

# Advanced Algorithms

## Programming course

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# **Strategies for Algorithm Design**

## **Dynamic Programming**

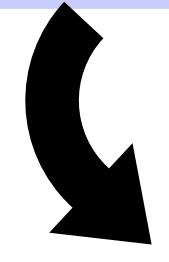
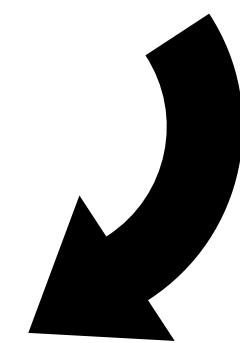
# Dynamic Programming

## Definition

- General, powerful algorithm design technique
- Optimization problems : max/min result

DP≈ “Careful Exhaustive Search”

DP≈ Subproblems + re-use



re-use the solutions of subproblems

main problem → split main problem subproblems → solve subproblems

# Dynamic Programming

## Definition; Fibonacci numbers

0,1,1,2,3,5,8,13,...

$$\begin{cases} F_1 = F_2 = 1 \\ F_n = F_{n-1} + F_{n-2} \end{cases}$$

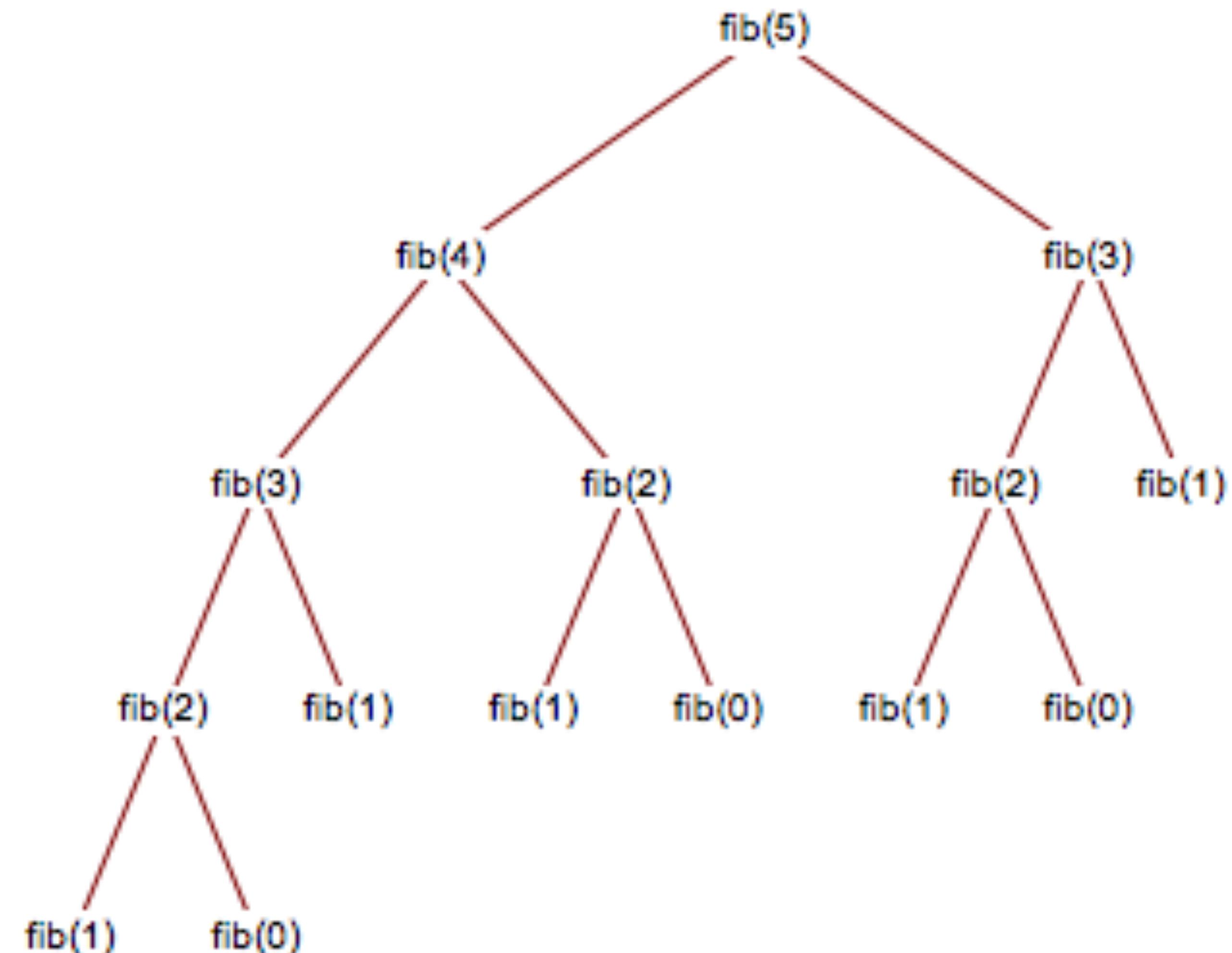
- Goal : compute  $F_n$

# Dynamic Programming

## Fibonacci numbers; Naive Approach

```
fib(n):  
    if n≤1 : f=n  
    else : f = fib(n-1) + fib(n-2)  
return f
```

compute  $\text{fib}(n=5)$  :recursion tree



# Dynamic Programming

## Fibonacci numbers; Naive Approach

```
fib(n):  
    if n≤1 : f=n  
    else : f = fib(n-1) + fib(n-2)  
    return f
```

Time complexity ? exponential time

consider running time as recurrence :

$$T(n) = T(n-1) + T(n-2) + \Theta(1)$$

$$T(n) \geq 2T(n-2) \longrightarrow T(n) = O(2^{n/2})$$

# Dynamic Programming

Fibonacci numbers; DP : Memoization (Top-down)

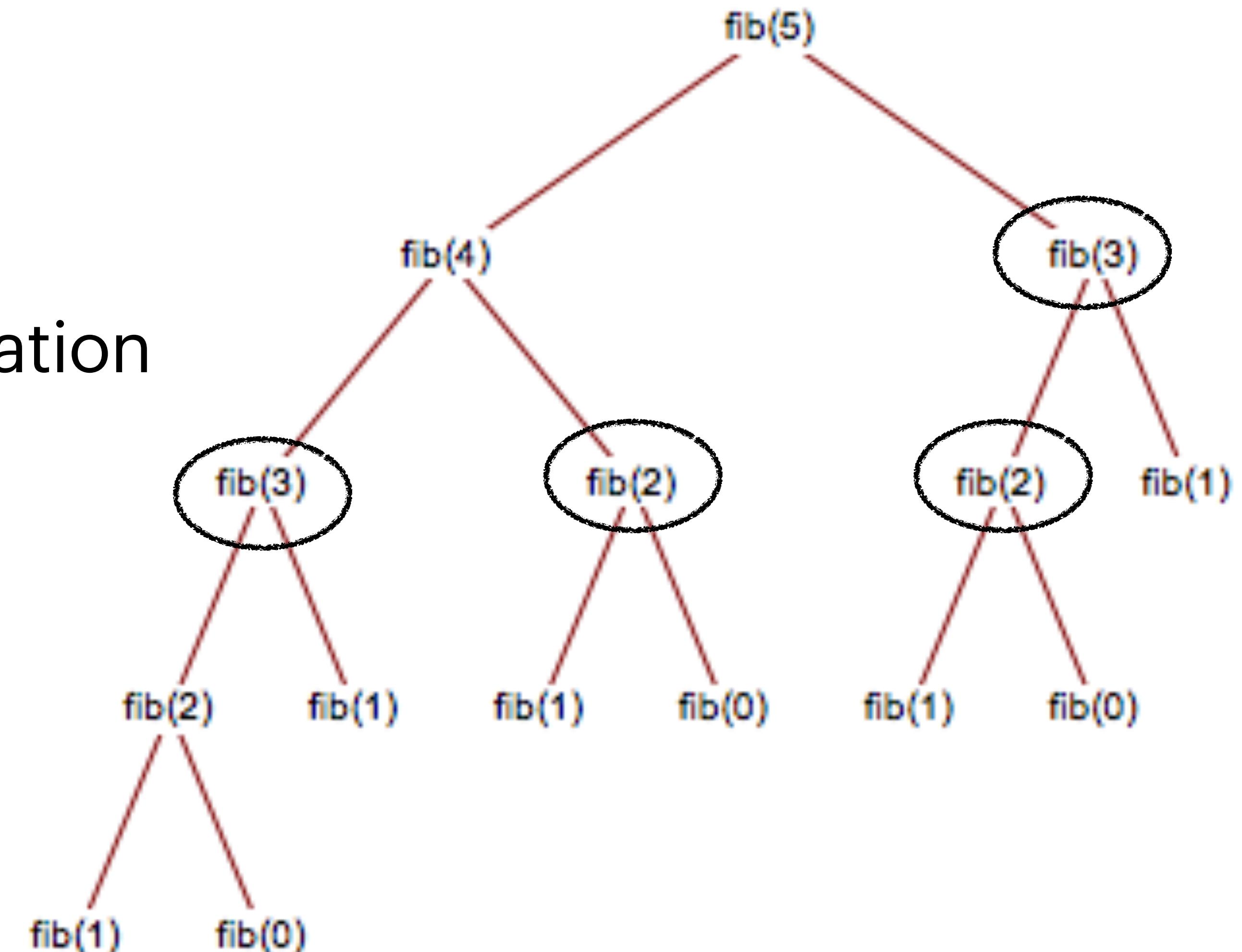
Any better idea?

store the computed result  
somewhere, to avoid re-computation

Fib( $n=5$ ) ; take one global array

initially fill with -1 :

-1	-1	-1	-1	-1	-1
0	1	2	3	4	5



# Dynamic Programming

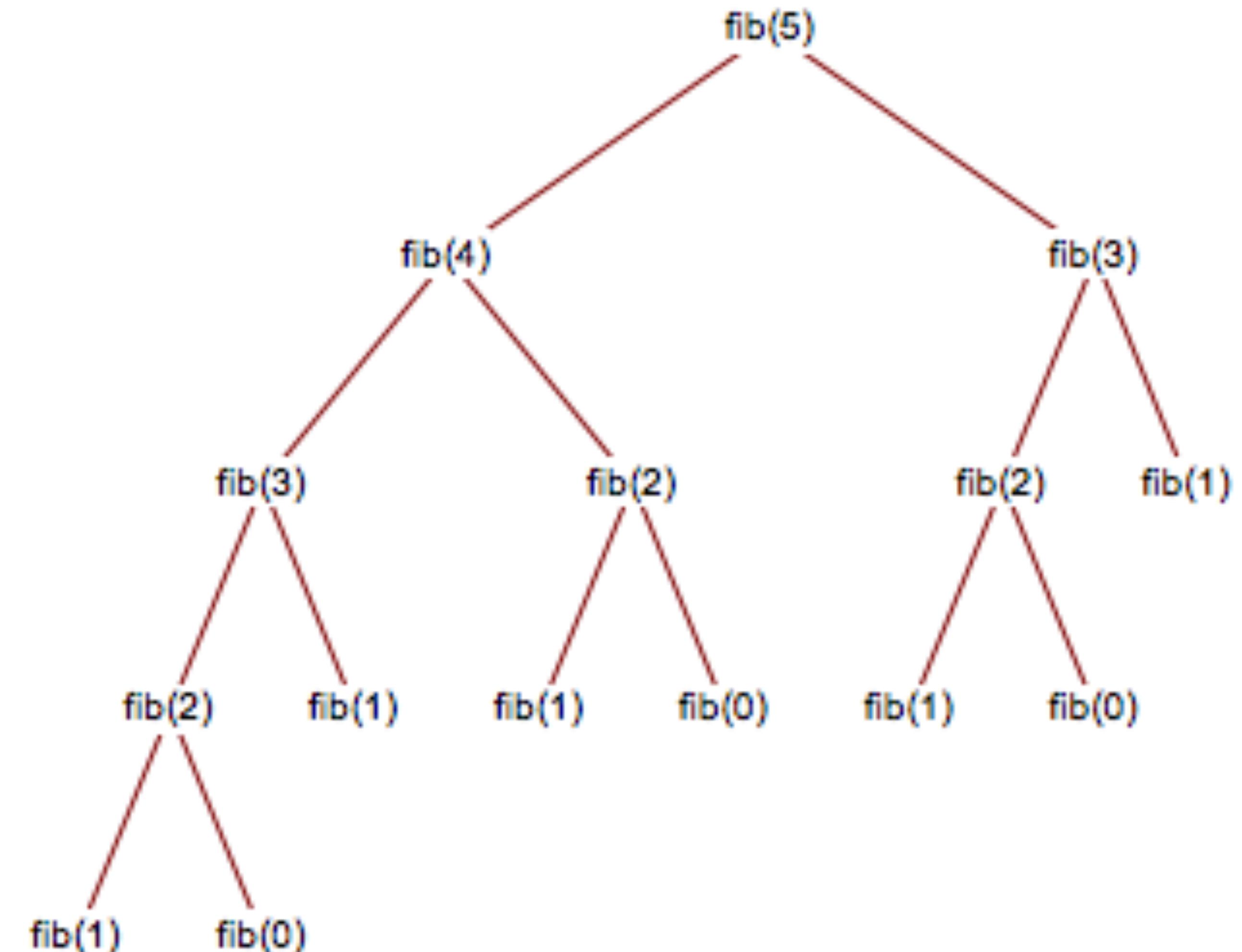
## Fibonacci numbers; DP : Memoization

```
fib(n):  
    if n≤1 : f=n  
    else : f = fib(n-1) + fib(n-2)  
return f
```

