

# Project 5 Pollution Vision

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# Introduction

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Air quality has become a major concern for many cities around the world. Poor air quality in urban areas may cause various health problems for people who are exposed to it in their everyday life. According to World Health Organisation (WHO), more than seven million persons are dying every year due to the air pollution and more than 80% of urban areas population lives in places where air quality over WHO guideline limits. Particularly, particulate matter in air is a public health hazard with both acute and chronic exposure.

PM2.5 refers to airborne particles less than 2.5  $\mu\text{m}$  in the aerodynamic diameter and has been linked to many adverse health outcomes, including cardiovascular and respiratory morbidity and mortality [1]. PM2.5 has found to cause about 3% of mortality from cardiopulmonary disease, 5% of mortality from cancer of the trachea, bronchus, and lung, and about 1% of mortality from acute respiratory infections in children under five year [2]. Therefore, it is crucial to better monitoring and further reduce the air pollution. The main sources of air pollution in urban areas are vehicle exhausts and industrial sites located around. Many cities have deployed a few advanced stations for air quality monitoring. However, the conventional air quality monitor equipment are high cost and time-consuming, which limited their implementations for quick, continuous and portable measurements [3].

There are many research focus on the accurate air quality monitoring and forecasting. Traditional approaches like chemistry-transport models (CTMs) and shallow statistical methods have the limitation of probing complex high-dimensional relationships from massive datasets with temporal and spatial heterogeneity. Recently, other modeling methods like machine learning have emerged as advanced technologies for air quality prediction and monitoring. Among different studies, the prediction from computer vision like videos or images is regarded as a promising topic as the figures are much easier to generate compared with traditional particle sample collection.

In this project, air pollution concentration prediction from roadway surveillance video frames and corresponding numerical data of sensors and conditions is conducted with various modeling methods. The dataset provided in this project contain around 65,000 video images with measured PM2.5 values and numerical conditions as the training data and around 7,200 video images with numerical conditions only as the test data. The goal of this project is to create a machine learning model that could predict the concentration of particulate matter given image data and numeric parameters corresponding to each image such as elevation, temperature, and camera angle.

# Literature Review

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There are many studies using digital camera and advanced algorithm to estimate the concentrations of Particulate Matters without machine learning. For example, Wong et al. 2007 [<https://ieeexplore.ieee.org/abstract/document/4293686>] present an image processing method for estimating concentrations of coarse particles (PM10) in real time using pixels acquired by an internet video surveillance camera. In this paper, the authors present formulas for predicting particulate matter based on optical physics including light absorption, scattering, and reflection. They do not use machine learning tactics to estimate pollution concentrations, but their model results in root mean square error values of around 4  $\mu\text{g}/\text{m}^3$ .

Instead of relatively simple regression methods, the machine learning method with more complex modeling were investigated more recently. For example, Liu, Tsow, Zou,& Tao [4] conducted the following steps to make use of images to predict the air pollution concentration: ROI (region of interest) selection, extraction for image features, support vector regression for model training and predicting.

Among different machine leaning network, like the recurrent neural network (RNN), the long short-term memory (LSTM) network, and the gated recurrent unit (GRU) network for temporal series predictions, and the convolutional neural network (CNN), the stacked autoencoder (SAE), and the deep belief network (DBN) for spatial feature extractions , the convolutional neural network (CNN) is widely applied for image data processing [<https://link.springer.com/article/10.1007/s40726-020-00159-z>]. The CNNs contain multilayer of fully connected networks, each neuron in one layer is connected to all neurons in the next layer. The networks in CNN employs mathematical operation called convolution, which is relatively simple. Various building blocks, hyperparameters, methods and frames can be chosen for specific applications, which makes the CNN very flexible. The CNNs also use relatively little pre-processing compared to other image classification algorithms, and can be conducted independently from prior knowledge and effort in feature design, which make CNN distinguished from others. Grant-Jacob et al. [5] developed a real-time particulates detection method with CNN to identify particulates from their scattering patterns. The CNN model was developed by training of scattering patterns paired with the real particle images. The CNN used in this work consisted of an input layer, two convolutional layers as well as a fully connected layer (1024 neurons) to generate the categorisation output. With the CNN model developed, the real-time sensing tests also conducted with the accuracy of 86%. This piece of study indicates the CNN method can be a strong approach to deal with the image processing, which can be implement into this project. Additionally, Hong et al. [6] developed a novel method of predicting the concentrations and diameters of outdoor ultrafine particles using street-level images and audio data in Montreal, Canada. Convolutional neural networks, multivariable linear regression and genralized additive models were used to make the predictions.

Above studies demonstrate the potential of using parametric machine learning algorithms, like support vector regression, or nonparametric machine learning algorithms, like CNN for air pollution estimation. Although neural networks can have good performance with high prediction accuracy, their results are difficult to interpret with complex hidden layers. The random forest algorithm, an additional family of machine learning algorithms cna provide the variable importance measures, which can help estimate the strength of relationships between PM2.5 and various predictors. Similarly, random forests provide multivariate, nonparametric, nonlinear regression based on a training data set. [1]. However, different from NN, random forests construct a multitude of decision trees at training time and outputting the class that is the mode of the classes (classification) or mean/average prediction (regression) of the individual trees. A recent study estimated the PM2.5 concentrations in the conterminous United States by the random forest approach, which achieved an

overall cross-validation (CV) R<sup>2</sup> value of 0.80, with 2.83 µg/m<sup>3</sup> of the root mean squared prediction error (RMSE) for daily predictions [1].

# Exploratory Data Analysis

## 1. Variables Explanation

**Table 1:** Variables Explanation

Data Fields	Explanation
Temp(C)	ambient temperature
Pressure(kPa)	air pressure
Rel. Humidity	relative humidity
Errors	if the air measurement equipment has error during sampling (0=no)
Alarm Triggered	if any instrumental warning shows during sampling (0=no)
Dilution Factor	an instrumental parameter (should close to 1)
Dead Time	another instrumental parameter (ideally close to 0)
Median, Mean, Geo. Mean, Mode, and Geo. St. Dev.	parameters describe particle sizes, which can be ignored
Total Conc.	an output variable from the instrument that should not be used
image_file	the visual information of the traffic condition, corresponding to an image in the "frames" directory
Wind_Speed	the wind velocity during sampling
Distance_to_Road	the distance between camera and road
Camera_Angle	the angle of incidence between the camera and the road
Elevation	the elevation between the camera and the breathing zone
Total	the total measured particle number concentration (# / cm <sup>3</sup> ) This is the dependent variable

