

# Problem solving and search

## Chapter 3

### Artificial Intelligence



Slides from AIMA — <http://aima.cs.berkeley.edu>

# Outline

- ▶ Problem-solving agents
- ▶ Problem types
- ▶ Problem formulation
- ▶ Example problems
- ▶ Basic search algorithms
- ▶ Informed search algorithms

# Problem-solving agents

Restricted form of general agent:

```
function SIMPLE-PROBLEM-SOLVING-AGENT(percept) returns an action
  static: seq, an action sequence, initially empty
           state, some description of the current world state
           goal, a goal, initially null
           problem, a problem formulation

  state ← UPDATE-STATE(state, percept)
  if seq is empty then
    goal ← FORMULATE-GOAL(state)
    problem ← FORMULATE-PROBLEM(state, goal)
    seq ← SEARCH(problem)
  action ← RECOMMENDATION(seq, state)
  seq ← REMAINDER(seq, state)
  return action
```

Note: this is **offline** problem solving; solution executed “eyes closed.”  
**Online** problem solving involves acting without complete knowledge.

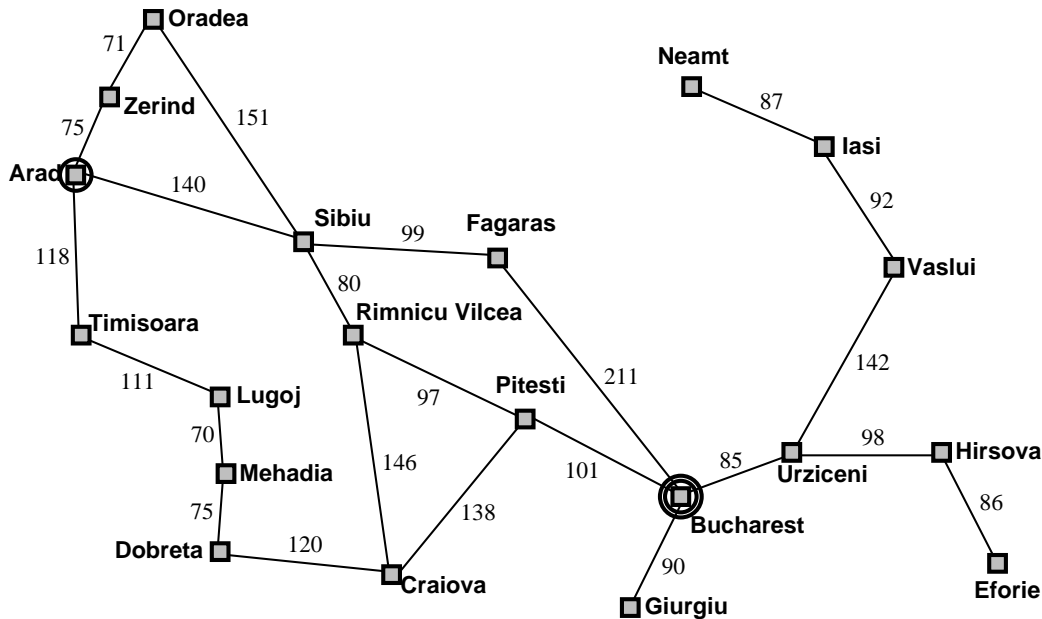
# Example: Romania

On holiday in Romania; currently in Arad.

Flight leaves tomorrow from Bucharest

- ▶ **Formulate goal:** be in Bucharest
- ▶ **states:** various cities
- ▶ **actions:** drive between cities
- ▶ **Find solution:** sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

## Example: Romania



# Problem types

- ▶ Deterministic, fully observable  $\implies$  single-state problem
  - ▶ Agent knows exactly which state it will be in; solution is a sequence
- ▶ Non-observable  $\implies$  conformant problem
  - ▶ Agent may have no idea where it is; solution (if any) is a sequence
- ▶ Nondeterministic and/or partially observable  $\implies$  contingency problem
  - ▶ percepts provide **new** information about current state
  - ▶ solution is a **contingent plan** or a **policy**
  - ▶ often **interleave** search, execution
- ▶ Unknown state space  $\implies$  exploration problem (“online”)

## Example: vacuum world

Single-state, start in #5. Solution??

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Conformant, start in  $\{1, 2, 3, 4, 5, 6, 7, 8\}$

e.g., *Right* goes to  $\{2, 4, 6, 8\}$ . Solution??



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[*Right, Suck, Left, Suck*]

Contingency, start in #5

Murphy's Law: *Suck* can dirty a clean carpet

Local sensing: dirt, location only.

Solution??

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[*Right*, *Suck*, *Left*, *Suck*]

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Local sensing: dirt, location only.

Solution??

[*Right*, **if** *dirt* **then** *Suck*]

# Single-state problem formulation

A **problem** is defined by four items:

- ▶ **initial state** e.g., “at Arad”
- ▶ **successor function**  $S(x)$  = set of action–state pairs  
e.g.,  $S(Arad) = \{\langle Arad \rightarrow Zerind, Zerind \rangle, \dots\}$
- ▶ **goal test**, can be
  - ▶ **explicit**, e.g.,  $x = \text{“at Bucharest”}$
  - ▶ **implicit**, e.g.,  $NoDirt(x)$
- ▶ **path cost** (additive) e.g., sum of distances, number of actions executed, etc.  $c(x, a, y)$  is the **step cost**, assumed to be  $\geq 0$

A **solution** is a sequence of actions leading from the initial state to a goal state

# Selecting a state space

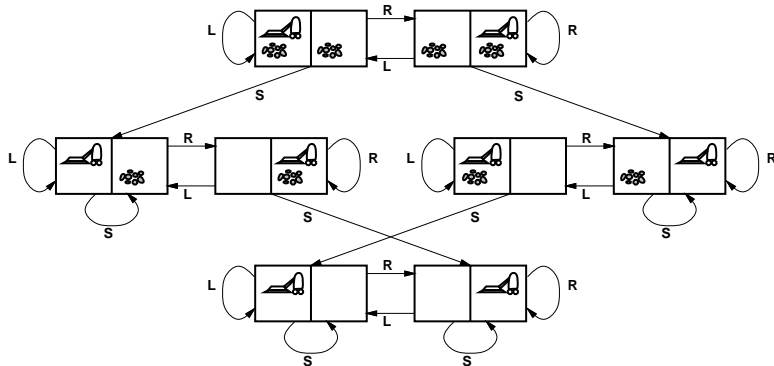
Real world is absurdly complex

⇒ state space must be **abstracted** for problem solving

- ▶ (Abstract) state = set of real states
- ▶ (Abstract) action = complex combination of real actions  
e.g., “Arad → Zerind” represents a complex set of possible routes, detours, rest stops, etc.  
For guaranteed realizability, **any** real state “in Arad” must get to **some** real state “in Zerind”
- ▶ (Abstract) solution = set of real paths that are solutions in the real world

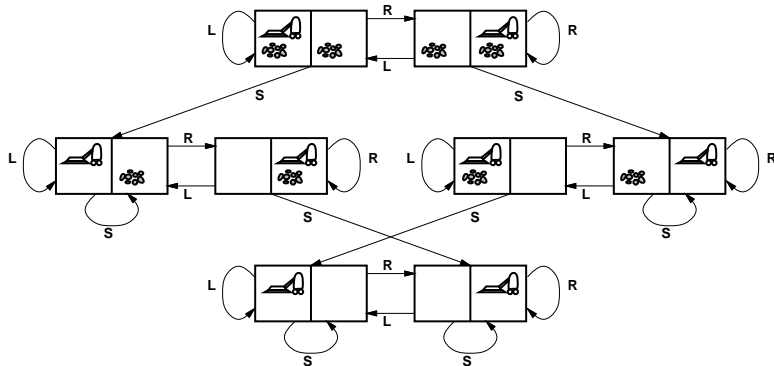
Each abstract action should be “easier” than the original problem!