Fib( $n=5$ ) ; take one global array

Call Fib( $n=5$ ) → follow the tree

-1	-1	-1	-1	-1	-1
0	1	2	3	4	5



# Dynamic Programming

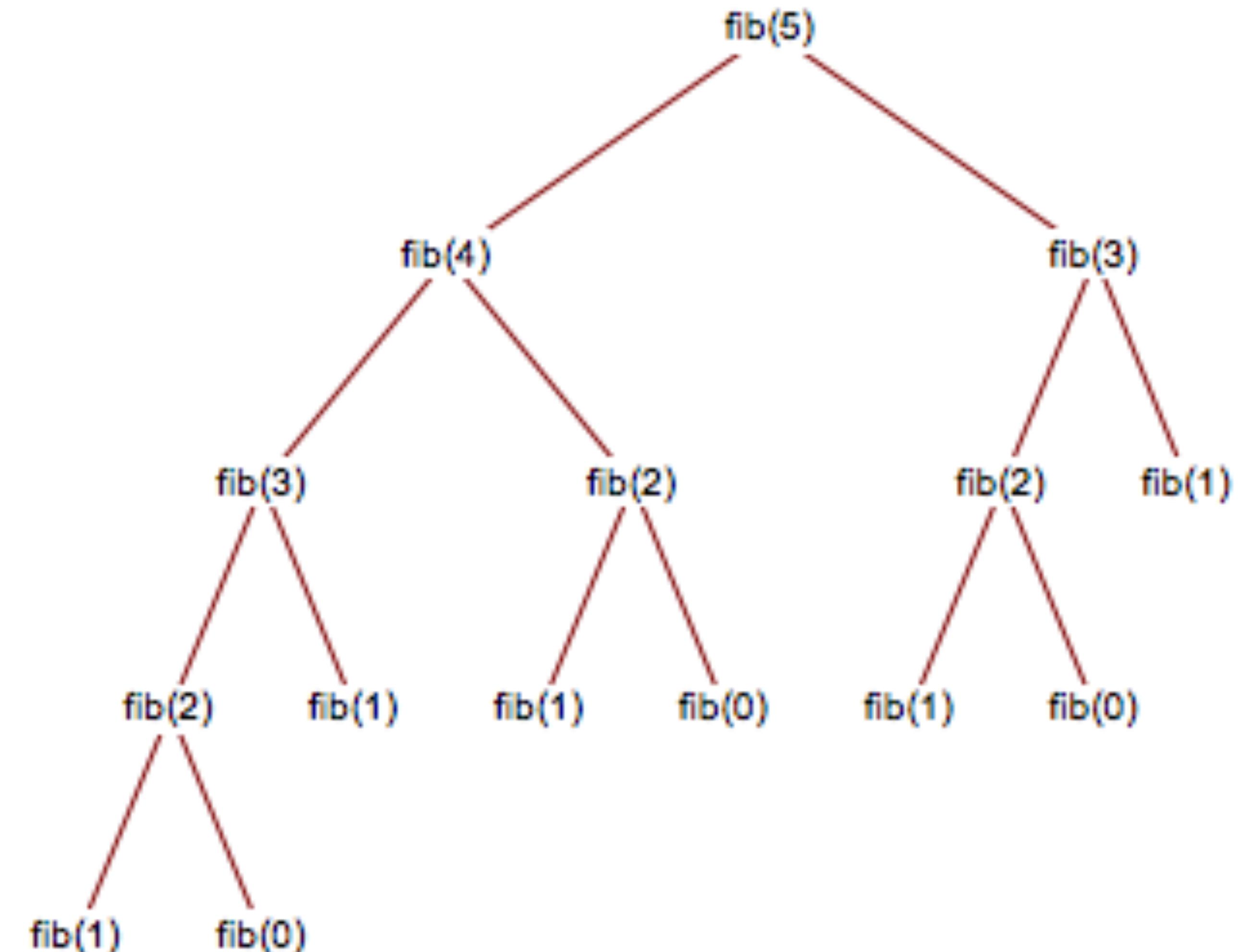
## Fibonacci numbers; DP : Memoization

```
fib(n):  
    if n≤2 : f=1  
    else : f = fib(n-1) + fib(n-2)  
return f
```

Fib( $n=5$ ) ; take one global array

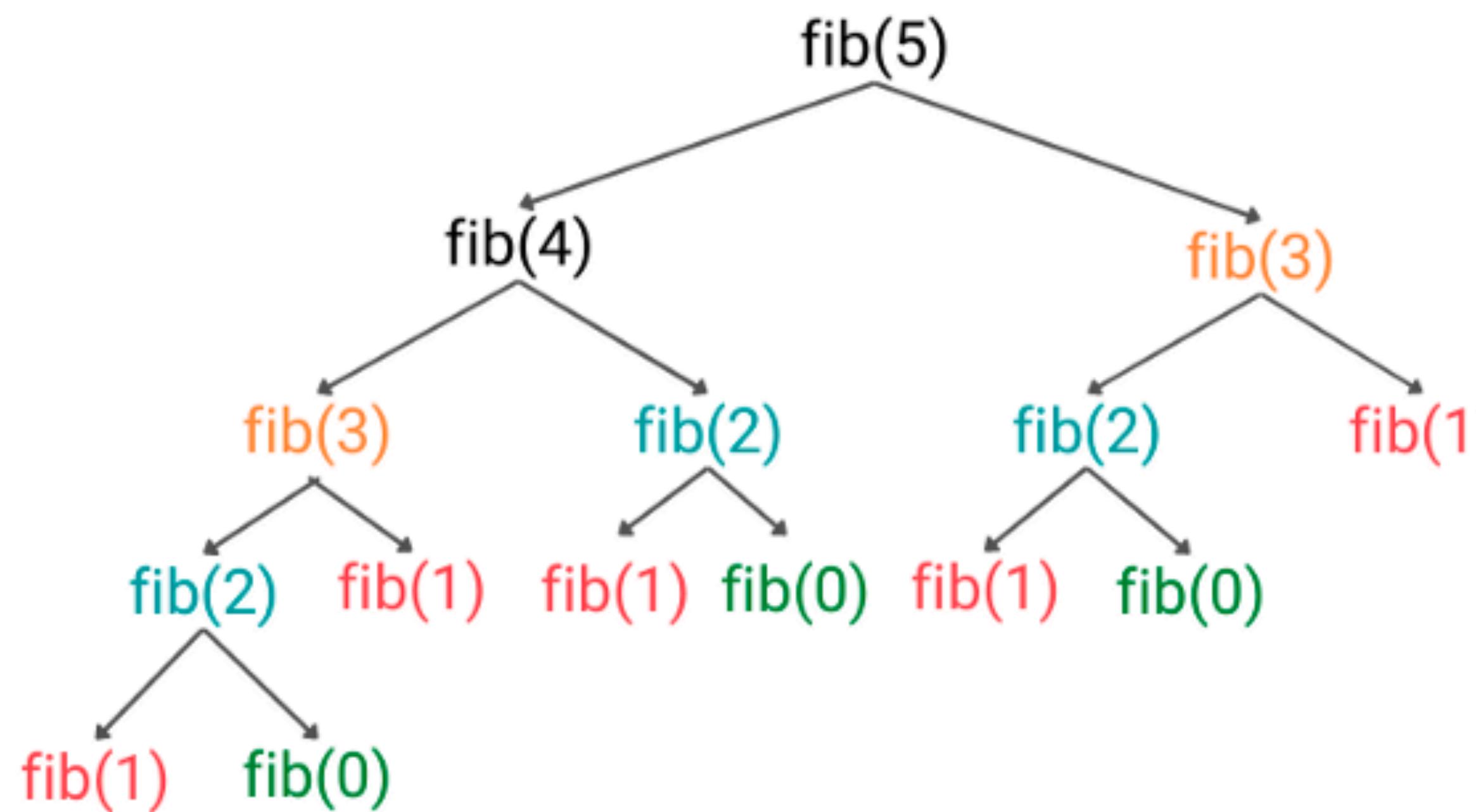
Call Fib( $n=5$ ) → follow the tree

0	1	1	2	3	5
0	1	2	3	4	5

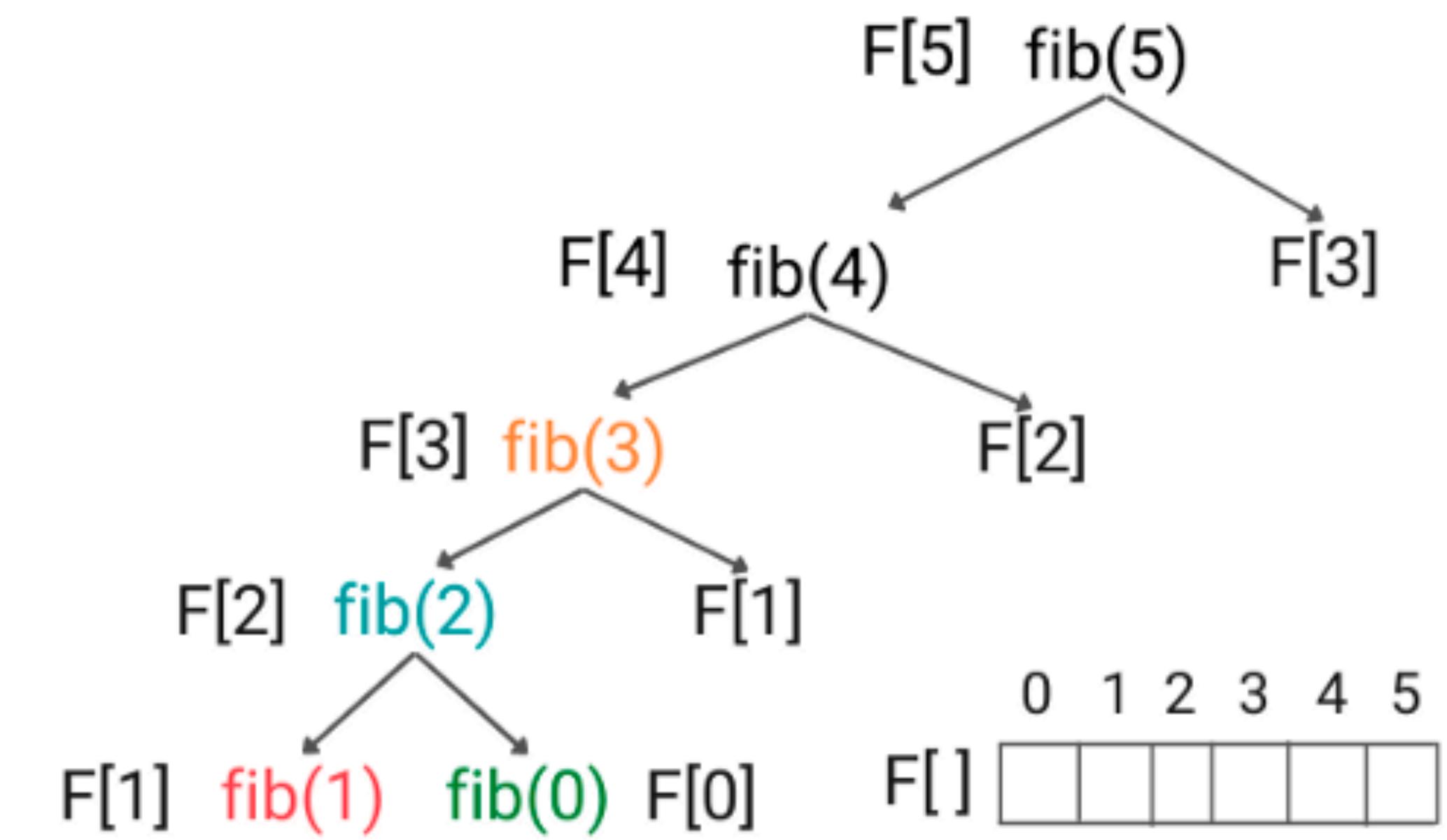


# Dynamic Programming

## Fibonacci numbers; DP : Memoization



Calculating 5th Fibonacci recursively.



Calculating 5th Fibonacci recursively but storing sub-problems solution in extra memory.

# Dynamic Programming

## Fibonacci numbers; DP : Memoization

```
memo = {}  
fib(n):  
    if n in memo : return memo [n]  
    if n≤2 : f=1  
    else : f = fib(n-1) + fib(n-2)  
    memo[n] = f  
  
return f
```

$f(k)$  only recurses the first time it's called,  $\forall k$

two versions : memoized calls, non-memoized calls

# Dynamic Programming

## Fibonacci numbers; DP : Memoization

- Memoized calls cost constant time :  $\Theta(1)$
- Number of non-memoized calls is n  
 $\text{fib}(1), \text{fib}(2), \text{fib}(3), \dots, \text{fib}(n)$
- non recursive work per call is constant

Total time complexity : linear :  $\Theta(n)$

```
memo = {}  
fib(n):  
    if n in memo : return memo [n]  
    if n≤2: f=1  
    else : f = fib(n-1) + fib(n-2)  
    memo[n] = f  
    return f
```

Naive Approach : Exponential → Dynamic Programming : Linear

# Dynamic Programming

## DP : Memoization

- Memoize (remember) & reuse solutions to subproblems that help solve the problem

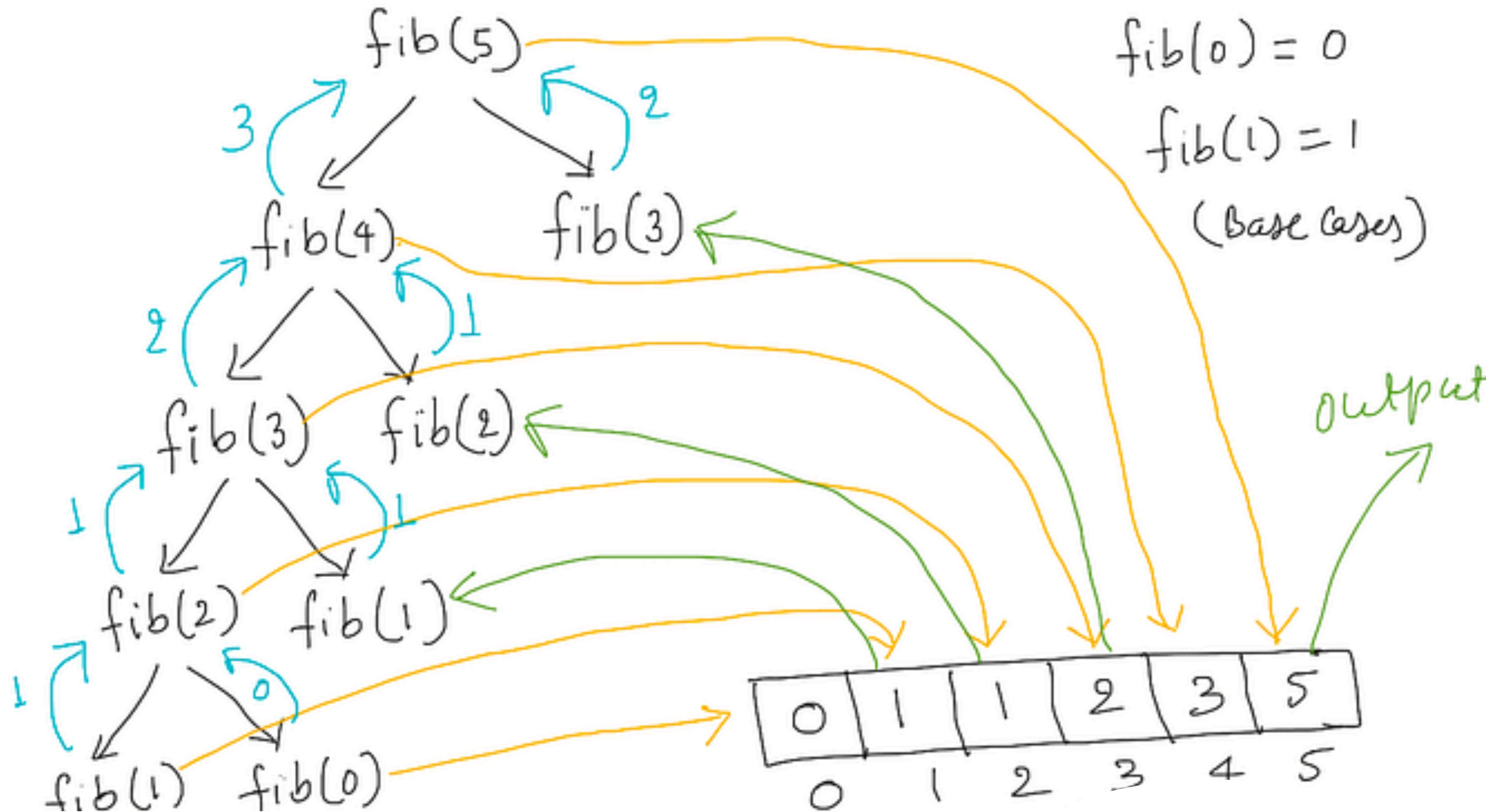
DP≈ recursion + memoization

- for any DP algorithm the time complexity would be :