## 2. Data Cleaning

### Delete the useless columns in the dataset

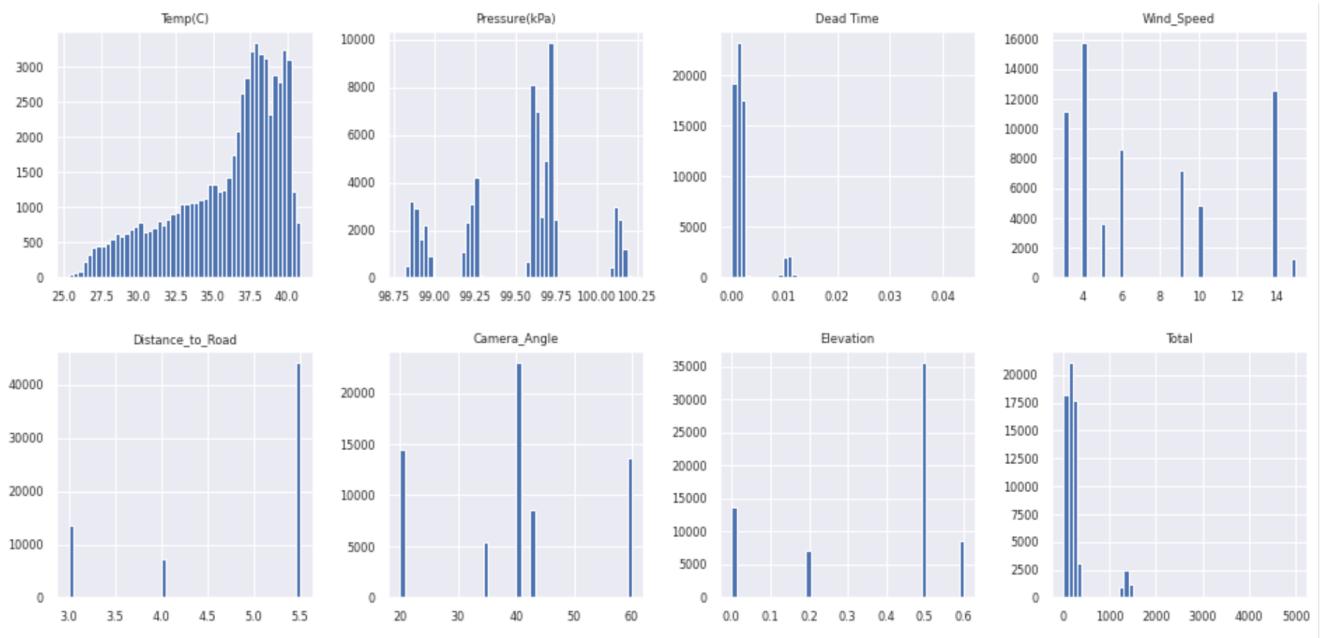
- The first column titled unnamed is meaningless.
- The columns titled Median, Mean, Geo. Mean, Mode, and Geo. St. Dev. are parameters describing particle sizes, which can be ignored.
- The column titled "Total Conc.(#/cm<sup>3</sup>)" is an output variable and should not be used.

### Delete the rows with equipment error during sampling

- train = train[train['Errors'] == 0].reset\_index(drop=True), only keep the rows with no error (value = 0)
- train = train[train['Alarm Triggered'] == 0].reset\_index(drop=True), only keep the rows with no warning (value = 0)

### 3. Visualization of the distributions of variables

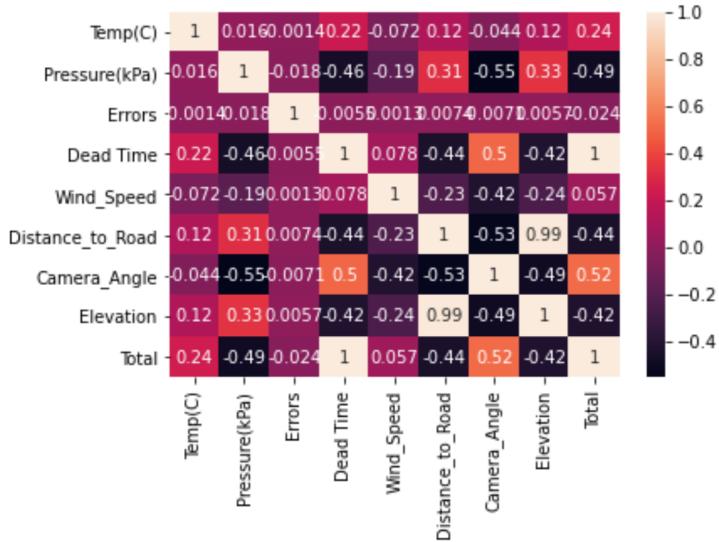
Figure 1 shows that “Wind\_Speed”, “Camera\_Angle”, “Distance\_to\_Road” and “Elevation” are all in discrete distributions, while “Temp(C)” are in continuous distribution. “Pressure(kPa)” has four clusters. It should also be noted that the “Dead Time” almost shares the same distribution as “Total”.



**Figure 1: Variables Distribution**

### 4. Correlations among variables

From the correlation map 2 we could see that “Dead Time” are extremely correlated with “Total”, with a coefficient of 1, followed by “Camera\_Angle”, “Pressure(kPa)” and “Distance\_to\_Road”, with coefficient of 0.52, 0.49, 0.44 respectively. Here you may be curious why “Dead Time” could be so closely related to “Total”, and there is one possible explanation: Actually, “Dead Time” is an instrument parameter, and if there are more PM concentrations in the air, the instrument need more time to process, and vice versa.

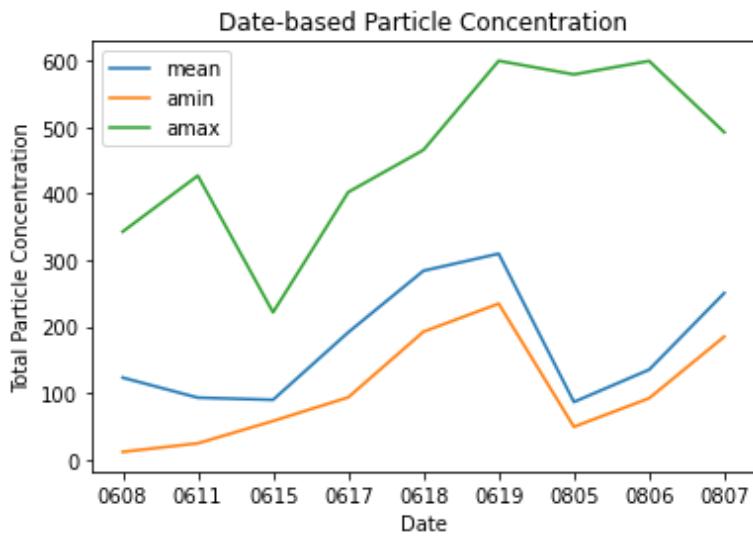


**Figure 2: Variables Correlations**

## 5. Visualize the total concentration based on different dates

Extract the total particle concentration data based on different dates and then visualize it. Basic steps are as below:

- Extract the date information from the column called “Image\_file”: taking the image “video08052020\_2771.jpg” as example, we will extract the date “0805”.
- Add one column named “Date”: 0805
- Group by “Date” and plot the date-based concentration diagram



**Figure 3: Date-based Particle Concentration**

## Model

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# Shiyuan's Model

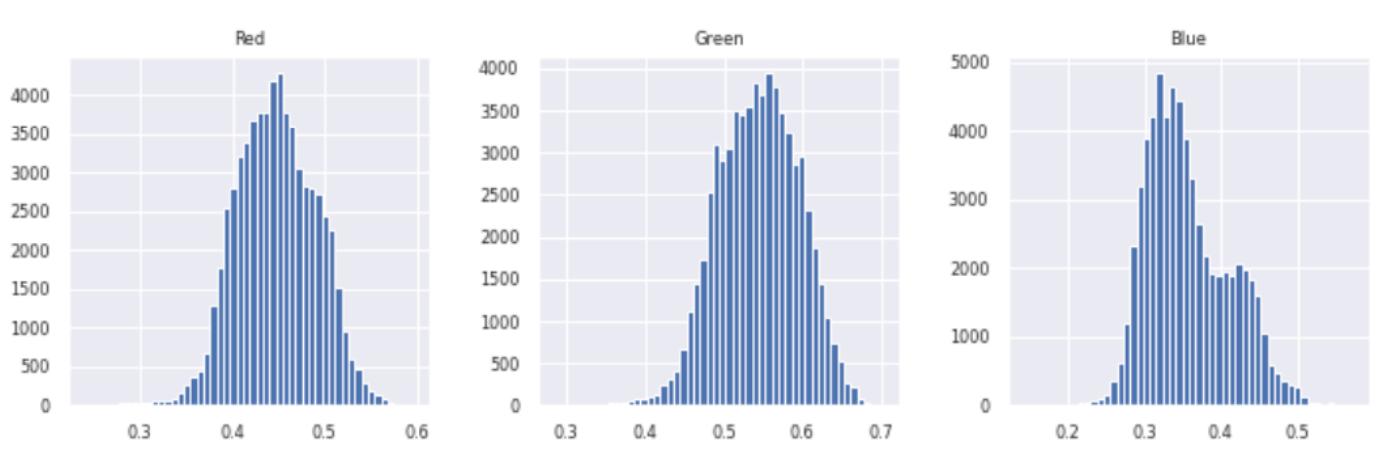
My model setup splits into two part, the first is image data extraction, the second is the selection of appropriate model to fit this dataset.