## Example: vacuum world state space graph



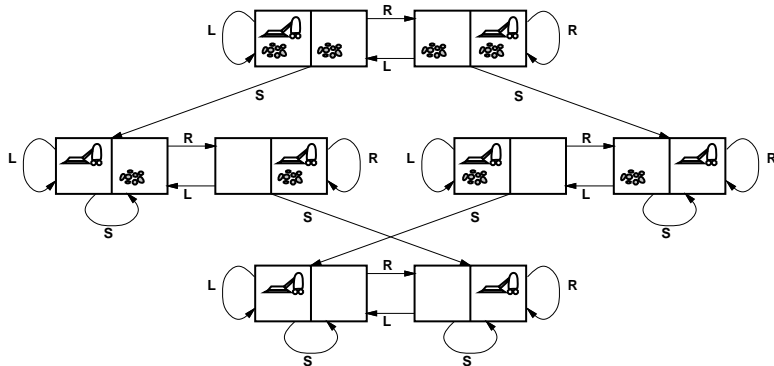
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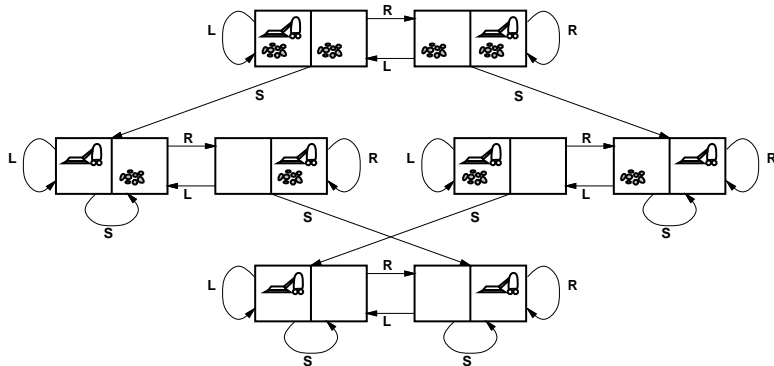
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## Example: vacuum world state space graph



- ▶ states??: integer dirt and robot locations (ignore dirt amounts etc.)
- ▶ actions??: *Left, Right, Suck, NoOp*
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- ▶ path cost??:

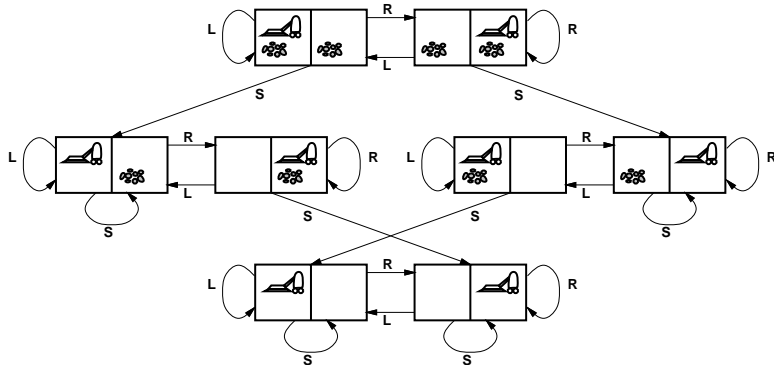
## Example: vacuum world state space graph



- ▶ states??: integer dirt and robot locations (ignore dirt amounts etc.)
- ▶ actions??: *Left, Right, Suck, NoOp*
- ▶ goal test??: no dirt
- ▶ path cost??:



## Example: vacuum world state space graph



- ▶ states??: integer dirt and robot locations (ignore dirt amounts etc.)
- ▶ actions??: *Left*, *Right*, *Suck*, *NoOp*
- ▶ goal test??: no dirt
- ▶ path cost??: 1 per action (0 for *NoOp*)

## Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

- ▶ states??:
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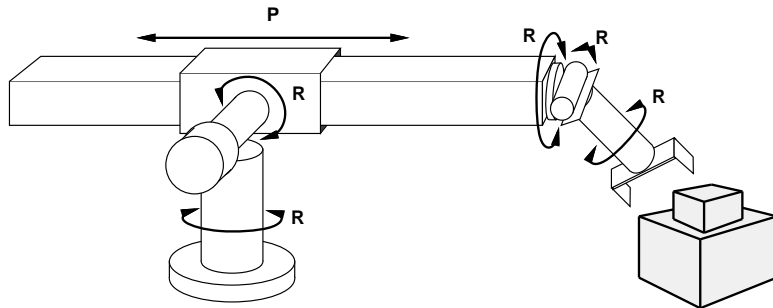
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Goal State

- ▶ states??: integer locations of tiles (ignore intermediate positions)
- ▶ actions??: move blank left, right, up, down (ignore unjamming etc.)
- ▶ goal test??: = goal state (given)
- ▶ path cost??: 1 per move

[Note: optimal solution of  $n$ -Puzzle family is NP-hard]

## Example: robotic assembly



- ▶ states??: real-valued coordinates of robot joint angles  
parts of the object to be assembled
- ▶ actions??: continuous motions of robot joints
- ▶ goal test??: complete assembly **with no robot included!**
- ▶ path cost??: time to execute

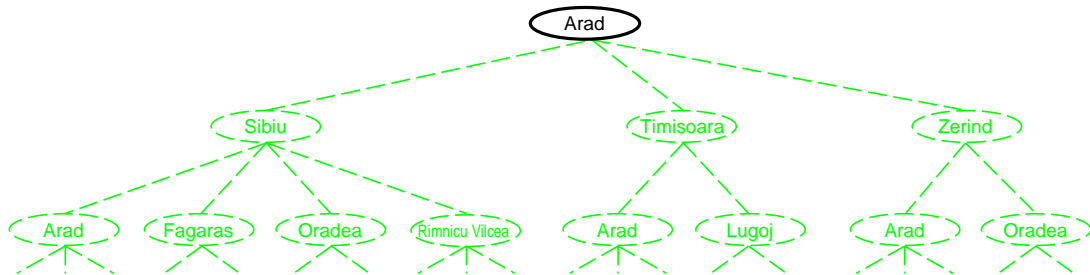
# Tree search algorithms

Basic idea: offline, simulated exploration of state space by generating successors of already-explored states (a.k.a. **expanding** states)

