

# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
1.1	Free Fields Theories . . . . .	3
1.1.1	Free Fields . . . . .	3
1.1.2	Fock Space of Free Fields . . . . .	4
1.1.3	Contraction of Fields with States . . . . .	4
1.2	$S$ -Matrix . . . . .	5
1.2.1	Interaction Picture . . . . .	5
1.2.2	$S$ -matrix and States Evolution . . . . .	5
1.2.3	$S$ -matrix and transition probabilities . . . . .	5
1.3	Discrete space normalization . . . . .	6
1.3.1	$S$ -Matrix in discrete space . . . . .	7
<b>2</b>	<b>The <math>S</math>-matrix and physical observables</b>	<b>8</b>
2.1	Decay Rate . . . . .	8
2.2	Cross section ( $\leftrightarrow$ scattering process) . . . . .	11
<b>3</b>	<b>QED processes at lowest order</b>	<b>15</b>
3.1	The QED Lagrangian and its Symmetries . . . . .	15
3.2	Flavors in QED and the $SU(3)$ Flavor Global Symmetry . . . . .	16
3.2.1	Global Symmetry of Neutral and Charged Sector . . . . .	17
3.3	QED Feynman Rules $\rightarrow$ fogli stampati (23-26) . . . . .	18
3.4	$e^+e^- \rightarrow f^+f^-$ . . . . .	18
3.4.1	Sum Over Fermion Spins. Squared Averaged Feynman Amplitude . . . . .	18
3.4.2	Polarized Scattering Relations between helicity and chiralality. . . . .	22
3.4.3	Mandelstam variables and crossing symmetries . . . . .	26
3.4.4	Crossing symmetry . . . . .	27
3.5	$e^-\gamma \rightarrow e^-\gamma$ (Compton) . . . . .	28
3.5.1	The Ward Identities and sum over the photon polarizations . . . . .	30
3.5.2	The Klein-Nishina formula and the Thomson scattering . . . . .	31
3.5.3	Lab frame - Low energy photon . . . . .	33
3.5.4	C.o.M. frame - High energy photon . . . . .	35
3.6	Scattering by an external E.M. field and the Rutherford formula . . . . .	37
3.6.1	$e^-p \rightarrow e^-p$ Rutherford scattering . . . . .	37
3.6.2	Generic external E.M. field . . . . .	39
<b>4</b>	<b>QED processes at higher order</b>	<b>43</b>
4.1	Beyond the tree-level . . . . .	43
4.2	Superficial degree of divergence and renormalizability condition on the coupling constant . . . . .	46
4.3	Basic idea behind the renormalization procedure . . . . .	48
4.3.1	Renormalizable theories . . . . .	50

<b>5</b>	<b>Weak Interactions</b>	<b>51</b>
5.1	Four Fermions Fermi Theory and $V - A$ Theory . . . . .	52
5.1.1	Modification of Fermi's theory: the $V - A$ current . . . . .	52
5.1.2	$\mu$ -decay and 3-body final state . . . . .	53
5.1.3	Problems of Fermi Lagrangian . . . . .	57
5.2	Interacting Vector Bosons . . . . .	59
5.2.1	Fermi Lagrangian as low energy limit of a massive vector boson Lagrangian . . . .	62
5.2.2	Charge Current ( $CC$ ) and Neutral Current ( $NC$ ) processes in IVB . . . . .	63
5.2.3	Decay rates of charged and neutral massive vector bosons . . . . .	65
5.2.4	Problems with IVB theory . . . . .	67
<b>6</b>	<b>Non abelian Gauge Theories</b>	<b>68</b>
6.1	The Yang-Mills Lagrangian . . . . .	70
6.2	The Strong sector of the Standard Model: the $SU(3)$ example . . . . .	72
6.2.1	The QCD Yang-Mills Lagrangian . . . . .	73
6.3	Topics in QCD . . . . .	75
6.3.1	QCD vs QED running constant: confinement and asymptotic freedom . . . . .	76
6.3.2	$e^+e^- \rightarrow$ hadrons production . . . . .	79
6.3.3	Quark-quark scattering hard process and QCD potential . . . . .	80
<b>7</b>	<b>Spontaneous Symmetry Breaking (SSB)</b>	<b>83</b>
7.1	Spontaneous Symmetry Breaking of global symmetries . . . . .	83
7.1.1	The renormalizable complex scalar potential and the sign of the quadratic term $\mu^2$ . . . . .	84
7.1.2	The minimum of the theory and the choice of the vacuum configuration: the $U(1)$ example . . . . .	85
7.1.3	The Nambu-Goldstone bosons and the Goldstone theorem . . . . .	86
7.2	Spontaneous Symmetry Breaking of a local symmetry . . . . .	88
7.2.1	$U(1)$ gauge theory minimally coupled to a complex scalar field with a non-trivial scalar potential . . . . .	88
7.2.2	The minimum of the theory and the choice of the vacuum configuration . . . . .	89
7.2.3	The Would Be Goldstone Boson (WBGB) and the longitudinal vector boson d.o.f. . . . .	89
7.2.4	The Higgs mechanism . . . . .	90
7.2.5	Feynman rules in the Unitary gauge and in the $R_\xi$ gauge . . . . .	90
7.2.6	Generalization of the Higgs mechanism to generic (compact) group . . . . .	91

# Chapter 1

## Introduction

### 1.1 Free Fields Theories

#### 1.1.1 Free Fields

Here we recall the expressions of quantum free fields.

Notice that real (i.e. physical) fields are never free because we have interactions, but using interaction picture we reduct the problem in a simpler one, where fields are described by free fields. This can be able with a proper choice.

$$\varphi_I(x) \equiv \varphi_{\text{free}}(x) \quad \varphi_I = \text{field in interacting picture}$$

#### Complex Scalar Field

Euler-Lagrange equation for the scalar field:

$$(\square + m^2)\phi = 0$$

Fourier expansion:

$$\phi(x) = \frac{1}{(2\pi)^{3/2}} \int \frac{d^3k}{\sqrt{2\omega_k}} (e^{-ikx} a(k) + e^{ikx} b^\dagger(k))_{k_0=\omega_k=\sqrt{m^2+\mathbf{k}^2}}$$

In the real case

$$\phi^\dagger(x) = \phi(x) \Rightarrow a(k) = b(k)$$

#### Dirac Spinorial Field

Euler-Lagrange equation for the Dirac field:

$$(i\not{\partial} - m)\psi = 0$$

Fourier expansion:

$$\psi(x) = \frac{1}{(2\pi)^{2/3}} \int \frac{d^3k}{\sqrt{2\omega_k}} \sum_{r=1,2} (e^{-ikx} u_r(k) c_r(k) + e^{ikx} v_r(k) d_r^\dagger(k))_{k_0=\omega_k}$$

where  $u_r(k)/v_r(k)$  are the  $\varepsilon > 0/\varepsilon < 0$  spinors with helicity indicated by  $r$ .

Spinors are normalized according to

$$\begin{cases} \bar{u}_r(k) u_s(k) = 2m \delta_{rs} & \bar{u}_r(k) v_s(k) = 0 \\ \bar{v}_r(k) v_s(k) = -2m \delta_{rs} & \bar{v}_r(k) u_s(k) = 0 \end{cases}$$

## Real E-M Vector Field

Euler-Lagrange equation for the real E-M field:

$$\partial_\mu F^{\mu\nu} = \square A^\nu - \partial^\nu (\partial_\mu A^\mu) = 0$$

where

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

Fourier expansion:

$$A^\mu(x) = \frac{1}{(2\pi)^{2/3}} \int \frac{d^3k}{\sqrt{2\omega_k}} \sum_{\lambda=1,2} (e^{-ikx} \varepsilon_{(\lambda)}^\mu a_\lambda(k) + e^{ikx} \varepsilon_{(\lambda)}^{\mu\dagger}(k) a_\lambda^\dagger(k))_{k_0=\omega_k=|\mathbf{k}|}$$

where polarization vectors can be chosen as follows:

$$\varepsilon_{(1)}^\mu = (0, 1, 0, 0) \quad \varepsilon_{(2)}^\mu = (0, 0, 1, 0)$$

I can complexify the field substituting  $a_\lambda^\dagger$  with another operator  $b_\lambda$  (analogously to the scalar field).

### 1.1.2 Fock Space of Free Fields

See Maggiore. See 6.1

We impose the existence of vacuum state  $|0\rangle$ , with  $\langle 0|0\rangle = 1$ , then using creation operators we obtain other states  $(a^\dagger)^n |0\rangle$ , which are n-particles states.

In QFT we normalized states in a covariant way, instead of QM normalization  $\int \psi^* \psi = 1$ :

$$|1(p)\rangle \equiv (2\pi)^{3/2} \sqrt{2\omega_p} a^\dagger(p) |0\rangle \quad (1.1a)$$

$$\langle 1(p)|1(p')\rangle = (2\pi)^3 (2\omega_p) \delta^3(p - p') \quad (1.1b)$$

Where the term  $(2\omega_p) \delta^3(p - p')$  is covariant under Lorentz transformations.<sup>I</sup>

Dimostrare che è covariante

### 1.1.3 Contraction of Fields with States

If we have a state  $|e_s^-(p)\rangle$  that describes an electron with momentum  $p$  and Dirac index  $s$ , then

$$|e_s^-(p)\rangle = (2\pi)^{2/3} \sqrt{2\omega_p} c_s^\dagger(p) |0\rangle$$

Given a field  $\psi$  that describes a particle annihilation (or antiparticle creation) in the coordinate  $x$  we have

$$\begin{aligned} \langle 0 | \psi(x) | e_s^-(p) \rangle &= \langle 0 | (\psi_+(x) + \psi_-(x)) | e_s^-(p) \rangle \\ &= \frac{(2\pi)^{3/2}}{(2\pi)^{3/2}} \int \frac{d^3k}{\sqrt{2\omega_k}} e^{-ikx} \sqrt{2\omega_p} \sum_r \langle 0 | c_r(k) c_s^\dagger(p) | 0 \rangle u_r(k) \\ &= \int d^3k \left( \frac{2\omega_p}{2\omega_k} \right)^{1/2} \sum_r \delta_{rs} \delta^{(3)}(\bar{p} - \bar{k}) u_r(k) \langle 0 | 0 \rangle e^{-ikx} \\ &= e^{-ikx} u_s(p) \end{aligned}$$

where we used  $\langle 0 | c_r(k) c_s^\dagger(p) | 0 \rangle = \langle 0 | \{c_r(k), c_s^\dagger(p)\} | 0 \rangle = \delta_{rs} \delta^3(\bar{p} - \bar{k})$ .

The factor  $e^{-ikx}$  is required for the  $\delta^{(4)}$  conservation. We see that the relativistic normalization leads to Feynman rules without normalization factors:

$$e^{-ipx} u_s(p) = \xrightarrow{p} \bullet_x$$

<sup>I</sup>We indicated with  $a^\dagger$  a generic creation operator.

## 1.2 $S$ -Matrix

### 1.2.1 Interaction Picture

In the interaction picture, with  $H = H_0 + H_{\text{int}}$ , where  $H_{\text{int}}$  is the interaction hamiltonian

- (i) Fields  $\varphi_I$  evolves like in the free theory (respect to  $H_0$ )
- (ii) State evolves with the following evolution operator

$$U_I(t, t_0) \equiv e^{iH_0 t} e^{-iH(t-t_0)} e^{-iH_0 t_0}$$

$$|\alpha, t\rangle = U_I(t - t_0) |\alpha, t_0\rangle \quad i\partial_t U_I(t - t_0) = H_I^{\text{int}}(t) U_I(t, t_0)$$

- (iii) Operators in interaction picture are (let  $O_H(t)$  be a generic operator in Heisenberg picture and  $O_I(t)$  the same operator in Interaction picture)

$$O_I(t) = e^{iH_0 t} e^{-iHt} O_H(t) e^{iHt} e^{-iH_0 t}$$

Notice that, in general

$$[H_I(t), H^0] \neq 0 \neq [H_I^{\text{int}}, H^0]$$

and if  $t \neq t'$  we also have

$$[H_I^{\text{int}}(t), H_I^{\text{int}}(t')] \neq 0$$

### 1.2.2 $S$ -matrix and States Evolution

The  $S$ -matrix is a well defined operator defined as

$$S = \lim_{\substack{t_0 \rightarrow -\infty \\ t \rightarrow +\infty}} U_I(t, t_0)$$

We compute  $S$  by perturbation obtaining

$$S = T \left( \exp \left( -i \int d^4 x \mathcal{H}_I^{\text{int}}(x) \right) \right)$$

$$= \sum_{n=0}^{+\infty} \frac{(-i)^n}{n!} \int d^4 x_1 \dots d^4 x_n T [\mathcal{H}_I^{\text{int}}(x_1) \dots \mathcal{H}_I^{\text{int}}(x_n)]$$

$S$  matrix has some relevant properties

- (i) Unitarity (since Hamiltonian is hermitian)
- (ii) Behaves as a scalar under Lorentz transformations, and then is an invariant quantity (notice that in general  $\mathcal{H}_I^{\text{int}}$  is not invariant).  
In the case of  $\mathcal{H}_I^{\text{int}}$  invariant (for example if, as in many theories,  $\mathcal{H}_{\text{int}} = -\mathcal{L}_{\text{int}}$ , for example in QED) is easy to prove that  $S$  is invariant, since all  $n$ -th derivatives of  $\exp(\int \mathcal{H})$  are invariant, and so also  $S$  is invariant

### 1.2.3 $S$ -matrix and transition probabilities

Assume that there's no interaction for  $x, t \rightarrow \pm\infty$ .

Consider canonically normalized (CN) states  $|\psi\rangle = 1$ :

$$|\psi_i\rangle_{CN} \equiv |\psi(-\infty)\rangle_{CN} \quad |\psi(+\infty)\rangle_{CN} \equiv S |\psi_i\rangle_{CN}$$

(both are free particle states). Elements of  $S$  are in the form

$$S_{fi}^{CN} = {}_{CN} \langle \psi_f | S | \psi_i \rangle_{CN}$$

This leads to a probabilistic interpretation of  $S$ -matrix elements.

The squared amplitude  $|S_{fi}^{CN}|^2$  is the transition probability of  $|\psi_i\rangle_{CN}$  into  $|\psi_f\rangle_{CN}$ .

Notice that the requirement  $\sum_f |S_{fi}^{CN}|^2 = 1$  is satisfied automatically. In the case of covariant normalization

$$\langle 1(p)|1(p')\rangle = (2\pi)^3(2\omega_p)\delta^3(\mathbf{p} - \mathbf{p}')$$

we have the following relation between matrix elements

$$S_{fi}^{CN} = {}_{CN}\langle\psi_f|S|\psi_i\rangle_{CN} = \frac{\langle\psi_f|S|\psi_i\rangle}{\|\psi_i\|\|\psi_f\|} = \frac{S_{fi}}{\|\psi_i\|\|\psi_f\|}$$

We can define the **Feynman Amplitude**  $\mathcal{M}_{fi}$  as

$$S_{fi} = (2\pi)^4\delta^4(p_i - p_f)\mathcal{M}_{fi}$$

and it can be obtained directly starting from Feynman rules (used with the covariant normalization described in Sec. 1.1.3)

### 1.3 Discrete space normalization

Usually, in order to make arguments cleaner, or to avoid problems with divergent terms in calculations, we first consider a system in a cubic box with spatial volume  $V = L^3$ . At the end of computations  $V$  will be sent to infinity. Sometimes we will do something similar also for time.

For a discrete space we must use a different normalization.

In a box, momentum of a particle is quantized

$$p_i = \left(\frac{2\pi}{L}\right)n_i \quad n_i \in \mathbb{Z}$$

and we must adopt the following rule for integrals

$$\int d^3p f(\mathbf{p}) \rightarrow \sum_{\mathbf{n}} \left(\frac{2\pi}{L}\right) f_{\mathbf{n}} \quad \mathbf{n} = (n_1, n_2, n_3)$$

We must adopt also the following

$$\delta^3(\mathbf{p} - \mathbf{p}') \rightarrow \left(\frac{L}{2\pi}\right)^3 \delta_{\mathbf{n}\mathbf{n}'}$$

in this way

$$\int d^3p \delta^3(\mathbf{p} - \mathbf{p}') = 1 \rightarrow \sum_{\mathbf{n}} \left(\frac{2\pi}{L}\right)^3 \left(\frac{L}{2\pi}\right)^3 \delta_{\mathbf{n}\mathbf{n}'} = 1$$

In particular

$$\delta^3(0) \rightarrow \left(\frac{L}{2\pi}\right)^3$$

When we consider also a finite amount of time we have

$$\delta^4(0) \rightarrow \left(\frac{L}{2\pi}\right)\left(\frac{T}{2\pi}\right)$$

Using (1.1b), we have

$$\langle 1(p)|1(p)\rangle = (2\pi)^3 2\omega_p \delta^3(0) = 2\omega_p V$$

so normalization of states (1.1a) becomes ( $o^\dagger$  is a general creation operator)

$$|1(p)\rangle = \sqrt{2\omega_p V} |1(p)\rangle_{CN}$$

### 1.3.1 $S$ -Matrix in discrete space

Using the latter equation,  $S_{fi}^{CN}$  reads

$$\begin{aligned}
S_{fi}^{CN} &= \prod_{j=1}^{n_i} \left( \frac{1}{2\omega_j V} \right)^{1/2} \prod_{l=1}^{n_f} \left( \frac{1}{2\omega_l V} \right)^{1/2} S_{fi} \\
&= (2\pi)^4 \delta^4(p_i - p_f) \left\{ \prod_{j=1}^{n_i} \left( \frac{1}{2\omega_j V} \right)^{1/2} \prod_{l=1}^{n_f} \left( \frac{1}{2\omega_l V} \right)^{1/2} \mathcal{M}_{fi} \right\} \\
&= (2\pi)^4 \delta^4(p_i - p_f) M_{fi}^{CN}
\end{aligned}$$

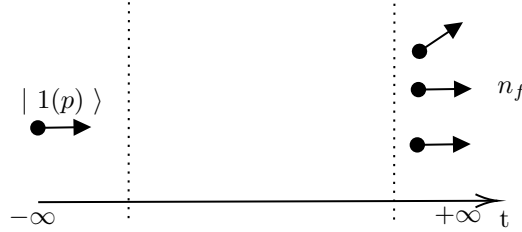
In the second passage we use the definition of  $\mathcal{M}_{fi}$ , omitting the quantization of  $\delta^4$ .  $M_{fi}^{CN}$  is the **canonically normalized Feynman amplitude**.

## Chapter 2

# The S-matrix and physical observables

### 2.1 Decay Rate

Consider the case in which the initial state is a single particle and the final state is given by  $n$  particles. We are therefore considering a decay process. Assume for the moment that particles are indistinguishable.



The rules of quantum mechanics tell us that the probability for this process is obtained by taking the squared modulus of the amplitude and summing over all possible final states

$$\begin{aligned} |S_{fi}^{CN}|^2 &= |(2\pi)^4 \delta^4(p - p') M_{fi}^{CN}|^2 \\ &= (2\pi)^4 \delta^4(p - p') (VT) |M_{fi}^{CN}|^2 \\ &= (2\pi)^4 \delta^4(p - p') (VT) \frac{1}{2\omega_i n V} \prod_{l=1}^{n_f} \left( \frac{1}{2\omega_l V} \right) |\mathcal{M}_{fi}|^2 \end{aligned}$$

**Note:**  $(\delta^4(p - p'))^2 = \delta^4(p - p') \delta^4(p - p') = \delta^4(p - p') \delta^4(0) = \delta^4(p - p') \frac{VT}{(2\pi)^4}$

We use the final space and time in order to remove divergent terms during calculation

We must now sum this expression over all final states. Since we are working in a finite volume  $V$ , this is the sum over the possible discrete values of the momenta of the final particles .

Since  $p_i = (2\pi/L)n_i$ , we have  $dn_i = (L/2\pi)dp_i$  and  $d^3n_i = (V/(2\pi)^3)d^3p$  where  $d^3n_i$  is the infinitesimal phase space related to a final state in which the  $i$ -th particle has momentum between  $p_i$  and  $p_i + dp_i$ . Let  $d\omega$  be the probability for a decay in which in the final state the  $i$ -th particle has momentum between  $p_i$  and  $p_i dp_i$

$$d\omega = |S_{fi}^{SN}|^2 \prod_{l=1}^{n_f} \left( \frac{V d^3 p_l}{(2\pi)^3} \right)$$

This is the probability that the decay takes place in any time between  $-T/2$  and  $T/2$ . We are more interested in the differential decay rate  $d\Gamma_{fi}$ , which is the decay probability per unit of time:

$$d\Gamma_{fi} = \frac{d\omega}{T} = (2\pi)^4 \delta^4(p_i - p_f) \frac{|\mathcal{M}_{fi}|^2}{2\omega_{pin}} \prod_{l=1}^{n_f} \frac{d^3 p_l}{(2\pi)^3 2\omega_l}$$

**Notes:**



- $d\Gamma_{fi}$  = differential decay rate
- $p_f$  = sum over final momenta
- $\omega_{p_{in}}$  = initial energy
- $|\mathcal{M}_{fi}|^2$  = Feynman amplitude of the process (depends on final momenta  $p_i$ )

It is useful to define the **(differential) n-body phase space** as

$$d\Phi_{(n_f)} = (2\pi)^4 \delta^4(p_i - p_f) \prod_{l=1}^{n_f} \frac{d^3 p_l}{(2\pi)^3 2\omega_l}$$

Therefore the differential decay rate can be written as

$$d\Gamma_{fi} = \frac{1}{2\omega_{p_{in}}} |\mathcal{M}_{fi}|^2 d\Phi_{(n_f)}$$

The decay rate is defined as

$$\Gamma_{fi} = \int d\Gamma_{fi} \rightarrow \text{integration over all possible final momenta}$$

and its meaning is  $\Gamma \equiv \text{trans. probability} \times \text{unit of time} \times \text{init. particle}$

Notice that if n of the final particles are identical, configurations that differ by a permutation are not distinct and therefore the phase space is reduced by a factor  $1/n!$

If we have a system of  $N(0)$  particles, the time evolution of the number of particles  $N(t)$  is

$$\frac{dN}{dt} = -\Gamma N \Rightarrow N(t) = N(0)e^{-\Gamma t}$$

Notice that decay rate is not invariant

$$[\Gamma] = [E] = \frac{1}{T} \quad (\text{in natural units})$$

If we define the **lifetime** as  $\tau = 1/\Gamma \Rightarrow N(t) = N(0) \exp(-t/\tau)$  this changes under Lorentz tfm. If we consider two reference frames o and o'

$$\Gamma' = \frac{\Gamma}{\gamma} < \Gamma \quad \tau' = \gamma\tau > \tau$$

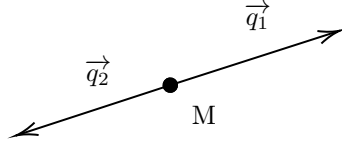
$\gamma = (1 - v)^{-1/2}$ , where v is the speed of o' in o in natural units,  $\gamma > 1$ . Therefore a particle in a moving frame has a longer lifetime than in the rest frame

### Example 1: muon lifetime

For a muon in the rest frame  $\tau_{\mu}^{RF} = 2.2 \times 10^{-6} s$ , but if we observe it in the lab frame  $\tau_{\mu}^{LAB} = \gamma \tau_{\mu}^{RF} \simeq 2 \times 10^{-5} s$  since  $E_{\mu} = 1 \text{ GeV}$ ,  $m_{\mu} = 0.1 \text{ GeV}$   
 $\Rightarrow \gamma = E_{\mu}/m_{\mu} \simeq 10$

### Example 2: $1 \rightarrow 2$ decay

Consider the decay of a particle of a mass M into two particles of masses  $m_1, m_2$ . Since the phase space is Lorentz invariant, we can compute it in the frame that we prefer. We use the rest frame for the initial particle.



We don't impose a priori conservation of momentum since it's imposed by the delta function.

$$p = (M, 0) \quad q_1 = (\omega_1, \mathbf{q}_1) \quad q_2 = (\omega_2, \mathbf{q}_2)$$

$$d\Phi_{(2)} = (2\pi)^4 \delta^4(\underbrace{P_i - P_f}_{=p-q_1-q_2}) \frac{d^3 q_1}{(2\pi)^3 2\omega_1} \frac{d^3 q_2}{(2\pi)^3 2\omega_2}$$

I have 6 integration parameters, 4 constraint given by  $\delta^4$ , so I have 2 independent variables. Integrating over  $d^3 q_2$

$$d\Phi'_{(2)} = \int d\Phi_{(2)} = \frac{1}{(2\pi)^2} \delta(M - \omega_1 - \omega_2) \frac{1}{4\omega_1 \omega_2} d^3 q_1$$

in this way, the condition  $\mathbf{q}_2 = \mathbf{q}_1$  vanish. We have to impose it again when we calculate  $d\Gamma$  (we omit this detail)

Usually the 4-th non independent parameter is eliminated by integration over modulus of  $q_1$ , leaving free 2 parameters for the angles.  $d^3 q_1 \rightarrow \mathbf{q}_1^2 d|\mathbf{q}_2| d\Omega_1$ .

Notice that  $M - \omega_1 - \omega_2 = M - \sqrt{\mathbf{q}_1^2 + m_1^2} - \sqrt{\mathbf{q}_2^2 + m_2^2}$  and then the  $\delta$  implies

$$q_1^2 = \frac{1}{2M} \left( M^4 - 2M^2(m_1^2 + m_2^2) + (m_1^2 - m_2^2)^2 \right)^{1/2}$$

$|\mathbf{q}_1|$  is the only zero of  $f(|\hat{q}_1|) = M - \omega_1 - \omega_2$ .

We also have

$$|f'(|\hat{q}_1|)| = \frac{\partial \omega_1}{\partial |\mathbf{q}_1|} + \frac{\partial \omega_2}{\partial |\mathbf{q}_1|} = |\hat{q}_1| \left( \frac{\omega_1 + \omega_2}{\omega_1 \omega_2} \right)$$

Using

$$\delta(f(x)) = \sum_{x_0 = \text{zero of } f(x)} \frac{\delta(x - x_0)}{|f'(x_0)|}$$

and performing integration over  $d|\mathbf{q}_1|$  we obtain

$$d\Phi''_{(2)} = \int d\Phi'_{(2)} = \frac{1}{16\pi^2} \frac{|\hat{q}_1|}{M} d\Omega_1$$

Using this result we obtain the  $1 \rightarrow 2$  decay rate in function of the solid angle (in the rest frame)

$$\left( \frac{d\Gamma_{RF}}{d\Omega} \right) = \frac{1}{64\pi^4 M^3} [M^4 - 2M^2(m_1^2 + m_2^2) + (m_1 - m_2)^2]^{1/2} |\mathcal{M}_{RF}|^2$$

In a general frame we can easily obtain an analogous formula, just consider  $d\Gamma = 1/(2\omega_i n) |\mathcal{M}_{fi}|^2 d\Phi_{(nf)}$  in a general frame. remember that  $dI_{(nf)}$  is invariant

We have 2 important limit cases:

(A) If  $m_1 = m_2 = m$  (for example  $Z \rightarrow e^+ e^-$ )

$$|\hat{q}_1| = \frac{M}{2} \left( 1 - \frac{4m^2}{M^2} \right)^{1/2}$$

$$\left( \frac{d\Gamma_{RF}}{d\Omega} \right) = \frac{1}{64\pi^2 M} \left( 1 - \frac{4m^2}{M^2} \right)^{1/2} |\mathcal{M}_{fi}|^2$$

(B) If  $m_1 = m, m_2 = 0$  (for example  $W^\pm \rightarrow e^\pm \bar{\nu}$ )

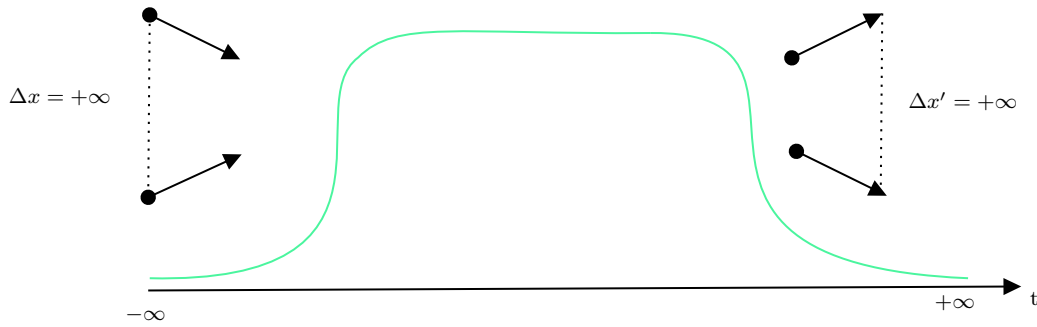
$$|\hat{q}_1| = \frac{M}{2} \left( 1 - \frac{4m^2}{M^2} \right)^{1/2}$$

$$\left( \frac{d\Gamma_{RF}}{d\Omega} \right) = \frac{1}{64\pi^2 M} \left( 1 - \frac{m^2}{M^2} \right)^{1/2} |\mathcal{M}_{fi}|^2$$

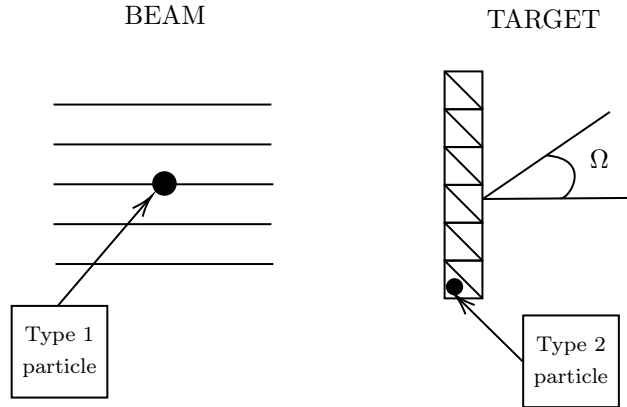
**Notes:** If we have two identical particles in the final state, the calculation of the phase is different

$$d\Phi_{(2)}^{\text{identical}} = \frac{1}{2} d\Phi_{(2)}^{\text{distinguishable}}$$

## 2.2 Cross section ( $\leftrightarrow$ scattering process)



Scattering in the lab frame



Consider a beam of particles with mass  $m_1$ , number (assuming a uniform distribution) density  $n_1^{(0)}$  (subscript 0 is meant to stress that these are number densities in a specific frame, that with particle 2 at rest) and velocity  $v_1$  impinging on a target made of particles with mass  $m_2$  and number density  $n_2^{(0)}$  at rest.

Let  $N_s$  be the number of scattering events that place per unit volume and per unit time

$$\frac{N_t}{T} \phi_1 N_2 \sigma = (n_1^{(0)} v_1) (n_2^{(0)} V) \sigma$$

More formally we have

$$dN_s = \sigma v_1 n_1^{(0)} n_2^{(0)} dV dV$$

with:

- T: unit of time

- $\phi_1$ : flux of the beam  $\phi_1 = n_1^{(0)} v_1$
- $N_2$ : particles per unit volume in the detector  $N_2 = n_2^{(0)} V$
- $\sigma$ : proportionality constant

Dimensional analysis shows  $[\sigma] = [L]^2$  and then  $\sigma$ , called cross section, can be interpreted as an “effective area”

Consider the case where just one particle collides with another particle (2 particles scattering). We can obtain this condition imposing  $n_{1,2}^{(0)} = 1/V$ , in this way the scattering per unit of volume is the same as two particles scattering

Notice that this situation is the more physical. The probability of 3 particles collision in the same  $d^3x$  and  $dt$  is almost 0

If  $d\omega$  is, again, the probability for a process in which in the final state the  $i$ -th particle has momentum between  $p_i$  and  $p_i + dp_i$

$$d\omega = (2\pi)^4 \delta^4(p_i - p_f) VT \left( \frac{1}{2\omega_1 V} \right) \left( \frac{1}{2\omega_2 V} \right) \prod_{l=1}^{n_f} \left( \frac{d^3 p_l}{(2\pi)^3 2\omega_l} \right) |\mathcal{M}_{fi}|^2$$

we can define the **differential cross section** (in the lab frame)  $d\sigma$  as

$$\begin{aligned} (d\sigma)_{\text{LAB}} &= \frac{d\omega}{n_1^{(0)} n_2^{(0)} v_1 VT} \\ &= \frac{V}{T v_1} d\omega \\ &= (2\pi)^4 \delta^4(p_i - p_f) \prod_{l=1}^{n_f} \left( \frac{d^3 p_l}{(2\pi)^3 2\omega_l} \right) \frac{|\mathcal{M}_{fi}|^2}{4\omega_1 \omega_2 v_1} \quad \text{with } \omega_2 = m_2 \end{aligned}$$

The result is then

$$(d\sigma)_{\text{LAB}} = \frac{1}{4\omega_1 v_1 m_2} |\mathcal{M}_{fi}|^2 d\Phi_{(nf)}$$

All quantities refers to the rest frame for particle 2 ( $\omega_1 = \omega_1^{(0)}$ ,  $v_1 = v_1^{(0)}$ )

In order to obtain a covariant relation for  $d\sigma$ , we notice that the only non-covariant factor in the latter relation is  $(I_{12})_{\text{LAB}} = \omega_1^{(0)} m_2$ . This factor can be substituted with a covariant one

$$I_{12} = [(p_1 p_2)^2 - m_1^2 m_2^2]^{1/2}$$

called **covariant flux factor**. This factor is obviously covariant, we just have to prove that in the lab frame it coincides with  $(I_{12})_{\text{LAB}}$ . In the lab frame we have  $p_1 = (\omega_1, \mathbf{p}_1^{(0)})$  and  $p_2 = (m_2, \mathbf{0})$ , so the previous formula becomes

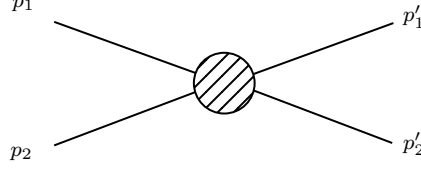
$$[m_2^2 (\omega_1^{(0)})^2 - m_1 m_2]^{1/2} = m_2 ((\omega_1^{(0)})^2 - p_1^2)^{1/2} = m_2 |\mathbf{p}_1^{(0)}| = m_2 \omega_1^{(0)} v_1^{(0)}$$

So the final result is

$$d\sigma = \frac{|\mathcal{M}_{fi}^2|}{4I_{12}} d\Phi_{(nf)}$$

### Example 3: $2 \rightarrow 2$ scattering

Consider a scattering process  $2 \rightarrow 2$ . We consider an initial state with two particles with masses  $m_1, m_2$  and four momenta  $p_1, p_2$  and a final state with masses  $m'_1, m'_2$  and four momenta  $p'_1, p'_2$



with

$$p_1 = (\omega_1, \mathbf{p}_1) \quad p_2 = (\omega_2, \mathbf{p}_2) \quad p'_1 = (\omega'_1, \mathbf{p}'_1) \quad p'_2 = (\omega'_2, \mathbf{p}'_2)$$

With a procedure identical to  $1 \rightarrow 1$  decay (imposing also  $\mathbf{p}'_2 = \mathbf{p}_1 + \mathbf{p}_2 - \mathbf{p}'_1$  when we calculate  $d\sigma$ ), we obtain

$$d\Phi'_{(2)} = \frac{1}{(2\pi)^2} \frac{1}{4\omega'_1\omega'_2} \delta(\omega_1 + \omega_2 - \omega'_1 - \omega'_2) d^3p'_1$$

In order to integrate over  $d|\mathbf{p}'_1|$  it is useful to introduce Mandelstam variables  $s, t$  and  $u$

$$s = (p_1 + p_2)^2 \quad t = (p_1 - p'_1)^2 \quad u = (p_1 - p'_2)^2$$

These variables are clearly Lorentz invariants, and satisfy (using  $p_1 + p_2 = p'_1 + p'_2$ ) the relation

$$s + t + u = m_1^2 + m_2^2 + (m'_1)^2 + (m'_2)^2$$

It is useful to work in the center of mass frame, where the incoming particles have  $p_1 = (\omega_1, \mathbf{p})$  and  $p_2 = (\omega_2, -\mathbf{p})$ . Computing  $s$  in the CM frame we obtain  $s = (\omega_1 + \omega_2)^2 = (\omega'_1 + \omega'_2)^2$  and then

$$p_1 + p_2 = (\sqrt{s}, 0) \\ \delta(\omega_1 + \omega_2 - \omega'_1 - \omega'_2) = \delta(\sqrt{s} - \omega'_1 - \omega'_2)$$

With a procedure identical to the one used for  $1 \rightarrow 2$  decay ( $M \leftrightarrow \sqrt{s}$ ) we obtain

$$(d\Phi''_{(2)})_{CM} = \frac{1}{16\pi^2} \frac{|\hat{p}'_1|_{CM}}{\sqrt{s}} d\Omega'_1 \\ |\hat{p}'_1|_{CM} = \frac{1}{2\sqrt{s}} \left[ s^2 + (m_1'^2 + m_2'^2)^2 - 2s(m_1'^2 + m_2'^2) \right]^{1/2}$$

The covariant flux factor in CM frame reads

$$(I_{12})_{CM} = [\mathbf{p}^2(\omega_1 + \omega_2)^2]^{1/2} = |\mathbf{p}|\sqrt{s}$$

So we obtain the final result

$$\left( \frac{d\sigma}{d\Omega'_1} \right)_{CM} = \frac{1}{64\pi^2 s} \frac{|\hat{p}'_1|_{CM}}{|\mathbf{p}|} |\mathcal{M}_{fi}|_{CM}^2 \\ = \frac{1}{128\pi^2 s^{3/2}} \frac{1}{|\mathbf{p}|} [s^2 + (m_1'^2 - m_2'^2)^2 - 2s(m_1'^2 + m_2'^2)]^{1/2} |\mathcal{M}_{fi}|_{CM}^2$$

We have two important limit cases

(A) If  $m_1 = m'_1, m_2 = m'_2$ , i. e. elastic scattering (for example  $e^- \mu^\dagger \rightarrow e^- \mu^\dagger$ )

$$\left. \begin{aligned} |\mathbf{p}_1| &= |\mathbf{p}'_1|, \omega_1 = \omega'_1 \\ |\mathbf{p}_2| &= |\mathbf{p}'_2|, \omega_2 = \omega'_2 \end{aligned} \right\} \quad |\hat{p}_1|_{CM} = |\mathbf{p}|$$

$$\left( \frac{d\sigma}{d\Omega'_1} \right)_{CM} = \frac{1}{64\pi^2} \frac{|\mathcal{M}_{fi}|^2}{s}$$

(B) If  $m_1 = m_2 \simeq 0, m'_1 = m'_2 = M$  (for example  $e^- e^+ \rightarrow \mu^- \mu^+$ )

$$\begin{aligned} |\mathbf{p}_1| &= |\mathbf{p}|, \omega_1 = \frac{\sqrt{s}}{2} \\ |\hat{p}_1| &= \frac{\sqrt{s}}{2} \left( 1 - \frac{1}{4M^2} s \right)^{1/2} \end{aligned} \quad (2.1)$$

$4M^2/s$  processes with  $s < 4M^2$  are unphysical

$$\left( \frac{d\sigma}{d\Omega'_1} \right)_{CM} = \frac{1}{64\pi^2} \left( 1 - \frac{4M^2}{s} \right) \frac{|\mathcal{M}_{fi}|^2}{s}$$

The previous formulas are valid also for particles with spin, if the initial and final spin states are known; in this case the initial state has the form  $|i\rangle = |\mathbf{p}_1, \mathbf{s}_1; \dots; \mathbf{p}_n, \mathbf{s}_n\rangle$ , and similarly for the final state.