## Image Extraction

First I want to digitize images by extracting image features, there are mainly 6 features I want to extract: RGB, image luminance, image contrast, image entropy, transmission and amount of haze removed and number of cars on streets.

### 1. RGB

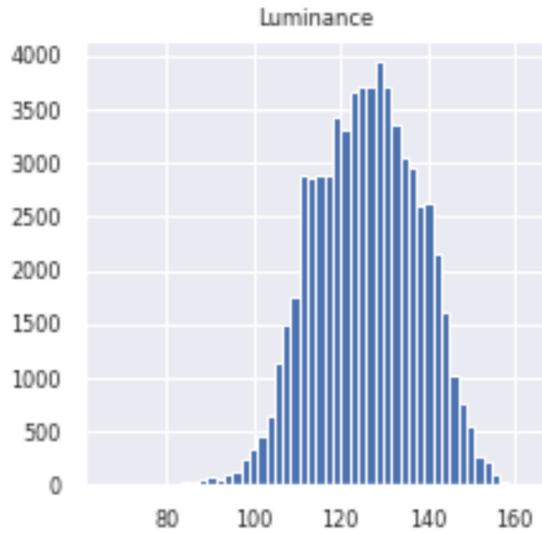
The RGB color model is one of the most straightforward parameters describing an image. Intuitively, in this case, we may expect more blueness and greenness if the PM concentrations are low since the color of tree and sky would be brighter when the air conditions are good. For each image, after deriving the RGB of each pixel, we take the average of them, and then divide each value by 255 to normalize it. The figure below [4](#) shows the distributions of RGB in this dataset. We can see that they are nearly normally distributed with mean 0.45, 0.55 and 0.35 respectively. For blueness, we could see a second peak at around 0.42.



**Figure 4: RGB Distribution**

### 2. Luminance

Like RGB, luminance is also a very basic parameter describing an image, which could be an indicator of how bright the image will appear. The luminance of each image is calculated by taking the average of the luminance intensity of each pixel. From figure [5](#) we could also see that it's also normally distributed with a mean of around 130.



**Figure 5: Luminance Distribution**

### 3. Contrast

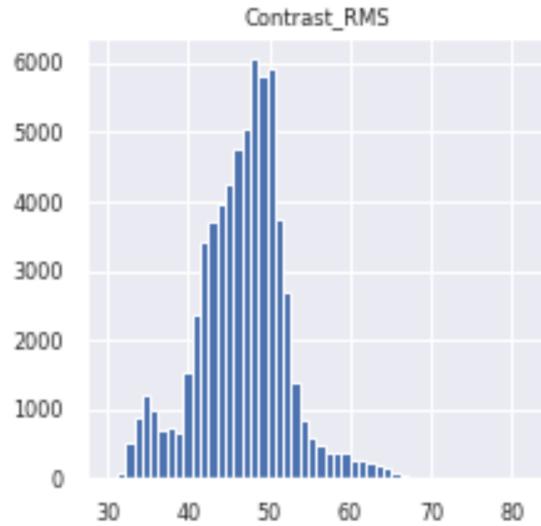
The image contrast is defined as the difference between the max and min luminance intensity of an image. Study [4] shows that the higher the PM concentrations, the lower contrast would be. It makes sense since the image would become vague and lighter when there are more particulate matters in the air. And often, one image would have pixels with the highest intensity of 255, as well as the lowest intensity of 0. Therefore, we can't see much difference if we want to derive the absolute contrast, since it would be 1 for most of those images. Therefore, we use root mean square of image intensity to describe image contrast.

$$Absolute_{Contrast} = \frac{I(i_{max}, j_{max}) - I(i_{min}, j_{min})}{I(i_{max}, j_{max}) + I(i_{min}, j_{min})} \quad (1)$$

$$RMS_{Contrast} = \sqrt{\frac{1}{MN} \sum_{i=1}^N \sum_{j=1}^M (I(i, j) - avg(I))^2} \quad (2)$$

where  $I(i,j)$  is luminance intensity at  $(i,j)$  pixel.

From figure 6 we could see that the distribution is a little bit right-skewed with a small peak at around 35, and a larger one at around 50.



**Figure 6: Contrast Distribution**

#### 4. Entropy

Image entropy is a statistical measure of randomness that quantifies information contained in an image. Usually, an image would lose its details with the increasing PM concentrations, and the image entropy will decrease as a result [4]. To do the calculation, I first converted the original RGB image to grayscale image and then used a module within python: *skimage* to calculate image entropy directly. The example code is shown as below:

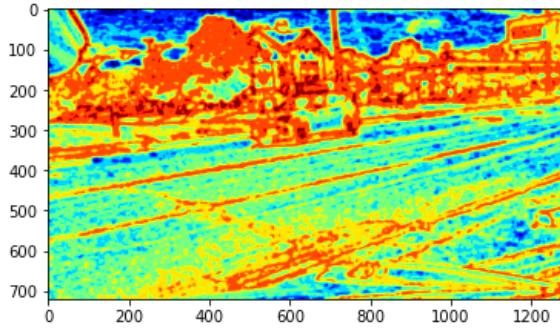
```
colorIm =
    Image.open('../input/pollutionvision/frames/frames/video06082020_0.jpg')

greyIm = colorIm.convert('L')
ImContrast = skimage.measure.shannon_entropy(greyIm)
```

Figure 7 is the original figure and figure 8 shows its entropy.



**Figure 7: Original Image**

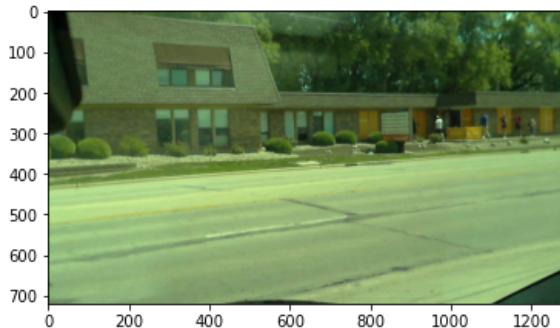


**Figure 8: Entropy**

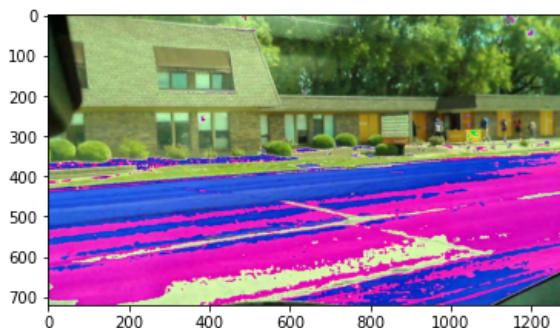
### 5. Transmission and amount of haze removed

Transmission means the attenuation of radiance, and there are many ways to calculate transmission. One of the most efficient method is called “dark channel prior dehazing”, with which we could estimate the thickness of the haze and recover a haze-free image. The definition of “dark channel prior dehazing” is that outdoor images contains some pixels whose intensity is very low in at least one color channel, and it is able to directly estimate the thickness of the haze and recover a high-quality haze-free image (10.1109/TPAMI.2010.168). The amount of haze removed is calculated by `((dc(I) - dc(J))**2).mean()`, where dc is dark channel, I is the original hazy image, and J is the dehazed image.