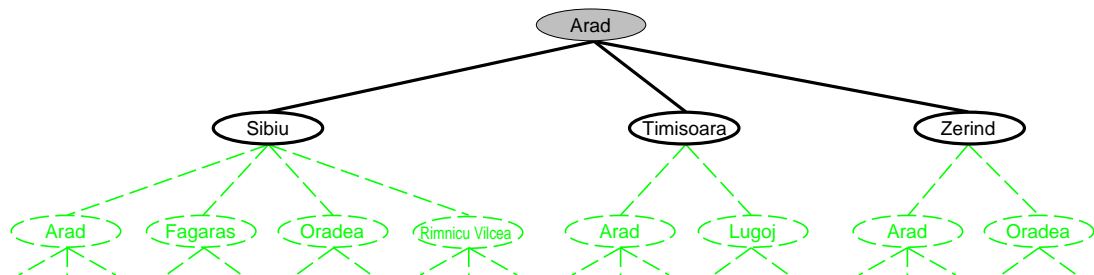
```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
  end
```



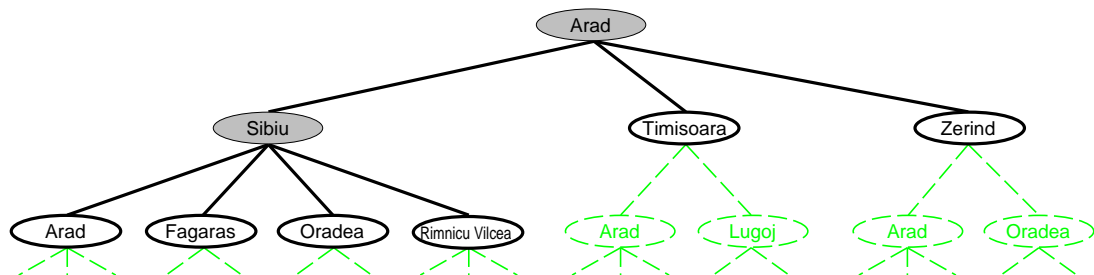
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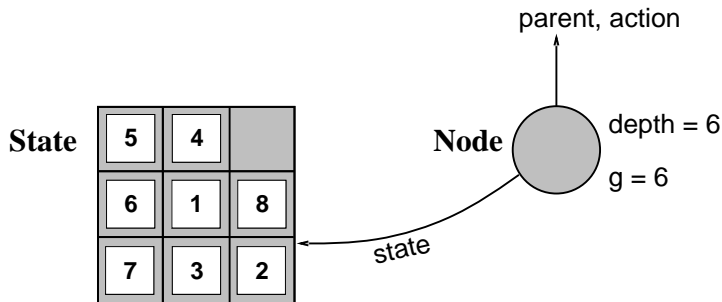
## Tree search example



## Implementation: states vs. nodes

- ▶ A **state** is a (representation of) a physical configuration
- ▶ A **node** is a data structure constituting part of a search tree  
includes **parent**, **children**, **depth**, **path cost**  $g(x)$

States do not have parents, children, depth, or path cost!



The Expand function creates new nodes, filling in the various fields and using the SuccessorFn of the problem to create the corresponding states

# Implementation: general tree search

```
function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE(node)) then return node
    fringe ← INSERTALL(EXPAND(node, problem), fringe)
```

---

```
function EXPAND(node, problem) returns a set of nodes
  successors ← the empty set
  for each action, result in SUCCESSOR-FN(problem, STATE[node]) do
    s ← a new NODE
    PARENT-NODE[s] ← node; ACTION[s] ← action; STATE[s] ← result
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(STATE[node], action, result)
    DEPTH[s] ← DEPTH[node] + 1
    add s to successors
  return successors
```

# Search strategies

A strategy is defined by picking the **order of node expansion**

Strategies are evaluated along the following dimensions:

**completeness**: does it always find a solution if one exists?

**time complexity**: number of nodes generated/expanded

**space complexity**: maximum number of nodes in memory

**optimality**: does it always find a least-cost solution?

Time and space complexity are measured in terms of

$b$  : maximum branching factor of the search tree

$d$  : depth of the least-cost solution

$m$  : maximum depth of the state space (may be  $\infty$ )

# Uninformed search strategies

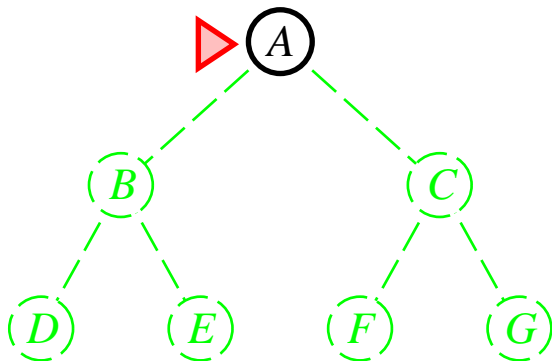
Uninformed strategies use only the information available in the problem definition

- ▶ Breadth-first search
- ▶ Uniform-cost search
- ▶ Depth-first search
- ▶ Depth-limited search
- ▶ Iterative deepening search

# Breadth-first search

Expand shallowest unexpanded node

**Implementation:** *fringe* is a FIFO queue, i.e., new successors go at end

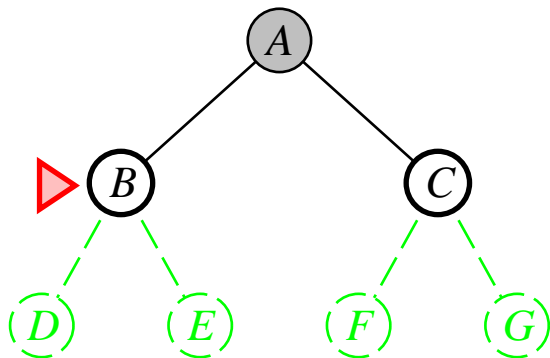




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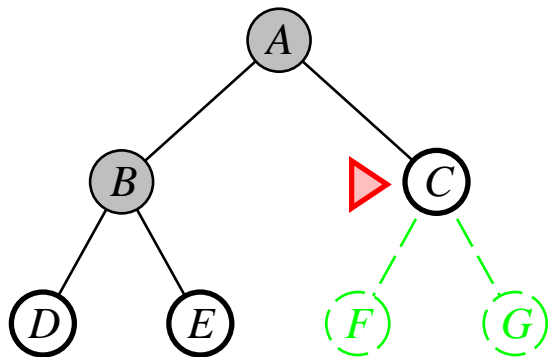
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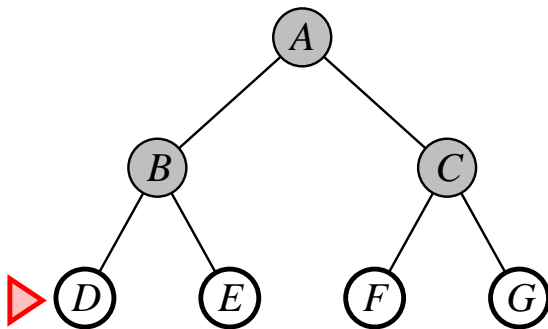
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# Properties of breadth-first search

