

# Introduction to Artificial Intelligence

## Constraint Satisfaction Problems



Anish Raj

# Motivation

---

- One of the major goals of AI is to help humans in solving complex tasks
  - Assignment Problems:
    - Who teaches what class?
  - Timetabling Problems:
    - Which class is offered when and where?
  - Transportation Scheduling
  - Job Scheduling
  - Factory resource scheduling
  - Floor Planning

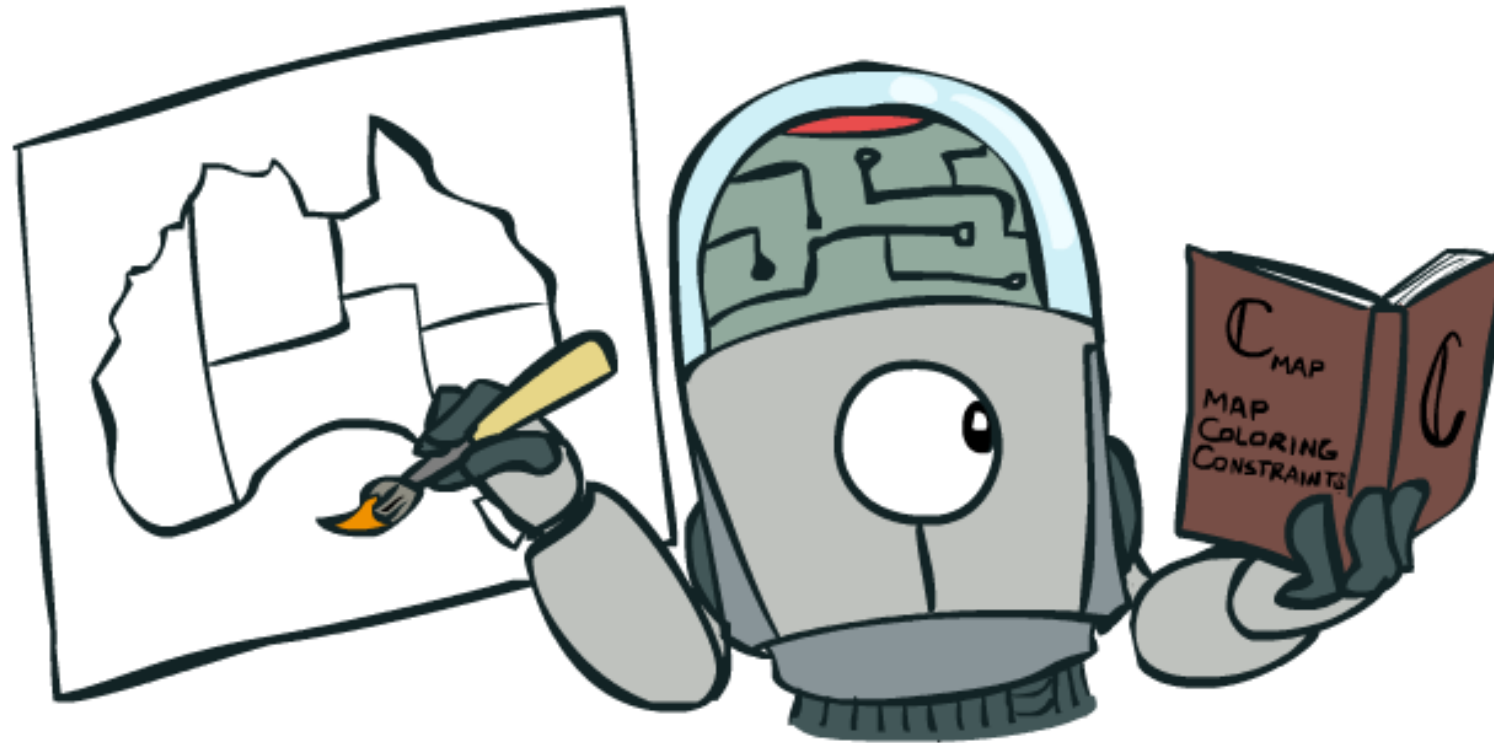
# What is Search For?

- Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space
- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are a specialized class of identification problems



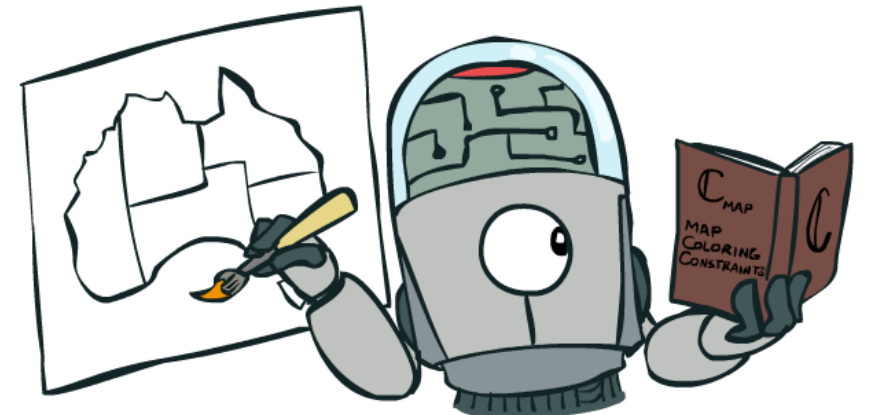
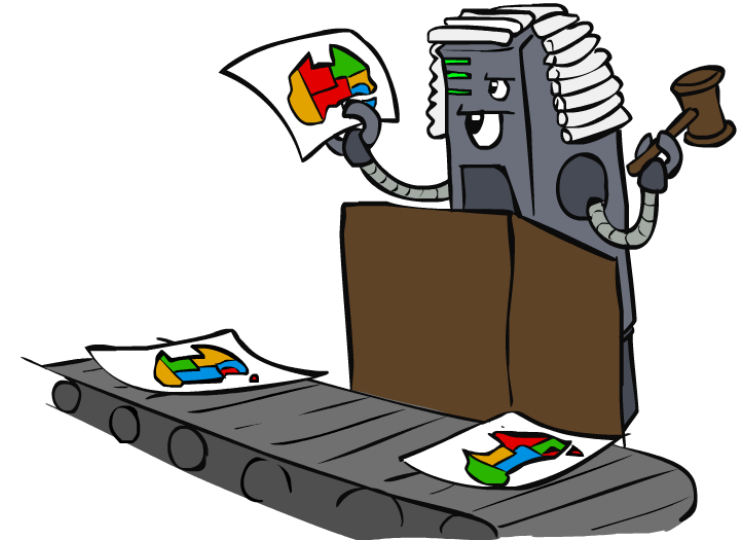
# Constraint Satisfaction Problems

---



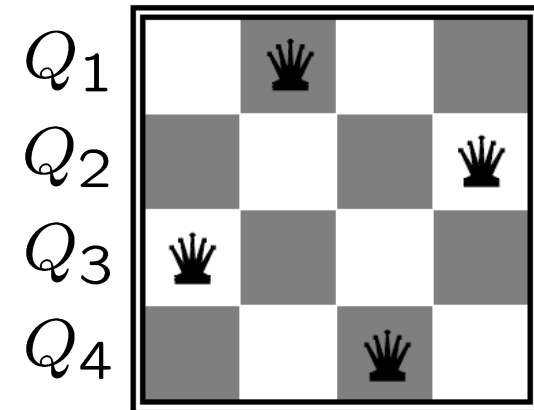
# Constraint Satisfaction Problems

- Standard search problems:
  - State is a “black box”: arbitrary data structure
  - Goal test can be any function over states
  - Successor function can also be anything
- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by **variables  $X_i$**  with values from a **domain  $D$**  (sometimes  $D$  depends on  $i$ )
  - Goal test is a **set of constraints** specifying allowable combinations of values for subsets of variables
- Allows useful general-purpose algorithms with more power than standard search algorithms



# Example: N-Queens

---



# Example: N-Queens

- Formulation :

- Variables:  $Q_k$

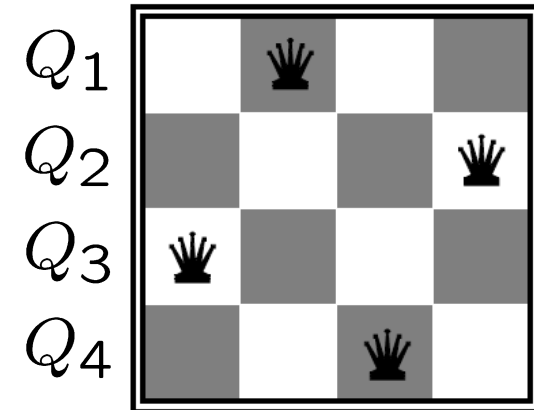
- Domains:  $\{1, 2, 3, \dots, N\}$

- Constraints:

Implicit:  $\forall i, j \text{ non-threatening}(Q_i, Q_j)$

Explicit:  $(Q_1, Q_2) \in \{(1, 3), (1, 4), \dots\}$

...

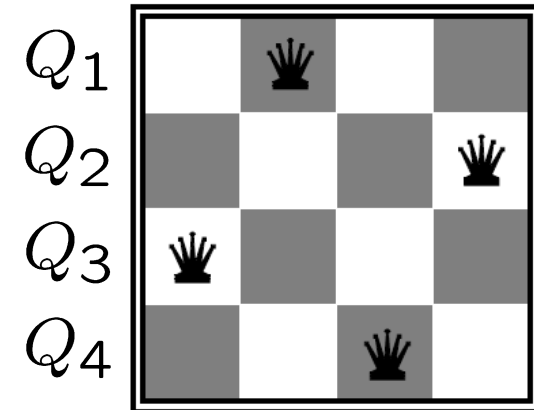


# Example: N-Queens

- **Formulation :**

- Variables:  $Q_k$

- Domains:  $\{1, 2, 3, \dots, N\}$



- Constraints: There are  $C(4,2) = 6$  constraints involved

$$R_{12} = \{(1,3)(1,4)(2,4)(3,1)(4,1)(4,2)\}$$

$$R_{13} = \{(1,2)(1,4)(2,1)(2,3)(3,2)(3,4)(4,1)(4,3)\}$$

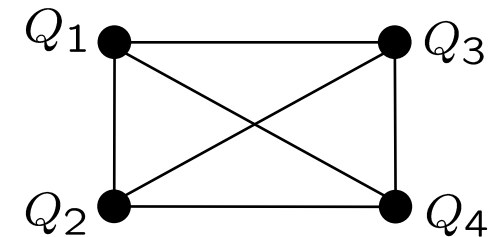
$$R_{14} = \{(1,2)(1,3)(2,1)(2,3)(2,4)(3,1)(3,2)(3,4)(4,2)(4,3)\}$$

$$R_{23} = \{(1,3)(1,4)(2,4)(3,1)(4,1)(4,2)\}$$

$$R_{24} = \{(1,2)(1,4)(2,1)(2,3)(3,2)(3,4)(4,1)(4,3)\}$$

$$R_{34} = \{(1,3)(1,4)(2,4)(3,1)(4,1)(4,2)\}$$

**Constraint Graph**





# Example: Cryptarithmic problems

- Find numeric substitutions that make an equation hold:

$$\begin{array}{r} \text{T W O} \\ + \text{T W O} \\ \hline = \text{F O U R} \end{array}$$

For example:

$$\text{O} = 4$$

$$\text{R} = 8$$

$$\text{W} = 3$$

$$\text{U} = 6$$

$$\text{T} = 7$$

$$\text{F} = 1$$

$$\begin{array}{r} \text{7 3 4} \\ + \text{7 3 4} \\ \hline = \text{1 4 6 8} \end{array}$$

*Note: not unique – how many solutions?*

# Example: Cryptarithmic

- Variables:

$F T U W R O X_1 X_2 X_3$

- Domains:

$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

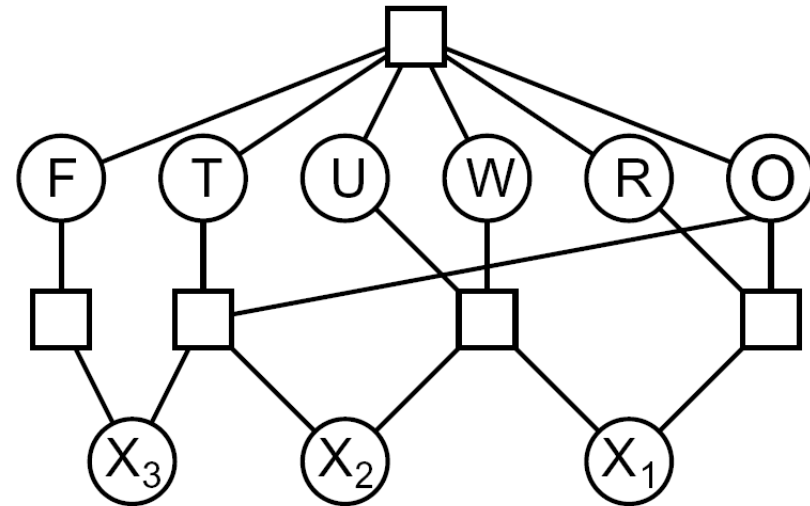
- Constraints:

$\text{alldiff}(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$

$\dots$

	T	W	O
+	T	W	O
<hr/>			
F	O	U	R



# Example: Cryptarithmic problems

- Find numeric substitutions that make an equation hold:

- Variables:

$F \ T \ U \ W \ R \ O \ X_1 \ X_2 \ X_3$

- Domains:

$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

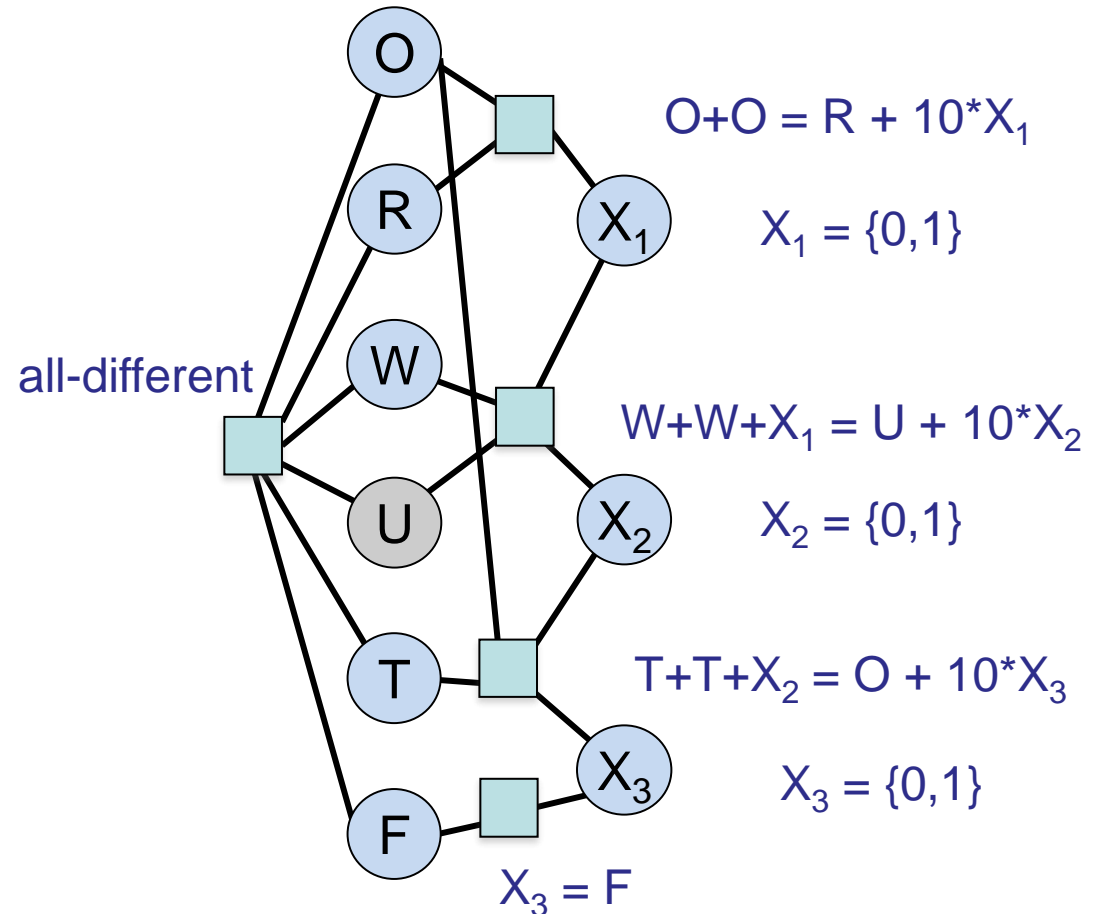
- Constraints:

$\text{alldiff}(F, T, U, W, R, O)$

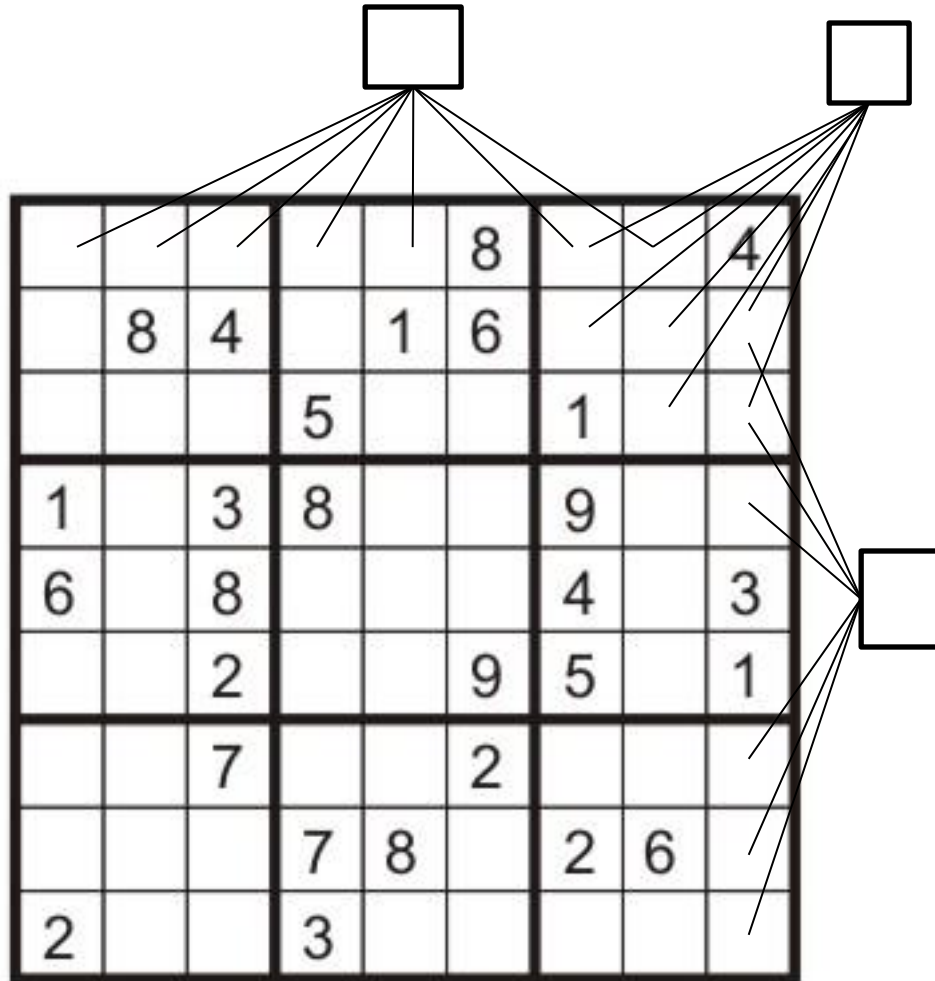
$O + O = R + 10 \cdot X_1$

...

Non-pairwise CSP:



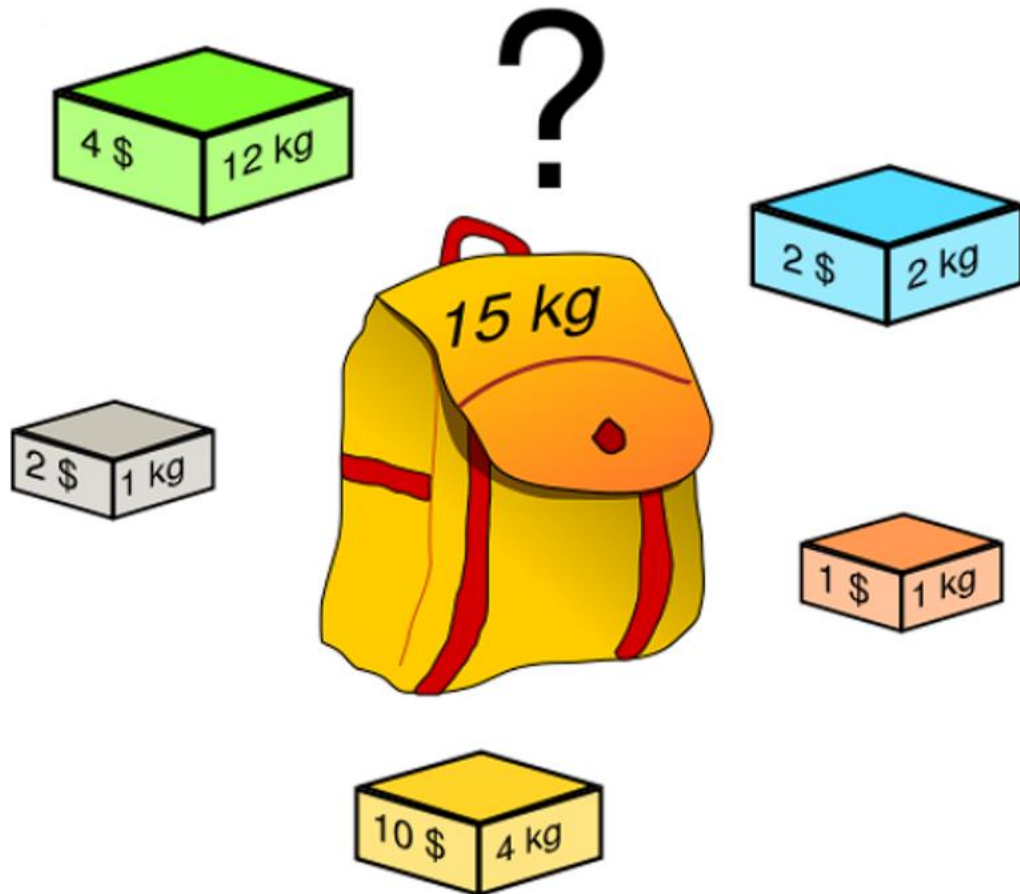
# Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - $\{1,2,\dots,9\}$
- Constraints:
  - 9-way alldiff for each column
  - 9-way alldiff for each row
  - 9-way alldiff for each region
  - (or can have a bunch of pairwise inequality constraints)