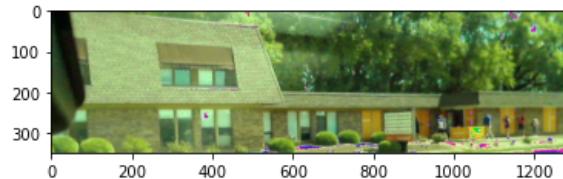
To calculate the transmission and the amount of haze removed, the first step is to set appropriate transmission rate for different images, and then dehaze them. We can see the second figure [10](#), which is already dehazed, is obviously brighter than the original image. The process of dehazing is like lifting a mask from the original image. However, we can see the lower part of the dehazed image has some noises, and currently I can't deal with them. Fortunately, for images from the same video, the place where noises occur is the same. Therefore, all we need to do is to select the appropriate region of interest for images from different videos to get rid of noises. And figure [11](#) is the final output image after dehazing and selecting ROI. The code to calculate transmission and the amount of haze removed is adapted from [\[7\]](#) and [\[8\]](#).



**Figure 9: Original Image without Dehazing**



**Figure 10: Dehazed Image (with noise)**



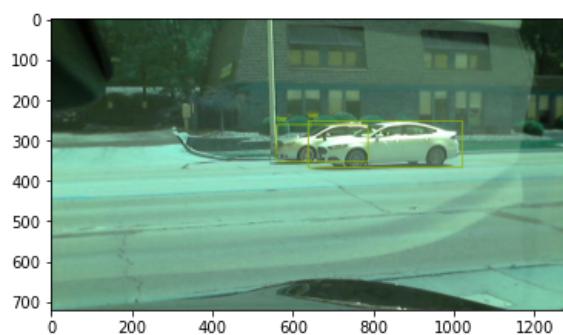
**Figure 11: Dehazed Image (within ROI)**

## 6. Number of cars on streets

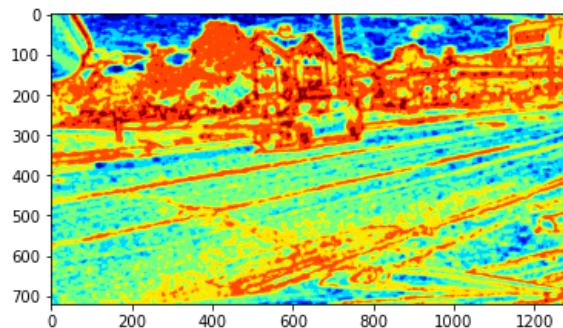
Also, I take the number of cars on streets into account. Intuitively, the more cars on the street, the higher PM concentrations would be. There is a very efficient library in python called *cvlib*, within which a function called *object\_detection* could detect the number of different objects appearing on an image. The example code is shown as below:

```
im =  
    cv2.imread('../input/pollutionvision/frames/frames/video06082020_0.jpg')  
bbox, label, conf = cv.detect_common_objects(im)  
output_image = draw_bbox(im, bbox, label, conf)  
number_of_car = label.count('car')
```

As we can see in figure [12](#), the left image has 2 cars on street, and we can detect exactly two cars; while figure [13](#) has no car on street but 5 cars parking in the parking lot, and we could detect 5 cars.



**Figure 12: Two cars detected**



**Figure 13: Five cars detected**

Here comes the problem, this function could only detect the number of cars appearing on an image but can't identify which is in motion. But the moving cars are actually the ones which contribute to PM

concentrations at the very moment. However, in this case, I just keep the original detection results, since if there are more cars in the parking lot, I just assume it's a traffic busy day, on which the PM concentrations would be higher than normal days.

## 7. Correlations among variables

Here we plot out the spearman correlations among those features in figure 14, the last column shows the spearman correlations between each feature and the Total PM concentrations. As we can see, the dead time, which is an instrument parameter, is closely correlated with PM concentrations, followed by Pressure, RGB, luminance and temperature. However, since the dataset is rather complicated, the correlations may mean nothing. Actually, the different combination of different features may have various impacts on the results of our model. And the correlations just provide us with a straightforward perception.

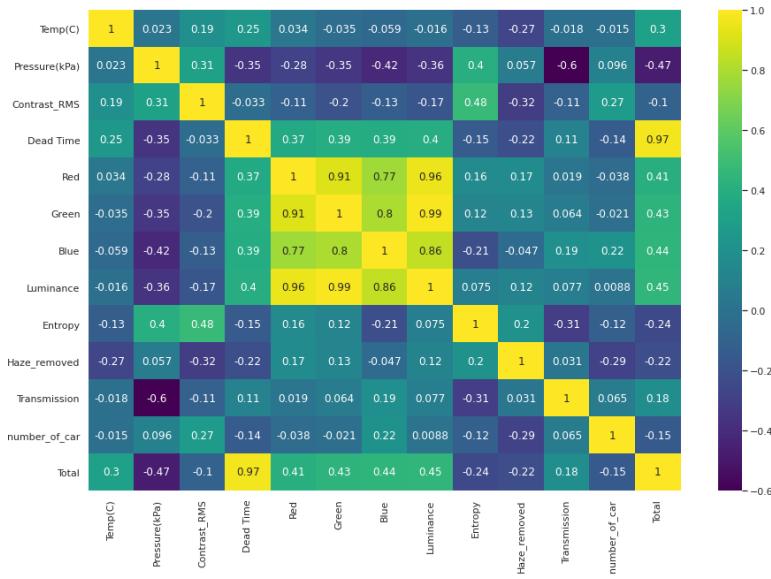


Figure 14: Variables Correlations (include digitized image data)

## Model Selection

As mentioned before, we can't select the features barely based on their correlations with PM concentrations, since I have both numerical data and digitized image data, which could be very complicated. Therefore, I selected different combinations of features and run the model several times to select the one with best performance. At first, I tried the Neural network, but it doesn't do well in this dataset, with an MSE of around 800. Then I calculated the model accuracy using `cross_val_score`, and then I switch to random forest, which gives me an accuracy of 0.997. With the help of `* GridSearchCV*`, I could decide on the parameters for random forest:

```
RandomForestRegressor(max_depth=20, n_estimators=1000, random_state=3). With those parameters, I could get an RSME around 11.
```

## Gemma's Model

I used three different approaches to predict pollution concentrations: building a neural network using the numeric data, creating a neural network using the image data, and developing a random forest model using the numeric data.

For all three models, I performed the same first initial steps. I read in the "csv" files containing the training dataset and test dataset and removed the variables that should not be included in the model. These variables included parameters related to the particulate size and shape, superfluous instrument parameters, and variables with a standard deviation of 0. For each of the 64961 data points, there was one image and eight numeric variables: temperature, pressure, errors, dead time, wind speed, distance to road, camera angle, and elevation. There were no missing values in the dataset. I then randomly split the training dataset into a validation dataset (20% of the original training dataset) and a new training dataset (80% of the original training dataset).

### Neural Network (Numeric Data)

To prepare the numeric data for the neural network model, I set the eight numeric variables to be the independent "x" variables and the total pollution to be the dependent "y" variable in a tensorflow dataset. I set the batch size to be 50 and created a "Sequential" keras model. My model had four layers: two "relu" layers with 30 units each, a "sigmoid" layer with 30 units, and a linear layer with 15 units. Using a learning rate of 0.0005, loss of mean square error, and 30 epochs, I compiled the model and tested it on the validation dataset. The mean square error converged at around 1,500-1,800 depending on the random training and validation dataset generated in the initial setup.