However, experimentally is more common that we do not know the initial spin configuration and we accept in the detector all final spin configurations; in this case, to compare with experiment, we must

- (i) Considering all (equally present) polarization of initial state, i.e. *average* over the initial spin configuration
- (ii) Considering all final polarizations, i.e. *sum* over all possible final configurations

Defining the **unpolarized Feynman amplitude**  $\overline{|\mathcal{M}_{fi}|}$  as

$$\overline{|\mathcal{M}_{fi}|^2} = \frac{1}{\text{n initial polarizations}} = \sum_{\text{initial spins}} \sum_{\text{final spins}} |\mathcal{M}_{fi}|^2$$

we just have to substitute  $|\mathcal{M}_{fi}|^2 \rightarrow \overline{|\mathcal{M}_{fi}|^2}$  in all previous formulas

For example, in  $2 \rightarrow 2$  scattering

$$\frac{1}{\text{n init pol}} = \frac{1}{(2s_a + 1)(2s_b + 1)} \quad \text{where } s_a = \text{spin of particle 1; and } s_b = \text{spin of particle 2}$$

## Chapter 3

# QED processes at lowest order

### 3.1 The QED Lagrangian and its Symmetries

Mandl, sec 11.1 - Maggiore, sec 7.1

Quantum electrodynamics (QED) describes the interactions between (or any other charged spin 1/2 particle) and photons. QED is described by the lagrangian

$$\mathcal{L}_{\text{QED}} = \underbrace{\bar{\psi}(i\not{\partial} - m)\psi}_{\mathcal{L}_D^{(0)}} - \underbrace{\frac{1}{4}F_{\mu\nu}F^{\mu\nu}}_{\mathcal{L}_{EM}} - \underbrace{qA_n\bar{\psi}\gamma^\mu\psi}_{\mathcal{L}_{int}} - \underbrace{\frac{1}{2\xi}(\partial_\mu A^\mu)^2}_{\mathcal{L}_{GF}}$$

- (i)  $\mathcal{L}_D^{(0)}$  is the lagrangian for the free Dirac field
- (ii)  $\mathcal{L}_{EM}$  is the lagrangian for the free EM field. In order to quantize the E-n field we have to add the term  $\mathcal{L}_{GF}$  (gauge fixing). For other purposes this term can be omitted. Usually the choice  $\xi = 1$ , called Feynman gauge, is the simplest choice for quantization
- (iii)  $\mathcal{L}_{int}$  describes the interaction between Dirac field and EM-field. Notice that the term  $\mathcal{L}_D = \mathcal{L}_D^{(0)} + \mathcal{L}_{int}$  can be obtained from  $\mathcal{L}_D^{(0)}$  with the “minimal substitution”  $\partial_\mu \rightarrow \partial_\mu + iqA_\mu = D_\mu$ , i.e. using covariant derivative  $D_\mu$  instead of  $\partial_\mu$  in the dirac lagrangian.  
Notice that  $\mathcal{L}_D$  exhibits local symmetry, while  $\mathcal{L}_D^{(0)}$  doesn't

Besides Lorentz invariance, the QED exhibits following symmetries:

- **Global U(1) symmetry**

$$\begin{cases} \psi(x) \rightarrow \psi'(x) = e^{i\alpha}\psi(x) \\ A^\mu(x) \rightarrow A'^\mu(x) = A^\mu(x) \end{cases} \quad \alpha \in \mathbb{R}$$

There is therefore an associated conserved Noether current

$$j^\mu = q\bar{\psi}\gamma^\mu\psi \quad \rightarrow \quad \partial_\mu j^\mu = 0$$

and a U(1) charge which is conserved by the EM interaction

$$Q = q \int d^3x \psi^\dagger\psi \quad \frac{dQ}{dt} = 0$$

- **Local U(1) symmetry** (gauge symmetry)

$$\begin{cases} \psi(x) \rightarrow \psi'(x) = e^{iq\alpha(x)}\psi(x) \\ A^\mu \rightarrow A'^\mu(x) = A^\mu(x) - iq\partial^\mu\alpha(x) \end{cases}$$

notice that the global U(1) symmetry is a sequence of the local U(1) symmetry, taking  $\alpha(x)$  constant)

The covariant derivative of  $\psi$   $D_\mu\psi$  behaves as a spinor (remember that  $D_\mu$  transforms as a vector):

$$\begin{aligned} D_\mu\psi &\rightarrow (D_\mu\psi)' = D'_\mu\psi' = (D_\mu - iq\partial_\mu\alpha)(e^{iq\alpha(x)}\psi(x)) \\ &= (\partial_\mu + iqA_\mu - iq\partial_\mu\alpha)(e^{iq\alpha}\psi) \\ &= e^{iq\alpha}(\partial_\mu + iqA_\mu)\psi \\ &= e^{iq\alpha(x)}(D_\mu\psi) \end{aligned}$$

This implies that  $\mathcal{L}_D$  is invariant. Since  $\mathcal{L}_{EM}$  is invariant, the full lagrangian is invariant

## 3.2 Flavors in QED and the SU(3) Flavor Global Symmetry

QED describes interactions of the photon field with several kind of leptons, not only electron and positrons. Particles that differs only by their mass are called **flavours**. The next table describes leptons

in QED. There are two families of leptons that differs by their charge. We indicate with (-) (minus) particles with negative charge, and with (+) (plus) particles with positive charge (antiparticles) Flavours in QED

<i>Leptons</i> <sup>1</sup>	<i>e</i>	<i>μ</i>	<i>τ</i>	<i>ν<sub>e</sub></i>	<i>ν<sub>μ</sub></i>	<i>ν<sub>τ</sub></i>
<i>q</i>	-1	-1	-1	0	0	0
<i>m[MeV]</i>	0.5	105	1777	≈ 0	≈ 0	≈ 0

The dirac lagrangian  $\mathcal{L}_D$  can be modified in order to consider all possible leptons

$$\mathcal{L}_D = \sum_{i=1}^n \bar{\psi}_i(i\not{D} - m_i)\psi_i \simeq \sum_{i=1}^{n_l} \bar{\psi}_i(i\not{D} - m_i)\psi_i + \sum_{j=1}^{n_n} \bar{\psi}_j(i\not{D})\psi_j$$

with  $n$ : number of leptons,  $n_l$ : number of electrically charged particles,  $n_n$ : number of neutrinos.

In the last term the interaction term vanishes because of  $q=0$

If we adopt a matrix notation

$$\begin{aligned} \Psi_C &= \begin{pmatrix} \psi_e \\ \psi_\mu \\ \psi_\tau \end{pmatrix} & \Psi_N &= \begin{pmatrix} \psi_{\nu_e} \\ \psi_{\nu_\mu} \\ \psi_{\nu_\tau} \end{pmatrix} \\ \bar{\Psi}_C &= (\bar{\psi}_e, \bar{\psi}_\mu, \bar{\psi}_\tau) & \bar{\Psi}_N &= (\bar{\psi}_{\nu_e}, \bar{\psi}_{\nu_\mu}, \bar{\psi}_{\nu_\tau}) \end{aligned}$$

The subscript C stands for “charged”, and N for “neutral”

We can define following matrices

$$M_C = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} \quad Q_C = (-1)\mathbb{1}_{3\times 3} \quad M_N \simeq Q_N = \emptyset_{3\times 3}$$

and the generalization of the covariant derivative  $D_\mu = \partial_\mu \cdot \mathbb{1}_{3\times 3} + iA_\mu Q_C$  we obtain

$$\mathcal{L}_D = \underbrace{\bar{\Psi}_C(i\not{D} - M_C)\Psi_C}_{\mathcal{L}_C} + \underbrace{\bar{\Psi}_N(i\not{D}\mathbb{1}_{3\times 3})\Psi_N}_{\mathcal{L}_N}$$

The term  $\mathcal{L}_C$  and  $\mathcal{L}_N$  are respectively the **Charged Sector** and the **Neutral Sector** of  $\mathcal{L}_D$

The basis defined by  $\Psi_C$  and  $\Psi_N$  is called **physical basis**, since physical particles are identified by their mass.

<sup>1</sup>Neutrinos admit only global U(1) symmetry  
Neutrino masses are in the order  $m_\nu \approx 10^{-6}me \leq 1eV$



### 3.2.1 Global Symmetry of Neutral and Charged Sector

Let's consider a  $U(3)$  transformation

$$\Psi(x) \rightarrow \Psi'(x) = U\Psi(x) \quad U^\dagger U = \mathbb{1}_{3 \times 3}$$

Spinors and vector are left invariant

The neutral sector is left invariant under  $U(3)$  tfm

$$\mathcal{L}_N \rightarrow \mathcal{L}'_N = \bar{\Psi}'_N (i\cancel{\partial} \mathbb{1}_{3 \times 3}) \Psi'_N = \bar{\Psi}_N U^\dagger (i\cancel{\partial} \mathbb{1}_{3 \times 3}) U \Psi_N = \mathcal{L}_N$$

The charged sector is not invariant because of the mass term

$$\mathcal{L} \rightarrow \mathcal{L}'_C = \bar{\Psi}_C (i\cancel{\partial} - U^\dagger M_C U) \Psi_C \neq \mathcal{L}_C \quad \text{in general}$$

In order to obtain global symmetries of the flavor QED lagrangian, we search the subgroup of  $U(3)$  made by matrices  $U = g$  that satisfies

$$U_g^\dagger M_C U_g = M_C \quad U_g \in U(3) \quad (3.1)$$

We can prove that  $U(3) \stackrel{\text{isomorphism}}{\simeq} U(1) \times SU(3)$ , with

- (i)  $|\det(U(3))| = 1$
- (ii)  $\det(U(1)) = e^{i\theta}$  <sup>II</sup>
- (iii)  $\det(SU(3)) = 1$  <sup>III</sup>

Since generators of  $SU(3)$  are Gell-Mann matrices  $\lambda_a$  (for  $a = 1, \dots, 8$ ), generators of  $U(3)$  are

$$\mathbb{1} \times \{\lambda_1, \dots, \lambda_8\}$$

we can also prove that equation 3.1 is satisfied only by diagonal matrix

Diagonal generators of  $SU(3)$  are

$$\lambda_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_8 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Up to a phase, matrices  $U_g$  are in the form

$$U_g = e^{i\alpha_0 \lambda_0} e^{i\alpha_3 \lambda_3} e^{i\alpha_8 \lambda_8}$$

For  $i = 0, 3, 8$  we have  $[\lambda_i, M_C] = 0$  and then the equation 3.1 is satisfied: if we take  $\alpha_i \ll 1$

$$(1 - i\alpha_i \lambda_i) M_C (1 + i\alpha_i \lambda_i) = (M_C - i\alpha_i [\lambda_i, M_C] + o(\alpha_i^2)) \simeq M_C$$

We then obtained that the global group of symmetry is generated by the algebra

$$\mathcal{G} = \{\lambda_0, \lambda_3, \lambda_8\} = U(1)^3 \subset U(3)$$

I define the following basic of  $\mathcal{G}$ :

$$\lambda_e = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_\mu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_\tau = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

These matrices generates phase tfm for each kind of leptons ( $\lambda_e$  generates phase tfm for e, ecc ...)

Conserved quantities of this group are 3, and corresponding to the number of particles of each type (Antiparticles are counted with negative sign)

<sup>II</sup>Indicando con  $e^{i\theta}$  il determinante delle matrici  $U_g \in U(3)$

<sup>III</sup> $SU(3)$  è l'insieme di livello dato da  $(\arg \circ \det)^{-1}(0)$

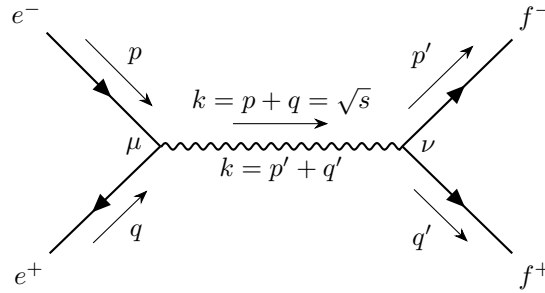
### Example 4

$\mu^- \rightarrow e^- \gamma$  is forbidden in QED. This is an example of conserved charges due to unitary symmetry that have nothing to do with electric charge.  
Flavours changing in neutral sector are forbidden too

## 3.3 QED Feynman Rules → fogli stampati (23-26)

### 3.4 $e^+e^- \rightarrow f^+f^-$

This diagram is called s-channel



With  $k = p' + q'$  we impose the 4 momentum conservation

We have

$$S_{fi} = (2\pi)^4 \delta^4(p + q - p' - q') \mathcal{M}_{fi}$$

with Feynman amplitude

$$\begin{aligned} \mathcal{M}_{fi} &= (-iq)^2 [\bar{u}_{r'}(p') \gamma^\nu v_{s'}(q')]^{\text{IV}} [\bar{v}_s(q) \gamma^\mu u_r(p)]^{\text{V}} D_{\mu\nu}^F(k) \\ &= (-iq)^2 \frac{-ig_{\mu\nu}}{k^2 + i\varepsilon} [\bar{u}_{r'}(p') \gamma^\nu v_{s'}(q')] [\bar{v}_s(q) \gamma^\mu u_r(p)] \\ &= \frac{iq^2}{s + i\varepsilon} [\bar{u}_{r'}(p') \gamma_\mu v_{s'}(q')] [\bar{v}_s(q) \gamma^\mu u_r(p)] \end{aligned}$$

In the second passage  $\xi = 1$ . We can prove that this choice has no importance, see Maggiore pg 187

Using the identity  $(\bar{u} \gamma^\mu v)^* = \bar{v} \gamma^\mu u$  (that can be proved by direct calculation using  $(\gamma^\mu)^\dagger = \gamma^0 \gamma^\mu \gamma^0$ ) we obtain (we omit polarization indexes)

$$\begin{aligned} |\mathcal{M}_{fi}|^2 &= \mathcal{M}_{fi} \mathcal{M}_{fi}^* = \frac{q^4}{s^2} (\bar{u}(p') \gamma_\mu v(q')) \times (\bar{v}(q') \gamma_\nu u(p')) \longrightarrow \text{f-current} \\ |\mathcal{M}_{fi}|^2 &= \mathcal{M}_{fi} \mathcal{M}_{fi}^* = \frac{q^4}{s^2} (\bar{v}(q) \gamma^\mu u(p)) \times (\bar{u}(p) \gamma^\nu v(q)) \longrightarrow \text{e-current} \end{aligned}$$

At this point, we are still free to specify any particular spinors  $u_r(p)$ ,  $\bar{v}_{s'}(p')$  and so on, corresponding to any desired spin states of the fermions.

#### 3.4.1 Sum Over Fermion Spins. Squared Averaged Feynman Amplitude

The Feynman amplitude simplifies considerably when we throw away the spin information. We want to compute

$$|\overline{\mathcal{M}}_{fi}|^2 = \frac{1}{2} \underbrace{\sum_s}_{\text{average over the initial states}} \frac{1}{2} \underbrace{\sum_r}_{\text{sum over final states}} \sum_{s'} \sum_{r'} |\mathcal{M}(r, s \rightarrow r', s')|^2$$

<sup>V</sup>indica il percorso  $e^- \rightarrow \mu \rightarrow e^+$  nel diagramma

<sup>V</sup>indica il percorso  $f^- \rightarrow \nu \rightarrow f^+$  nel diagramma

dimmi se ti va bene così

This sum can be performed using completeness relations for dirac spinors

$$\sum_r u_r(p) \bar{u}_r(p) = \not{p} + m \quad \sum_s v_s(p) \bar{v}_s(p) = \not{p} - m$$

Writing spinors indexes explicitly

$$\begin{aligned} \sum_{rs} \text{e-current} &= \sum_{rs} \bar{v}_a^s(q) (\gamma^\mu)_{ab} u_b^r(p) \bar{u}_c^r(p) (\gamma^\nu)_{cd} v_d^s(q) \\ &= (\not{q} - m)_{da} \gamma_{ab}^\mu (\not{p} + m)_{bc} \gamma_{cd}^\nu \\ &= \text{Tr}[(\not{q} - m) \gamma^\mu (\not{p} + m) \gamma^\nu] \end{aligned}$$

and similarly

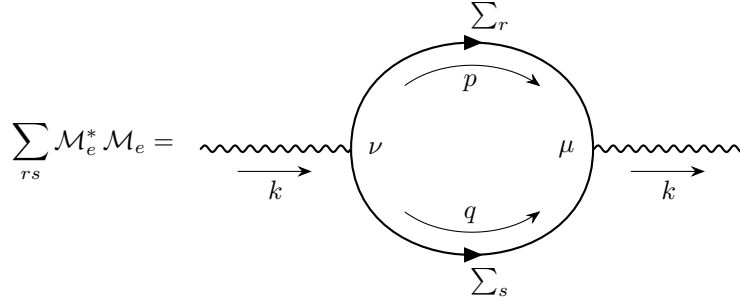
$$\sum_{r's'} \text{f-current} = \text{Tr}[(\not{p}' + m) \gamma_\mu (\not{q}' - m) \gamma_\nu]$$

So we obtain

$$|\overline{\mathcal{M}_{fi}}|^2 = \frac{q^4}{4s^2} \text{Tr}[(\not{q} - m) \gamma^\mu (\not{p} + m) \gamma^\nu] \text{Tr}[(\not{p}' + m) \gamma_\mu (\not{q}' - m) \gamma_\nu]$$

The spinors u and v have disappeared, leaving us with a much cleaner expression in terms of  $\gamma$  matrices. This trick is very genera: any QED amplitude involving external fermions, when squared and summed or averaged over spins, can be converted in this way to traces of products of Dirac matrices

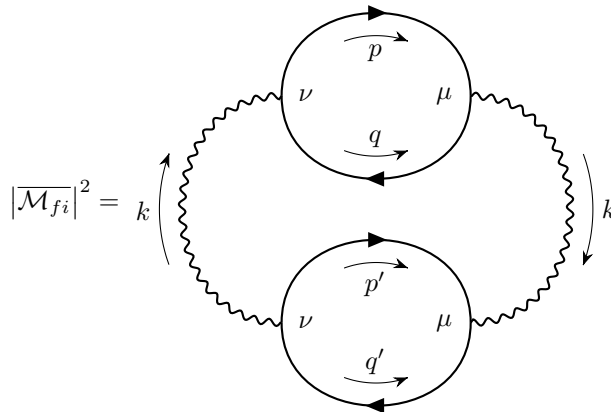
There is a trick to obtain previous formula using only Feynman rules. If we set  $\mathcal{M}_{fi} = \mathcal{M}_e \mathcal{M}_f$  (we divide  $\mathcal{M}_{fi}$  in 2 factors related to e and f), then  $|\mathcal{M}_{fi}|^2 = \mathcal{M}_e^* \mathcal{M}_e \mathcal{M}_f^* \mathcal{M}_f$ . We have



The closed fermion line contributes in the final amplitude with a factor

$$\frac{q^2}{2s} \text{Tr} \left[ \underbrace{(\not{p} + m) \gamma^\nu}_{\text{Fermion}} \underbrace{(\not{q} - m) \gamma^\mu}_{\text{Antifermion}} \right]$$

The factor  $-q^2/(2s)$  is obtained using full diagram (s is given by photon propagator)



Nei tuoi schemi in mezzo alle 4 linee continue ci sono delle sommatorie. Non ho idea di come metterle, sorry



In the CM frame we have following kinematics relations

$$\left. \begin{aligned} (p+q) &= (\omega_p + \omega_q, \mathbf{p} + \mathbf{q}) = (\omega_p + \omega_q, 0) \\ s &= (p+q)^2 = (\omega_p + \omega_q)^2 \end{aligned} \right\} = (p+q) = (\sqrt{s}, 0)$$

and

$$\omega_p = (p+q)^2 = (\omega_p + \omega_q)^2$$

Same for final momenta

$$\begin{aligned} (p' + q') &= (\sqrt{s}, 0) \\ \omega_{p'} &= \omega_{q'} = \frac{\sqrt{s}}{2} \end{aligned}$$

For product momenta

$$\begin{aligned} (p \cdot q) &= \omega^2 - \mathbf{p} \cdot \mathbf{q} = \omega^2 |\mathbf{p}|^2 = 2\omega^2 - m^2 \stackrel{m=0}{\simeq} 2\omega^2 \\ (p' \cdot q') &= \omega^2 - \mathbf{p}' \cdot \mathbf{q}' = \omega^2 |\mathbf{p}'|^2 = 2\omega^2 - M^2 \\ (p \cdot p') &= \omega^2 - \mathbf{p} \cdot \mathbf{p}' = \omega^2 - |\mathbf{p}| |\mathbf{p}'| \cos \theta \stackrel{m=0}{\simeq} \omega(\omega - |\mathbf{p}'| \cos \theta) \stackrel{m=0}{\simeq} (q \cdot q') \\ (p \cdot q') &= \omega^2 + \mathbf{p} \cdot \mathbf{p}' = \omega^2 + |\mathbf{p}| |\mathbf{p}'| \cos \theta \simeq \omega(\omega + |\mathbf{p}'| \cos \theta) \simeq (q \cdot p') \\ |\mathbf{p}'|^2 &= \omega^2 - M^2 = \omega^2 \left(1 - \frac{M^2}{\omega^2}\right) = \frac{s}{4} \left(1 - \frac{4M^2}{s}\right) \\ |\mathbf{p}|^2 &= \omega^2 + m^2 \stackrel{m=0}{\simeq} \omega^2 = \frac{s}{4} \end{aligned}$$

Now we can rewrite  $|\overline{\mathcal{M}_{fi}}|^2$  in terms of  $\omega$  and  $\theta$ . If  $m = 0$  is valid (e.g.  $m_e/m_\mu = 1/200$ )

$$\begin{aligned} |\overline{\mathcal{M}_{fi}}|^2 &\simeq \frac{8q^4}{s^2} [(\omega^4 - 2\omega^3 |p'| \cos \theta + \omega^2 |p'|^2 \cos^2 \theta) + (\omega^4 + 2\omega^3 |p'| \cos \theta + \omega^2 |p'|^2 \cos^2 \theta) + 2M^2 \omega^2] \\ &= \frac{8q^4}{s^2} \left[ \frac{2s}{4} \left( \frac{s}{4} + \frac{s}{4} \left(1 - \frac{4M^2}{s}\right) \cos^2 \theta \right) + 2M^2 \frac{s}{4} \right] \\ &= q^4 \left[ \left(1 + \frac{4M^2}{s}\right) + \left(1 - \frac{4M^2}{s}\right) \cos^2 \theta \right] \end{aligned}$$

Cross section formula in the CM frame reads

$$\begin{aligned} \left( \frac{d\bar{\sigma}}{d\Omega} \right)_{CM} &= \frac{1}{64\pi^4} \frac{1}{s} \frac{|p'|}{|q'|} |\overline{\mathcal{M}}|_{CM}^2 \\ &= \frac{q^4}{64\pi^2} \frac{1}{s} \left(1 - \frac{4M^2}{s}\right)^{1/2} \left[ \left(1 + \frac{4M^2}{s}\right) + \left(1 - \frac{4M^2}{s}\right) \cos^2 \theta \right] \\ &= \frac{\alpha^2}{4s} \left(1 - \frac{4M^2}{s}\right)^{1/2} \left[ \left(1 + \frac{4M^2}{s}\right) + \left(1 - \frac{4M^2}{s}\right) \cos^2 \theta \right] \quad \text{with } \alpha = \frac{e^2}{4\pi} \approx \frac{1}{137} \end{aligned} \quad (3.2)$$

Integrating over  $d\Omega$  we find the total unpolarized cross section

$$(\bar{\sigma})_{TOT} = \int d\Omega \left( \frac{d\bar{\sigma}}{d\Omega} \right)_{CM} = \frac{4\pi\alpha^2}{3s} \left(1 - \frac{4M^2}{s}\right)^{1/2} \left(1 + \frac{2M^2}{s}\right) + \sigma(\alpha^3) \quad (3.3)$$

**Notes:**  $(1 - (4M^2)/s)$  in the equation 3.2 impose a physical constraint for the scattering process:  $s = 4\omega^2 > 4M^2$ . Energy of initial particles must be greater than the mass of final particles  $\omega > M$  (we changed the case  $m \approx 0$ )

In equation 3.3, *the same term*, shows that for  $m \approx M$  the cross section vanish and (see previous formulas) there is almost no dependence on the angle, moreover the term  $\sigma(\alpha^3)$  is trasbured since we considering only first order of perturbative expansion.

We used approximation  $m \approx 0$  because usually in experiments  $\omega \approx 1\text{GeV}$  and  $m_\mu \approx 200\text{me}$   $m_\mu = 105\text{MeV}$ . For very high experiments,  $\omega \approx \text{TeV}$ , we can omit also  $m$  mass, approximating  $M \approx 0$ . This is called **ultra relativistic regime** (only energies are taken into account)

$$\left(\frac{d\bar{\sigma}}{d\Omega}\right)_{CM}^{UR} = \frac{\alpha^2}{4s} \underbrace{(1 + \cos\theta)}_{\substack{\text{scattering amplitude} \\ \text{is higher at small angles}}} \quad (\bar{\sigma})_{TOT}^{UR} = \frac{4\pi\alpha^2}{3s} + o\left(\frac{M^2}{s}\right) \quad (3.4)$$

Let's summarize how we obtained these results. The method extends in a straightforward way to the calculation of unpolarized cross section for other QED processes at lowest order. The general procedure is as follows:

- (1) Draw the diagram(s) for the desired process
- (2) Use Feynman rules to write down the amplitude  $\mathcal{M}_{fi}$
- (3) Square the amplitude and average or sum over spins, using completeness relations (for processing involving photons in the final state there is an analogous completeness relation, we will derive it in Compton scattering)
- (4) Evaluate traces using  $\gamma$ -matrices properties; collect terms and simplify the answer as much as possible
- (5) Specialize to a particular frame of reference, and draw a picture of the kinematic variable in that frame. Express all 4-momentum vectors in terms of suitably chosen set of variables such as  $E$  and  $\theta$
- (6) Plug the resulting expression for  $|\overline{\mathcal{M}_{fi}}|^2$  into the cross-section formula and integrate over phase space variables that are not measured to obtain a differential cross section in the desired form

### Exercise 1

Calculate cross sections of following processes ( $f \neq e$ ) (unpolarized)

- $e^- f^- \rightarrow e^- f^-$  (t-channel)
- $e^- f^+ \rightarrow e^- f^+$  (u-channel)

### 3.4.2 Polarized Scattering Relations between helicity and chirality.

Perkin, sec 5.2 - Schwartz, sec 13.3 - Mandl, sec 8.4 **The ultra relativistic limit and helicity amplitudes**

In the previous calculation we obtained the amplitude polarized

$$\mathcal{M}_{fi} = \frac{iq^2}{s} (\bar{u}_{r'}(p')\gamma^\mu v_{s'}(q')) (\bar{v}_s\gamma_\mu u_r(p))$$

Calculation of the polarized cross section allows us to understand better the unpolarized cross section, for example show us where the factor  $(1 + \cos^2\theta)$  comes from.

We must choose a basis of polarization states. The best choice is to quantize each spin along the direction of particle's motion, that is, to use states of definite helicity.

In general, helicity projectors are hard to be used for lower energies, so we work in the ultra relativistic limit. Recall that in the massless limit, the left- and right-handed helicity states of a Dirac particle live in different representations of the Lorentz group. We might expect them to behave independently, and in fact they do.

We would like to use the spin sum identities to write the squared amplitude in term of traces as before, even though we now want to consider only one set of polarizations at a time. We note that in the ultra-relativistic limit, helicity is related to chirality, so we can use chirality projectors, that are much simpler.

In particular, let  $\Lambda_{\pm}$  be energy projectors,  $\Pi_{\pm}$  be helicity projectors, and  $P_{L,R}$  be chirality projectors, then following relations holds:

$$\Pi_{\pm}\Lambda_{+} = P_{R,L}\Lambda_{+} \quad \Pi_{\pm}\Lambda_{-} = P_{L,R}\Lambda_{-}$$

where

$$P_R = \frac{1 + \gamma_5}{2} \quad P_L = \frac{1 - \gamma_5}{2}$$

And for spinors in UR limit:

$$\begin{aligned} u_{+} &= \Pi_{+} u = u_1 = u_R && \rightarrow \text{right handed spinor} && h = \frac{1}{2} \\ u_{-} &= \Pi_{-} u = u_2 = u_L && \rightarrow \text{left handed spinor} && h = -\frac{1}{2} \end{aligned}$$

with  $h$  = helicity eigenvalue

The diagrams illustrate helicity. On the left, a horizontal arrow points right, labeled  $e_R^-$  above and  $h = \frac{1}{2}$  below. A curved arrow above the horizontal arrow indicates a clockwise rotation. On the right, a horizontal arrow points right, labeled  $e_L^-$  above and  $h = -\frac{1}{2}$  below. A curved arrow above the horizontal arrow indicates a counter-clockwise rotation.

$$\begin{aligned} v_{+} &= \Pi_{+} v = v_2 = v_L && \rightarrow \text{left handed spinor} && h = \frac{1}{2} \\ v_{-} &= \Pi_{-} v = v_1 = v_R && \rightarrow \text{right handed spinor} && h = -\frac{1}{2} \end{aligned}$$

The diagrams illustrate helicity for antiparticles. On the left, a horizontal arrow points right, labeled  $e_R^+$  above and  $h = -\frac{1}{2}$  below. A curved arrow above the horizontal arrow indicates a counter-clockwise rotation. On the right, a horizontal arrow points right, labeled  $e_L^+$  above and  $h = \frac{1}{2}$  below. A curved arrow above the horizontal arrow indicates a clockwise rotation.

We notice that for antiparticles the relations between chirality and helicity is inverted. This can be easily interpreted using Dirac's Holes Theory, for example an antiparticle with positive helicity is the hole left by a particle with positive helicity (since both momenta and spin change sign), and so particles and antiparticles with same helicity must have inverse chirality.

Using chirality projectors properties we can write Dirac currents as

$$\begin{aligned} \bar{v}\gamma^{\mu}u &= s\bar{v}(P_L + P_R)\gamma^{\mu}(P_L + P_R)u \\ &= \bar{v}P_L\gamma^{\mu}P_Lu + \bar{v}P_R\gamma^{\mu}P_Ru + \bar{v}P_L\gamma^{\mu}P_Ru + \bar{v}P_R\gamma^{\mu}P_Lu \end{aligned}$$

Using  $\gamma$  matrices properties

$$P_{R,L}\gamma^{\mu} = \frac{\gamma^{\mu} \pm \gamma^5\gamma^{\mu}}{2} = \frac{\gamma^{\mu} \pm \overbrace{\{\gamma^5, \gamma^{\mu}\}}^{=0} \mp \gamma^{\mu}\gamma^5}{2} = \gamma^{\mu} \frac{1 \mp \gamma^5}{2} = \gamma^{\mu} P_{L,R}$$

we obtain

$$\begin{aligned} \bar{v}\gamma^{\mu}u &= \bar{v}\gamma^{\mu}P_RP_Lu + \bar{v}\gamma^{\mu}P_LP_Ru + \bar{v}\gamma^{\mu}P_RP_Ru + \bar{v}\gamma^{\mu}P_LP_Lu \\ &= \bar{v}\gamma^{\mu}P_Ru + \bar{v}\gamma^{\mu}P_Lu = \bar{v}\gamma_R^{\mu}u + \bar{v}\gamma_L^{\mu}u = J_R^{\mu} + J_L^{\mu} \end{aligned}$$

where we are defined  $\gamma_{R,L}^\mu = \gamma^\mu P_{RL}$  and the left- and right-currents:

$$J_L^\mu = \bar{v} \gamma_L^\mu u \quad J_R^\mu = \bar{v} \gamma_R^\mu u$$

We define right- and left-handed spinors as follows:

$$\begin{aligned} u_L &= P_L u & u_R &= P_R u \\ v_L &= P_L v & v_R &= P_R u \end{aligned}$$

and them conjugated as (let  $\psi$  be a generic spinors)

$$\begin{aligned} \psi_L &= P_L \psi & \bar{\psi}_L &= \bar{\psi} P_R \\ \psi_R &= P_R \psi & \bar{\psi}_R &= \bar{\psi} P_L \end{aligned}$$

With this notation I can rewrite left- and right-handed currents as

$$\begin{aligned} \bar{v} \gamma^\mu u &= \bar{v} \gamma_R^\mu u + \bar{v} \gamma_L^\mu u = \bar{v}_R \gamma^\mu u_R + \bar{v}_L \gamma^\mu u_L \\ \bar{u} \gamma^\mu v &= \bar{u} \gamma_R^\mu v + \bar{u} \gamma_L^\mu v = \bar{u}_R \gamma^\mu v_R + \bar{u}_L \gamma^\mu v_L \end{aligned}$$

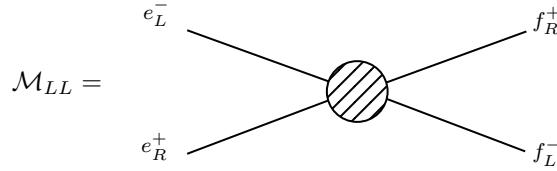
Here is evident that a deric current can be divided in its left handed and right handed components

Going back to the Feynman amplitude

$$\begin{aligned} \mathcal{M}_{fi} &= \frac{iq^2}{s} (\bar{u}(p') \gamma_L^\mu v(q')) (\bar{v}(q) \gamma_\mu^L u(p)) \times \rightarrow \mathcal{M}_{LL} \\ &\quad \times (\bar{u}(p') \gamma_L^\mu v(q')) (\bar{v}(q) \gamma_\mu^R u(p)) \times \rightarrow \mathcal{M}_{LR} \\ &\quad \times (\bar{u}(p') \gamma_R^\mu v(q')) (\bar{v}(q) \gamma_\mu^L u(p)) \times \rightarrow \mathcal{M}_{RL} \\ &\quad \times (\bar{u}(p') \gamma_R^\mu v(q')) (\bar{v}(q) \gamma_\mu^R u(p)) \rightarrow \mathcal{M}_{RR} \end{aligned}$$

If I did not used the UK limit, I would have obtained 16 independent terms, instead of 4.

Each factor in the latter, corresponds to a different Feynman diagram with different left- and right-handed, initial and final particles, for example



With

$$\mathcal{M}_{LL} = UR \left( e^- \left( -\frac{1}{2} \right) + e^+ \left( \frac{1}{2} \right) \rightarrow f^- \left( -\frac{1}{2} \right) + f^+ \left( \frac{1}{2} \right) \right)$$

Having inserted these projection operators, we are now free to sum over the electron and positron spins in the squared amplitude. As a cosequence of projector operators, we saw that the amplitude vanishes (in UR limit) unless the eletron and positron have opposite helicity, or equivalently, unless their spinors have the same helicity.

Let's calculate squared Feynman amplitude of  $\mathcal{M}_{LL}$ , summing over spins

$$|\mathcal{M}_{LL}|^2 = \sum_{\text{spins}} \underbrace{|\bar{u}_r(p') \gamma_L^\mu v_{s'}(q')|^2}_{\mathbf{B}} \underbrace{|\bar{v}_s(q) \gamma_\mu^L u_r(p)|^2}_{\mathbf{A}}$$

with

$$\begin{aligned} \mathbf{A} &= \sum_{rs} (\bar{v}_s(q) \gamma_\mu^L u_r(p)) (\bar{u}_r(p) \gamma_\nu^L v_s(q)) \\ &= \text{Tr}[\not{q} \gamma_\mu^L \not{p} \gamma_\nu^L] = \text{Tr}[q^\rho \gamma_\rho \gamma_\mu P_L p^\sigma \gamma_\sigma \gamma_\nu P_L] \\ &= \text{Tr}[q^\rho \gamma_\rho \gamma_\mu p^\sigma \gamma_\sigma P_R \gamma_\nu P_L] = \text{Tr}\left[\not{q} \gamma_\mu \not{p} \gamma_\nu \left( \frac{1 - \gamma^5}{2} \right)\right] \\ &= \frac{1}{2} \text{Tr}[\not{q} \gamma_\mu \not{p} \gamma_\nu] - \frac{1}{2} \text{Tr}[\not{q} \gamma_\mu \not{p} \gamma_\nu \gamma_5] \end{aligned}$$



**Recall:**

$$\begin{aligned}\text{Tr}[\gamma^\mu \gamma^\nu \gamma^\rho \gamma^\sigma] &= 4(g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma} + g^{\mu\sigma} g^{\nu\rho}) \\ \text{Tr}[\gamma^\mu \gamma^\nu \gamma^\rho \gamma^\sigma \gamma^5] &= -4i\varepsilon^{\mu\nu\rho\sigma}\end{aligned}$$

$$\begin{aligned}\mathbf{A} &= 2(q_\mu p_\nu - q \cdot p g_{\mu\nu} + q_\nu p_\mu - i\varepsilon_{\alpha\mu\beta\nu} q^\alpha p^\beta) \\ \mathbf{B} &= 2(p'^\mu q'^\nu - q' \cdot p' g^{\mu\nu} + p'^\nu q'^\mu - i\varepsilon^{\rho\mu\sigma\nu} p'_\rho q'_\sigma)\end{aligned}$$

And we finally obtain

$$\begin{aligned}|\mathcal{M}_{LL}|^2 &= \frac{4q^2}{s^2} [2(p \cdot p')(q \cdot q') + 2(p \cdot q')(p' \cdot q) - \varepsilon^{\rho\mu\sigma\nu} \varepsilon_{\alpha\mu\beta\nu} q^\alpha p^\beta p'_\rho q'_\sigma] \\ &= \frac{8q^2}{s^2} [(p \cdot p')(q \cdot q') + (p \cdot q')(p' \cdot q) - (p \cdot p')(q \cdot q') + (p \cdot q')(q \cdot p')] \\ &= \frac{16q^2}{s^2} (p \cdot q')(p' \cdot q)\end{aligned}$$

In general frame

$$u = (p - q')^2 = (q - p')^2 = 2m^2 - 2pq' \simeq -2pq' = -2qp'$$

and in the center of mass frame

$$(p \cdot q') = (q \cdot p') = \omega^2(1 + \cos \theta)$$

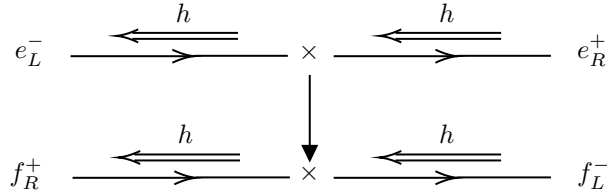
so the squared amplitude in the center of mass frame reads

$$|\mathcal{M}_{LL}|^2 = \frac{16q^4}{s^2} \omega^4 (1 + \cos \theta)^2 = q^4 (1 + \cos \theta)^2 = q^4 \frac{u^2}{s^2}$$

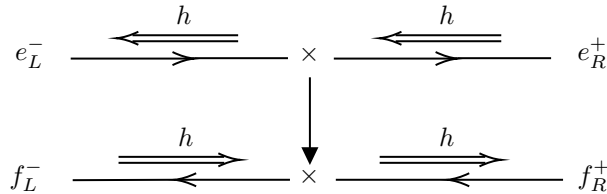
Notice that this amplitude vanished for  $\theta = \pm\pi$

In particular

(i)  $|\mathcal{M}_{LL}|^2$  is max when  $\theta = 0$



(ii)  $|\mathcal{M}_{LL}|^2$  is zero when  $\theta = \pi$



Amplitude vanished when helicity states are orthogonal. This is what we would expect, since for  $\theta = \pi$  the total angular momentum of the final state is opposite to that of the initial state

### Exercise 2

Compute  $|\mathcal{M}_{RR}|^2, |\mathcal{M}_{LR}|^2, |\mathcal{M}_{RL}|^2$

Solution:

$$|\mathcal{M}_{RR}|^2 = q^4(1 + \cos \theta)^2 = q^4 \frac{u^2}{s^2}$$

$$|\mathcal{M}_{LR}|^2 = |\mathcal{M}_{RL}|^2 = q^4(1 - \cos \theta)^2 = q^4 \frac{t^2}{s^2}$$

Actually  $|\mathcal{M}_{LL}|^2 = |\mathcal{M}_{RR}|^2$  and  $|\mathcal{M}_{LR}|^2 = |\mathcal{M}_{RL}|^2$  are consequences of parity invariance

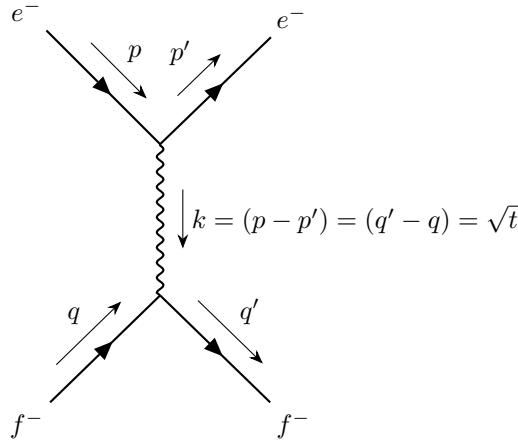
Adding up all four contributions, and dividing by 4 to average over the electron and positron states, we recover the unpolarized cross section derived previously

$$\begin{aligned} |\mathcal{M}|^2 &= \frac{1}{4} (|\mathcal{M}_{LL}|^2 + |\mathcal{M}_{RR}|^2 + |\mathcal{M}_{RL}|^2 + |\mathcal{M}_{LR}|^2) \\ &= q^4(1 + \cos^2 \theta) \end{aligned}$$

