

# Development of a guided tagging tool for Whole Slide Images

## Master Thesis

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First Supervisor: Prof. Dr. Peter Hufnagl

Second Supervisor: Diplom Informatiker Benjaming Voigt

Submitted by:

**Sascha Nawrot (B.Sc.)**

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# Preface

Hello, this is the preface

## **Abstract**

This is the abstract.

# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
1.1	Motivation . . . . .	3
1.2	Research Objective . . . . .	4
1.3	About this thesis . . . . .	6
<b>2</b>	<b>Background</b>	<b>7</b>
2.1	Whole Slide Image Formats . . . . .	7
2.1.1	Proprietary Formats . . . . .	8
2.1.2	Open Formats . . . . .	9
2.1.3	Choice of Format . . . . .	11
2.2	Short Introduction to Neural Networks . . . . .	11
2.2.1	Methods of Learning . . . . .	12
2.2.2	The Perceptron . . . . .	13
2.2.3	Multi-layered Neural Networks . . . . .	14
2.3	Microservices . . . . .	16
2.3.1	Definition . . . . .	16
2.3.2	Advantages and Disadvantages . . . . .	18
2.3.3	Conclusion . . . . .	18
2.4	Process Chain . . . . .	19
2.4.1	Conversion Service . . . . .	20
2.4.2	Annotation Service . . . . .	21
2.4.3	Tessellation Service . . . . .	21
<b>3</b>	<b>Conversion Service</b>	<b>23</b>
3.1	Methodology . . . . .	23
3.1.1	Creating a Deep Zoom Image . . . . .	24
3.1.2	Deepzoom.py . . . . .	26
3.1.3	VIPS . . . . .	27
3.2	Implementation . . . . .	29
3.3	Test . . . . .	30
3.3.1	Setup . . . . .	30
3.3.2	Result . . . . .	31

<b>4</b>	<b>Annotation Service</b>	<b>32</b>
4.1	Methodology . . . . .	33
4.1.1	DICOM . . . . .	33
4.2	Implementation . . . . .	33
4.3	Test . . . . .	33
4.3.1	Setup . . . . .	33
4.3.2	Result . . . . .	33
<b>5</b>	<b>Tessellation Service</b>	<b>34</b>
5.1	Methodology . . . . .	34
5.2	Implementation . . . . .	34
5.3	Test . . . . .	34
5.3.1	Setup . . . . .	34
5.3.2	Result . . . . .	34
<b>6</b>	<b>Conclusion</b>	<b>35</b>
6.1	Results . . . . .	35
6.2	Conclusion . . . . .	35
6.3	Future tasks . . . . .	35
	<b>Appendices</b>	<b>36</b>
<b>A</b>	<b>Listing of Conversion Service Test Data</b>	<b>37</b>
A.1	Aperio (.svs) . . . . .	37
A.2	Generic Tiled tiff (.tiff) . . . . .	38
A.3	Hamamatsu (.ndpi) . . . . .	38
A.4	Hamamatsu (.vms) . . . . .	38
A.5	Leica (.scn) . . . . .	39
A.6	Mirax (.mrxs) . . . . .	40
A.7	Trestle (.tiff) . . . . .	41
A.8	Ventana (.bif) . . . . .	41
	<b>Bibliography</b>	<b>42</b>
	<b>List of Figures</b>	<b>45</b>
	<b>List of Tables</b>	<b>46</b>

# Chapter 1

## Introduction

### 1.1 Motivation

The medical discipline of pathology is in a digital transformation. Instead of looking at tissue samples through the means of traditional light microscopy, it now is possible to digitalize those samples. This digitalization is done with the help of a so called slide scanner. The result of such an operation is a *whole slide image* (WSI) [4]. The digital nature of WSIs opens the door to the realm of image processing and analysis which yields certain benefits, such as the use of image segmentation and registration methods to support the pathologist in his/her work.

A very promising novel approach to image analysis is the use of *neural networks*<sup>1</sup>. These are a group of models inspired by our current understanding of biological NN. In them, regardless if artificial or biological, many neurons are interconnected with each other. The construct of interconnected neurons is what we consider a NN. Each single one of those neurons has input values and an output value. Once the input reaches a certain trigger point, the cell in the neuron sends a signal as output. The connections between the neurons are weighted and can dampen or strengthen a signal. Because of this, old pathways can be blocked and new ones created. In other words, a NN is capable of "learning" [19]. This is a huge advantage compared to other software models. While certain problems are "easier" to solve in a sequential, algorithmic fashion (say an equation or the towers of hanoi), certain problems (e.g. image segmentation or object recognition) are very complex, so that new approaches are needed, while other problems can't be solved algorithmic at all. With the use of adequate training samples, a NN can learn to solve a problem, much like a human.

Their use, especially in the area of image classification and object recognition, enabled big breakthroughs in the recent past. Karpathy and Fei-Fei, for example, created a NN that is capable of describing an image or a scene with

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<sup>1</sup>See chapter 2.2



Figure 1.1: Example results of the in [1] introduced model (source: <http://cs.stanford.edu/people/karpathy/deepimagesent/>)

written text [1] (see fig. 1.1 for a selection of examples).

There is enormous potential in the use of NN in the digital pathology as well, but to transfer these models and technologies, certain hindrances must be overcome. One of those hindrances is the need for proper training samples. While generally there are large amounts of WSIs (e.g. publicly available at the Cancer Genome Atlas<sup>2</sup>), most of them won't be usable without further preparation as a training sample. One way of preparing them are annotations. These can be added to the WSIs, stored and later used for training. The result of such an approach could be similar to the one of [1], but with a medical context instead of daily situations.

Therefore the goal of this thesis is to give tools into the hands of pathologists and data scientists to annotate WSIs and save those annotations in such a way that they will be usable later in combination with NN.

## 1.2 Research Objective

The objective of this thesis is the conceptualization and implementation of tools to prepare WSIs for the further use as training samples in NN. To achieve this,

<sup>2</sup><https://gdc-portal.nci.nih.gov/>

a process chain with all the necessary steps needs to be established. The chain consists of the following tasks:

- (A) open WSI with a viewer tool
- (B) make that viewer tool capable of creating, managing and saving annotations
- (C) make made annotations usable as training samples for NN

There is no standardized WSI file format [4]. Hence, slide scanner vendors developed their own proprietary solutions. This either leads to

- (i) locking-in on a specific vendor or
  - (ii) separate handling of each proprietary format
- (i) would render the whole process chain vendor specific, limiting its use drastically. (ii) would not render the process chain vendor specific but call for a lot of extra work, due to the separate handling of different formats. Luckily, open file formats have been specified. According to [4], those are:

- JPEG2000
- TIFF
- Deep Zoom Images (DZI)
- DICOM (supplement 145), without reference implementation as of yet [4]

Therefore, to achieve (A), the first step of the process chain is to establish a tool with which WSIs of various formats can be turned into one of those open ones. This way, neither (i) nor (ii) will arise as a problem.