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- ▶ Space??  $O(b^{d+1})$  (keeps every node in memory)
- ▶ Optimal?? Yes (if cost = 1 per step); not optimal in general

**Space** is the big problem; can easily generate nodes at 100MB/sec  
so 24hrs = 8640GB.

# Uniform-cost search

Expand least-cost unexpanded node

**Implementation:** *fringe* = queue ordered by path cost, lowest first

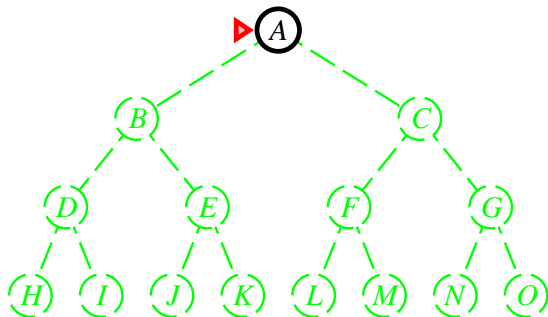
Equivalent to breadth-first if step costs all equal

- ▶ Complete?? Yes, if step cost  $\geq \epsilon$
- ▶ Time?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$   
where  $C^*$  is the cost of the optimal solution
- ▶ Space?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$
- ▶ Optimal?? Yes—nodes expanded in increasing order of  $g(n)$

# Depth-first search

Expand deepest unexpanded node

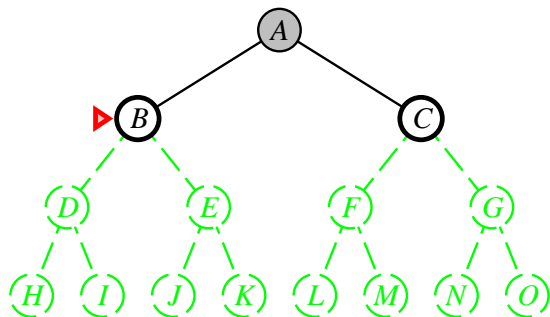
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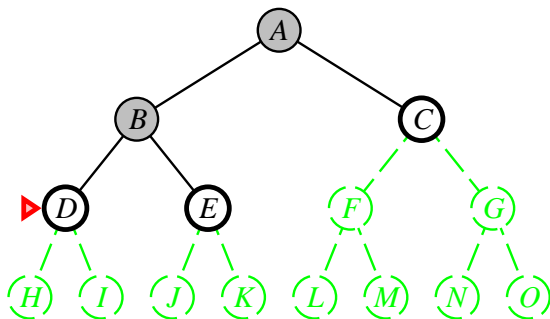
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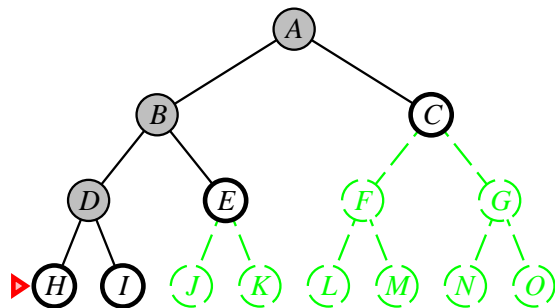
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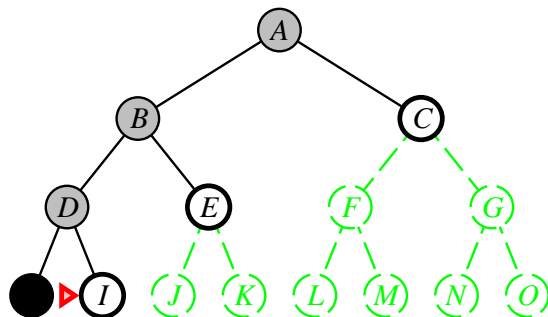




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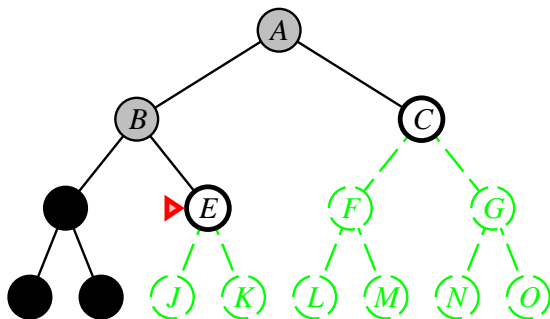
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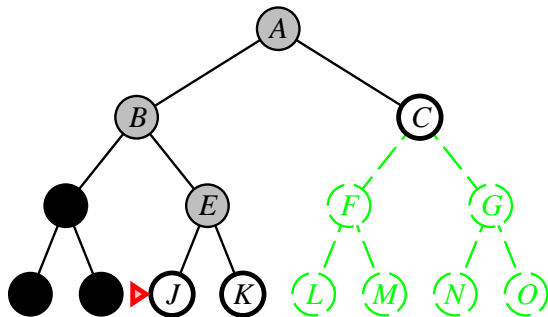
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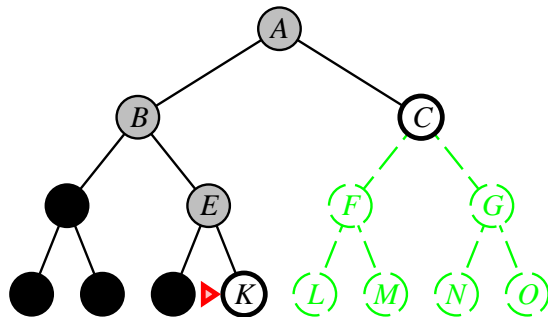
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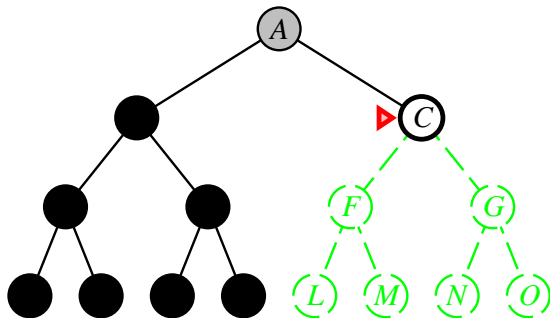
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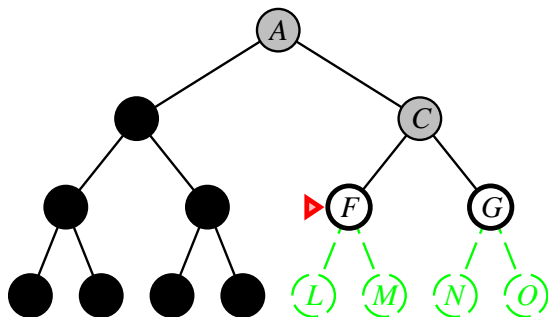
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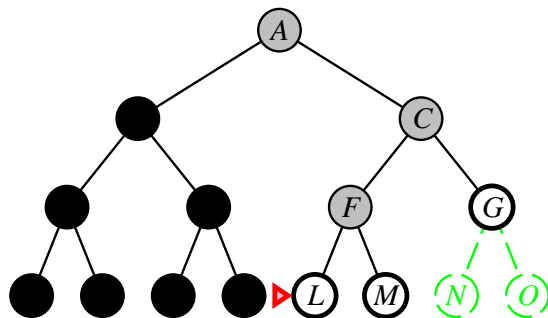
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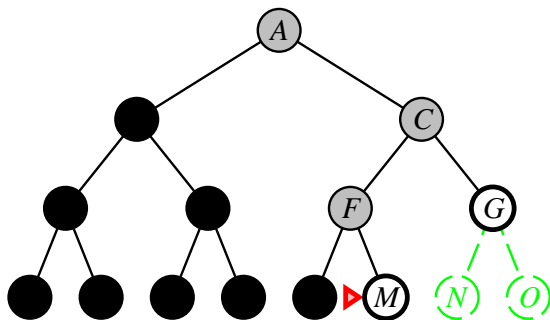
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Modify to avoid repeated states along path  
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- ▶ Space??  $O(bm)$ , i.e., linear space!
- ▶ Optimal?? No



