# Dependency Parsing

Natural Language Processing

(based on revision of Chris Manning Lectures)



## Announcement

• TA announcements (if any)...



# Suggested Readings

- 1. <a href="https://web.stanford.edu/~jurafsky/slp3/14.pdf">https://web.stanford.edu/~jurafsky/slp3/14.pdf</a> (dependency parsing)
- 2. <u>Incrementality in Deterministic Dependency Parsing</u>
- 3. A Fast and Accurate Dependency Parser using Neural Networks
- 4. <u>Dependency Parsing</u>
- 5. Globally Normalized Transition-Based Neural Networks
- 6. <u>Universal Stanford Dependencies: A cross-linguistic typology</u>
- 7. <u>Universal Dependencies website</u>



# Two views of linguistic structure: **Context-free** grammar and **Dependency** grammar



## 1. Two views of linguistic structure: Context-free grammar

Phrase structure organizes words into nested constituents

#### **Starting unit: words**

```
the, cat, cuddly, by, door det noun adj prep noun
```

#### Words combine into phrases

The cuddly cat,
np: noun phrase

by the door
prep
np
pp: prepositional phrase

#### Phrases can combine into bigger phrases

```
The cuddly cat by the door
```

```
Noun 
ightarrow flights \mid breeze \mid trip \mid morning
Verb 
ightarrow is \mid prefer \mid like \mid need \mid want \mid fly
Adjective 
ightarrow cheapest \mid non-stop \mid first \mid latest
\mid other \mid direct
Pronoun 
ightarrow me \mid I \mid you \mid it
Proper-Noun 
ightarrow Alaska \mid Baltimore \mid Los Angeles
\mid Chicago \mid United \mid American
Determiner 
ightarrow the \mid a \mid an \mid this \mid these \mid that
Preposition 
ightarrow from \mid to \mid on \mid near
Conjunction 
ightarrow and \mid or \mid but

Figure 12.2 The lexicon for \mathcal{L}_0.
```

We called the word on the right (e.g., the) **terminal symbols**, and the grammar rules as **lexicon** 



## 1. Two views of linguistic structure: Context-free grammar

NP -> Det N e.g., the cat

NP -> Det (Adj) N e.g., the large cat

 $PP \rightarrow P NP$ 

e.g., by the door

NP -> Det (Adj) N (PP) e.g., the large cat by the door

NP -> Det (Adj)\* N (PP) e.g., the large cute furry cat by the door

 $VP \rightarrow V$  PP e.g., talk to the cat S -> NP e.g., the cat walked behind the dog

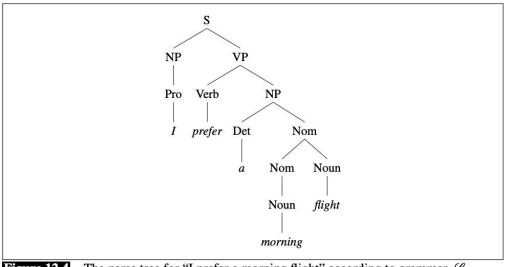
More grammar rules! As much as we want....



## 1. Two views of linguistic structure: Context-free grammar

Using context-free grammar to parse gives us a **parse tree** 

Grammar Rules	Examples
$S \rightarrow NP VP$	I + want a morning flight
$NP \rightarrow Pronoun$	I
Proper-Noun	Los Angeles
Det Nominal	a + flight
$Nominal \rightarrow Nominal Noun$	morning + flight
Noun	flights
$\mathit{VP} \;  o \; \mathit{Verb}$	do
Verb NP	want + a flight
Verb NP PP	leave + Boston + in the morning
Verb PP	leaving + on Thursday
	•
$PP \rightarrow Preposition NP$	from + Los Angeles
<b>Figure 12.3</b> The grammar for $\mathcal{L}_0$ , with e	xample phrases for each rule.

