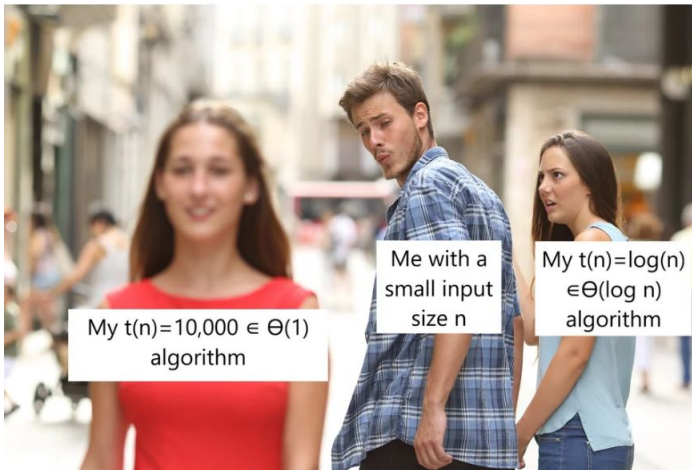
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## Key Takeaway

Larger asymptotic bound does not always mean faster!

## Asymptotic Analysis and Running Time



# Final Exercises (2/2)

## Example

A student has an algorithm which he proved (correctly) runs in  $O(2^n)$ . He coded the algorithm correctly, yet he was surprised when it ran quickly on inputs of size up to a million. **What are possible explanations of this behavior?**

# Outline

1 Motivation

2 Asymptotic Notations

3 Recap

# Points to Take Home

- ① Asymptotic notations.
- ② The limit test.
- ③ **Reading Material:**
  - The Algorithm Design Manual, Chapter 2: Sections 2.1, 2.2, 2.3, and 2.4.
  - Introduction to Algorithms, Chapter 3: Section 3.1.

Next Lecture: Divide and Conquer I

# Due Credits

The presented material is based on:

- ① Previous editions of the course at the GUC due to Dr. Wael Aboulsaadat, Dr. Haythem Ismail, Dr. Amr Desouky, and Dr. Carmen Gervet.
- ② Stony Brook University's Analysis of Algorithms Course.
- ③ MIT's Introduction to Algorithms Course.
- ④ Stanford's Design and Analysis of Algorithms Course.