## Discussions on I-Ulrich Modules

Sarasij Maitra
Based on joint work with Hailong Dao and Prashanth Sridhar (both at University of Kansas)



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- Talk about the connection to the category of Reflexive modules.

All of the results in this talk are available at On Reflexive and *I*-Ulrich modules over curve singularities (mainly, Sec 4).

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How abundant are these modules?

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So, we make a more general study of such modules with respect to any regular (i.e. height 1) ideal I and we shall see that the category of such modules has nice properties.

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#### Hypothesis

Let  $(R, \mathfrak{m}, k)$  be a one-dimensional Cohen-Macaulay local ring with infinite residue field k and total ring of fractions K. Let  $\bar{R}$  denote the integral closure of R. All modules M considered are finitely generated.

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Thus all regular ideals have a principal reduction.

#### I-Ulrich Modules

Let I be a height 1 ideal. We say that  $M \in CM(R)$  is I-Ulrich if  $e_I(M) = \ell(M/IM)$ , where  $e_I(M)$ : Hilbert Samuel multiplicity of M with respect to I and  $\ell(\cdot)$  denotes length.

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• Note that if  $M \cong N$  in  $\mathrm{CM}(R)$ , then the same isomorphism takes IM to IN, so  $\ell(M/IM) = \ell(N/IN)$  for any ideal I and so I-Ulrich condition is preserved under isomorphism i.e.  $\mathrm{Ul}_I(R)$  makes sense!

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In fact, high enough powers of every ideal is Ulrich with respect to itself.

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- $B(I) \subset \bar{R}$ .
- $B(I) = B(I^n)$  for all n > 1.
- If x is a principal reduction of I, then it is well-known that

$$B(I) = R \left\lceil \frac{I}{x} \right\rceil.$$

Let R be a one-dimensional Cohen-Macaulay local ring and I is regular. Suppose that  $x \in I$  is a principal reduction and  $M \in CM(R)$ . TFAE:

- M is I-Ulrich.
- $IM \subseteq xM.$
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- $\bullet$  M is  $I^n$ -Ulrich for all  $n \geq 1$ .
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- lacksquare M is I-Ulrich.
- 2 IM = xM.
- $IM \subset xM.$
- $\bullet$   $IM \cong M$ .
- $M \in \mathrm{CM}(B(I)).$
- $\bullet$  M is  $I^n$ -Ulrich for all  $n \geq 1$ .
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- $\bullet$  M is  $I^n$ -Ulrich for some n > 1.

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Thus, equality must occur for each i; in particular, it occurs for i = 0, which shows that M is I-Ulrich.

• Note that I is I-Ulrich simply says that  $I^2 = xI$  i.e. I is stable, a concept heavily used in Lipman's work on Arf rings.

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Without any assumption on the existence of a principal reduction (relaxing to finite residue field), the following still holds:

### Theorem

Let R be a one-dimensional Cohen-Macaulay local ring. Let I be a regular ideal and  $M \in CM(R)$ . The following are equivalent:

- $1M \cong M$ .
- M is I-Ulrich.
- $\bullet$  M is  $I^n$ -Ulrich for all  $n \geq 1$ .
- $\bullet$  M is  $I^n$ -Ulrich for infinitely many n.
- $\bullet$  M is  $I^n$ -Ulrich for some  $n \geq 1$ .
- $M \in \mathrm{CM}(B(I)).$

Let I be a regular ideal. Then R is I-Ulrich if and only if I is principal.

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## Corollary

Let R have a canonical ideal  $\omega_R$ . Then R is  $\omega_R$ -Ulrich if and only if R is Gorenstein.

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Let R have a canonical ideal  $\omega_R$ . Then R has minimal multiplicity if and only if  $\mathfrak{m}$  is  $\mathfrak{m}$ -Ulrich.

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Minimal multiplicity iff  $\mathfrak{m}^2 = x\mathfrak{m}$ .

#### Remark

Note that if  $M \in \mathrm{CM}(R)$  is *I*-Ulrich, the proof of the main theorem showed that the action of B(I) on M extends the action of R on M. (Recall,  $\frac{I}{\pi}M \subset M$ .)