### 3.4.3 Mandelstam variables and crossing symmetries

Peskin, sec 5.4

Let's consider a different but closely related QED process:  $e^- f^- \rightarrow e^- f^-$



The Feynman amplitude of this process (in Feynmann gauge) reads

$$\mathcal{M}_{fi} = \frac{iq^2}{t} (\bar{u}(p') \gamma^\mu u(p)) (\bar{u}(q') \gamma_\mu u(q))$$

The relation between this process and  $e^+ e^- \rightarrow f^+ f^-$  becomes clear when we compute the squared amplitude, average and summed over spins:

$$|\overline{\mathcal{M}}_{fi}|^2 = \frac{q^4}{4t^2} \text{Tr}[(\not{p} + m) \gamma^\nu (\not{p}' + m) \gamma^\mu] \text{Tr}[(\not{q} + m) \gamma_\nu (\not{q}' + m) \gamma_\mu]$$

Recall that for  $e^- e^+ \rightarrow f^- f^+$

$$|\overline{\mathcal{M}}_{fi}|^2_{e^- e^+} = \frac{q^4}{4s^2} \text{Tr}[(\not{p} + m) \gamma^\nu (\not{q} - m)] \text{Tr}[(\not{q}' - m) \gamma_\nu (\not{p}' + m) \gamma_\mu]$$

Notice that following symmetry relations hold

$$\begin{array}{ccc}
e^+e^- \leftrightarrow e^-f^- & & e^+e^- \leftrightarrow e^-f^- \\
p \leftrightarrow p & & s = (p+q)^2 \leftrightarrow t = (p-p')^2 \\
q \leftrightarrow -p' & \xrightarrow{\text{in Mandelstam variables}} & t = (p-p')^2 \leftrightarrow u = (p-q')^2 \\
p' \leftrightarrow q' & & u = (p-q')^2 \leftrightarrow s = (p+q)^2 \\
q' \leftrightarrow -q & & 
\end{array}$$

So instead of evaluating traces from scratch, we can just make the same replacement in our previous result. Setting  $m = 0$  we find

$$\begin{aligned}
|\overline{\mathcal{M}}_{fi}|^2 &= \frac{8q^4}{t^2} ((p \cdot q')(p' \cdot q) + (p \cdot q)(p' \cdot q') - M^2(p \cdot p')) \\
&\underset{\substack{\text{UR limit} \\ n=0}}{\approx} 2q^4 \left( \frac{t^2 + u^2}{s^2} \right)
\end{aligned}$$

Where we used following relation for the UR limit

$$\begin{aligned}
s &= 2pq = 2p'q' \\
t &= -2pp' = -2qq' \\
u &= -2pq' = -2p'q
\end{aligned}$$

In the center of mass frame, in the UR limit, we have

$$\begin{aligned}
|\overline{\mathcal{M}}_{fi}|_{CM}^2 &= 2e^4 \left[ \frac{4 + (1 + \cos \theta)^2}{(1 - \cos \theta)^2} \right] \\
\left( \frac{d\sigma}{d\Omega} \right)_{CM} &= \frac{\alpha^2}{2s} \left[ \frac{4 + (1 + \cos \theta)^2}{(1 - \cos \theta)^2} \right]
\end{aligned}$$

Where  $\theta$  is the angle between  $f_{\text{init}}^-$  and  $e_{\text{fin}}^-$

Diagramma  
foglio 41

### 3.4.4 Crossing symmetry

The trick we made use of here, namely the relation between the two processes  $e^+e^- \rightarrow f^+f^-$  and  $e^-f^- \rightarrow e^-f^-$ , is our first example of a type of relation known as **crossing symmetry**:

*The S-matrix for any process involving a particle with momentum  $p$  in the initial state is equal to the S-matrix for an otherwise identical process but with an antiparticle of momentum  $k = -p$  in the final state*

$$\mathcal{M}(\phi(p) + \dots \rightarrow \dots) = \pm \mathcal{M}(\dots \rightarrow \dots + \bar{\phi}(k))$$

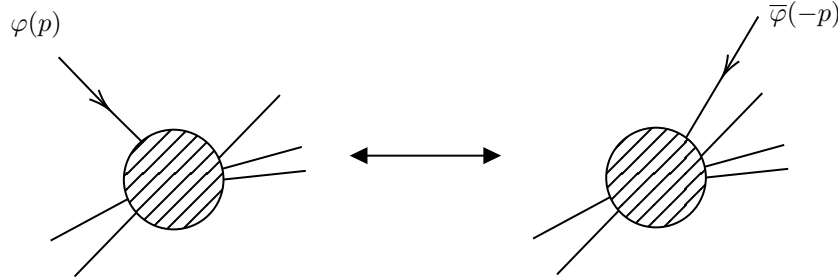
Note that there is no value of  $p$  for which  $p$  and  $k$  are both physically allowed, since the particle must have  $p^0 > 0$  and the antiparticle  $k^0 > 0$ . So technically, we should say that either amplitude can be obtained from the other by analytic continuation

previous relation follows directly from Feynman rules. Relative sign between amplitude is not predicted by Feynman rules, but it can be obtained from commuting/anticommuting proprieties of field. For example, for spinors fields

$$\begin{cases} \text{odd permutations} & \rightarrow \text{minus sign} \\ \text{ever permutations} & \rightarrow \text{plus sign} \end{cases}$$

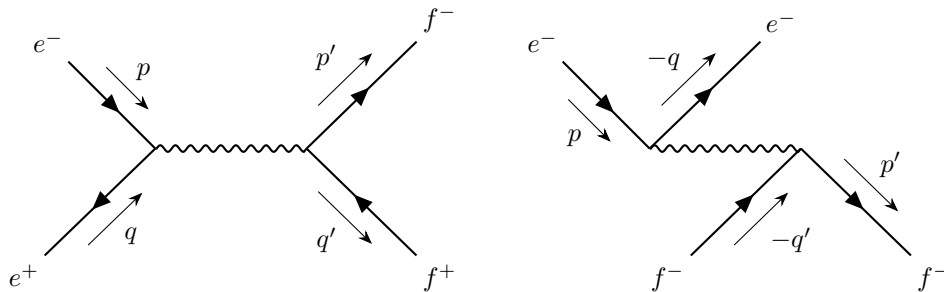
For squared amplitudes the relative sign is irrelevant but it's important when we have to sum different diagrams contributions

The diagrams that contribute to the two amplitudes fall into a natural one-to-one correspondence, where corresponding diagrams differ only by changing the incoming  $\phi$  into the outgoing  $\bar{\phi}$



For example, in the  $e^-e^+ \rightarrow f^+f^-$  and  $e^-f^- \rightarrow e^-f^-$  correspondence we have

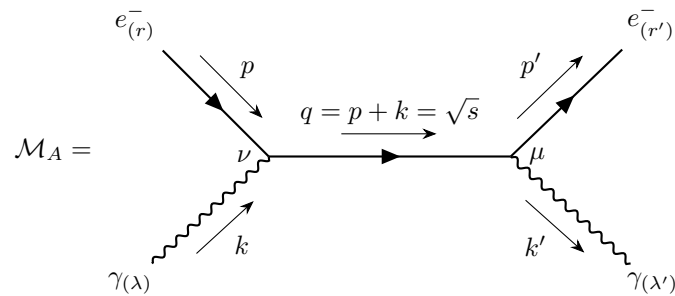
Controlla se sono giusti

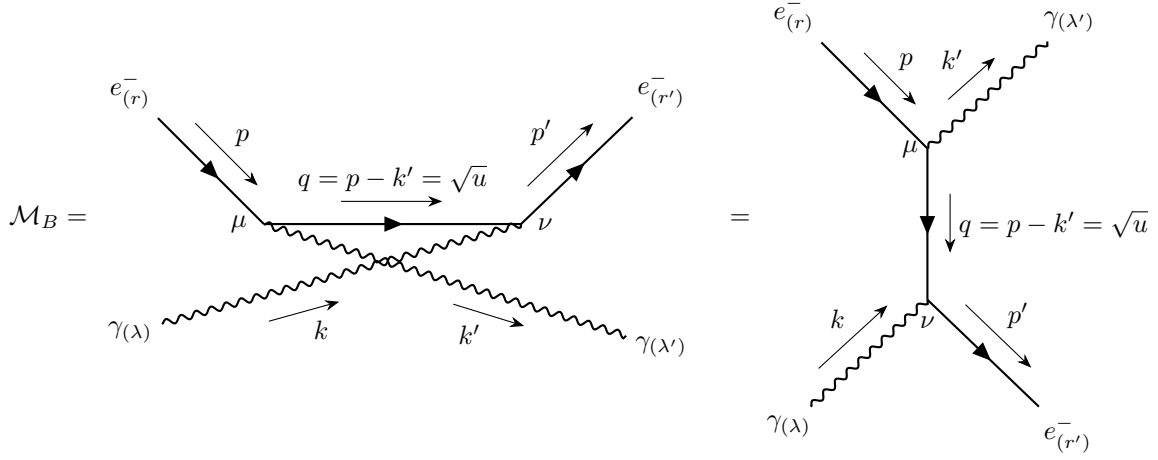


### 3.5 $e^-\gamma \rightarrow e^-\gamma$ (Compton)

See Peskin, sec 5.5

Let's examine a process with external bosons: *Compton scattering*, or  $e^-\gamma \rightarrow e^-\gamma$ . This process is described by two independent diagrams, since they are topologically different:





We wrote the diagram of  $\mathcal{M}_B$  in two topologically equivalent forms: in the first one is clear the topological relation with diagram of  $\mathcal{M}_A$  (this is useful to find the relative sign between diagrams  $A$  and  $B$ : it's clear that diagrams differs for the permutation of two bosons), while in the second one is clear that it describes a  $u$ -channel.

Amplitudes reads, using Feynman rules

$$\begin{aligned}\mathcal{M}_A &= \bar{u}_{r'}(p')(-iq\gamma^\mu)\varepsilon_\mu^{\lambda'*}(k')\tilde{S}_F(p+k)(-iq\gamma^\nu)\varepsilon_\nu^\lambda(k)u_r(p) \\ &= -q^2\varepsilon_\mu^{\lambda'*}(k')\varepsilon_\nu^\lambda(k)\left[\bar{u}_{r'}(p')\gamma^\mu\tilde{S}_F(p+k)\gamma^\nu u_r(p)\right]\end{aligned}$$

$$\mathcal{M}_B = -q^2\varepsilon_\mu^{\lambda'*}(k')\varepsilon_\nu^\lambda(k)\left[\bar{u}_{r'}(p')\gamma^\nu\tilde{S}_F(p-k')\gamma^\mu u_r(p)\right]$$

(Recall that  $\tilde{S}_F$  is a matrix, so elements in the squared bracket must be written in this order)  
Because of anticommuting relations for bosons, these amplitudes must be summed up in the total amplitude. The explicit form of Feynman propagator for the Dirac field reads

$$\tilde{S}_F(p) = \frac{i(\not{p} + m)}{p^2 - m^2 + i\varepsilon} = \frac{i}{\not{p} - m + i\varepsilon}$$

so total amplitude is

$$\mathcal{M} = -iq^2\varepsilon_\mu^{\lambda'*}(k')\varepsilon_\nu^\lambda(k)\bar{u}_{r'}(p')\left[\frac{\gamma^\mu(\not{p} + \not{k} + m)\gamma^\nu}{(p+k)^2 - m^2} + \frac{\gamma^\nu(\not{p} - \not{k}' + m)\gamma^\mu}{(p-k')^2 - m^2}\right]u_r(p)$$

We make some simplifications before squaring this expression. Since  $p^2 = m^2$  and  $k^2 = 0$ :

$$(p+k)^2 - m^2 = 2p \cdot k \quad (p-k')^2 - m^2 = -2p \cdot k'$$

To simplify numerators, I can use Dirac algebra:

$$\begin{aligned}(\not{p} + m)\gamma^\nu u(p) &= (p_\mu\gamma^\mu\gamma^\nu + m\gamma^\nu)u(p) = (2g^{\mu\nu}p_\mu - p_\mu\gamma^\nu\gamma^\mu + m\gamma^\nu)u(p) \\ &= 2p^\nu u(p) - \underbrace{\gamma^\nu(\not{p} - m)}_{2m\Lambda_-(p)}u(p) = 2p^\nu u(p)\end{aligned}$$

Using these tricks we obtain

$$\mathcal{M} = -iq^2\varepsilon_\mu^{\lambda'*}(k')\varepsilon_\nu^\lambda(k)\bar{u}_{r'}(p')\left[\frac{\gamma^\mu\not{k}\gamma^\nu + 2\gamma^\mu p^\nu}{2p \cdot k} + \frac{-\gamma^\nu\not{k}'\gamma^\mu + 2\gamma^\nu p^\mu}{-2p \cdot k'}\right]u_r(p)$$

### 3.5.1 The Ward Identities and sum over the photon polarizations

See Mandl, sec 8.3

The next step in the calculation will be to square this expression for  $\mathcal{M}$  and sum or average over electron and photon polarization states. The sum over electron polarizations can be performed as before, using  $\sum u(p)\bar{u}(p) = \not{p} + m$ . Fortunately, there is a similar trick for summing over photons polarization vectors. Gauge invariance of the theory implies the gauge invariance of the matrix elements, i.e. of the Feynman amplitudes. It is, of course, only the matrix element itself, corresponding to the sum of all possible Feynman graphs in a given order of perturbation theory, which must be gauge invariant. For example, for the Compton scattering, the individual amplitudes  $\mathcal{A}$  and  $\mathcal{B}$  are not gauge invariants, but their sum  $\mathcal{M}$  is.

For any process involving external photons, the Feynman amplitude  $\mathcal{M}$  is of the form

$$\mathcal{M} = \varepsilon_{\alpha}^{\lambda_1}(k_1)\varepsilon_{\beta}^{\lambda_2}(k_2)\dots L^{\alpha\beta\dots}(k_1, k_2, \dots) \quad (3.5)$$

with one polarization vector  $\varepsilon^{\lambda_i}(k_i)$  for each external photon, and the tensor amplitude  $L^{\alpha\beta\dots}(k_1, k_2, \dots)$  independent of these polarization vectors.

The polarization vectors are of course gauge dependent. For example, for a free photon described in the Lorentz gauge by the plane wave

$$A^{\mu}(x) = \text{const} \cdot \varepsilon_{\lambda}^{\mu}(k)e^{\pm ikx}$$

the gauge transformation

$$A^{\mu} \rightarrow A'^{\mu}(x) = A^{\mu}(x) + \partial^{\mu}\alpha(x) \quad \text{with} \quad \alpha(x) = \tilde{\alpha}(k)e^{\pm ikx}$$

implies

$$\varepsilon_{\lambda}^{\mu}(k) \rightarrow \varepsilon'^{\mu}_{\lambda}(k) = \varepsilon_{\lambda}^{\mu}(k) \pm ik^{\mu}\tilde{\alpha}(k)$$

Invariance of the amplitude Eq.(3.5) under this transformation requires

$$k_1^{\alpha}L_{\alpha,\beta,\dots}(k_1, k_2, \dots) = k_1^{\beta}L_{\alpha,\beta,\dots}(k_1, k_2, \dots) = \dots = 0$$

i.e. when any external photon polarization vector is replaced by the corresponding four momentum, the amplitude must vanish. This is the statement of the *Ward Identity*:

*If  $\mathcal{M}(k) = \varepsilon_{\mu}(k)L^{\mu}(k)$  is the amplitude for some QED process involving an external photon with momentum  $k$ , then this amplitude vanishes if we replace  $\varepsilon_{\mu}$  with  $k_{\mu}$ :*

$$k_{\mu}L^{\mu}(k) = 0$$

#### Exercise 3

Verify explicitly the Ward Identity for the Feynman amplitude of Compton scattering

See Peskin, sec 5.5

Returning to our derivation of the polarization sum formula for squared scattering amplitude. Writing in general

$$\mathcal{M} = \varepsilon_{\mu}^{(\lambda)}(k)L^{\mu}(k)$$

then the sum over polarizations of the photon with momentum  $k$  reads

$$\sum_{\lambda=1,2} |\mathcal{M}|^2 = \sum_{\lambda=1,2} \varepsilon_{\mu}^{(\lambda)}(k)\varepsilon_{\nu}^{(\lambda)*}(k)L^{\mu}(k)L^{\nu\dagger}(k)$$

Because of the covariance of the theory we can do the calculation in a specific frame. In order to simplify the analysis we choose the frame where the photon moves along the  $\hat{z}$  axis:

$$k^{\mu} = (|k|, 0, 0, |k|)$$

In this case the Ward Identity reads

$$0 = k_\mu L^\mu = |k| (L^0 - L^3) \quad \longrightarrow \quad L^0 = L^3$$

Recall that in this frame

$$\varepsilon_\mu^{(1)}(k) = (0, 1, 0, 0) \quad \varepsilon_\mu^{(2)}(k) = (0, 0, 1, 0)$$

So we have

$$\sum_{\lambda=1,2} \varepsilon_\mu^{(\lambda)}(k) \varepsilon_\nu^{(\lambda)*}(k) L^\mu(k) L^{\nu\dagger}(k) = |L^1|^2 + |L^2|^2 = |L^1|^2 + |L^2|^2 + |L^3|^2 - |L^0|^2 = -g_{\mu\nu} L^\mu L^\nu$$

So we obtained the general rule to simplify photons polarization sum<sup>VI</sup>

$$\boxed{\sum_{\lambda=1,2} \varepsilon_\mu^{(\lambda)}(k) \varepsilon_\nu^{(\lambda)*}(k) L^\mu(k) L^{\nu\dagger}(k) \quad \longrightarrow \quad -g_{\mu\nu}}$$

### 3.5.2 The Klein-Nishima formula and the Thomson scattering

See Peskin, sec. 5.5

To obtain the unpolarized cross section for Compton scattering, we use the covariant method described in the previous section. Writing

$$\mathcal{M} = \varepsilon_\mu^{\lambda'*}(k') \varepsilon_\nu^\lambda(k) (L^{\mu\nu}(k, k'))_{r,r'}$$

with

$$(L^{\mu\nu}(k, k'))_{r,r'} = -iq^2 \bar{u}_{r'}(p') \left[ \frac{\gamma^\mu \not{k} \gamma^\nu + 2\gamma^\mu p^\nu}{2p \cdot k} + \frac{-\gamma^\nu \not{k}' \gamma^\mu + 2\gamma^\nu p^\mu}{-2p \cdot k'} \right] u_r(p)$$

we obtain

$$\begin{aligned} |\bar{\mathcal{M}}|^2 &= \frac{1}{4} \left( \sum_{\lambda'} \varepsilon_\mu^{\lambda'*}(k') \varepsilon_\rho^{\lambda'}(k') \right) \left( \sum_{\lambda} \varepsilon_\nu^{\lambda}(k) \varepsilon_\sigma^{\lambda*}(k) \right) \sum_{r,r'} (L^{\mu\nu})_{r,r'} (L^{\rho\sigma})_{r,r'}^\dagger \\ &= \frac{1}{4} g_{\mu\rho} g_{\nu\sigma} \sum_{r,r'} (L^{\mu\nu})_{r,r'} (L^{\rho\sigma})_{r,r'}^\dagger = \frac{1}{4} (L^{\mu\nu})_{r,r'} (L_{\mu\nu})_{r,r'}^\dagger \\ &= \frac{q^4}{4} \text{Tr} \left[ (\not{p}' + m) \left( \frac{\gamma^\mu \not{k} \gamma^\nu + 2\gamma^\mu p^\nu}{2p \cdot k} + \frac{\gamma^\nu \not{k}' \gamma^\mu - 2\gamma^\nu p^\mu}{2p \cdot k'} \right) \times \right. \\ &\quad \left. \times (\not{p} + m) \left( \frac{\gamma_\nu \not{k} \gamma_\mu + 2\gamma_\mu p_\nu}{2p \cdot k} + \frac{\gamma_\mu \not{k}' \gamma_\nu - 2\gamma_\nu p_\mu}{2p \cdot k'} \right) \right] \\ &= \frac{q^4}{4} \left\{ \frac{T_{AA}}{(2p \cdot k)^2} + \frac{T_{BB}}{(2p \cdot k')^2} + \frac{T_{AB} + T_{BA}}{(2p \cdot k)(2p \cdot k')} \right\} \end{aligned}$$

where

$$\begin{aligned} T_{AA} &= \text{Tr} [(\not{p}' + m)(\gamma^\mu \not{k} \gamma^\nu + 2\gamma^\mu p^\nu)(\not{p} + m)(\gamma_\nu \not{k} \gamma_\mu + 2\gamma_\mu p_\nu)] \\ T_{BB} &= \text{Tr} [(\not{p}' + m)(\gamma^\nu \not{k}' \gamma^\mu - 2\gamma^\nu p^\mu)(\not{p} + m)(\gamma_\mu \not{k}' \gamma_\nu - 2\gamma_\nu p_\mu)] \\ T_{AB} &= \text{Tr} [(\not{p}' + m)(\gamma^\mu \not{k} \gamma^\nu + 2\gamma^\mu p^\nu)(\not{p} + m)(\gamma_\mu \not{k}' \gamma_\nu - 2\gamma_\nu p_\mu)] \\ T_{BA} &= \text{Tr} [(\not{p}' + m)(\gamma^\nu \not{k}' \gamma^\mu - 2\gamma^\nu p^\mu)(\not{p} + m)(\gamma_\nu \not{k} \gamma_\mu + 2\gamma_\mu p_\nu)] \end{aligned}$$

<sup>VI</sup>Notice that we could prove (see Peskin) that even if we took  $\lambda = 0, 1, 2, 3$ , we could have obtained that the unphysical time-like and longitudinal photons can be consistently omitted from QED calculations, since in any event the squared amplitudes for producing these states cancel to give zero total probability.

Notice that  $T_{BB} = T_{AA}(k \leftrightarrow -k')$  and  $T_{BA} = T_{AB}(k \leftrightarrow -k')$ , we need therefore only calculate  $T_{AA}$  and  $T_{AB}$ .

Considering  $T_{AA}$ , there are 16 terms inside the trace, but half contains an odd number of  $\gamma$  matrices and therefore vanishes. Other terms are

$$\begin{aligned}
(1) &= \text{Tr}[\not{p}' \gamma^\mu \not{k} \gamma^\nu \not{p} \gamma_\nu \not{k} \gamma_\mu] \\
(2) &= 2 \text{Tr}[\not{p}' \gamma^\mu \not{k} \gamma^\nu \not{p} \gamma_\mu p_\nu] = 2 \text{Tr}[\not{p}' \gamma^\mu \not{k} \not{p} \not{p} \gamma_\mu] \\
(3) &= 2 \text{Tr}[\not{p}' \gamma^\mu p^\nu \not{p} \gamma_\nu \not{k} \gamma_\mu] = 2 \text{Tr}[\not{p}' \gamma^\mu \not{p} \not{p} \not{k} \gamma_\mu] \\
(4) &= 4 \text{Tr}[\not{p}' \gamma^\mu p^\nu \not{p} \gamma_\mu p_\nu] = 4p^2 \text{Tr}[\not{p}' \gamma^\mu \not{p} \gamma_\mu] \\
(5) &= m^2 \text{Tr}[\gamma^\mu \not{k} \gamma^\nu \gamma_\nu \not{k} \gamma_\mu] \\
(6) &= 2m^2 \text{Tr}[\gamma^\mu \not{k} \gamma^\nu \gamma_\mu p_\nu] = 2m^2 \text{Tr}[\gamma^\mu \not{k} \not{p} \gamma_\mu] \\
(7) &= 2m^2 \text{Tr}[\gamma^\mu p^\nu \gamma_\nu \not{k} \gamma_\mu] = 2m^2 \text{Tr}[\gamma^\mu \not{p} \not{k} \gamma_\mu] \\
(8) &= 4m^2 \text{Tr}[\gamma^\mu p^\nu \gamma_\mu p_\nu] = 4m^2 p^2 \text{Tr}[\gamma^\mu \gamma_\mu]
\end{aligned}$$

In order to simplify above formulas we recall the proprieties of contractions of  $\gamma$  matrices, i.e. products in the form  $\gamma^\mu A \gamma^\mu$  where  $A$  is a matrix:

- (i)  $\gamma^\mu \gamma_\mu = 4\mathbb{1}$
- (ii)  $\gamma^\mu \not{p} \gamma_\mu = -2\not{p}$
- (iii)  $\gamma^\mu \not{p} \not{q} \gamma_\mu = 4p \cdot q$
- (iv)  $\gamma^\mu \not{p} \not{q} \not{k} \gamma_\mu = -2\not{k} \not{p} \not{q}$

Using these proprieties, cyclicity of the trace and anticommuting proprieties of gamma matrices<sup>VII</sup>, we obtain (remember that  $p^2 = m^2$  and  $k^2 = 0$ ):

$$\begin{aligned}
(1) &= \text{Tr}[\not{p}' \gamma^\mu \not{k} \gamma^\nu \not{p} \gamma_\nu \not{k} \gamma_\mu] = -2 \text{Tr}[\not{p}' \gamma^\mu \not{k} \not{p} \not{k} \gamma_\mu] = 4 \text{Tr}[\not{p}' \not{k} \not{p} \not{k}] = -4 \text{Tr}[\not{p}' \not{k}^2 \not{p}] + 8(p \cdot k) \text{Tr}[\not{p}' \not{k}] = 32(p \cdot k)(p' \cdot k) \\
(2) &= 2 \text{Tr}[\not{p}' \gamma^\mu \not{k} \not{p} \not{p} \gamma_\mu] = -4 \text{Tr}[\not{p}' \not{p} \not{p} \not{k}] = -4m^2 \text{Tr}[\not{p}' \not{k}] = -16m^2(p' \cdot k) \\
(3) &= 2 \text{Tr}[\not{p}' \gamma^\mu \not{p} \not{p} \not{k} \gamma_\mu] = 2m^2 \text{Tr}[\not{p}' \gamma^\mu \not{k} \gamma_\mu] = -4m^2 \text{Tr}[\not{p}' \not{k}] = -16m^2(p' \cdot k) \\
(4) &= 4p^2 \text{Tr}[\not{p}' \gamma^\mu \not{p} \gamma_\mu] = -8m^2 \text{Tr}[\not{p}' \not{p}] = -32m^2(p' \cdot p) \\
(5) &= m^2 \text{Tr}[\gamma^\mu \not{k} \gamma^\nu \gamma_\nu \not{k} \gamma_\mu] = 4m^2 \text{Tr}[\gamma^\mu \not{k} \not{k} \gamma_\mu] = 0 \\
(6) &= 2m^2 \text{Tr}[\gamma^\mu \not{k} \not{p} \gamma_\mu] = 8m^2(k \cdot p) \text{Tr}[\mathbb{1}] = 32m^2(k \cdot p) \\
(7) &= 2m^2 \text{Tr}[\gamma^\mu \not{p} \not{k} \gamma_\mu] = 8m^2(p \cdot k) \text{Tr}[\mathbb{1}] = 32m^2(p \cdot k) \\
(8) &= 4m^2 p^2 \text{Tr}[\gamma^\mu \gamma_\mu] = 16m^4 \text{Tr}[\mathbb{1}] = 64m^4
\end{aligned}$$

At the end we find

$$\begin{aligned}
T_{AA} &= 16(4m^4 - 2m^2 p \cdot p' + 4m^2 p \cdot k - 2m^2 p' \cdot k + 2(p \cdot k)(p' \cdot k)) \\
&= 16 \left( 2m^4 + m^2(s - m^2) - \frac{1}{2}(s - m^2)(u - m^2) \right)
\end{aligned}$$

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<sup>VII</sup>  $\not{A} \not{B} = A_\mu B_\nu \gamma^\mu \gamma^\nu = A_\mu B_\nu (2g^{\mu\nu} \mathbb{1} - \gamma^\nu \gamma^\mu) = 2(A \cdot B) \mathbb{1} - \not{B} \not{A} \quad \rightarrow \quad \not{A} \not{A} = A^2 \mathbb{1}$   
 $\text{Tr}[\not{A} \not{B}] = 2(A \cdot B) \text{Tr}[\mathbb{1}] - \text{Tr}[\not{B} \not{A}] = 8(A \cdot B) - \text{Tr}[\not{A} \not{B}] \quad \rightarrow \quad \text{Tr}[\not{A} \not{B}] = 4(A \cdot B) \mathbb{1}$



where we introduced Mandelstam variables:

$$\begin{aligned}s &= (p + k)^2 = 2p \cdot k + m^2 = 2p' \cdot k' + m^2 \\t &= (p' - p)^2 = -2p \cdot p' + 2m^2 = -2k \cdot k' \\u &= (k' - p)^2 = -2k' \cdot p + m^2 = -2k \cdot p' + m^2\end{aligned}$$

Sending  $k \leftrightarrow -k'$  ( $s \leftrightarrow u$ ) we can immediately write

$$\begin{aligned}T_{BB} &= 16 (4m^4 - 2m^2 p \cdot p' - 4m^2 p \cdot k' + 2m^2 p' \cdot k' + 2(p \cdot k')(p' \cdot k')) \\&= 16 \left( 2m^4 + m^2(u - m^2) - \frac{1}{2}(u - m^2)(s - m^2) \right)\end{aligned}$$

#### Exercise 4

Compute the elements  $T_{AB}$  and  $T_{BA}$

Evaluating the traces in  $T_{AB}$  and  $T_{BA}$  requires about the same amount of work as we have just done. The answer is

$$\begin{aligned}T_{AB} &= T_{BA} = -16 (4m^4 + m^2(p \cdot k - p \cdot k')) \\&= -16 \left( 2m^4 + \frac{m^2}{2}((s - m^2) - (u - m^2)) \right)\end{aligned}$$

Putting together the pieces of the unpolarized Feynman amplitude for Compton scattering we obtain

$$\begin{aligned}|\bar{\mathcal{M}}|^2 &= 2q^4 \left[ \frac{p \cdot k'}{p \cdot k} + \frac{p \cdot k}{p \cdot k'} + 2m^2 \left( \frac{1}{p \cdot k} - \frac{1}{p \cdot k'} \right) + m^4 \left( \frac{1}{p \cdot k} - \frac{1}{p \cdot k'} \right)^2 \right] \\&= 2q^4 \left[ - \left( \frac{u - m^2}{s - m^2} + \frac{s - m^2}{u - m^2} \right) + 4m^2 \left( \frac{1}{s - m^2} + \frac{1}{u - m^2} \right) + 4m^4 \left( \frac{1}{s - m^2} + \frac{1}{u - m^2} \right)^2 \right] \quad (3.6)\end{aligned}$$

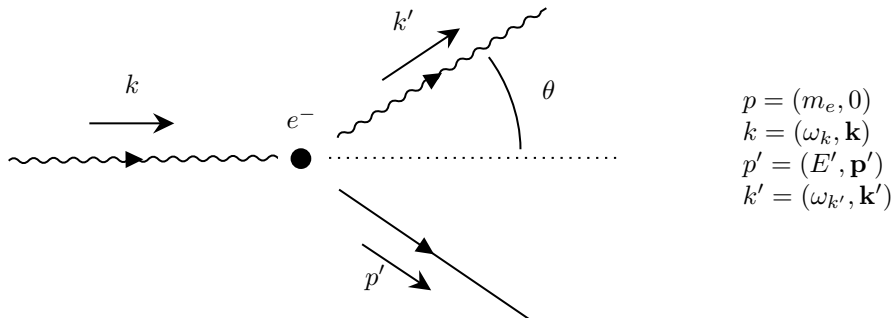
To turn this expression into a cross section we must decide a frame of reference and draw a picture of the kinematics. We will analyze two different frames

- (i) "*Lab*" frame, in which the electron is initially at rest, this frame is useful for low energy incoming photons:  $\omega_\gamma \ll m_e$ ;
- (ii) *c.o.m.* frame, in which the center of mass is at rest, this frame is useful for high energy incoming photons:  $\omega_\gamma \gg m_e$ , where we can set  $m_e = 0$

### 3.5.3 Lab frame - Low energy photon

See also Mandl sec. 8.6

In the low energy case, I can verify if QED prediction agrees with Thomason law for low energies scattering.



We will express the cross section in terms of  $\omega$  and  $\theta$ . We can find  $\omega'$ , the energy of the final photon, using the following trick:

$$\begin{aligned} m^2 &= (p')^2 = (p + k - k')^2 = p^2 + 2p \cdot (k - k') - 2k \cdot k' \\ &= m^2 + 2m(\omega_k - \omega_{k'}) - 2\omega_k \omega_{k'}(1 - \cos \theta) \end{aligned}$$

hence, we obtain Compton's formula for the shift in the photon wavelength:

$$\Delta\lambda = \left( \frac{1}{\omega_{k'}} - \frac{1}{\omega_k} \right) = \frac{1 - \cos \theta}{m}$$

For our purposes, however, is more useful to solve for  $\omega_{k'}$ :

$$\omega_{k'} = \frac{\omega_k}{1 + \frac{\omega_k}{m}(1 - \cos \theta)} \quad (3.7)$$

The unpolarized amplitude in the Lab frame is

$$\begin{aligned} |\bar{\mathcal{M}}|_{\text{LAB}}^2 &= 2q^4 \left[ \left( \frac{\omega_{k'}}{\omega_k} + \frac{\omega_k}{\omega_{k'}} \right) + 2m \left( \frac{1}{\omega_k} - \frac{1}{\omega_{k'}} \right) + m^2 \left( \frac{1}{\omega_k} - \frac{1}{\omega_{k'}} \right)^2 \right] \\ &= 2q^4 \left[ \left( \frac{\omega_{k'}}{\omega_k} + \frac{\omega_k}{\omega_{k'}} \right) - \sin^2 \theta \right] \end{aligned}$$

The covariant flux factor reads

$$I_{\text{LAB}} = [(p \cdot k)^2 - m_e^2 m_\gamma^2]^{1/2} = |p \cdot k| = m_e \omega_k$$

The 2-body phase space

$$\begin{aligned} \int d\Phi_{(2)} &= \int \frac{d^3 k'}{(2\pi)^3 2\omega_{k'}} \frac{d^3 p'}{(2\pi)^3 2E'} (2\pi)^4 \delta^4(k' + p' - k - p) = \int \frac{\omega_{k'}^2 d\omega_{k'} d\Omega}{(2\pi)^2} \frac{1}{4\omega_{k'} E'} \delta(\omega_{k'} + E' - \omega_k - m) \\ &= \int \frac{\omega_{k'}^2 d\omega_{k'} d\Omega}{(2\pi)^2} \frac{1}{4\omega_{k'} E'} \left| \frac{\delta(\omega_{k'} - |\mathbf{k}'|)}{\left| \frac{\partial(\omega_{k'} + E' - \omega_k - m)}{\partial |\mathbf{k}'|} \right|} \right|_{\omega_{k'} = |\mathbf{k}'|} = \int d\Omega \frac{|\mathbf{k}'|^2}{16\pi^2 \omega_{k'} E'} \left| \frac{\partial(\omega_{k'} + E')}{\partial |\mathbf{k}'|} \right|_{\omega_{k'} = |\mathbf{k}'|}^{-1} \end{aligned}$$

where

$$\begin{aligned} E' &= (m^2 + (\mathbf{k} - \mathbf{k}')^2)^{1/2} = [m^2 + \omega_k^2 + \omega_{k'}^2 - 2\omega_k \omega_{k'} \cos \theta]^{1/2} \\ \frac{\partial E'}{\partial |\mathbf{k}'|} &= \frac{\omega_{k'} - \omega_k \cos \theta}{E'} \end{aligned}$$

and

$$\left| \frac{\partial(\omega_{k'} + E')}{\partial |\mathbf{k}'|} \right|_{\omega_{k'} = |\mathbf{k}'|} = \left| 1 + \frac{\omega_{k'} - \omega_k \cos \theta}{E'} \right| = \frac{m\omega_k}{E'\omega_{k'}}$$

So the unpolarized cross section is

$$\begin{aligned} \left( \frac{d\bar{\sigma}}{d\Omega} \right)_{\text{LAB}} &= \frac{|\bar{\mathcal{M}}|_{\text{LAB}}^2}{4I_{\text{LAB}}} \frac{d\Phi_{(2)}}{d\Omega} = \frac{1}{64\pi^2} \frac{|\mathbf{k}'|^2}{I_{\text{LAB}} \omega_{k'} E'} \left| \frac{\partial(\omega_{k'} + E')}{\partial |\mathbf{k}'|} \right|^{-1} |\bar{\mathcal{M}}|_{\text{LAB}}^2 \\ &= \frac{q^4}{32\pi^2} \frac{1}{m^2} \left( \frac{\omega_{k'}}{\omega_k} \right)^2 \left( \frac{\omega_{k'}}{\omega_k} + \frac{\omega_k}{\omega_{k'}} - \sin^2 \theta \right) \\ &= \frac{\alpha^2}{2} \frac{1}{m^2} \left( \frac{\omega_{k'}}{\omega_k} \right)^2 \left( \frac{\omega_{k'}}{\omega_k} + \frac{\omega_k}{\omega_{k'}} - \sin^2 \theta \right) \end{aligned}$$

where  $\omega_{k'}/\omega_k$  is given by (3.7) and in the last step we used  $\alpha = e^2/(4\pi)$ . Writing  $d\Omega = (2\pi)d\cos\theta$  we obtain

$$\left( \frac{d\bar{\sigma}}{d\cos\theta} \right)_{\text{LAB}} = \frac{\pi\alpha^2}{m^2} \left( \frac{\omega_{k'}}{\omega_k} \right)^2 \left( \frac{\omega_{k'}}{\omega_k} + \frac{\omega_k}{\omega_{k'}} - \sin^2 \theta \right) \quad (3.8)$$

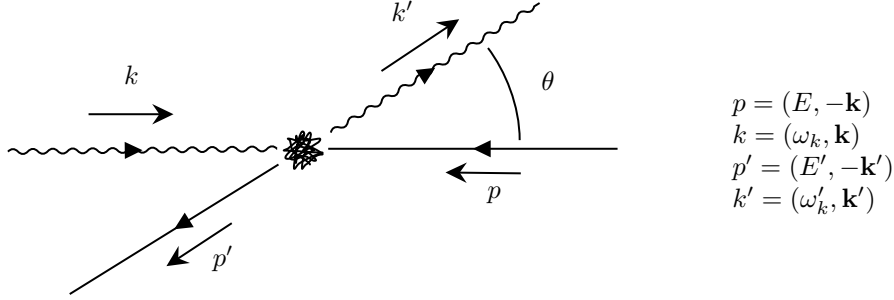
This is the (spin-averaged) *Klein-Nishina formula*. In the low energy limit  $\omega_k \ll m$ , from (3.7) we have  $\omega_{k'} \approx \omega_k$ , i.e. the kinetic energy of the recoil electron is negligible, and Eq.(3.8) reduces to the familiar Thomson cross-section for scattering of classical electromagnetic radiation by a free electron:

$$\left( \frac{d\bar{\sigma}}{d \cos \theta} \right)_{\text{LAB}} \stackrel{\omega_k \ll m}{\approx} \frac{\pi \alpha^2}{m^2} (1 + \cos^2 \theta) \quad \rightarrow \quad (\bar{\sigma})_{\text{LAB}} = \frac{8\pi \alpha^2}{3m^2} \equiv \frac{8}{3} \pi r_e^2$$

We have calculated the full relativistic corrections for the Thomson formula.

### 3.5.4 C.o.M. frame - High energy photon

See Peskin sec. 5.5, and Schwartz sec. 13.5.4 To analyze the high-energy behaviour of the Compton scattering cross section, it is easiest to work in the center-of-mass frame.