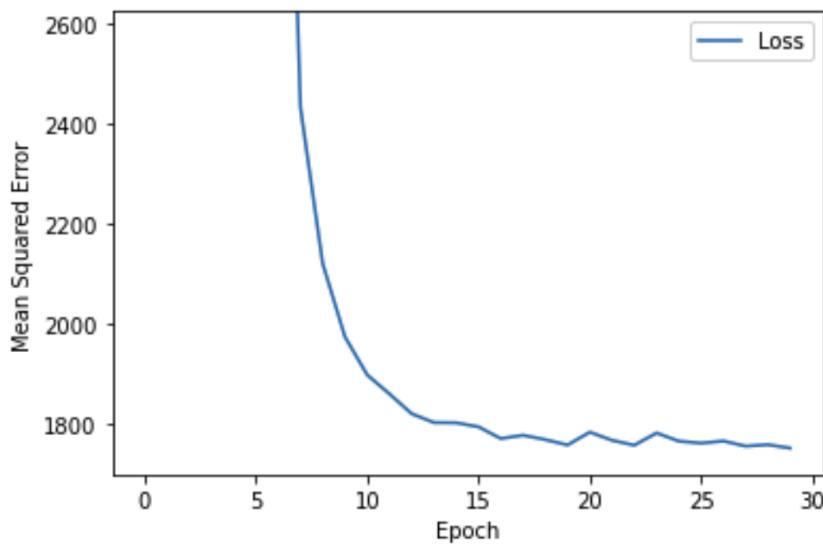
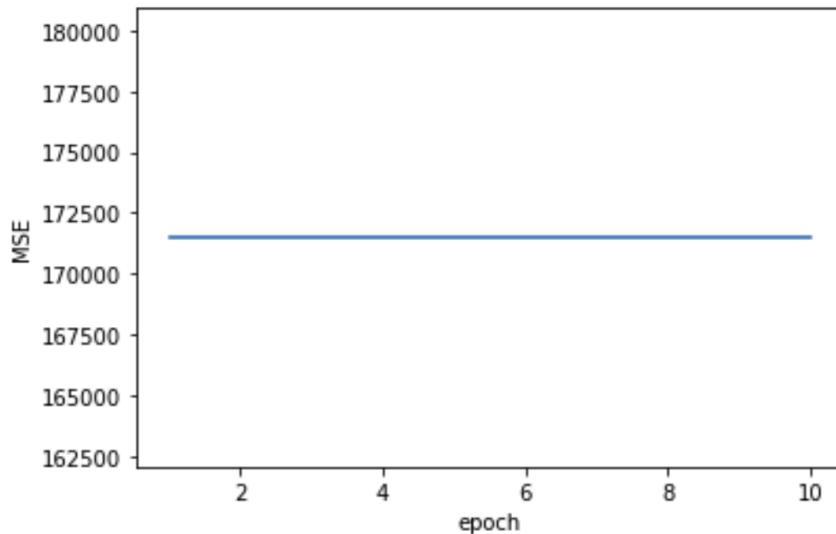


Figure 15: Numeric Neural Network Mean Square Error

### Convolutional Neural Network (Image Data)

To prepare the image data for the neural network, I created a function that loaded the image from the image name, randomly flipped it vertically, randomly flipped it horizontally, and then randomly cropped the image to be 72 x 128 (from the original size of 720 x 1280). I initially tried using the entire image, but when compiling the model, my computer ran out of memory. Using a batch size of 25 and "imagenet" weights, I created a model using "applications.Xception" and added a normalization layer that normalized the image data from (0, 255) to (-1, +1). The weights of the normalization layer were the mean ( $0 + 255)/2 = 127.5$  and variance (in this case set to be the square of the mean). My model

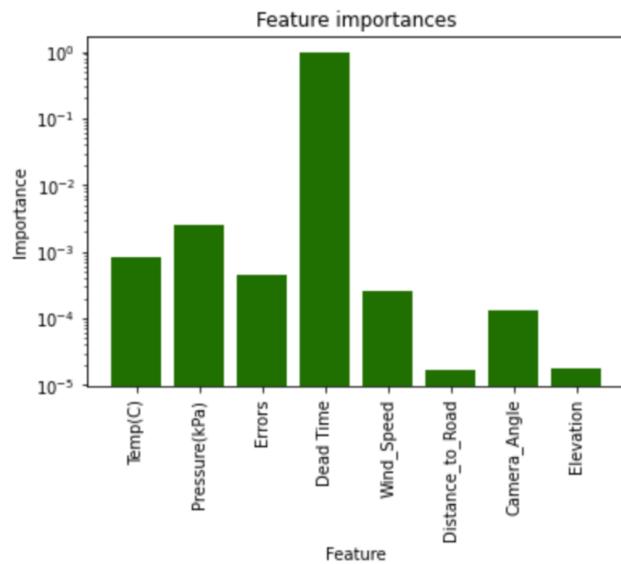
used GlobalAveragePooling2D, had a Dropout at 0.5, and activation of "softmax." Using a learning rate of 0.00005, "optimizers.Adam," loss of mean squared error, and 10 epochs, I fit the model to my validation dataset and regularly had mean square error values exceeding 170,000 for each of the different randomly selected validation and training datasets created in the initial setup.



**Figure 16: Image Neural Network Mean Square Error**

## Random Forest (Numeric Data)

For the random forest model, I set the eight numeric variables from the training dataset to be the independent "x" variables and the corresponding total pollution from the training dataset to be the dependent "y" variable. I also separated the validation dataset into the independent variables and dependent variable. My random forest model had 1000 "n\_estimators" (trees), used mean square error as its criterion, had a maximum depth of 20, and had a minimum sample split of 2. I fit the random forest model using the training dataset and then used the model to make predictions for the validation dataset. The resulting mean square error was around 140, which was much lower than the mean square error produced by the neural networks for the numeric and image data. Looking at the features that had the most influence on the random forest model, the dead time had 100-1000-fold more impact on the predicted pollution than any of the other independent variables. This makes sense because I now know that the dead time is an instrument parameter stating how long the instrument has to "think" to measure the pollution, so longer dead times would be associated with higher pollution.



**Figure 17: Random Forest Feature Importance**

Since my random forest model performed best on my validation dataset, I used the entire original training dataset (not split into training and validation data) to create a random forest model with the same parameters. I then used this model to predict pollution values in the test dataset which resulted in a final mean square error of 124 or root mean square error of 11.2.

## Weiqi's Model

Two modeling methods were applied in my kaggle competition part: the Convolutional Neural Network (CNN) for images and the Random Forest for numerical data.

### Convolutional Neural Network (Image Data)

The first model I tried was the CNN with the image information only. First I cleaned up the training dataframe to only contain the total concentration column which is the dependent variable and the image file column which contain the corresponding image name to the concentration, and also cleaned the test dataframe only contain the image file column. In order to deal with large amount of images (~65,000), the size of each image was then compressed from (1280,720) to (128,72) with the quality of 90%, the output images were saved at the kaggle/working space and all got the name of "initial image name"+" resized.jpg". The resized image name column was also added in training and test dataframe. Although this process lost lots of image details, but help boost the model training and prevent the out of memory problem in kaggle.