# Depth-limited search

= depth-first search with depth limit  $l$ ,  
i.e., nodes at depth  $l$  have no successors

## Recursive implementation:

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff
  RECURSIVE-DLS(MAKE-NODE(INITIAL-STATE[problem]), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns soln/fail/cutoff
  cutoff-occurred?  $\leftarrow$  false
  if GOAL-TEST(problem, STATE[node]) then return node
  else if DEPTH[node] = limit then return cutoff
  else for each successor in EXPAND(node, problem) do
    result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
    if result = cutoff then cutoff-occurred?  $\leftarrow$  true
    else if result  $\neq$  failure then return result
  if cutoff-occurred? then return cutoff else return failure
```

# Iterative deepening search

```
function ITERATIVE-DEEPENING-SEARCH( problem) returns a solution
  inputs: problem, a problem

  for depth  $\leftarrow$  0 to  $\infty$  do
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH( problem, depth)
    if result  $\neq$  cutoff then return result
  end
```

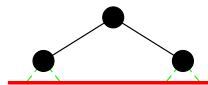
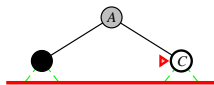
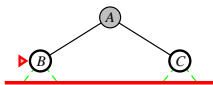
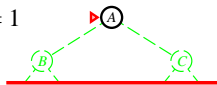
# Iterative deepening search / = 0

Limit = 0



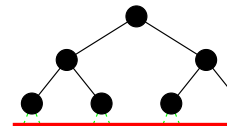
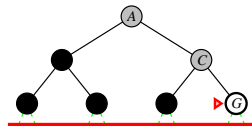
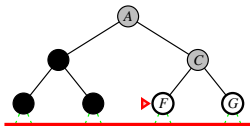
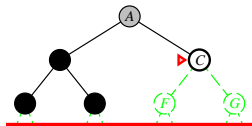
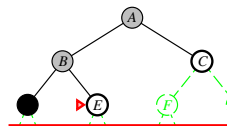
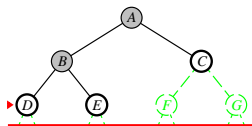
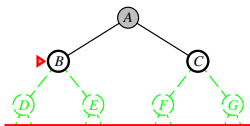
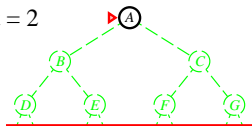
# Iterative deepening search / = 1

Limit = 1



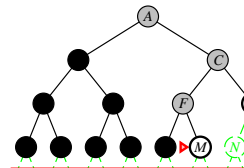
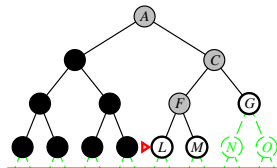
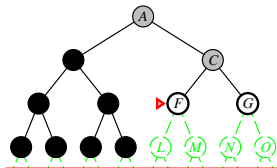
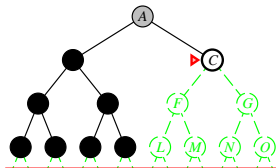
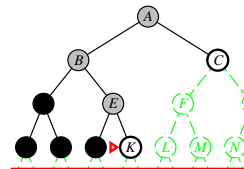
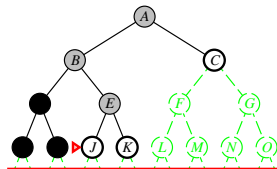
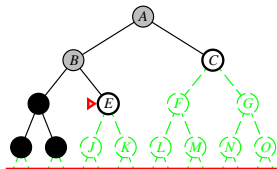
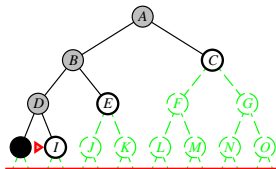
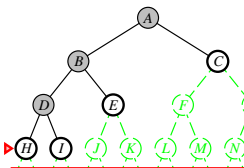
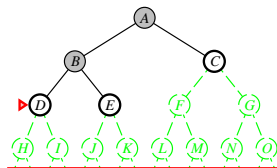
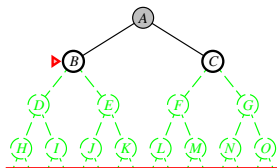
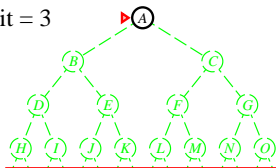
# Iterative deepening search / = 2

Limit = 2



## Iterative deepening search $l = 3$

Limit = 3



# Properties of iterative deepening search

- ▶ Complete??

# Properties of iterative deepening search

- ▶ Complete?? Yes



# Properties of iterative deepening search

- ▶ Complete?? Yes
- ▶ Time??

# Properties of iterative deepening search

- ▶ Complete?? Yes
- ▶ Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

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- ▶ Complete?? Yes
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- ▶ Space??  $O(bd)$

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- ▶ Space??  $O(bd)$
- ▶ Optimal??

# Properties of iterative deepening search

- ▶ Complete?? Yes
- ▶ Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$
- ▶ Space??  $O(bd)$
- ▶ Optimal?? Yes, if step cost = 1  
Can be modified to explore uniform-cost tree

Numerical comparison for  $b = 10$  and  $d = 5$ , solution at far right leaf:

$$N(\text{IDS}) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$$

$$N(\text{BFS}) = 10 + 100 + 1,000 + 10,000 + 100,000 + 999,990 = 1,111,100$$

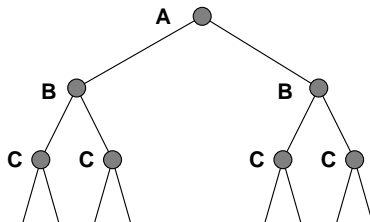
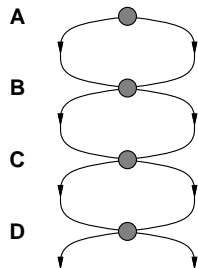
- ▶ IDS does better because other nodes at depth  $d$  are not expanded
- ▶ BFS can be modified to apply goal test when a node is **generated**

# Summary of algorithms

Criterion	Breadth-First	Uniform-Cost	Depth-First	Depth-Limited	Iterative Deepening
Complete?	Yes*	Yes*	No	Yes, if $l \geq d$	Yes
Time	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$b^m$	$b^l$	$b^d$
Space	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$bm$	$bl$	$bd$
Optimal?	Yes*	Yes	No	No	Yes*

## Repeated states

Failure to detect repeated states can turn a linear problem into an exponential one!





# Graph search

**function** GRAPH-SEARCH(*problem*, *fringe*) **returns** a solution, or failure

*closed* ← an empty set

*fringe* ← INSERT(MAKE-NODE(INITIAL-STATE[*problem*]), *fringe*)

**loop do**

**if** *fringe* is empty **then return** failure

*node* ← REMOVE-FRONT(*fringe*)

**if** GOAL-TEST(*problem*, STATE[*node*]) **then return** *node*

**if** STATE[*node*] is not in *closed* **then**

        add STATE[*node*] to *closed*

*fringe* ← INSERTALL(EXPAND(*node*, *problem*), *fringe*)

**end**

# Summary

- ▶ Problem formulation usually requires abstracting away real-world details to define a state space that can feasibly be explored
- ▶ Variety of uninformed search strategies
- ▶ Iterative deepening search uses only linear space and not much more time than other uninformed algorithms
- ▶ Graph search can be exponentially more efficient than tree search

# Informed Search Algorithms

- ▶ Best-first search
- ▶ A\* search
- ▶ Heuristics

## Review: Tree search

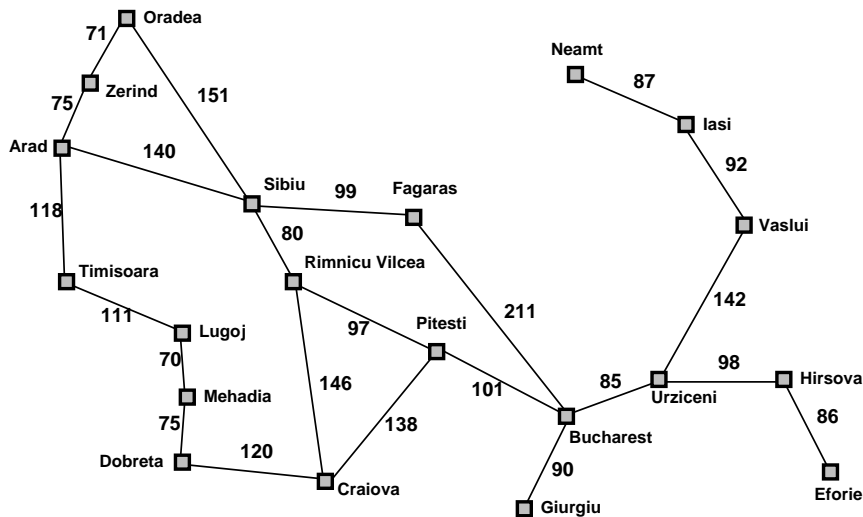
```
function TREE-SEARCH( problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST[problem] applied to STATE(node) succeeds return node
    fringe ← INSERTALL(EXPAND(node, problem), fringe)
```

A strategy is defined by picking the **order of node expansion**

# Best-first search

- ▶ **Idea:** use an **evaluation function** for each node
  - ▶ estimate of “desirability”
  - ⇒ Expand most desirable unexpanded node
- ▶ **Implementation:** *fringe* is a queue sorted in decreasing order of desirability
- ▶ **Special cases:**
  - ▶ greedy search
  - ▶ A\* search

# Romania with step costs in km



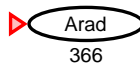
Straight-line distance  
to Bucharest

<b>Arad</b>	366
<b>Bucharest</b>	0
<b>Craiova</b>	160
<b>Dobreta</b>	242
<b>Eforie</b>	161
<b>Fagaras</b>	178
<b>Giurgiu</b>	77
<b>Hirsova</b>	151
<b>Iasi</b>	226
<b>Lugoj</b>	244
<b>Mehadia</b>	241
<b>Neamt</b>	234
<b>Oradea</b>	380
<b>Pitesti</b>	98
<b>Rimnicu Vilcea</b>	193
<b>Sibiu</b>	253
<b>Timisoara</b>	329
<b>Urziceni</b>	80
<b>Vaslui</b>	199
<b>Zerind</b>	374

# Greedy search

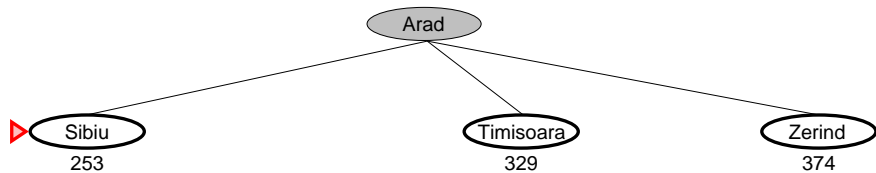
- ▶ Evaluation function  $h(n)$  (**h**euristic)  
= estimate of cost from  $n$  to the closest goal