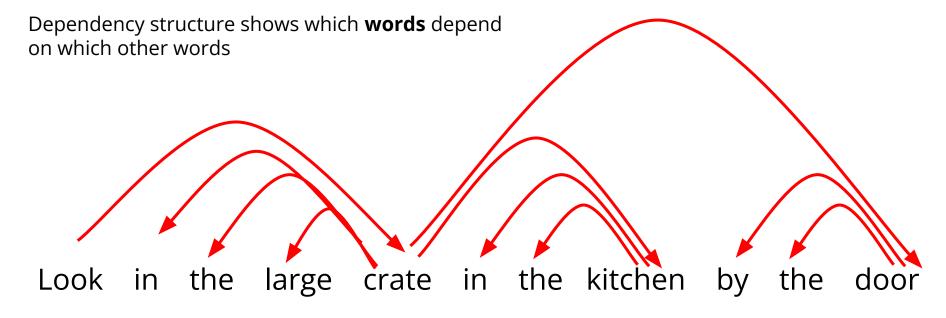


The parse tree for "I prefer a morning flight" according to grammar  $\mathcal{L}_0$ .

CFG is useful for **grammar checking** (check whether the sentence deviates from the lexicon), **entities** extraction (check common pattern), sentence classification (e.g., question has certain pattern)



## 2. Two views of linguistic structure: Dependency grammar



E.g., crate "depends on" the word "large". Here "crate" (start of the arrow) is called "head" and "large" (where the arrow point to) is called the "dependent"



## 2. Two views of linguistic structure: Dependency grammar

<b>Clausal Argument Relations</b>	Description
NSUBJ	Nominal subject
DOBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
XCOMP	Open clausal complement
Nominal Modifier Relations	Description
NMOD	Nominal modifier
AMOD	Adjectival modifier
NUMMOD	Numeric modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
Other Notable Relations	Description
CONJ	Conjunct
CC	Coordinating conjunction
Figure 14.2 Some of the Universal Dependency relations (de Marneffe et al., 2014).	

https://universaldependencies.org/u/dep/



# Prepositional phrase attachment ambiguity



#### Scientists count whales from space

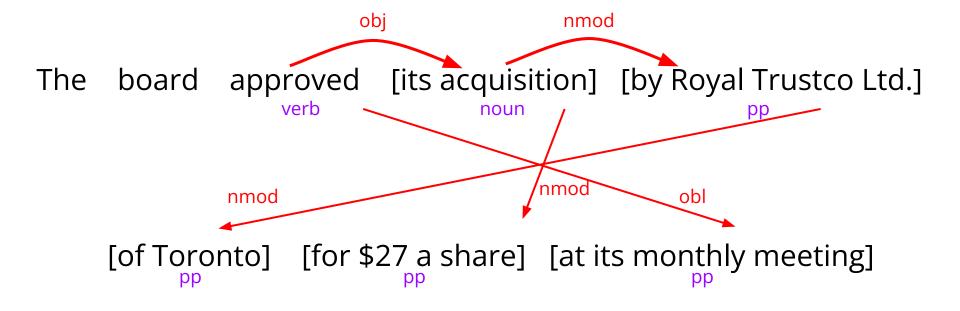
By Jonathan Amos BBC Science Correspondent

#### Scientists count whales from space

By Jonathan Amos BBC Science Correspondent



## How to link?



The number of possible parses grows exponentially w.r.t. to the number of prepositional phrases (here n = 4) (i.e., Catalan numbers)



# Coordination scope ambiguity



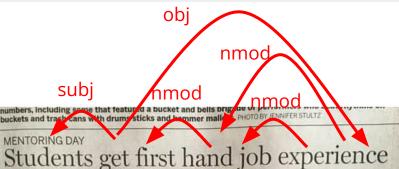
[Shuttle veteran] and [longtime NASA executive Fred Gregory] appointed to board



[Shuttle veteran and longtime NASA executive] Fred Gregory appointed to board



# Adjectival/Adverbial Modifier ambiguity



By Gale Rose

grose@pratttribune.com

Eager students invaded businesses all over Pratt Tuesday, October 24 as they looked for future job opportunities on Disability Mentoring Day.

be like to work at those 40 businesses. They asked questions and got some various operations.

High School, Gina Patton of Kingman High The 97 students from 12 School and America Ferterested in animal health schools fanned out across nandez of St. John chose Pratt and got first hand the Main Street Small An- about caring for hurt an-

for their business. Students got a tour of the facility, learned what haphands on experience with pens in an examination, got to handle various an-Paola Luna of Pratt imals and watched a snake eat a mouse.

Luna said she was inand wanted to know more

experience what it would imal Veterinarian Clinic imals. Patton likes all kinds of animals and said she learned a lot from the experience. Watching the snake eat the mouse impressed her the most.