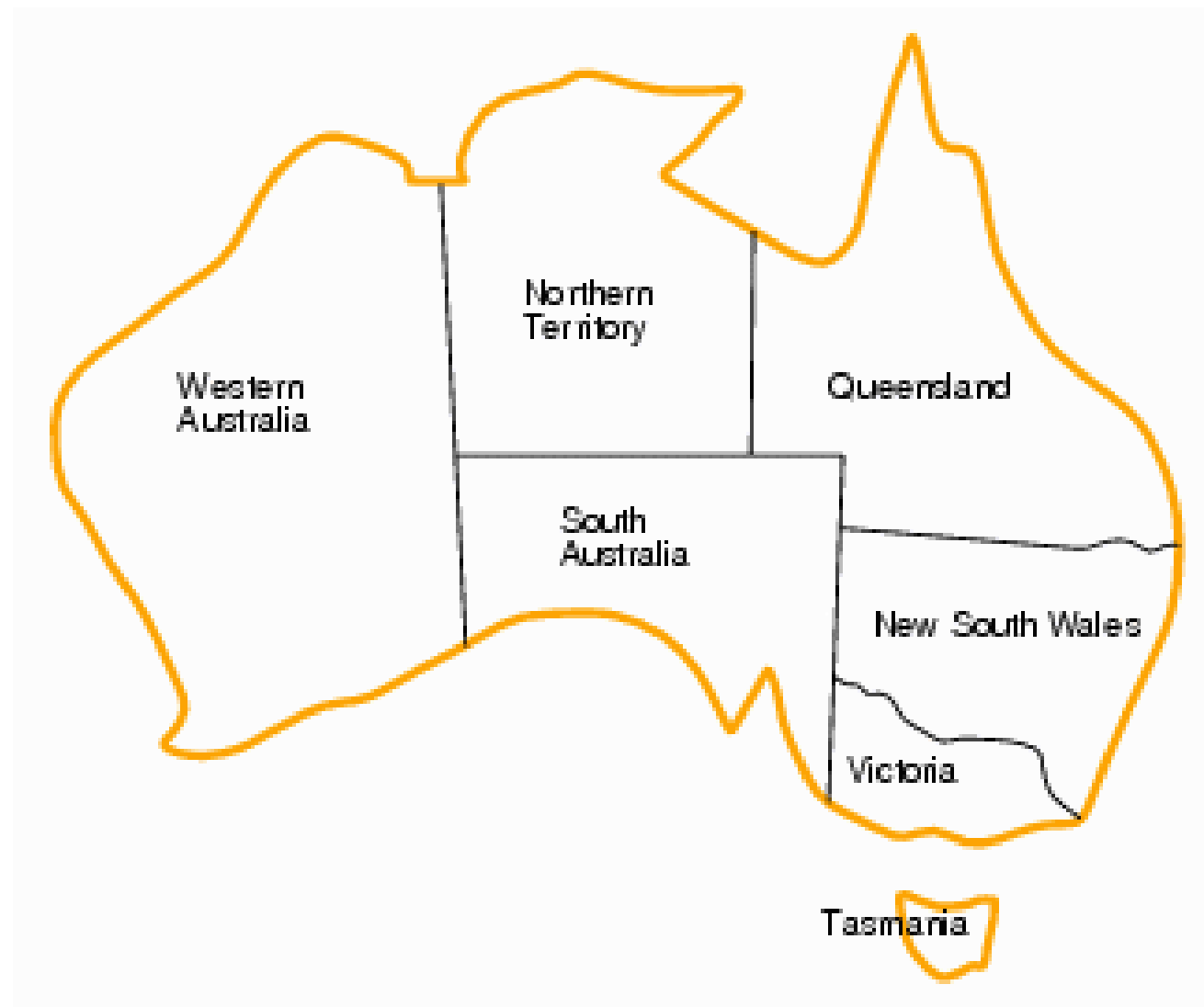
# Example: Knapsack Problems

Which items should be put in the bag to maximize the profit

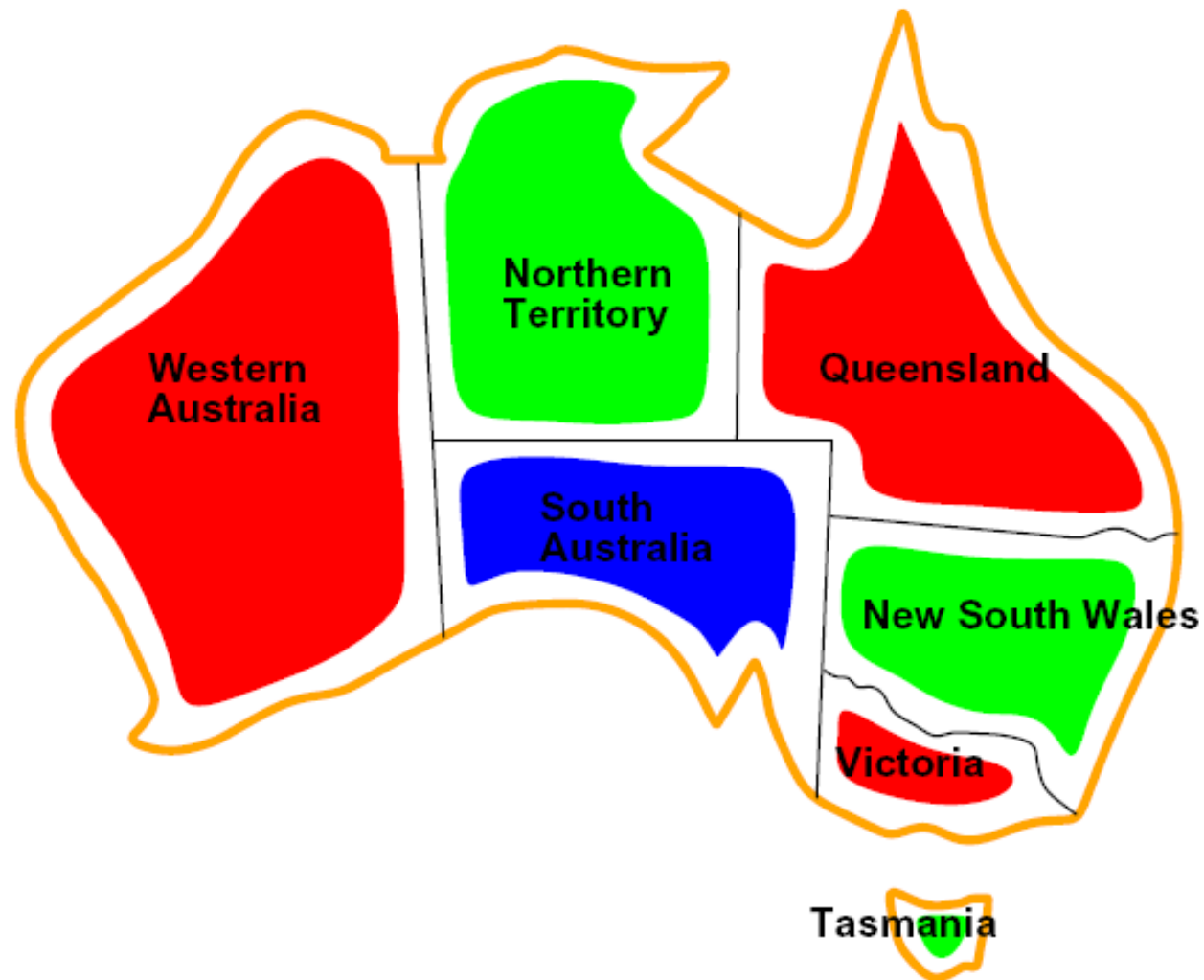


- Domain: { G, B, R, Y, P }
- Variable: { 0, ..., 9 }
- Constraint:
  - $12 G + 2 B + 1 R + 4 Y + 1 P \leq 15$
  - Find second constraint

# CSP Examples



# CSP Examples



# Example: Map Coloring

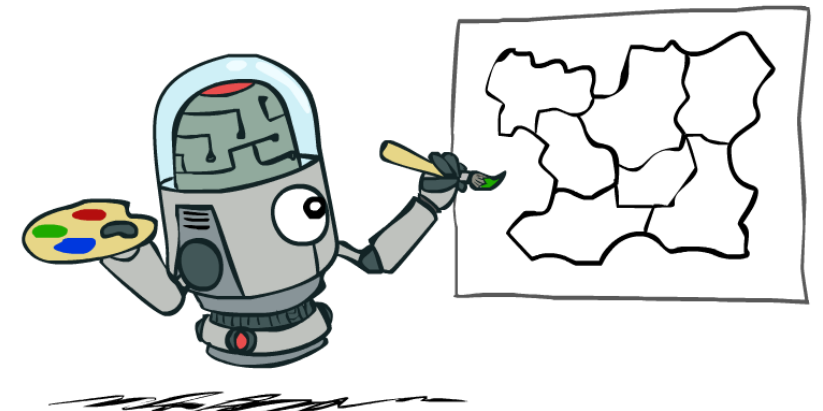
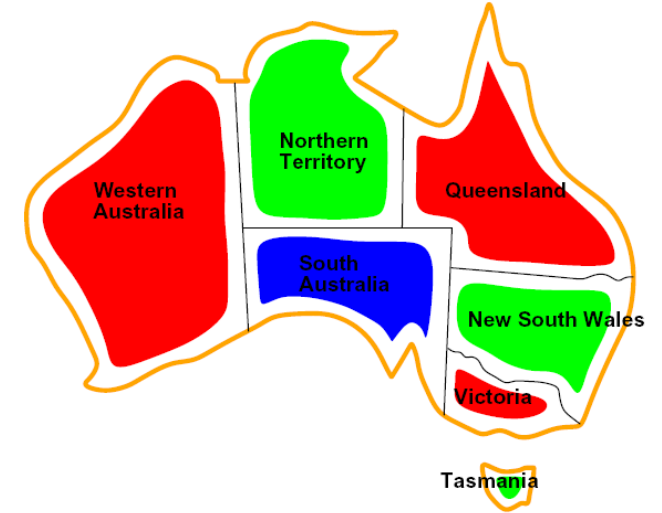
- Variables: WA, NT, Q, NSW, V, SA, T
- Domains:  $D = \{\text{red, green, blue}\}$
- Constraints: adjacent regions must have different colors

Implicit:  $WA \neq NT$

Explicit:  $(WA, NT) \in \{(\text{red, green}), (\text{red, blue}), \dots\}$

- Solutions are assignments satisfying all constraints, e.g.:

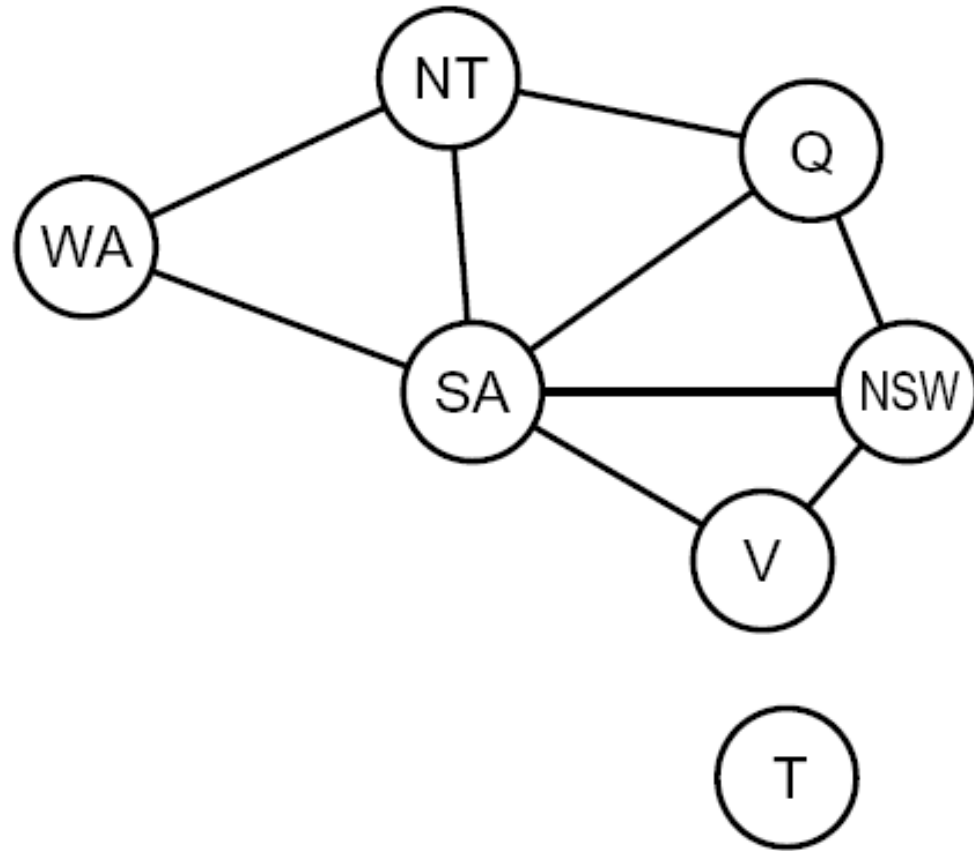
$\{WA=\text{red}, NT=\text{green}, Q=\text{red}, NSW=\text{green}, V=\text{red}, SA=\text{blue}, T=\text{green}\}$