time = number of subproblems \* time/subproblem

# Dynamic Programming

## Fibonacci numbers; DP : Tabulation ( Bottom-up )

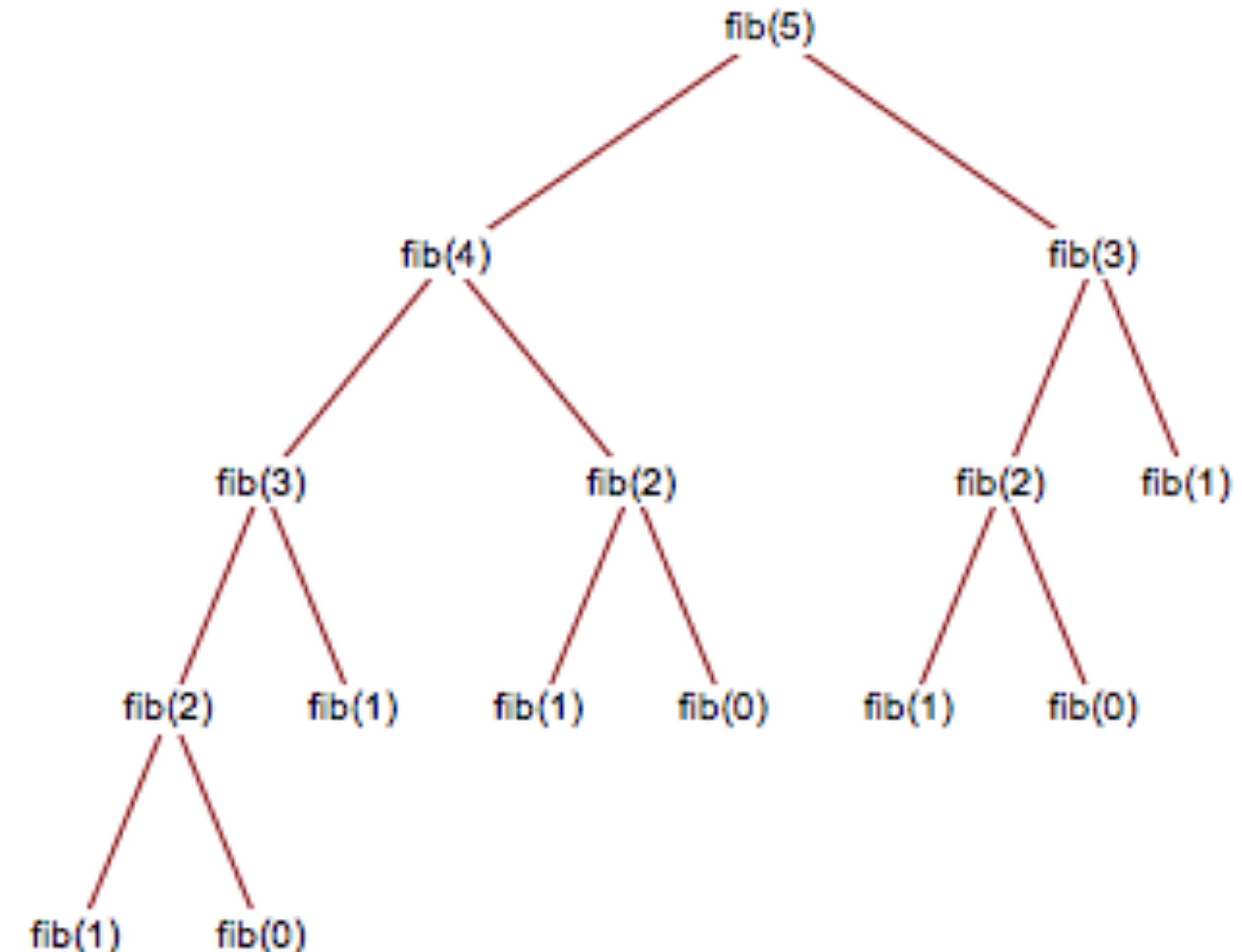


# Dynamic Programming

## Fibonacci numbers; DP : Tabulation ( Bottom-up )

```
fib = {}
for k in range(1,n+1):
    if k≤2 f=1
    else f=fib[k-1]+fib[k-2]
    fib[k]=f
return fib[n]
```

0	1	1	2	3	5
0	1	2	3	4	5



# Dynamic Programming

## Fibonacci numbers; DP : Tabulation ( Bottom-up )

```
fib = {}
for k in range(1,n+1):
    if k≤2 f=1
    else f=fib[k-1]+fib[k-2]
    fib[k]=f
return fib[n]
```

same method, different perspective

main difference : use loop instead of recursion

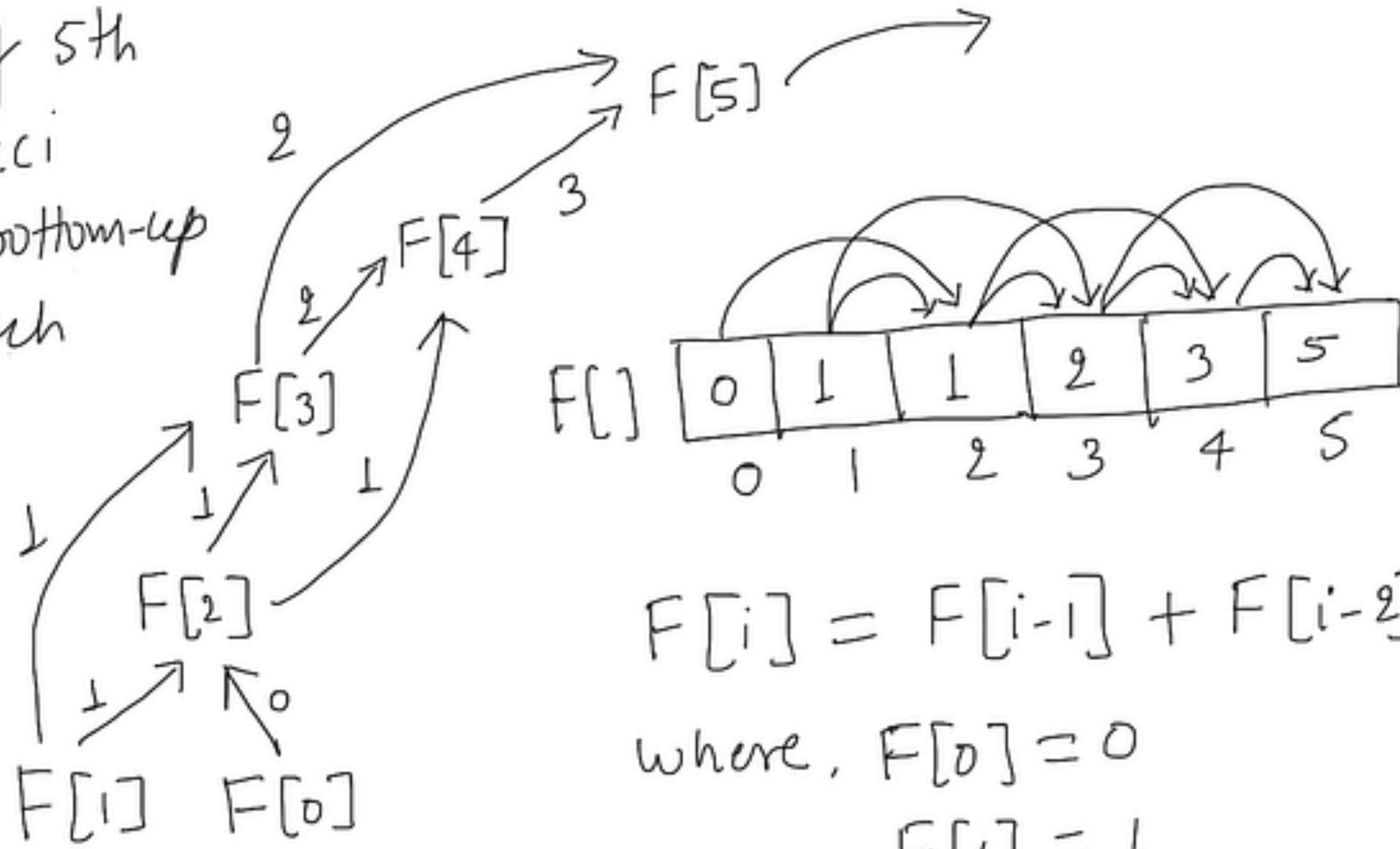
which one is better in practice?

Tabulation —→ not recursive calls , just look in a table. —→ save space

# Dynamic Programming

## DP : Tabulation

Calculating 5th  
fibonacci  
using bottom-up  
approach



$$F[i] = F[i-1] + F[i-2]$$

$$\text{where, } F[0] = 0$$

$$F[1] = 1$$

# Dynamic Programming

## DP : Tabulation

- Topological sort of subproblem dependency **directed acyclic graph(DAG)** :
  - vertices (the subproblems) and edges between the subproblems (dependencies).
  - The edges have a direction, since it matters which subproblem for every connected pair is the dependent one.
  - The graph has no cycles, meaning there must not be a way to start at one subproblem, then end up at that same subproblem just by following the arrows. Otherwise, we would end up in a situation where, to calculate one subproblem, we'd need to first calculate itself!

# Rod-Cutting Problem

## Definition

- you are in a company that buys long steel rods and cuts them into shorter rods, and then sells them. cutting is free
- they hired you to find the best way to cut up the rods
- the company charges  $p_i$  \$ for a length of rod  $i$ . Rods are always integral in length



length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

- Rod-cutting problem ; Given a rod of length  $n$  inches and a table of pieces  $p_i$  ( $i$  is in the range  $(1,2,\dots,n)$ ), determine the maximum revenue  $r_n$  obtained by cutting the rod and selling the pieces. if no cutting gives the best price, we don't cut at all.

# Rod-Cutting Problem

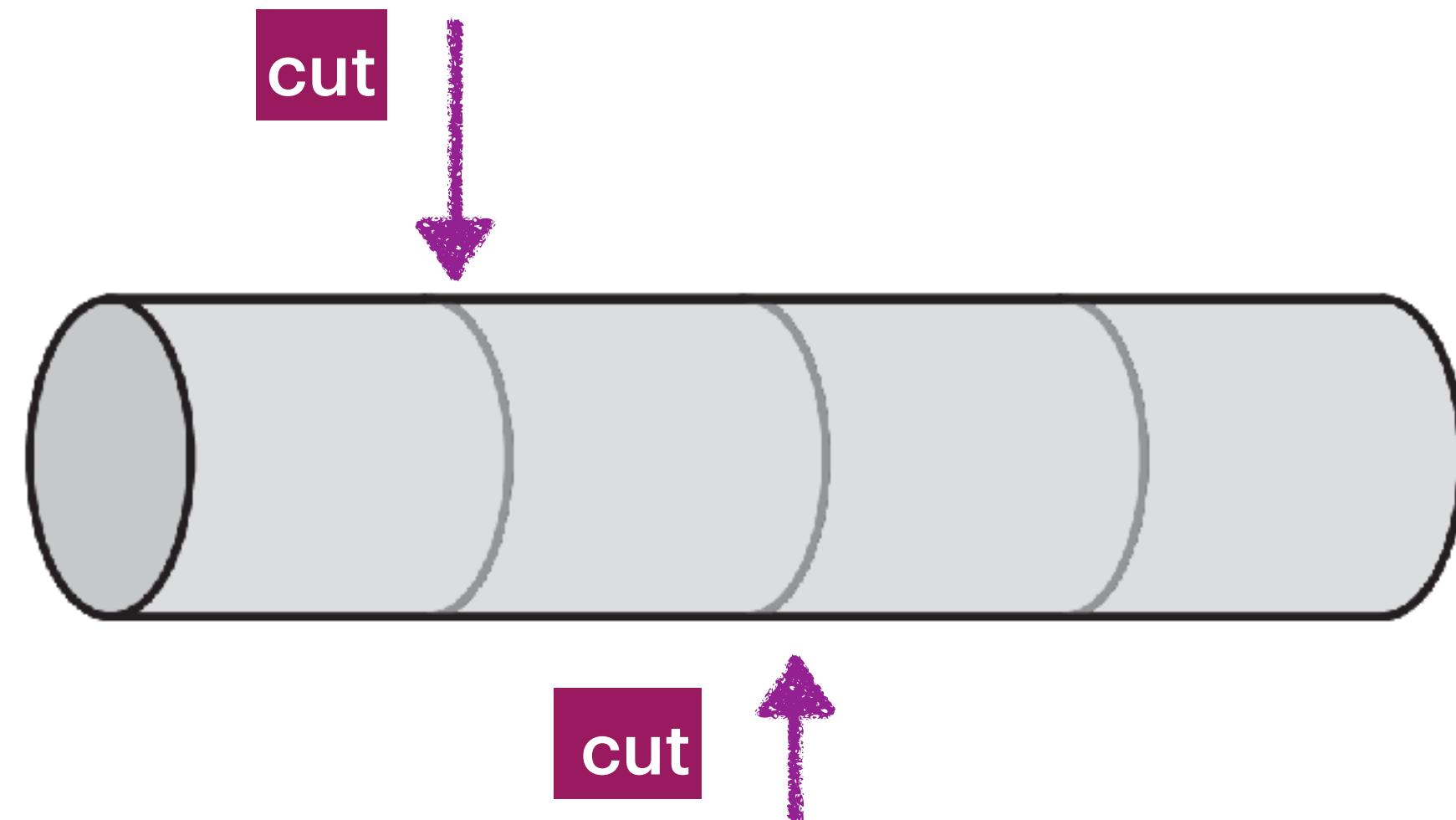
## Definition

- you are given a rod of 4 inches and a price table

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

- should you cut the rod at all ?