To achieve (A) and (B), it is also necessary to deploy a graphical user interface (GUI), that not only makes it possible to open and view a WSI (A), but also enables the user to annotate the WSI, as well as manage made annotations (B).

To achieve (C), another tool needs to be established, that is capable of turning saved annotations into training samples which are prepared for a further use in NN.

In summary: to reach the research objective of this thesis, tools to achieve the following tasks need to be established:

- (a) conversion of various WSI formats into an open format
- (b) annotation of WSIs and management thereof
- (c) extracting and preparing annotations as training samples for later use in NN



### 1.3 About this thesis

This thesis contains 6 chapters. *Chapter 1 - Introduction* and *2 - Background* address the scope, background and vocabulary of this thesis. The chapters 3 - 5 are directly concerning themselves with 1.2(a) - 1.2(c). They correspond as follows:

(a): *chapter 3 - Conversion Service*

(b): *chapter 4 - Annotation Service*

(c): *chapter 5 - Tessellation Service*

*Chapter 6 - Conclusion* will discuss and conclude the findings of the aforementioned chapters.

## Chapter 2

# Background

### 2.1 Whole Slide Image Formats

As the size of an acquired raw, uncompressed WSI is massive<sup>1</sup>, file formatting is required to mitigate the enormous amounts of information. Since there is no standardized format for WSI vendors came up with their own, proprietary solutions, which may vary greatly in size [4]. Efforts of standardization are being made through *Digital Imaging and Communications in Medicine* (DICOM), but the progress is a slow one [10].

To achieve a WSI, different compression and decompression methods are applied, depending on the vendor [10]. Usually, WSI files are stored as a multitude of single images, spanning multiple folders and different resolutions. Those files are used to construct a so called *image pyramid* [10] (see fig. 2.1).

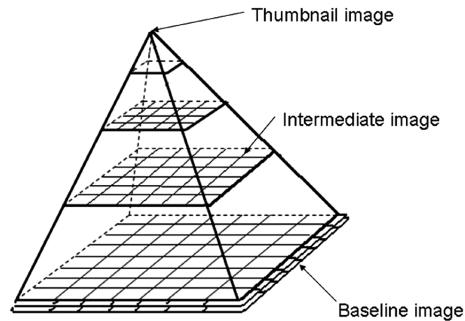


Figure 2.1: Example of an image pyramid (DICOM, source: [11])

The image pyramid model is used to enable the real-time viewing of different magnifications and to reduce the computational burden of traversing a WSI

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<sup>1</sup> A typical 1,600 megapixel slide requires about 4.6 GB of memory on average [10].

[12]. This is made possible by creating copies of the original image in different resolutions (compare "Intermediate image" and "Baseline image" in fig. 2.1), which are referred to as *levels*. Usually, the resolution is lowered by the factor 2 in each dimension for every level in the pyramid:

$$width(n) = width(n - 1)/2 \quad (2.1)$$

$$height(n) = height(n - 1)/2 \quad (2.2)$$

where  $n$  is the level of the pyramid. The result is, that each image has only 1/4 the amount of pixels compared to the image in the level before. The image in unaltered resolution is considered as the *baseline image* [21].

Furthermore, each level gets tessellated into several tiles (compare fig. 2.1). Because of this, it is possible to only load the visible tiles, instead of the whole image of the corresponding level.

### 2.1.1 Proprietary Formats

Vendors of whole slide scanners implement their own file formats, libraries and viewers (see tab. 2.1 for a list of vendors and their formats). Because of this, they can focus on the key features and abilities of their product. This generally leads to a higher usability, ease-of-use and enables highly tailored customer support. Furthermore, in comparison to open source projects, the longevity of proprietary software is often higher [15].

vendor	formats
Aperio	svs, tif
Hamamatsu	vms, vmu, ndpi
Leica	scn
Mirax	mrxs
Philips	tiff
Sakura	svslide
Trestle	tif
Ventana	bif, tif

Table 2.1: File formats by vendor

#### Aperio

The .svs format by Aperio is a TIFF-based format, which comes in a single file [7]. It has a specific internal organization in which the first image is the baseline image, which is always tiled (usually with  $240^2$  pixels). This is followed by a thumbnail, typically with dimensions of about 1024x768 pixels. The thumbnail is followed by at least one intermediate pyramid image (compare fig. 2.1), with the same compression and tile organization as the baseline image [21]. Optionally, there may be a slide label and macro camera image at the end of each file [21].

**Hamamatsu**

**Leica**

**Mirax**

**Philips**

**Sakura**

**Ventana**

### **2.1.2 Open Formats**

Proprietary formats typically come without much or any documentation. Furthermore, a vendors viewer is usually the only way of viewing WSIs of a particular format. This creates a vendor lock-in, where users can't take advantage of new improvements offered by other vendors [7]. Furthermore, most viewers only provide support for Windows platforms. While, in a clinical setting, Windows may dominate the market, a significant amount of users in medical research prefer Linux or Mac OS X [7]. The use of mobile platforms, such as iOS or Android tablets may also have a great influence of the work flow in the future. Some vendors try to compensate for this fact with a server-based approach, which hurts performance by adding a network round-trip delay on every digital slide operation [7].

To compensate those issues, open image formats have been suggested, which will be discussed further, in the following subsections.

## DICOM (Digital Imaging and Communications in Medicine)

### Deep Zoom Images

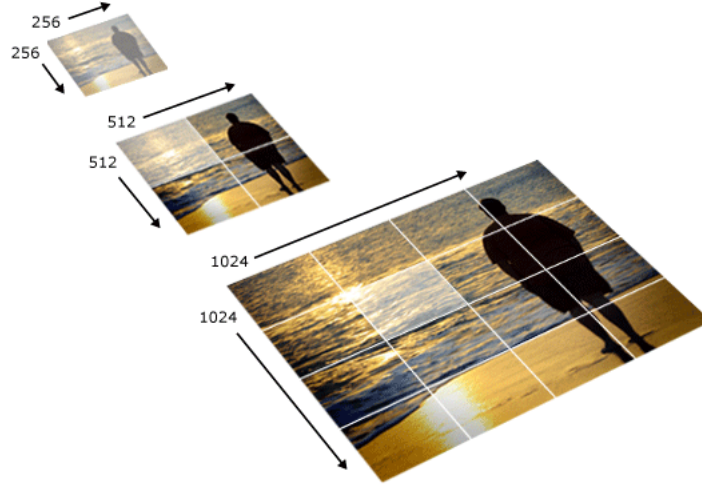


Figure 2.2: 3 consecutive levels of a dzi image (source: <https://i-msdn.sec.s-msft.com/dynimg/IC141135.png>)

The Deep Zoom Image Format (dzi) is an xml-based file format maintained by Microsoft to improve performance and quality in the handling of large image files. For this purpose an image is represented in a tiled pyramid (see fig. 2.1).