The kinematics of the reaction in the high energy limit ( $m \approx 0$ ) looks like

$$E = \sqrt{\mathbf{k}^2 + m^2} \stackrel{m=0}{\approx} |\mathbf{k}| = \omega_k$$

$$E' = \sqrt{\mathbf{k}'^2 + m^2} \stackrel{m=0}{\approx} |\mathbf{k}'| = \omega_{k'}$$

$$p \cdot k = E\omega_k + |\mathbf{k}|^2 = \omega_k(E + \omega_k) \stackrel{m=0}{\approx} 2\omega_k^2$$

$$p \cdot p' = E E' - \mathbf{k} \cdot \mathbf{k}' = E E' - |\mathbf{k}| |\mathbf{k}'| \cos \theta = E E' - \omega_k \omega_{k'} \cos \theta \stackrel{m=0}{\approx} \omega_k \omega_{k'} (1 - \cos \theta)$$

$$p \cdot k' = E \omega_{k'} + \mathbf{k} \cdot \mathbf{k}' = E \omega_{k'} + |\mathbf{k}| |\mathbf{k}'| \cos \theta = \omega_{k'} (E + \omega_k \cos \theta) \stackrel{m=0}{\approx} \omega_k \omega_{k'} (1 + \cos \theta)$$

We also have

$$s = (p + k)^2 = m^2 + 2p \cdot k = m^2 + 2\omega_k(E + \omega_k) \stackrel{m=0}{\approx} 4\omega_k^2 \quad \rightarrow \quad \omega_k \stackrel{m=0}{\approx} \frac{\sqrt{s}}{2}$$

$$s = (p' + k')^2 = m^2 + 2p' \cdot k' = m^2 + 2\omega_{k'}(E' + \omega_{k'}) \stackrel{m=0}{\approx} 4\omega_{k'}^2 \quad \rightarrow \quad \omega_{k'} \stackrel{m=0}{\approx} \frac{\sqrt{s}}{2}$$

Plugging these values into Eq.(3.6)

$$|\overline{\mathcal{M}}|^2 = 2q^4 \left[ \frac{p \cdot k'}{p \cdot k} + \frac{p \cdot k}{p \cdot k'} + 2m^2 \left( \frac{1}{p \cdot k} - \frac{1}{p \cdot k'} \right) + m^4 \left( \frac{1}{p \cdot k} - \frac{1}{p \cdot k'} \right)^2 \right]$$

for c.o.m. frame with  $E \gg m$  we have

$$|\overline{\mathcal{M}}|_{\text{CM}}^2 \approx 2q^4 \left( \frac{p \cdot k'}{p \cdot k} + \frac{p \cdot k}{p \cdot k'} \right) \approx 2q^4 \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta} \right)$$

we notice that the term  $p \cdot k / p \cdot k'$  becomes divergent when the electron is emitted in the backward direction ( $\theta \approx \pi$ ), while other terms are all of  $\mathcal{O}(1)$  or smaller.

Notice that two initial diagrams  $\mathcal{M}_A$ ,  $s$ -channel, and  $\mathcal{M}_B$ ,  $u$ -channel, give contributions to the total amplitude proportional to<sup>VIII</sup>

$$\mathcal{M}_A \rightarrow \frac{1}{2p \cdot k} = \frac{1}{s - m^2} \quad \mathcal{M}_B \rightarrow \frac{1}{2p \cdot k'} = \frac{1}{u - m^2}$$

Here is clear the relation between the momentum of the channel and the contribution to the total Feynman amplitude. The divergent contribution is due to the square of the  $u$ -channel diagram, we can see that for  $\theta = \pi$  we have  $u = (p - k')^2 = m^2 - 2p \cdot k' \approx m^2 - 2\omega_k^2(1 + \cos \theta) \approx m^2$  i.e. the divergent contribution is related to the situation where the initial electron emits a photon with all its kinetic energy and then absorbs all the energy of the initial photon. The amplitude is large at  $\theta \approx \pi$  because the denominator of the propagator is then small ( $\sim m^2$ ) compared to  $s$ . This kind of divergence is called *Infra-Red divergence*. We can correct the divergent term (unphysical) considering higher terms in the Taylor expansion of  $E$  in  $m$ :

This is a Infra-Red divergence, related to Sudakov logs. Add some comment. See Mandl 8.9

$$E = \sqrt{\mathbf{k}^2 + m^2} = |\mathbf{k}| + \frac{m^2}{2|\mathbf{k}|} + o(m^3) \stackrel{m \approx 0}{\approx} \omega_k + \frac{m^2}{2\omega_k}$$

$$p \cdot k' = \omega_{k'}(E + \omega_k \cos \theta) \stackrel{m=0}{\approx} \omega_{k'} \left( \omega_k + \frac{m^2}{2\omega_k} + \omega_k \cos \theta \right) = \omega_k^2 \left( 1 + \cos \theta + \frac{m^2}{2\omega_k^2} \right)$$

$$|\overline{\mathcal{M}}|_{\text{CM}}^2 \approx 2q^4 \left( \frac{p \cdot k'}{p \cdot k} + \frac{p \cdot k}{p \cdot k'} \right) \approx 2q^4 \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta + \frac{m^2}{2\omega_k^2}} \right)$$

Now we can compute the cross section in the CM frame (we can use the formula for elastic scattering):

$$\left( \frac{d\bar{\sigma}}{d\Omega} \right)_{\text{CM}} = \frac{1}{64\pi^2} \frac{|\overline{\mathcal{M}}|_{\text{CM}}^2}{s} \approx \frac{q^4}{32\pi^2 s} \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta + \frac{m^2}{2\omega_k^2}} \right)$$

$$\approx \frac{\alpha^2}{2s} \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta + \frac{m^2}{2\omega_k^2}} \right)$$

or

$$\left( \frac{d\bar{\sigma}}{d(\cos \theta)} \right)_{\text{CM}} \approx \frac{\pi \alpha^2}{s} \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta + \frac{m^2}{2\omega_k^2}} \right)$$

Recall that the electron mass  $m$  can be neglected completely in this formula if it were not necessary to cutoff the singularity for  $\theta = 0$ .

The total Compton scattering cross section reads:

$$\bar{\sigma}_{\text{total}} = \int_{-1}^1 d(\cos \theta) \left( \frac{d\bar{\sigma}}{d(\cos \theta)} \right)_{\text{CM}} \approx \frac{\pi \alpha^2}{s} \int_{-1}^1 d(\cos \theta) \left( \frac{1 + \cos \theta}{2} + \frac{2}{1 + \cos \theta + \frac{m^2}{2\omega_k^2}} \right)$$

$$= \frac{\pi \alpha^2}{s} + \frac{2\pi \alpha^2}{s} \log \left( \frac{s}{m^2} \right)$$

The main dependence  $\alpha^2/s$  follows from dimensional analysis. But the singularity associated to backward scattering of photons leads to an enhancement by an extra logarithm of the energy, called *Sudakov logarithm*.

Inserire diagrammi di Feynman pag 168 Peskin (ruotati appropriatamente)

### Exercise 5: Pair Annihilation into Photons

Find the total cross section of the annihilation process  $e^+e^- \rightarrow 2\gamma$

<sup>VIII</sup>You can easily verify this statement looking at the calculation on the beginning of this section.

## 3.6 Scattering by an external E.M. field and the Rutherford formula

### 3.6.1 $e^- p \rightarrow e^- p$ Rutherford scattering

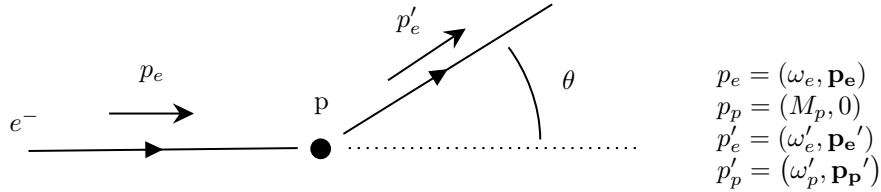
See Schwartz sec. 13.4

Now let us go back to the problem of scattering of an electron by a Coulomb potential. Recall the classical Rutherford scattering formula,

$$\frac{d\sigma}{d\Omega} = \frac{m_e^2 e^4}{4|\mathbf{p}_i|^4 \sin^4 \frac{\theta}{2}}$$

where  $|\mathbf{p}_i| = |\mathbf{p}_f|$  is the magnitude of the incoming electron momentum, which is the same as the magnitude of the outgoing electron momentum for elastic scattering. Rutherford calculated this using classical mechanics to describe how an electron would get deflected in a central potential, as from atomic nucleus.

We study the process in the CM frame for the proton.



We neglect the recoil of the proton because of its huge mass ( $\mathbf{p}_p' \approx 0$ ) (we are not considering high energy case).

$$(\omega'_p, \mathbf{p}_p') = \left( M + \frac{|\mathbf{p}_p'|^2}{2M} + o(|\mathbf{p}_p'|^3), \mathbf{p}_p' \right) \approx (M, 0)$$

If we can neglect the electron mass ( $m_e \approx 0$ ) we also have

$$\omega_e = \sqrt{m^2 + \mathbf{p}_e^2} = |\mathbf{p}_e| + \frac{m^2}{2|\mathbf{p}_e|} + o(m^3) \approx |\mathbf{p}_e|$$

$$\omega'_e = \sqrt{m^2 + \mathbf{p}_e'^2} = |\mathbf{p}_e'| + \frac{m^2}{2|\mathbf{p}_e'|} + o(m^3) \approx |\mathbf{p}_e'|$$

Then energy conservation reads

$$\omega_e + M_p = \omega'_e + \omega'_p \quad \rightarrow \quad \omega_e = \omega'_e + \frac{|\mathbf{p}_p'|^2}{2M_p} + o(|\mathbf{p}_p'|^3) \quad \rightarrow \quad \omega_e \approx \omega'_e$$

The only quantity that shows a remarkable variation is the angle  $\theta$  (variation can be  $\mathcal{O}(1)$ ):

$$(p_e - p'_e)^2 = 2m^2 - 2p_e \cdot p'_e = 2m^2 - 2\omega_e \omega'_e + 2|\mathbf{p}_e||\mathbf{p}_e'| \cos \theta \approx -2|\mathbf{p}_e|^2(1 - \cos \theta)$$

$$(p_p - p'_p)^2 = 2M^2 - 2p_p \cdot p'_p = 2M^2 - 2M\omega'_p \approx -|\mathbf{p}_p'|^2$$

and because of momentum conservation  $p_e + p_p = p'_e + p'_p$  we have

$$-2|\mathbf{p}_e|^2(1 - \cos \theta) \approx -|\mathbf{p}_p'|^2 \quad \rightarrow \quad \cos \theta \approx 1 - \frac{1}{2} \frac{|\mathbf{p}_p'|^2}{|\mathbf{p}_e|^2}$$

In order to give a description of this process using QED, we modify the QED lagrangian, so that we introduce also protons in our theory. We consider a low energy process, where the proton can be consider as a fundamental particle, described as a spin 1/2 fermion. Then we can do the same trick we used for QED flavours. The modified Lagrangian reads:<sup>IX</sup>

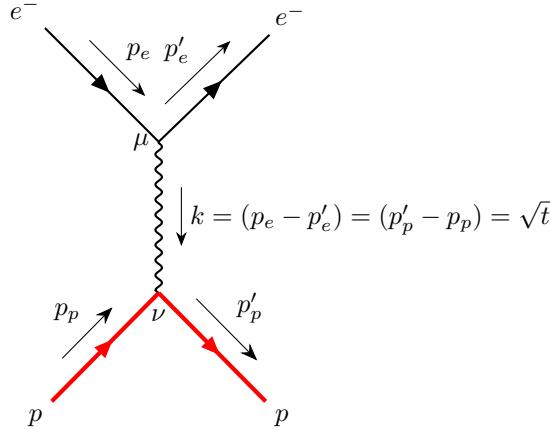
$$\mathcal{L} = \bar{\psi}_e(i\cancel{\partial} - m_e)\psi_e + \bar{\psi}_p(i\cancel{\partial} - M_p)\psi_p + \underbrace{q_e\bar{\psi}_e\cancel{A}\psi_e + q_p\bar{\psi}_p\cancel{A}\psi_p}_{\mathcal{L}_{\text{int}}}$$

Notice that  $\mathcal{L}_{\text{int}}$  in order to obtain a description of Rutherford scattering we need to consider at least 2-nd order processes, i.e. 2 vertex. The two-vertex S matrix element becomes

$$\begin{aligned} S_{(2)} &= \frac{(-i)^2}{2!} \int d^4x d^4y \text{T} \left\{ \text{N} [q_e\bar{\psi}_e\cancel{A}\psi_e + q_p\bar{\psi}_p\cancel{A}\psi_p]_x \text{N} [q_e\bar{\psi}_e\cancel{A}\psi_e + q_p\bar{\psi}_p\cancel{A}\psi_p]_y \right\} \\ &= \frac{1}{2}(-iq_e)(-iq_p) \int d^4x d^4y \text{T} \left\{ \text{N} [\bar{\psi}_e\cancel{A}\psi_e]_x \text{N} [\bar{\psi}_p\cancel{A}\psi_p]_y + \text{N} [\bar{\psi}_e\cancel{A}\psi_e]_y \text{N} [\bar{\psi}_p\cancel{A}\psi_p]_x \right\} \\ &= (-iq_e)(-iq_p) \int d^4x d^4y \text{T} \left\{ \text{N} [\bar{\psi}_e\cancel{A}\psi_e]_x \text{N} [\bar{\psi}_p\cancel{A}\psi_p]_y \right\} \end{aligned}$$

where in the first step we omitted products in the integral that does not describe interaction between electron and proton, and in the second step we change integral variables in the second term of the time product.

The  $e^-p \rightarrow e^-p$  process is similar to the process  $e^-f^- \rightarrow e^-f^-$ . Both processes are described by a  $t$ -channel



Feynman amplitude for this process is (we consider the gauge fixing term for EM field with  $\zeta = 1$ )

$$\mathcal{M} = i \frac{q_e q_p}{t} (\bar{u}_{r'}(p'_e) \gamma^\mu u_r(p_e))_e (\bar{u}_s(p'_p) \gamma_\mu u_s(p_p))_p$$

where lower indices means that spinors are related respectively to electron and proton fields. Since proton is at rest its spinors takes a simple form

$$u_s(p_e = (M, 0)) = \sqrt{2M} \begin{pmatrix} \xi_s \\ 0 \end{pmatrix} \quad \bar{u}_s(p'_e = (M, 0)) = \sqrt{2M} (\xi_s^\dagger \quad 0)$$

and the proton current reads<sup>X</sup>

$$(\bar{u}_{s'}(p'_p) \gamma_\mu u_s(p_p))_p = 2M (\xi_s'^\dagger \quad 0) \gamma_\mu \begin{pmatrix} \xi_s \\ 0 \end{pmatrix} = 2M g_{\mu 0} \delta_{ss'}$$

so we obtain

<sup>IX</sup>See Schwartz sec. 5.2

<sup>X</sup>The last identity can be easily proved using an explicit representation of gamma matrices.

$$\mathcal{M}_{\text{LAB}} = i \frac{q_e q_p}{t} (\bar{u}_{r'}(p'_e) g_{\mu 0} \gamma^\mu u_r(p_e))_e 2M \delta_{ss'} = i \frac{q_e q_p}{t} (\bar{u}_{r'}(p'_e) \gamma^0 u_r(p_e))_e 2M \delta_{ss'}$$

The unpolarized squared amplitude in the lab frame reads

$$\begin{aligned} \overline{|\mathcal{M}|}_{\text{LAB}}^2 &= \left( \frac{q_e q_p}{t} \right)^2 \left( \sum_{s, s'} 4M^2 \delta_{ss'} \right) \frac{1}{2} \sum_{rr'} (\bar{u}_{r'}(p'_e) \gamma^0 u_r(p_e))_e (\bar{u}_r(p_e) \gamma^0 u_{r'}(p'_e))_e \\ &= \left( \frac{q_e q_p}{t} \right)^2 2M^2 \text{Tr} \left[ (\not{p}_e + m) \gamma^0 (\not{p}'_e + m) \gamma_0 \right] = \dots = 16 \left( \frac{q_e q_p}{t} \right)^2 M^2 \omega_e^2 \left( 1 - v^2 \sin^2 \left( \frac{\theta}{2} \right) \right) \end{aligned}$$

where we define the magnitude of the speed of the electron

$$v = \frac{|\mathbf{p}_e|}{m}$$

We also have

$$t = -4\omega_e^2 v^2 \sin^2 \left( \frac{\theta}{2} \right)$$

Putting pieces together we obtain

$$\begin{aligned} \overline{|\mathcal{M}|}_{\text{LAB}}^2 &= (q_e q_p)^2 \frac{\omega_e^2 M^2}{|\mathbf{p}_e|^4} \left( \frac{1 - v^2 \sin^2 \left( \frac{\theta}{2} \right)}{\sin^4 \left( \frac{\theta}{2} \right)} \right) \\ \left( \frac{d\bar{\sigma}}{d\omega} \right)_{\text{LAB}} &= \frac{\alpha^2 (1 - v^2 \sin^2 \left( \frac{\theta}{2} \right))}{4\omega_e^2 v^4 \sin^4 \left( \frac{\theta}{2} \right)} \quad (q_p = -q_e = e) \end{aligned}$$

The latter is known as *Mott formula*. In the non-relativistic limit we can use  $v \ll 1$  and  $p_e \ll \omega_e \sim m_e$ , thus

$$\left( \frac{d\bar{\sigma}}{d\omega} \right)_{\text{LAB}}^{\text{non-rel.}} = \frac{\alpha^2}{4\omega_e^2 v^4 \sin^2 \left( \frac{\theta}{2} \right)}$$

which is the Rutherford formula. We can consider a generic nucleus with atomic number  $Z$ , then

$$\left( \frac{d\bar{\sigma}}{d\omega} \right)_{\text{LAB}}^{\text{non-rel.}} = \frac{\alpha^2 Z^2}{4\omega_e^2 v^4 \sin^2 \left( \frac{\theta}{2} \right)}$$

### 3.6.2 Generic external E.M. field

See Mandl sec. 8.7

We can use a more general approach, where instead of considering the proton as a fundamental particle, we consider it as a source of E.M. field, considered as an external field in our theory.

So far, the electromagnetic field has been described by a quantized field, involving photon creation and annihilation operators. In some problem, where the quantum fluctuations are unimportant, it may be adequate to describe the field as a purely classical function of the space-time coordinates. In cases such as Rutherford scattering, we consider an applied external electromagnetic field  $A^{\text{ext}}(x)$ , such as the Coulomb field of a heavy nucleus. More generally, one may have to consider both types of field, replacing  $A$  by the sum of the quantized and the classical fields,  $A(x) + A^{\text{ext}}(x)$ .

In the last section, we have seen that matrix element of the scattering amplitude reads

$$\begin{aligned} S_{fi} &= \langle f | S_{(2)} | i \rangle = \langle e_{r'}^-(p'_e) p_{(s')}(M) | S_{(2)} | e_r^-(p_e) p_{(s)}(M) \rangle \\ &= \int d^4x \langle e_{r'}^-(p'_e) | (-iq_e) [\bar{\psi}_e \gamma^\mu \psi_e]_x | e_r^-(p_e) \rangle \int d^4y \langle p_{(s')}(M) | (-iq_p) [\bar{\psi}_p \gamma^\nu \psi_p]_y | p_{(s)}(M) \rangle iD_{\mu\nu}^F(x-y) \\ &= -iq_e \int d^4x \langle e_{r'}^-(p'_e) | [\bar{\psi}_e \gamma^\mu \psi_e]_x | e_r^-(p_e) \rangle \int d^4y D_{\mu\nu}^F(x-y) \underbrace{q_p \langle p_{(s')}(M) | [\bar{\psi}_p \gamma^\nu \psi_p]_y | p_{(s)}(M) \rangle}_{J_p^\nu(y)} \end{aligned}$$

Verify last step, probably is wrong. See both Schwartz and Mandl. Also next calculation can be wrong

where  $J_p^\nu(y)$  is a 4-current density, associated to the proton field. We can therefore define the classic field (i.e. a function)

$$A_\mu^{\text{ext}}(x) = \int d^4y D_{\mu\nu}^F(x-y) J_p^\nu(y)$$

which Maxwell equation reads<sup>XI</sup>

$$\square A_{\text{ext}}^\mu(x) = \int d^4y (\square D_{\mu\nu}^F(x-y)) J_p^\nu(y) = J_p^\nu(x)$$

i.e.  $A_{\text{ext}}^\mu(x)$  can be interpreted as a classic Maxwell field with external source  $J_p^\nu(x)$ . With this notation we obtain

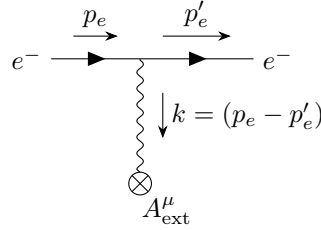
$$S_{fi} = -iq_e \int d^4x \langle e_r^-(p'_e) | [\bar{\psi}_e A_{\text{ext}} \psi_e]_x | e_r^-(p_e) \rangle$$

We obtained the same result if we would have defined the QED interaction lagrangian as

$$\mathcal{L}_{\text{int}} = -q_e \bar{\psi} \gamma^\mu \psi (A_\mu + A_\mu^{\text{ext}})$$

and we considered its expansion at the first order (the field  $A_\mu$  vanishes in the matrix element because of the sandwich with initial and final states). Notice that  $A_\mu$  is a quantum field (i.e. an operator) while  $A_\mu^{\text{ext}}$  is a classic field (i.e. a function).

From a "graphical" point of view we introduce a new symbol, marking external source by a crossed circle



As a simple example, let's assume that  $A_{\text{ext}}^\mu$  is a static potential.<sup>XII</sup> In this case we obtain same result as classic Rutherford scattering. First we express the external field in momentum space<sup>XIII</sup>

$$A_{\text{ext}}^\mu(x) = \frac{1}{(2\pi)^3} \int d^3k e^{i\mathbf{k}\cdot\mathbf{x}} \varepsilon_{\text{ext}}^\mu(\mathbf{k})$$

Then the  $S$  matrix element at first order reads

$$\begin{aligned} S_{fi} &= -iq_e \int d^4x \langle e_r^-(p'_e) | \bar{\psi}_e A_{\text{ext}} \psi_e | e_r^-(p_e) \rangle \\ &= -iq_e \int d^4x \langle e_r^-(p'_e) | \bar{\psi}_e \gamma^\mu \psi_e | e_r^-(p_e) \rangle \frac{1}{(2\pi)^3} \int d^3k e^{i\mathbf{k}\cdot\mathbf{x}} \varepsilon_{\text{ext}}^\mu(\mathbf{k}) \\ &= -iq_e \frac{1}{(2\pi)^3} \int d^4x d^3k \bar{u}(p'_e) \gamma^\mu u(p_e) \varepsilon_{\text{ext}}^\mu(\mathbf{k}) e^{-ip\cdot x + ip'\cdot x + i\mathbf{k}\cdot\mathbf{x}} \\ &= -iq_e \int d^4x d^3k \delta^3(\mathbf{k} + \mathbf{p} - \mathbf{p}') \bar{u}(p'_e) \gamma^\mu u(p_e) \varepsilon_{\text{ext}}^\mu(\mathbf{k}) e^{-i(\omega_e - \omega'_e)x} \\ &= -iq_e (2\pi) \delta(\omega_e - \omega'_e) \bar{u}(p'_e) \gamma^\mu u(p_e) \varepsilon_{\text{ext}}^\mu(\mathbf{p}' - \mathbf{p}) \\ &= (2\pi) \delta(\omega_e - \omega'_e) \mathcal{M}_{fi}^{\text{ext}} \end{aligned}$$

where

$$\mathcal{M}_{fi}^{\text{ext}} = -iq_e \bar{u}(p'_e) \gamma^\mu u(p_e) \varepsilon_{\text{ext}}^\mu(k)$$

<sup>XI</sup>Here we used proprieties of Green functions

<sup>XII</sup>This is not a restrictive assumption.

<sup>XIII</sup>Notice that  $\varepsilon_{\text{ext}}^\mu(\mathbf{k}) = \tilde{A}_{\text{ext}}^\mu(\mathbf{k})$ , but we use this notation in analogy with the quantum Maxwell field expansion.



Analysing  $\mathcal{M}_{fi}^{\text{ext}}$ , we notice that the Feynman rules for QED with external field are the usual ones, using factors  $\tilde{A}_{\text{ext}}^\mu(k)$  instead of polarization vector for the Maxwell field. Set again  $\varepsilon_\mu^{\text{ext}}(k) = \tilde{A}_\mu^{\text{ext}}(k)$  we have the following rules

$$\begin{aligned}\varepsilon_\mu^{\text{ext}}(k) &= \text{Diagram: } A_{\text{ext}} \text{ (circle with cross) connected to a wavy line with arrow } k \text{ and index } \mu \\ \varepsilon_\mu^{\text{ext}*}(k) &= \text{Diagram: } \mu \text{ (index) connected to a wavy line with arrow } k \text{ and } A_{\text{ext}} \text{ (circle with cross)} \\ -iq_e\gamma^\mu &= \text{Diagram: } \mu \text{ (index) connected to a vertical wavy line with } A_{\text{ext}} \text{ (circle with cross) at the bottom}\end{aligned}$$

Other rules are unchanged.

Let's consider the cross section for the incoming electron<sup>XIV</sup>

$$\begin{aligned}d\omega_{fi} &= |S_{fi}^{CN}|^2 \frac{V d^3 p'_e}{(2\pi)^3} = (2\pi)^2 \delta(\omega_e - \omega'_e) \frac{T}{2\pi} \left( \frac{V d^3 p'_e}{(2\pi)^3} \right) |\mathcal{M}_{fi}^{\text{ext}}|^2 \\ &= (2\pi) \delta(\omega_e - \omega'_e) T \frac{1}{2\omega_e V} \frac{1}{2\omega'_e V} \left( \frac{V d^3 p'_e}{(2\pi)^3} \right) |\mathcal{M}_{fi}^{\text{ext}}|^2 \\ &= (2\pi) \delta(\omega_e - \omega'_e) \frac{T}{2\omega_e V} \left( \frac{d^3 p'_e}{(2\pi)^3 2\omega'_e} \right) |\mathcal{M}_{fi}^{\text{ext}}|^2\end{aligned}$$

and (in lab frame, with number densities  $n_i = 1/V$ )

$$d\sigma = \frac{d\omega_{fi}}{n_e n_p v_e V T} = \frac{V}{T v_e} d\omega_{fi} = \frac{1}{16\pi^2} \delta(\omega_e - \omega'_e) \frac{|\mathcal{M}_{fi}^{\text{ext}}|^2}{\omega_e \omega'_e v_e} d^3 p'_e$$

Writing  $d^3 p'_e = |p'_e|^2 d|p'_e| d\Omega \approx \omega_e'^2 d\omega'_e d\Omega$ , integrating over the magnitude of final momentum  $|p'_e|$ , and averaging over polarizations, we obtain ( $|p'_e| \approx \omega'_e$ )

$$\left( \frac{d\bar{\sigma}}{d\Omega} \right)_{\text{LAB}} = \frac{1}{16\pi^2} \frac{|p'_e|^2}{\omega_e v_e} |\overline{\mathcal{M}_{fi}^{\text{ext}}}|^2_{\text{LAB}}$$

The squared unpolarized amplitude reads

$$\begin{aligned}|\overline{\mathcal{M}_{fi}^{\text{ext}}}|^2_{\text{LAB}} &= \frac{1}{2} \sum_{rr'} q_e^2 \varepsilon_\mu^{\text{ext}}(k) \varepsilon_\nu^{\text{ext}*}(k) \bar{u}_{r'}(p'_e) \gamma^\mu u_r(p_e) \bar{u}_r(p_e) \gamma^\nu u_{r'}(p'_e) \\ &= \frac{1}{2} q_e^2 \varepsilon_\mu^{\text{ext}}(k) \varepsilon_\nu^{\text{ext}*}(k) \text{Tr}[(\not{p}'_e + m) \gamma^\mu (\not{p}_e + m) \gamma^\nu]\end{aligned}$$

For example, in the Rutherford scattering, the external field is a Coulomb field, i.e.

$$A_{\text{ext}}^\mu(x) = \left( \frac{Zq_p}{4\pi|\mathbf{x}|}, 0, 0, 0 \right)$$

Taking its Fourier transform

$$\varepsilon_{\text{ext}}^\mu(x) = \left( \frac{Zq_p}{|\mathbf{k}|^2}, 0, 0, 0 \right)$$

we obtain

<sup>XIV</sup> Respect to the calculations done in chapter 2, we must make the replace  $(2\pi)^4 \delta^4(P_f - P_i) \rightarrow (2\pi) \delta(\omega'_e - \omega_e)$ . Notice that in the first line the amplitude is canonically normalized.

Complete calculations, see also previous section. Notice that mandl use wrong normalization

$$\overline{|\mathcal{M}_{fi}^{\text{ext}}|^2}_{\text{LAB}} = \frac{q_e^2 q_p^2 Z^2}{2|\mathbf{k}|^4} \text{Tr}[(\not{p}'_e + m)\gamma^0(\not{p}_e + m)\gamma^0] = \dots$$

Introducing scattering angle we obtain again the Mott formula

$$\left(\frac{d\bar{\sigma}}{d\Omega}\right)_{\text{LAB}} = \dots = \frac{(\alpha Z)^2}{4\omega_e^2 v_e^4} \left(\frac{1 - v_e^2 \sin^2(\theta/2)}{\sin^4(\theta/2)}\right)$$

for scattering of relativistic electrons by a Coulomb field. In the non-relativistic limit ( $v_e \ll 1$ ) this reduces to the Rutherford scattering formula

$$\left(\frac{d\bar{\sigma}}{d\Omega}\right)_{\text{LAB}} = \frac{(\alpha Z)^2}{4m^2 v_e^4 \sin^4(\theta/2)}$$

We have here only considered the nucleus as a point charge. We only mention that the treatment is easily modified to the realistic case of a nucleus whose charge is distributed over a finite volume. For high energy electrons, this leads to an important method of investigating nuclear charge distribution.

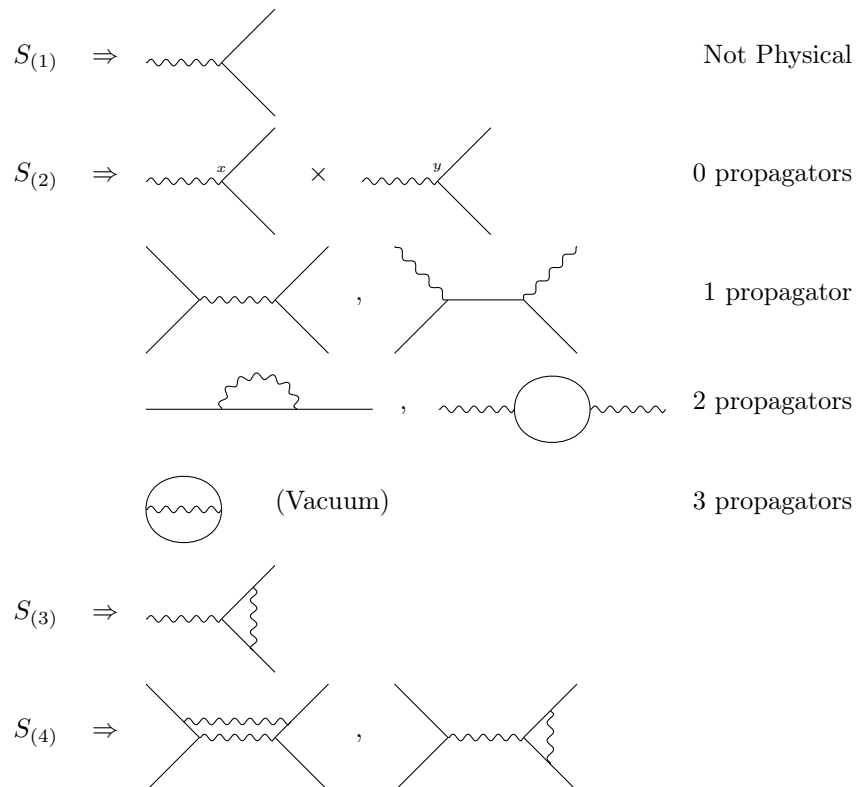
# Chapter 4

## QED processes at higher order

### 4.1 Beyond the tree-level

Up to now we have calculated QED processes in the lowest order of perturbation theory. On taking higher order into account we expect correction contributions from both real and virtual radiation. However, these corrections drag with them divergences in the corresponding integrals which make the results unphysical. In order to remove these inherent effects in the higher order perturbative approach, we can consider adopting the tools provided by regularization and renormalization theory based on the concept of modifying quantities to keep the finite and well-defined feature of the physical theory.

Feynman diagrams representing higher order corrections contain additional vertices, compared with those describing the process in the lowest order of perturbation theory. In the following we write down some of these diagrams:

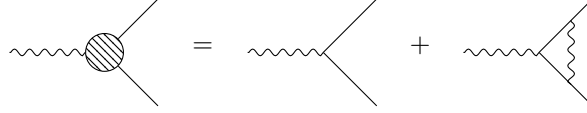


The 1 loop diagrams with 2 external particles are higher order corrections of the free propagator:

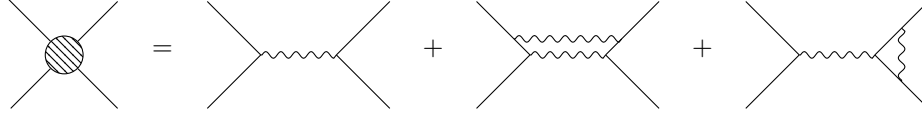
$$\text{Diagram with shaded loop} = \text{Diagram with wavy line} + \text{Diagram with vacuum bubble} + \text{Diagram with vacuum bubble}$$



Diagrams at third order loops modifies tree level interactions



And at fourth order loops affects the scattering amplitude



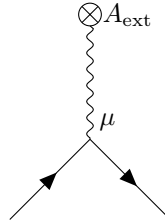
I call processes without loops (*tree*)  $\alpha_{EM}$ -**order processes**, then processes with  $n$  loops are  $\alpha_{EM} \circ (\alpha_{EM}^n)$  **order processes**.

We have so far shown how to calculate processes in the order  $\alpha_{EM}(1 + o(\alpha_{EM}) + \dots)$ . Since QED is a perturbative theory, theoretical result we obtained so far (considering only tree-level diagrams) coincides with experimental results only up to the first order in  $\alpha_{EM}$ . In order to obtain better results we must consider higher order contributions. See next example:

#### Example 5: Magnetic Dipole Momentum of $e^-$

Mandl, sec 9.6.1

The magnetic moment of a particle shows up through the scattering of the particle by a magnetic field. For this reason we shall one more study the elastic scattering of an electron by a static potential. We considered this process in lowest order in sec.3.6.2, whose diagram at lowest order is:



We can rewrite the lowest order scattering amplitude as follows (using *Gordon Identity*)<sup>a</sup>

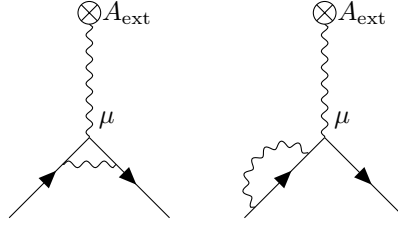
$$iq_e \bar{u}(p') \gamma^\mu u(p) = i \left( \frac{q_e}{2m_e} \right) \bar{u}(p') \{ (p' + p)^\mu + 2i \Sigma^{\mu\nu} (p' - p)_\nu \} u(p) \quad (4.1)$$

Physically this means that  $\gamma^\mu$  (related to QED interaction) using Dirac e.o.m. can be rewritten in terms of

- (i)  $(p' + p)^\mu$  (which is related to  $e^-$  coupling)
- (ii)  $g_e \Sigma^{\mu\nu}$  (where  $\Sigma^{\mu\nu} = \frac{i}{4} [\gamma^\mu, \gamma^\nu]$  is the Lorentz generator and  $g_e = 2$  is the gyromagnetic ratio)
- (iii)  $(p' - p)_\nu$  (which is related to spin coupling)

The the non-relativistic limit of slowing moving particles and static magnetic field, the second term in (4.1) is just the amplitude for the scattering of a spin 1/2 particle with magnetic moment  $(-e/2m)$ , i.e. with gyromagnetic ratio  $g_e = 2$ . In QM the factor  $g_e = 2$  is given by experimental results, while QFT predict this value at lowest order.

At higher orders, QED predicts  $o(\alpha_{EM})$  corrections to  $g_e$ . Let's consider higher order diagrams, i.e. diagrams with one loop, for example<sup>b</sup>



All possible diagrams with one loop gives a  $o(\alpha_{EM})$  correction. In 1948 Schwinger did the calculation and obtained another term in the amplitude of the process, namely

$$i \left( \frac{g_e}{2m} \right) \bar{u}(p') \left\{ \left( \frac{\alpha}{2\pi} \right) 2i\Sigma^{\mu\nu}(p' - p)_\nu \right\} u(p)$$

Then the QED prediction at  $o(\alpha_{EM})$  is

$$g_e = 2 \left( 1 + \frac{\alpha}{2\pi} \right) + o(\alpha^2) \rightarrow \left( \frac{g_e - 2}{2} \right)_{TH} = \frac{\alpha}{2\pi} = 0.00116$$

Kusch and Foley (respectively in 1947 and 1948) done experiments and found

$$\left( \frac{g_e - 2}{2} \right)_{EXP} = \frac{\alpha}{2\pi} = 0.00119 \pm 0.00005$$

This was the first prove that we need QED to describe EM interactions with high precision.

Subsequently, both theory and experiment have been greatly refined. Theoretically, the high order corrections of order  $\alpha^2$ ,  $\alpha^3$  and  $\alpha^4$  have been calculated. The result of these heavy calculations is

$$10^{12} \left( \frac{g_e - 2}{2} \right)_{TH} = 1159652183 \pm 8$$

while the experimental value is

$$10^{12} \left( \frac{g_e - 2}{2} \right)_{EXP} = 1159652182 \pm 7$$

The agreement can only be described as remarkable.

<sup>a</sup>See Mandl for explicit derivation.

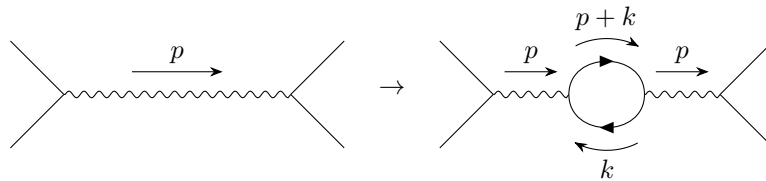
<sup>b</sup>All possible diagrams with one loop are shown in Mandl.

When calculating diagrams with loops often one finds divergences. Consider for example the photon self-energy

### Example 6: Photon Self-Energy

Mandl sec. 9.2

Consider the effect of the photon self-energy insertion in the photon propagator



In the Feynman amplitudes, the insertion of the photon propagator corresponds to the replace-

ment

$$D_{F\alpha\beta}(p) \rightarrow D_{F\alpha\mu}(p) (-iq_e)^2 \Pi^{\mu\nu}(p, m) D_{F\nu\beta}(p)$$

where

$$(-iq_e)^2 \Pi^{\mu\nu}(p, m) = (-1)(-iq_e)^2 \int \frac{d^4 k}{(2\pi)^4} \frac{\text{Tr}[(\not{p} + \not{k} + m)\gamma^\mu(\not{k} + m)\gamma^\nu]}{[(p+k)^2 - m^2][k^2 - m^2]} \quad (4.2)$$

This formula express the possibility of creating virtual electrons with any momentum  $k$ . This leads to some problem since the integral is divergent. Take  $\Lambda > 0$ . Then:

$$\Pi^{\mu\nu}(p, m) = \int_0^\Lambda (\dots) + \lim_{\Lambda_0 \rightarrow \infty} \int_\Lambda^{\Lambda_0} (\dots) \equiv \Pi_{Fin}^{\mu\nu}(p, m) + \Pi_{Div}^{\mu\nu}(p, m)$$

Suppose  $\Lambda \gg p, m$ , then

$$\begin{aligned} \Pi_{Div}^{\mu\nu}(p, m) &= \lim_{\Lambda_0 \rightarrow \infty} \int_\Lambda^{\Lambda_0} \frac{d^4 k}{(2\pi)^4} \frac{\text{Tr}[\not{k}\gamma^\mu \not{k}\gamma^\nu]}{k^4} \\ &\propto \lim_{\Lambda_0 \rightarrow \infty} \int_\Lambda^{\Lambda_0} d^4 k \frac{k^\mu k^\nu}{k^4} \\ &\simeq \lim_{\Lambda_0 \rightarrow \infty} \Lambda_0^2 \end{aligned}$$

i.e. the integral is quadratic divergent.

