

ESO207A: Data Structures and Algorithms

Assignment 1

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1 Task 1: Pseudo Code

Algorithm 1 $O(n \log(n))$ Algorithm for Counting Inversions

```
sentinel  $\leftarrow \infty$ 
dac_count  $\leftarrow 0$  { Global Counter }
function MERGE( $ar, x, y$ )
    size1  $\leftarrow \frac{x+y}{2} - x + 1$ , size2  $\leftarrow y - \frac{x+y}{2} - 1 + 1$ 
    v1  $\leftarrow$  array containing elements of ar indexed from x to  $\frac{x+y}{2}$ 
    v2  $\leftarrow$  array containing elements of ar indexed from  $\frac{x+y}{2} + 1$  to y
    v1[last]  $\leftarrow$  sentinel, v2[last]  $\leftarrow$  sentinel
    iterator1  $\leftarrow 0$ , iterator2  $\leftarrow 0$ , ar_index  $\leftarrow 0$ 
while iterator1 < size1 or iterator2 < size2 do
    if v1[iterator1] > v2[iterator2] then
        ar[x + ar_index]  $\leftarrow$  v2[iterator2]
        iterator2  $\leftarrow$  iterator2 + 1
        ar_index  $\leftarrow$  ar_index + 1
        dac_count  $\leftarrow$  dac_count + by size1 - iterator1
        continue
    else
        ar[x + ar_index]  $\leftarrow$  v1[iterator1]
        iterator1  $\leftarrow$  iterator1 + 1
        ar_index  $\leftarrow$  ar_index + 1
        continue
    end if
end while
return
end function
function MERGE_SORT( $ar, a, b$ )
if  $a \geq b$  then
    return
end if
    MERGE_SORT( $ar, a, \frac{a+b}{2}$ )
    MERGE_SORT( $ar, \frac{a+b}{2} + 1, b$ )
    MERGE( $ar, a, b$ )
return
end function
function COUNTINVERSIONS( $ar, n$ )
    MERGE_SORT( $ar, 0, n - 1$ )
return DAC_COUNT
end function
COUNTINVERSIONS( $ar, n$ )
return =0
```

2 Task 2: Loop Invariant and Correctness of the Algorithm

Most of the algorithm is in Merge function. So, its loop invariant are discussed first.

1. $0 \leq \text{iterator1} \leq \text{size1}$, $0 \leq \text{iterator2} \leq \text{size2}$ and $0 \leq \text{ar_index} \leq \text{size1} + \text{size2}$

Initially, all of these variables are zero and $v1[\text{size1}]$ and $v2[\text{size2}] = \infty$. Before that, at any iteration step : both $\text{iterator1} \leq \text{size1}$ and $\text{iterator2} \leq \text{size2}$. If they reach the value ∞ , then they don't get pushed into the original array because they are larger than any value and we are choosing the smaller value.

If both of them reach the value of size1 and size2 , such that $v[\text{iterator1}] = \infty$ and $v[\text{iterator2}] = \infty$ the loop is terminated. Due to the first two inequalities, as ar_index is incremented whenever iterator1 or iterator2 is incremented, so it follows.

So, this loop invariant is satisfied.

2. **$\text{ar}[x \dots x + \text{ar_index} - 1]$ is a permutation of $v1[0 \dots \text{iterator1} - 1] \cup v2[0 \dots \text{iterator2} - 1]$** Initially, this is trivially true as there are no elements. At step k: Assume the statement that $\text{ar}[x \dots x + \text{ar_index} - 1]$ is a permutation is true. Either any one element from $v1$ or $v2$ is pushed and the corresponding iterator incremented along with ar_index . If $v1[\text{iterator1}]$ is smaller, iterator1 is incremented and ar_index is incremented or vice-versa. In both of the cases, this property doesn't break.

3. **$\text{ar}[x \dots x + \text{ar_index} - 1]$ is sorted**

Initially, there are no elements in the range $\text{ar}[x \dots x + \text{ar_index} - 1]$. At any step : assume $\text{ar}[x \dots x + \text{ar_index} - 2]$ is sorted. Also, $v1$ and $v2$ are sorted as they are returned from *Merge_Sort* function, which returns sorted arrays as per above assumption after termination.

So, by above invariant (2) , $v1[\text{iterator1} - 1]$ and $v2[\text{iterator2} - 1]$ are both bigger than all other elements that are present in $\text{ar}[x \dots x + \text{ar_index} - 2]$, so, next element that will be pushed will definitely maintain the non-decreasing order.

So, we can conclude that this loop invariant always holds true.

4. In *Merge_Sort* call, **Count of number of *inter-inversions* (described next) remains constant.** In other words, for two disjoint subarrays of ar , $\text{ar}[a \dots b]$ and $\text{ar}[c \dots d]$, count of number of inversions such that both elements are not of same subarray is constant even if both these arrays are permuted simultaneously.
Consider i and j , two indices belonging to both of these subarrays such that $a \leq i \leq b$, and $c \leq j \leq d$ and $\text{ar}[i] > \text{ar}[j]$ and hence an inversion. After permutation, let $i \rightarrow i'$ and $j \rightarrow j'$. Even now, $i' \leq b < c \leq j' \Rightarrow i' < j'$ and $\text{ar}[i'] > \text{ar}[j']$ as before.
So, it is still an inversion after permutation and this invariant is satisfied.

5. Before and after *Merge* call, **The sum of number of inversions of the array at any time and dac_count is same as number of inversions present in the initial array.**

Initially, it is true as dac_count is 0. At any step: when $v1[\text{iterator1}] > v2[\text{iterator2}]$, $v2[\text{iterator2}]$ is pushed to the array. But, along with iterator1 , all the elements after it in $v1$ are also greater than $v1[\text{iterator1}]$.

We have reduced the number of inversions in the array by $(\text{size1} - 1) - (\text{iterator1} - 1) = \text{size1} - \text{iterator1}$. $\text{size1} - 1$ as iterator is zero-based index (obviously, we are not including the sentinel value) and $\text{iterator} - 1$ as, we are including this index too. So, we must increase value of dac_count by this much as in the step in the algorithm. At the end, the array is sorted, so, number of inversions now is zero and dac_count stores the total number of inversions. So, this loop invariant is correct and algorithm calculates the number of inversions correctly.