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#### Birational Extension

We say that an extension  $f: R \to S$  is birational if  $S \subset K$ . Equivalently  $K = \operatorname{Frac}(S)$ .

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#### Birational Extension

We say that an extension  $f: R \to S$  is birational if  $S \subset K$ . Equivalently  $K = \operatorname{Frac}(S)$ . Also such an f induces a bijection on the sets of minimal primes of S and R and f is an isomorphism at all minimal primes P of R.

Let  $R \subseteq S$  be a finite birational extension of rings. Then S is I-Ulrich if and only if  $B(I) \subseteq S$ .

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We saw that  $\mathrm{Ul}_I(R)=\mathrm{CM}(B(I))$ . So there is the multiplication action of B(I) on S, induced from the multiplication in K, i.e.  $B(I)S\subseteq S$ .

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#### Proof.

We saw that  $\mathrm{Ul}_I(R)=\mathrm{CM}(B(I))$ . So there is the multiplication action of B(I) on S, induced from the multiplication in K, i.e.  $B(I)S\subseteq S$ . Finally, let's not forget that  $1\in S!$ 



Let I be a regular ideal. If  $\bar{R}$  is a finitely generated R-module, then  $\bar{R}$  and the conductor ideal  $\mathfrak{c}:=R:_K\bar{R}$  (largest common ideal of R and  $\bar{R}$ ) are I-Ulrich.

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In fact,  $\mathfrak{c}:_K \mathfrak{c} = \bar{R}$  and hence  $B(\mathfrak{c}) = \bar{R}$ : another way of proving the above.

# Proposition

Let  $0 \to A \to B \to C \to 0$  be an exact sequence in CM(R). If B is I-Ulrich then so are A, C.

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Let I have the principal reduction x. Then x is a regular element and hence induces an exact sequence

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B is I-Ulrich if and only if I kills the middle module, but if that's the case then I kills the other two as well.

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If ideals J, L are in  $Ul_I(R)$ , then  $J + L, J \cap L \in Ul_I(R)$ .

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The assertion follows from the short exact sequence  $0 \to J \cap L \to J \oplus L \to J + L \to 0$ .



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# Corollary (Ulrich Lattice)

The set of I-Ulrich ideals is a lattice under addition and intersection.

In fact, one can show that the largest element in this lattice is  $b(I) := R :_K B(I)$ .

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Recall that the trace ideal of M is  $\operatorname{tr}(M) := \sum_{f \in M^*} f(M)$ .

In fact, one can show that the largest element in this lattice is  $b(I) := R :_K B(I)$ .

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- If  $M \in Ul_I(R)$ , then the trace ideal of M also is I-Ulrich,
- Any trace ideal obtained as above is in b(I).

Recall that the trace ideal of M is  $\operatorname{tr}(M) := \sum_{f \in M^*} f(M)$ . By previous slide, clearly,  $\operatorname{tr}(M) \in \operatorname{Ul}_I(R)$ .

If  $M \in \mathrm{Ul}_I(R)$ , then  $\mathrm{Hom}_R(M,N) \in \mathrm{Ul}_I(R)$  for any module  $N \in \mathrm{CM}(R)$ . In particular,  $M^*, M^{**} \in \mathrm{Ul}_I(R)$ .

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PROOF: Note that there is an embedding

$$\operatorname{Hom}_R(M,N) \otimes_R R/xR \to \operatorname{Hom}_R(M/xM,N/xN)$$

and the latter is killed by I since  $M \in \text{Ul}_I(R)$ . This shows that  $\text{Hom}_R(M,N) \otimes_R R/xR$  is killed by I and this finishes the proof.



# A Finiteness Result

## Theorem

Let  $\mathfrak{c} := R :_K \overline{R}$  be the conductor and I be a regular ideal. If  $\mathfrak{c} \cong I^s$  for some s, then  $\mathrm{Ul}_I(R) = \mathrm{CM}(\overline{R})$ . If furthermore R is complete and reduced, then  $\mathrm{Ul}_I(R)$  has finite type.

Assume that I is a regular ideal. Let  $S = \operatorname{End}_R(I)$  (which is a birational extension of R). If M is I-Ulrich, then

$$\operatorname{Hom}_R(M,I) \cong \operatorname{Hom}_R(M,S).$$

Assume that I is a regular ideal. Let  $S = \operatorname{End}_R(I)$  (which is a birational extension of R). If M is I-Ulrich, then

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#### Proof.