- no cut :  $l=4 \rightarrow 9$
- 1 cut :  $1,3 : l= 1+3 \rightarrow 1+8=9$
- 1 cuts :  $2,2 : l=2+2 \rightarrow 5+5=10$



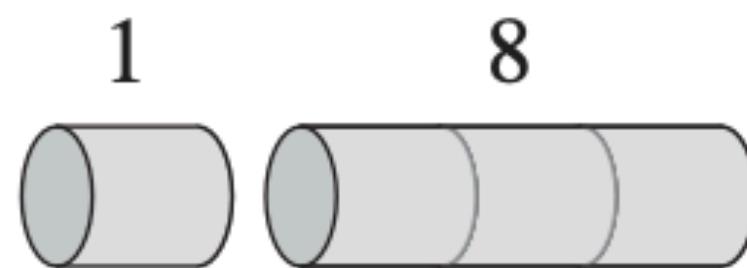
# Rod-Cutting Problem

## Definition

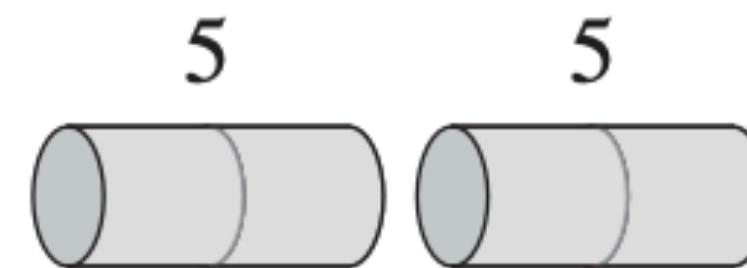
- what are all possible cuts for length=4 ?



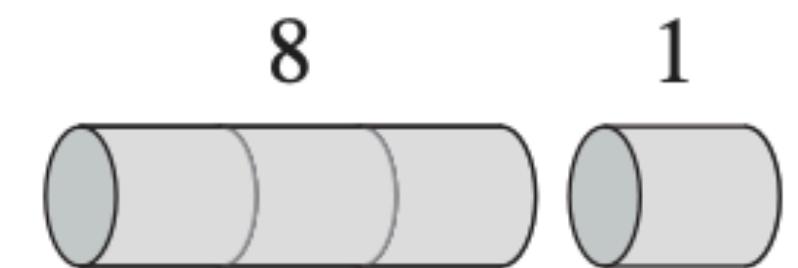
(a)



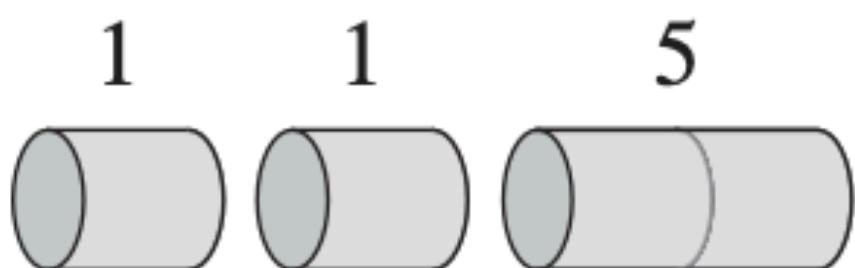
(b)



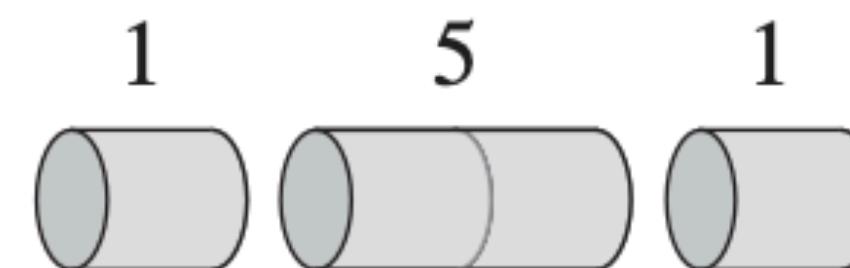
(c)



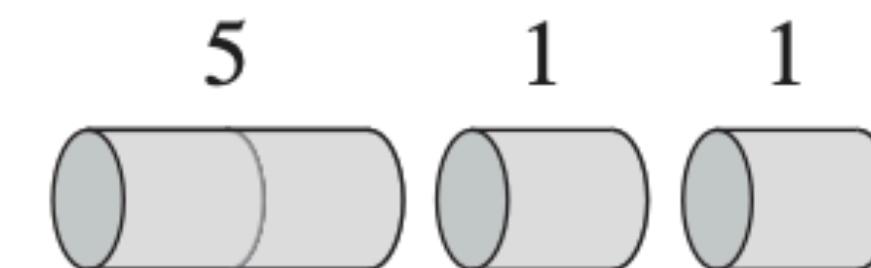
(d)



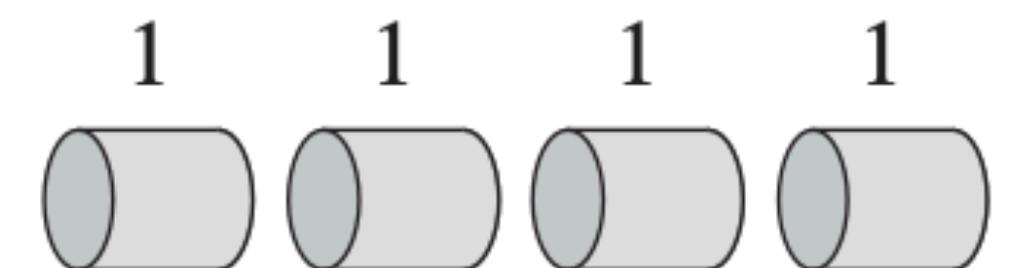
(e)



(f)



(g)

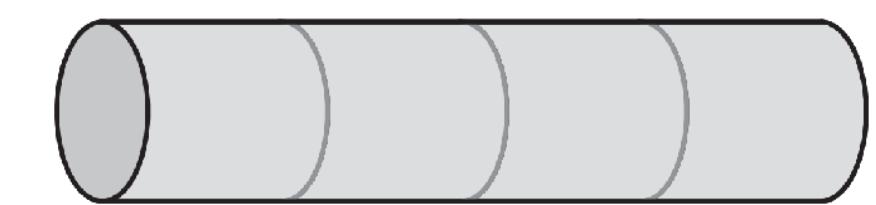


(h)

- we cut up a rod of length  $n$  in  $2^{n-1}$  different ways

# Rod-Cutting Problem

## Definition



<b>Length(n)</b>	<b>Price(<math>p_n</math>)</b>	<b>Cut/Don't Cut</b>	<b>Revenue(<math>r_n</math>)</b>
1	1	N	1
2	5	N	5
3	8	N	8
4	9	2,2	10
5	10	2,3	13

- $r_1 = 1$  from solution 1 = 1 (no cuts) ,  
 $r_2 = 5$  from solution 2 = 2 (no cuts) ,  
 $r_3 = 8$  from solution 3 = 3 (no cuts) ,  
 $r_4 = 10$  from solution 4 = 2 + 2 ,  
 $r_5 = 13$  from solution 5 = 2 + 3 ,  
 $r_6 = 17$  from solution 6 = 6 (no cuts) ,  
 $r_7 = 18$  from solution 7 = 1 + 6 or 7 = 2 + 2 + 3 ,  
 $r_8 = 22$  from solution 8 = 2 + 6 ,  
 $r_9 = 25$  from solution 9 = 3 + 6 ,  
 $r_{10} = 30$  from solution 10 = 10 (no cuts) .

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

# Rod-Cutting Problem

## Formal Definition

- The optimal revenue from a rod of length  $n$ ,  $r_n$ :

$$r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_{n-1} + r_1).$$

$p_n$  → making no cut at all,  $r_1 + r_{n-1}$  → a cut so that we have rods of length 1 and  $n-1$

- In other words :

$$r_n = \max_{1 < i < n} (p_i + r_{n-i}).$$

$p_i$  → piece of rod length  $i$ ,  $r_{n-i}$  → revenue from rod of length  $n-1$

- Is this a recursive approach?

# Rod-Cutting Problem

## Recursive top-down Implementation

**CUT-ROD( $p, n$ )**

```
1  if  $n == 0$ 
2      return 0
3   $q = -\infty$ 
4  for  $i = 1$  to  $n$ 
5       $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ 
6  return  $q$ 
```

# Rod-Cutting Problem

## Recursive top-down Implementation

```
price = [-100000, 1, 5, 8, 9, 10, 17, 17, 20]
# Returns the best obtainable price for a rod of length n
# and price[] as prices of different pieces
def cutRod(price, n):
    if(n <= 0):
        return 0
    max_val = -100000

    # Recursively cut the rod in different pieces
    # and compare different configurations
    for i in range(1, n+1):
        max_val = max(max_val, price[i] +
                      cutRod(price, n - i))

    return max_val
```

# Rod-Cutting Problem

## Recursive top-down Implementation

- what is one limitation of the solution?  
gives the optimal revenue, but no answer to where to cut the rod!
- why is the recursive program so inefficient(slow) ?

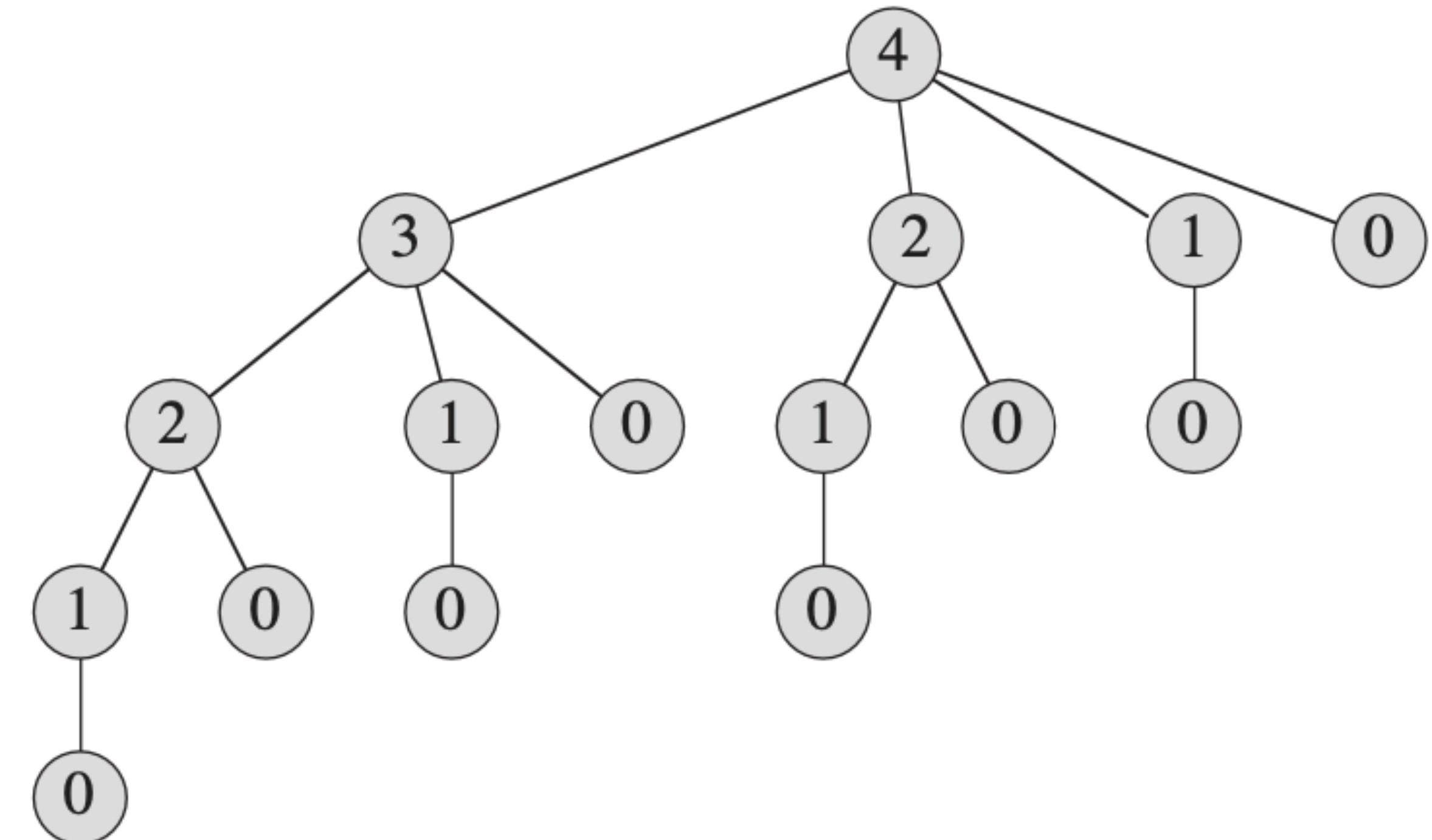
# Rod-Cutting Problem

Analyze Recursive solution : recursion tree

- the rod-cut algorithm calls itself recursively over and over again with the same parameter values; it solves the same problems repeatedly
- the running time of the algorithm is exponential in n :

$$T(n) = O(2^n)$$

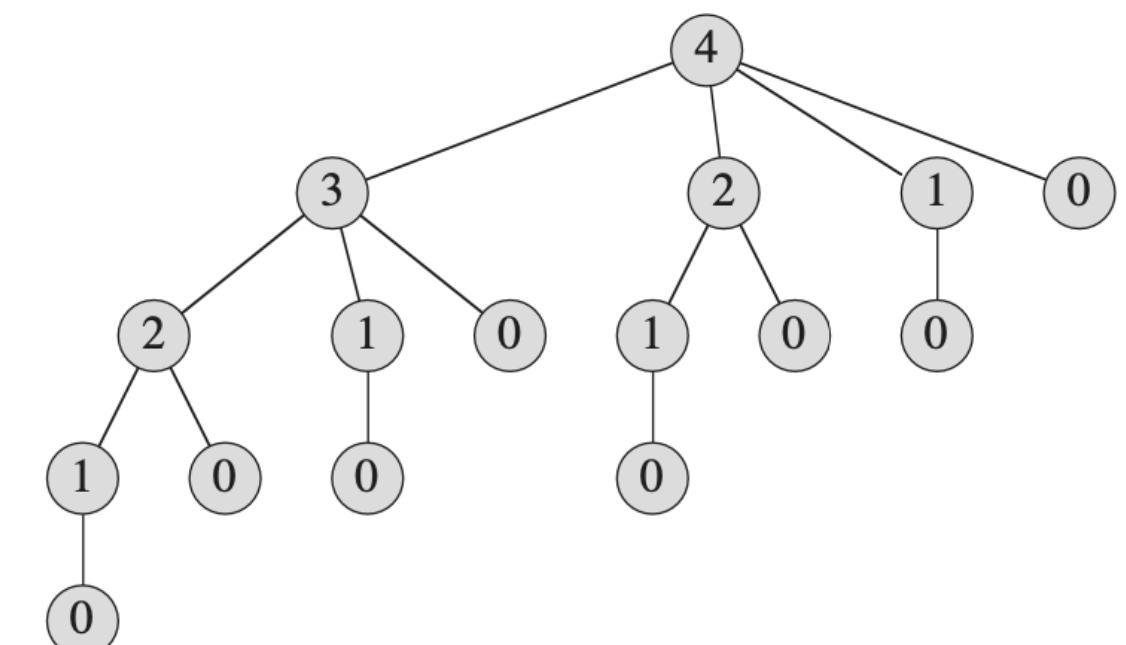
$$r_n = \max_{1 < i < n} (p_i + r_{n-i}) .$$