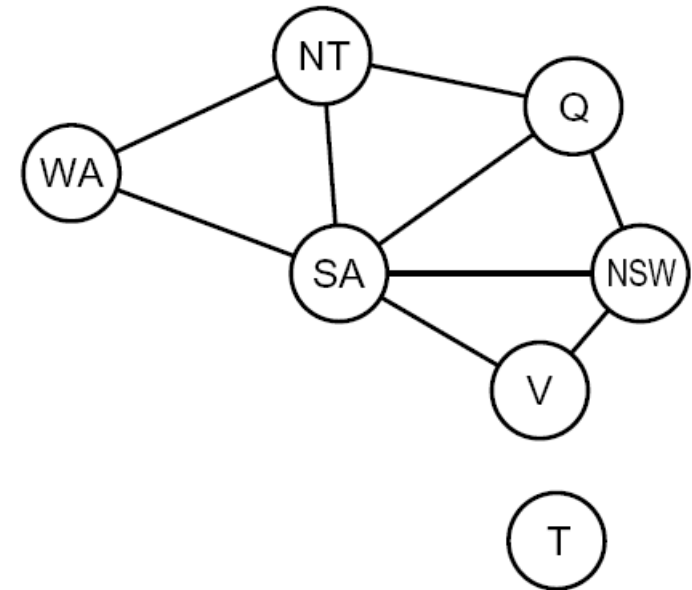
# Constraint Graphs

---

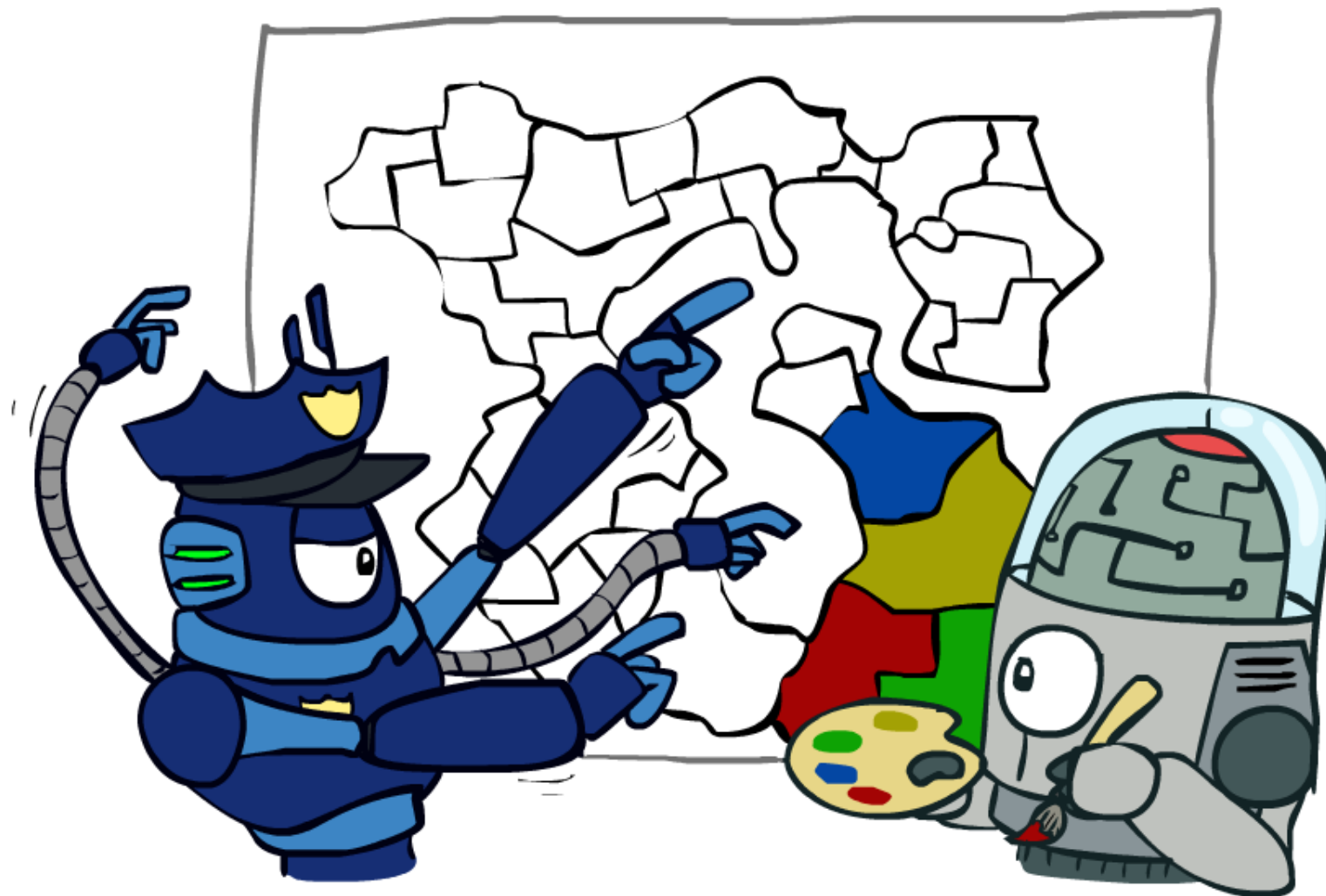


# Constraint Graphs

- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



# Varieties of CSPs and Constraints



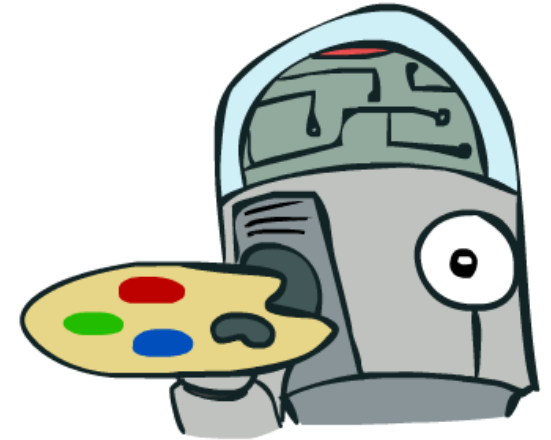
# Varieties of CSPs

- Discrete Variables

- Finite domains
  - Size  $d$  means  $O(d^n)$  complete assignments
  - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
- Infinite domains (integers, strings, etc.)
  - E.g., job scheduling, variables are start/end times for each job
  - Linear constraints solvable, nonlinear undecidable

- Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by LP methods (see cs170 for a bit of this theory)



# Varieties of Constraints

- Varieties of Constraints

- Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

$$SA \neq \text{green}$$

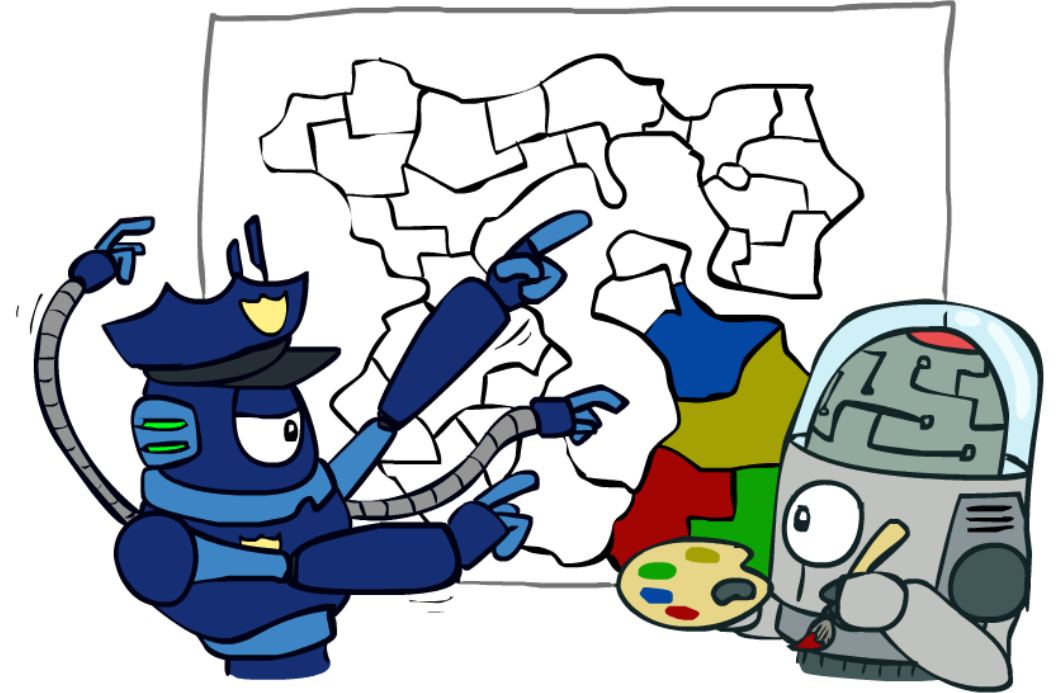
- Binary constraints involve pairs of variables, e.g.:

$$SA \neq WA$$

- Higher-order constraints involve 3 or more variables:  
e.g., cryptarithmic column constraints

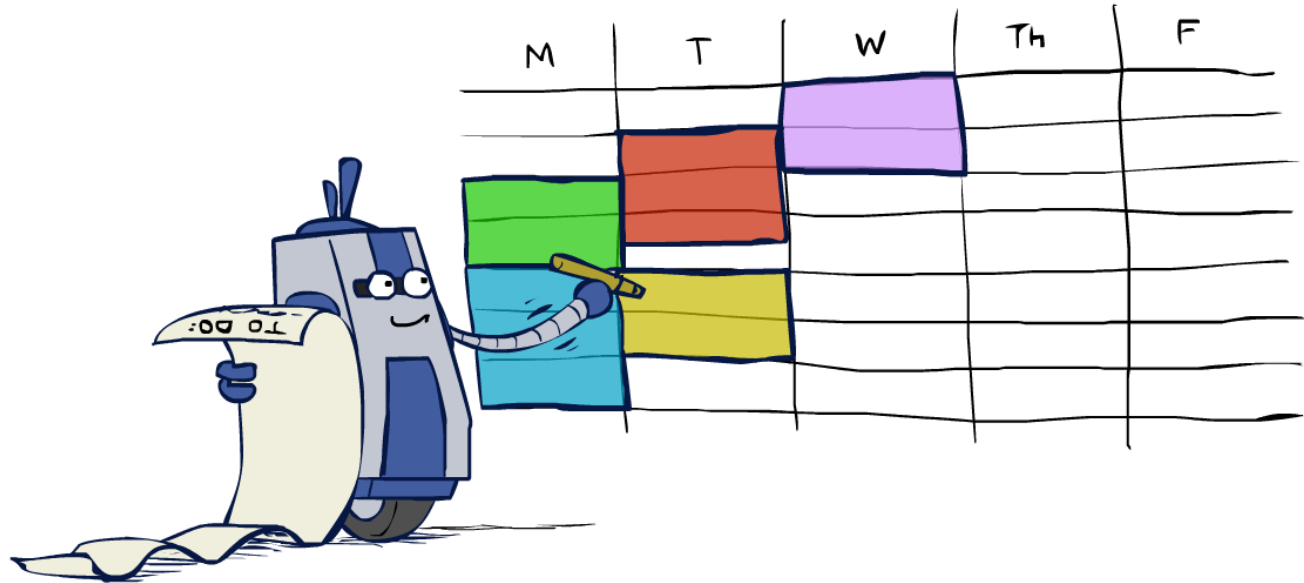
- Preferences (soft constraints):

- E.g., red is better than green
- Often representable by a cost for each variable assignment
- Gives constrained optimization problems
- (We'll ignore these until we get to Bayes' nets)



# Real-World CSPs

- Scheduling problems: e.g., when can we all meet?
- Timetabling problems: e.g., which class is offered when and where?
- Assignment problems: e.g., who teaches what class
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- ... lots more!



- Many real-world problems involve real-valued variables...