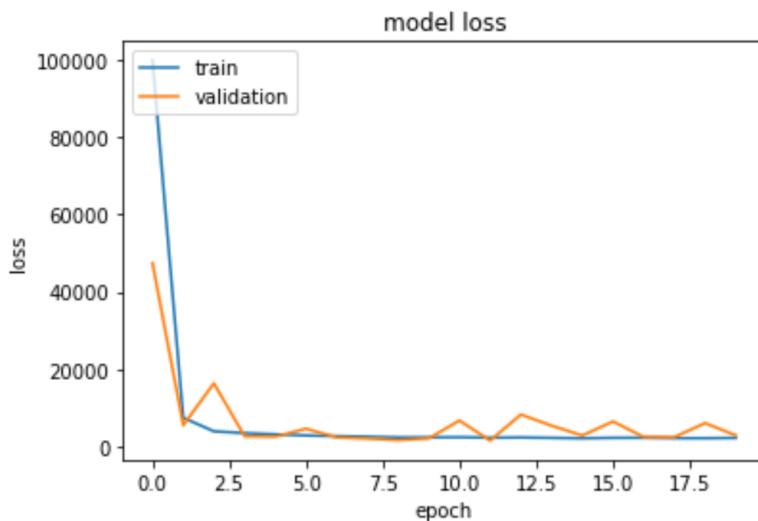
Figure 18: 1280x720 image before compression



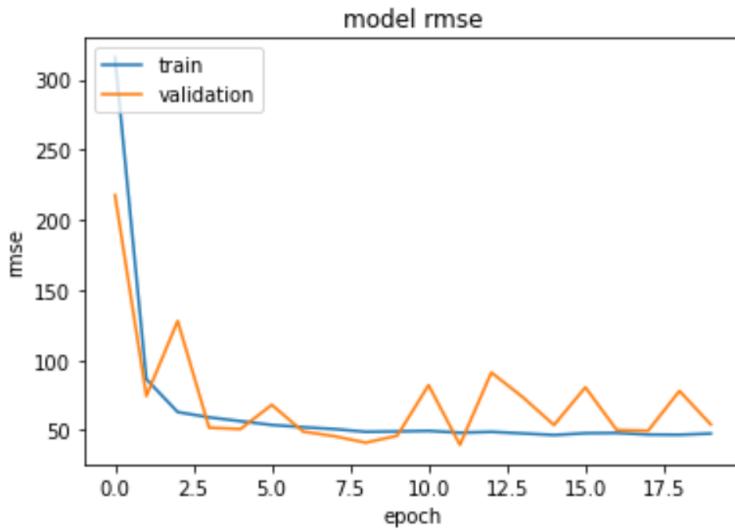
Figure 19: 128x72 image after compression

After the data preparation, next step is standardizing and generating the data for modeling. The ImageDataGenerator can take the image data and the label from the dataframe, and set the batch\_size and seed. Specifically, the class\_mode="raw" for numerical data regression. For training data, the shuffle is True for a more random training. For testing data, the shuffle is False to make the prediction in order. In general, developing models that take least possible amount of preprocessing are preferred. As all the images have same size of 128x72, standardizing the data size is not necessary here. However, each pixel consists of 3 integer values between 0 and 255 (RGB level values). So pixel values need to be normalized between -1 and 1. It can be achieved with the ImageDataGenerator setting to rescale the value. Additionally, in order to better evaluate the model, the whole training set was split to training and validation set with the proportion of 0.7 and 0.3.

Instead of the Xception model which is well-developed and pre-trained for image classification, a regression model for continuous output is needed in this project. A keras "Sequential" model with three layers was built up: one input layer, one hidden layer and one output layer. All layers used "relu" activation and have the BatchNormalization. The input layer and hidden layers are Conv2D with (3,3) kernel\_size, and MaxPooling2D with (2,2) pool size. The filters in each layer (32/64/128) and dropout rate in the model were fine-tuned to have lower RMSE. The model then was complied with Adam optimizer and RootMeanSquaredError as the metrics, then was applied to fit the training and validation data, the learning rate and the epoch number were another two hyperparameters to fine-tuning the results. Using all layer with 32 filters, learning rate of 0.005, drop rate of 0.25 and 10 epochs, the model loss and rmse change with each epoch were plotted at figure below. The RMSE of this CNN model could reach 40-50 depending on the the hyperparameter setting and the random split of training set. Compared with the concentration value in training set which in range of 100-300, the RMSE of 40-50 is still too high to have a reliable prediction.



**Figure 20: training and validation loss change with the epoch (learning rate: 0.005; drop rate: 0.25; epochs: 10)**



**Figure 21: training and validation rmse change with the epoch (learning rate: 0.005; drop rate: 0.25; epochs: 10)**

## Random Forest (Numerical Data)

In order to make more accurate prediction, the Random Forest was applied for the modeling of numerical data. According to the correlation map in EDA part, the “Errors” and “Wind\_Speed” have relatively low correlation with the dependent variable “Total”, the rest six independent variables - “Temp(C)”, “Pressure(kPa)”, “Dead Time”, “Distance\_to\_Road” and “Camera\_Angle”, ‘Elevation” were selected as the features for model, and the “Total” was served as the label in model.

The Random Forest modeling was easier compared with CNN previously. The training and test dataset were cleaned to only contain the six independent variables and the dependent variable “Total” for training set. Then the RandomForestRegressor in sklearn package was applied to build the model with hyperparameters, like the number of trees, maximum depth, the minimal samples split and leaf. The criterion was set as “mse”. By fine-tuning the hyperparameters, the best RMSE was achieved around 16 with number of trees=100, maximum depth=20, the minimal samples split=10 and minimal samples leaf=1.

The Random Forest modeling result of RMSE=16 is better than the 40-50 in CNN, so it also served as my final kaggle competition result. However, regarding to the goal of this project, which should more focus on the image information extraction and prediction, the CNN model should be further optimized by modifying its structure and adjusting several hyperparameters.

# Xueao's Model

## 1. Preparation stage

In my literature review for this project, the article "Particle Pollution Estimation Based on Image Analysis" basically follows the following steps to make use of images to predict the air pollution concentration: ROI (region of interest) selection, feature extraction, regression model training and predicting.<sup>[4]</sup> The image feature extraction work is basically on the algorithm illustrated in this article.

The recommended features to be extracted from hazy image are transmission rate, RMS image contrast, image entropy, color, and smoothness of the sky. Since the images provided in this project don't include the sky, I cannot select the region of the sky or extract the feature of sky color and smoothness. Thus, I will only extract the transmission, RMS contrast and image entropy as the reference features.

- **Convert the images into gray scale or binary images**

The color images were converted into gray scale images, and then further into binary images with Otsu method. The Otsu method converts gray scale to binary images by selecting a threshold that minimizes the intra-class variance or maximizing the inter-class variance. The detailed coding process is shown in my Kaggle notebook. Part of the code is shown below.

```
import matplotlib.image as mpimg
def rgb2gray(rgb):
    return np.dot(rgb[...,:3], [0.2989, 0.5870, 0.1140])
img = mpimg.imread(r'C:\Users\xueao\Desktop\CEE
                    498DS\Project\video06182020_1271.png')
gray = rgb2gray(img)
plt.imshow(gray, cmap=plt.get_cmap('gray'), vmin=0, vmax=1)
plt.show()
```