## 4.2 Superficial degree of divergence and renormalizability condition on the coupling constant

Peskin sec. 10.1

The divergence shown in the previous example (for  $k \rightarrow \infty$ ) is called **ultraviolet divergence**. We can also say that the **superficial degree of divergence**  $D$  of this diagram is  $D = 2$ .

### Exercise 6

Verify that following diagrams are superficially divergent ( $D \geq 0$ ):



Verify that following diagrams are not superficially divergent ( $D < 0$ ):



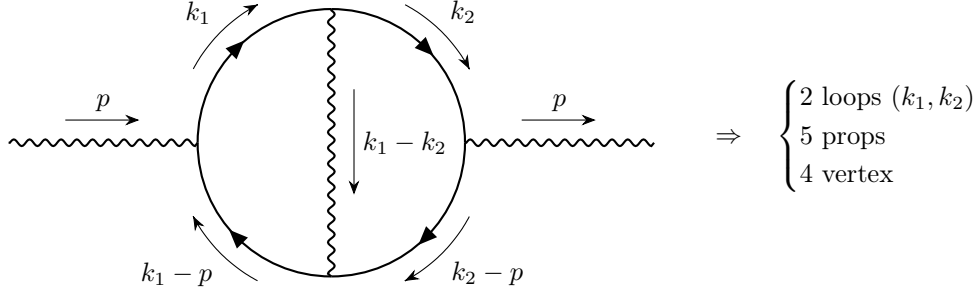
There is a simple formula to determinate the superficial degree of divergence of a diagram. First we introduce some notation for diagrams:

- (i)  $D$  is the superficial degree of divergence
- (ii)  $L$  is the number of loops (i.e. the number of independent momentum in Feynman graph)
- (iii)  $E_{B/F}$  is the number of external bosons/fermions
- (iv)  $n_{B/F}$  is the number of bosons/fermions attached to each vertex
- (v)  $P_{B/F}$  is the number of bosonic/fermionic propagators
- (vi)  $V$  is the number of vertex in the diagram

First, notice that the following topological propriety holds:

$$L = P_B + P_F - V + 1$$

### Example 7



Since the number of bosons and the number of fermions attached to each vertex is constant,<sup>I</sup> then the number of vertices can also be express in terms of external particles:

$$V = \frac{2P_B + E_B}{n_B} = \frac{2P_F + E_F}{n_f}$$

Notice that each boson propagator decrease the degree of divergence by 2 since it's related to a factor  $\frac{1}{k^2}$ , while for fermionic propagators I have a factor  $\frac{1}{k} = \frac{k}{k}$  so it decrease the degree of divergence by 1. Then I obtain

$$D = 4L - 2P_B - P_F$$

Putting all these relations together I obtain:

$$D = 4 - \left(4 - n_B - \frac{3}{2}n_F\right)V - E_B - \frac{3}{2}E_F$$

Notice that this formula holds for any theory involving bosons and fermions, since we didn't made any restrictive assumption on the theory. The dimension of the lagrangian is 4, while dimensions of bosonic and fermionic fields are respectively 1 and 3/2, therefore let  $[g]$  be the dimension of the coupling constant  $g$  of my theory, I have

$$[g] = 4 - n_B - \frac{3}{2}n_F$$

and then

$$D = 4 - [g]V - E_B - \frac{3}{2}E_F \quad (4.3)$$

### Example 8

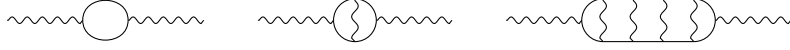
In QED  $n_B = 1$  and  $n_F = 2$ , so  $[g] = 4 - n_B - \frac{3}{2}n_F = 0$  as we expected since interaction lagrangian is  $\mathcal{L}_{int} = q\bar{\psi}\not{A}\psi$  and  $[q] = 0$ .

Notice that from (4.3) follows that in theories with  $[g] = 0$  (therefore QED is included) the degree divergence is independent by the number of vertices in diagrams.

### Example 9

<sup>I</sup>For any theory,  $n_B$  and  $n_F$  are fixed by the interaction lagrangian. For example, in QED  $n_B = 1$  and  $n_F = 2$

Following diagrams have all  $D = 2$ :



A necessary condition for renormalizability is that  $[g] \leq 0$ , otherwise if  $[g] < 0$  then  $D$  increases with the number of vertex and, therefore, with the order of the perturbative expansion, making perturbative expansion useless.

In spite of the superficial degree of divergence, doing explicit calculations we find that actually the degree of divergence of diagrams may be smaller than the one we obtained through the topological analysis, for example in QED we have these seven amplitudes whose superficial degree of divergence is  $\geq 0$ :

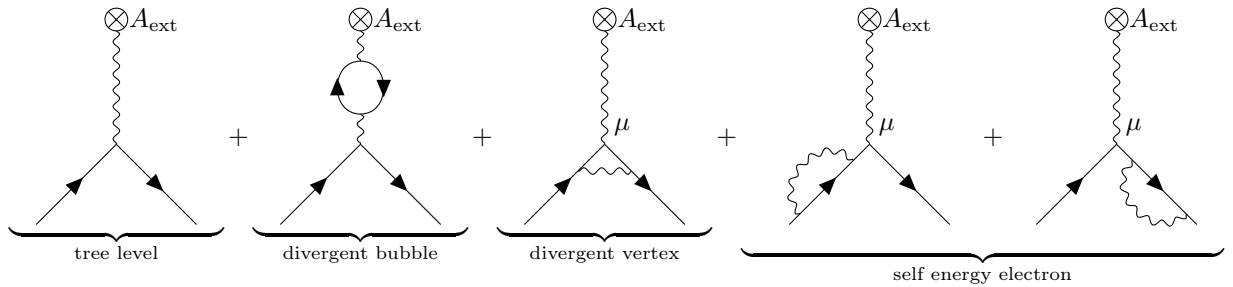
	= Vacuum	$D = 4$	Irrelevant
	= Tadpole	$D = 3$	Vanishes
	= Photon Self-Energy	$D = 2$	Log divergence
	= Electron Self-Energy	$D = 1$	Log divergence
	= $3\gamma$	$D = 1$	Vanishes
	= $4\gamma$	$D = 0$	Finite
	= Vertex	$D = 0$	Log Divergence

This is due to the additional degrees of freedom of QED. When a theory has additional symmetries (as gauge symmetry for QED  $\rightarrow$  Ward Identity) the divergence can be smaller than superficial divergence  $D$ .

### 4.3 Basic idea behind the renormalization procedure

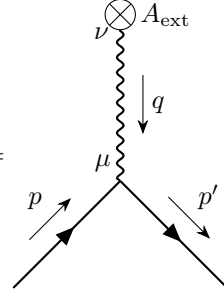
Halzen sec. 7.2; Mandl sec. 9.1, 9.2

Let's consider again the scattering by an external potential problem. Now we consider higher corrections in the total amplitude (in the previous analysis we considered only the first diagram):



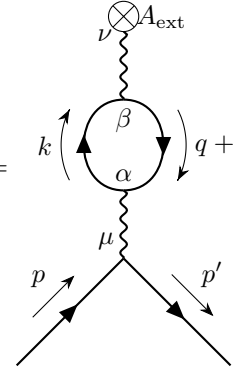


We already know Feynman amplitude for the first diagram



$$\mathcal{M}_0 = \quad \quad \quad = (-ie)\bar{u}(p')\gamma^\mu u(p)g_{\mu\nu}\varepsilon_{\text{ext}}^\nu(q)$$

For the diagram with the photon self-energy we can use previous computation obtaining



$$\mathcal{M}_1 = \quad \quad \quad = (-ie)\bar{u}(p')\gamma^\mu u(p)\left(-i\frac{g_{\mu\alpha}}{q^2}\right)(-ie)^2\Pi^{\alpha\beta}(q,m)\left(-i\frac{g_{\beta\nu}}{q^2}\right)\varepsilon_{\text{ext}}^\nu(q)$$

where  $\Pi^{\alpha\beta}(q, m)$  was defined in (4.2):

$$\Pi^{\alpha\beta}(q, m) = (-1) \int \frac{d^4k}{(2\pi)^4} \frac{\text{Tr}[(\not{q} + \not{k} + m)\gamma^\alpha(\not{k} + m)\gamma^\beta]}{[(q+k)^2 - m^2][k^2 - m^2]}$$

This integral is quadratically divergent for large  $k$ . In order to handle it, we must regularize it, that is, we must modify it so that it becomes a well-defined finite integral, that is, we must modify it so that it becomes a well-defined finite integral. For example, this could be achieved by multiplying the integrand in the previous equation by the convergence factor

$$\left(\frac{-\Lambda_\infty^2}{k^2 - \Lambda_\infty^2}\right)^2$$

Here,  $\Lambda_\infty$  is a **cut-off** parameter. For large, but finite, values of  $\Lambda_\infty$ , the integral now behaves like  $\int d^4k/k^6$  for large  $k$ , and is well-defined and convergent. For  $\Lambda_\infty \rightarrow \infty$ , the factor tends to unity, and the original theory is restored. One can think of such convergence factor either as a mathematical device, introduced to overcome a very unsatisfactory feature of QED, or as a genuine modification of QED at very high energies, i.e. at very small distances, which should show up in experiments at sufficiently high energies.

Let us assume that the theory has been already regularized in this way, so that all expressions are well-defined, finite and gauge invariant.

After regularization  $\Pi^{\alpha\beta}(q^2)$  expression can be simplified. It follows from Lorentz invariance that  $\Pi^{\alpha\beta}(q^2)$  must be of the form

$$e^2\Pi^{\alpha\beta}(q^2) = -ig^{\alpha\beta}I(q^2) + iq^\alpha q^\beta K(q^2)$$

since this is the most general second-rank tensor which can be formed using only the four-vector  $q^\mu$ . From the Ward Identity (i.e. gauge invariance) follows that the second term proportional to the photon momentum  $q$  gives vanishing contributions, hence, it can be omitted.  $I(q^2)$  takes the form

$$I(q^2) = \frac{\alpha}{3\pi} \int_{m^2}^{\Lambda_\infty} \frac{dk^2}{|k|^2} - \frac{2\alpha}{\pi} \int_0^1 dz(1-z) \log\left(1 - \frac{q^2 z(1-z)}{m^2}\right)$$

where  $m$  is the mass of the electron and  $\Lambda_\infty$  is the cutoff parameter that we introduced in order to regularize the integral. Here we notice that the divergence is due to the first integral, and has logarithmic behaviour. After we will have solved the integral we have to take the limit  $\Lambda \rightarrow \infty$ . With this procedure we avoid the calculation of indetermined divergent integrals.

In the approximation  $q^2 \ll m^2$  we obtain following formula for  $I(q^2)$ :

$$I(q^2) \simeq \frac{\alpha}{3\pi} \log\left(\frac{\Lambda_\infty^2}{m^2}\right) + \frac{\alpha}{15\pi} \frac{q^2}{m^2} + o\left(\frac{q^2}{m^2}\right)$$

Unless we can dispose of the infinite part of  $I(q^2)$  the result will not be physically meaningful.

The way to proceed is best explained by returning to Rutherford scattering. Recall  $\varepsilon_{\text{ext}}^\nu(q) = (Ze/q^2, 0, 0, 0)$ , including the loop contribution to the tree-order amplitude, we obtain for the small  $q^2$  limit the amplitude

$$\mathcal{M} = -i \frac{Ze^2}{q^2} \left( 1 - \frac{e^2}{12\pi^2} \log\left(\frac{\Lambda_\infty^2}{m^2}\right) - \frac{e^2}{60\pi^2} \frac{q^2}{m^2} + o\left(\alpha^2, \frac{q^4}{m^4}\right) \right)$$

Now, notice that the parameter  $e$  is just a theoretical parameter, called **bare parameter**  $e_B = e$ , that cannot be measured. Then I can introduce a new parameter called **renormalized parameter**

$$e_R \equiv e_B \left( 1 - \frac{e^2}{12\pi^2} \log\left(\frac{\Lambda_\infty^2}{m^2}\right) \right)^{1/2} \quad (4.4)$$

With this new variable Feynman amplitude is

$$\mathcal{M} = -i \frac{Ze_R^2}{q^2} \left( 1 - \frac{e_R^2}{60\pi^2} \frac{q^2}{m^2} + o\left(\alpha^2, \frac{q^4}{m^4}\right) \right)$$

In this way we obtained a completely physical amplitude. Since the measurable quantities are scattering amplitudes, I solved the problem of divergent contributions in my theory due to photons self-energy (in the first order).

### 4.3.1 Renormalizable theories

Up to now we saw that a sufficient condition for a theory to be renormalizable is that all the divergences can be absorbed into redefinitions of physical parameters.

In QED I have only two kind of particles: photons and electrons (and positrons). Therefore only parameters are the charge and the mass of the electron, which are the only parameter I can use to renormalize the theory.

I have three kind of divergences:

$$\begin{aligned} \text{Vertex correction diagram} &= -ieZ_1\gamma^\mu \\ \text{Electron self-energy diagram} &= \frac{+iZ_2}{p^2 - m^2} \\ \text{Photon self-energy diagram} &= -i\frac{g^{\mu\nu}}{p^2} Z_3 \end{aligned}$$

i.e. I have 3 divergent terms to be removed:  $Z_1, Z_2, Z_3$ . Since I have only 2 parameters I can use to remove these divergent terms, it seems that QED is not renormalizable. Luckily, doing explicit calculations, I obtain that thanks to gauge invariance (Ward Identities) I obtain  $Z_1 = Z_2$  and therefore QED is renormalizable using opportune definitions of free parameters:

$$e_R = e_B \left( 1 - \frac{\delta e}{e} \right) \quad m_R = m_B \left( 1 - \frac{\delta m}{m} \right)$$

where  $\delta e/e$  and  $\delta m/m$  are divergent terms. We obtained that QED is renormalizable at any order.

# Chapter 5

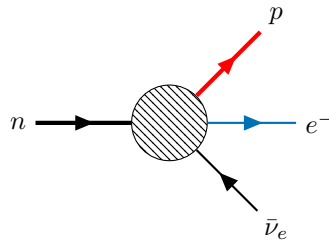
## Weak Interactions

Mandl sec. 16.1; Halzen sec. 12.Introduction;<sup>I</sup>

The Weak interactions theory was born after, in the study of  $\beta$ -decay<sup>II</sup>, scientist discovered a process that couldn't be described by QED, namely the neutron decay:

$$n \rightarrow p + e^- + \bar{\nu}_e$$

where  $n$  is the neutron,  $p$  is the proton,  $e^-$  is the electron and  $\bar{\nu}_e$  is the antineutrino. From the energy spectrum of out-coming  $e^-$  particles scientist understood that this is a 3 body decay. Notice also that neutrinos ( $\nu_e$  and  $\bar{\nu}_e$ ) are fermions that couldn't be discovered by detectors since they have no charge. This is the sketch of this interaction:<sup>III</sup>



This new interaction can't be described using QED and two photons that mediate the interactions because of the charge and the spin conservation.

Before proceeding, we note that it is conventional to divide weak interaction processes into three categories, depending on whether leptons and/or hadrons are involved. Accordingly, we distinguish:

- (i) *purely leptonic* processes like

$$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

which involve only the charged leptons  $e^\pm$ ,  $\mu^\pm$ ,  $\tau^\pm$  and their associated neutrinos  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  and antineutrinos  $\bar{\nu}_e$ ,  $\bar{\nu}_\mu$ ,  $\bar{\nu}_\tau$

- (ii) *semileptonic* processes involving both hadrons and lepton, like neutron  $\beta$ -decay

$$n \rightarrow p e^- \bar{\nu}_e$$

and

$$\pi^\mp \rightarrow \mu^\mp \bar{\nu}_\mu^{(-)}$$

<sup>I</sup>Beside bibliography, for this chapter some good references can be found in the following sites:

<https://www.imsc.res.in/~graj/genGRaja.pdf>

<http://polywww.in2p3.fr/~paganini/ch6.pdf>

These reference are used in the following sections even if not cited.

<sup>II</sup>The theory of  $\beta$ -decay was developed by W. Pauli in 1934. To solve the puzzle of the continuous energy spectrum of the electrons emitted in the beta decay of nuclei, Pauli had suggested that along with the electron, an almost massless neutral particle also was emitted. This particle was named by Fermi as *neutrino*.

<sup>III</sup>We used different colors for different charge currents and different line thickness to distinguish nucleons by smaller fermions.

(iii) *purely hadronic* processes like the  $\Lambda$  decay

$$\Lambda \rightarrow p\pi^-$$

and

$$K^+ \rightarrow \pi^+\pi^0$$

Unfortunately we have only a limited understanding of hadrons structure, since the strong forces which bind the quarks act over distances of order 1 fm, where they cannot be treated in perturbation theory. In contrast, we believe that perturbation theory is valid for weak and electromagnetic interactions, and that we understand the latter. Consequently purely leptonic processes afford an unambiguous and far simpler field for studying weak interactions, and we shall restrict ourselves to purely leptonic processes. This is analogous to our treatment of QED, where we also did not consider hadrons.

## 5.1 Four Fermions Fermi Theory and $V - A$ Theory

Halzen sec. 12.1; Maggiore sec. 8.1

In 1934 Fermi proposed his theory to describe  $\beta$ -decay process  $n \rightarrow p + e^- + \bar{\nu}_e$ . In QED the electromagnetic current  $q(\bar{e}\gamma^\mu e)$  of the charged particle like the electron interacts with the electromagnetic vector potential  $A_\mu$ , which becomes the field operator for the photon:

$$\mathcal{L} = q(\bar{e}\gamma^\mu e)A_\mu$$

The electric current and the vector potential are vectors and so Fermi adopted the vector form for the weak currents also. In Fermi's theory of weak interactions, the weak current of the proton-neutron pair interacts with the weak current of the electron-neutrino pair:

$$\mathcal{L}_F = \frac{G_F}{\sqrt{2}} (\bar{p}\gamma^\mu n) (\bar{e}\gamma_\mu \nu_e)$$

where the particle symbols represent the corresponding field operators. The strength of the weak interaction is characterized by the constant  $G_F$  called **Fermi constant**. The value of  $G_F$  is very small, and therefore this interaction is called "weak". This lagrangian describes 4 fermions interaction, and therefore is called **Four Fermions Fermi Lagrangian**. A new coupling constant meant a new force was born. Moreover, the currents in the latter Lagrangian are charged while in QED they are always neutral. Perturbation theory based on this Lagrangian described pretty well the  $\beta$ -decay.

Since lagrangian has to be 4-dimensional and all these fields have dimension 3/2 the Fermi constant must have dimension

$$[G_F] = 4 - 6 = -2$$

i.e. this theory is not renormalizable since  $D = 2 + 2V - E_B - 3E_F/2 > 0$ . We will use the SM to obtain a renormalizable theory of weak interactions.

### 5.1.1 Modification of Fermi's theory: the $V - A$ current

Mandl sec. 16.2, Maggiore sec. 8.2

This theory of weak interactions proposed by Fermi almost 80 years ago purely on an intuitive basis stood the test of time inspite of many amendments that were incorporated into Fermi's theory successfully. One important amendment came after in 1957 Wu found a Parity violation ( $\mathcal{P}$ ) in Weak interaction, since the  $e^-$  produced in the neutron decay is mostly left handed. Fermi's theory survived even this fundamental revolution and the only modification was to replace the vector current of Fermi by an equal mixture of vector currents (V)

$$j_V^\alpha = \bar{\psi}\gamma^\alpha\phi$$

and axial currents (A)

$$j_A^\alpha = \bar{\psi}\gamma^\alpha\gamma_5\phi = \bar{\psi}\gamma_L^\alpha\phi = \bar{\psi}\gamma_R^\alpha\phi$$

Vectors and axial currents behave differently when we go from left to right-handed coordinate systems and hence the parity violation. The new current takes the form:

$$j_{V-A}^\alpha = c_V j_V^\alpha - c_A j_A^\alpha = \bar{\psi} \gamma^\alpha (c_V - c_A \gamma_5) \phi$$

where constant  $c_V$  and  $c_A$  must be fixed in such a way our Lagrangian is consistent with parity proprieties of our theory. Since  $e^-$  has both chiralities<sup>IV</sup> then I obtain that neutrino has to be only left-handed. Usually I chose  $c_V = c_A = 1/2$  in order to obtain  $(c_V - c_A \gamma_5) = P_L$ . Introducing this current in the Fermi Lagrangian I obtain the Four Fermions V-A<sup>V</sup> interaction for the neutron decay:

$$\mathcal{L}_{V-A}^n = -\frac{G_F}{\sqrt{2}} (\bar{p} \gamma^\alpha (1 - c_A \gamma_5) n) (\bar{e} \gamma_\alpha (1 - \gamma_5) \nu_e)$$

where we modified both hadronic and leptonic currents. Parameter  $c_A$  correspond to a free parameter in the lagrangian that can be fixed in further experiments. For example, if  $c_A = 0$  we obtain a non-chiral current for hadrons.

For leptonic processes involving electrons, muons, and them corresponding neutrinos (such as muon decay  $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$ ) we can obtain a similar solution:

$$\mathcal{L}_{V-A}^{\mu^-} = -\frac{G_F}{\sqrt{2}} (\bar{\nu}_\mu \gamma^\alpha (1 - \gamma_5) \mu) (\bar{e} \gamma_\alpha (1 - \gamma_5) \nu_e)$$

The general form for V-A Lagrangian responsible for leptonic processes is constructed from bilinear forms of the lepton field operators, i.e. from charged<sup>VI</sup> currents:

$$\mathcal{L}_{CC} = -\frac{4G_F}{\sqrt{2}} \left( \sum_{l=e,\mu,\tau} J_{CC}^\alpha(l) \right)^\dagger \left( \sum_{l=e,\mu,\tau} J_{CC,\alpha}(l) \right) \quad (5.1)$$

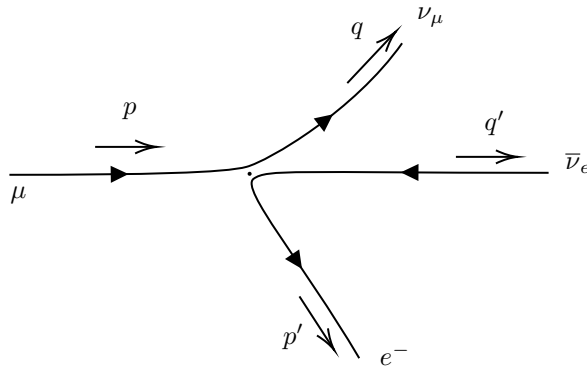
with

$$J_{CC}^\alpha(l) = \bar{l} \gamma^\alpha P_L \nu_l \quad (5.2)$$

### 5.1.2 $\mu$ -decay and 3-body final state

**Maggiore problem 8.1; Mandl sec. 16.6.1; Halzen sec. 12.5**

Let's consider muon decay rate using V-A lagrangian,  $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$ . Here there is a sketch of the process, where lines are related to conserved currents in the lagrangian:



<sup>IV</sup>In QED  $\bar{e} \gamma^\alpha e = \bar{e}_R \gamma^\alpha e_R + \bar{e} + L \gamma^\alpha e_L$

<sup>V</sup>It is said "V minus A".

<sup>VI</sup>Since  $l$  describes a charged particle while  $\nu_l$  an uncharged one. This means that (in the future development of the weak theory) this interaction must be mediated by a charged particle.

Instead of using Feynman diagrams, we prefer to use direct computation from the interaction lagrangian, since Feynman diagrams involve more work due to the product of two different diagrams. Therefore:

$$\begin{aligned} S_{fi}^{(\mu)} &= \langle e(p') \bar{\nu}_e(q') \nu_\mu(q) | \left( -i \int d^4x \mathcal{L}_{V-A}(x) \right) | \mu(p) \rangle \\ &= -i \frac{G_F}{\sqrt{2}} \int d^4x \langle e(p') \bar{\nu}_e(q') \nu_\mu(q) | (\bar{\nu}_\mu \gamma_L^\alpha \mu)_x (\bar{e} \gamma_{\alpha,L} \nu_e)_x | \mu(p) \rangle \\ &= (2\pi)^4 \delta^4(p - p' - q' - q) \mathcal{M}_{fi} \end{aligned}$$

with

$$\mathcal{M}_{fi} = -i \frac{4G_F}{\sqrt{2}} (\bar{u}_{\nu_\mu}(q) \gamma_L^\alpha u_\mu(p)) (\bar{u}_e(p') \gamma_{\alpha,L} v_{\nu_e}(q'))$$

where we introduced Dirac spinors  $u_f$  and  $\bar{u}_f$  for each fermion field  $f$ . The unpolarized amplitude reads<sup>VII</sup>

$$|\overline{\mathcal{M}}|^2 = \frac{1}{2} \left( \frac{4G_F}{\sqrt{2}} \right)^2 \text{Tr}[(\not{p} + m_\mu) \gamma_L^\beta \not{q} \gamma_L^\alpha] \text{Tr}[(\not{p}' + m_e) \gamma_{\alpha,L} \not{q}' \gamma_{\beta,L}]$$

We can assume vanishing neutrinos masses since  $m_{\nu_e} \simeq m_{\nu_\mu} \approx 10^{-6} m_e$ :

$$|\overline{\mathcal{M}}|^2 = \frac{1}{8} \left( \frac{4G_F}{\sqrt{2}} \right)^2 \underbrace{\text{Tr}[\not{p} \gamma^\beta \not{q} \gamma^\alpha (1 - \gamma_5)]}_{\textcircled{A}} \underbrace{\text{Tr}[\not{p}' \gamma_\alpha \not{q}' \gamma_\beta (1 - \gamma_5)]}_{\textcircled{B}}$$

Using traces proprieties the result can be simplified using a simmetric tensor  $S$  and a completely anti-simmetric (Levi-Civita) tensor:

$$\begin{aligned} \textcircled{A} &= 4 (S^{\rho\beta\sigma\alpha} - i\varepsilon^{\rho\beta\sigma\alpha}) p_\rho q_\sigma \\ \textcircled{B} &= 4 (S_{\rho'\alpha\sigma'\beta} - i\varepsilon_{\rho'\alpha\sigma'\beta}) (p')^{\rho'} (q')^{\sigma'} \end{aligned}$$

Performing the contraction we obtain

$$|\mathcal{M}|^2 = 64G_F^2 (p \cdot q')(q \cdot p')$$

We can now compute the differential decay rate in the lab frame:

$$(d\bar{\Gamma})_{\text{LAB}} = \frac{|\overline{\mathcal{M}}|_{\text{LAB}}^2}{2m_\mu} d\Phi_{(3)}$$

where  $d\phi_{(3)}$  is the three body phase space:

$$d\Phi_{(3)} = (2\pi)^4 \delta^4(p - p' - q' - q) \left( \frac{d^3 p'}{(2\pi)^3 2\omega'_e} \right) \left( \frac{d^3 q'}{(2\pi)^3 2\omega'_{\nu_e}} \right) \left( \frac{d^3 q}{(2\pi)^3 2\omega_{\nu_\mu}} \right)$$

Notice that since I cannot see neutrino experimentally, I can't measure the energies of neutrinos separately. Therefore neutrino energies must be eliminated in the phase space computation. If we define

$$k = (p - p') = (q + q')$$

then

$$(d\bar{\Gamma})_{\text{LAB}} = \frac{4G_F^2}{(2\pi)^5} \frac{p_\alpha p'_\beta}{m_\mu} \frac{d^3 p'}{\omega'_e} I^{\alpha\beta}(k)$$

---

<sup>VII</sup>For this process  $|\overline{\mathcal{M}}|^2 = \frac{1}{2} |\mathcal{M}|^2$ .

where we introduced the following tensor

$$\begin{aligned} I_{\alpha\beta}(k) &= \int \frac{d^3 q'}{\omega'_{\nu_e}} \frac{d^3 q}{\omega_{\nu_\mu}} \delta^4(p - p' - q' - q) q'_\alpha q_\beta \\ &= g_{\alpha\beta} A(k^2) + \frac{k_\alpha k_\beta}{k^2} B(k^2) \end{aligned}$$

for some functions  $A(k^2)$  and  $B(k^2)$ . Last step is a consequence of Lorentz invariance, that implies that most general formula of  $I_{\alpha\beta}$  is the one showed above. Using tensor proprieties we have

$$\begin{cases} g_{\alpha\beta} I^{\alpha\beta} &= 4A(k^2) + B(k^2) \quad \equiv I_0(k^2) \\ k_\alpha k_\beta I^{\alpha\beta} &= k^2(A(k^2) + B(k^2)) \equiv I_1(k^2) \end{cases} \quad (5.3)$$

Let's calculate  $I_0$  and  $I_1$ . For the former we have

$$I_0(k^2) = \int \frac{d^3 q'}{\omega'_{\nu_e}} \frac{d^3 q}{\omega_{\nu_\mu}} \delta^4(k - q - q')(q \cdot q')$$

Since this object is a scalar, then it's a Lorentz invariant. I can calculate in it any frame, for convenience we use the c.o.m. frame for the neutrinos. In this case following kinematic relations holds

$$q' = (\omega'_{\nu_e}, \mathbf{q}') \quad q = (\omega_{\nu_\mu}, -\mathbf{q}') \quad |\mathbf{q}| = |\mathbf{q}'| \quad \omega'_{\nu_e} = \omega_{\nu_\mu} \equiv \omega'$$

and we have<sup>VIII</sup>

$$\begin{aligned} I_0(k^2) &= \int \frac{d^3 q'}{\omega'^2} \frac{d^3 q}{2} \delta^4(k - q - q') = \frac{k^2}{2} \int \frac{d^3 q'}{\omega'^2} \delta(k_0 - 2\omega') \\ &= \frac{k^2}{2} \int \frac{\omega'^2 d\omega'}{\omega'^2} d\Omega \frac{1}{2} \delta\left(\omega' - \frac{k_0}{2}\right) = \pi k^2 \end{aligned}$$

Now we calculate  $I_1$

$$I_1(k^2) = \int \frac{d^3 q'}{\omega'_{\nu_e}} \frac{d^3 q}{\omega_{\nu_\mu}} (k \cdot q)(k \cdot q') \delta^4(k - q - q')$$

Again, this is a scalar and we calculate it in the c.o.m. frame for neutrinos, we have

$$\begin{cases} k \cdot q = (q + q') \cdot q = k_0 \omega_{\nu_\mu} \\ k \cdot q' = (q + q') \cdot q' = k_0 \omega'_{\nu_e} \end{cases}$$

where we used  $\mathbf{q} + \mathbf{q}' = 0$  in c.o.m. frame. Therefore  $k = (k_0, 0, 0, 0)$  and  $k^2 = k_0^2$ . We obtain

$$I_1(k^2) = k^2 \int d^3 q' d^3 q \delta^4(k - q - q') = \frac{\pi}{2} k^4$$

Using (5.3) we obtain

$$\begin{cases} 4A(k^2) + B(k^2) &= \pi k^2 \\ k^2(A(k^2) + B(k^2)) &= \frac{\pi}{2} k^4 \end{cases} \quad \rightarrow \quad \begin{cases} A(k^2) &= \frac{\pi}{6} k^2 \\ B(k^2) &= \frac{\pi}{3} k^2 \end{cases}$$

and finally

$$I_{\alpha\beta} = g_{\alpha\beta} \frac{\pi}{6} k^2 + k_\alpha k_\beta \frac{\pi}{3}$$

The differential cross section is

$$(\mathrm{d}\bar{\Gamma})_{\mathrm{LAB}} = \frac{G_F^2}{48\pi^4 m_\mu} [k^2(p \cdot p') + 2(p \cdot k)(p' \cdot k')] \underbrace{\frac{\omega_e'^2 d\omega_e'}{\omega_e'}}_{d^3 p' / \omega_e'} d\Omega_e$$

<sup>VIII</sup>In the first step we used  $k^2 = (p \cdot p')^2 = (q + q')^2 = 2qq'$  and the fact that  $k^2$  value is fixed by the  $\delta^4$  function and therefore is independent from the integrating variable  $q'$ .

We notice that differential term above (and below) the brace depends only on electron energy and angular distribution. In the  $\mu$  rest frame

$$p = (m_\mu, 0) \rightarrow \begin{cases} p \cdot p' = m_\mu \omega'_e \\ p \cdot k = m_\mu (m_\mu - \omega'_e) \\ p' \cdot k = m_\mu \omega'_e \\ k^2 = m_\mu (m_\mu - 2\omega'_e) \end{cases}$$

so

$$\left( \frac{d\bar{\Gamma}}{d\omega'_e} \right)_{\text{LAB}} = \frac{G_F^2}{12\pi^3} \omega_e'^2 m_\mu (3m_\mu - 4\omega'_e)$$

Now we consider the total decay rate. First notice that minimum value for  $\omega'_e$  is  $(\omega'_e)_{\text{MIN}} = 0$  and in this case all energies are taken by two neutrinos, on the other side its maximum value is  $(\omega'_e)_{\text{MAX}} = m_\mu/2$  and this happen when direction of  $e$  is opposite to the direction of neutrinos. Therefore the total decay rate is

$$(\bar{\Gamma}_{\text{TOT}})_{\text{LAB}} = \int_{\omega_{\min}}^{\omega_{\max}} d\omega'_e \left( \frac{d\bar{\Gamma}}{d\omega'_e} \right)_{\text{LAB}} = \frac{G_F^2 m_\mu^5}{192\pi^3}$$

From the latter we see that only relevant parameter in Lab frame are  $G_F$  and  $m_\mu$ . The lifetime in the Lab frame is<sup>IX</sup>

$$\tau_\mu = \frac{1}{\bar{\Gamma}_{\text{TOT}}} = 2.2 \cdot 10^{-6} \text{s} = 3.467 \cdot 10^{18} \text{ GeV}^{-1}$$

while muon mass is

$$m_\mu = 0.105 \text{ GeV}$$

In this way we obtain the value for  $G_F$ :

$$G_F = \left( \frac{192\pi^3}{\tau_\mu m_\mu^5} \right)^{1/2} = 1.16 \cdot 10^{-5} \text{ GeV}$$

The muon lifetime only determines the coupling constant  $G_F$ . This coupling constant is much smaller than interaction coupling in QED ( $\alpha = 0.007$ ). This is the reason why this kind of interaction are called *Weak*.

### Exercise 7

Find other physical processes that can be described by the V-A lagrangian

*Hint:* For example the process  $\nu_\mu e^- \rightarrow \nu_e \mu^-$  can be described by the lagrangian

$$\mathcal{L}_{\text{V-A}} = -\frac{4G_F}{\sqrt{2}} (\bar{\nu}_\mu \gamma_L^\alpha \mu) (e^- \gamma_{\alpha,L} \nu_e) - \frac{4G_F}{\sqrt{2}} (\bar{\mu} \gamma_L^\alpha \nu_\mu) (\bar{\nu}_e \gamma_{\alpha,L} e)$$

### Exercise 8

Compute the cross section for the process  $\nu_\mu e^- \rightarrow \nu_e \mu^-$ .

*Solution:*

$$\begin{aligned} |\overline{\mathcal{M}}|^2 &= 16G_F^2 s^2 \\ (\bar{\sigma})_{\text{CM}} &= \frac{G_F^2}{\pi} s \end{aligned}$$

<sup>IX</sup>This is an experimental result



## Exercise 9

Compute the cross section for the process  $\nu_e e^- \rightarrow \nu_\mu \mu^-$ .

*Solution:*

$$\begin{aligned} \overline{|\mathcal{M}|}^2 &= 16G_F^2 u^2 \\ (\overline{\sigma})_{\text{CM}} &= \frac{G_F}{3\pi} s \end{aligned}$$

### 5.1.3 Problems of Fermi Lagrangian

The four-fermion contact interaction with V-A currents described well the weak interaction processes known in the 1950s-1960s, namely at low energies. Rapidly, it was realized that this theory was only an approximation, i.e. an effective theory in the low energy range. The theory we presented have some problems, some of them related to high energy behaviour. This leads to the interpretation of  $V - A$  lagrangian as the low-energy limit of a wider theory.

## Processes that cannot be described

With the Fermi Lagrangian we are not able to describe following processes:

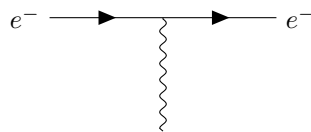
$$\begin{array}{lcl} \nu_\mu e^- & \rightarrow & \nu_\mu e^- \\ \bar{\nu}_\mu e^- & \rightarrow & \bar{\nu}_\mu e^- \end{array}$$

## Currents in the lagrangian

We have seen that  $V - A$  lagrangian for leptonic interactions contains charged currents eq.(5.2), for example



In order to obtain a charge conservation in these processes, and exchange of charge must be somehow mediated during the interactions between currents. Recall that in QED interactions are mediated through photon, i.e. an uncharged spin-1 boson



In order to mediate interaction between charged currents we cannot use an uncharged particle, therefore it is clear that we can't use the photon as mediator for these interactions. We will see in the next section that this mediator is the  $W^\pm$  charged boson.

## Neutral Currents

Halzen sec. 12.9, 12.10; Maggiore sec. 8.2

In 1973 at CERN, neutral currents in weak interactions were discovered. This discovery has its own intrinsic importance because it opened up a whole new class of weak interactions which had remained undetected in all the 70 years' history of weak interactions. From the point of view of electroweak theory it has an added significance since the neutral-current (NC) interaction acts as a bridge between electrodynamics and the old charged-current (CC) weak interaction, but involves a massive boson  $Z$  like the  $W$  involved in the CC interaction.

Anyhow, the neutral current can be described by the  $V-A$  Lagrangian too. In this case the lagrangian takes the form:

$$\mathcal{L}_{NC} = -\frac{4G'_F}{\sqrt{2}} \left( \sum_{l=e,\mu,\tau,\nu_e,\nu_\mu,\nu_\tau} J_{NC}^\alpha(l) \right)^\dagger \left( \sum_{l=e,\mu,\tau,\nu_e,\nu_\mu,\nu_\tau} J_{NC,\alpha}(l) \right) \quad (5.4)$$

with

$$J_{NC}^\alpha(l) = \bar{l}\gamma^\alpha(c_L P_L + c_R P_R)l \quad (5.5)$$

We will see that the coupling  $G'_F$  is actually different from the coupling for charged currents  $G_F$ .

Very general arguments show that the range of any force is given by the Compton wavelength of the particle transmitting it. This implies that neutral currents cannot be mediated through the photon either, since photon is massless and therefore describes infinite-range interaction. But experiments shows that weak interactions are low range interactions, and therefore must be mediated through massive particles. So we have introduce a mediator for interactions between neutral currents as well, in this case we have to introduce a massive neutral boson  $Z$ .

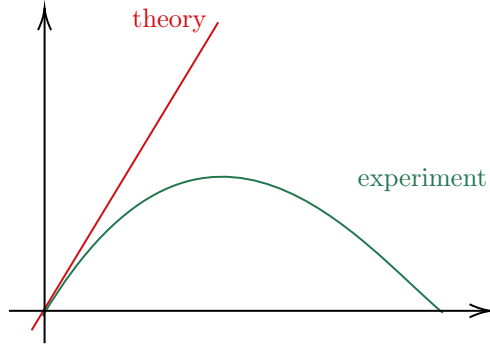
## Unitarity

Halzen sec. 12.7

If we compute the cross section for the process  $\nu_\mu e^- \rightarrow \nu_e \mu^-$  with  $\mathcal{L}_{V-A}$  we obtain

$$(\bar{\sigma})_{CM} = \frac{G_F^2 s}{\pi}$$

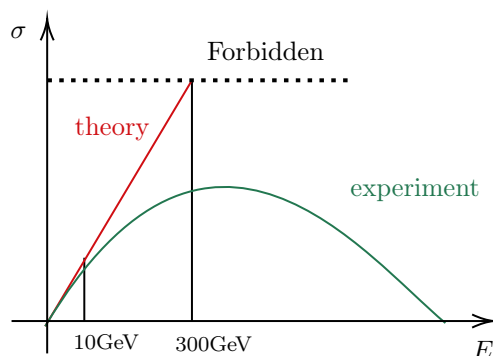
If initial energy  $s$  approaches infinity then cross section goes to  $\infty$ . Obviously this is wrong, since leads to an unitarity problem. Experimentally we have



This implies that the theory does not work for high energies. In order to have an unitary theory a requirement is

$$\bar{\sigma} \leq \frac{4\pi}{s}$$

i.e.  $V-A$  theory preserves unitarity only if  $\sqrt{s} \leq \sqrt{2\pi/G_F} \simeq 700\text{GeV}$ . This implies that initial energies of particles in c.o.m. have to be smaller than  $\approx 300\text{GeV}$ .