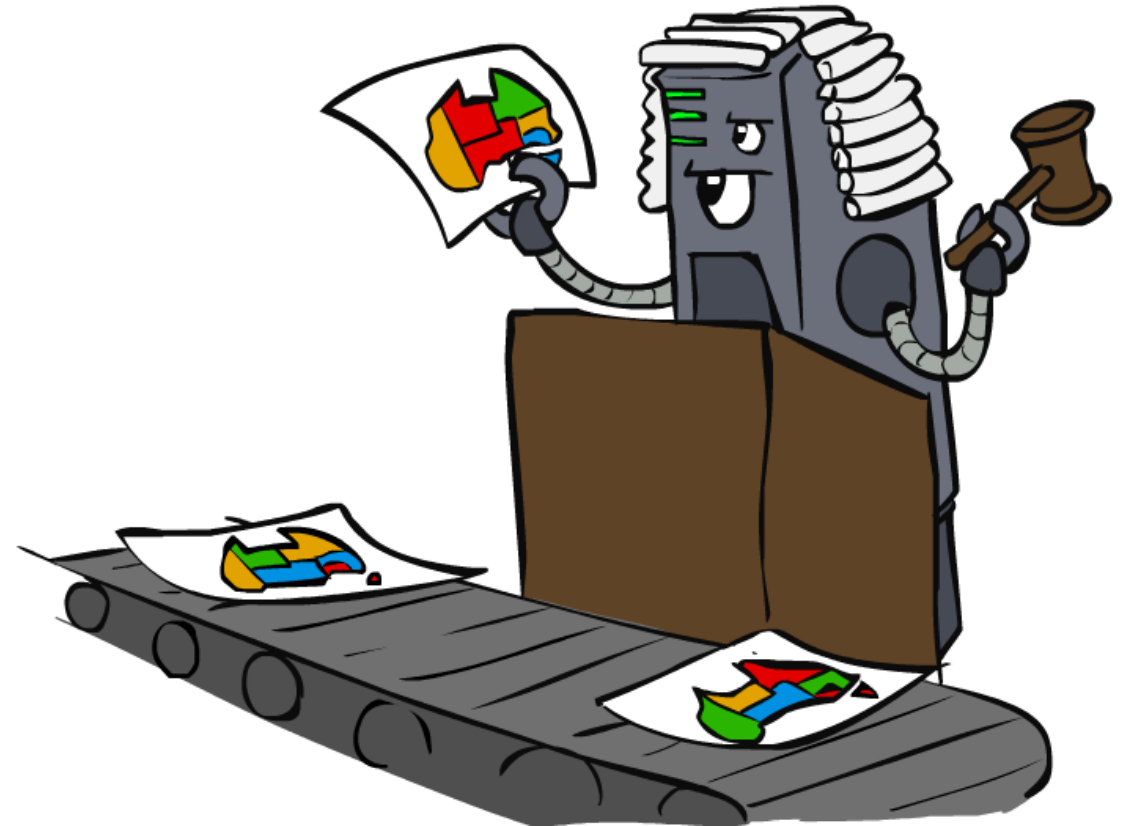
# Solving CSPs

---



# Standard Search Formulation

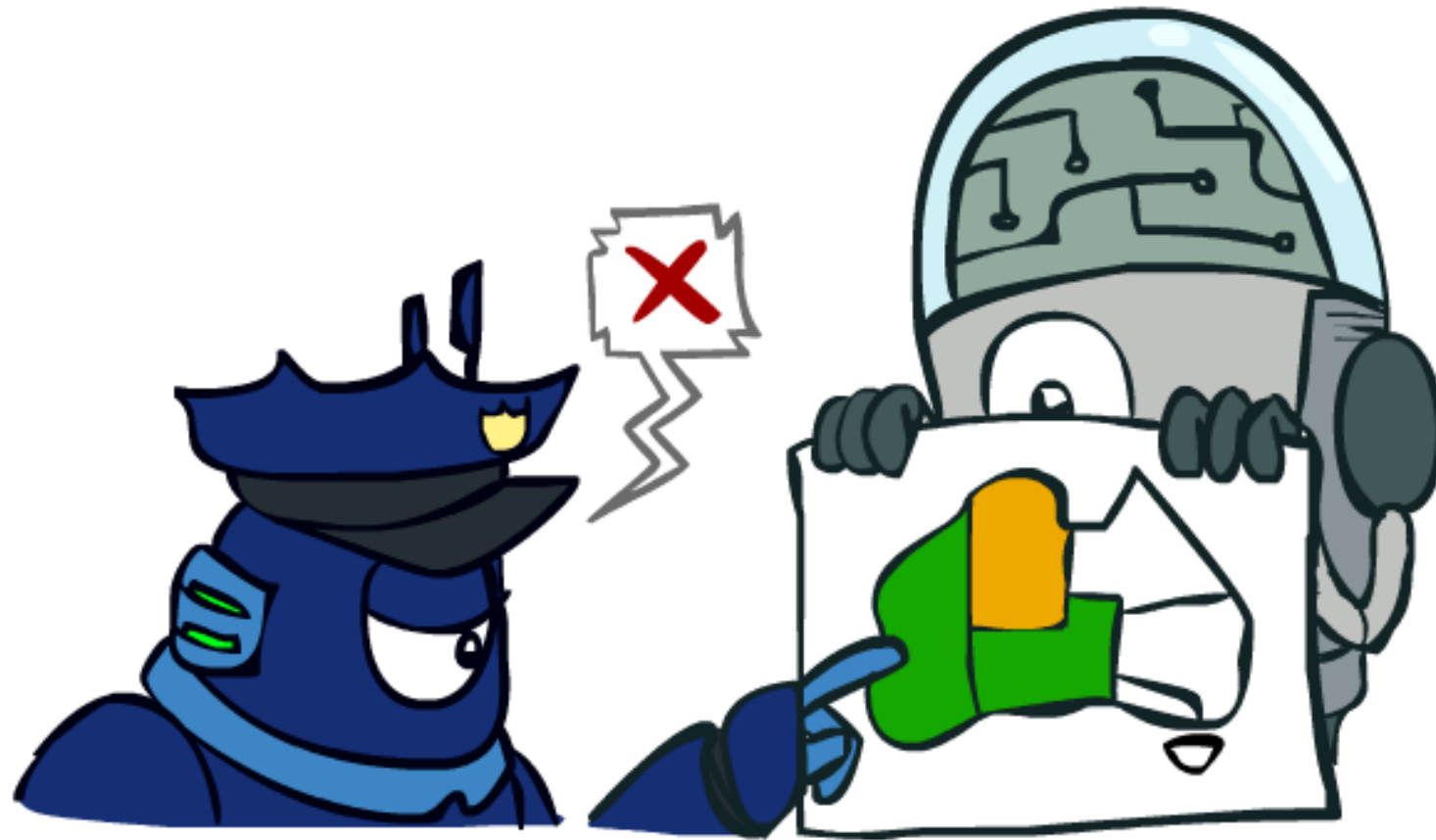
- Standard search formulation of CSPs
- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment,  $\{\}$
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- We'll start with the straightforward, naïve approach, then improve it





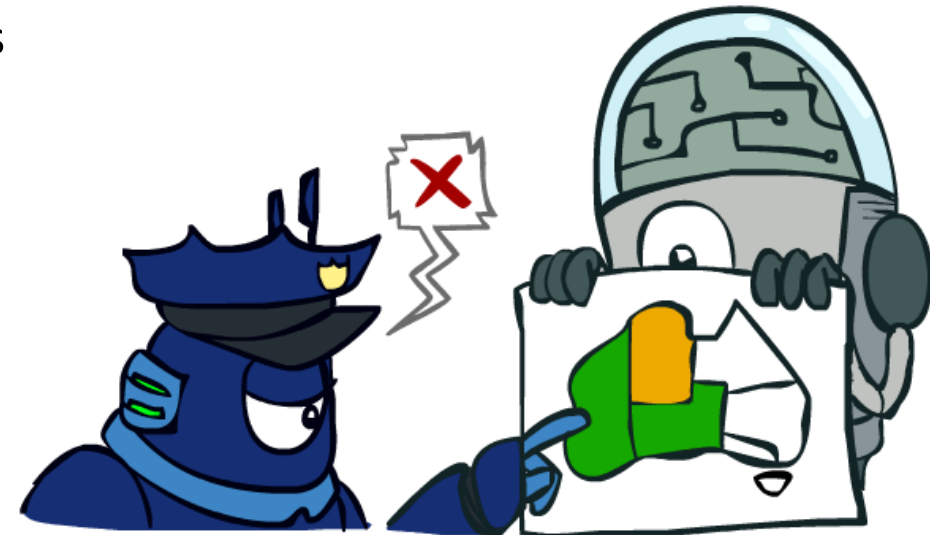
# Backtracking Search

---

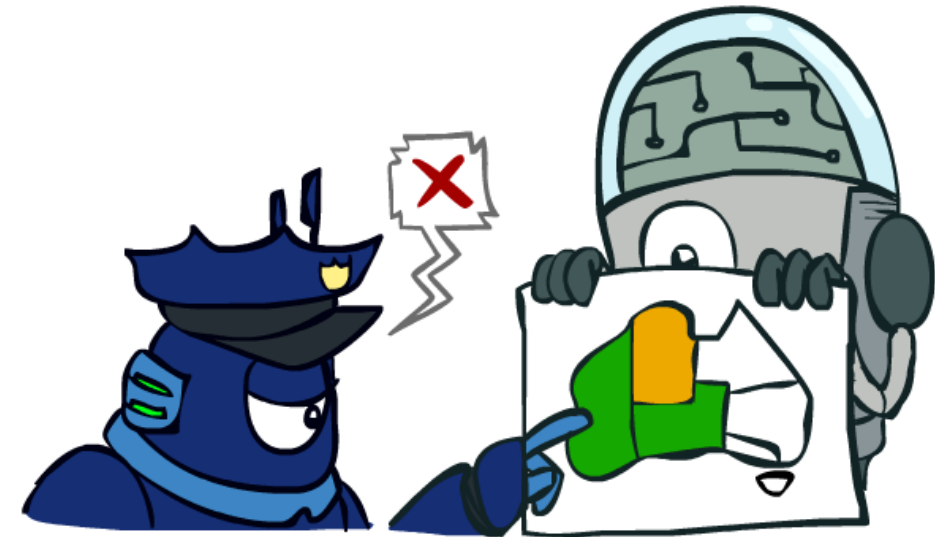
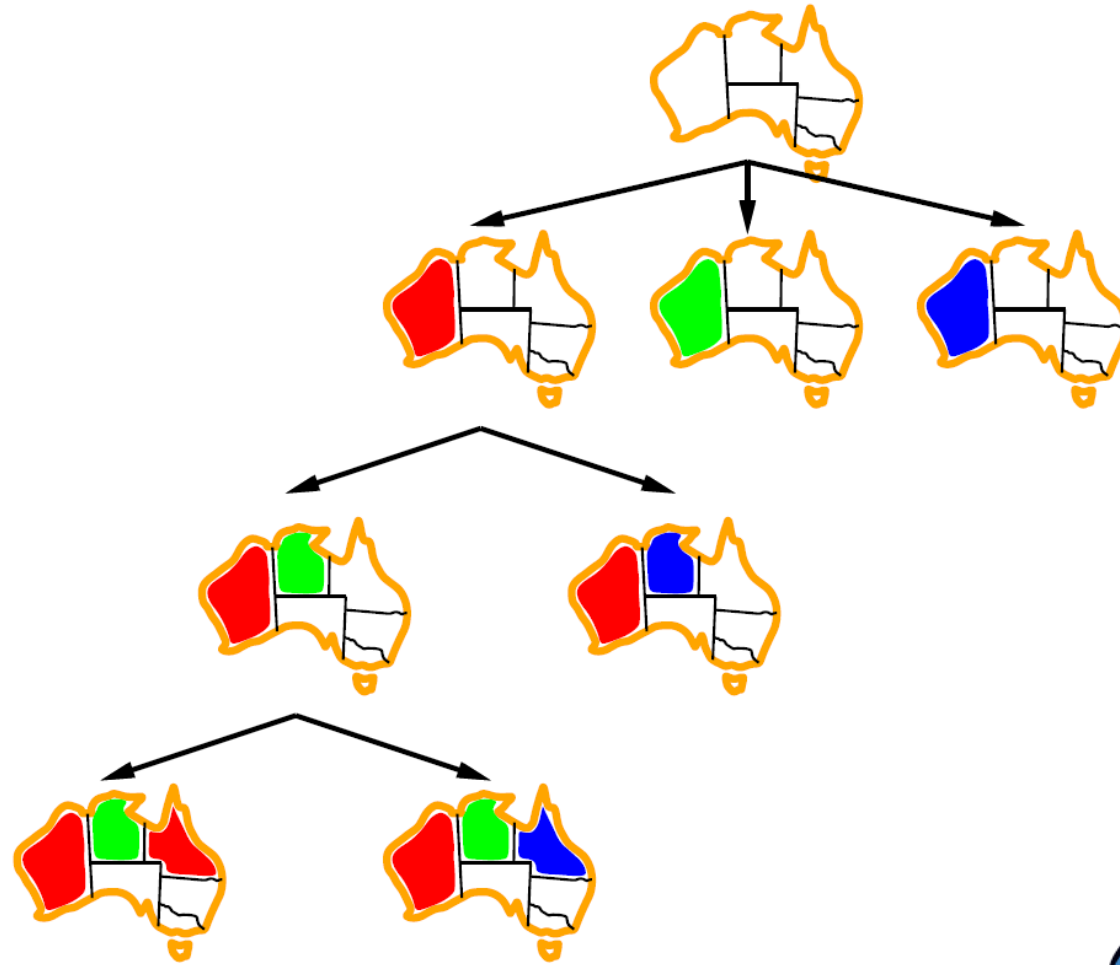