We have an exact sequence

$$0 \to L \to I \otimes M \to IM \to 0$$

where L has finite length.

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#### Proof.

We have an exact sequence

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Assume that I is a regular ideal. Let  $S = \operatorname{End}_R(I)$  (which is a birational extension of R). If M is I-Ulrich, then

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The first is isomorphic to  $\operatorname{Hom}_R(M,I)$  as  $IM \cong M$ , and by Hom-tensor adjointness, the second is isomorphic to

$$\operatorname{Hom}_R(M, \operatorname{Hom}_R(I, I)) = \operatorname{Hom}_R(M, S).$$

Assume that R has a canonical ideal  $\omega_R$ . The following are equivalent:

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Conversely, using Hom-Tensor adjointness, statement (2) is the same as

 $\operatorname{Hom}_R(M,\omega_R) \cong \operatorname{Hom}_R(M,\operatorname{Hom}_R(\omega_R,\omega_R)) \cong \operatorname{Hom}_R(\omega_R \otimes_R M,\omega_R) \cong \operatorname{Hom}_R(\omega_R M,\omega_R).$ 

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Hence taking  $\operatorname{Hom}_R(-,\omega_R)$  and using duality, we get

$$M \cong \omega_R M$$

and this finishes the proof.



## Theorem

Assume that R has a canonical ideal  $\omega_R$  and  $M \in \mathrm{Ul}_{\omega_R}(R)$ . Then  $M \in \mathrm{Ref}(R)$ .

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Previous slide shows that  $M^* \cong M^{\vee}$ , where  $M^* = \operatorname{Hom}_R(M, R)$  and  $M^{\vee} = \operatorname{Hom}_R(M, \omega_R)$ .

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$$M^{**} \cong M^{*\vee} \cong M^{\vee\vee} \cong M$$

as desired.



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Additional Information: In the article, we further do the following:

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- use  $\omega_R$ -Ulrich modules to classify reflexive birational extensions of R;
- ullet use I-Ulrich modules to classify reflexive Gorenstein birational extensions
- relate the trace ideal of an *I*-Ulrich module to the core of *I*.

Suppose that R is a one-dimensional Cohen-Macaulay local ring with a canonical ideal  $\omega_R$ . Let S be a module finite R-algebra such that S is a maximal Cohen-Macaulay module over R. The following are equivalent:

- $\bullet$  CM(S)  $\subset$  Ref(R).
- $\omega_S \in \operatorname{Ref}(R)$ .
- $\circ$  S is  $\omega_R$ -Ulrich as an R-module.

Suppose that R is a one-dimensional Cohen-Macaulay local ring with a canonical ideal  $\omega_R$ . Let S be a finite birational extension of R such that  $S \in \operatorname{Ref}(R)$ . Let  $I = R :_K S$  be the conductor of S in R. The following are equivalent:

- S is Gorenstein.
- ② I is I-Ulrich and  $\omega_R$ -Ulrich. That is  $I \cong I^2 \cong I\omega_R$ .

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- S is Gorenstein.
- ② I is I-Ulrich and  $\omega_R$ -Ulrich. That is  $I \cong I^2 \cong I\omega_R$ .

This extends Goto's theorem.

## Corollary

Suppose that  $(R, \mathfrak{m})$  is a one-dimensional Cohen-Macaulay local ring with a canonical ideal  $\omega_R$ . Let  $S = \operatorname{End}_R(\mathfrak{m})$ . The following are equivalent:

- S is Gorenstein.
- 2 R has minimal multiplicity and is 'almost Gorenstein'.

Assume that the residue field of R is infinite. Let  $M \in Ref(R)$ . The following are equivalent.

- M is I-Ulrich.
- **2** $tr(M) \subseteq b(I).$
- $\operatorname{tr}(M) \subseteq (x) :_R I \text{ for some principal reduction } x \text{ of } I.$
- $\bullet$   $\operatorname{tr}(M) \subseteq (x) :_R I$  for any principal reduction x of I.
- $\bullet$  tr(M)  $\subseteq$  core(I) :<sub>R</sub> I.

