



विश्वविद्यालय अनुदान आयोग  
University Grants Commission  
(शिक्षा मंत्रालय, भारत सरकार)  
(Ministry of Education, Govt. of India)  
बहादुरशाह जफर मार्ग, नई दिल्ली - 110 002  
Bahadurshah Zafar Marg, New Delhi - 110 002  
Phone : 011-2360 4407, 011-2360 4424



**By E-mail/ Speed-Post**

**No.F.8-24/2024 (CPP-I/PU)**

**January, 2025**

The Registrar  
Saroj International University  
12<sup>th</sup> KM Stone, Chand Sarai  
Gosaiganj, Lucknow  
Uttar Pradesh - 226 022  
E-mail:- [registrar@sarojuniversity.edu.in](mailto:registrar@sarojuniversity.edu.in)

**08 JAN 2025**

**Subject:- Establishment of Saroj International University, 12<sup>th</sup> KM Stone, Chand Sarai, Gosaiganj, Lucknow - 226 022, Uttar Pradesh - regarding.**

Sir,

This has reference to the letter No.SIU/R-Office/UGC/2024/DEC/ 014 dated 06.12.2024 on the subject cited above. The University has submitted an attested copy of the **Uttar Pradesh Private Universities (Second Amendment) Act, 2024 (U.P. Act No.11 of 2024)** which has been notified vide **Notification No.F.341(2)/LXXIX-V-1-2024-1-ka-6-2024 dated 13.08.2024** wherein the **Saroj International University, Lucknow, Uttar Pradesh** has been established as a State (Private) University, by the Govt. of Uttar Pradesh. The amended Act has come into force with effect from 06.03.2024 (**Section 1(2) of the Act No.11 of 2024**).

Accordingly, the name of the **Saroj International University, Lucknow, Uttar Pradesh** has been included in the list of Universities established as per Section 2(f) of UGC Act, 1956. The lists of all UGC recognized Higher Education Institutions (Universities and Colleges) are uploaded on the UGC website <https://www.ugc.gov.in> and may be accessed at the tab HEIs.

The University may note and adhere to the following:

1. The University shall follow the UGC (Establishment of and Maintenance of Standards in Private Universities) Regulation, 2003, and other Regulations issued from time to time and posted on the UGC website.
2. The University shall follow the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, and as amended from time to time.
3. No Open and Distance Learning programmes and on-line programmes shall be started without the prior and specific approval of UGC. Relevant UGC Regulations are available on the UGC website [www.ugc.gov.in](http://www.ugc.gov.in).
4. The University shall not have any affiliated Colleges.
5. No off-campus centre(s) is opened by the University outside the territorial jurisdiction of the State in view of the judgment of the Hon'ble Supreme Court of India in the case of Prof. Yashpal Vs. State of Chhattisgarh. In case the University has already started any off campus centre outside the State, it must be closed down immediately.
6. The university shall not establish/open/affiliate/recognize any off campus or constituent college/unit outside the main campus of the university, without the prior and specific approval of UGC.