As seen in fig. 2.1 there are multiple versions of a single image in different resolutions. Each resolution in the pyramid is called a *level*. At each level the image is scaled down by the factor 4 (2 in each dimension). Furthermore, the image gets tiled up into  $256^2$  tiles (256 in each dimension) [20].

If a viewer wants to view a certain area of the image (e.g. the highlighted tile in the last image in fig. 2.1), only the corresponding tiles need to be loaded. This saves large amounts of bandwidth and memory. The same goes for a viewer, who is zoomed out very far. In such a view the full level of detail isn't needed, so that a version from a lower level can be loaded.

A dzi file consists of two parts: a describing .xml file<sup>2</sup> and a folder with more subfolder. Each subfolder describes a level and as such contains all the tiles for that particular level.

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<sup>2</sup>Frameworks like *OpenSeaDragon* also support further formats, such as .json.

JPEG2000

TIFF/BigTIFF

### 2.1.3 Choice of Format

## 2.2 Short Introduction to Neural Networks

The objective of this thesis ultimately is to create training samples for NN<sup>3</sup>. Before going into other details, it is necessary to clarify what NN are, how they work, why they need training samples and what they use them for<sup>4</sup>.

Artificial Neural Networks (NN) are a group of models inspired by Biological Neural Networks (BNN). BNNs can be described as an interconnected web of neurons (see fig 2.x), whose purpose it is to transmit information in the form of electrical signals. A neuron receives input via dendrites and send output via axons [25]. An average human adult brain contains about  $10^{11}$  neurons. Each of those receives input from about  $10^4$  other neurons. If their combined input is strong enough, the receiving neuron will send an output signal to other neurons [6].

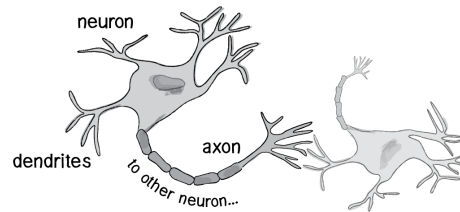


Figure 2.3: Neuron in a BNN (source: [25])

NN are much simpler in comparison<sup>5</sup>, but generally work in the same fashion.

One of the biggest strengths of a NN, much like a BNN, is the ability to adapt by learning<sup>6</sup>. This adaption is based on *weights* that are assigned to the connections between single neurons. Fig 2.x shows an exemplary NN with neurons and the connections between them.

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<sup>3</sup>see chap. 1.2

<sup>4</sup>It should be mentioned that the scope of NN is huge and worth a whole thesis by themselves. This is nothing more than a short introduction and further consultation of literature (e.g. [3], [6], [9], [19], [25]) is highly recommended.

<sup>5</sup>Usually, they don't have much more than a few dozen neurons [6].

<sup>6</sup>As humans, NN learn by training [25].

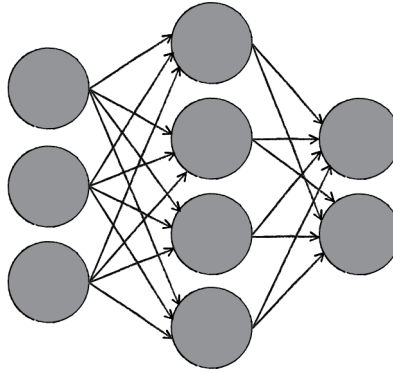


Figure 2.4: Exemplary NN (source: [25])

Each line in fig. 2.x represents a connection between 2 neurons. Those connections are a one-directional flow of information, each assigned with a specific weight. This weight is a simple number that is multiplied with the incoming/outgoing signal and therefore weakens or enhances it. They are the defining factor of the behavior of a NN. Determining those values is the purpose of training a NN [6].

According to [25], some of the standard use cases for NN are:

- Pattern Recognition
- Time Series Prediction
- Signal Processing Perceptron
- Control
- Soft Sensors
- Anomaly Detection

### 2.2.1 Methods of Learning

There are 3 general strategies when it comes to the training of a NN [6]. Those are:

1. Supervised Learning
2. Unsupervised Learning
3. Reinforcement Learning (a variant of Unsupervised Learning [23])

*Supervised Learning* is a strategy that involves a training set to which the correct output is known, as well as an observing teacher. The NN is provided

with the training data and computes its output. This output is compared to the expected output and the difference is measured. According to the error made, the weights of the NN are corrected. The magnitude of the correction is determined by the used learning algorithm [23].

*Unsupervised Learning* is a strategy that is required when the correct output is unknown and no teacher is available. Because of this, the NN must organize itself [25]. [23] makes a distinction between 2 different classes of unsupervised learning:

- reinforced learning
- competitive learning

Reinforced learning adjusts the weights in such a way, that desired output is reproduced. For example, a robot in a maze. If the robot can drive straight without any hindrances, it can associate this sensory input with driving straight (desired outcome). As soon as it approaches a turn, the robot will hit a wall (non-desired outcome). To prevent it from hitting the wall it must turn, therefore the weights of turning must be adjusted to the sensory input of being at a turn. Another example is *Hebbian learning*<sup>7</sup> [23].

In competitive learning, the single neurons compete against each other for the right to give a certain output for an associated input. Only one element in the NN is allowed to answer, so that other, competing neurons are inhibited [23].

### 2.2.2 The Perceptron

The perceptron was invented by Rosenblatt at the Cornell Aeronautical Laboratory in 1957 [24]. It is the computational model of a single neuron and as such, the simplest NN possible [25]. A perceptron consists of one or more inputs, a processor and a single output (see fig. 2.x) [24].