# Rod-Cutting Problem

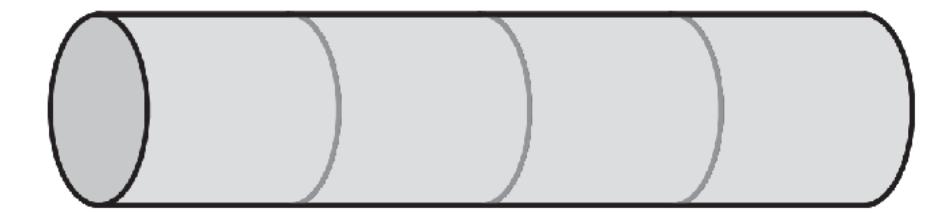
## Dynamic Programming

- We arrange for each subproblem to be solved only **once**, saving its solution
- During the computation if we need the solution to a subproblem again, we simply look it up rather than recomputing it.
- Two Dynamic Programming approaches:
  - Top-Down Dynamic Programming approach with memoization
  - Bottom-Up Dynamic Programming approach



# Rod-Cutting Problem

## Dynamic Programming : Memoization



MEMOIZED-CUT-ROD( $p, n$ )

```
1 let  $r[0..n]$  be a new array  
2 for  $i = 0$  to  $n$   
3    $r[i] = -\infty$   
4 return MEMOIZED-CUT-ROD-AUX( $p, n, r$ )
```

} initialize new auxillary array with -inf

MEMOIZED-CUT-ROD-AUX( $p, n, r$ )

```
1 if  $r[n] \geq 0$  } if the solution is already in the table  
2   return  $r[n]$  }  
3 if  $n == 0$   
4    $q = 0$   
5 else  $q = -\infty$   
6 for  $i = 1$  to  $n$   
7    $q = \max(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n - i, r))$   
8  $r[n] = q$  → once found the solution, store it in the table  
9 return  $q$ 
```

→  $r$  : presolved table of solutions; an array that maintains the best known solution for subproblem

} memoized version of previous procedure

# Rod-Cutting Problem

## Dynamic Programming (Memoization) vs Recursive

CUT-ROD( $p, n$ )

```
1 if  $n == 0$ 
2   return 0
3    $q = -\infty$ 
4   for  $i = 1$  to  $n$ 
5      $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i))$ 
6   return  $q$ 
```



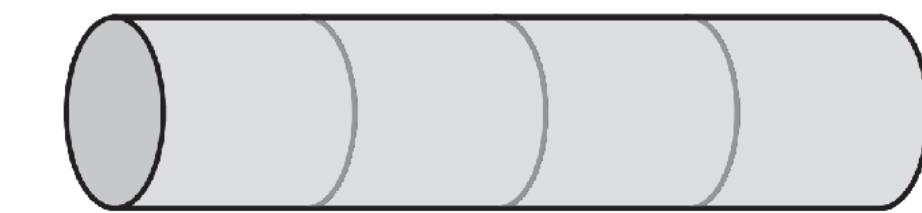
each time you increase  $n$  by 1, your program's running time would approximately double

MEMOIZED-CUT-ROD-AUX( $p, n, r$ )

```
1 if  $r[n] \geq 0$  → check whether it
2   return  $r[n]$  is already in the
3   if  $n == 0$  table
4      $q = 0$ 
5   else  $q = -\infty$ 
6   for  $i = 1$  to  $n$ 
7      $q = \max(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n - i, r))$ 
8    $r[n] = q$  → update the table
9   return  $q$ 
```

# Rod-Cutting Problem

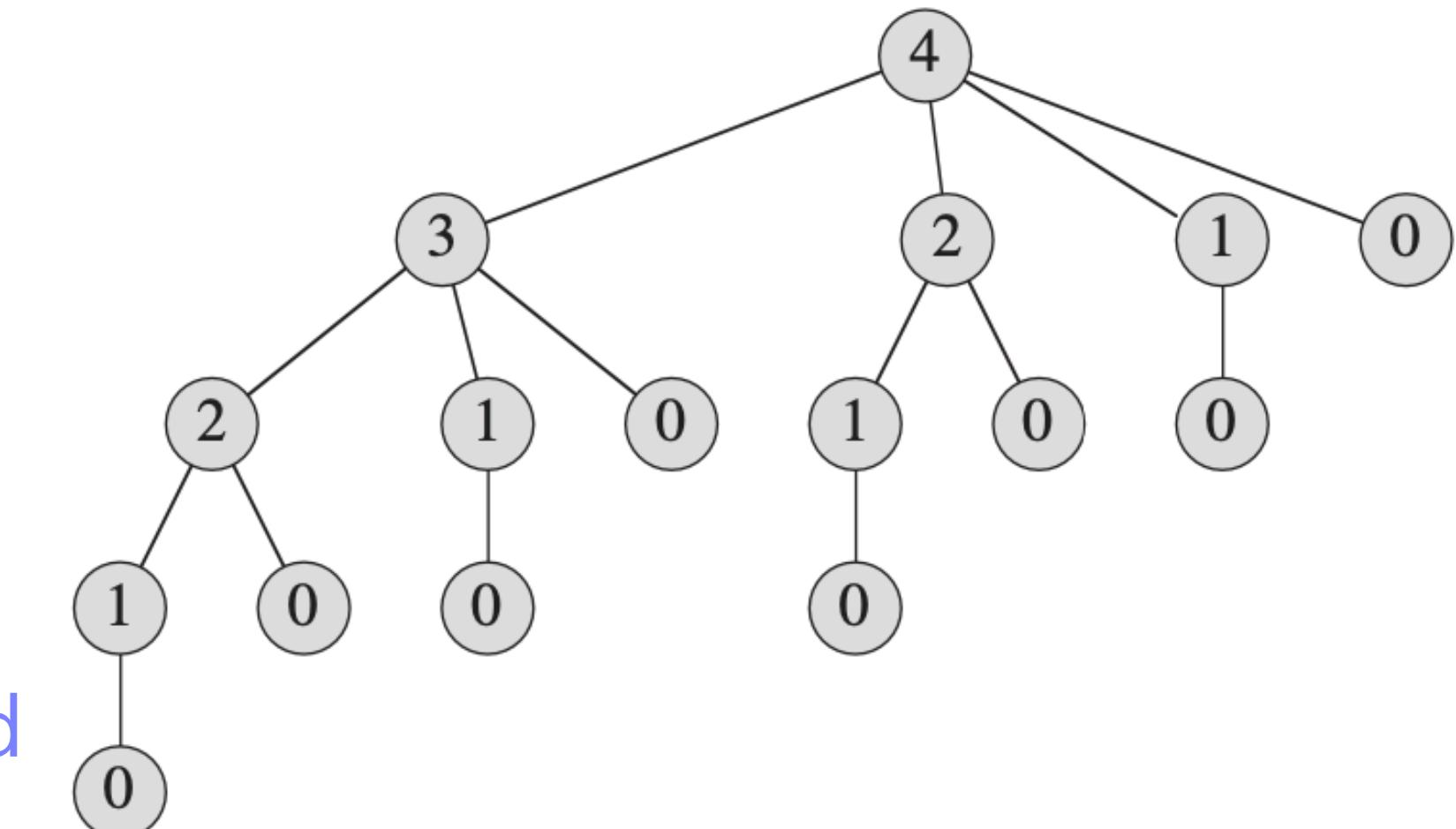
## Dynamic Programming : Tabulation



BOTTOM-UP-CUT-ROD( $p, n$ )

```
1 let  $r[0..n]$  be a new array      ➔ creates a new array
2  $r[0] = 0$                       ➔ initialize  $r[0]$ 
3 for  $j = 1$  to  $n$             ➔ calculate the revenue for the
4    $q = -\infty$                   rod of all the length : $n$ 
5   for  $i = 1$  to  $j$  ➔ looks for all the possible ways to cut the rod
6      $q = \max(q, p[i] + r[j - i])$ 
7    $r[j] = q$                   ➔ no recursive call
8 return  $r[n]$ 
```

---



- a problem of size  $i$  is “smaller” than a subproblem of size  $j$  if  $i < j$
- Thus, the procedure solves subproblems of sizes  $j=0,1,\dots,n$ , in that order

# Rod-Cutting Problem

## Dynamic Programming (Memoization) vs Recursive

- the bottom-up and top-down versions have same asymptotic running time :  $\Theta(n^2)$  , because of the double for loops
- the bottom-up approach usually outperforms the top-down approach by a small constant factor

MEMOIZED-CUT-ROD-AUX( $p, n, r$ )

```
1  if  $r[n] \geq 0$ 
2    return  $r[n]$ 
3  if  $n == 0$ 
4     $q = 0$ 
5  else  $q = -\infty$ 
6    for  $i = 1$  to  $n$ 
7       $q = \max(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n - i, r))$ 
8   $r[n] = q$ 
9  return  $q$ 
```

BOTTOM-UP-CUT-ROD( $p, n$ )

```
1  let  $r[0..n]$  be a new array
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6       $q = \max(q, p[i] + r[j - i])$ 
7     $r[j] = q$ 
8  return  $r[n]$ 
```

# Rod-Cutting Problem

Returning actual solution

- For each rod of size  $j$ , we would like to compute not only the maximum revenue  $r_j$ , but also  $s_j$ , the optimal size of the first piece to cut off.

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

$i$	0	1	2	3	4	5	6	7	8	9	10
$r[i]$	0	1	5	8	10	13	17	18	22	25	30
$s[i]$	0	1	2	3	2	2	6	1	2	3	10

# Rod-Cutting Problem

Returning actual solution

EXTENDED-BOTTOM-UP-CUT-ROD( $p, n$ )

```
1 let  $r[0..n]$  and  $s[0..n]$  be new arrays
2  $r[0] = 0$ 
3 for  $j = 1$  to  $n$ 
4    $q = -\infty$            list of indexes to make
5   for  $i = 1$  to  $j$       the optimal cut
6     if  $q < p[i] + r[j - i]$  max → if
7        $q = p[i] + r[j - i]$ 
8        $s[j] = i$ 
9    $r[j] = q$ 
10 return  $r$  and  $s$ 
```

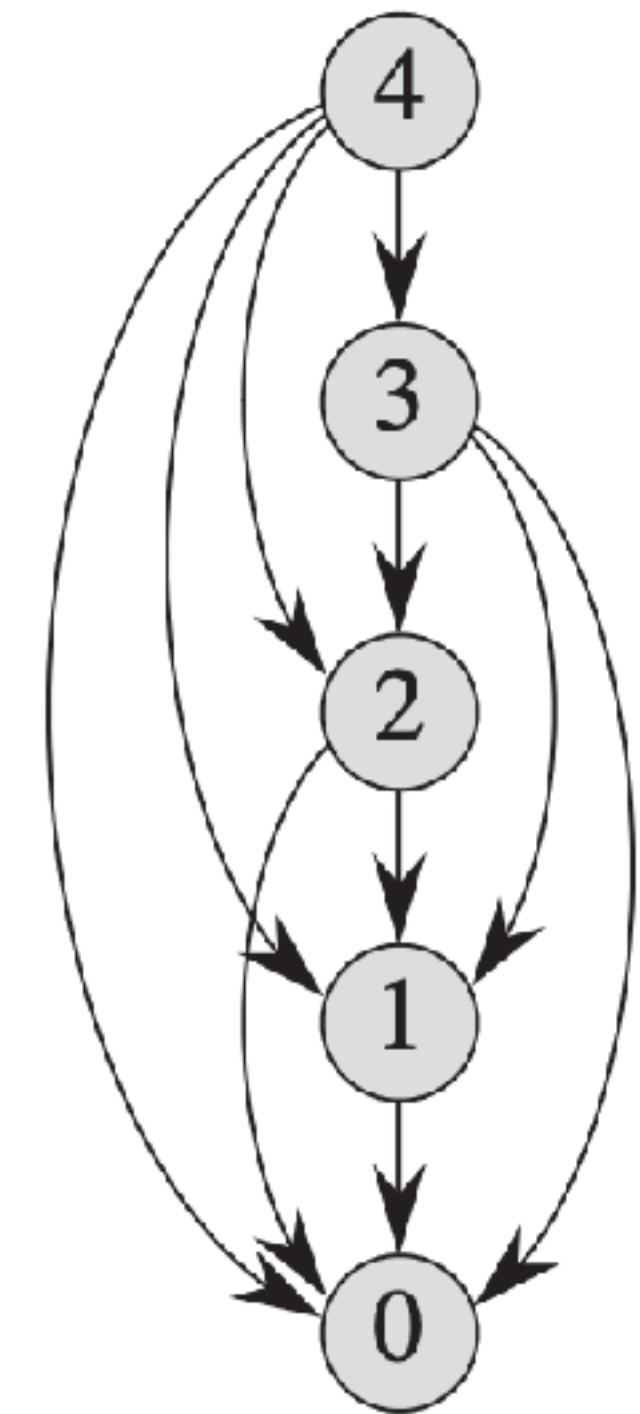
PRINT-CUT-ROD-SOLUTION( $p, n$ )

```
1  $(r, s) = \text{EXTENDED-BOTTOM-UP-CUT-ROD}(p, n)$ 
2 while  $n > 0$ 
3   print  $s[n]$ 
4    $n = n - s[n]$ 
```

# Rod-Cutting Problem

## Subproblem Graphs

- When applying dynamic programming to a problem we should understand the set of subproblems involved.
- How do the subproblems depend on each other?
- A subproblem graph for the problem embodies this information
- It is like a ‘reduced’ or ‘collapsed’ version of the recursion tree