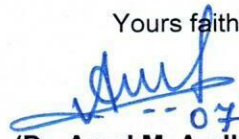


7. The University must ensure that there is no franchising of higher education even for the purpose of distance education.
8. The University shall immediately appoint an Ombudsperson in accordance with UGC (Redressal of Grievances of Students) Regulations, 2023, for redressal of grievances of students preferably within two months of starting academic activities. The UGC (Redressal of Grievances of Students) Regulations, 2023 is available on the UGC website at link [https://www.ugc.gov.in/pdfnews/4675881\\_Regulation.pdf](https://www.ugc.gov.in/pdfnews/4675881_Regulation.pdf). The details of the Ombudsperson should be displayed on the website of the university and sent to UGC also.
9. The University shall follow UGC guidelines on Public Self Disclosure by Higher Education Institutions which are available on the UGC website at the link- [https://www.ugc.gov.in/pdfnews/7822003\\_GUIDELINES-ON-PUBLIC-SELF-DISCLOSURE-BY-HIGHER-EDUCATION-INSTITUTIONS.pdf](https://www.ugc.gov.in/pdfnews/7822003_GUIDELINES-ON-PUBLIC-SELF-DISCLOSURE-BY-HIGHER-EDUCATION-INSTITUTIONS.pdf)
10. The university shall get necessary accreditation from NAAC.
11. The university shall register and upload the information of all students on Academic Bank of Credits (ABC) and National Academic Depository (NAD) portals of Ministry of Education, Govt. of India.
12. The University is empowered to award degrees as specified under Section 22 of the UGC Act through its main campus in regular mode only. However, prior approvals for running professional, technical and medical programmes shall be obtained from the respective Regulatory Councils/Commissions. Such programmes are governed by the norms of respective Regulatory Councils/Commissions and the approval of concerned Council/Commission is mandatory.
13. The university shall award only such degrees as specified by UGC. The University is required to follow the UGC Notification on Specification of Degrees 2014 and its amendments issued from time to time wherein consolidated list of UGC approved nomenclature of degrees has been specified under Section 22 of the UGC Act, 1956 wherein entry level of each degree and duration of programme have been provided. The approved nomenclature may be followed by the specific area of specialization to be reflected in the parentheses. This notification is available on the UGC website [www.ugc.gov.in](http://www.ugc.gov.in) under the link [https://www.ugc.ac.in/pdfnews/1061840\\_specification-of-degrees-july-2014.pdf](https://www.ugc.ac.in/pdfnews/1061840_specification-of-degrees-july-2014.pdf).
14. The inclusion of the name of the University in the list of universities maintained by the UGC does not by itself allow the University to make admissions in its programmes. This may be done only after creation of required academic and physical infrastructure facilities, including library, laboratories and appointment of teaching and supporting staff as per the norms and standards laid down by the UGC and concerned Regulatory Councils/Commissions.
15. The University is directed to submit detailed information (soft copy only in the prescribed format (available at UGC website at the link <https://www.ugc.gov.in/oldpdf/proformaprivateuniversities.pdf> along with supporting documents duly attested by the Registrar of the University within a period of 3 months from the receipt of this letter. The University is also directed to upload the filled in format and appendices on its website by giving a link on the home page so that the information is accessible to the students and general public.
16. After receipt of the Information in the prescribed format, the following procedure would be adopted by the UGC for inspection of the University:
  - I. The information received from the University in the prescribed format would be posted on the UGC website within 10 days of the receipt of the information. Comments received, if any from the general public within 1 month thereafter about the information submitted by the University would be placed before the UGC Expert Committee for perusal.
  - II. An Expert Committee would be constituted by the UGC to ascertain whether the University fulfils the criteria in terms of programmes, faculty, infrastructural facilities, financial viability, etc. as laid down from time to time by the UGC and other concerned statutory bodies such as AICTE, BCI, NMC, DCI, INC, NCTE, PCI, etc.



- III. The UGC Expert Committee would inspect the University within 3 months of the receipt of the information for assessment of infrastructure and other facilities available with the University. The report submitted by the UGC Expert Committee would be sent to the University within 2 weeks of the completion of the visit for comments.
- IV. The University may respond to the report within a period of two weeks after its receipt. In case no comments are received from the University within the timeframe, it would be presumed that the University has no comments to offer and further action would be taken on the report. After receipt of comments from the University, the report and comments received from the University, if any, would be placed before a Standing Committee of the UGC. The recommendations of the Standing Committee would be then placed before the Commission for consideration.
- V. The Commission shall take final decision in the matter. It may require the University to submit further compliance report in respect of the observations/suggestions of the UGC Expert Committee/Standing Committee. The compliance report submitted by the University shall be placed before the Standing Committee and approval of the Commission.
- VI. On approval of the Commission, a letter would be issued by the UGC to the effect that the University fulfills the criteria in terms of programmes, faculty, infrastructural facilities, financial viability, etc. as laid down from time to time by the UGC and other concerned statutory bodies.
- VII. If it is found that the Private University has, even after getting an opportunity to do so, failed to comply with the provisions of the various UGC Regulations including UGC (Establishment of and maintenance of standards in Private Universities) Regulations, 2003 and suggestions given by the UGC Expert Committee, the Commission may pass an order prohibiting the University from offering any course for the award of the degree and/or the post-graduate degree/diploma, as the case may be, till the deficiency is rectified.
17. The UGC may also take necessary action against the university for not adhering to the Regulations, Guidelines of UGC and inform the public in general through a public notice/notification.

This is issued with the approval of Competent Authority.

Yours faithfully,  
  
07/01/2025  
(Dr. Amol M. Andhare)  
Joint Secretary

Copy to:

1. The Secretary (Higher Education)  
Department of Higher Education  
Ministry of Education  
Government of India,  
Shastri Bhawan, New Delhi – 110 001.
2. The Secretary (Higher Education)  
Government of Uttar Pradesh, 8 B  
Navin Bhawan, U.P Secretariat  
Lucknow - 226 001, Uttar Pradesh  
E-mail:- [adtl.csbasic@gmail.com](mailto:adtl.csbasic@gmail.com)
3. The D.S. (Website), UGC, New Delhi (for posting on website).

  
(Harinder Singh)  
Section Officer