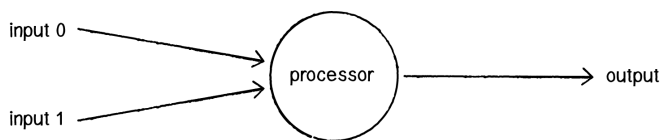


Figure 2.5: Perceptron by Rosenblatt (source: [25])

This can be directly compared to the neuron in fig. 2.x, where:

- input = dendrites
- processor = cell
- output = axon

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<sup>7</sup>see [23] for further information



A perceptron is only capable of solving *linearly separable* problems, such as logical *AND* and *OR* problems. To solve non-linearly separable problems, more than one perceptron is required [24]. Simply put, a problem is linearly separable, if it can be solved with a straight line (see fig. 2.x), otherwise it is considered a non-linearly separable problem (see fig. 2.x).

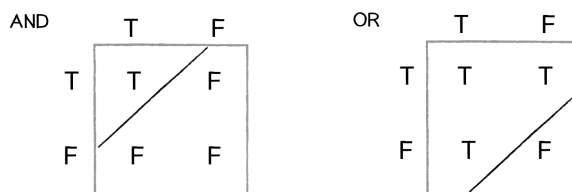


Figure 2.6: Examples for linearly separable problems (source: [25])

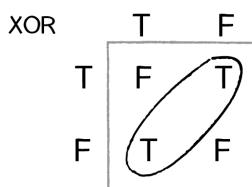


Figure 2.7: Examples for non-linearly separable problems (source: [25])

### 2.2.3 Multi-layered Neural Networks

To solve more complex problems, multiple perceptrons can be connected to form a more powerful NN. A single perceptron might not be able to solve *XOR*, but one perceptron can solve *OR*, while the other can solve  $\neg$ *AND*. Those two perceptrons combined can solve *XOR* [25].

If multiple perceptrons get combined, they create layers. Those layers can be separated into 3 distinct types [3]:

- input layer
- hidden layer
- output layer

A typical NN will have an input layer, which is connected to a number of hidden layers, which either connect to more hidden layers or, eventually, an output layer (see fig. 2.x for a NN with one hidden layer).

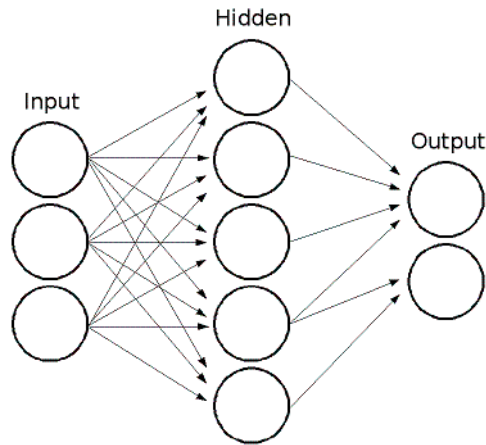


Figure 2.8: NN with multiple layers (source: [http://docs.opencv.org/2.4/\\_images/mlp.png](http://docs.opencv.org/2.4/_images/mlp.png))

As the name suggests, the input layer gets provided with the raw information input. Depending on the internal weights and connections inside the hidden layer, a representation of the input information gets formed. At last, the output layer generates output, again based on the connections and weights of the hidden and output layer [3].

Training this kind of NN is much more complicated than training a simple perceptron, since weights are scattered all over the NN and its layers. A solution to this problem is called *backpropagation* [25].

### Backpropagation

Training is an optimization process. To optimize something, a metric to measure has to be established. In the case of backpropagation, this metric is the accumulated output error of the NN to a given input<sup>8</sup>. There are several ways to calculate this error, with the *mean square error*<sup>9</sup> being the most common one [6].

Finding the optimal weights is an iterative process of the following steps:

1. start with training set of data with known output
2. initialize weights in NN
3. for each set of input, feed the NN and compute the output

<sup>8</sup>To do so, it is necessary to know the right answer. Therefore, backpropagation is part of the supervised learning process.

<sup>9</sup>Mean square error is the average of the square of the differences of two variables, in this case the expected and the actual output.

4. compare calculated with known output
5. adjust weights to reduce error

There are 2 possibilities in how to proceed. The first one is to compare results and adjust weights after each input/output-cycle. The second one is to calculate the accumulated error over a whole iteration of the input/output-cycle. Each of those iterations is known as an *epoch* [6].

## 2.3 Microservices

The following section elaborates on the concept of *Microservices* (MS), defining what they are, listing their pros and cons, as well as explaining why this approach was chosen over a monolithic approach. A monolithic software solution is described by [18] as follows:

”[...] a monolithic application [is] built as a single unit. Enterprise Applications are often built in three main parts: a client-side user interface (consisting of HTML pages and javascript running in a browser on the user’s machine) a database (consisting of many tables inserted into a common, and usually relational, database management system), and a server-side application. The server-side application will handle HTTP requests, execute domain logic, retrieve and update data from the database, and select and populate HTML views to be sent to the browser. This server-side application is a monolith - a single logical executable. Any changes to the system involve building and deploying a new version of the server-side application.”

### 2.3.1 Definition

MS are an interpretation of the Service Oriented Architecture. The concept is to separate one monolithic software construct into several smaller, modular pieces of software [27]. As such, MS are a modularization concept. However, they differ from other such concepts, since MS are independent from each other. This is a trait, other modularization concepts usually lack [27]. As a result, changes in one MS don’t bring up the necessity of deploying the whole product cycle again, but just the one service. This can be achieved by turning each MS into an independent process with its own runtime [18].

This modularization creates an information barrier between different MS. Therefore, if MS need to share data or communicate with each other, lightweight communication mechanisms must be established, such as a RESTful API [22].

Even though MS are more a concept than a specific architectural style, certain traits are usually shared between them [22]. According to [22] and [18], those are:

- (a) **Componentization as a Service:** bringing chosen components (e.g. external libraries) together to make a customized service
- (b) **Organized Around Business Capabilities:** cross-functional teams, including the full range of skills required to achieve the MS goal
- (c) **Products instead of Projects:** teams own a product over its full lifetime, not just for the remainder of a project
- (d) **Smart Endpoints and Dumb Pipes:** each microservice is as decoupled as possible with its own domain logic
- (e) **Decentralized Governance:** enabling developer choice to build on preferred languages for each component.
- (f) **Decentralized Data Management:** having each microservice label and handle data differently
- (g) **Infrastructure Automation:** including automated deployment up the pipeline
- (h) **Design for Failure:** a consequence of using services as components, is that applications need to be designed so that they can tolerate the failure of single or multiple services

Furthermore, [2] defined 5 architectural constraints, which should help to develop a MS:

- (1.) **Elastic**  
The elasticity constraint describes the ability of a MS to scale up or down, without affecting the rest of the system. This can be realized in different ways. [2] suggests to architect the system in such a fashion, that multiple stateless instances of each microservice can run, together with a mechanism for Service naming, registration, and discovery along with routing and load-balancing of requests.
- (2.) **Resilient**  
This constraint is referring to the before mentioned trait (h) - *Design for Failure*. The failure of or an error in the execution of a MS must not impact other services in the system.
- (3.) **Composable**  
To avoid confusion, different MS in a system should have the same way of identifying, representing, and manipulating resources, describing the API schema and supported API operations.
- (4.) **Minimal**  
A MS should only perform one single business function, in which only semantically closely related components are needed.