> Fernandez wants to become a veterinarian and enjoyed learning everything that veterinarians

> > SEE MENTORING. 6

### nmod numbers, including some that featured a bucker and bells brigade of buckets and trash cans with drums sticks and

MENTORING DAY

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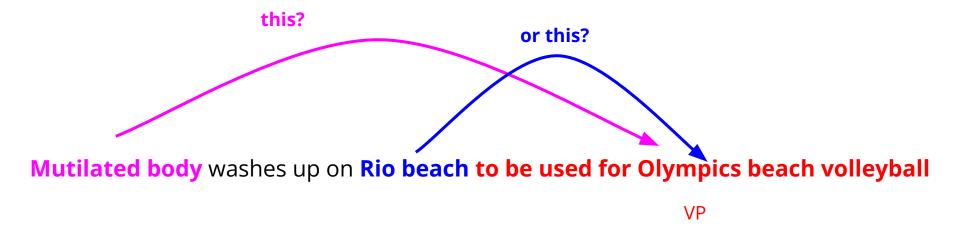
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Fernandez wants to become a veterinarian and enjoyed learning everything that veterinarians

SEE MENTORING, 6



# Verb phrase (VP) attachment ambiguity

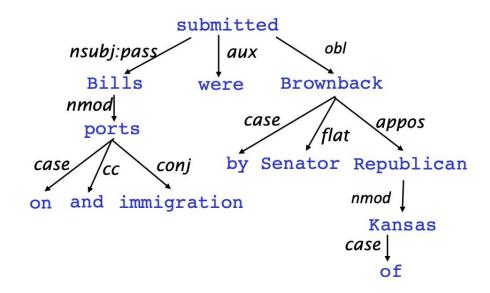




## Dependency as tree

Dependencies can be redrawn as tree (motivated by computer science)

Dependencies usually form a **connected**, **acyclic**, **single-root** graph.





## Dependency as tree

- Some people draw the arrows one way; some the other way!
  - **Tesniere (1959)** had them point from head to dependent we follow that convention
- We usually add a **fake ROOT** so every word is a dependent of precisely 1 other node

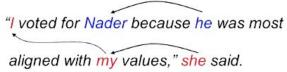


ROOT Discussion of the outstanding issues was completed .



## Why we need to learn sentence structure?

- Humans need to work out what depends what
  - Thus, similarly, a model needs to understand sentence structure in order to interpret language correctly
  - E.g., conference resolution



- Human language is ambiguous by nature
  - Knowing these ambiguities allow us to watch out for these potential problems in our model

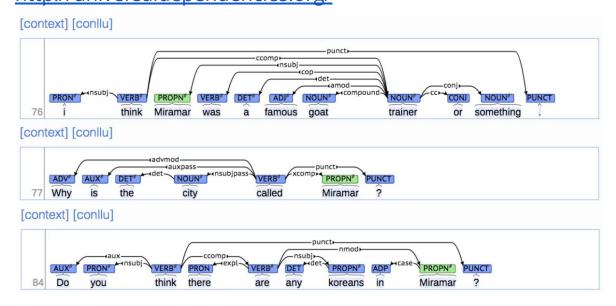


# Dependencies Treebanks



#### The rise of annotated data and Universal Dependencies treebank

Brown corpus (1967; PoS tagged 1979); Lancaster-IBM Treebank (starting late 1980s); Marcus et al. 1993, The Penn Treebank, Computational Linguistics; Universal Dependencies: <a href="http://universaldependencies.org/">http://universaldependencies.org/</a>



- Many parsers, part-of-speech taggers, etc. can be built on it
- Broad coverage, not just a few intuitions
- Contain frequencies and distributional information
- Provide a way to evaluate existing NLP systems turn NLP into actual science



#### So how do we build a parser once we got these dependencies?

Many information you can use from the treebanks

#### 1. Bilexical affinities

a. Check whether both ends of dependencies seem right

#### 2. Dependency distance

a. Check whether the distance is too far away from usual

#### 3. Intervening material

- a. Because sentences are normally organized around verbs, dependencies rarely span intervening verbs
- b. Because punctuation (e.g., commas) indicates segment, it also indicates less plausible spanning intervening punctuations

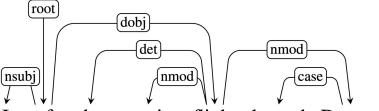
#### 4. Valency of heads

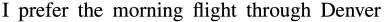
a. Given a head, what is usually its dependents and on which side?