### Non renormalizability

We saw that Fermi theory is not renormalizable, since  $[G_F] = -2$  implies ultraviolet divergence at higher orders.

## 5.2 Interacting Vector Bosons

Mandl chap. 16.1, 16.2; Maggiore sec. 8.2

We have already drawn attention to the analogy between weak interactions and QED which Fermi exploited in constructing his theory. The analogy with QED that he banked upon not only made him to choose the correct form of the weak interactions - the vector form in contrast to the scalar or tensor form that were introduced later but then rejected - but also served as a fruitful analogy in the search for a more complete theory of weak interactions. This is what we shall describe in this section.

In beta decay, Fermi had imagined the  $n - p$  line and the  $e - \nu$  line interacting at the same space-time point. But clearly the correspondence with QED is greatly enhanced if the two pairs of lines are separated and an exchange of a mediator  $W$  between the  $n - p$  and  $e - \nu$  lines is inserted. The properties of this new particle must be the following:

- (i)  $W$  has to be charged, in contrast to the photon, in order to conserve the electric charge;
- (ii) just like the photon, the  $W$  particle also has a spin angular momentum of one unit;
- (iii) in contrast to the photon, the  $W$  boson has to be a very massive object. For, the weak interaction has a short range unlike the infinite-ranged electromagnetic interaction

These considerations (with also later correction) leads to the theory of Weak Interactions that involves interacting bosons. Like the strong and electromagnetic interactions, the weak interactions is also associated with the exchange of elementary spin-1 bosons that act as ‘force carriers’ between quarks and/or leptons. However, in contrast to photons and gluons, there are very massive particles. There are three such ‘intermediate vector boson’: the charged bosons  $W^+$  and  $W^-$  and the neutral  $Z$  boson, with masses

$$M_W = 80.40\text{GeV} \quad M_Z = 91.19\text{GeV}$$

These large masses have two obvious consequences.

Firstly, we already said that the range of any force is given by the Compton wavelength of the particle transmitting it. Consequently, the range of the weak interactions between quarks and leptons is of order  $10^{-3}\text{fm}$  and at low energies the weak interaction can be treated as a zero range interactions. This is the reason why Fermi theory works very well to describe weak interactions in the low energy limit.

Secondly, very large energies are required to produce  $W^\pm$  and  $Z$  bosons in the laboratory, so that they were not discovered until 1983, long after their existence and masses had been theoretically predicted.

The idea that weak interactions were due to the exchange of massive charged bosons seems to have been first by Klein in 1938, and until 1973 all observed weak interactions were consistent with the hypothesis that they were mediated by the exchange of heavy charged bosons  $W^\pm$  only. However, in the 1960s,

Glashow, Salam and Weinberg developed a theory that unified electromagnetic and weak interactions in a way that is often compared to the unification of electric and magnetic interactions by Faraday and Maxwell a century earlier. This new theory made several remarkable predictions, including the existence of a neutral vector boson  $Z^0$ , and of the weak reactions arising from its exchange. In particular, neutral reactions of the type

$$\nu_\mu + N \rightarrow \nu_\mu + X$$

were predicted, where  $N$  is a nucleon and  $X$  is any set of hadrons allowed by the conservation laws. Such reactions were finally observed at CERN in 1973, as we stated in the previous section.

The prediction of the existence and proprieties of neutral currents, prior to their discovery, is only one of the many spectacular successes of the unified theory of electromagnetic and weak interactions. Others include the prediction of the existence of the charmed quark, prior to its discovery in 1974; and the prediction of the masses of the  $W^\pm$  and  $Z^0$  bosons prior to the long-awaited detection of these particles in 1983. In general, the theory is in complete agreement with all the data on both weak and electromagnetic interactions, which are now usually referred to collectively as the *electroweak interaction*. However the new unification only becomes manifest at very high energies, and at lower energies, weak and electromagnetic interactions can still be clearly separated, as we shall see.

In the previous section we constructed  $V - A$  lagrangian using vector currents, but the forms eq. (5.1) and eq. (5.4) are not the unique ways of constructing interactions from the currents eq. (5.2) and eq. (5.5). The most general renormalizable coupling with 2 fermions and an interacting particle is

$$\bar{\psi}\gamma^\mu(c_L P_L + c_R P_R)\psi V_\mu$$

where  $c_{L,R}$  are adimensional factors  $[c_L] = [c_R] = 0$ . Notice that when  $c_L = c_R$  we obtain a vector-like coupling (like in QED) while if  $c_L \neq c_R$  we obtain an axial coupling (this is the case of Weak interactions as we know after Wu experiment: even if  $\nu_R$  exists, it doesn't couple in Weak Interactions).

Let's introduce two charged vector bosons  $W^\pm$  described by a complex Proca field<sup>X</sup>  $W_\alpha$  and neutral vector boson  $Z$  with its neutral Proca field  $Z_\alpha$ . Their corresponding field strengths are

$$\begin{aligned} W_{\alpha\beta} &= \partial_\alpha W_\beta - \partial_\beta W_\alpha \\ Z_{\alpha\beta} &= \partial_\alpha Z_\beta - \partial_\beta Z_\alpha \end{aligned}$$

Then we can define the **Interacting Vector Boson (IVB) Lagrangian**:

$$\mathcal{L}_{IVB} = \mathcal{L}_{KB} + \mathcal{L}_{KF} + \mathcal{L}_{int} \quad (5.6)$$

where

$$\mathcal{L}_{KB} = -\frac{1}{2}W_{\alpha\beta}^\dagger W^{\alpha\beta} + M_W^2 W_\alpha^\dagger W^\alpha - \frac{1}{4}Z_{\alpha\beta}Z^{\alpha\beta} + \frac{1}{2}M_Z^2 Z_\alpha Z^\alpha \quad (5.7)$$

$$\mathcal{L}_{KF} = \bar{e}(i\not{\partial} - m_e)e + \bar{\mu}(i\not{\partial} - m_\mu)\mu + \bar{\nu}_e(i\not{\partial})\nu_e + \bar{\nu}_\mu(i\not{\partial})\nu_\mu \quad (5.8)$$

$$\begin{aligned} \mathcal{L}_{int} &= \frac{g}{\sqrt{2}}W^\alpha [\bar{e}\gamma_\alpha P_L \nu_e + \bar{\mu}\gamma_\alpha P_L \nu_\mu + \bar{\nu}_e\gamma_\alpha P_L e + \bar{\nu}_\mu\gamma_\alpha P_L \mu] + \\ &\quad + \frac{g}{c_W}Z^\alpha [\bar{e}\gamma_\alpha (c_L^e P_L + c_R^e P_R)e + \bar{\mu}\gamma_\alpha (c_L^\mu P_L + c_R^\mu P_R)\mu + \\ &\quad + \bar{\nu}_e\gamma_\alpha c_L^{\nu_e} P_L \nu_e + \bar{\nu}_\mu\gamma_\alpha c_L^{\nu_\mu} P_L \nu_\mu] \end{aligned} \quad (5.9)$$

where  $g$  and  $c_W$  are dimensionless coupling constant. In eq.(5.8) and eq.(5.9) we omitted fields corresponding to  $\tau$  and  $\nu_\tau$ , understanding how to introduce them in the lagrangian is trivial. Notice that in (5.9) I introduced the interaction only for right-handed spinors, since left-handed spinors does not interact. We assumed (it's not the general case) that all fermions have same coupling ( $g$  for the coupling with  $W_\alpha$  and  $g/c_W$  for the coupling with  $Z_\alpha$ ).

<sup>X</sup>These fields are coupled with leptonic vector currents, hence they must be vector fields. These vector fields satisfies the relation  $\partial^\alpha W_\alpha = 0 = \partial^\alpha Z_\alpha$  and therefore are vector bosons with spin 1. See Mandl sec. 16.3 the proof of this statement.

## Feynman Rules for the IVB Lagrangian

Mandl sec. 16.4

We can easily write down the Feynman rules for treating leptonic processes between charged currents (i.e. mediated through  $W^\pm$  bosons). Recall that these interactions are described by first line of eq.(5.9), we have that for each vertex we write a factor

$$-i \frac{g}{\sqrt{2}} \gamma_\alpha P_L$$

Feynman rules for external lines are obtained from QED ones only requiring a trivial relabeling for all possible fermions, for example

$$-i \frac{g}{\sqrt{2}} (\bar{u}_{\nu_f} \gamma_\alpha P_L u_f) = f \longrightarrow \begin{array}{c} \nearrow \nu_f \\ \alpha \\ \searrow W_\alpha \end{array}$$

For each internal  $W$  boson line, labelled by momentum  $k$ , write a factor

$$D_W^{\alpha\beta}(k) = \frac{-i}{k^2 - M_W^2} \left( g^{\alpha\beta} - \frac{k^\alpha k^\beta}{M_W^2} \right) = \alpha \xrightarrow{k} \beta$$

For processes involving neutral currents (i.e. mediated through  $Z$  boson) each vertex gives a factor

$$-i \frac{g}{c_W} \gamma_\alpha (c_L P_L + c_R P_R)$$

Analogously to the rules for charged bosons we have (recall that for neutrinos we have  $c_R = 0$ )

$$-i \frac{g}{c_W} (\bar{u}_f \gamma_\alpha (c_L P_L + c_R P_R) u_f) = f \longrightarrow \begin{array}{c} \nearrow f \\ \alpha \\ \searrow Z_\alpha \end{array}$$

For each internal  $Z$  boson line, labelled by momentum  $k$ , write a factor

$$D_Z^{\alpha\beta}(k) = \frac{-i}{k^2 - M_Z^2} \left( g^{\alpha\beta} - \frac{k^\alpha k^\beta}{M_Z^2} \right) = \alpha \xrightarrow{k} \beta$$

For each external initial or final boson line, write a factor  $\varepsilon_\alpha^{(\lambda)}$  for each initial boson and a factor  $\varepsilon_\alpha^{(\lambda)*}$  for each final boson. For the field  $Z_\mu$ , which is real,  $\varepsilon_\alpha^{(\lambda)} = \varepsilon_\alpha^{(\lambda)*}$ :

$$\begin{aligned} \varepsilon_\alpha^{(\lambda)} &= \xrightarrow{k} \alpha \\ \varepsilon_\alpha^{(\lambda)*} &= \alpha \xrightarrow{k} \\ \xrightarrow{k} \alpha &= \varepsilon_\alpha^{(\lambda)} = \alpha \xrightarrow{k} \end{aligned}$$

Notice that  $\lambda$  describes one of the possible polarization of the boson. For massive boson all possible polarization are 3.

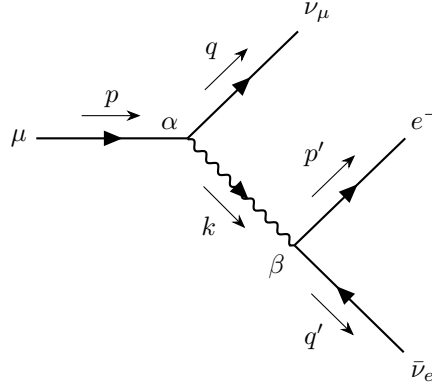
### 5.2.1 Fermi Lagrangian as low energy limit of a massive vector boson Lagrangian

Mandl sec. 16.6.1; Halzen sec. 12.2

In the section 5.1 we said that  $V - A$  lagrangian can describe Weak interactions in the low energy limit. Indeed, the full structure of the electroweak theory (described by the Standard Model) is only revealed at energies comparable to the masses of the bosons  $W^\pm$  and  $Z^0$  that, together with the photon, mediate the electroweak interactions. Since  $m_W = 80.425(38)\text{GeV}$  and  $m_Z = 91.1876(21)\text{GeV}$ , the weak decays of particles with masses between a few hundred MeV and a few GeV, as for instance the muons, the pions, the kaons, the neutron, charmed mesons like the  $D^0$ , etc., can be studied in a low-energy approximation to the SM using the  $V - A$  Lagrangian. For instance in the  $\beta$ -decay of the free neutron,  $n \rightarrow p e^- \bar{\nu}_e$ , we have a mass difference  $m_n - m_p \simeq 1.29 \text{ MeV}$ . Therefore, even if at the fundamental level the decay is mediated by the  $W$ -boson, the fact that the maximum momentum transfer is much smaller than  $m_W$  allows us to use a low-energy effective theory. The same approximation holds for nuclear  $\beta$ -decays.

This is the reason why we used the low-energy theory in order to study a scattering process mediated by weak interactions, as for instance the muon decay, at center-of-mass energies well below  $m_W$ .

Now we have to prove that IVB Lagrangian in the low energy limit leads to the results we obtained using Four Fermions model. Let's consider again the muon decay width, this time using IVB lagrangian in the limit of  $\omega_e, \omega_\mu \ll M_W$ . There is only 1 Feynman diagram in the lower order of  $S$  matrix expansion (i.e. second order):



Obviously kinematics constraints impose  $k = p - q = p' + q'$ . The Feynman amplitude is

$$\mathcal{M} = \left( -i \frac{g}{\sqrt{2}} \right)^2 (\bar{u}_{\nu_\mu} \gamma^\alpha P_L u_\mu) (\bar{u}_e \gamma^\beta P_L v_{\bar{\nu}_e}) D_{\alpha\beta}^W(p - q)$$

Using muon rest frame we have  $k^2 = (m_\mu^2 - 2m_\mu \omega_{\nu_\mu})$  and in the limit  $m_\mu \ll M_W$  we have  $k^2 \ll M_W^2$ , therefore we can expand the propagator  $D_{\alpha\beta}^W(k)$  in terms of  $k^2$ :

$$D_{\alpha\beta}^W(k) = \frac{i}{M_W^2} g_{\alpha\beta} + \frac{i}{M_W^2} g_{\alpha\beta} \frac{k^2}{M_W^2} + o\left(\frac{k^4}{M_W^4}\right)$$

In this limit our Feynman amplitude reads

$$\mathcal{M} = -\frac{g^2}{2M_W^2} (\bar{u}_{\nu_\mu} \gamma^\alpha P_L u_\mu) (\bar{u}_e \gamma^\beta P_L v_{\bar{\nu}_e})$$

Then, If I compare with the amplitude I obtained using  $V - A$  amplitude, I can identify this relation between coefficients  $G_F$  and  $g$ :

$$\frac{4G_F}{\sqrt{2}} = \frac{g^2}{2M_W^2} \quad \rightarrow \quad G_F = \frac{\sqrt{2}g^2}{8M_W^2} \quad (5.10)$$

For the moment we do not know exactly the value of  $g$ , but we know that  $g/\sqrt{2}$  must be lower than 1 in order to obtain a well-defined perturbative expansion. This means that in the low energy limit I have an information about the mass of the  $W^\pm$  boson:

$$M_W \lesssim 120\text{GeV}$$

This calculation finally prove that  $V - A$  Lagrangian is the low energy limit of the IVB lagrangian.

### Exercise 10

Calculate the  $\mu$  decay rate using the full  $W$  propagator

*Hint:* We expect a correcting factor term in the order  $o(m_\mu^2/M_W^2)$ .

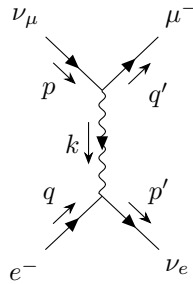
## 5.2.2 Charge Current (CC) and Neutral Current (NC) processes in IVB

One can try to compute the cross section for the process  $\nu_\mu e^- \rightarrow \nu_e \mu^-$  in order to verify if IVB Lagrangian has same unitarity problem shown for the  $V - A$  Lagrangian. In order to fix unitarity problem, we expect neutrino scattering process at sufficiently high energies to exhibit the effects of the intermediate vector boson. We leave the full calculation as an exercise, and we just write down the solutions in order to discuss them

### Exercise 11: $\nu_\mu e^- \rightarrow \nu_e \mu^-$ cross section

Mandl sec. 16.6.2

Calculate  $\nu_\mu e^- \rightarrow \nu_e \mu^-$  cross section using the full propagator in the unitary limit (i.e. at high energies  $s \gg m_e^2, M_W^2$ )



*Solution:*

The solution is easily obtained from the results we derived using  $V - I$  lagrangian, just using the following substitution

$$\frac{G_F}{\sqrt{2}} \rightarrow \frac{g^2}{8} \frac{1}{u - M_W^2}$$

where  $u = (p - q')^2 = k^2$ . Notice that factor  $(k_\alpha k_\beta)/M_W^2$  does not contribute when I neglect fermions mass. Therefore I obtain

$$|\mathcal{M}|_{V-A}^2 = 16G_F^2 s^2 \rightarrow |\mathcal{M}|_{IVB}^2 = \frac{g^4}{2} \frac{s^2}{(u - M_W^2)^2}$$

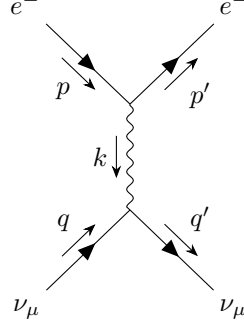
$$\left(\frac{d\sigma}{d\Omega}\right)_{CM}^{V-A} = \frac{G_F^2 s}{4\pi^2} \rightarrow \left(\frac{d\sigma}{d\Omega}\right)_{CM}^{IVB} = \frac{g^4}{128\pi^2} \frac{s}{(u - M_W^2)^2} \simeq \frac{g^4}{128\pi^2} \frac{1}{s}$$

Now the cross section is finite at high energies, and then is clear that the introduction of the massive boson  $W$  cure the unitarity problem.

Another problem of the Four Fermions model were related to the impossibility of the description of processes  $\nu_\mu e^- \rightarrow \nu_\mu e^-$ . Using the IVB Lagrangian is now possible to describe these processes. Let's consider its description in low energy limit ( $s \ll M_W^2$ ).

### Example 10: Neutral current contributions to $\nu_\mu e^- \rightarrow \nu_\mu e^-$ cross section

At the lowest order this process not include the charged part of the Lagrangian



Obviously kinematics impose  $k = (p - p') = (q' - q)$ . The Feynman amplitude reads

$$\mathcal{M} = \left( -i \frac{g}{c_W} \right)^2 (\bar{u}_{\nu_\mu} \gamma^\alpha c_L^\nu P_L u_{\nu_\mu}) (\bar{u}_e \gamma^\beta (c_L^e P_L + c_R^e P_R) u_e) D_{\alpha\beta}^Z(p - p')$$

In the low energy limit we have

$$\mathcal{M} = -i \left( \frac{g}{c_W M_Z} \right)^2 (\bar{u}_{\nu_\mu} \gamma^\alpha c_L^\nu P_L u_{\nu_\mu}) (\bar{u}_e \gamma^\beta (c_L^e P_L + c_R^e P_R) u_e)$$

Comparing this relation with eq.(5.4) we notice that following relation between  $g$  and  $G'_F$ :

$$\frac{4G'_F}{\sqrt{2}} = \frac{g^2}{c_W^2 M_Z^2} \quad \rightarrow \quad G'_F = \frac{\sqrt{2}g^2}{4c_W^2 M_Z^2}$$

Therefore the coupling  $G_F$  of the charged current in the Fermi theory is actually different than the coupling  $G'_F$  of the neutral current, since eq.(5.10) is different than the latter relation. The unpolarized amplitude reads

$$|\overline{\mathcal{M}}|^2 = \frac{1}{4} \left( \frac{g}{c_W M_Z} \right)^4 \text{Tr}[\not{q}'(c_L \gamma_\alpha P_L) \not{q}(c_L \gamma_\beta P_L)] \text{Tr}[\not{p}'(c_L \gamma^\alpha P_L + c_R \gamma^\alpha P_R) \not{p}(c_L \gamma^\beta P_L + c_R \gamma^\beta P_R)]$$

If we consider the high energies approximation  $m_e = m_{\nu_\mu} = 0$  (notice that features related to the presence of  $Z$  boson are related to the high energy behaviour of processes) then

$$|\overline{\mathcal{M}}|^2 = \frac{4g^4}{c_W^4 M_Z^4} (c_L^\nu)^2 [c_L^2(p \cdot q)(p' \cdot q') + c_R^2(p \cdot q')(p' \cdot q)]$$

Now I can take the rest frame and go on with the computation of the unpolarized scattering amplitude.

### Exercise 12

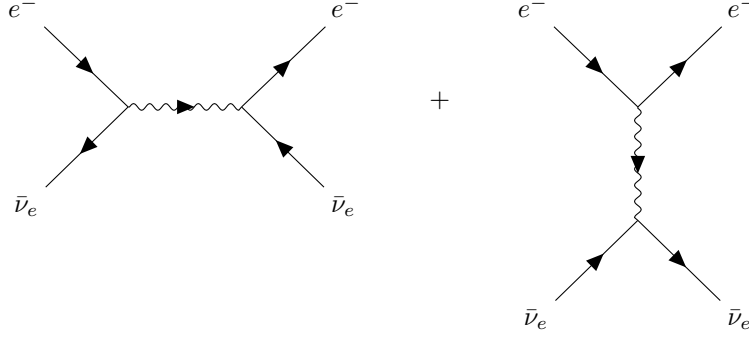
Complete computation of the cross section in the previous example.

### Exercise 13

Calculate the  $\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-$  amplitude.



*Hint / Solution:* The process is described by following diagrams:

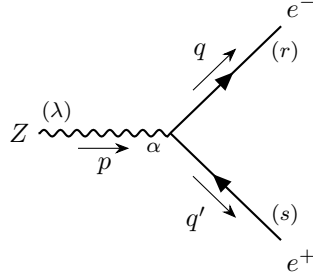


### 5.2.3 Decay rates of charged and neutral massive vector bosons

In this section it is shown how to compute the decay rate of the  $Z$  boson. Same procedure can be used for the  $W$  boson.

#### Example 11: $Z$ boson decay

We consider the leptonic decay process of the  $Z$  boson  $Z \rightarrow f \bar{f}$  (for example the process  $Z \rightarrow e^- e^+$  can be described as follows)



Using Feynman rules we obtain following amplitude

$$\mathcal{M} = -i \frac{g}{c_W} \bar{u}_r(q) (c_L \gamma^\alpha P_L + c_R \gamma^\alpha P_R) v_s(q') \varepsilon_\alpha^{(\lambda)}(p)$$

When we compute the unpolarized amplitude we have to introduce a factor  $1/3$  in order to average over all possible initial polarizations. Therefore:

$$\begin{aligned} |\overline{\mathcal{M}}|^2 &= \left( \frac{g}{c_W} \right)^2 \left( \frac{1}{3} \sum_\lambda \varepsilon_\alpha^{(\lambda)} \varepsilon_\beta^{(\lambda)*} \right) \sum_{r,s} \bar{u}_r (c_L \gamma^\alpha P_L + c_R \gamma^\alpha P_R) v_s \bar{v}_r (c_L \gamma^\beta P_L + c_R \gamma^\beta P_R) u_s \\ &= \frac{1}{3} \left( \frac{g}{c_W} \right)^2 \left( -g_{\alpha\beta} + \frac{p_\alpha p_\beta}{M_Z^2} \right) \text{Tr} \left[ (\not{q}' - M_Z) (c_L \gamma_L^\beta + c_R \gamma_R^\beta) (\not{q} + m_f) (c_L \gamma_L^\alpha + c_R \gamma_R^\alpha) \right] \\ &= \frac{1}{3} \left( \frac{g}{c_W} \right)^2 \left( 2(c_L^2 + c_R^2) \left( q \cdot q' + \frac{2(p \cdot q)(p \cdot q')}{M_Z^2} \right) + 12m_f^2 c_L c_R \right) \end{aligned}$$

If we consider the lab frame, i.e. the rest frame for the initial boson, we have following kinematic

relations

$$\begin{cases} p^2 = M_Z^2 = (q + q')^2 = 2m_f^2 + 2q \cdot q' & \rightarrow q \cdot q' = \frac{M_Z^2}{2} - m_f^2 \\ (p - q)^2 = M_Z^2 + m_f^2 - 2p \cdot q = q'^2 = m_f^2 & \rightarrow p \cdot q = \frac{M_Z^2}{2} \\ (p - q')^2 = q^2 & \rightarrow p \cdot q' = \frac{M_Z^2}{2} \end{cases}$$

So we obtain

$$\overline{|\mathcal{M}|}_{\text{LAB}}^2 = \left(\frac{g}{c_W}\right)^2 \frac{M_Z}{3} \left( 2(c_L^2 + c_R^2) \left(1 - \frac{m_f^2}{M_Z^2}\right) + 12c_L c_R \frac{m_f^2}{M_Z^2} \right)$$

We can see that there is no dependence on momentum and angles for this process. In order to obtain final formula for the decay rate, let's consider the phase space  $d\Phi_{(2)}$ :

$$\begin{aligned} \int d\Phi_{(2)} &= \int \frac{d^3q}{(2\pi)^3} \frac{d^3q'}{(2\pi)^3} \frac{1}{4E_q E_{q'}} (2\pi)^4 \delta^4(p - q - q') \\ &= \int \frac{d^3q}{(2\pi)^2} \frac{1}{4E_q^2} \delta(M_Z - 2E_q) \\ &= \int \frac{d\Omega}{4\pi} \frac{|\mathbf{q}|^2 d|\mathbf{q}|}{4\pi E_q^2} \delta(M_Z - 2E_q) \\ &= \frac{1}{4\pi} \int d|\mathbf{q}| \frac{|\mathbf{q}|^2}{E_q^2} \frac{1}{2} \delta\left(E_q - \frac{M_Z}{2}\right) \\ &= \frac{1}{8\pi} \left(1 - \frac{4m_f^2}{M_Z^2}\right) \end{aligned}$$

Where in the fourth line we performed the integration over the solid angle since there is no dependence over the angle in the unpolarized amplitude. In the last step we used

$$\left(\frac{M_Z}{2}\right)^2 = E_q^2 = m_f^2 + |\mathbf{q}|^2 \quad \rightarrow \quad |\mathbf{q}|^2 = \frac{M_Z^2}{4} - m_f^2$$

Finally we can obtain the decay rate

$$\begin{aligned} (d\bar{\Gamma}_Z)_{\text{LAB}} &= \frac{\overline{|\mathcal{M}|}_{\text{LAB}}^2}{2M_Z} d\Phi_{(2)} \\ (\bar{\Gamma}_Z)_{\text{LAB}} &= \left(\frac{g}{c_W}\right)^2 \frac{M_Z}{48\pi} \left(1 - \frac{4m_f^2}{M_Z^2}\right)^{1/2} \left( 2(c_L^2 + c_R^2) \left(1 - \frac{m_f^2}{M_Z^2}\right) + 12c_L c_R \frac{m_f^2}{M_Z^2} \right) \end{aligned}$$

In the special case where  $f$  is a neutrino, we have  $c_R = 0$ ,  $c_L = 1/2$ ,  $m_\nu = 0$  and therefore the decay rate reads

$$(\bar{\Gamma}_Z^\nu)_{\text{LAB}} = \left(\frac{g}{c_W}\right)^2 \frac{M_Z}{96\pi}$$

We stress the fact that this decay rate correspond to the decay process into a specific neutrino and its antineutrino. Recall that neutrinos cannot be detached, therefore we cannot “see” experimentally the process  $Z \rightarrow \nu \bar{\nu}$ . Let's define

$$(\bar{\Gamma}_Z^{inv})_{\text{LAB}} = N_\nu \left(\frac{g}{c_W}\right)^2 \frac{M_Z}{96\pi}$$

the decay rate of  $Z$  into *invisible* particles. Experimentally  $N_\mu \simeq 3.01$ , therefore we can interpret this result as the fact that the only invisible products of  $Z$  decay are neutrinos, i.e. there is no other invisible particle coupled with the field  $Z_\alpha$  into  $\mathcal{L}_{\text{int}}$  (eq.(5.9))

#### Exercise 14

Mandl sec. 16.6.3

Calculate the decay rate of the charged boson  $W$

*Solution:*

$$(\bar{\Gamma}_Z)_{\text{LAB}} = \frac{g^2}{48\pi^2} M_W \left(1 - \frac{m_e^2}{M_W^2}\right)^2 \left(1 + \frac{m_e^2}{2M_W^2}\right)$$

### 5.2.4 Problems with IVB theory

This model describes very well Weak Interaction at lowest orders, and solve most of the problems of the Fermi theory, but still it cannot be renormalizable. Indeed, in IVB theory we have  $[g] = 0$ , but in the naive dimensional counting we assumed that the propagator decays as  $1/k^2 \sim D_A(k)$ . But for massive vector bosons this is no more true since

$$D_{W,Z} = \frac{1}{k^2 - M^2} \left( g_{\alpha\beta} - \frac{k_\alpha k_\beta}{M^2} \right) \sim \frac{1}{k^2} + c$$

The additional term  $c$  has as consequence that the naive dimensional analysis of the superficial degree of divergence  $D$  in this case reads

(i) for  $M = 0$  boson

$$D = 4 - [g]V - E_B - \frac{3}{2}E_F = 4 - E_B - \frac{3}{2}E_F$$

(ii) for  $M \neq 0$  boson

$$D = 4 - [g]V + n_B V - 2E_B - \frac{3}{2}E_F = 4 + n_B V - 2E_B - \frac{3}{2}E_F$$

We can see that  $M \neq 0$  case there is an additional term  $n_B V$  that counts the number of vertices, and therefore the theory cannot be renormalizable. In order to obtain a renormalizable theory with vector mediators required conditions are  $[g] \geq 0$  and  $M_B = 0$  (these two conditions guarantees  $D \sim 1/k^2$ ).

Therefore in order to obtain a renormalizable gauge theory<sup>XI</sup> we need to find a trick to define a theory with massless boson that also in some level produces massive bosons without breaking the renormalizability. This is what we will do in the next chapter.

We can also see this requirement as follows: in order to obtain a Lagrangian that exhibits gauge symmetry we cannot have massive terms for the interacting bosons, otherwise any term proportional to  $M^2 A^\mu A_\mu$  will break the symmetry.

<sup>XI</sup>All interacting theories are defined in terms of gauge theories.

## Chapter 6

# Non abelian Gauge Theories

Maggiore sec. 10.1; Peskin sec. 15.1; Schwartz sec. 25.Intro; Mandl sec. 11.Intro, 11.1

In this chapter we introduce non-abelian gauge theories, or Yang-Mills theories. Their importance stems from the fact that strong interactions are described by a non-abelian gauge theory with gauge group  $SU(3)$ , known as quantum chromodynamics or QCD, while the electromagnetic and weak interactions are unified in a gauge theory with gauge group  $SU(2) \times U(1)$ , the electroweak theory. Together, QCD and the electroweak theory form the Standard Model, which to date reproduces all known experimental results of particle physics, up to energies of the order of a few hundred GeV.

A full presentation of the Standard Model is beyond the scope of this course. In this and the next chapter we will however introduce two of its main ingredients, namely Yang-Mills theories and the Higgs mechanism.

Non-abelian gauge theories<sup>I</sup>, beside having an extraordinary experimental success, have also a very rich theoretical structure, at the classical and especially at the quantum level. Within the scope of this course, we can only limit ourself to just a few elementary aspects, in particular, we will discuss how to generalize gauge transformations to non-abelian groups and how to write the corresponding invariant Lagrangians.

As a first step, it is useful to rewrite the abelian gauge transformation of electrodynamics in a form more suitable for generalization. We already know that the Lagrangian of QED is invariant under a very large group of transformations, allowing an independent symmetry transformation at every point in spacetime. This invariance is the famous *gauge symmetry* of QED. From the modern viewpoint, however, gauge symmetry is not an incidental curiosity, but rather the fundamental principle that determines the form of the Lagrangian. Let us now review the elements of the theory, taking a modern viewpoint.

We begin with the complex-valued Dirac field  $\psi(x)$ , and stipulate that our theory should be invariant under the transformation

$$\psi(x) \rightarrow e^{iq\alpha(x)}\psi(x) = \Omega(x)\psi(x)$$

where  $\Omega(x) = e^{iq\alpha(x)}$  is the representation of the  $U(1)$  transformation. The transformation of the gauge field instead is

$$A_\mu(x) \rightarrow A_\mu(x) - \partial_\mu\alpha(x) = \Omega(x)A_\mu(x)\Omega^\dagger(x) - \frac{i}{q}(\partial_\mu\Omega)\Omega^\dagger$$

where in the last step we used the propriety  $\Omega^{-1}(x) = \Omega^\dagger(x)$  that holds since we are considering the unitary group  $U(1)$ . The coupling between  $A_\mu$  and  $\psi$  is obtained using the *covariant derivative*, which in the representation to which  $\psi$  belongs takes the form

$$D_\mu = (\partial_\mu + iqA_\mu)$$

The important propriety of the covariant derivative is that, even under  $x$ -dependent transformations, it transforms in the same way as  $\psi$ :

$$D_\mu\psi \rightarrow \Omega(D_\mu\psi)$$

Using covariant derivatives there is a very simple way to construct a theory with local  $U(1)$  invariance: we start from a theory with global  $U(1)$  invariance and we just replace all the ordinary derivatives with

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<sup>I</sup>For a description of Gauge groups, Lie Algebras and their representation, see Peskin sec. 15.4; Schwartz sec. 25.1

covariant derivatives. This method of coupling matter to the electromagnetic field is known as *minimal coupling*. Non-minimal coupling are also possible, but they are characterized by coupling constant with dimensions of the inverse powers of mass. If we consider the example of the Fermi theory, we understand that couplings with inverse mass dimensions are less fundamental than dimensionless couplings, and emerge as the low-energy limit of some more fundamental dimensionless coupling. Therefore, it is the minimal coupling that we want to generalize.

Notice that, since covariant derivative  $D_\mu\psi$  has same proprieties of the field  $\psi$ , we have

$$D_\mu D_\nu \psi \rightarrow \Omega(D_\mu D_\nu \psi)$$

However, the commutator between covariant derivatives is not a derivative at all, since in general (for any gauge theory) it acts multiplicatively on the field  $\psi$ :

$$-\frac{i}{q}[D_\mu, D_\nu]\psi = F_{\mu\nu}\psi \quad (6.1)$$

where we introduced the structure  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ . Let's consider the transformation propriety of this structure:

$$F_{\mu\nu}\psi \rightarrow -\frac{i}{q}\Omega[D_\mu, D_\nu]\psi = \Omega F_{\mu\nu}\psi$$

this means that

$$F_{\mu\nu} \rightarrow \Omega F_{\mu\nu} \Omega^\dagger \quad (6.2)$$

and therefore this structure is a tensor.

If the simple geometrical construction we have just presented yield Maxwell's theory of electrodynamics, then surely it must be possible to construct other interesting theories by starting from more general geometrical principles. We want to generalize the above transformations to the case where  $\Omega$  belong to a non-abelian group  $G$ , rather than just to  $U(1)$ , and we want to construct a Lagrangian invariant under such local transformations. We will limit ourselves to the case  $G = SU(N)$ , although the construction is very general;  $G$  is called the **gauge group**.

We start considering a fermion field<sup>II</sup> in  $N$ -dimensional fundamental representation<sup>III</sup>

$$\Psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_N \end{pmatrix}$$

The fact that  $\Psi$  transform in the  $N$ -dimensional fundamental representation means that, under a gauge transformation

$$\Psi(x) \rightarrow \Psi'(x) = \Omega(x)\Psi(x)$$

The transformation matrix  $\Omega \in SU(N)$  can be written as

$$\Omega(x) = \exp\{ig\hat{\alpha}(x)\}$$

where  $\hat{\alpha}$  is a function that can be written in terms of the  $N^2 - 1$  generators  $T^a$  of the group  $SU(N)$

$$\hat{\alpha}(x) = \alpha^a(x)T^a \quad (6.3)$$

To construct an invariant Lagrangian, we introduce a set of gauge fields  $A_\mu^a$  labeled by an index  $a$ , with one gauge field for each generator of the gauge group; the  $A_\mu^a$  are called **non-abelian gauge fields**. In particular,  $SU(N)$  has  $N^2 - 1$  generators, so we have three gauge fields for  $SU(2)$  and eight gauge fields for  $SU(3)$ . We introduce the matrix field

$$\hat{A}_\mu(x) = \sum_{a=1}^{N^2-1} A_\mu^a(x)T^a \quad (6.4)$$

---

<sup>II</sup>For definiteness we take  $\psi_i$  to be Dirac fermions, but all the subsequent considerations are very general and apply to any matter fields, e.g. to bosonic fields or to Weyl fermions.

<sup>III</sup>Notice that this construction is similar to the one we used for flavours. Nevertheless, this time we require a local symmetry, not only global.

The summation over  $a$  will be omitted from now on, it should be introduced each time there are two indices  $a$  in the same term.

We now introduce the covariant derivative on the field  $\psi$ . The most general form is

$$\hat{D}_\mu \Psi = (\mathbb{1}_N \partial_\mu + ig A_\mu^a(x) T^a) \Psi = (\hat{\partial}_\mu + ig \hat{A}_\mu(x)) \Psi \quad (6.5)$$

Fields  $A_\mu^a$  do not depend on the specific representation we choose<sup>IV</sup> for the field  $\Psi$  and neither do the covariant derivative  $\hat{D}_\mu$ . Even if we use non-fundamental representation  $\Psi_R$  of the field (and therefore different generators  $T_R^a$ ) equation eq. (6.5) does not change.

## 6.1 The Yang-Mills Lagrangian

Maggiore sec. 10.2; (Peskin sec. 15.2)

The free Dirac Lagrangian

$$\mathcal{L}_{\text{free}} = \bar{\Psi}(i\hat{\mathcal{D}} - m)\Psi \equiv \sum_{\alpha=1}^N \bar{\psi}^\alpha(i(\hat{\mathcal{D}}\psi)^\alpha - m\psi^\alpha)$$

is invariant under global  $SU(N)$  transformations, since if  $\Psi \rightarrow \Omega\Psi$  then  $\bar{\Psi} \rightarrow \bar{\Psi}\Omega^\dagger$  and, if  $\Omega$  is independent of  $x$ , it goes through  $\partial_\mu$  and cancels against  $\Omega^\dagger$ . However, if  $\Omega$  depends on  $x$ , performing the transformation we also get a term proportional to  $\partial_\mu\Omega$  and this Lagrangian is no longer invariant.

To construct an invariant Lagrangian, we have to use the covariant derivative, just replacing  $\partial_\mu \rightarrow D_\mu$  in the free theory, that is

$$\mathcal{L}_D = \bar{\Psi}(i\hat{\mathcal{D}} - m)\Psi \equiv \sum_{\alpha=1}^N \bar{\psi}^\alpha(i(\hat{\mathcal{D}}\psi)^\alpha - m\psi^\alpha) \quad (6.6)$$

We can obtain the gauge transformation of  $A_\mu$  just requiring the invariance of the Dirac Lagrangian. We impose that

$$\hat{D}_\mu \Psi \rightarrow \Omega(\hat{D}_\mu \Psi)$$

We have

$$\begin{aligned} (\hat{D}_\mu \Psi)' &= (\hat{\partial}_\mu + ig \hat{A}'_\mu(x))\Omega\Psi = ((\hat{\partial}_\mu\Omega) + \Omega\hat{\partial}_\mu + ig\hat{A}'_\mu(x))\Psi \\ &\stackrel{!}{=} \Omega(\hat{D}_\mu \Psi) = \Omega(\hat{\partial}_\mu + ig\hat{A}_\mu(x))\Psi \end{aligned}$$

and therefore the requirement implies the following gauge transformation for the field  $\hat{A}_\mu$ :

$$\hat{A}_\mu \rightarrow \Omega\hat{A}_\mu\Omega^\dagger + \frac{1}{g}(\hat{\partial}_\mu\Omega)\Omega^\dagger \quad (6.7)$$

The Lagrangian eq. (6.6) contains the fermionic field and its interaction with the gauge fields. The interaction term, which is hidden in the covariant derivative, is

$$\mathcal{L}_{\text{int}} = g\bar{\psi}^\alpha \hat{A}_\mu^a (T^a)_{\alpha\beta} \psi^\beta$$

and we see that  $g$  is a coupling constant. We also need a kinetic term for the gauge fields. One might try to define the field strength tensor of each of the gauge fields  $A_\mu^a$  as  $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a$ , but it is immediate to verify that this quantity does not satisfy any simple transformation property under gauge transformation under eq. (6.7). Instead, a straightforward computation shows that the quantity

$$\hat{F}_{\mu\nu} = \hat{\partial}_\mu \hat{A}_\nu - \hat{\partial}_\nu \hat{A}_\mu - ig[\hat{A}_\mu, \hat{A}_\nu] \quad (6.8)$$

---

<sup>IV</sup> Just as in electromagnetism the gauge field, and therefore its transformation proprieties, does not know anything about about the representation of the matter field we consider.

transforms as

$$F_{\mu\nu} \rightarrow \Omega(x) F_{\mu\nu} \Omega^\dagger(x) \quad (6.9)$$

and satisfy the relation

$$-\frac{i}{g} [\hat{D}_\mu, \hat{D}_\nu] \Psi = F_{\mu\nu} \Psi$$

which are the trivial generalizations of eq. (6.2) and eq. (6.1). Therefore, using the definition eq. (6.8) the tensor  $\hat{F}_{\mu\nu}$  is the generalization of the field strength tensor  $F_{\mu\nu}$  we defined for the Maxwell's field. The object  $\hat{F}_{\mu\nu}$  is called **non-abelian field strength tensor**. From eq. (6.8) and eq. (6.4) we see that we can rewrite  $\hat{F}_{\mu\nu}$  as

$$\hat{F}_{\mu\nu} = F_{\mu\nu}^a T^a$$

with<sup>V</sup>

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c \quad (6.10)$$

Now it is easy to construct a gauge-invariant kinetic term for the gauge field; it is given by

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{2} \text{Tr}[F_{\mu\nu} F^{\mu\nu}] = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu}$$

where  $F_{\mu\nu}$  has been taken in the fundamental representation, and we used the fact that  $\text{Tr}(T^a T^b) = \delta^{ab}/2$ . Under gauge transformations  $\text{Tr} F_{\mu\nu} F^{\mu\nu} \rightarrow \text{Tr}(\Omega F_{\mu\nu} F^{\mu\nu} \Omega^\dagger) = \text{Tr} F_{\mu\nu} F^{\mu\nu}$  due to the cyclic property of the trace.