(5.) **Complete**

A MS must offer a complete functionality, with minimal dependencies to other services. Without this constraint, services would be interconnected again, making it impossible to upgrade or scale individual services.

### 2.3.2 Advantages and Disadvantages

One big advantage of this modularization is that each service can be written in a different programming language, using different frameworks and tools. Furthermore, each microservice can bring along its own support services and data storages. It is imperative for the concept of modularization, that each microservice has its own storage of which it is in charge of [27].

The small and focused nature of MS makes scaling, updates, general changes and the deploying process easier. Furthermore, smaller teams can work on smaller code bases, making the distribution of know how easier [22].

Another advantage is how well MS plays into the hands of agile, scrum and continuous software development processes, due to their previously discussed inherent traits.

The modularization of MS doesn't only yield advantages. Since each MS has its own, closed off data management<sup>10</sup>, interprocess communication becomes a necessity. This can lead to communicational overhead which has a negative impact on the overall performance of the system [27].

2.3.1(e) (*Decentralized Governance*) can lead to compatibility issues, if different developer teams chose to use different technologies. Thus, more communication and social compatibility between teams is required. This can lead to an unstable system which makes the deployment of extensive workarounds necessary [22].

It often makes sense to share code inside a system to not replicate functionality which is already there and therefore increase the maintenance burden. The independent nature of MS can make that very difficult, since shared libraries must be build carefully and with the fact in mind, that different MS may use different technologies, possibly creating dependency conflicts.

### 2.3.3 Conclusion

After consideration of the advantages and disadvantages of MS, the author decided in favor of using them. This is mainly due to the fact of working alone on the project, negating some of their inherent disadvantages:

- Interprocess communication doesn't arise between the single stages of the process chain, since they have a set order<sup>11</sup>

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<sup>10</sup>See 2.3.1(f) (*Decentralized Data Management*)

<sup>11</sup>E.g. it wouldn't make sense trying extract a training sample without converting or annotating a WSI first.

- Different technologies may be chosen for the single steps of the process chain, however, working alone on the project makes technological incompatibilities instantly visible
- The services shouldn't share functionality, therefore there should be no need for shared libraries

This makes the advantages outweigh the disadvantages clearly:

- different languages and technologies can be used for every single step of the process chain, making the choice of the most fitting tool possible
- WSIs take a heavy toll on memory and disk space due to their size; the use of MS allows each step of the chain to handle those issues in the most suitable way for each given step
- separating the steps of the process chain into multiple MS makes for a smaller and easier maintainable code base
- other bachelor/master students may continue to use or work on this project in the future, making the benefit of a small, easily maintainable code base twice as important
- the implementation of the project will happen in an iterative, continuous manner, which is easily doable with the use of MS

## 2.4 Process Chain

This section and its following subsections are dedicated to establish the process chain necessary to accomplish the research objectives stated in 1.2(a) - 1.2(c). The usual procedure would look as follows:

- (1.) convert chosen WSI  $img_i^{wsi}$  to DZI format  $img_i^{dzi}$
- (2.) open  $img_i^{dzi}$  in a viewer  $V$
- (3.) annotate  $img_i^{dzi}$  in  $V$
- (4.) persist annotations  $A_i$  on  $img_i^{dzi}$  in a file  $f_{(A_i)}$
- (5.) create training sample  $ts_i$  by extracting the information of  $A_i$  in correspondence to  $img_i^{dzi}$

While it only makes sense to run (1.) once per  $img_i^{wsi}$  to create  $img_i^{dzi}$ , steps (2.) - (4.) can be repeated multiple times, so that there is no need to finish the annotation of an image in one session. That makes it necessary to not only save but also load annotations. Therefore, the loading of already made annotations can be added as step (2.5). This also enables the user of editing and deleting already made annotations. Because of this, step (5.) also needs to be repeatable.

The single steps of the process chain will be sorted into semantic groups. Each group will be realized by its own MS, as stated in 2.3. The semantic groups are: conversion (1.), extraction (5.) and viewing and annotation (2. - 4.).

A MS will be introduced for each group in the following subsections(2.4.1 - 2.4.3). Those are:

- **Conversion Service**

This service will be responsible of the conversion from  $img_i^{wsi}$  to  $img_i^{dzi}$  (1.).

- **Annotation Service**

This service will offer a GUI to view a  $img_i^{dzi}$ , as well as make and manage annotations (2. - 4.).

- **Tessellation Service**

This service will be responsible for extracting a  $ts_i$  from a given  $A_i$  and  $img_i^{dzi}$  (5.).

### 2.4.1 Conversion Service

The devices which create WSIs, so called *whole slide scanners*, create images in various formats, depending on the producer and a lack of a defined standard [4]. The Conversion Service (CS) has the goal of converting those formats to DZI<sup>12</sup>.

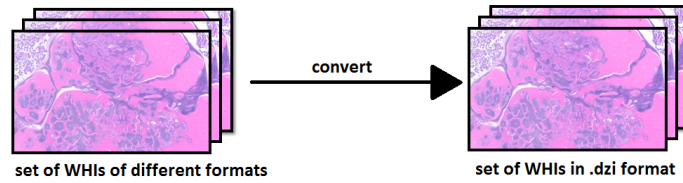


Figure 2.9: Visualization of the Conversion Service

Upon invocation, the CS will take every single WSI inside a given directory and convert it to DZI. The output of each conversion will be saved in another specified folder. Valid image formats (and their corresponding producers) for conversion are:

- .bif (Ventana)
- .mrxs (Mirax)
- .ndpi (Hamamatsu)

---

<sup>12</sup>see chap. 1.2(i) and 1.2(ii) for the reasons

- .scn (Leica)
- .svs (Aperio)
- .svslide (Sakura)
- .tif (Aperio, Trestle, Ventana)
- .tiff (Philips)
- .vms (Hamamatsu)
- .vmu (Hamamatsu)

### 2.4.2 Annotation Service

As mentioned in 2.4, the Annotation Service (AS) will provide a graphical user interface (GUI) to view a DZI, make annotations and manage those annotations. This also includes persisting made annotations in a file (see fig. 2.2).