#### So how do we build a parser once we got these dependencies?

- A sentence is parsed by choosing for each word, what other (including ROOT) it is a
  dependent of
- To make the problem easier to solve, we impose some **constraints**:
  - a. There is a single designated root node that has no incoming arcs
  - b. With the exception of the root node, each vertex has exactly one incoming arc
  - c. There is a unique path from the root node to each vertex in V
  - d. Don't want cycles, e.g., A->B, B->A



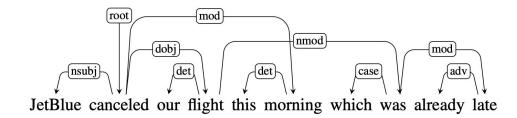




#### **Projectivity**

Note that some dependency parsing algorithms are **projective**:

**Projectivity**: An arc from a head to a dependent is said to be projective **if there is a path from the head to every word that lies between the head and the dependent in the sentence**. A dependency tree is then said to be projective if all the arcs that make it up are projective.



In this example, the arc from **flight** to its modifier was is non-projective since there is no path from **flight** to the intervening words **this** and **morning**. A dependency tree is projective if it can be drawn with **no crossing edges**. Here there is no way to link flight to its dependent was without crossing the arc that links morning to its head.



There are computational limitations to the most widely used families of parsing algorithms. The **transition-based approaches** we gonna discuss shortly **can only produce projective trees**, hence any sentences with non-projective structures will necessarily contain some errors. This limitation is one of the motivations for the more flexible graph-based parsing approach.



# Dependency Parsing Methods



- A simple form of greedy discriminative dependency parser
- The parser does a sequence of bottom up actions
  - Roughly like "shift" or "reduce" in a shift-reduce parser, but the "reduce" actions are specialized to create dependencies with head on left or right
- The parser has:
  - **a stack \sigma**, written with top to the right
    - which starts with the ROOT symbol
  - **a buffer β**, written with top to the left
    - which starts with the input sentence
  - a set of **dependency arcs A** 
    - which starts off empty
  - a set of **actions** 
    - shift or left arc or right arc



#### Greedy transition-based parsing

```
Start: \sigma = [ROOT], \beta = w_1, ..., w_n, A = \emptyset

1. Shift \sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A

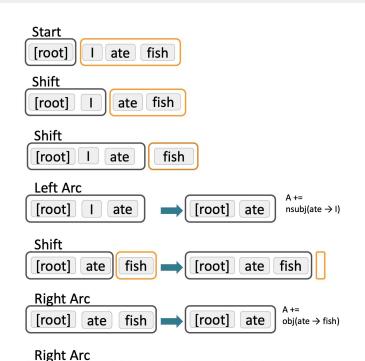
2. Left-Arc<sub>r</sub> \sigma | w_i | w_j, \beta, A \rightarrow \sigma | w_j, \beta, A \cup \{r(w_j, w_i)\} adding the relationship ships: \sigma = [w], \beta = \emptyset
```



#### Parsing Start: $\sigma = [ROOT], \beta = w_1, ..., w_n, A = \emptyset$

- 1. Shift  $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$
- 2. Left-Arc<sub>r</sub>  $\sigma|w_i|w_i$ ,  $\beta$ ,  $A \rightarrow \sigma|w_i$ ,  $\beta$ ,  $A \cup \{r(w_i, w_i)\}$
- 3. Right-Arc<sub>r</sub>  $\sigma |w_i| w_j$ ,  $\beta$ ,  $A \rightarrow \sigma |w_i|$ ,  $\beta$ ,  $A \cup \{r(w_i, w_j)\}$

Finish:  $\sigma = [w]$ ,  $\beta = \emptyset$ 



[root]

Greedy transition-based parsing

if the only thing in the stack is ROOT, we can only SHIFT

once we shift, we can either perform dependencies by doing LEFT-ARC or RIGHT-ARC. But here, let's say my model tells me to SHIFT more

similarly, my model gonna decide whether to LEFT-ARC or RIGHT-ARC. It seems to be LEFT ARC, because "I" is the subject of "ate"

the dependent is gone. We add the dependencies to A

We SHIFT again. Nothing left on the buffer. We must do either RIGHT-ARC or LEFT-ARC. Let's say the model tells me to perform RIGHT-ARC.

the dependent is gone. We add the dependencies to A

the dependent is gone. We add the dependencies to A. We stop when the buffer is empty and the stack contains only ROOT.