# Backtracking Search

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which do not conflict with previous assignments
  - Might have to do some computation to check the constraints
  - “Incremental goal test”
- Depth-first search with these two improvements is called *backtracking search* (not the best name)
- Can solve n-queens for  $n \approx 25$



# Backtracking Example



# Backtracking Search

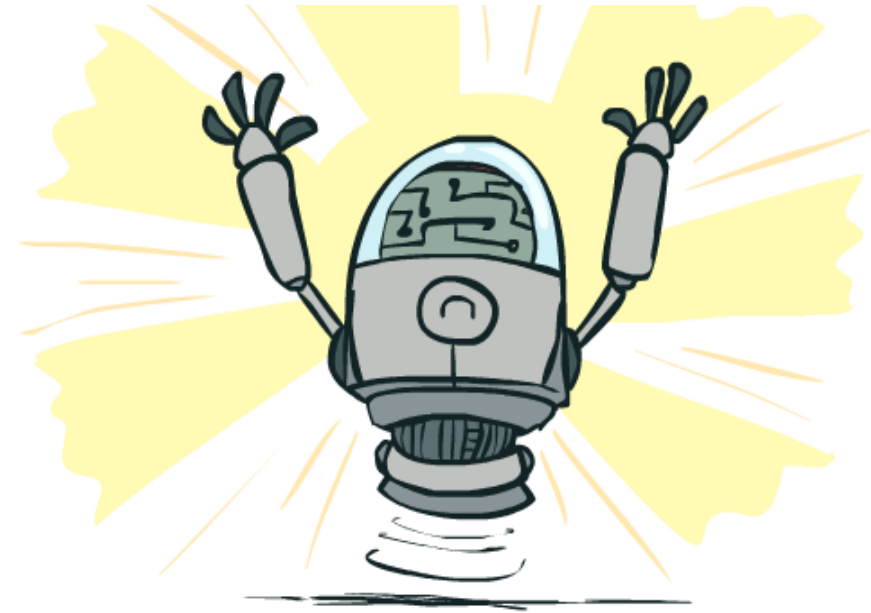
```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?

# Improving Backtracking

- Before search:
  - **Reduce the search space**
  - Arc-consistency, path-consistency, i-consistency
  - Variable ordering (fixed)
- During search:
  - **Look-ahead schemes:**
    - Detecting failure early; reduce the search space if possible
    - Which variable should be assigned next?
    - Which value should we explore first?
  - **Look-back schemes:**
    - Backjumping
    - Constraint recording
    - Dependency-directed backtracking



# Look-Ahead



## Intuition:

Apply propagation at each node in the search tree

Choose a **variable** that will detect failures early

Choose **value** least likely to yield a dead-end

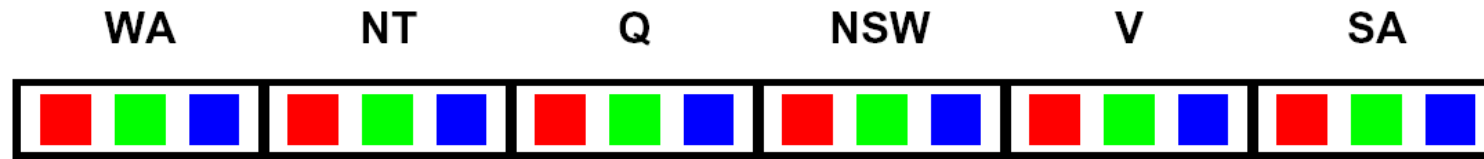
(reduce future branching)

(low branching factor)

(find solution early if possible)

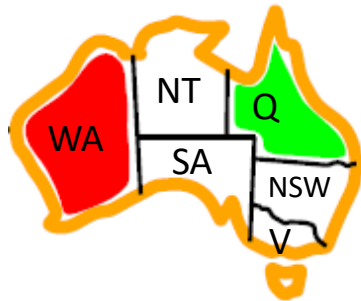
# Filtering: Forward Checking

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: Cross off values that violate a constraint when added to the existing assignment



# Filtering: Constraint Propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



WA	NT	Q	NSW	V	SA
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>
<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div></div>

- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- Constraint propagation*: reason from constraint to constraint



# Ordering

---

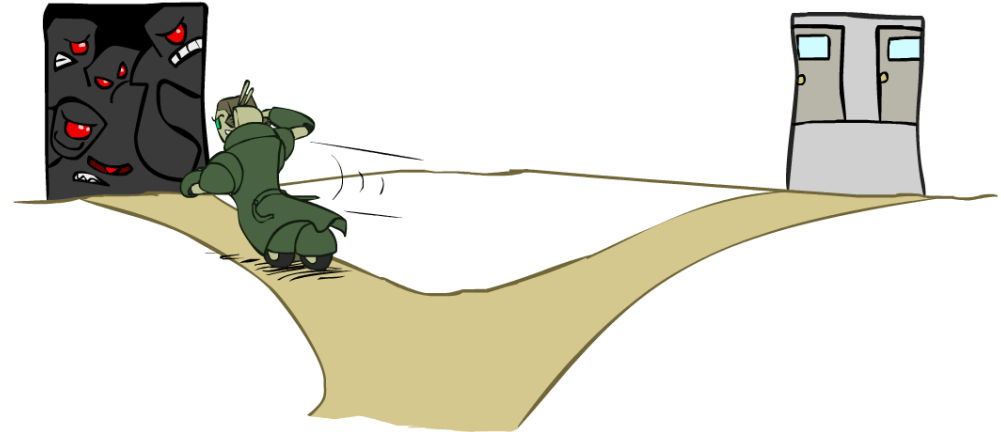


# Ordering: Minimum Remaining Values

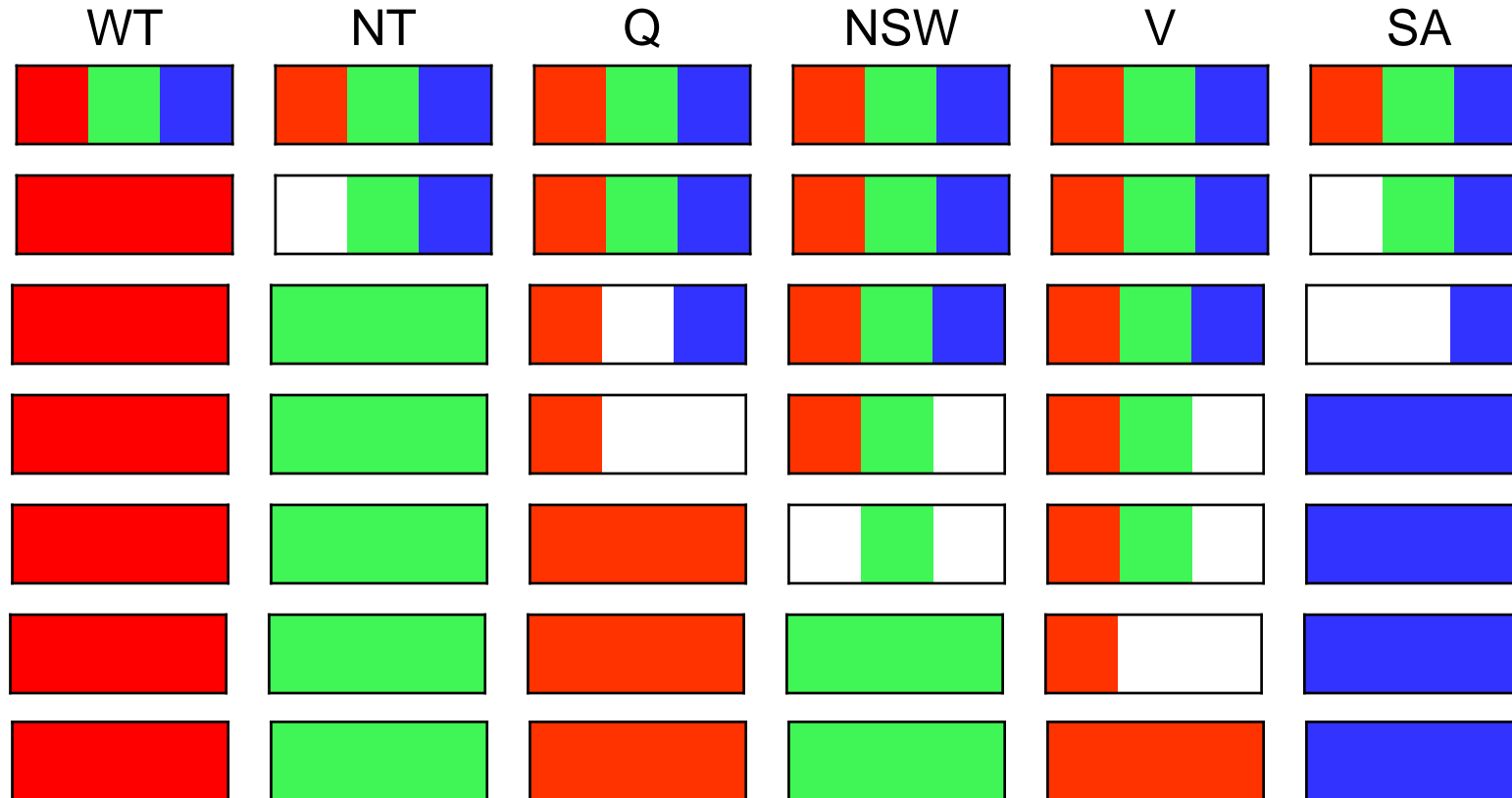
- Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain



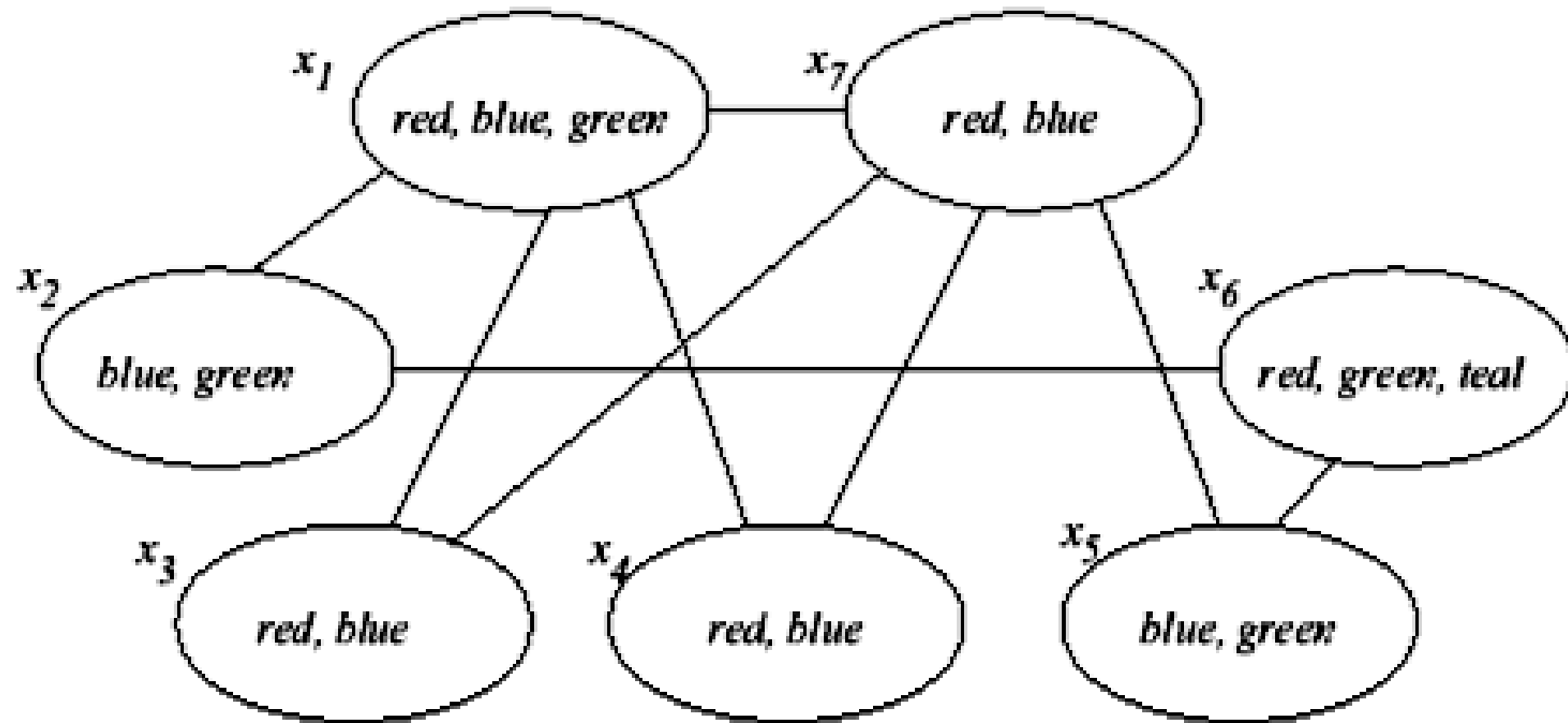
- Why min rather than max?
- Also called “most constrained variable”
- “Fail-fast” ordering



# Minimum Remaining Values (MVR)

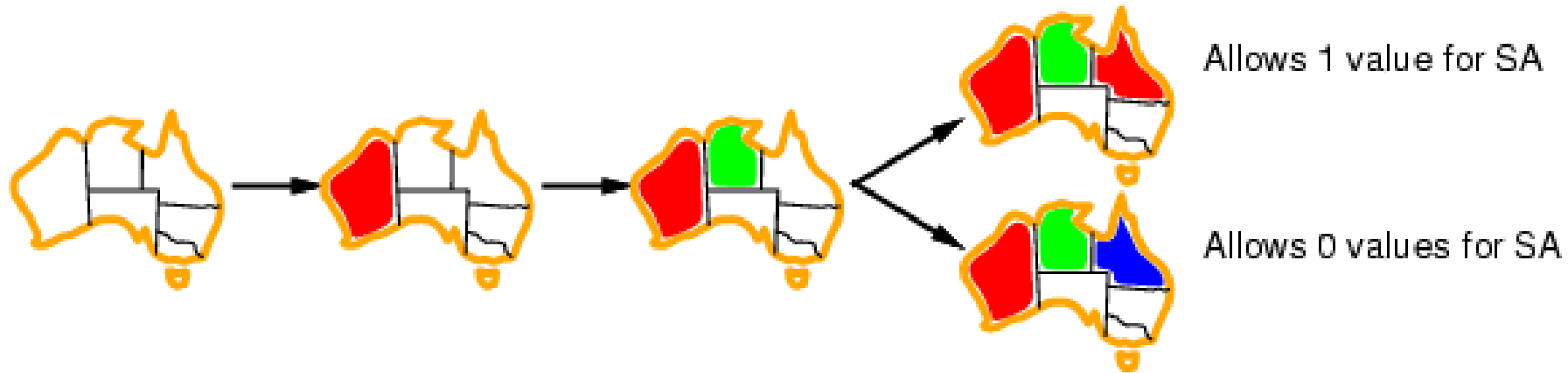


# EXAMPLE

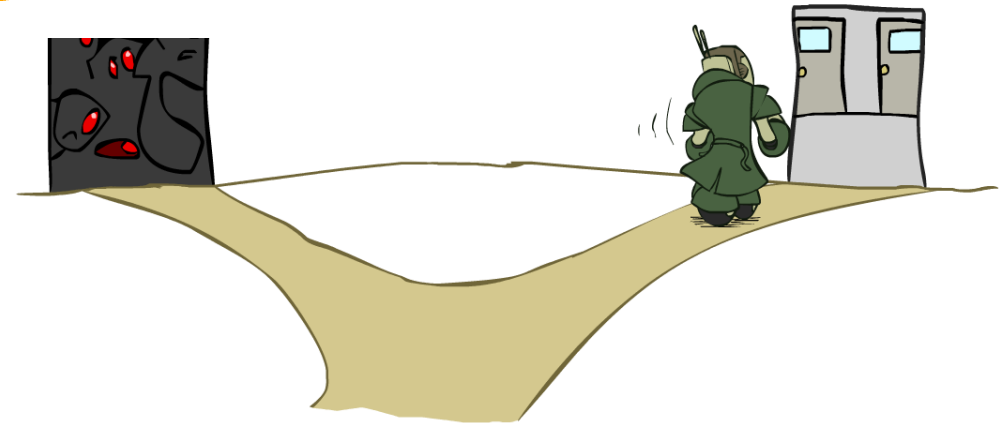


# Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the *least constraining value*
  - I.e., the one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this! (E.g., rerunning filtering)

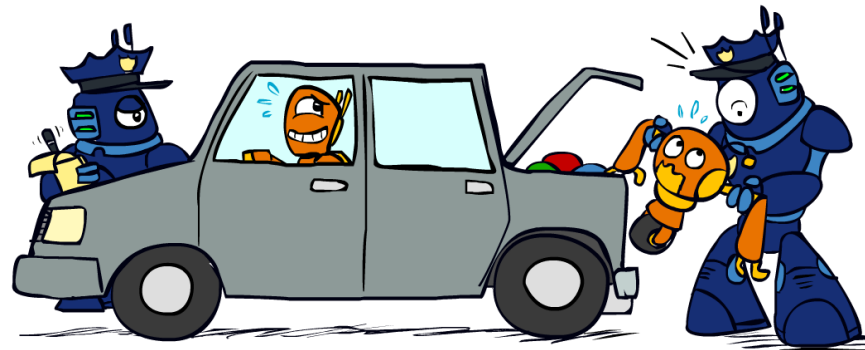
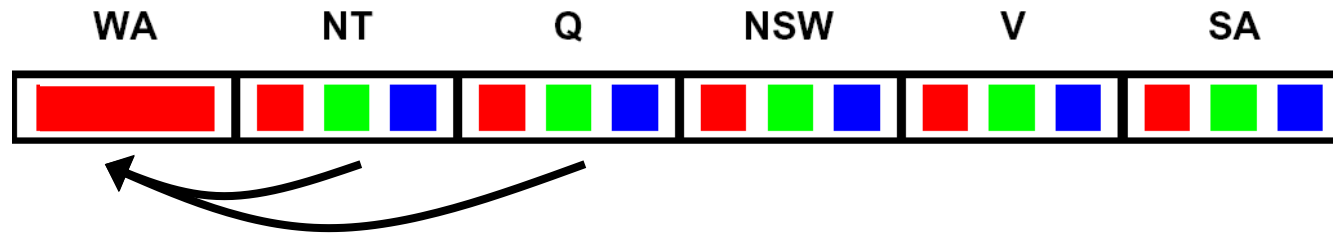
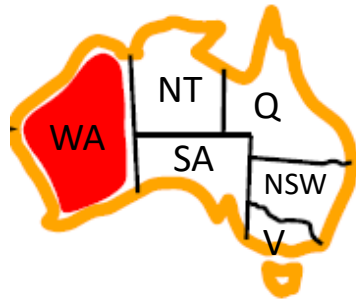


- Why least rather than most?
- Combining these ordering ideas makes 1000 queens feasible



# Consistency of A Single Arc

- An arc  $X \rightarrow Y$  is **consistent** iff for *every*  $x$  in the tail there is *some*  $y$  in the head which could be assigned without violating a constraint

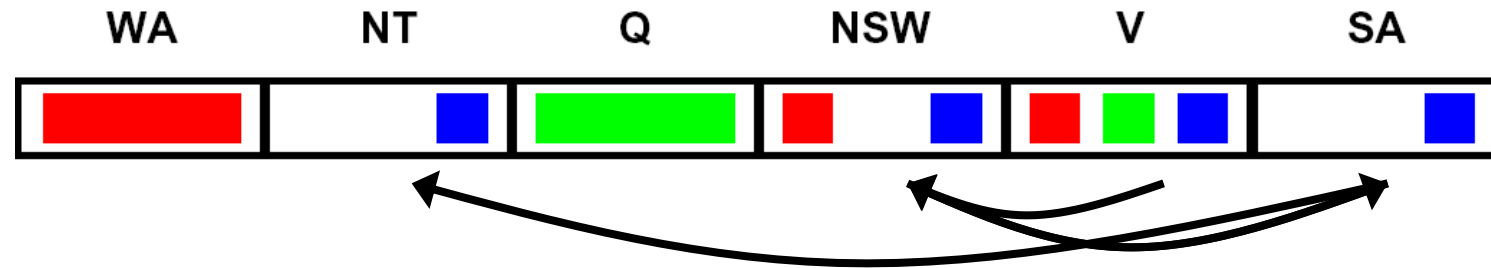
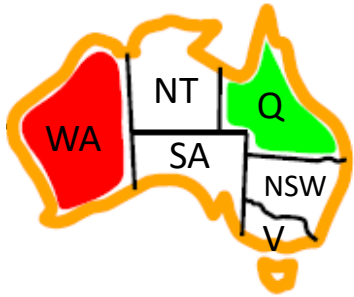


*Delete from the tail!*

- Forward checking: Enforcing consistency of arcs pointing to each new assignment

# Arc Consistency of an Entire CSP

- A simple form of propagation makes sure **all** arcs are consistent:



- Important: If X loses a value, neighbors of X need to be rechecked!
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment
- What's the downside of enforcing arc consistency?

*Remember:  
Delete from  
the tail!*

# Enforcing Arc Consistency in a CSP

**function** AC-3(*csp*) **returns** the CSP, possibly with reduced domains

**inputs:** *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **then**

**for each**  $X_k$  **in** NEIGHBORS[ $X_i$ ] **do**

            add  $(X_k, X_i)$  to *queue*

---

**function** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **returns** true iff succeeds

*removed*  $\leftarrow$  false

**for each**  $x$  **in** DOMAIN[ $X_i$ ] **do**

**if** no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$

**then** delete  $x$  from DOMAIN[ $X_i$ ]; *removed*  $\leftarrow$  true

**return** *removed*

- Runtime:  $O(n^2d^3)$ , can be reduced to  $O(n^2d^2)$
- ... but detecting all possible future problems is NP-hard – why?



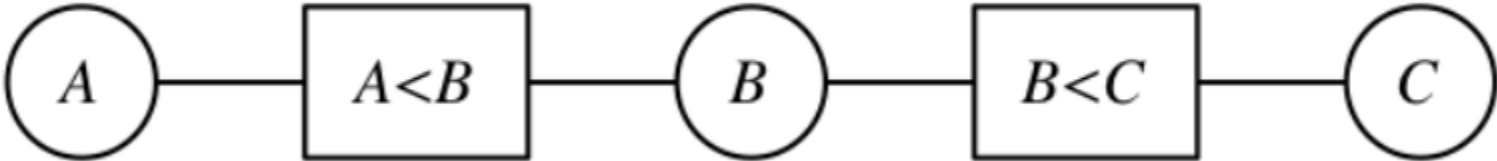
# ARC Consistency Algorithm, AC-3

- Keep a set of arcs to be considered: pick one arc  $(X, Y)$  at the time and make it consistent (i.e., make arc  $X$  consistent to  $Y$ ).
  - Start with the set of all arcs  $\{(X, Y), (Y, X), (X, Z), (Z, X), \dots\}$ .
- When an arc has been made arc consistent, does it ever need to be checked again?
  - An arc  $(Z, X)$  needs to be revisited if the domain of  $X$  is revised.