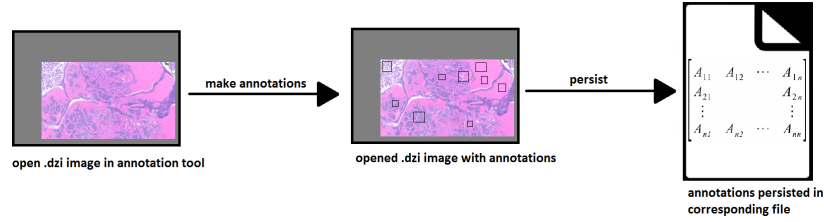


Figure 2.10: Visualization of the Annotation Service

The supplied GUI will offer different tools to help the user annotate the DZI, e.g. a ruler to measure the distance between 2 points. The annotations themselves will be made via drawing a contour around an object of interest and putting a specified label to that region. To ensure uniformity of annotations, labels will not be added in free text. Instead they will be selected from a predefined dictionary.

### 2.4.3 Tessellation Service

The task of the Tessellation Service (TS) is to extract annotations and their corresponding image data in such a fashion that they will become usable as training samples for NN.



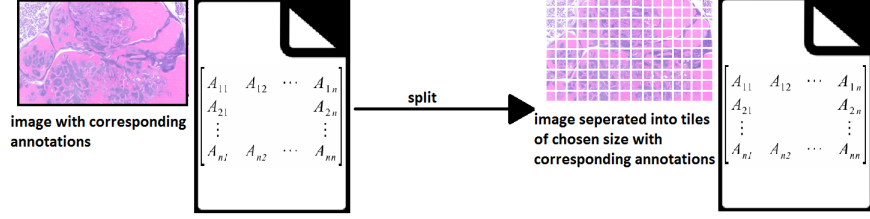


Figure 2.11: Visualization of the Tesselation Service

Let there be a DZI  $D$  and a corresponding set of annotations  $A$ . The TS will achieve the extraction by iterating over every  $a_i \in A$ , creating a sub-image  $d_i$  which is the smallest bounding box around the region described by  $a_i$  (see fig. 2.3). To be used as training sample, the TS must keep up the correspondence between  $d_i$  and  $a_i$ .

## Chapter 3

# Conversion Service

### 3.1 Methodology

The objective of the Conversion Service is to convert a given set of input WHIs into dzi files. Since a dzi is layered into a pyramid scheme, it is necessary to calculate the needed number of levels, as well as the dimensions of each level (see fig. 3.1 for an example).

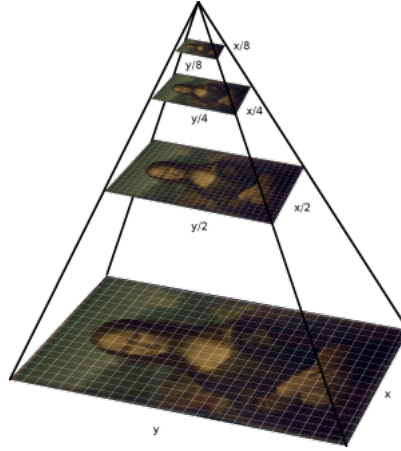


Figure 3.1: Example of a pyramid scheme in image processing (source: <http://iipimage.sourceforge.net/images/pyramid.png>)

Therefore, the Conversion Service must be able to open an WHI  $img_{input}$  of any of the in 2.2.1 defined formats. Based on the size of  $img_{input}$  the number of necessary levels  $lvl$  must be calculated. Once  $lvl$  has been determined,  $img_{input}$  must be resized into an appropriate scale for each  $lvl_i$  in  $lvl$ . The resized image will be called  $img_i$ , with  $i$  representing the corresponding level. In the next

step, every  $img_i$  will be tessellated into  $x * y$  tiles. Each tile will be referenced via  $t_{c,r}^i$ , with  $r$  being the row and  $c$  being the column of the tile in  $whi_i$ . To complete the conversion, the Conversion Service must create a describing xml file for each converted image  $img_{dzi}$ .

### 3.1.1 Creating a Deep Zoom Image

To create a dzi, the Conversion Service must be capable of all the afore mentioned tasks. According to [14], there is a number of frameworks, that can achieve this task (see tab. 3.1).

Since the conversion to dzi is required, two frameworks are not usable from the start. Those are MapTiler, who creates tms<sup>1</sup> images and Kakadu, which creates iiif<sup>2</sup> images. Furthermore, the various desktop applications are not usable either, since the Conversion Service should operate as a microservice.

For a python script, deepzoom.py would be the natural choice, however at a second glance it can be seen that VIPS offers ”[...] for a number of languages” (see tab. 3.1). One of those languages happens to be python. Therefore, deepzoom.py and VIPS will be further investigated in the following two subsections.

---

<sup>1</sup>Tile Map Service (tms) is a tile scheme developed and maintained by the Open Source Geospatial Foundation [13]

<sup>2</sup>International Image Interoperability Framework (iiif), specified by the International Image Interoperability Framework group, is an image delivery API which responds to requests via HTTP and HTTPS [8]

option	description	image format
Deep Zoom Composer	desktop app for Windows	dzi
Image Composite Editor	panoramic image stitcher from Microsoft Research for the Windows desktop	dzi
DeepZoomTools.dll	.NET-library, comes with Deep Zoom Composer	dzi
deepzoom.py	Python	dzi
deepzoom	Perl utility	dzi
PHP Deep Zoom Tools	PHP	dzi
Deepzoom	PHP	dzi
DZT	an image slicing library and tool written in Ruby	dzi
MapTiler	desktop app for Windows, Mac, Linux	tms
VIPS	command line tool and library for a number of languages	dzi (via dzsave feature)
Sharp	Node.js, uses VIPS	dzi
MagickSlicer	shell script (Linux/Max)	dzi
Gmap Uploader Tiler	C++	dzi
Node.js Deep Zoom Tools	Node.js, under construction	dzi
OpenSeaDragon DZI Online Composer	Web app (and PERL and PHP scripts)	dzi
Zoomable	service, offers embeds; no explicit API	dzi
ZoomHub	service, under construction	dzi
Kakadu	C++ library to encode or decode JPEG 2000 images	iiif
PyramidIO	Java (command line and library)	dzi

Table 3.1: Overview of conversion options for zooming image formats (source: [14])

### 3.1.2 Deepzoom.py

Deepzoom.py<sup>3</sup> is a python script and part of Open Zoom<sup>4</sup>. It can either be called directly over a terminal or imported as a module in another python script. The conversion procedure itself is analogous for both methods.