  - ▶ E.g.,  $h_{\text{SLD}}(n)$  = straight-line distance from  $n$  to Bucharest
- ▶ Greedy search expands the node that **appears** to be closest to goal

## Greedy search example

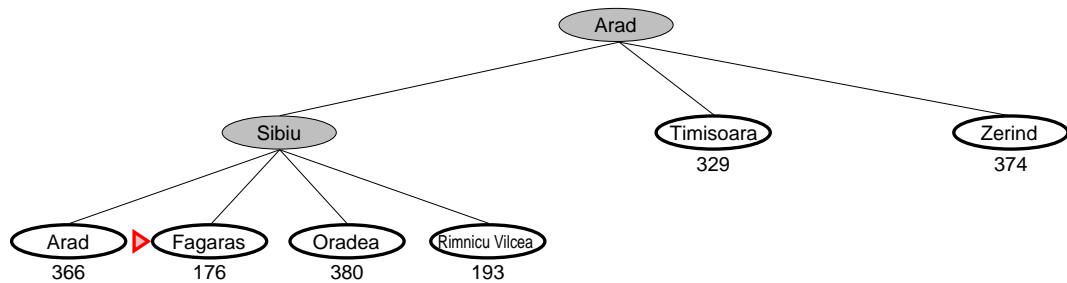




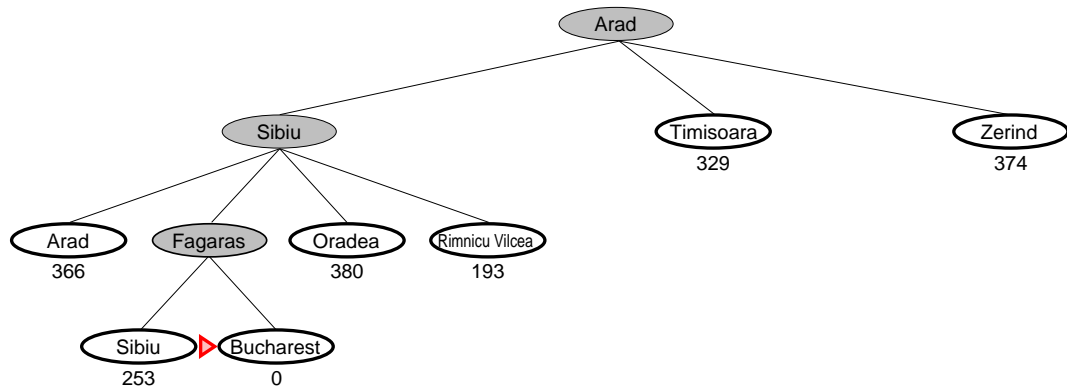
## Greedy search example



## Greedy search example



## Greedy search example



# Properties of greedy search

- ▶ Complete??

# Properties of greedy search

- Complete?? No—can get stuck in loops, e.g.,  
lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$   
Complete in finite space with repeated-state checking

# Properties of greedy search

- ▶ Complete?? No—can get stuck in loops, e.g.,  
Iasi  $\rightarrow$  Neamt  $\rightarrow$  Iasi  $\rightarrow$  Neamt  $\rightarrow$   
Complete in finite space with repeated-state checking
- ▶ Time??

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lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$   
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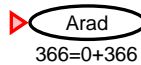
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lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$   
Complete in finite space with repeated-state checking
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- ▶ Space??  $O(b^m)$ —keeps all nodes in memory
- ▶ Optimal?? No

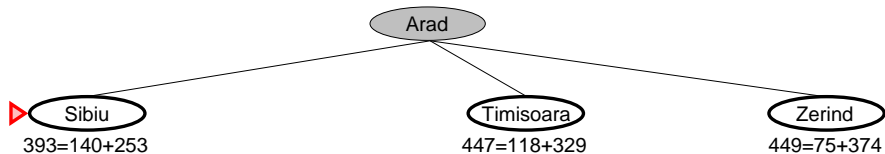
# A\* search

- ▶ **Idea**: avoid expanding paths that are already expensive
- ▶ Evaluation function  $f(n) = g(n) + h(n)$ 
  - ▶  $g(n)$  = cost so far to reach  $n$
  - $h(n)$  = estimated cost to goal from  $n$
  - $f(n)$  = estimated total cost of path through  $n$  to goal
- ▶ A\* search uses an **admissible** heuristic  
i.e.,  $h(n) \leq h^*(n)$  where  $h^*(n)$  is the **true** cost from  $n$ .  
(Also require  $h(n) \geq 0$ , so  $h(G) = 0$  for any goal  $G$ .)
  - ▶ E.g.,  $h_{\text{SLD}}(n)$  never overestimates the actual road distance
- ▶ **Theorem**: A\* search is optimal

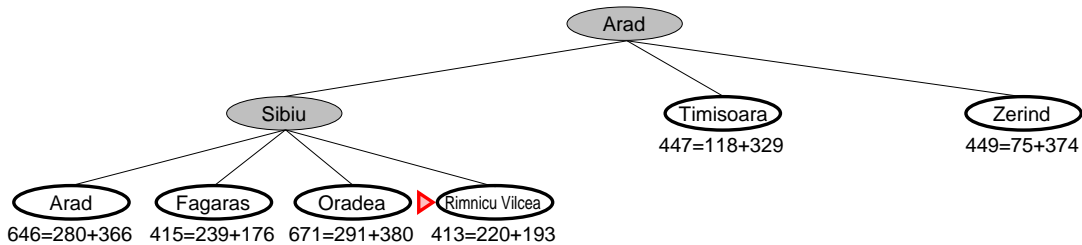
# A\* search example



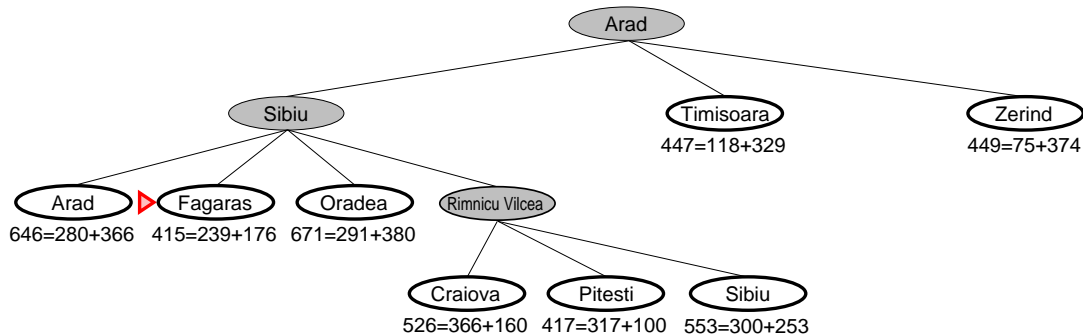
## A\* search example



## A\* search example

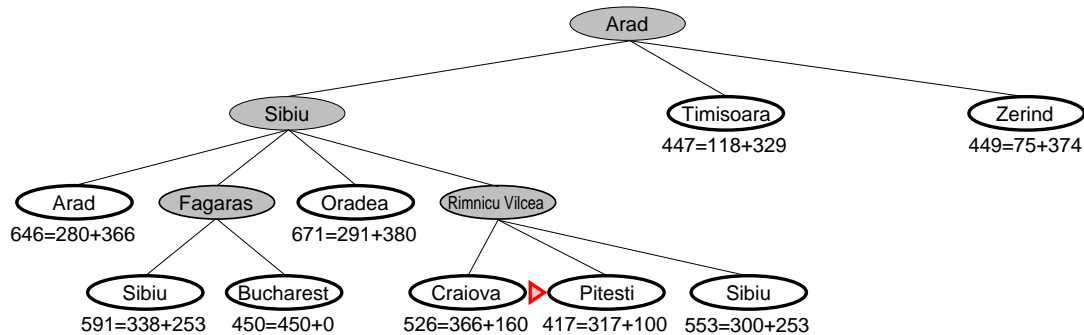


## A\* search example

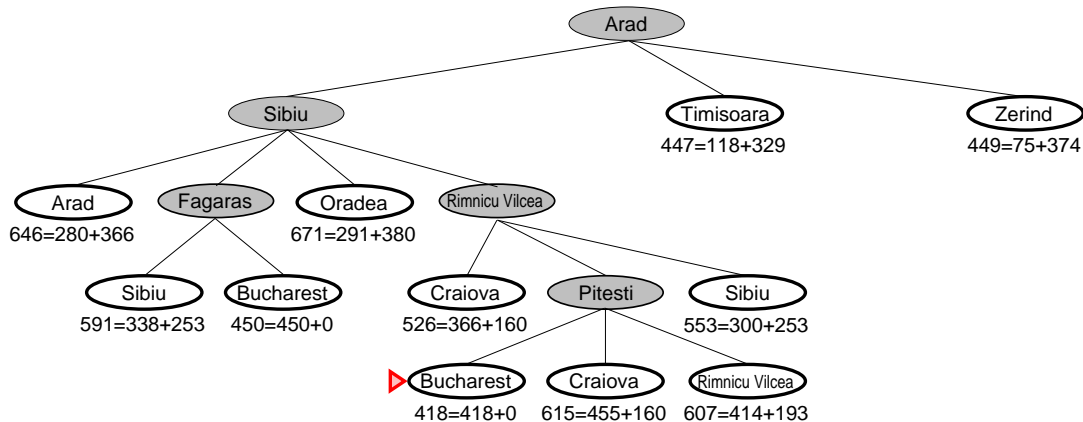




## A\* search example

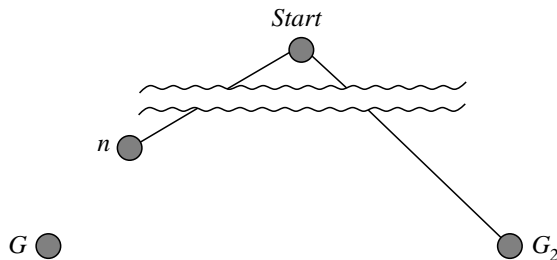


## A\* search example



## Optimality of A\* (standard proof)

Suppose some suboptimal goal  $G_2$  has been generated and is in the queue. Let  $n$  be an unexpanded node on a shortest path to an optimal goal  $G_1$ .



$$\begin{aligned} f(G_2) &= g(G_2) && \text{since } h(G_2) = 0 \\ &> g(G_1) && \text{since } G_2 \text{ is suboptimal} \\ &\geq f(n) && \text{since } h \text{ is admissible} \end{aligned}$$

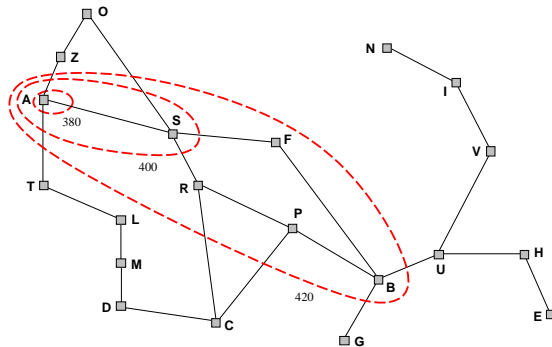
Since  $f(G_2) > f(n)$ , A\* will never select  $G_2$  for expansion

# Optimality of A\* (more useful)

**Lemma:** A\* expands nodes in order of increasing  $f$  value\*

Gradually adds “ $f$ -contours” of nodes (cf. breadth-first adds layers)

Contour  $i$  has all nodes with  $f = f_i$ , where  $f_i < f_{i+1}$



# Properties of $A^*$

- ▶ Complete??
- ▶ Time??
- ▶ Space??
- ▶ Optimal??