- subproblem graph for  $n=4$  for the rod-cutting problem
- the vertex labels give the size of the corresponding subproblems
- a directed edge  $x \rightarrow y$  indicates that we need a solution to subproblem  $y$  when solving subproblem  $x$

# Elements of Dynamic Programming

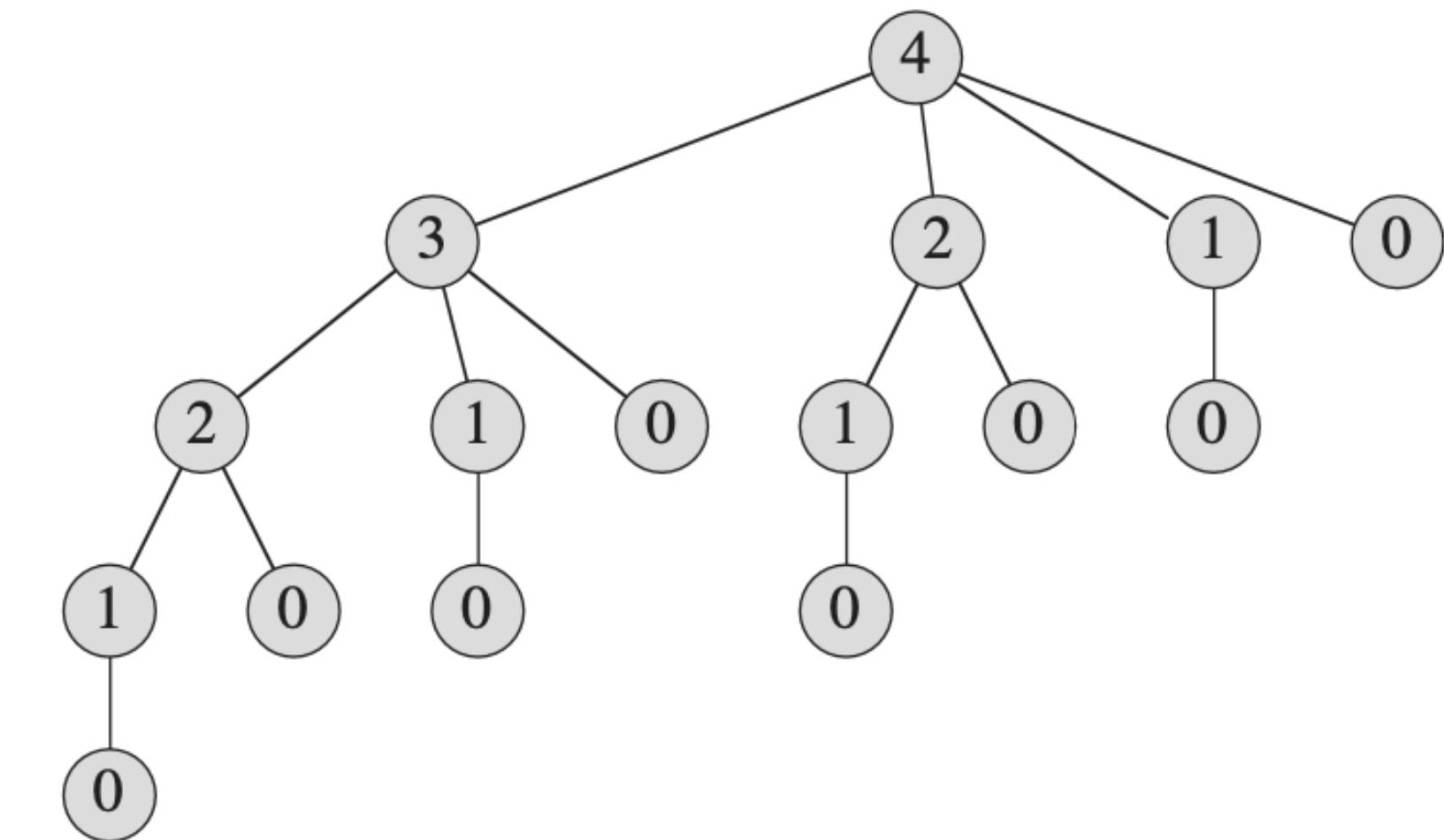
Two key ingredients that an optimization problem must have in order for dynamic programming to apply :

- **Optimal Substructure**

a problem exhibits Optimal Substructure if an optimal solution to the problem contains within it optimal solutions to subproblems

- **Overlapping subproblems**

the space of subproblems must be ‘small’ in the sense that the recursive algorithm for the problem solves the same subproblems over and over ( not generating new subproblems)



# Elements of Dynamic Programming

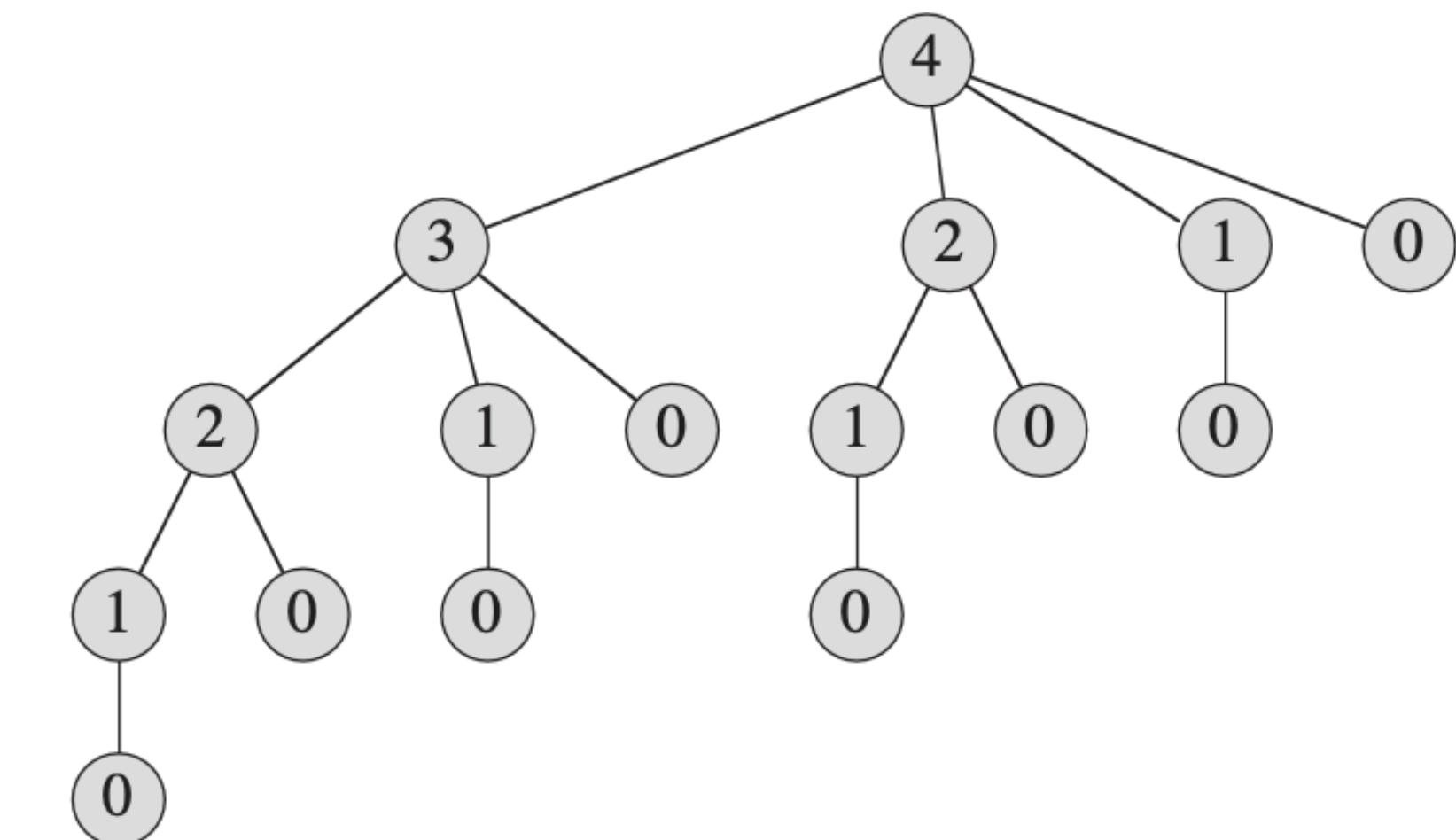
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- **Overlapping subproblems**

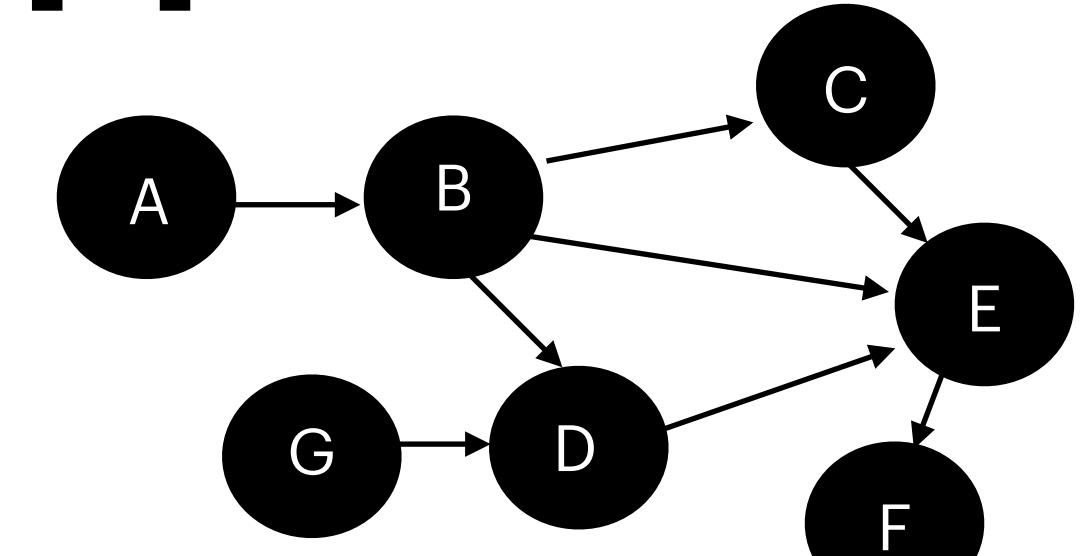
the space of subproblems must be ‘small’ in the sense that the recursive algorithm for the problem solves the same subproblems over and over ( not generating new subproblems)



# of unique subproblems << # of total subproblems

# When is Dynamic Programming not applicable?

Given a directed graph  $G = (V, E)$  and vertices  $u, v \in V$



**(a) Unweighted shortest path** : Find a path from  $u$  to  $v$  consisting of the fewest edges. Such a path must be simple ( no cycles).

Any path  $p$  from  $u$  to  $v$  must contain  $w$  (say). Then we can decompose  $p$  into  $p_1$  and  $p_2$  so that  $p_1$  is the path from  $u$  to  $w$  and  $p_2$  from  $w$  to  $v$

Can we argue that  $p_1$  is the shortest path from  $u$  to  $w$ , and  $p_2$  from  $w$  to  $v$ ?

**(a) Unweighted longest path** : Find a simple path from  $u$  to  $v$  consisting of the most edges

# Unweighted longest path

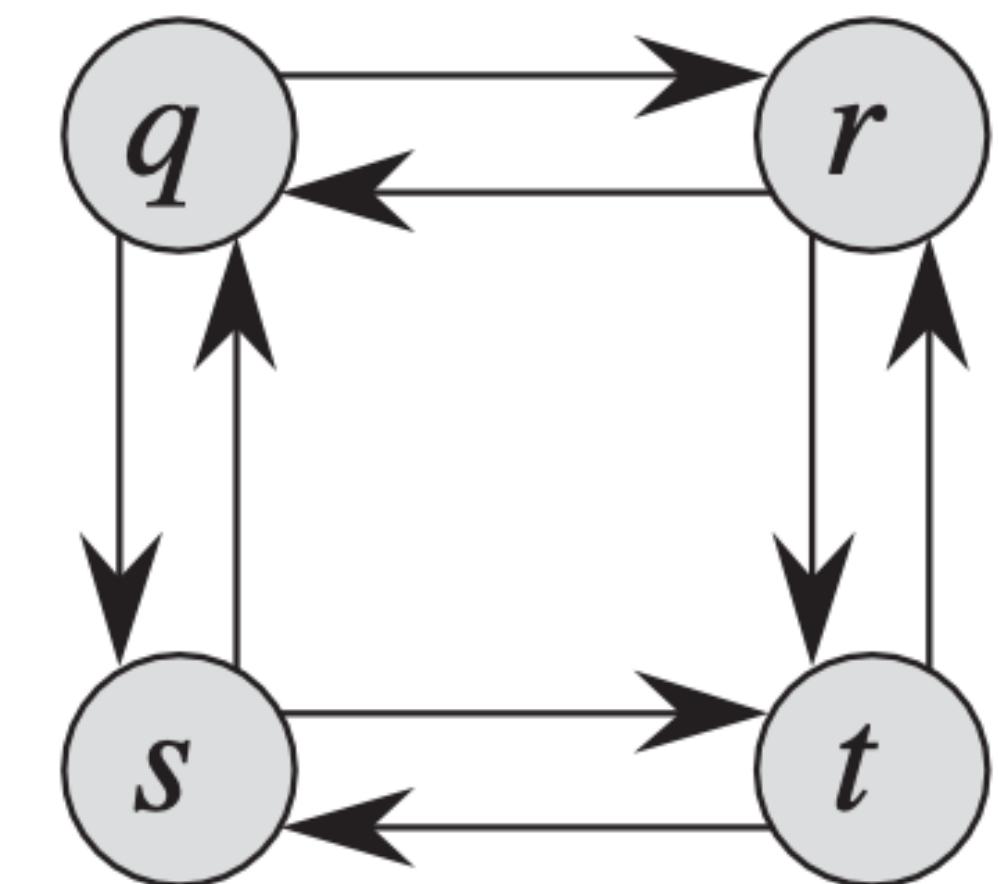
Say we have a path  $p$  as the longest path from  $u$  to  $v$ . we have intermediate vertex  $w$  that lies in the path such that path  $p$  is composed of  $p_1$  and  $p_2$ .

Does this imply that  $p_1$  is the longest path from  $u$  to  $w$  and  $p_2$  is the longest path from  $w$  to  $v$ ?

consider path  $q \rightarrow r \rightarrow t$   the longest path from  $q$  to  $t$

Is  $q \rightarrow r$  the longest path from  $q$  to  $r$ ?

Is  $r \rightarrow t$  the longest path from  $r$  to  $t$ ?