If run in a terminal the call looks like the following:

```
1 $ python deepzoom.py [options] [input file]
```

The various options and their default values can be seen in tab. 3.2. If called without a designated output destination, deepzoom.py will save the converted dzi right next to the original input file.

option	description	default
-h	show help dialog	-
-d	output destination	-
-s	size of the tiles in pixels	254
-f	image format of the tiles	jpg
-o	overlap of the tiles in pixels (0 - 10)	1
-q	quality of the output image (0.0 - 1.0)	0.8
-r	type of resize filter	antialias

Table 3.2: Options for deepzoom.py

The resize filter is applied to interpolate the pixels of the image when changing its size for the different levels. Supported filters are:

- cubic
- bilinear
- bicubic
- nearest
- antialias

When used as module in another python script, deepzoom.py can simply be imported via the usual *import* command. To actually use deepzoom.py, a Deep Zoom Image Creator needs to be created. This class will manage the conversion process:

```
1 # Create Deep Zoom Image Creator
2 creator = deepzoom.ImageCreator( tile_size=[size] ,
3   tile_overlap=[overlap] , tile_format=[format] ,
4   image_quality=[quality] , resize_filter=[filter] )
```

<sup>3</sup>See <https://github.com/openzoom/deepzoom.py> for further details

<sup>4</sup>See <https://github.com/openzoom> for further details

The options are analogous with the terminal version (compare tab. 3.2). To start the conversion process, the following call must be made within the python script:

```
1 # Create Deep Zoom image pyramid from source
2 creator.create([source], [destination])
```

Upon calling, the ImageCreator opens the input image  $img_{input}$  and creates a description with all the needed information for the dzis describing xml file<sup>5</sup>. After that, the number of levels is calculated. For this, the bigger value of height and width of  $img_{input}$  is chosen (see eq. 3.1) and then used to determine the number of levels  $lvl$  (see eq. 3.2).

$$max\_dim = \max(height, width) \quad (3.1)$$

$$lvl = \lceil \log_2(max\_dim) + 1 \rceil \quad (3.2)$$

Once  $lvl$  has been determined,  $img_{input}$  will be resized in the chosen quality (-q/image\_quality) for every level  $i$ , with  $i \in (0, lvl - 1)$ . The new resolution will be calculated for both dimensions  $dim$  with a function *scale* (see eq. 3.3) analogously. Furthermore, the image will be interpolated with the specified filter (-r/resize\_filter). The resized image will be called  $img_i$ .

$$scale = \lceil dim * 0.5^{lvl-i} \rceil \quad (3.3)$$

Once  $img_i$  has been created, it will be tessellated into as many tiles of the specified size (-s/tile\_size) and with the specified overlap (-o/tile\_overlap) as possible. Since not every image will be of the size  $2^n$ ,  $n \in$  in either dimension, it is highly likely that the set of tiles for the last column/row will be smaller then specified in either dimension.

Every tile will be saved as [column]\_[row].[format] ([format] depending on -f/file\_format) in a folder called according to the corresponding level  $i$ . This folder will be located inside another folder, called [filename]\_files. The describing xml file will be persisted as [filename].dzi on the same level.

### 3.1.3 VIPS

VIPS (VASARI Image Processing System) describes itself as "[...] a free image processing system [...]" [5]. It includes a wide range of different image processing tools, such as various filters, histograms, geometric transformations and color processing algorithms. It also supports various scientific image formats, especially those needed for the Conversion Service<sup>6</sup> [5].

VIPS comes in two parts: the actual library (called libvips) and a GUI (called nip2). libvips offers interfaces for C, C++, python and the command

<sup>5</sup>Compare chap. 2.1.1

<sup>6</sup>See chap. 2.2.1

line. The GUI will not be further discussed, since it is of no interest for the implementation of the Conversion Service.

One of the strongest traits of VIPS is its speed and little data usage compared to other imaging libraries [17]. Given the task of the Conversion Service to convert WSIs of various formats, which tend to be quite large, both of those perks are especially welcome.

VIPS superior speed and little data usage comes from a fully demand-driven image input/output system. While conventional imaging libraries queue their operations and go through them sequentially, VIPS awaits a final write command, before actually manipulating the image. All the queued operations will then be evaluated and molded into a few single operations. Thus, requiring no additional disc space for intermediates and no unnecessary disc in- and output. Furthermore, if more than one CPU is available, VIPS will automatically evaluate in parallel [16].

As mentioned before, VIPS has a command line and python interface. In either case, a function called *dzsave(...)* will manage the conversion from a WSI to a dzi. A call in the terminal will look like the following:

```
1 $ vips dzsave [input] [output] [options]
```

When called, VIPS will take the image [input], convert it into the dzi file format and then save it to [output]. The various options and their default values can be seen in tab. 3.3.

option	description	default
layout	directory layout (allowed: dz, google, zoomify)	dz
overlap	tile overlap in pixels	1
centre	center image in tile	false
depth	pyramid depth	onapixel
angle	rotate image during save	d0
container	pyramid container type	fs
properties	write a properties file to the output directory	false
strip	strip all metadata from image	false

Table 3.3: Options for VIPS

A call in python does have the same parameters and default values. It looks like this:

```
1 image = Vips.Image.new_from_file(input)
2 image.dzsave(output[, options])
```

In line 1 the image gets opened and saved into *image*. While being opened, further operations could be done. The command in line 2 writes the processed image into the specified output location.

## 3.2 Implementation

As stated before, the Conversion Service is implemented as a python script. The first iteration of the script used `deepzoom.py` for the conversion. This caused severe performance issues though. Out of all the image files in the test set<sup>7</sup>, only one could be converted<sup>8</sup>. Every other file was either too big, so the process would eventually be killed by the operating system or exit with an `IOError` concerning the input file from the PIL imaging library. The second iteration of the Conversion Service uses VIPS, which is faster than `deepzoom.py` and also capable of converting all the given test images.

The script has to be called inside a terminal in the following fashion:

```
1 $ python ConversionService.py [input dir] [output dir]
```

It is mandatory to specify the input and output directory in the call, so the script knows where to look for images up to conversion and where to save the resulting dzi files.