[root]

ate

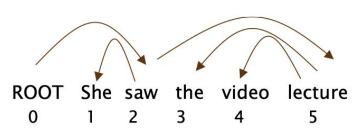
 $root([root] \rightarrow ate)$ 

#### MaltParser [Nivre and Hall 2006]

- So how to choose the right action??
  - Answer: Stand back, I know machine learning!
- Each action is predicted by a **discriminative classifier** (e.g., SVM) over each legal move
  - $\circ$  Max of 3 untyped choices (shift, left, right); max of  $|R| \times 2$  (left, right) + 1 (shift) when typed (consider also the relations)
  - Features: top of stack word, POS; first in buffer word; etc.
    - For each word, the features are represented by a one-hot encoding vector. Since there could be millions of features, this vector tends to be very big....(10<sup>6</sup>)
  - There is NO search (in the simplest form)
  - But you can profitably do a beam search if you wish (slower but better)
    - You keep k good parse prefixes at each time step
    - The model's accuracy is fractionally below the state of the art in dependency parsing, but it provides very fast linear time parsing, with high accuracy great for parsing the web



#### Evaluation of the parser



Acc = # correct deps
# of deps
UAS = 4 / 5 = 80%
LAS = $2/5 = 40\%$

**UAS (unlabeled accuracy score)**: Just count how many match without considering the relations (e.g., nsubj)

Go	old		
1	2	She	nsubj
2	0	saw	root
3	5	the	det
4	5	video	nn
5	2	lecture	obj

Pa	rse	d	
1	2	She	nsubj
2	0	saw	root
3	4	the	det
4	5	video	nsubj
5	2	lecture	ccomp

**LAS (labeled accuracy score)**: the label (e.g., nsubj) must also match



# Neural Dependency Parsing



#### A neural transition-based dependency parser [Chen and manning 2014]

- The traditional dependency parser **feature vector is big** and thus computationally costly
- Instead use word embeddings!
  - Concatenated along with part of speech tags (POS) and dependency labels (represented as one-hot, but they are much smaller than the full vector anyway)
- Still use the transition-based approach

Other research has further improved by adding deeper network, adding beam search, e.g., SyntaxNet and the Parsey McParseFace model (2016)

Parser	UAS	LAS	sent. / s
MaltParser	89.8	87.2	469
MSTParser	91.4	88.1	10
TurboParser	92.3	89.6	8
C & M 2014	92.0	89.7	654

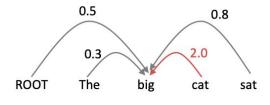
MST and TurboParser is graph-based with higher accuracy but is much slower....

A Fast and Accurate Dependency Parser using Neural Networks, Chen and Manning, 2014. <a href="https://aclanthology.org/D14-1082">https://aclanthology.org/D14-1082</a> /
Announcing Syntaxnet: The World's Most Accurate Parser Goes Open source <a href="https://ai.googleblog.com/2016/05/announcing-syntaxnet-worlds-most.html">https://ai.googleblog.com/2016/05/announcing-syntaxnet-worlds-most.html</a>



#### A neural graph-based dependency parser [Dozat and manning 2017]

- Can handle **non-projectivity**, unlike transition-based
- Compute a score for **every possible dependency** for each word
  - o n<sup>2</sup> possible dependencies in a sentence of length n (this makes parsing slow)
- Repeat the same process for each other word
- Determine the optimal tree by using MST algorithm (in our Data Structure and Algorithms class)



e.g., picking the head for "big"

Method	UAS	LAS (PTB WSJ SD 3.3
Chen & Manning 2014	92.0	89.7
Weiss et al. 2015	93.99	92.05
Andor et al. 2016	94.61	92.79
Dozat & Manning 2017	95.74	94.08

Stanford's Graph-based Neural Dependency Parser at the CoNLL 2017 Shared Task, Dozat et al.,, 2017. https://aclanthology.org/K17-3002.pdf