# Steps for developing a dynamic programming algorithm

1. Characterize the structure of n optimal solution

2. Recursively define the value of an optimal solution

$$r_n = \max_{1 < i < n} (p_i + r_{n-i}) .$$

3. Compute the value of an optimal solution, typically in a bottom-up fashion

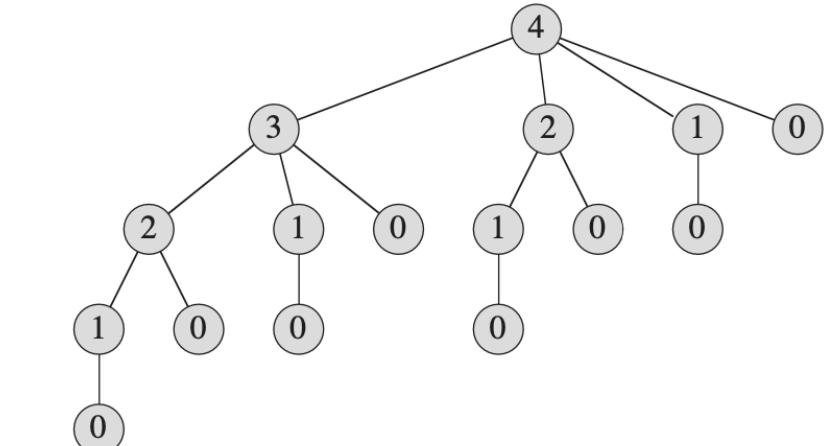
4. Construct an optimal solution. ( where to make a cut in the rod-cutting problem)

BOTTOM-UP-CUT-ROD( $p, n$ )

```
1 let  $r[0..n]$  be a new array  
2  $r[0] = 0$   
3 for  $j = 1$  to  $n$   
4    $q = -\infty$   
5   for  $i = 1$  to  $j$   
6      $q = \max(q, p[i] + r[j-i])$   
7    $r[j] = q$   
8 return  $r[n]$ 
```

EXTENDED-BOTTOM-UP-CUT-ROD( $p, n$ )

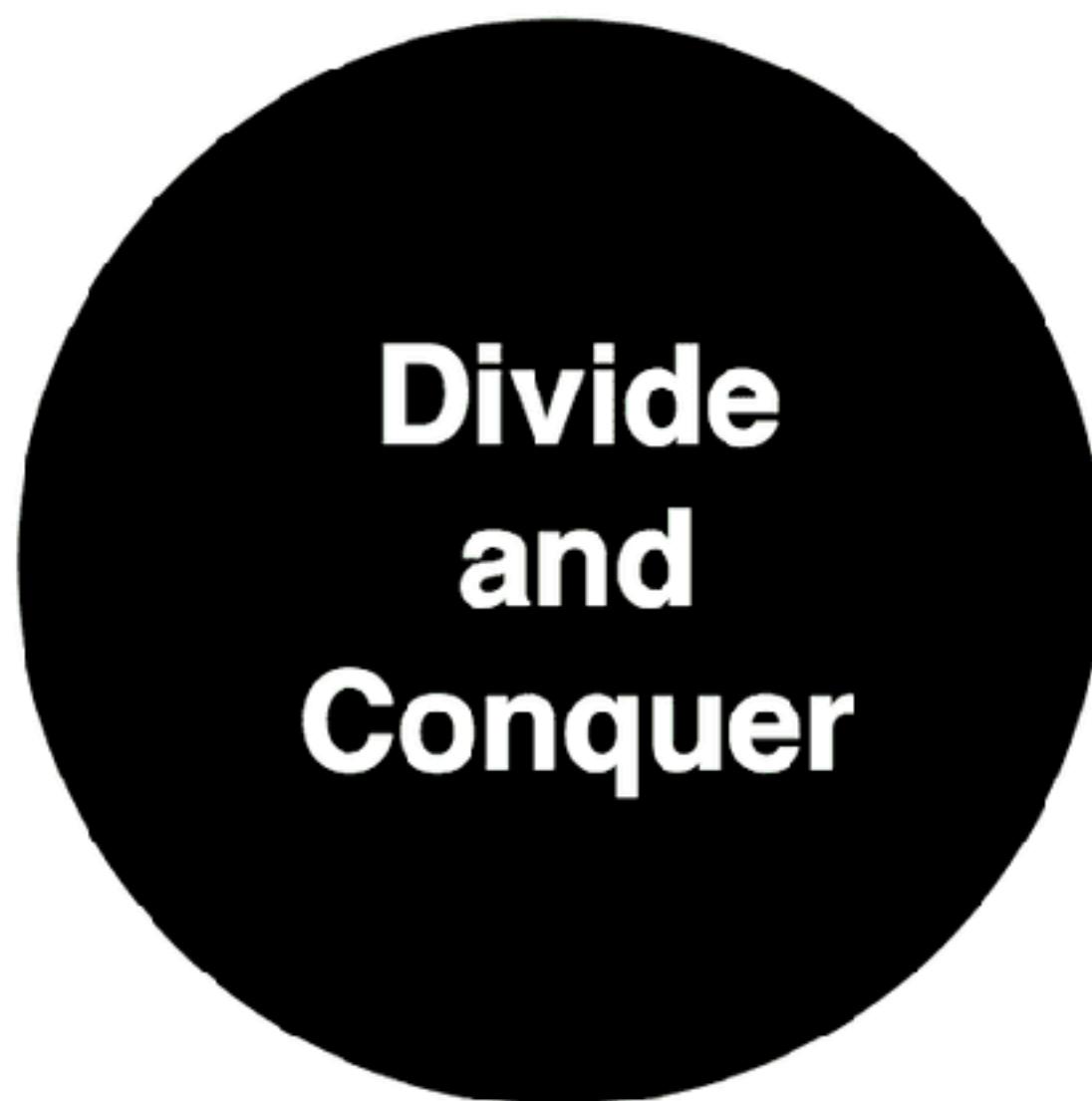
```
1 let  $r[0..n]$  and  $s[0..n]$  be new arrays  
2  $r[0] = 0$   
3 for  $j = 1$  to  $n$   
4    $q = -\infty$   
5   for  $i = 1$  to  $j$   
6     if  $q < p[i] + r[j-i]$   
7        $q = p[i] + r[j-i]$   
8        $s[j] = i$   
9    $r[j] = q$   
10 return  $r$  and  $s$ 
```



**What is the difference between Divide and Conquer Algorithms and Dynamic Programming Algorithms?**

# Dynamic Programming

Paradigm



Overlapping  
Subproblems

AND

Optimal  
Substructure



Methodology

Memoization

↓ Top-down approach

OR

Tabulation

↑ Bottom-up approach

# Longest Common Subsequence

## Finding DNA similarity

- A strand of DNA consists of a string of molecules called bases, where the possible bases are adenine, guanine, cytosine, and thymine.
- we can express a strand of DNA as a string over the finite set :{A; C; G; T}
- compare two strands of DNA to determine how “similar” the two strands are : some measure of how closely related the two organisms are.

$S_1=ACCGGTCGAGTGC GCGGAAGCCGGCCGAA$

$S_2=GTCGTTCGGAATGCCGTTGCTCTGTAAA$

**how to measure similarity?** find a third strand  $S_3$  in which the bases in  $S_3$  appear in each of  $S_1$  and  $S_2$ ; these bases must appear in the same order, but not necessarily consecutively.

$S_3=GTCGTCGGAAGCCGGCCGAA.$

# Longest Common Subsequence

## What is a subsequence

Given a sequence  $X = \langle x_1, x_2, \dots, x_m \rangle$

A sequence  $Z = \langle z_1, z_2, \dots, z_k \rangle$  is a subsequence of  $X$  if there exists a strictly increasing sequence  $i_1, i_2, \dots, i_k$  of indices of  $X$  such that for all  $j=1, 2, \dots, k$  we have  $x_{i_j}=z_j$

**Example:**  $X= \langle A, B, C, B, D, A, B \rangle$  then  $Z = \langle B, C, D, B \rangle$  is a subsequence of  $X$  with corresponding index sequence  $\langle 2, 3, 5, 7 \rangle$

# Longest Common Subsequence

What is a longest common subsequence

Z is a **common subsequence** of X and Y if it is sequence of both X and Y.

**Example:** X=  $\langle A, B, C, B, D, A, B \rangle$  then Y = $\langle B, D, C, A, B, A \rangle$  then the sequence  $\langle B, C, A \rangle$  is a common subsequence of X and Y.

$\langle B, C, A \rangle$  is not the longest common subsequence.

$\langle B, C, A, B \rangle$ ,  $\langle B, D, A, B \rangle$  and  $\langle B, C, B, A \rangle$  are the three longest common subsequences.

**The LCS problem:** Given two sequences  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$ , find the maximum length common subsequence of X and Y

# Longest Common Subsequence

## The brute-force approach

1. Enumerate all subsequences of X and Y

takes  $2^m$  time, because X has that many subsequences

2. Then for each subsequence in X check if it matches each subsequence in Y

3. Find the longest matching subsequence

**Exponential time**

# Longest Common Subsequence

## Optimal Substructure of LCS problem

**Theorem 15.1 (Optimal substructure of an LCS)**

Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be sequences, and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .

$X = \langle A, B, C, B, D, A, A \rangle$  then  $Y = \langle B, D, C, A, B, A \rangle$ ,  $Z = \langle B, C, B, A \rangle$

2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .

$X = \langle A, B, C, B, D, A \rangle$  then  $Y = \langle B, D, C, A, B \rangle$ ,  $Z = \langle B, C, B \rangle$

3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

$X = \langle A, B, C, B, D, A, B \rangle$  then  $Y = \langle B, D, C, A, B, A \rangle$ ,  $Z = \langle B, D, A, B \rangle$

# Longest Common Subsequence

## Optimal Substructure of LCS problem

### ***Theorem 15.1 (Optimal substructure of an LCS)***

Let  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  be sequences, and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

Sketch of proofs:

(1) can be proven by contradiction: if the last characters of  $X$  and  $Y$  are not included in  $Z$ , then a longer LCS can be constructed by adding this character to  $Z$ , a contradiction.

(2) and (3) have symmetric proofs: Suppose there exists a subsequence  $W$  of  $X_{m-1}$  and  $Y$  (or of  $X$  and  $Y_{n-1}$ ) with length  $> k$ . Then  $W$  is a common subsequence of  $X$  and  $Y$ , contradicting  $Z$  being an LCS.

Therefore, an LCS of two sequences contains as prefix an LCS of prefixes of the sequences. We can now use this fact construct a recursive formula for the value of an LCS.

# Longest Common Subsequence

A recursive solution

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 , \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j , \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j . \end{cases}$$

←

S<sub>1</sub>=ACCGGTCGAGTGCAGCGGAAGGCCGGCCGAA

S<sub>2</sub>=GTCGTTCGGAATGCCGTTGCTCTGTAAA

# Longest Common Subsequence

A recursive solution

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 , \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j , \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j . \end{cases}$$


$x_i$



$S_1 = \text{ACCGGT}CGAGTGC\text{GGAAAGCCGGCCGAA}$

$S_2 = \text{GTCGTT}CGGAATGCCGTTGCTCTGTAAA$

$y_j$



# Longest Common Subsequence

A recursive solution

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 , \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j , \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j . \end{cases}$$


$\downarrow$   
 $x_i$

$S_1 = \text{ACCGGT}CGA\text{GTGCGCGGAAGGCCGGCCG}$

$\uparrow$   
 $y_j$

$S_2 = GTCGTT\text{TCGGAATGCCGTTGCTCTGTAAA}$

# Longest Common Subsequence

A recursive solution

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 , \\ c[i - 1, j - 1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j , \\ \max(c[i, j - 1], c[i - 1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j . \end{cases}$$


$x_i$   
↓

$S_1 = \text{ACCGGTGAGTGC}GCGGAAGGCCGGCCG$

↑  
 $y_j$

$S_2 = \text{GTCGTTCGGAATGCCGTTGCTCTGTAAA}$

**A**

b	d
0	1

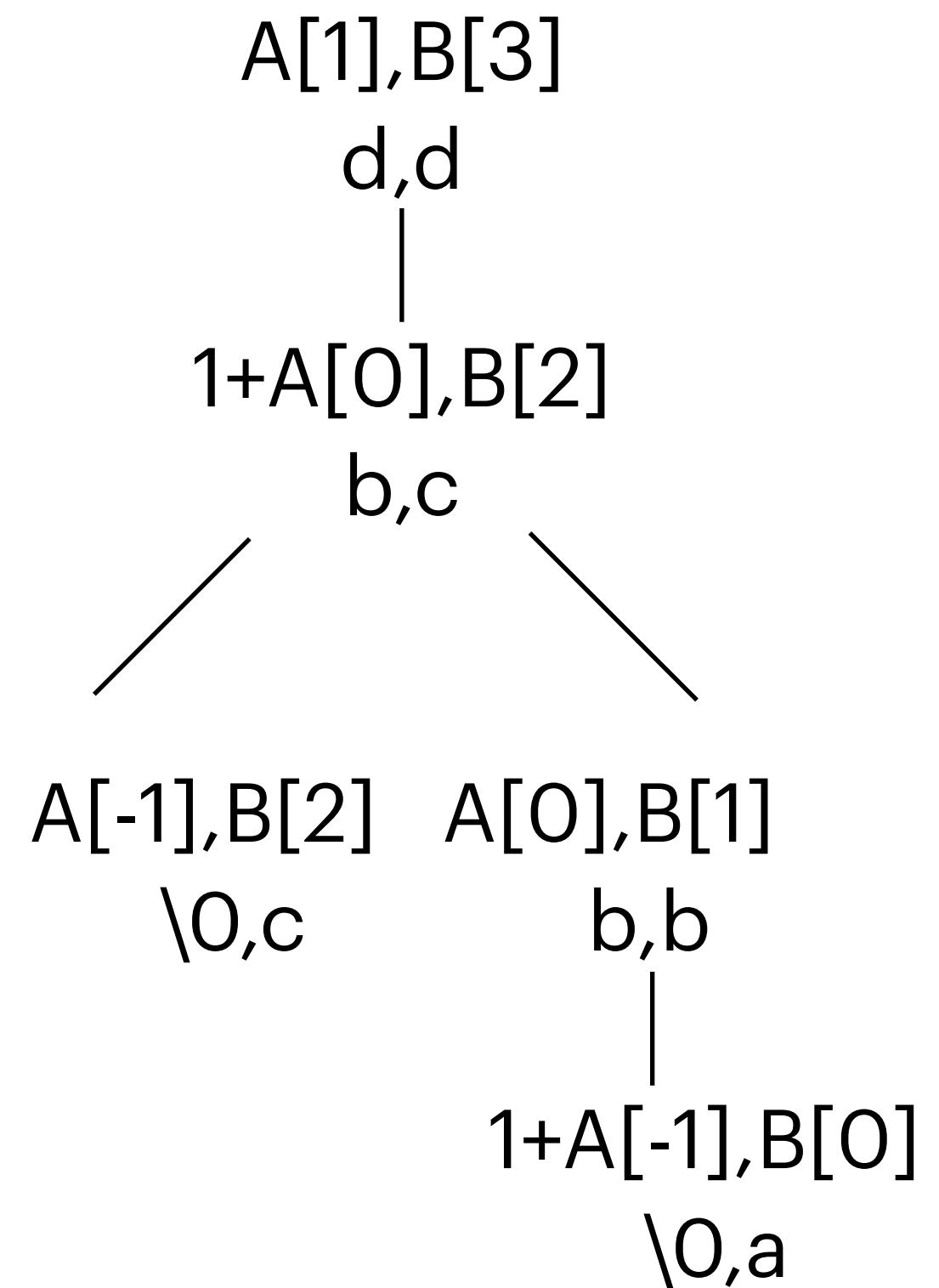
**B**

a	b	c	d
0	1	2	3

```

int lcs(A,B,i,j):
{
    if (i== -1 & j == -1):
        return 0;
    else if (A[i]==B[j]):
        return 1+lcs(A,B,i-1,j-1);
    else
        return max(lcs(A,B,i-1,j),lcs(A,B,i,j-1));
}