```
function AC-3(inout csp):  
    initialise queue to all arcs in csp  
    while queue is not empty:  
         $(X, Y) := \text{RemoveOne}(\text{queue})$   
        if  $\text{Revise}(\text{csp}, X, Y)$ :  
            if  $D_X = \emptyset$  then return failure  
            for each  $Z$  in  $X.\text{neighbors} - \{Y\}$  do add  $(Z, X)$  to queue
```

```
function  $\text{Revise}(\text{inout } \text{csp}, X, Y)$ :  
    delete every  $x$  from  $D_X$  such that there is no value  $y$  in  $D_Y$  satisfying the constraint  $C_{XY}$   
    return true if  $D_X$  was revised
```

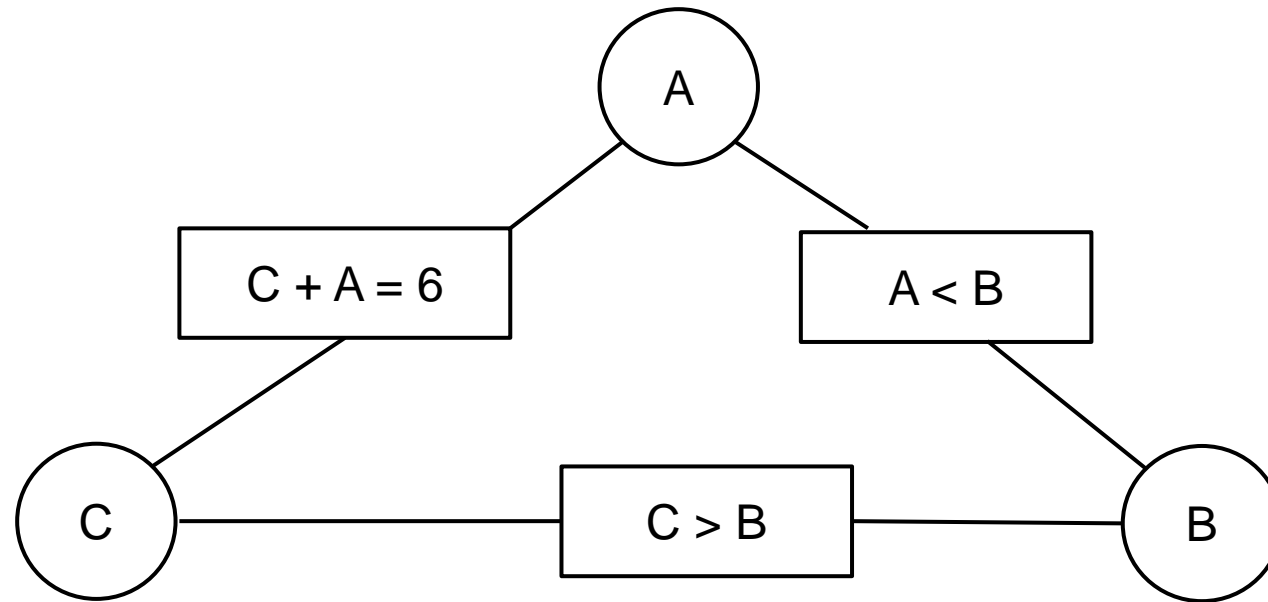
# ARC-3 Example - 1



Remove	<u>D<sub>A</sub></u>	<u>D<sub>B</sub></u>	<u>D<sub>C</sub></u>	Add	Queue
	1234	1234	1234		<u>A &lt; B, B &lt; C, C &gt; B, B &gt; A</u>
<u>A &lt; B</u>	<u>123</u>	1234	1234		<u>B &lt; C, C &gt; B, B &gt; A</u>
<u>B &lt; C</u>	123	<u>123</u>	1234	<u>A &lt; B</u>	<u>C &gt; B, B &gt; A, A &lt; B</u>
<u>C &gt; B</u>	123	123	<u>234</u>		<u>B &gt; A, A &lt; B</u>
<u>B &gt; A</u>	123	<u>23</u>	234	<u>C &gt; B</u>	<u>A &lt; B, C &gt; B</u>
<u>A &lt; B</u>	<u>12</u>	23	234		<u>C &gt; B</u>
<u>C &gt; B</u>	12	23	<u>34</u>		<u>∅</u>

# ARC-3 Example

---



# ARC-3 Example - 2

Remove	<u>D<sub>A</sub></u>	<u>D<sub>B</sub></u>	<u>D<sub>C</sub></u>	Add	Queue
	1234	1234	1234		<u>A&lt;B, B&lt;C, C+A=6, A+C=6, C&gt;B, B&gt;A</u>
<u>A&lt;B</u>	<u>123</u>	1234	1234	<u>C+A=6</u>	<u>B&lt;C, C+A=6, A+C=6, C&gt;B, B&gt;A, C+A=6</u>
<u>B&lt;C</u>	123	<u>123</u>	1234	<u>A&lt;B</u>	<u>C+A=6, A+C=6, C&gt;B, B&gt;A, C+A=6, A&lt;B</u>
<u>C+A=6</u>	123	123	<u>34</u>	<u>C&gt;B</u>	<u>A+C=6, C&gt;B, B&gt;A, C+A=6, A&lt;B, C&gt;B</u>
<u>A+C=6</u>	<u>23</u>	123	34	<u>B&gt;A</u>	<u>C&gt;B, B&gt;A, C+A=6, A&lt;B, C&gt;B, B&gt;A</u>
<u>C&gt;B</u>	23	123	34		<u>B&gt;A, C+A=6, A&lt;B, C&gt;B, B&gt;A</u>
<u>B&gt;A</u>	23	<u>3</u>	34	<u>C&gt;B</u>	<u>C+A=6, A&lt;B, C&gt;B, B&gt;A, C&gt;B</u>
<u>C+A=6</u>	23	3	34		<u>A&lt;B, C&gt;B, B&gt;A, C&gt;B</u>
<u>A&lt;B</u>	<u>2</u>	3	34	<u>C+A=6</u>	<u>C&gt;B, B&gt;A, C&gt;B, C+A=6</u>
<u>C&gt;B</u>	2	3	<u>4</u>	<u>A+C=6</u>	<u>B&gt;A, C&gt;B, C+A=6, A+C=6</u>
<u>B&gt;A</u>	2	3	4		<u>C&gt;B, C+A=6, A+C=6</u>

# ARC-3 Example

Remove	<u>D<sub>A</sub></u>	<u>D<sub>B</sub></u>	<u>D<sub>C</sub></u>	Add	Queue
		1234	1234	1234	<u>A&lt;B, B&lt;C, C+A=6, A+C=6, C&gt;B, B&gt;A</u>
<u>A&lt;B</u>	<u>123</u>	1234	1234	<u>C+A=6</u>	<u>B&lt;C, C+A=6, A+C=6, C&gt;B, B&gt;A, C+A=6</u>
<u>B&lt;C</u>	123	<u>123</u>	1234	<u>A&lt;B</u>	<u>C+A=6, A+C=6, C&gt;B, B&gt;A, C+A=6, A&lt;B</u>
<u>C+A=6</u>	123	123	<u>34</u>	<u>C&gt;B</u>	<u>A+C=6, C&gt;B, B&gt;A, C+A=6, A&lt;B, C&gt;B</u>
<u>A+C=6</u>	<u>23</u>	123	34	<u>B&gt;A</u>	<u>C&gt;B, B&gt;A, C+A=6, A&lt;B, C&gt;B, B&gt;A</u>
<u>C&gt;B</u>	23	<u>123</u>	34		<u>B&gt;A, C+A=6, A&lt;B, C&gt;B, B&gt;A</u>
<u>B&gt;A</u>	23	<u>3</u>	34	<u>C&gt;B</u>	<u>C+A=6, A&lt;B, C&gt;B, B&gt;A, C&gt;B</u>
<u>C+A=6</u>	23	3	34		<u>A&lt;B, C&gt;B, B&gt;A, C&gt;B</u>
<u>A&lt;B</u>	<u>2</u>	3	34	<u>C+A=6</u>	<u>C&gt;B, B&gt;A, C&gt;B, C+A=6</u>
<u>C&gt;B</u>	2	3	<u>4</u>	<u>A+C=6</u>	<u>B&gt;A, C&gt;B, C+A=6, A+C=6</u>
<u>B&gt;A</u>	2	3	4		<u>C&gt;B, C+A=6, A+C=6</u>
<u>C&gt;B</u>	2	3	4		<u>C+A=6, A+C=6</u>
<u>C+A=6</u>	2	3	4		<u>A+C=6</u>
<u>A+C=6</u>	2	3	4		<u>∅</u>

# ARC Consistency Algorithm, AC-3

```
function AC-3(inout csp):  
    initialise queue to all arcs in csp  
    while queue is not empty:  
        (X, Y) := RemoveOne(queue)  
        if Revise(csp, X, Y):  
            if  $D_X = \emptyset$  then return failure  
            for each Z in X.neighbors- $\{Y\}$  do add (Z, X) to queue  
  
function Revise(inout csp, X, Y):  
    delete every x from  $D_X$  such that there is no value y in  $D_Y$  satisfying the constraint  $C_{XY}$   
    return true if  $D_X$  was revised
```

# ARC-3 Example - 3

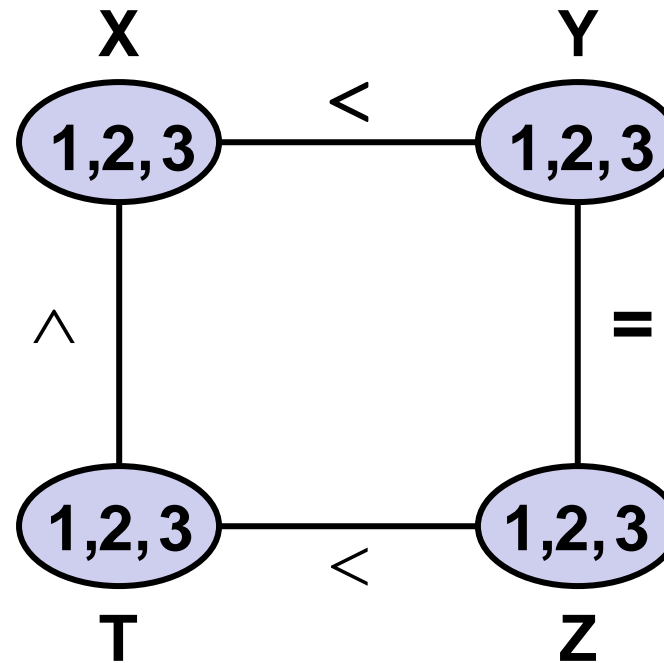
$$1 \leq X, Y, Z, T \leq 3$$

$$X < Y$$

$$Y = Z$$

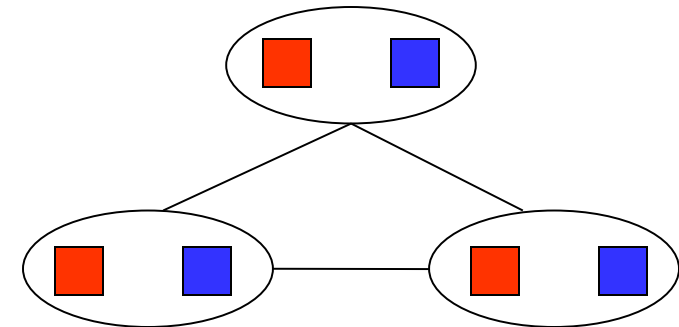
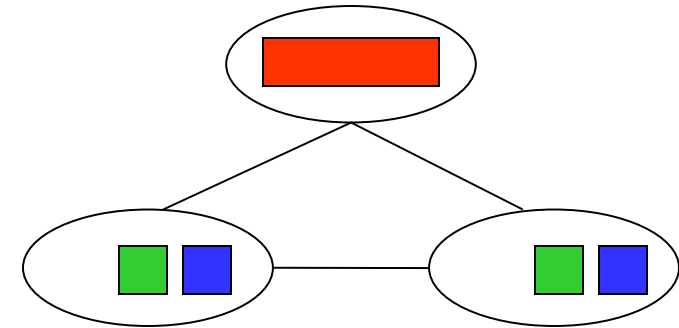
$$T < Z$$

$$X \leq T$$



# Limitations of Arc Consistency

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)
- Arc consistency still runs inside a backtracking search!



*What went wrong here?*



# Summary

---

- CSPs
  - special kind of problem: states defined by values of a fixed set of variables, goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Forward checking prevents assignments that guarantee later failure
- Heuristics
  - Variable ordering and value selection heuristics help significantly
- Variable ordering (selection) heuristics
  - Choose variable with Minimum Remaining Values (MRV)
  - Degree Heuristic – break ties after applying MRV
- Value ordering (selection) heuristic
  - Choose Least Constraining Value
- Constraint propagation (e.g. ARC consistency) does additional work to constraint values and detect inconsistencies