The complete Lagrangian of the  $SU(N)$  Yang-Mills theory with Dirac fermions is therefore

$$\boxed{\mathcal{L}_{YM} = \bar{\Psi}(i\not{D} - m)\Psi - \frac{1}{2} \text{Tr} F_{\mu\nu} F^{\mu\nu}} \quad (6.11)$$

This Lagrangian is called **Yang-Mills Lagrangian**. The kinetic term for the gauge field can be rewritten using the vector potential  $\hat{A}_\mu$  as follows

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{2} \text{Tr}[(\partial_\mu \hat{A}_\nu - \partial_\nu \hat{A}_\mu)^2] \quad (6.12a)$$

$$-ig \text{Tr}[(\partial_\mu \hat{A}_\nu - \partial_\nu \hat{A}_\mu)[A^\mu, A^\nu]] \quad (6.12b)$$

$$+ \frac{g^2}{2} \text{Tr}[\hat{A}_\mu, \hat{A}_\nu][\hat{A}^\mu, \hat{A}^\nu] \quad (6.12c)$$

Observe, from eq. (6.12), that the term  $F^2$  contains not only the standard kinetic term of the gauge fields, but also an interaction vertex with three gauge bosons, proportional to  $g$ , and a vertex with four gauge bosons, proportional to  $g^2$ . These interactions are represented in the following figures:



Observe also that gauge invariance has fixed the three-boson, four-boson, and boson-fermion-fermion vertices in terms of a single parameter, the gauge coupling  $g$ .

## Adjoint representation of the field strength tensor

Maggiore sec. 10.4

Finally, notice that eq. (6.9) means that the tensor  $\hat{F}_{\mu\nu}$  transforms with the adjoint representation of the group. Let's take an infinitesimal gauge transformation, i.e.  $\Omega(x) \simeq \mathbb{1} + ig\hat{\alpha}(x) + o(\hat{\alpha}^2(x))$ , then

$$\Psi \rightarrow \Omega \Psi = \left( e^{ig\alpha^a T^a} \right) \Psi \simeq \Psi + ig\alpha^a T^a \Psi \quad (6.13)$$

<sup>V</sup>Recall that *structure constant* are defined as  $[T^a, T^b] = if^{abc}T^c$ .

Under the infinitesimal transformation eq. (6.9) reads<sup>VI</sup>

$$\begin{aligned}\hat{F}_{\mu\nu} &\xrightarrow{\sim} \hat{F}_{\mu\nu} + ig[\hat{\alpha}, \hat{F}_{\mu\nu}] = \hat{F}_{\mu\nu} + ig\alpha^a F_{\mu\nu}^b [T^a, T^b] \\ &= \hat{F}_{\mu\nu} - g\alpha^a F_{\mu\nu}^b f^{abc} T^c = \hat{F}_{\mu\nu} + g\alpha^a F_{\mu\nu}^b f^{acb} T^c \\ &= \hat{F}_{\mu\nu} + g\alpha^b F_{\mu\nu}^c f^{bac} T^a = \hat{F}_{\mu\nu} + ig\alpha^b F_{\mu\nu}^c (T_{\text{adj}}^b)^{ac} T^a\end{aligned}$$

where in the fourth step we used the total asymmetry of structure constant  $f^{abc}$ , in the fifth we just renamed indexes, and in the last the relation  $(T_{\text{adj}}^a)^{bc} = -if^{abc}$ . Using the decomposition  $\hat{F}_{\mu\nu} = F_{\mu\nu}^a T^a$ :

$$F_{\mu\nu}^a \xrightarrow{\sim} F_{\mu\nu}^a + ig\alpha^b (T_{\text{adj}}^b)^{ac} F_{\mu\nu}^c \quad (6.14)$$

If we introduce the following representation

$$\mathbf{F}_{\mu\nu} = \begin{pmatrix} F_{\mu\nu}^1 \\ \vdots \\ F_{\mu\nu}^{N^2-1} \end{pmatrix}$$

the eq. (6.14) can be rewritten (neglecting  $o(\hat{\alpha}^2)$  terms):

$$\mathbf{F}_{\mu\nu} \xrightarrow{\sim} \mathbf{F}_{\mu\nu} + ig\alpha^a T_{\text{adj}}^a \mathbf{F}_{\mu\nu} \simeq \left( e^{ig\alpha^a T_{\text{adj}}^a} \right) \mathbf{F}_{\mu\nu} \quad (6.15)$$

We notice that compared with the transformation in the fundamental representation eq. (6.13), the transformation for the field strength eq. (6.15) is obtained just substituting the generators  $T^a$  with them adjoints  $T_{\text{adj}}^a$ . This means that the field  $F_{\mu\nu}$  transforms in the adjoint representation of  $SU(N)$ . We could expected this result since the representation of  $\hat{F}_{\mu\nu}$  is  $(N^2 - 1)$ -dim., and the dimension of the adjoint representation of a fundamental  $N$ -dim. representation has  $N^2 - 1$  dimensions.

## 6.2 The Strong sector of the Standard Model: the $SU(3)$ example

Schwartz 25.1.1, 26.Intro, 26.1

Let's consider the specific case of the  $SU(3)$  group. The  $SU(3)$  has  $N^2 - 1 = 8$  hermitian<sup>VII</sup> generators that must satisfy the algebra

$$[T^a, T^b] = if^{abc} T^c \quad \text{with } a, b, c = 1, \dots, 8$$

where the *structure constant*  $f_{abc}$  must be a completely antisymmetric tensor. The values of  $f_{abc}$  are conveniently chosen to be

$$\begin{aligned}f^{123} &= 1 \\ f^{147} &= -f^{156} = f^{246} = f^{257} = f^{345} = -f^{367} = \frac{1}{2} \\ f^{458} &= f^{678} = \frac{\sqrt{3}}{2}\end{aligned}$$

and all other  $f^{abc}$  not related to these by permuting indices are zero. If we define **Gell-Mann matrices** as follows:

$$\begin{aligned}\lambda^1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda^2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda^3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \lambda^4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} & \lambda^5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda^6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \lambda^7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda^8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}\end{aligned}$$

<sup>VI</sup>The symbol  $\xrightarrow{\sim}$  indicate an approximated transformation, since we neglect  $o(\hat{\alpha}^2)$  terms.

<sup>VII</sup>The Lie algebra of  $SU(N)$  is made of hermitian matrices.



then the generators  $T^a$  in the fundamental representation are given by

$$T^a = \frac{1}{2}\lambda^a$$

They satisfy this normalization propriety

$$\text{Tr}[T^a T^b] = \frac{1}{2}\delta_{ab} \quad (6.16)$$

Let's introduce eight non-abelian gauge fields  $G_\mu^a$ . Adopting the previous fundamental representation of  $SU(3)$  the gauge matrix field become

$$\hat{G}_\mu(x) \equiv \sum_{a=1}^8 G_\mu^a(x) T^a = \frac{1}{2} \begin{pmatrix} G_\mu^3 + \frac{1}{\sqrt{2}}G_\mu^8 & G_\mu^1 - iG_\mu^2 & G_\mu^4 - iG_\mu^5 \\ G_\mu^1 + iG_\mu^2 & G_\mu^3 + \frac{1}{\sqrt{3}}G_\mu^8 & G_\mu^6 - iG_\mu^7 \\ G_\mu^4 + iG_\mu^5 & G_\mu^6 + iG_\mu^7 & -\frac{2}{\sqrt{3}}G_\mu^8 \end{pmatrix} \quad (6.17)$$

The matrix  $\hat{G}_\mu$  shows 2 gauge bosons in the diagonal sector and 6 gauge bosons in the off-diagonal sector. These gauge bosons associated to each field  $G_\mu^a(x)$  are called **gluons**.

## 6.2.1 The QCD Yang-Mills Lagrangian

Schwartz sec. 26.1; Mandl sec. 11.2, 11.3.1

Matter fields in QCD describe particles called **quarks**. Quark's fields are 3-dim complex Dirac fields, that can be represented as

$$\Psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix} = \begin{pmatrix} \psi_R \\ \psi_G \\ \psi_B \end{pmatrix}$$

where components of this fields are the three possible "color states" called respectively **red** ( $R$ ), **green** ( $G$ ), **blue** ( $B$ ) quarks. The Dirac Lagrangian takes the form

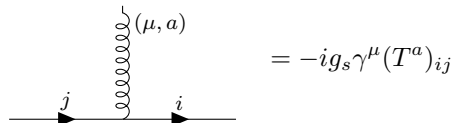
$$\mathcal{L}_D = \bar{\Psi}(i\not{D} - m)\Psi = \bar{\Psi}(i\not{\partial} - m)\Psi - g_s \bar{\Psi}\gamma^\mu \hat{G}_\mu \Psi \quad (6.18)$$

### Gluons couplings with quarks

Let's consider the interacting part of eq. (6.18):

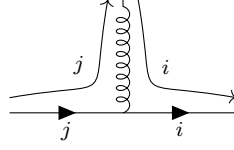
$$\mathcal{L}_{int} = -g_s \bar{\Psi}\gamma^\mu \hat{G}_\mu \Psi = -g_s \bar{\psi}_i (\hat{G}_\mu)_{ij} \gamma^\mu \psi_j \quad (6.19)$$

where in the second step we wrote explicitly the components. We can immediately write down Feynman's rule for vertices in the form  $f\bar{f}g$  (where  $f$  indicate fermions and  $g$  the gluon):



Indexes  $i, j$  describes the color of external quarks, while indexes  $\mu$  and  $a$  are referred to the gluon field. In particular,  $\mu$  indicate the component of the gluon field and  $a$  indicate which gluon is involved in the process.

When we consider eq. (6.19) with eq. (6.17) we notice that colors of quarks attached to each vertex define which gluons can be involved in the process. For example, if incoming and outgoing quarks has same color  $i = j$ , then only gluons in the diagonal sector of eq. (6.17) are involved, namely  $G_\mu^3$  and  $G_\mu^8$ . Differently, if I take  $i = 1$  and  $j = 2$  only gluons  $G_\mu^1$  and  $G_\mu^2$  are involved. We can interpret this feature of the theory as the fact gluons carries colors. They have to carry out the color of the incoming quark and provide the color of the outgoing gluon. This (heuristic) description of the interaction between gluons and quarks is here represented pictorially:



### Gluon propagators and gluon self-interactions

The Feynman propagator for the gluon field takes the following form

$$\begin{array}{c} (\mu, a) \\ \text{~~~~~} \\ \text{~~~~~} \end{array} \begin{array}{c} (\nu, b) \\ \text{~~~~~} \\ \text{~~~~~} \end{array} = D_{\mu\nu}^{ab}(k) = -\frac{i}{k^2} \left( g_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2} \right) \delta_{ab} \quad (6.20)$$

where the term  $(1 - \xi)$  is the gauge fixing term needed for the quantization of the field. When we consider amplitudes we can choose  $\xi = 1$  in order to simplify computation. Again, indexes  $\mu, \nu$  indicate components of the gluon field, while indexes  $a, b$  indicate color carried by the gluon. We notice the absence of mass terms, since gauge symmetry requires massless gauge fields.

Let's consider 3 gluons interaction given by the gauge field kinetic term proportional to  $g_s$  (eq. (6.12b))

$$-ig_s \text{Tr} \left[ (\partial_\mu \hat{G}_\nu - \partial_\nu \hat{G}_\mu) [\hat{G}^\mu, \hat{G}^\nu] \right] = g_s f^{abc} (\partial_\mu G_\nu^a) G^{\mu, b} G^{\nu, c}$$

where we used eq. (6.16) to obtain the right side term. We shall prove the following Feynman rule for 3 gluons interactions

$$\begin{array}{c} (\mu, a) \\ \text{~~~~~} \\ \text{~~~~~} \end{array} \begin{array}{c} (\rho, c) \\ \text{~~~~~} \\ \text{~~~~~} \end{array} \begin{array}{c} (\nu, b) \\ \text{~~~~~} \\ \text{~~~~~} \end{array} = g_s f^{abc} (g_{\mu\nu}(p - k)_\rho - g_{\nu\rho}(k - q)_\mu + g_{\rho\mu}(q - p)_\nu) \quad (6.21)$$

#### Exercise 15: Proof of eq. (6.21)

Let's show how to derive the Feynman rule eq. (6.21). The interaction is given by the interaction Lagrangian

$$g_s f^{ijk} (\partial_\sigma G_\tau^i) G^{\sigma, j} G^{\tau, k}$$

Then we have

$$\begin{aligned} \langle 0 | -i \int d^4x \mathcal{H}^{\text{int}}(x) | (\mu, a, p), (\nu, b, k), (\rho, c, q) \rangle &= \\ = \langle 0 | ig_s f^{ijk} \int d^4x [(\partial_\sigma G_\tau^i) G^{\sigma, j} G^{\tau, k}]_x a^\dagger(\mu, a, p) a^\dagger(\nu, b, k) a^\dagger(\rho, c, q) | 0 \rangle \end{aligned} \quad (6.22)$$

Notice that I have  $3! = 6$  ways to associate these fields with outgoing gluon states. First consider the case where

- (i) the term  $(\partial_\sigma G_\tau^i)$  is applied to gluon  $(\mu, a, p)$ ;
- (ii) the term  $G^{\sigma, j}$  is applied to gluon  $(\nu, b, k)$ ;
- (iii) the term  $G^{\tau, k}$  is applied to gluon  $(\rho, c, q)$ .

In this case we can make following substitution into eq. (6.22)

$$(\partial_\sigma G_\tau^i) G^{\sigma, j} G^{\tau, k} \rightarrow (g_{\tau\mu'} \partial_\sigma G^{\mu', i}) (g^{\sigma\nu'} G_{\nu'}^j) (g^{\tau\rho'} G_{\rho'}^k)$$

The contribution to the amplitude is

$$ig_s f^{abc} (g_{\tau\mu} p_\sigma \varepsilon^{\mu,a}) (-ig^{\sigma\nu} \varepsilon_\nu^b) (g^{\tau\rho} \varepsilon_\rho^c)$$

Terms in the form  $\varepsilon^{\mu,a}$  are given by Feynman rules of incoming gluons, therefore must be omitted. We now have

$$g_s f^{abc} g_{\tau\mu} p_\sigma g_\nu^\sigma g_\rho^\tau = g_s f^{abc} g_{\mu\rho} p_\nu$$

This is the contribution given by only one of the six possible configurations. When we swap  $(\nu, b, k)$  and  $(\rho, c, q)$  the contribution is given by a simple exchange of indexes

$$g_s f^{acb} g_{\mu\nu} p_\rho = -ig_s f^{abc} g_{\mu\nu} p_\rho$$

Therefore the choice “the term  $(\partial_\sigma G_\tau^i)$  is applied to gluon  $(\mu, a, p)$ ” give a contribution

$$g_s f^{abc} (g_{\mu\rho} p_\nu - g_{\mu\nu} p_\rho)$$

Using cyclic permutations  $(\mu, a, p) \rightarrow (\nu, b, k) \rightarrow (\rho, c, q) \rightarrow (\mu, a, p)$  we can sum over all possible choices for  $(\partial_\sigma G_\tau^i)$  and finally obtain eq. (6.21).

Let's consider 4 gluons interaction given by the gauge field kinetic term proportional to  $g_s^2$  (eq. (6.12c))

$$\frac{g^2}{2} \text{Tr} [\hat{A}_\mu, \hat{A}_\nu] [\hat{A}^\mu, \hat{A}^\nu] = \frac{g^2}{4} f^{abc} f^{cde} G_\mu^a G_\nu^b G^{\mu,c} G^{\nu,d}$$

where we used eq. (6.16) to obtain the right side term. We shall prove the following Feynman rule for 4 gluons interactions

$$\begin{array}{ccc} \begin{array}{cc} (\mu, a) & (\rho, c) \\ \text{~~~~~} \diagdown & \diagup \text{~~~~~} \\ \text{~~~~~} \diagup & \diagdown \text{~~~~~} \\ (\nu, b) & (\sigma, d) \end{array} & = g_s^2 \{ f^{abc} f^{cde} (g_{\mu\rho} g_{\nu\sigma} - g_{\mu\sigma} g_{\nu\rho}) + \\ & + f^{abc} f^{cde} (g_{\mu\nu} g_{\rho\sigma} + g_{\mu\sigma} g_{\nu\rho}) + \\ & + f^{abc} f^{cde} (g_{\mu\nu} g_{\rho\sigma} - g_{\mu\rho} g_{\nu\sigma}) \} \end{array} \quad (6.23)$$

Notice that for 4 gluons interactions there is no dependence on the momenta of gauge particles.

## 6.3 Topics in QCD

Peskin sec. 17.1

Quantum chromodynamics is a non-Abelian gauge theory with gauge group  $SU(3)$ , and describes strong interactions between quarks and gluons.

Our current theoretical picture of the strong interactions began with the identification of the elementary fermions that make up the proton and other hadrons. As the properties of these fermions became better understood, the nature of their interactions became tightly constrained, in a way that led eventually to a unique candidate theory.

In 1963 Gell-Mann and Zweig proposed a model that explained the spectrum of strongly interacting particles in terms of elementary constituents called **quarks**. Mesons were expected to be quark-antiquark bound states. Indeed the lightest mesons have just the correct quantum numbers to justify the interpretation; they are spin-0 and spin-1 states of odd parity. Baryons were interpreted as bound states of three quarks. To explain the electric charges and other quantum numbers of hadrons, Gell-Mann and Zweig needed to assume three species of quarks, **up** ( $u$ ), **down** ( $d$ ), and **strange** ( $s$ ). Additional hadrons discovered since that time require the existence of three more species: **charm** ( $c$ ), **bottom** ( $b$ ), and **top** ( $t$ ). To make baryons with integer charges, the quark needed to be assigned fractional electric charge:  $+2/3$  for  $u, c, t$ , and  $-1/3$  for  $d, s, b$ . Then, for example, the proton would be a bound state of  $uud$ , while the neutron would be a bound state of  $udd$ . The six types of quarks are conventionally referred to as **flavors**.

Quark	up	down	charm	strange	top	bottom
Mass (GeV)	2.15	4.70	1270	93.5	163000	4180
E-M Charge	+2/3	-1/3	+2/3	-1/3	+2/3	-1/3

Despite the phenomenological success of the original quark model, it had two serious problems. First, despite considerable effort, free particles with fractional charge could not be found. Second, the spectrum of baryons required the assumption that the wave functions of the three quarks be totally symmetric under the interchange of the quark spin and flavour quantum numbers, contradicting the expectation that quarks, which must have spin 1/2, should obey Fermi statistics.

To reconcile the baryon spectrum with the spin-statistics theorem, it was proposed that quarks carry an additional, unobserved quantum number, called **color**, and that baryon wave functions must be totally antisymmetric in color quantum numbers. Then, if the quark wave functions are totally symmetric in spin and flavour, they are totally antisymmetric overall, in agreement with Fermi statistics. The simplest model of color would be to assign quarks to the fundamental representation of a new, internal  $SU(3)$  global symmetry.

Suppressing for a moment the spin and flavor quantum numbers, we can represent quarks by  $q_i$ , where  $i = 1, 2, 3$  is the color index. Thus quarks transform under the fundamental, or “3”, representation of the color  $SU(3)$  symmetry. Antiquarks,  $\bar{q}^i$ , transforms in the  $\bar{3}$  representation. In the inner product of a 3 and a  $\bar{3}$  is an invariant of  $SU(3)$ . One can also form a invariant by using the totally antisymmetric combination of three 3's,  $\varepsilon_{ijk}$ : this object is invariant under  $SU(3)$  transformations. Under the postulate that all hadrons wave functions must be invariant under  $SU(3)$  symmetry transformations, these two types of combinations are the only simple ones allowed:

$$\bar{q}^i q_i \quad \varepsilon^{ijk} q_i q_j q_k \quad \varepsilon_{ijk} \bar{q}^i \bar{q}^j \bar{q}^k$$

That is, the assumption that physical hadrons are singlets under color implies that the only possible light hadrons are the **mesons**  $\bar{q}^i q_i$ , **baryons**  $\varepsilon^{ijk} q_i q_j q_k$ , **antibaryons**  $\varepsilon_{ijk} \bar{q}^i \bar{q}^j \bar{q}^k$ . All these color-neutral bound states are called **hadrons**.

Like the original quark model, the color hypothesis was phenomenologically successful but raised additional questions: Why should quarks have this seemingly superfluous property, and what mechanism insures that all hadron wave functions are color singlets? The answer to these questions came from deep-inelastic scattering experiments and the ensuing search for a theory of parton binding with the property of asymptotic freedom. When it was discovered that non-Abelian gauge theories have this property, all that remained was to identify the correct gauge group, with the colors being the gauge quantum numbers of the quarks. This reasoning resulted in a model of the strong interaction as a system of quarks, of the various flavours, each assigned to the fundamental representation of the local gauge group  $SU(3)$ . The quanta of the  $SU(3)$  gauge field are called **gluons**, and the theory is known as Quantum Chromodynamics of QCD.

Wilson showed that for sufficiently strong coupling, QCD exhibits *confinement of color*: the only finite-energy asymptotic states of the theory are those that are singlets of color  $SU(3)$ . Thus the assumption that explains the spectrum of hadrons turns out to be a consequence of the non-Abelian gauge theory coupling to color.

The short-distance limit of QCD can be readily studied using Feynman diagrams. Here asymptotic freedom makes the coupling weak, and there is a sensible diagrammatic perturbation theory that begins from the model of free quarks and gluons.

### 6.3.1 QCD vs QED running constant: confinement and asymptotic freedom

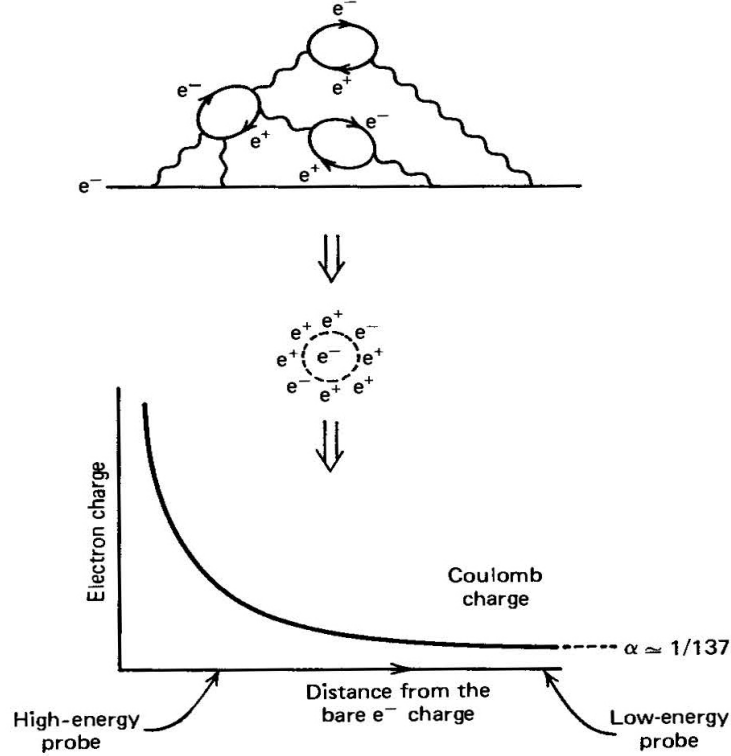
Halzen sec. 1.3, 7.8, 7.9

The existence of direct coupling between gluons in QCD has dramatic implications that become evident if one contrasts the effects of *charge screening* in both QED and QCD.

#### Charge screening in QED

In QED, the electron is not just an electron, but it can suddenly emit a photon, or it can emit a photon that subsequently annihilates into an electron-positron pair, and so on. Hence, any electron is surrounded

by  $e^-e^+$  pairs and, because opposite charges attract, the positrons will be preferentially closer to the electron. Therefore, the electron is surrounded by a cloud of charges which polarized in such a way that the positive charges are closer to the electron; the negative charge of the electron is thus screened, as shown in the next figure:



Suppose that we want to determine the charge of the electron by measuring the Coulomb force experienced by a test charge. The result will depend on where we place the test charge: when moving the test charge closer to the electron, we penetrate the cloud of positrons that screens the electron's charge. Therefore, the closer one approaches the electron, the larger is the charge one measures. In QFT the vacuum surrounding the electron has become a polarizable medium. The situation is analogous to that of a negative charge in a dielectric medium: the electron-positron pairs respond to the presence of the electron like the polarized molecules do in the dielectric. This effect is known as charge screening; as a result the “measured charge” depends on the distance one is probing the electron.

In the QED we discussed electron-proton scattering and we derived that this can be described using a Coulomb potential in the form

$$V(r) = \frac{\alpha}{r}$$

where  $\alpha_0$  is the usual E-M coupling constant. We seen that when we renormalize QED (compare for instance with eq. (4.4)) the charge is modified by vacuum polarization loop in the photon propagator. Indeed, more in general, for higher order we can describe the interaction in the renormalized theory through a modified coulomb potential, where  $\alpha$  is substituted by

$$\alpha_{EM}(s) = \frac{\alpha}{1 - \frac{\alpha}{3\pi} \log(s/\mu_0^2)}$$

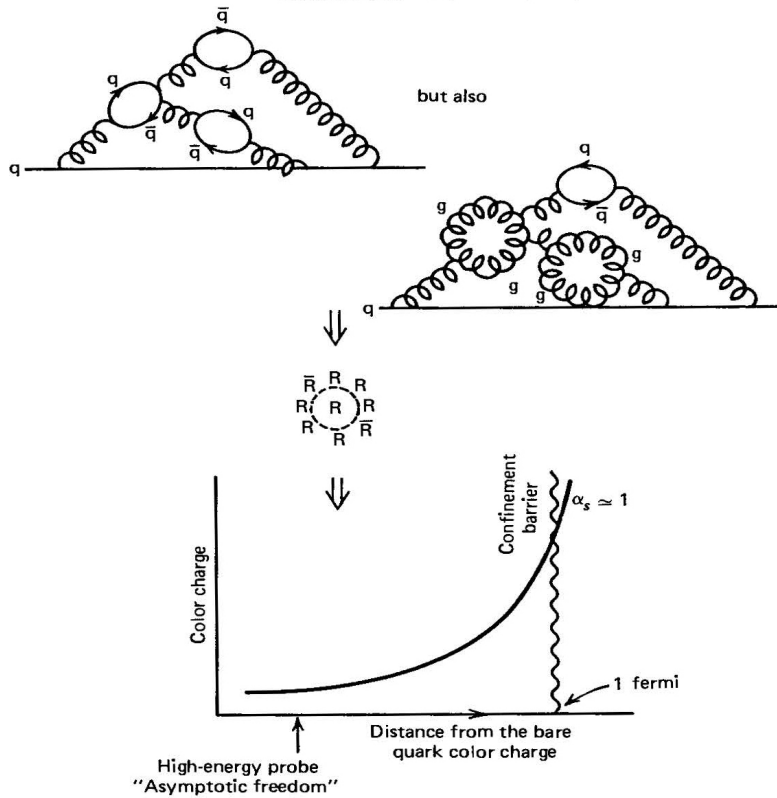
where  $\mu_0$  is some term given by renormalization called renormalization or reference momentum, and  $s$  is the Mandelstam variable associated to the momentum of the propagator.

The term  $\alpha_{EM}(s)$  is called **running coupling constant** since it depends on the energy of the photon, and describes how the effective charge depends on the separation of the two charged. Then, by summing part of all orders of perturbation theory, we have obtained the charge screening of electrodynamics. As  $s$  increases, the photon sees more and more charge until, at some astronomically large but finite  $s$ , the

coupling  $\alpha_{EM}(s)$  is infinite. such point is called **Landau pole** and corresponds usually to  $\sqrt{s} = 10^{286}\text{eV}$ . However, by inserting numerical values, we find that for all practically attainable  $s$ , the variation of  $\alpha_{EM}$  with  $s$  is extremely small;  $\alpha_{EM}$  increases from  $1/137$  very slowly as  $s$  increases. Of course, as  $s$  increases, other loops (formed, for example, by a  $\mu^+\mu^-$ -pair or a  $\bar{u}u$ -quark pair) will also contribute to the variation. The energy

### Confinement and asymptotic freedom in QCD

One can carry through the same calculation for the color charge of a quark. Color screening would be a carbon copy of charge screening if it were not for the new configurations involving gluons turning into pairs of gluons, as shown in next figure:



The gluons, themselves carriers of color, also spread out the effective color charge of the quark. It turns out that the additional diagrams reverse the familiar result of quantum electrodynamics: a red charge is preferentially surrounded by other red charges. We now repeat the measure made with the probe for color changes. By moving out test probe closer to the original red quark, the probe penetrates a sphere of predominantly red charge and the amount of red charge measured decreases. The resulting “antiscreening” effect is referred to as **asymptotic freedom**. Asymptotically, two red quarks interact (i.e. for very small separations) through color fields of reduced strength and approach a state where they behave as essentially free, noninteracting particles.

Doing explicit calculation in QCD, after we renormalized the theory, the running coupling term for strong interactions shows following dependence on  $s$  (the label  $s$  in  $\alpha_s$  indicate that this is the coupling for the strong interaction):

$$\alpha_s(s) = \frac{\alpha_s}{1 + \frac{\alpha_s}{12\pi} (33 - 2n_f) \log(s/\mu_0^2)}$$

where  $n_f$  is the number of quark flavours at energy  $s$  (in our world  $n_f \leq 6$ ). Only in a world with more than 16 quarks flavours is the sign of the coefficient the same as in QED. Then  $\alpha_s$  decreases with increasing  $s$  and therefore becomes small for short-distance interactions. We say that the theory is “**asymptotically free**”. One parameter,  $\mu_0$ , with the dimension of mass, remains as a relic of the renormalization. We see that at sufficiently low  $s$ , the effective coupling will become large. This implies that in such case

the perturbative approach doesn't work. It is customary to denote the  $s$  scale at which this happens by  $\Lambda_{QCD}^2$ . Typical scale of this value is  $\Lambda_{QCD} \simeq 200 \text{ MeV}$  and corresponds to a distance of  $\lambda \simeq 1 \text{ fm}$ .

For  $s$  values much larger than  $\Lambda_{QCD}^2$ , the effective coupling is small and perturbative description in terms of quarks and gluons interacting weakly makes sense. For  $s$  of order  $\Lambda_{QCD}^2$ , we cannot make such a picture, since quarks and gluons will arrange themselves into strongly bound cluster, namely, hadrons. Thus, we can think of  $\Lambda_{QCD}$  as marking the boundary between a world of quasi-free quarks and gluons, and the world of pions, protons, and so on.

### 6.3.2 $e^+e^- \rightarrow \text{hadrons production}$

Peskin 5.1; Halzen, sec. 11.1

The simplest reaction involving quarks is the production of quarks pairs in  $e^+e^-$  annihilation, which at most elementary level is a straightforward generalization of the process  $e^+e^- \rightarrow f^+f^-$ . Indeed, according to QCD, the simplest  $e^+e^-$  process that ends in hadrons is

$$e^+e^- \rightarrow q\bar{q}$$

the annihilation of an electron and a positron, through a virtual photon, into a quark-antiquark pair. After they are created, the quarks interact with one another through their strong forces, producing more quark pairs. Eventually the quark and antiquarks combine to form some number of mesons and baryons.

To adapt the result we obtained for  $e^+e^- \rightarrow f^+f^-$  scattering in sec. 3.4 to handle the case of quarks, we must make three modifications:

- (i) replace the  $f$  charge with the quark charge;
- (ii) count each quark three times, one for each color;
- (iii) include the effects of the strong interactions of the produced quark and antiquark

Counting colors is necessary because experiments measure only the total cross section for production of all three colors (since hadrons are detected colorless). Surely the third modification is extremely difficult to make, but in high-energy limit, the effect of the strong interaction on the quark production process can be completely neglected, thanks to asymptotic freedom of the theory. The only effect of the strong interaction in this limit is to dress up the final-state quarks into bunches of hadrons.

Starting from the total scattering amplitude in the UR regime for the  $e^+e^- \rightarrow f^+f^-$  given by eq. (3.4) (we consider only first order terms):

$$\sigma_{e^+e^- \rightarrow f^+f^-} = \frac{4\pi\alpha_{EM}^2}{3s}$$

it is conventional to define, in the asymptotic limit

$$R = \frac{\sigma_{e^+e^- \rightarrow \text{hadrons}}}{\sigma_{e^+e^- \rightarrow f^+f^-}} = N_c \sum_q (q_q^2)$$

where  $N_c$  is the number of colors for quarks (in our theory  $N_c = 3$ ) and the sum runs over all quarks whose masses are smaller than  $E_{cm}/2$  and  $q_q$  is the fractional charge of these quarks (respect to the electron one). For instance

$$\begin{aligned} R &= 3 \left( \left( \frac{2}{3} \right)^2 + \left( \frac{1}{3} \right)^2 + \left( \frac{1}{3} \right)^2 \right) = 2 && \text{for } u, d, s \\ &= 2 + 3 \left( \frac{2}{3} \right)^2 = \frac{10}{3} && \text{for } u, d, s, c \\ &= \frac{10}{3} + 3 \left( \frac{1}{3} \right)^2 = \frac{11}{3} && \text{for } u, d, s, c, b \end{aligned}$$

In fig. 6.1 these prediction are compared to the measurements of  $R$ . The value  $R \simeq 2$  is apparent below the threshold for producing charmed particles at  $\sqrt{s} = 2(m_c + m_u) \simeq 3.7 \text{ GeV}$ . Above the threshold for

all five quark flavors ( $\sqrt{s} > m_b \simeq 10\text{GeV}$ ),  $R \simeq \frac{11}{3}$  as predicted. These measurements confirm that there are three color of quarks, since  $R = \frac{11}{3}$  would be reduced by a factor 3 if there was only one color.

When  $E_{cm}/2$  is in the vicinity of one of quark masses, the strong interaction cause large deviation from this formula. The most dramatic such effect is the appearance of bound states just below  $E_{cm} = 2m_q$ , manifested as very sharp spikes in the cross section.

These results for  $R$  will be modified when we take into account correction due to the running coupling. In particular, the result we obtained holds only at leading order.

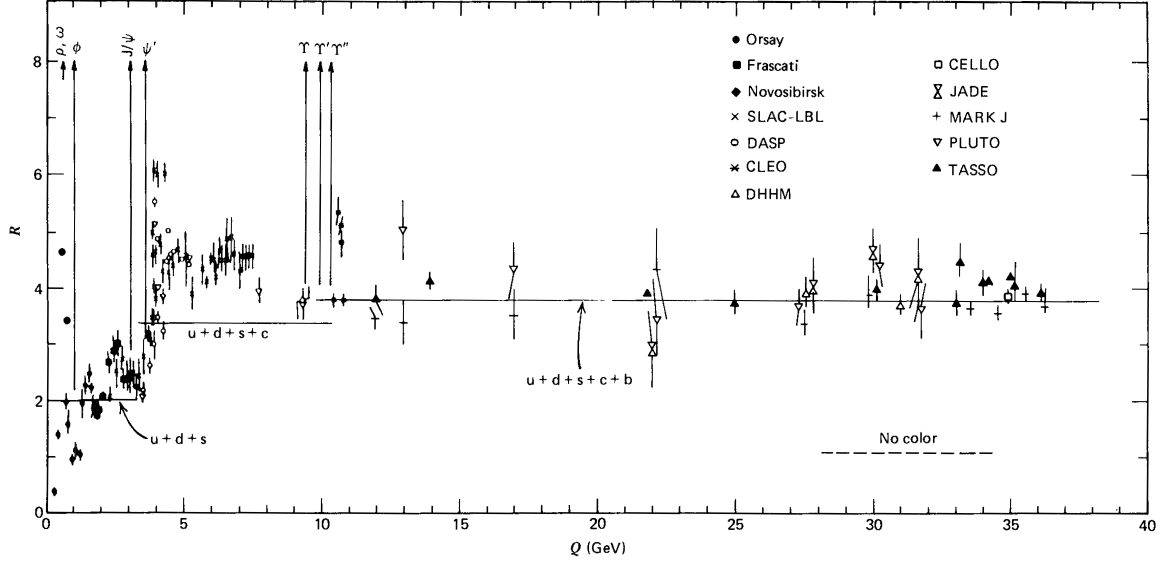


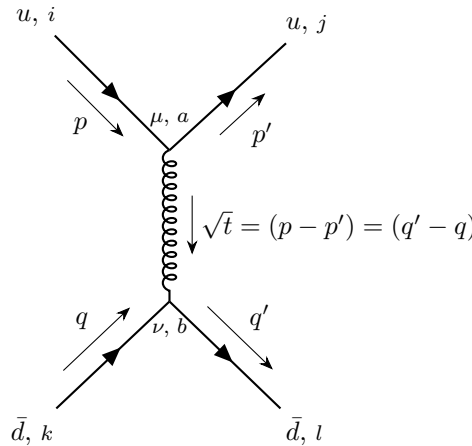
Figure 6.1: Ratio  $R$  as a function of the total  $e^-e^+$  center-of-mass energy. (The sharp peaks correspond to the production of narrow resonance just below or near the flavor thresholds)

### 6.3.3 Quark-quark scattering hard process and QCD potential

Schwartz, sec. 26.2; Peskin, sec. 17.4

To get a feeling for how QCD differs from QED, we examine the tree-level potential between quarks. In QED, we saw in sec.3.6 that the potential could be extracted from Coulomb scattering  $e^-p^+ \rightarrow e^-p^+$ , which has a contributions from  $t$ -channel photons. Let us now consider the process  $u\bar{d} \rightarrow u\bar{d}$  where  $u$  and  $d$  are up and down quarks. These are Dirac spinors transforming in the fundamental representation of QCD. The sign and the strength of the potential extracted from the  $t$  channel exchange will tell us whether the transition is attractive or repulsive, and thus whether bound states can exist.

The tree-level diagram for elastic  $u\bar{d} \rightarrow u\bar{d}$  scattering in QCD is





where labels  $a, b$  and  $i, j, k, l$  indicate colors respectively of quarks and gluons

Notice that a similar diagram, with a photon instead of the gluon, is given by QED, but comparing coupling constant for typical values of energies we see that QED contributions can be neglected

$$\alpha_s(s) \simeq 0.12 \gg \alpha_{EM}(s) \simeq 0.007$$

The Ferynman amplitude for this QCD process is given by

$$\mathcal{M} = (ig_s)^2 (\bar{u}_j(p') \gamma^\mu u_i(p)) (v_k(q) \gamma^\nu v_l(q')) \sum_{ab} (T^a)_{ji} (T^b)_{kl} D_{\mu\nu}^{ab}(t)$$

And using eq. (6.20) with  $\xi = 1$  we obtain

$$\mathcal{M} = \frac{ig_s^2}{t} \sum_a (T^a)_{ji} (T^a)_{kl} (\bar{u}_j(p') \gamma^\mu u_i(p)) (v_k(q) \gamma^\nu v_l(q'))$$

Notice that  $\frac{1}{t}$  behaviour of the amplitude is the same as for process  $e^+e^- \rightarrow e^+e^-$  in QED, therefore also the interaction given by gluon is Coulomb like.