# Properties of $A^*$

- ▶ Complete?? Yes, unless there are infinitely many nodes with  $f \leq f(G)$
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- ▶ Complete?? Yes, unless there are infinitely many nodes with  $f \leq f(G)$
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- ▶ Optimal?? Yes—cannot expand  $f_{i+1}$  until  $f_i$  is finished

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A\* expands all nodes with  $f(n) < C^*$

A\* expands some nodes with  $f(n) = C^*$

A\* expands no nodes with  $f(n) > C^*$

# Proof of lemma: Consistency

A heuristic is **consistent** if

$$h(n) \leq c(n, a, n') + h(n')$$

If  $h$  is consistent, we have

$$\begin{aligned} f(n') &= g(n') + h(n') \\ &= g(n) + c(n, a, n') + h(n') \\ &\geq g(n) + h(n) \\ &= f(n) \end{aligned}$$

I.e.,  $f(n)$  is nondecreasing along any path.

# Admissible heuristics

E.g., for the 8-puzzle:

$h_1(n)$  = number of misplaced tiles

$h_2(n)$  = total **Manhattan** distance

(i.e., no. of squares from desired location of each tile)

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

$$h_1(S) = ??$$

$$h_2(S) = ??$$

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(i.e., no. of squares from desired location of each tile)

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

$$h_1(S) = ?? \quad 6$$

$$h_2(S) = ?? \quad 4+0+3+3+1+0+2+1 = 14$$

# Dominance

If  $h_2(n) \geq h_1(n)$  for all  $n$  (both admissible)  
then  $h_2$  dominates  $h_1$  and is better for search

Typical search costs:

$d = 14$     IDS = 3,473,941 nodes

$A^*(h_1) = 539$  nodes

$A^*(h_2) = 113$  nodes

$d = 24$     IDS  $\approx$  54,000,000,000 nodes

$A^*(h_1) = 39,135$  nodes

$A^*(h_2) = 1,641$  nodes

Given any admissible heuristics  $h_a$ ,  $h_b$ ,

$$h(n) = \max(h_a(n), h_b(n))$$

is also admissible and dominates  $h_a$ ,  $h_b$

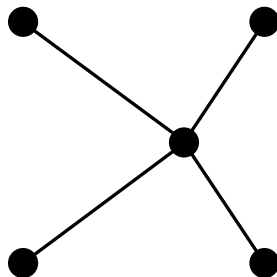
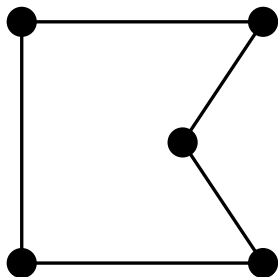
# Relaxed problems

- ▶ Admissible heuristics can be derived from the **exact** solution cost of a **relaxed** version of the problem
- ▶ If the rules of the 8-puzzle are relaxed so that a tile can move **anywhere**, then  $h_1(n)$  gives the shortest solution
- ▶ If the rules are relaxed so that a tile can move to **any adjacent square**, then  $h_2(n)$  gives the shortest solution
- ▶ Key point: the optimal solution cost of a relaxed problem is no greater than the optimal solution cost of the real problem

## Relaxed problems contd.

Well-known example: [travelling salesperson problem](#) (TSP)

Find the shortest tour visiting all cities exactly once



[Minimum spanning tree](#) can be computed in  $O(n^2)$   
and is a lower bound on the shortest (open) tour



# Summary

- ▶ Heuristic functions estimate costs of shortest paths
- ▶ Good heuristics can dramatically reduce search cost
- ▶ Greedy best-first search expands lowest  $h$ 
  - ▶ incomplete and not always optimal
- ▶ A\* search expands lowest  $g + h$ 
  - ▶ complete and optimal
  - ▶ also optimally efficient (up to tie-breaks, for forward search)
- ▶ Admissible heuristics can be derived from exact solution of relaxed problems