```



**A**

b	d
0	1

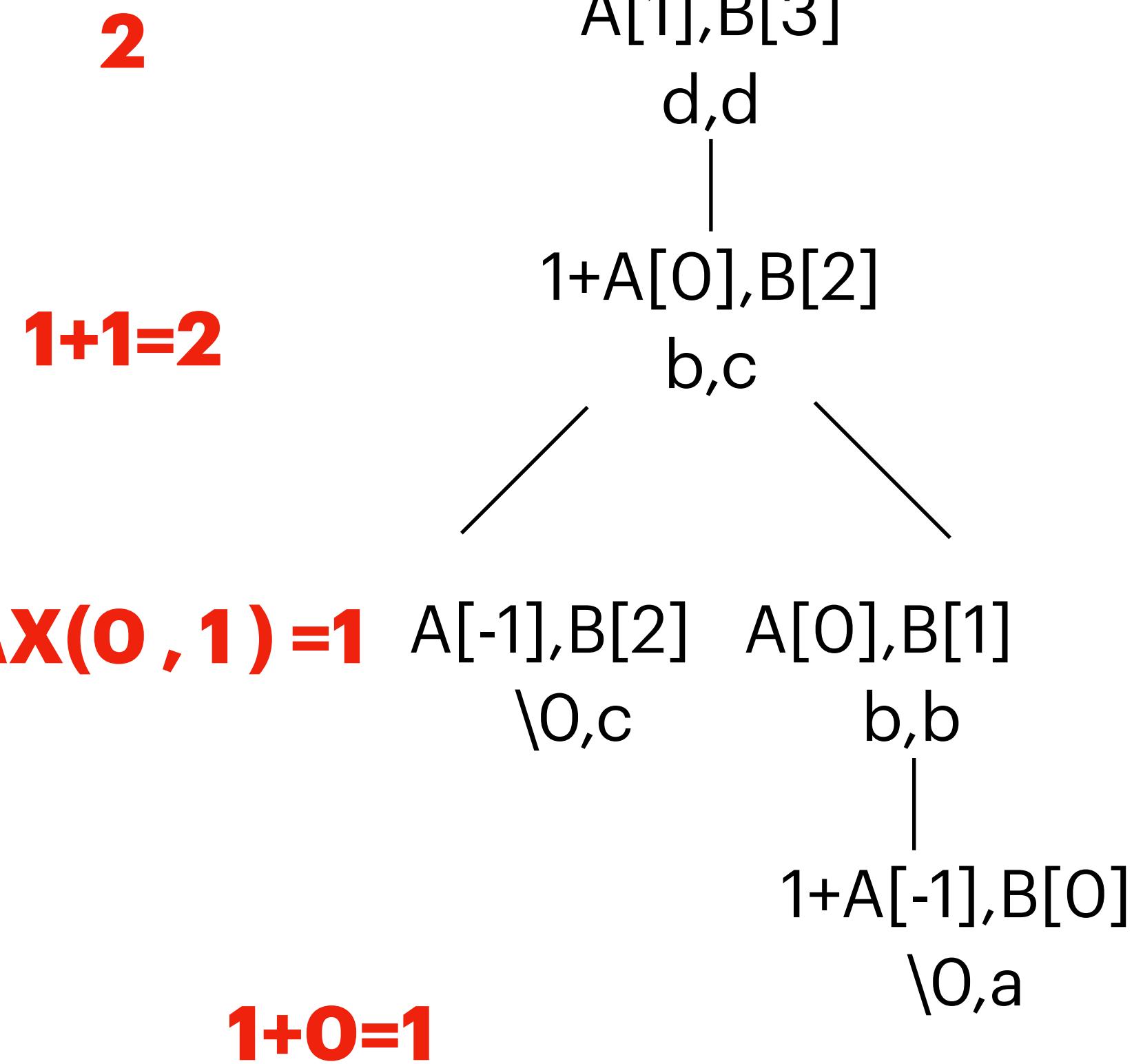
**B**

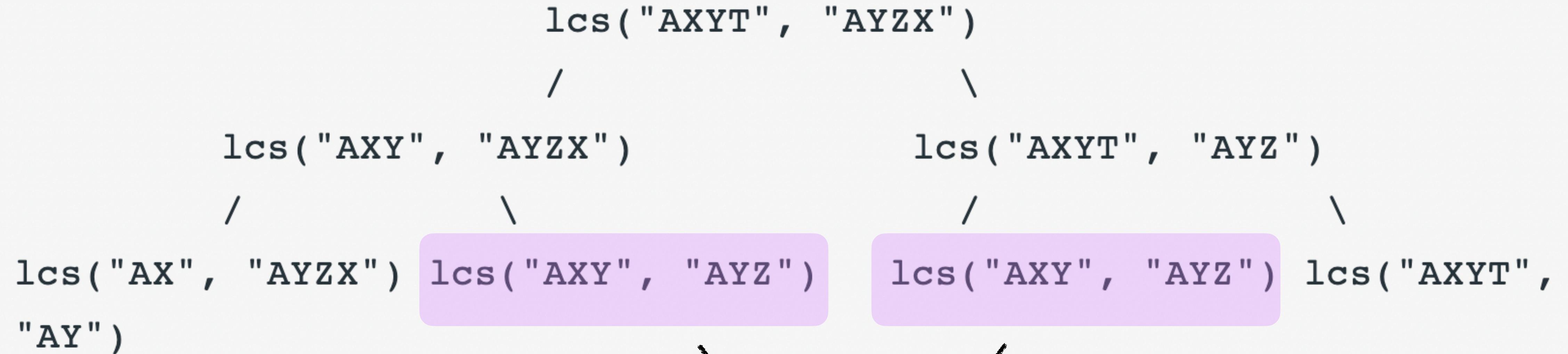
a	b	c	d
0	1	2	3

```

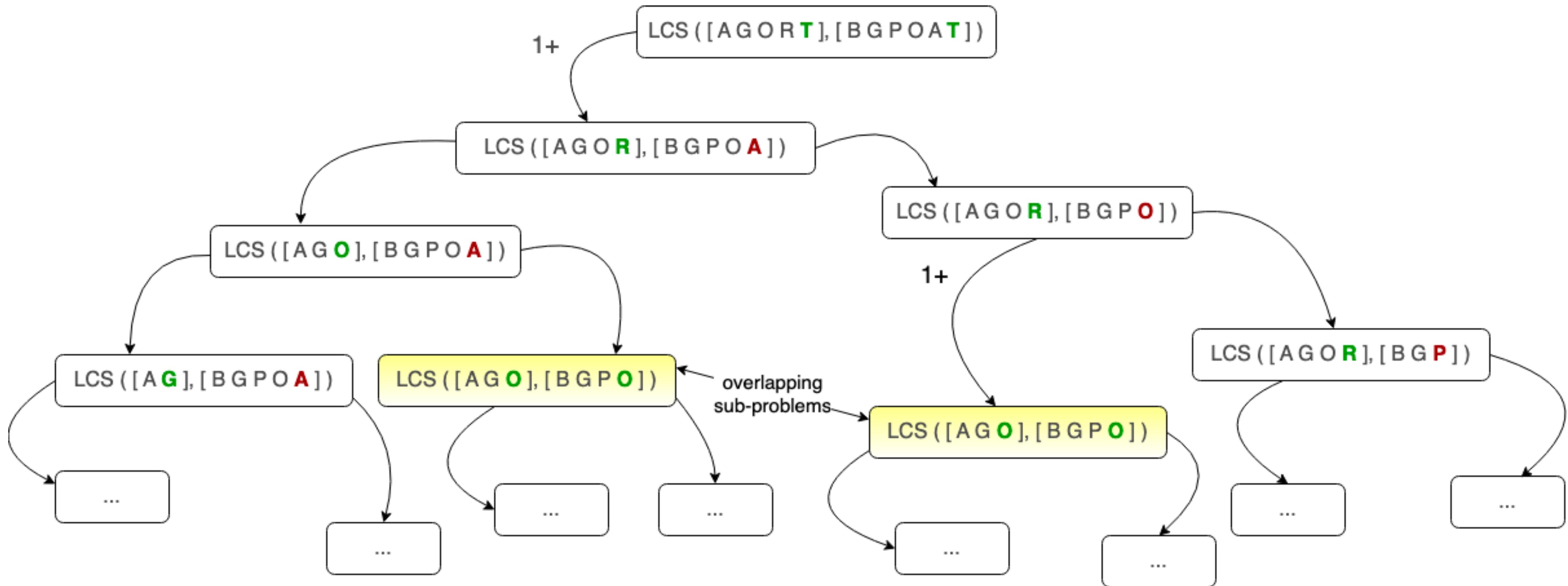
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{
    if (i== -1 & j== -1):
        return 0;
    else if (A[i]==B[j]):
        return 1+lcs(A,B,i-1,j-1);
    else
        return max(lcs(A,B,i-1,j),lcs(A,B,i,j-1));
}

```





**overlapping-subproblems**



Recursion tree for finding the longest common sub-sequence

# Longest Common Subsequence

## Dynamic Programming

- Procedure LCS-LENGTH takes two sequences :

$$X = \langle x_1, x_2, \dots, x_m \rangle \quad Y = \langle y_1, y_2, \dots, y_n \rangle$$

- Returns : b and c tables; c[m][n] contains the length of LCS of length X and Y

❖ store  $c[i,j]$  values in a table  $c[0..m][0..n]$

compute entries in row-major order (i.e. fill in the first row of  $c$  from left to right, then second row, and so on.)

❖ Also maintain table  $b[0..m][0..n]$  to help construct an optimal solution

$b[i][j]$  points to the table entry corresponding to optimal subproblem chosen when computing  $c[i][j]$

Time Complexity :  $T(n) = O(m * n)$

LCS-LENGTH( $X, Y$ )

```
1   $m = X.length$ 
2   $n = Y.length$ 
3  let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4  for  $i = 1$  to  $m$ 
5     $c[i, 0] = 0$ 
6  for  $j = 0$  to  $n$ 
7     $c[0, j] = 0$ 
8  for  $i = 1$  to  $m$ 
9    for  $j = 1$  to  $n$ 
10   if  $x_i == y_j$ 
11      $c[i, j] = c[i - 1, j - 1] + 1$ 
12      $b[i, j] = "\nwarrow"$ 
13   elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14      $c[i, j] = c[i - 1, j]$ 
15      $b[i, j] = "\uparrow"$ 
16   else  $c[i, j] = c[i, j - 1]$ 
17      $b[i, j] = "\leftarrow"$ 
18 return  $c$  and  $b$ 
```

# Longest Common Subsequence

## Dynamic Programming

LCS-LENGTH( $X, Y$ )

```
1   $m = X.length$ 
2   $n = Y.length$ 
3  let  $b[1..m, 1..n]$  and  $c[0..m, 0..n]$  be new tables
4  for  $i = 1$  to  $m$ 
5     $c[i, 0] = 0$ 
6  for  $j = 0$  to  $n$ 
7     $c[0, j] = 0$ 
8  for  $i = 1$  to  $m$ 
9    for  $j = 1$  to  $n$ 
10   if  $x_i == y_j$ 
11      $c[i, j] = c[i - 1, j - 1] + 1$ 
12      $b[i, j] = "\searrow"$ 
13   elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14      $c[i, j] = c[i - 1, j]$ 
15      $b[i, j] = "\uparrow"$ 
16   else  $c[i, j] = c[i, j - 1]$ 
17      $b[i, j] = "\leftarrow"$ 
18 return  $c$  and  $b$ 
```

	$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$	
0	$x_i$	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	-1	1
2	$B$	0	1	-1	-1	1	2	-2
3	$C$	0	1	1	2	-2	2	2
4	$B$	0	1	1	2	2	3	-3
5	$D$	0	1	2	2	2	3	3
6	$A$	0	1	2	2	3	3	4
7	$B$	0	1	2	2	3	4	4

# Longest Common Subsequence

## Dynamic Programming; Constructing LCS

- Begin at  $b[m][n]$  and trace through the table by following the arrows.
- whenever we encounter a “↖” in the entry  $b[i][j]$  it implies that  $x_i = y_j$  is an element of the LCS
- we encounter the elements of LCS in reverse order

PRINT-LCS( $b, X, i, j$ )

```
1  if  $i == 0$  or  $j == 0$ 
2      return
3  if  $b[i, j] == \nwarrow$ 
4      PRINT-LCS( $b, X, i - 1, j - 1$ )
5      print  $x_i$ 
6  elseif  $b[i, j] == \uparrow$ 
7      PRINT-LCS( $b, X, i - 1, j$ )
8  else PRINT-LCS( $b, X, i, j - 1$ )
```

	$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$	
	$x_i$	0	0	0	0	0	0	0
0	A							
1	0							
2	$\bullet$	$B$						
3	$\bullet$	$C$						
4	$\bullet$	$B$						
5	$\bullet$	$D$						
6	$\bullet$	$A$						
7	$\bullet$	$B$						

	A	B	C	D
-1	0	0	0	0
0	0	0	1	1
1	0	0	1	1
				2

The diagram illustrates a 5x5 matrix with numerical values. The columns are labeled with indices -1, 0, 1, 2, and 3, and the rows are labeled with indices -1, 0, and 1. The matrix contains the following values:

	-1	0	1	2	3
-1	0	0	0	0	0
0	0	0	1	1	1
1	0	0	1	1	2

A red box surrounds the value 2 in the bottom-right corner (row 1, column 4). Three blue arrows point to this value: one from the row label 1, one from the column label 4, and one from the value 2 itself.