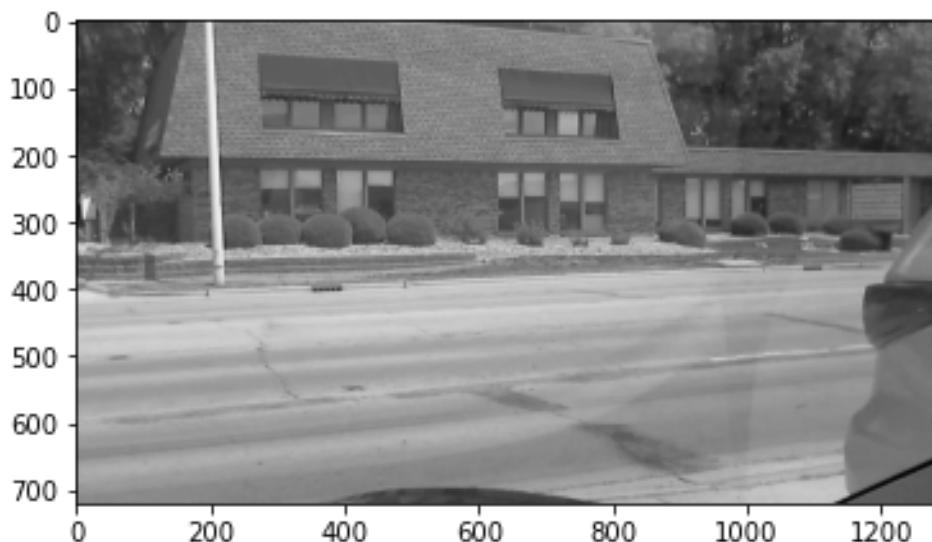


Figure 22: Output of Gray Scale Image

- **Feature extraction**

## 1. Transmission

Transmission is an important feature when we want to predict the PM concentration based on the images or videos. Liu, Tsow, Zou,& Tao (2016) "Transmission can be used to describe the attenuation of scene radiance. To solve for the transmission and thus the attenuation with a single hazy image, the concept of dark channel has been introduced, which assumes the existence of some pixels with zero or very low intensity at least for one color channel in all the outdoor images." Part of the code is shown below. For full version, please check with the Kaggle competition notebook.

```
def compute_transmission_rate(img,atmosphere_light_max,omega,dark_channel_img,
                               h,w=img.shape[:2]
                               img_gray=cv2.cvtColor(img,cv2.COLOR_BGR2GRAY)
                               zero_mat=np.zeros((h,w))
                               transmition_rate_est=cv2.max(zero_mat,np.ones_like(zero_mat))-omega*dark_ch

                               #transmission_rate = guied_filter(img_gray,transmition_rate_est,
                               #                                 guided_filter_radius, epsilon)
                               transmission_rate=cv2.ximgproc.guidedFilter(img_gray.astype(np.float32),tr

                               return transmission_rate
```

## 2. RMS contrast

Image contrast is another important feature when predicting the PM concentration. Further, according to Liu et al.(2016),"Human visual perception of air quality is related to image contrast, or visibility." The definition of RMS contrast is the standard deviation of the image pixel intensities. I will use the root mean square (RMS) of the image to represent the image contrast. Part of the code is shown below. For full version, please refer to Kaggle competition notebook.

```
pre_contrast = cv2.cvtColor(im2, cv2.COLOR_BGR2GRAY)
RMS_contrast = pre_contrast.std()
RMS_contrast
```

## 3. Image entropy

"Another image feature that can possibly provide PM information is image entropy, which quantifies information contained in an image, and is related to image texture."(Liu et al., 2016) To determine the image contrast and entropy, we first have to converted a color images into a gray scale image, which has been done in the step above.

## 4. Image and numerical data

Under certain conditions, the method of extracting features from images helps when predicting the PM concentration. But in this project, after trial, I found the correlation between image data and the air pollution concentration is not very high. The numerical data given in train and test dataset is more important for training and prediction.

## 5. Training and validation data

Validation is essential when training and evaluating the models. Thus, after processing the train dataset with the similar steps in EDA, I split the processed train data ( $X, y$ ) into two parts: one part (80% of the original train dataset:  $X_{train}, y_{train}$ ) for training, the other part (20% of the original train dataset:  $X_{validation}, y_{validation}$ ) for validation. The detailed split can be realized by using `train_test_split`, and set the `validation_size` to be 0.2.

```
from sklearn.model_selection import train_test_split
validation_size = 0.2
seed = 3
X_train, X_validation, y_train, y_validation = train_test_split(X, y, \
    test_size=validation_size, random_state=seed)
```

In the following parts, I chose to use the numerical data to train my models: firstly evaluate all the 4 kinds of models using the scoring standard of  $r^2$ , secondly select and tune hyperparameters for the models with the best performance in the evaluation, thirdly train the selected models with the obtained best parameters, lastly follows the scoring standard of root mean squared error to select the final model.

## 2. Model introduction and evaluation

Here I will evaluate and compare four different models. They are Ridge regression, Lasso regression, RandomForestRegressor and GradientBoostingRegressor.

```
for estimator in estimators:
    scores = cross_val_score(estimator=estimator[1],
                             X=X_train,
                             y=y_train,
                             scoring='r2',
                             cv=3,
                             n_jobs=-1)
    #print('CV accuracy scores: %s' % scores)
    print(estimator[0], 'CV accuracy: %.3f +/- %.3f' % (np.mean(scores),
        np.std(scores)))
```

- **Ridge regression** [9]

### Ridge Regression Models

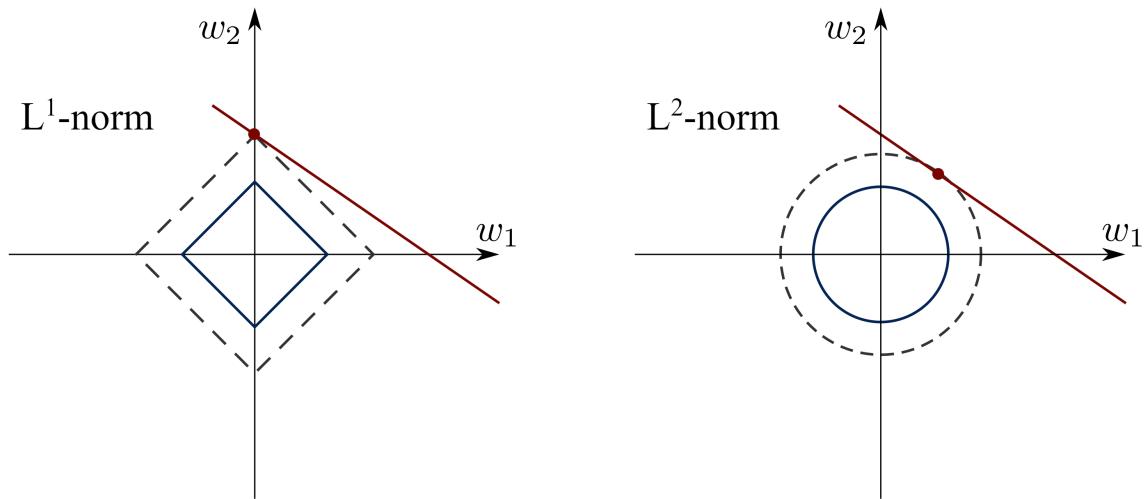
Following the usual notation, suppose our regression equation is written in matrix form as

$$\underline{Y} = \underline{X}\underline{B} + \underline{\epsilon}$$

where  $\underline{Y}$  is the dependent variable,  $\underline{X}$  represents the independent variables,  $\underline{B}$  is the regression coefficients to be estimated, and  $\underline{\epsilon}$  represents the errors are residuals.

**Figure 23: Ridge Regression Models**

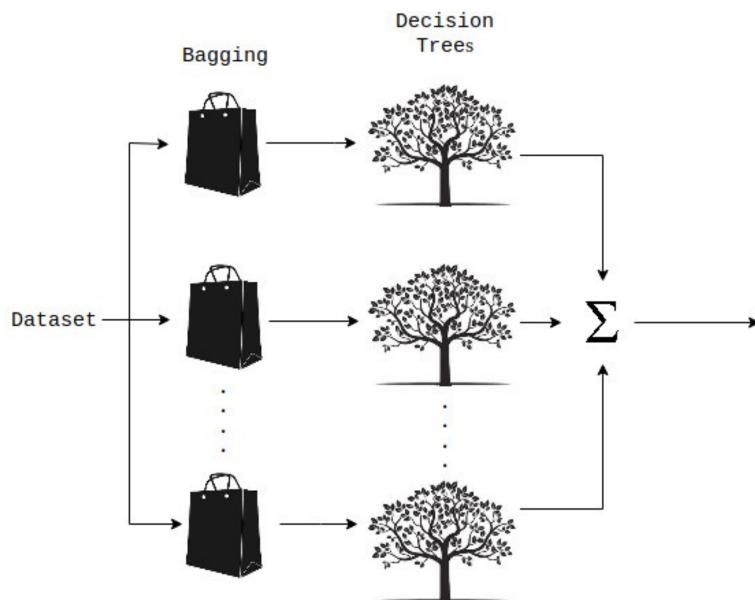
- **Lasso regression** [10]



**Figure 24: Forms of the Constraint Regions for Lasso and Ridge Regression**

- **RandomForestRegressor** [11]

"A Random Forest is an ensemble technique capable of performing both regression and classification tasks with the use of multiple decision trees and a technique called Bootstrap Aggregation, commonly known as bagging. What is bagging you may ask? Bagging, in the Random Forest method, involves training each decision tree on a different data sample where sampling is done with replacement. The basic idea behind this is to combine multiple decision trees in determining the final output rather than relying on individual decision trees."