To understand the  $(T^a)_{ji} (T^b)_{kl}$  coefficient, let us consider different choices for the color of the incoming  $u$  and  $\bar{d}$  quarks. For example, suppose the incoming  $u$  is red and the incoming  $\bar{d}$  is anti-green ( $i = 1, k = 2$ ). Then, by explicit computation using Gell-Mann matrices we obtain

$$\sum_a (T^a)_{j1} (T^a)_{2l} = \begin{pmatrix} 0 & 1/6 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}_{jl} = -\frac{1}{6} \delta_{j1} \delta_{2l}$$

so that  $j = 1$  and  $l = 2$ . That is, the final state must also have a red quark and a green anti-quark. Of course, just because color is conserved. Since  $\sum_a (T^a)_{11} (T^a)_{22} = -\frac{1}{6}$ , the  $t$ -channel diagram has the opposite sign from the  $e^-p^+$  case and the potential is therefore repulsive (as it would be for say  $e^+p^+$  scattering). Indeed it is impossible to have stable colored states since in this case potential is repulsive.

On the other hand, suppose both  $u$  is red and  $\bar{d}$  is anti-red. Then the initial state is a color singlet (color and anti-color cancel each other). In this case  $i = 1$  and  $k = 1$  and

$$\sum_a (T^a)_{j1} (T^a)_{2l} = \begin{pmatrix} 1/3 & 0 & 0 \\ 0 & 1/2 & 0 \\ 0 & 0 & 1/2 \end{pmatrix}_{jl} \quad (6.24)$$

so the final state can be red/anti-red, blue/anti-blue or green/anti-green. In any of these cases, the color factor will have a positive coefficient and the potential will be attractive.

To get the overall strength of the potential, let's consider the most general color singlet state:

$$|1\rangle = \frac{1}{\sqrt{3}} (|r\bar{r}\rangle + |b\bar{b}\rangle + |g\bar{g}\rangle)$$

Summing over all the possibilities for  $|r\bar{r}\rangle \rightarrow$  anything gives a factor  $4/3$  from the trace of eq. (6.24).

We then get three times this (for the three collors) multiplied by the normalization  $\left(\frac{1}{\sqrt{3}}\right)^2$ . Therefore the potentia is

$$V_s(r) = -\frac{N_c}{(\sqrt{3})^2} \underbrace{\text{Tr} \left[ \sum_a T^a T^a \right]}_{4/3} \frac{\alpha_s}{r} = -\frac{4}{3} \frac{\alpha_s}{r}$$

Then only the color singlet channel is attractive is consistent with the observational fact we do not find colored mesons in nature.

Let's compute the total scattering amplitude in the high energy limit. The unpolarized Feyman amplitude must average also over all possible color of initial states:

$$\begin{aligned} |\overline{\mathcal{M}}|^2 &= \left(\frac{1}{3}\right)^2 \sum_{\text{color}} \left(\frac{1}{2}\right)^2 \sum_{\text{spin}} \left(\frac{g_s^2}{t}\right)^2 |(u\text{-current})(\bar{d}\text{-current})|^2 \sum_a (T^a)_{ji} (T^a)_{kl} |^2 \\ &= \frac{1}{4} \left(\frac{g_s^2}{t}\right)^2 \text{Tr}[u\text{-current}] \text{Tr}[\bar{d}\text{-current}] \frac{1}{9} \sum_{ab} \sum_{ij} (T^a)_{ji} (T^b)_{ij} \sum_{kl} (T^a)_{kl} (T^b)_{lk} \end{aligned}$$

where we used  $(T^a)_{ij}^* = (T^a)_{ji}$ . We can simplify calculation using the result we already know from QED process  $e^- \mu^+ \rightarrow e^- \mu^+$

$$\begin{aligned} |\overline{\mathcal{M}}|^2 &= \frac{1}{9} \sum_{ab} \text{Tr}[T^a T^b] \text{Tr}[T^a T^b] \left( \frac{\alpha_s}{\alpha_{EM}} \right)^2 |\overline{\mathcal{M}}|_{e^- \mu^+}^2 \\ &= \frac{1}{9} \sum_{ab} \left( \frac{1}{2} \delta_{ab} \right) \left( \frac{1}{2} \delta_{ab} \right) \left( \frac{\alpha_s}{\alpha_{EM}} \right)^2 |\overline{\mathcal{M}}|_{e^- \mu^+}^2 \\ &= \frac{1}{9} \frac{8}{4} \left( \frac{\alpha_s}{\alpha_{EM}} \right)^2 |\overline{\mathcal{M}}|_{e^- \mu^+}^2 = \frac{2}{9} \left( \frac{\alpha_s}{\alpha_{EM}} \right)^2 |\overline{\mathcal{M}}|_{e^- \mu^+}^2 \end{aligned}$$

Finally (recall that we are working in the high energy limit, i.e.  $m_f = 0$ ):

$$|\overline{\mathcal{M}}|_{u\bar{d}}^2 = \frac{64\pi^2}{9} \alpha_s^2 \left( \frac{s^2 + u^2}{t^2} \right)$$

and

$$\left( \frac{d\overline{\sigma}}{d\Omega} \right)_{u\bar{d}} = \frac{\alpha_s^2}{9t} \left( \frac{s^2 + u^2}{t^2} \right)$$

## Chapter 7

# Spontaneous Symmetry Breaking (SSB)

Spontaneous symmetry breaking is one of the most important concepts in quantum field theory. The distinction between spontaneous and explicit symmetry breaking is that with spontaneous symmetry breaking the Lagrangian is invariant under the symmetry, but the ground state of the theory is not. With explicit symmetry breaking, there was never an exact symmetry to begin with. One usually associates spontaneous symmetry breaking with phase transitions. The amazing thing about spontaneous symmetry breaking is that one can say a tremendous amount about the broken phase with an effective theory whose input is the symmetry that was broken - no detailed microscopic description is needed.

A simple example is given by a ferromagnet. The action governing its microscopic dynamic is invariant under spatial rotations. Above a critical temperature a ferromagnet has a unique ground state, with zero magnetization. Of course this state respects the rotational invariance, since on it the expectation value of the magnetization  $\mathbf{M}$  vanishes, and therefore no preferred direction is selected. Below a critical temperature instead it becomes thermodynamically favourable to develop a non-zero magnetization, and in this new vacuum  $\mathbf{M} \neq 0$  and the full  $SO(3)$  rotational symmetry is broken to the subgroup  $SO(2)$  of rotations around the magnetization axis.

The original invariance of the Lagrangian is now reflected in the fact that, instead of a single vacuum state, there is a whole family of vacua related to each other by rotations, since the magnetization can in principle develop in any direction. However, the system will choose one of these states as its vacuum state. The symmetry is then said to be *spontaneously broken* by the choice of a vacuum.

For  $T < T_C$  it is helpful to write  $\mathbf{M}(x) = \mu + \sigma(x)$ , where  $\mu$  is the expectation value of  $\mathbf{M}$  in the vacuum ( $T = 0$ ) and  $\sigma$  are the excitations around this minimum. The field  $\sigma(x)$  encodes spin waves whose quanta are called Goldstone bosons.

For continuous global symmetry, such as  $\phi(x) \rightarrow e^{i\alpha}\phi(x)$ , the breaking of the symmetry automatically implies the existence of long-range correlations and associated massless particles. This is Goldstone's theorem, and the massless particles are called Goldstone bosons. If the symmetry is gauged, as for  $\phi(x) \rightarrow \phi^{i\alpha(x)}\phi(x)$  with an associated massless gauge field  $A_\mu(x)$ , then in the broken phase the gauge boson will acquire a mass. This is known as the Higgs mechanism, and allows to describe Weak interactions (which are mediated through massive bosons  $W^\pm$  and  $Z$ ) as a spontaneously broken (renormalizable) gauge theory (an explicit presence of a mass term in the Lagrangian broke the gauge symmetry, since any term in the form  $M^2 A_\mu A^\mu$  is not gauge invariant because of the transformation rule for gauge fields).

## 7.1 Spontaneous Symmetry Breaking of global symmetries

Maggiore sec. 11.1-11.2; Peskin sec. 11.1; Schwartz sec. 28.1-28.2; Mandl sec. 18.1; Halzen sec. 14.6-14.7

### 7.1.1 The renormalizable complex scalar potential and the sign of the quadratic term $\mu^2$

The simplest example of a field theory exhibiting spontaneous symmetry breaking is the *Goldstone model*, i.e. a complex scalar theory with  $U(1)$  symmetry. The Lagrangian of this model is

$$\mathcal{L} = (\partial_\mu \phi^\dagger)(\partial^\mu \phi) - V(\phi^\dagger \phi) \quad \text{with} \quad V(\phi^\dagger \phi) = \lambda \left( \phi^\dagger \phi + \frac{\mu^2}{2} \right)^2$$

This theory has a global  $U(1)$  symmetry  $\phi(x) \rightarrow e^{i\alpha} \phi(x)$  for constant  $\alpha \in \mathbb{R}$ . The Hamiltonian for this theory is

$$H = \int d^3x \{ |\pi|^2 + |\nabla \phi|^2 + V(\phi^\dagger \phi) \}$$

hence we require  $\lambda > 0$  in such a way that the energy is bounded from below. We can see that no conditions is required for the parameter  $\mu$ , since in any case the potential is well defined. The Lagrangian can also be written in terms of two real fields  $\phi_1$  and  $\phi_2$  such that  $\phi = (\phi_1 + i\phi_2)/\sqrt{2}$ :

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi_1)^2 + \frac{1}{2}(\partial_\mu \phi_2)^2 - V(\phi_1^2 + \phi_2^2) \quad \text{with} \quad V(\phi_1^2 + \phi_2^2) = \frac{\lambda}{4} (\phi_1^2 + \phi_2^2 + \mu^2)^2$$

In this representation the symmetry of the Lagrangian is  $SO(2) \simeq U(1)$ .

Let us discuss the proprieties of the ground state (vacuum) of the system. We define the vacuum expectation values as

$$\langle \phi \rangle_0 = \langle 0 | \phi | 0 \rangle = \phi_0$$

where  $\phi$  is a quantum field (i.e. an operator) considered in the vacuum configuration while  $\phi_0$  is a classical field. The ground state must satisfy following requirements:

- (1)  $\phi_0$  is a constant field configuration:  $\partial_\mu \phi_0(x, t) = 0$  (we are asking that the kinetic term in the Lagrangian is zero for  $\phi_0$ ). Hence the vacuum has no energy and no 3-momentum:  $p^\mu = 0$ .
- (2)  $\phi_0$  must be a minimum for the potential  $V$ . Then  $\phi_0$  is a stationary point for  $V(\phi^\dagger \phi)$ :

$$\begin{cases} \left. \frac{\partial V}{\partial \phi} \right|_0 = 2\phi_0 \left( \phi_0^\dagger \phi_0 + \frac{\mu^2}{2} \right) = 0 \\ \left. \frac{\partial V}{\partial \phi^*} \right|_0 = 2\phi_0 \left( \phi_0^\dagger \phi_0 + \frac{\mu^2}{2} \right) = 0 \end{cases}$$

Moreover we want the Hessian of  $V$  (respect to  $\phi$  and  $\phi^\dagger$ ) to be positive definite in  $\phi_0$ . (Notice that for non-interacting theories (2) is already satisfied when (1) is satisfied).

Two different situations occur, depending on the sign of  $\mu^2$ :

- (a)  $\mu^2 > 0$ . In this case two terms in  $V$  are positive definite. In this case the only minima of the potential is given by  $\phi_0 = \phi_0^\dagger = 0$  and the vacuum state is non-degenerate. The term  $\lambda|\phi|^4$  will be treat by perturbation theory, and in the quantized theory represents a self-interaction of the particles. The field  $\phi$  represent a charged field for a particle with mass  $m_\phi^2 = \lambda\mu^2$ .
- (b)  $\mu^2 < 0$ . In this case for  $\phi(x) = 0$  the Hessian is negative definite, and the minima is given by a whole circle

$$\phi(x) = \phi_0 = \left( \frac{-\mu^2}{2\lambda} \right)^{1/2} e^{i\theta} \quad 0 \leq \theta < 2\pi$$

where the phase angle  $\theta$  defines a direction in the complex  $\phi$ -plane. We see that the state of lowest energy, the vacuum state, is not unique in this case. This arbitrariness in the direction  $\theta$  is analogous to that in the direction of the magnetization  $\mathbf{M}$  of a ferromagnet. Spontaneous symmetry breaking will occur if we choose one particular direction  $\theta$  to represent the vacuum ground state. Because of the invariance of the Lagrangian density under the global phase transformations the value of  $\theta$  chosen is not significant and we shall take  $\theta = 0$ , so that

$$\phi_0 = \left( \frac{-\mu^2}{2\lambda} \right)^{1/2} = \frac{v}{\sqrt{2}} \quad (> 0)$$

is purely real.

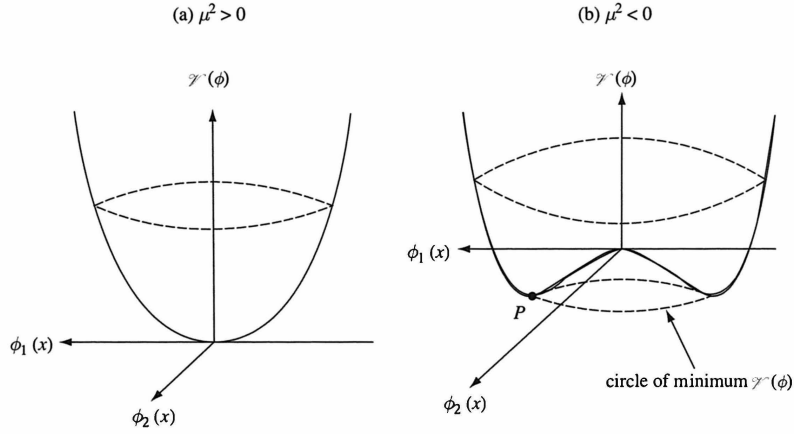


Figure 7.1: Representation of  $V(\phi_1^2 + \phi_2^2)$  for different signs of  $\mu^2$

### 7.1.2 The minimum of the theory and the choice of the vacuum configuration: the $U(1)$ example

Suppose

$$\phi_0 = \frac{v}{\sqrt{2}} \quad v > 0$$

Let's redefine our complex field introducing two real fields  $\sigma(x)$  and  $\pi(x)$ :

$$\phi(x) = \frac{\sigma(x)}{\sqrt{2}} e^{\frac{i\pi(x)}{v}}$$

then the Lagrangian becomes

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \sigma)^2 + \frac{\sigma^2}{2v^2}(\partial_\mu \pi)^2 - V(\sigma, \pi)$$

where

$$V(\sigma, \pi) = \frac{\lambda}{4}(\sigma^2 - v^2)^2$$

The first order derivatives of this Lagrangian is given by

$$\begin{cases} \left. \frac{\partial V}{\partial \sigma} \right|_0 = \frac{\lambda}{2} \sigma_0 (\sigma_0^2 - v^2) \\ \left. \frac{\partial V}{\partial \pi} \right|_0 = 0 \end{cases}$$

hence the vacuum state is given by  $\sigma_0 = v$  ( $\sigma_0 = 0$  corresponds to negative definite Hessian), no condition over  $\pi(x)$  is required. In order to write explicitly fluctuations about the vacuum state, let's define the field  $\tilde{\sigma}(x)$  as follows

$$\sigma(x) = \tilde{\sigma}(x) + v$$

in such a way the expected value of  $\tilde{\sigma}(x)$  (i.e. the fluctuation) in the vacuum is  $\langle \tilde{\sigma} \rangle_0 = 0$ . The Lagrangian can be written in terms of fields  $\tilde{\sigma}$  and  $\pi$ :

$$\mathcal{L}_{\text{SSB}} = \frac{1}{2}(\partial_\mu \tilde{\sigma})^2 - \frac{(2\lambda v^2)}{2} \tilde{\sigma}^2 - \lambda v \tilde{\sigma}^3 - \frac{\lambda}{4} \tilde{\sigma}^4 + \frac{1}{2}(\partial_\mu \pi)^2 + \left( \frac{2v\tilde{\sigma} + \tilde{\sigma}^2}{2} \right) (\partial_\mu \pi)^2 \quad (7.1)$$

This Lagrangian shows kinetic terms for both  $\tilde{\sigma}$  and  $\pi$ , a massive term only for  $\tilde{\sigma}$ :

$$\begin{cases} m_{\tilde{\sigma}}^2 = 2\lambda v^2 \\ m_\pi^2 = 0 \end{cases}$$

Lot of new interactions appears, depending on  $\tilde{\sigma}$  and  $\partial_\mu \pi$ . Notice that the fact that  $\pi$  has only derivative interactions is a typical consequence of SSB. Anyhow, this is not the most general Lagrangian depending on  $\tilde{\sigma}$  and  $\pi$ , indeed it depends only on 2 parameters  $\lambda$  and  $v^2$ , and self-interacting couplings

$$d_3 := \lambda v \quad \text{and} \quad d_4 := \frac{\lambda}{4}$$

have a relation with the mass of the real scalar field  $\tilde{\sigma}$ .

Notice that eq. (7.1) shows a hidden/residual symmetry called “shift symmetry” given by

$$\pi(x) \longrightarrow \pi(x) + \alpha \quad \text{for} \quad \alpha \in \mathbb{R}$$

We can heuristically interpret this symmetry as a redefinition of the field  $\phi$  by a phase  $e^{i\alpha}$ . This is a  $U(1)$  symmetry hidden in the SSB, inherited by  $\pi$  from the original  $U(1)$  symmetry of  $\phi$ .

### 7.1.3 The Nambu-Goldstone bosons and the Goldstone theorem

The example in the previous section is a particular case of a general theorem, the Goldstone theorem, which states that, given a field theory which is Lorentz invariant, local, and has a Hilbert space with a positive definite scalar product, if a continuous global symmetry is spontaneously broken, then in the expansion around the symmetry-breaking vacuum there appears a massless particle for each generator that breaks the symmetry. This particle is called a Goldstone (or Nambu-Goldstone) particle. In the previous example the particle was given by the field  $\pi(x)$ .

The emergence of massless particles corresponds to the possibility of moving, in field space, in the direction of the manifold of vacua. The dimensionality of the manifold of vacua is equal to the number of generators which break the symmetry. In fact, setting the vacuum energy to zero, by definition we have  $H|0\rangle = 0$ . Since  $T^a$  is the generator of a symmetry transformation, it satisfies  $[T^a, H] = 0$  and therefore

$$H(T^a|0\rangle) = T^a H|0\rangle = 0$$

So, if  $T^a|0\rangle \neq 0$  (and if it is not proportional to  $|0\rangle$  itself) we have found a new state with the minimum energy, i.e. another vacuum state. This is the origin of the fact that we have a Goldstone particle for each generator which breaks the symmetry.

#### Goldstone theorem

Let's consider a global group of symmetries (compact)  $G$ , with dimension  $d_G$ . Let's define a field  $\phi$  in the (real)  $n$ -th dimensional representation of  $G$ :  $\phi = (\phi_1, \dots, \phi_n)^T$ . Consider a real Lagrangian for  $\phi$ :

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi^T)(\partial^\mu \phi) - V(\phi^T \phi)$$

with a non-trivial ground state  $\langle \phi \rangle_0 = \mathbf{v} \neq 0$ , in particular

$$\left. \frac{\partial V}{\partial \phi_i} \right|_{\langle \phi_i \rangle = v_i} = 0$$

The choice of a vacuum state spontaneously broke down the symmetry group  $G$  to a subgroup  $H$ , that describes the *residual global symmetry*, with  $\dim H = d_H$ . The vacuum is then invariant under  $H$ , but not under the remaining elements of  $G$ , which are denoted as a *coset* and written as  $G/H$ . The coset is not a subgroup of  $G$  (for example, it does not contain the identity element, moreover in general do not have closure property).

In particular we can consider  $N$  generators of  $G$ ,  $\{T^a\} = \{X^{\hat{a}}, Y^{\bar{a}}\}$ , where  $a = 1, \dots, d_G$ ,  $\bar{a} = 1, \dots, d_H$  and  $\hat{a} = 1, \dots, d_G - d_H$ . The set  $\{Y^{\bar{a}}\}$  is given by the *unbroken generators*

$$Y^{\bar{a}} \mathbf{v} = 0$$

and generates the group  $H$ . Meanwhile,  $\{X^{\hat{a}}\}$  is given by *broken generators*

$$X^{\hat{a}} \mathbf{v} \neq 0$$

and generates the coset  $G/H$ .

For any broken generator corresponds a massless particle in the lagrangian

$$\pi_{\hat{a}}(x) \quad \hat{a} = 1, \dots, d_G - d_H$$

and all these massless particles are called *Goldstone bosons*.

*Proof:*

Consider an infinitesimal transformation of the original symmetry of the Lagrangian

$$\phi' = \phi + i\hat{\alpha}\phi \quad \longleftrightarrow \quad \phi'_i = \phi_i + i\alpha_a T_{ij}^a \phi_j$$

Under such a transformation the variation of the potential is zero because of the symmetry of the Lagrangian:

$$\delta V(\phi) = \frac{\partial V}{\partial \phi_i} \delta \phi_i = i\alpha_a \frac{\partial V}{\partial \phi_i} T_{ik}^a \phi_k = 0$$

Let's derive with respect to  $\phi_j$ :

$$i\alpha_a \left\{ \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} T_{ik}^a \phi_k + \frac{\partial V}{\partial \phi_j} T_{ij}^a \right\} = 0$$

And for  $\phi = \phi_0$ , so that  $\langle \phi \rangle_0 = \mathbf{v}$ , the second term in the l.h.s. of the previous equation vanishes since  $\phi_0$  is a minimum of  $V$  and since the equality must holds for any symmetry transformation (i.e. for each  $\hat{a}$ ) we obtain

$$\left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\langle \phi_i \rangle_0 = v_i} T_{ik}^a v_k = 0 \quad \forall a = 1, \dots, d_G$$

I define the mass term  $M_{ij}^2$  as the coefficient of the quadratic term in the expansion of  $V(\phi)$  about the vacuum state:

$$M_{ij}^2 := \left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\langle \phi_i \rangle_0}$$

and

$$w_i^a := T_{ij}^a v_j$$

Then I obtain the following condition:

$$M^2 w^a = 0$$

hence the matrix  $M$  has some zero eigenvalues for some eigenvectors  $w^a$ . If we distinguish between eigenvectors of the zero eigenvalue and other eigenvectors we obtain the distinction between broken and unbroken generators described in the statement of the theorem:

$$W^{\bar{a}} = Y^{\bar{a}} v = 0 \quad \text{and} \quad W^{\hat{a}} = X^{\hat{a}} v \neq 0$$

with  $\bar{a} = 1, \dots, d_H$  and  $\hat{a} = 1, \dots, d_G - d_H$ . Hence  $M^2$  has  $d_G - d_H$  zero eigenvectors.

The Goldstone bosons are given by

$$\pi^{\hat{a}} = i(X^{\hat{a}} \mathbf{v}) \phi = i(X^{\hat{a}} \mathbf{v})_i \phi_i$$

### Example 12: $SO(3) \rightarrow SO(2)$ SSB

Take three scalar fields  $\phi = (\phi_1, \phi_2, \phi_3)$  in the fundamental representation of  $SO(3)$ , with the Lagrangian

$$\mathcal{L} = \frac{1}{2}(\partial_\mu)^2 - V(\phi^\dagger \phi)$$

where

$$V(\phi^\dagger \phi) = \frac{\lambda}{4}(\phi^\dagger \phi - v^2)^2 \quad v^2 > 0$$

The minima condition is given by  $\phi^\dagger \phi = v^2$ . One possible choice of the vacuum is  $\mathbf{v} = (0, 0, v)$ , i.e.

$$\langle \phi_1 \rangle_0 = \langle \phi_2 \rangle_0 = 0 \quad \langle \phi_3 \rangle_v$$

The generators of  $SO(3)$  are

$$T_1 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad T_2 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad T_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

in particular  $T_1$  is the unbroken generator, while  $T_2$  and  $T_3$  are the broken generators:

$$T_1 \mathbf{v} = 0 \quad T_2 \mathbf{v} \neq 0 \quad T_3 \mathbf{v} \neq 0$$

Physical fields are the ones that in the vacuum state has zero expectation value, and by defining

$$\phi_3 = \tilde{\phi}_3 + v$$

we obtain that physical fields are  $\phi_1$ ,  $\phi_2$  and  $\tilde{\phi}_3$ .

The Goldstone bosons are

$$\pi_1 = \frac{i}{v}(T_2 \mathbf{v})\phi = \phi_1 \quad \pi_2 = \frac{i}{v}(T_3 \mathbf{v})\phi = \phi_2$$

which are massless fields, while expanding the Lagrangian in terms of physical fields we obtain that the mass of the particle described by  $\tilde{\phi}_3$  is  $m_3^2 = 2\lambda v$ .

Another example of SSB is given by the spontaneous symmetry breaking of  $SU(2) \times SU(2)$ , whose Goldstone bosons are the pions.

## 7.2 Spontaneous Symmetry Breaking of a local symmetry

Schwartz sec. 28.3-28.4; Peskin sec. 20.1, 21.1; Mandl sec. 18.2; Halzen sec. 14.8-14.9

What happens if we include both local gauge invariance and spontaneous symmetry breaking in the same theory? In this section we will find that this combination of ingredients leads to new possibilities for the construction of quantum field theory models. We will see that spontaneous symmetry breaking requires gauge vector bosons to acquire mass. However, the interactions of these massive bosons are still constrained by the underlying gauge symmetry, and these constraints can have observable consequences.

In elementary particle physics, the principal application of spontaneously broken local symmetry is in the currently accepted model of weak interactions. We will see that it makes a number of precise and successful predictions for weak interactions phenomena. Remarkably, this model also unifies the weak interactions with electromagnetism in a single larger gauge theory.

### 7.2.1 $U(1)$ gauge theory minimally coupled to a complex scalar field with a non-trivial scalar potential

As our first example, consider a complex scalar field couple both to itself and to an electromagnetic field:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (D_\mu\phi)^\dagger(D^\mu\phi) - V(\phi^\dagger\phi)$$

with  $D_\mu = \partial_\mu + igA_\mu$ . This Lagrangian is invariant under the local  $U(1)$  transformation

$$\phi(x) \rightarrow e^{ig\alpha(x)}\phi(x) \quad A_\mu(x) \rightarrow A_\mu - \partial_\mu\alpha(x)$$

which implies

$$(D_\mu\phi) \rightarrow e^{ig\alpha(x)}(D_\mu\phi)$$

If we choose the potential in  $\mathcal{L}$  to be of the form

$$V(\phi^\dagger\phi) = \lambda \left( \phi^\dagger\phi - \frac{v^2}{2} \right)^2$$

with  $v^2 > 0$ , the field  $\phi$  will acquire a vacuum expectation value and the  $U(1)$  global symmetry will be spontaneously broken.



### 7.2.2 The minimum of the theory and the choice of the vacuum configuration

Let us consider the requirements for the vacuum configurations. The Hamiltonian for this theory is

$$\mathcal{H} = \int d^3x \left\{ \frac{1}{2}(F_{0i})^2 + \frac{1}{4}(F_{ij})^2 + (D_0\phi)^\dagger(D_0\phi) + (D_i\phi)^\dagger(D_i\phi) + V(\phi^\dagger\phi) \right\} > E_{\min}$$

hence the vacuum configuration must satisfy the followings:

- (1)  $A^\mu$  is a pure gauge configuration  $A_\mu^0 = \partial_\mu \alpha(x)$ , this implies  $F_{0i} = F_{ij} = 0$
- (2)  $\phi_0$  is a constant field configuration:  $(D_\mu \phi_0) = 0$ , this is satisfied by taking  $\phi_0 = \frac{c}{\sqrt{2}} e^{ig\alpha}$  for some constant  $c$  and  $0 \leq \alpha < 2\pi$
- (3)  $V(\phi_0^\dagger \phi_0)$  is a minimum for  $V$ , hence  $\phi_0^* \phi_0 = \frac{v^2}{2}$

If we choose  $\alpha(x) = 0$  we obtain the vacuum state

$$\langle A_\mu \rangle_0 = A_\mu^0 = 0 \quad \langle \phi \rangle_0 = \phi_0 = \frac{v}{\sqrt{2}}$$

Let's define fluctuations about the vacuum configuration:

$$\phi(x) = \frac{v + \tilde{\sigma}}{\sqrt{2}} e^{i\pi(x)/v} \quad \rightarrow \quad \langle \sigma \rangle_0 = v \quad \langle \tilde{\sigma} \rangle_0 = 0$$

In terms of  $\tilde{\sigma}$  and  $\pi$  the Lagrangian density becomes

$$\begin{aligned} \mathcal{L}_{\text{SSB}} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{g^2}{2} (v + \tilde{\sigma})^2 \left( A_\mu + \frac{1}{gv} \partial_\mu \pi \right)^2 \\ & + \frac{1}{2} (\partial_\mu \tilde{\sigma}) (\partial^\mu \tilde{\sigma}) - \frac{1}{2} m_\sigma^2 \tilde{\sigma}^2 - \frac{\lambda}{4} (4v\tilde{\sigma}^3 + \tilde{\sigma}^4) \end{aligned} \quad (7.2)$$

where  $m_\sigma^2 = 2\lambda v^2$  is the mass term for  $\tilde{\sigma}$  field.

### 7.2.3 The Would Be Goldstone Boson (WBGB) and the longitudinal vector boson d.o.f.

The direct interpretation of eq. (7.2) leads to difficulties. It contains the equation for a real Klein-Gordon field with mass  $\sqrt{2\lambda v^2}$ . However, the mixed term  $A^\mu \partial_\mu \pi$  shows that  $A^\mu$  and  $\pi$  are not independent normal coordinates, and one cannot interpret  $A_\mu$  and  $\pi$  as massive vector bosons and a massless scalar boson respectively. This difficulty also shows up if we count degrees of freedom: two from the complex scalar field  $\phi$  and two from the real massless vector field  $A^\mu$  (i.e. for massless photons there are only two independent polarization states, the third is eliminated by gauge invariance). In  $\mathcal{L}_{\text{SSB}}$  the real scalar fields  $\tilde{\sigma}$  and  $\pi$  each represent one degree and the real massive vector field  $A^\mu$  contributes three degrees (corresponding to three independent polarization states), i.e. the SSB Lagrangian appears to have five degrees of freedom. Of course, a change of variables cannot alter the number of degrees of freedom of a system. We must conclude that the Lagrangian density contains an unphysical field which does not represent real particles and which can be eliminated.

The field  $\pi$  can be eliminated through an appropriate choice of a gauge, in particular we can define the following boson vector field:

$$B_\mu := A_\mu + \frac{1}{gv} (\partial_\mu \pi) \quad B_{\mu\nu} := \partial_\mu B_\nu - \partial_\nu B_\mu$$

and introducing this field in the Lagrangian we obtain

$$\begin{aligned} \mathcal{L}_{\text{SSB}} = & -\frac{1}{4} B_{\mu\nu} B^{\mu\nu} + \frac{1}{2} m_B^2 B^\mu B_\mu + \frac{g^2}{2} (2v\tilde{\sigma} + \tilde{\sigma}^2) B^\mu B_\mu \\ & + \frac{1}{2} (\partial_\mu \tilde{\sigma}) (\partial^\mu \tilde{\sigma}) - \frac{1}{2} m_\sigma^2 \tilde{\sigma}^2 - \frac{\lambda}{4} (4v\tilde{\sigma}^3 + \tilde{\sigma}^4) \end{aligned} \quad (7.3)$$

where we introduce the mass term for the  $B^\mu$  field  $m_B^2 := g^2 v^2$ . Hence the SSB of a gauge theory leads to the introduction to a massive vector boson, which “eats” the Goldstone boson  $\pi$  and the initial massless gauge field  $A^\mu$ . The theory of SSB for gauge theories the field  $\pi$  is called *Would Be Goldstone Boson (WBGB)*.

By giving a mass to  $A_\mu$  introducing  $B_\mu$ , we have clearly introduced a longitudinal polarization for the gauge field.

## 7.2.4 The Higgs mechanism

What we obtained is a remarkable result. Having started from a complex scalar field and a massless real vector field, we have ended up with the Lagrangian density for a real scalar field and a massive real vector field. The number of degrees of freedom is four in both cases. Of the two degrees of freedom of the complex field  $\phi$ , one has been taken up by the vector field  $A_\mu$ , which has become massive ( $B_\mu$ ) in the process; the other shows up as the real field  $\tilde{\sigma}$ .

This phenomenon by which a vector boson acquires mass without destroying the gauge invariance of the Lagrangian density is known as the *Higgs mechanism*, and the massive spin-0 boson associated with the field  $\tilde{\sigma}$  is called a Higgs boson or a Higgs scalar. The Higgs mechanism does not generate Goldstone bosons, in contrast to the spontaneous symmetry breaking of the global phase invariance of the Goldstone model. In essence, the field  $\pi$  which in the Goldstone model was associated with the massless Goldstone boson, has been eliminated by gauge invariance, and the degree of freedom of  $\pi$  has been transferred to the vector field  $B_\mu$ .

## 7.2.5 Feynman rules in the Unitary gauge and in the $R_\xi$ gauge

We notice that eq. (7.2) still exhibits a local  $U(1)$  symmetry:

$$\tilde{\sigma}(x) \rightarrow \tilde{\sigma}(x) \quad \pi(x) \rightarrow \pi(x) + gv\alpha(x) \quad A_\mu(x) \rightarrow A_\mu(x) - \partial_\mu\alpha(x)$$

even though in this case I have a mass term in the boson part of the Lagrangian. This is a consequence of the original gauge symmetry of the Lagrangian. Moreover, notice that  $\tilde{\sigma}$  is invariant under this transformation, so it is a singlet under  $U(1)$ .

The field  $B_\mu$  is a specific gauge choice for such a symmetry, called *unitary gauge*. In the unitary gauge the Lagrangian of a SSB theory shows explicitly the physical fields.

In some cases, especially if we want to quantize such a theory, we may use different gauge choices, introducing (non-unitary) gauge fixing terms in the Lagrangian, which corresponds to non-physical d.o.f. of the theory (e.g. the longitudinal polarization for the Maxwell field). In particular, the so called  $R_\xi$  gauge choice corresponds to the introduction of the following gauge fixing term in eq. (7.2):

$$\mathcal{L}_{\text{GF}} := -\frac{1}{2\xi}(\partial_\mu A^\mu - \xi(gv)\pi)^2 = -\frac{1}{2\xi}(\partial_\mu A^\mu)^2 - \frac{1}{2}(\xi g^2 v^2)\pi^2 + gv(\partial_\mu A^\mu)\pi \quad (7.4)$$

which corresponds to the introduction of a mass term  $m_\pi^2 = \xi^2 g^2 v^2 = \xi^2 m_B^2$  for the field  $\pi$  and a cross mixing term  $(\partial_\mu A^\mu)\pi = -A^\mu(\partial_\mu\pi) + \partial_\mu(A^\mu\pi)$  which cancels the mixing term in eq. (7.2).

If we consider the full Lagrangian with  $R_\xi$  gauge fixing term  $\mathcal{L} = (7.2) + (7.4)$  we can quantize the SSB theory and obtain following Feynman rules ( $M_A^2 = g^2 v^2$ ):

$$A_\mu : \quad \begin{array}{c} \mu \quad \xrightarrow{k} \quad \nu \\ \text{~~~~~} \end{array} \quad D_{\mu\nu}^A(k) = -\frac{i}{k^2 - M_A^2} \left( \eta_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2 - \xi M_A^2} \right) \quad (7.5a)$$

$$\tilde{\sigma} : \quad \begin{array}{c} \xrightarrow{k} \\ \text{-----} \end{array} \quad D^{\tilde{\sigma}}(k) = -\frac{i}{k^2 - m_{\tilde{\sigma}}^2} \quad (7.5b)$$

$$\pi : \quad \begin{array}{c} \xrightarrow{k} \\ \text{.....} \end{array} \quad D^\pi(k) = -\frac{i}{k^2 - \xi M_A^2} \quad (7.5c)$$

Notice that for  $\xi \rightarrow \infty$  I obtain the unitary gauge eq. (7.3), in this case the propagator for the gauge field becomes ( $A_\mu = B_\mu$ )

$$D_{\mu\nu}^B(k) = -\frac{i}{k^2 - M_B^2} \left( \eta_{\mu\nu} - \frac{k_\mu k_\nu}{M_B^2} \right)$$

so we obtain (as we expected) the propagator of a Proca field, i.e. a massive vector propagator. Moreover, for  $\xi \rightarrow \infty$  we have  $D^\pi = 0$ , and the non-physical Goldstone boson disappear from Feynman diagrams.

As we had disclosed, the gauge  $R_\xi$  it is useful to describe the renormalization of SSB gauge theories. Indeed, for  $k \rightarrow \infty$  the degree of divergence of  $D_{\mu\nu}^A(k)$  is the same as the one of the photon propagator in QED:

$$D_{\mu\nu}^A(k) = -\frac{i}{k^2 - M_A^2} \left( \eta_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2 - \xi M_A^2} \right) \approx -\frac{i}{k^2} \left( \eta_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2} \right)$$

Since the renormalizability does not depend on the gauge choice, SSB gauge theories are renormalizable.

## 7.2.6 Generalization of the Higgs mechanism to generic (compact) group

In this section we discuss the SSB of a generic gauge theory. For definiteness we consider the case  $G = SU(N)$ , but the following derivation holds in general. Consider a complex scalar field  $\phi$  in the  $N$ -dimensional fundamental representation of  $G$ . Suppose that the Lagrangian shows a potential with a non-trivial minimum condition in the form  $V(\phi^\dagger \phi) = \lambda(\phi^\dagger \phi - \frac{\mathbf{v}^2}{2})^2$  and take as vacuum state  $\langle \phi \rangle_0 = \phi_0 = (0, \dots, v_i, \dots, 0)$  for some  $v_i \neq 0$ .

The choice of a vacuum state will broke down the symmetry group  $G$  to a subgroup  $H$ . As we seen in general,  $d_H$  generators  $\{Y^{\bar{a}}\}$  of  $G$  are unbroken, while  $d_G - d_H$  generators  $\{X^{\hat{a}}\}$  will be broken by the choice of a vacuum state.

Consider the minimal coupling of the Lagrangian:

$$(D_\mu \phi)^\dagger (D^\mu \phi) = [(\partial_\mu + ig \hat{A}_\mu) \phi]^\dagger [(\partial^\mu + ig \hat{A}^\mu) \phi]$$

If we define the fluctuation  $\tilde{\phi}$  as  $\phi = \tilde{\phi} - \mathbf{v}$  we can express the previous component of the Lagrangian in terms of this field, obtaining

$$(D_\mu \tilde{\phi})^\dagger (D^\mu \tilde{\phi}) - ig \left\{ (\partial^\mu \tilde{\phi})^\dagger \hat{A}_\mu (\mathbf{v} + \tilde{\phi}) - (\mathbf{v} + \tilde{\phi})^\dagger \hat{A}^\mu (\partial_\mu \tilde{\phi}) \right\} + g^2 (\mathbf{v} + \tilde{\phi})^\dagger \hat{A}^\mu \hat{A}_\mu (\mathbf{v} + \tilde{\phi})$$

so I obtained a mass term for gauge bosons:

$$g^2 \mathbf{v}^\dagger \hat{A}^\mu \hat{A}_\mu \mathbf{v} = g^2 A_a^\mu A_\mu^b \underbrace{(T^a \mathbf{v})^\dagger (T^b \mathbf{v})}_{(M_B^2)_{ab}}$$

The matrix  $M_B^2$  is positive definite and has exactly  $d_G - d_H$  non-zero eigenvalues due to  $(X^{\hat{a}} \mathbf{v}) \neq 0$  and  $(Y^{\bar{a}} \mathbf{v}) = 0$ .

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