**Figure 25: Random Forest Regression: Process**

- **GradientBoostingRegressor** [12]

1. Initialize model with a constant value:

$$F_0(x) = \arg \min_{\gamma} \sum_{i=1}^n L(y_i, \gamma).$$

2. For  $m = 1$  to  $M$ :

1. Compute so-called *pseudo-residuals*:

$$r_{im} = - \left[ \frac{\partial L(y_i, F(x_i))}{\partial F(x_i)} \right]_{F(x)=F_{m-1}(x)} \quad \text{for } i = 1, \dots, n.$$

2. Fit a base learner (or weak learner, e.g. tree)  $h_m(x)$  to pseudo-residuals, i.e. train it using the training set  $\{(x_i, r_{im})\}_{i=1}^n$ .

3. Compute multiplier  $\gamma_m$  by solving the following one-dimensional optimization problem:

$$\gamma_m = \arg \min_{\gamma} \sum_{i=1}^n L(y_i, F_{m-1}(x_i) + \gamma h_m(x_i)).$$

4. Update the model:

$$F_m(x) = F_{m-1}(x) + \gamma_m h_m(x).$$

3. Output  $F_M(x)$ .

**Figure 26: Gradient Boosting Algorithm**

- **Evaluation method:** cross\_val\_score
- **Scoring standard:** r2

Model	CV accuracy
LassoCV	0.454 +/- 0.002
RidgeCV	0.888 +/- 0.000
RandomForest	0.997 +/- 0.001
GradientBoosting	0.997 +/- 0.001

**Figure 27: Evaluation Results**

### 3. Tune hyperparameters using GridSearchCV

The Evaluation results show that RandomForest and GradientBoosting have the best performance using the scoring standard of r2. Thus, I tune hyper parameters for the two models at the same time using GridSearchCV.

For RandomForest, I prepare 3 candidate values for the max\_depth: [3, 10, 20], 7 candidate values for n\_estimators: [10, 30, 50, 100, 300, 500, 1000]. Similarly, for GradientBoosting, I prepare 2 candidate values for the max\_depth: [3, 10], 5 candidate values for n\_estimators: [10, 50, 100, 250, 500].

Here is the coding of using GridSearchCV to tune hyperparameters for RandomForest. For more coding details, please refer to Kaggle competition notebook.

```

gs = GridSearchCV(
    estimator=RandomForestRegressor(random_state=seed),
    param_grid={'max_depth':[3, 10, 20],
                'n_estimators':[10, 30, 50, 100, 300, 500,
                1000]},
    scoring='r2',
    cv=3,
    n_jobs=-1)

gs = gs.fit(X_train, y_train)
print('Random Forest:')
print('BR: ', gs.best_score_)
print('BR: ', gs.best_params_)
est = gs.best_estimator_
est.fit(X_train, y_train)
print('Validation accuracy: %.3f' % est.score(X_validation, y_validation))

```

Model		RandomForest	GradientBoosting
<b>Best score</b>		0.997	0.997
<b>Validation accuracy</b>		0.999	0.999
Parameters	<b>max_depth</b>	<b>Best = 10</b> out of [3, 10, 20]	<b>Best = 3</b> out of [3, 10]
	<b>n_estimators</b>	<b>Best = 300</b> out of [10, 30, 50, 100, 300, 500, 1000]	<b>Best = 500</b> out of [10, 50, 100, 250, 500]
	<b>learning_rate</b>	not a hyperparameter here	<b>Best = 0.1</b> out of [0.1, 0.03]

**Figure 28: Best Scores and Best Parameters**

As shown above, the performance of the two models with their best parameters are both excellent. Thus, I will train two models:RandomForest and GradientBoosting at the same time and evaluate their RMSE to determine the best model.

## 4. Model training and selection

I will use the original train dataset (X,y) to train model rather than the 80% train dataset (X\_train, y\_train). It is because I have already split, got, and used the 20% train dataset (X\_validation, y\_validation) to evaluate and compare different models in the above steps. But now if we use the whole train dataset, the model will become more trained and accurate. The two candidate models are shown as below:

- RandomForestRegressor with max\_depth = 10, n\_estimators = 300
- GradientBoosting with learning\_rate = 0.1, max\_depth = 3, n\_estimators = 500

Finally, I selected RandomForest as my model because after trial I find this model can lead to a reasonable RMSE value while GradientBoosting will lead to an unacceptably huge root mean squared error.

```
model = RandomForestRegressor(max_depth = 10, n_estimators =  
    300,random_state = 3)  
model.fit(X,y)  
Pred = model.predict(X_test)  
sample['Total'] = Pred
```

## 5. Summary for modeling process

The flowchart below shows my overall modeling process. Finally, the model and parameters I selected is: RandomForestRegressor with max\_depth = 10, n\_estimators = 300. I first apply the entire processed train dataset to train the model, then predict for the test dataset, and finally get the root mean squared error of 10.74. RMSE = 10.74 (MSE = 115) is within the reasonable range for this project.

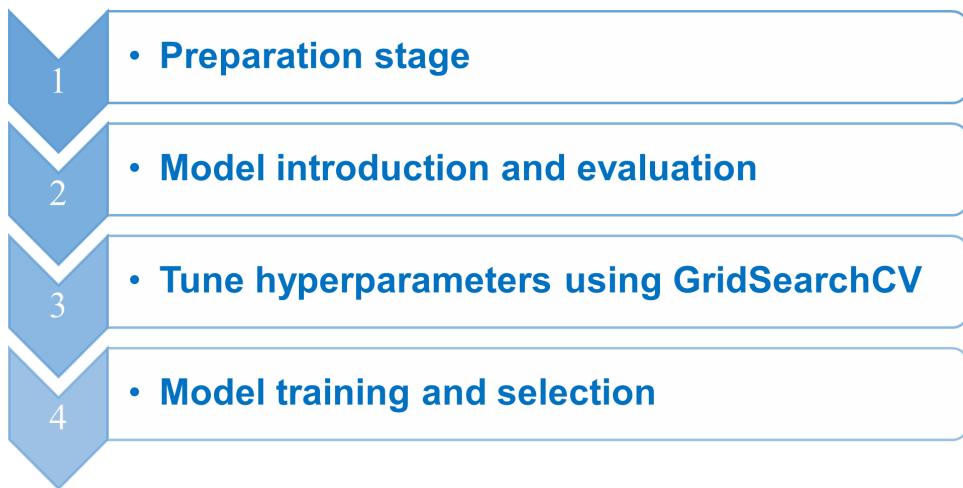


Figure 29: Flowchart of Modeling

## Conclusion

---

Teammate	Model applied	Root Mean Square Error
Gemma Clark	NN, CNN, <b>Random Forest</b>	11.2
Xueao Li	Gradient Boosting, <b>Random Forest</b>	10.7
WeiQi Ni	CNN, <b>Random Forest</b>	16.0
Shiyuan Wang	NN, <b>Random Forest</b>	11.0

**Figure 30: Modeling Results Summary**

Based on the summary above, all of the team members found random forest models to produce the best results with the lowest root mean square error, in range of 10-16, indicating that our models could provide approximations for pollution concentrations but not an accurate prediction. While each member used different parameters for her model, the final predictions had root mean square error values of less than 20. Based on our results, we conclude that machine learning can be used to approximate particulate matter with the variables we had available. However, to better achieve the goal of this project, further improvement of NN/CNN model will be needed to produce more accurate predictions based on the image information.

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