Upon calling, the `main()` routine will be started, which orchestrates the whole conversion process. It looks as follows:

```
1 def main():
2     path = checkParams()
3     files = os.listdir(path)
4     for file in files:
5         print("_____")
6         extLen = getFileExt(file)
7         if(extLen != 0):
8             print("converting " + file + "...")
9             convert(path, file, extLen)
10            print("done!")
```

`checkParams()` checks if the input parameters are valid and, if so, returns the path to the specified folder or exit otherwise. Furthermore, it will create the specified output folder, if it doesn't exist already. In the next step, the specified input folder will be checked for its content. `getFileExt(file)` looks up the extension of each contained file and will either return the length of the files extension or 0 otherwise. Each valid file will then be converted with the `convert(...)` function:

```
1 # convert image source into .dzi format
2 # param path: directory of param file
3 # param file: file to be converted
4 # param extLen: length of file extension
5 def convert(path, file, extLen):
6     dzi = OUTPUT + file[:extLen] + ".dzi"
7     im = Vips.Image.new_from_file(path + file)
8     im.dzsave(dzi, overlap=OVERLAP, tile_size=TILESIZE)
```

The name for the new dzi file will be created from the original file name, however, the former extension will be replaced by ".dzi" (see line 6). `OUTPUT`

---

<sup>7</sup>See chap. 3.3

<sup>8</sup>CMU-3.svs from Aperio, see Appendix A



specifies the output directory which the file will be saved to. Next, the image file will be opened with Vips' Image class. Afterwards, *dzsave(...)* will be called, which handles the actual conversion into the dzi file format. *OVERLAP* and *TILESIZE* are global variables which describe the overlap of the tiles and their respective size. Their values are 0 (*OVERLAP*) and 256 (*TILESIZE*). The output will be saved to the same folder as *ConversionService.py* is operating from, plus *"/dzi/[OUTPUT]/"*.

### 3.3 Test

To test the correct functionality of the Conversion Service, test data is needed. OpenSlide offers a selection of freely distributable data<sup>9</sup>, which can be used for that purpose.

Since the WSIs are of enormous size, they are not delivered via the repository. Instead they need to be downloaded separately from the OpenSlide homepage. For a complete listing of the used test data see Appendix A.

#### 3.3.1 Setup

To create a controlled environment for the test, a new directory will be created, called *CS\_test*. A copy of *ConversionService.py* as well as a directory containing all the test WSIs (called *input*) will be placed in that directory.

*Input* contains the following slides:

- (1) CMU-2 (Aperio, .svs)
- (2) CMU-1 (Generic Tiled tiff, .tiff)
- (3) OS-3 (Hamamatsu, .ndpi)
- (4) CMU-2 (Hamamatsu, .vms)
- (5) Leica-2 (Leica, .scn)
- (6) Mirax2.2-3 (Mirax, .mrxs)
- (7) CMU-2 (Trestle, .tif)
- (8) OS-2 (Ventana, .bif)

Because of their structure, (4), (6) and (7) will be placed in directories titled with their file extension. Fig. 3.2 shows the content of the input folder.

---

<sup>9</sup>See OpenSlides Homepage: <http://openslide.cs.cmu.edu>, or directly for the test data: <http://openslide.cs.cmu.edu/download/openslide-testdata/>

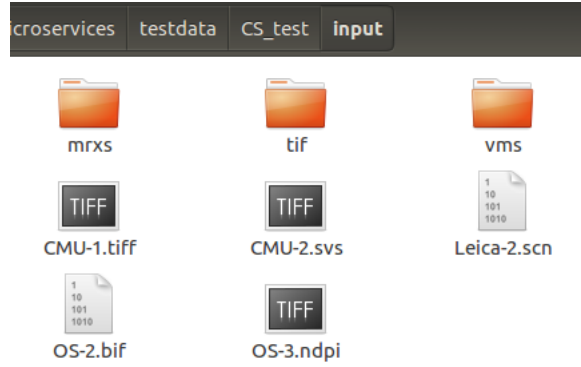


Figure 3.2: Content of input directory

This makes multiple calls of the Conversion Service necessary. The calls, in that order, are:

```

1 $ python ConversionService.py input/ out_1/
2 $ python ConversionService.py input/mrxs out_2/
3 $ python ConversionService.py input/tif out_3/
4 $ python ConversionService.py input/vms out_4/

```

### 3.3.2 Result

All runs of ConversionService.py were successful. Tab. 3.3 shows an overview of the results:

input	output	time (sec)
input/	CMU-1.dzi, CMU-2.dzi, Leica-2.dzi, OS-2.dzi, OS-3.dzi	1992
input/mrxs/	Mirax2.2-3.dzi	500
input/tif/	CMU-2.dzi	56
input/vms/	CMU-2-40x - 2010-01-12 13.38.58.dzi	305

Table 3.4: Results of Conversion Service Test

The vast difference in file size of the test data accounts for the different run times of the tests. While the first test converted 5 WSIs (399 sec/WSI), every other test converted a single one. The conversion of (6) was much faster, since the file was smaller in size (304.22 MB) compared to the others (1495.24 MB on average).

## Chapter 4

# Annotation Service

To enable the pathologist to make annotations in the first place, a GUI needs to be offered by the service. This GUI will be developed in iterations with the help of a number of pathologists to adapt it to their wishes and grant the best possible usability. The basic concept of the first iteration will be based on the Microdraw<sup>1</sup> GUI (see fig. 2.5).

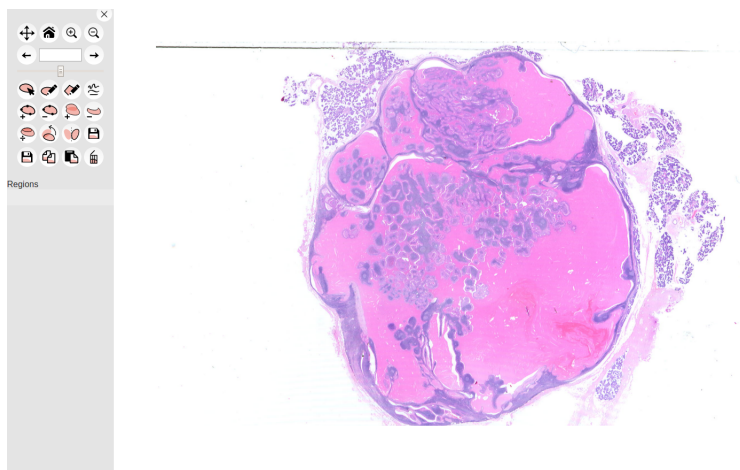


Figure 4.1: Microdraw GUI with opened WSI

Microdraw is a webbased annotation tool, which describes itself as

”[...] a collaborative vectorial annotation tool for ultra high resolution data, such as that produced by high-throughput histology.” [26]

---

<sup>1</sup>See <https://github.com/r03ert0/microdraw> for more information on the Microdraw project

Therefore, the GUI of the Annotation Service, or Annotation Service Viewer (ASV), will run as a web application in a browser. Annotations will be made by selecting a shape or annotation method from the various tools in the toolbar (see the gray bar on the left in fig. 2.5). After selecting the area to be annotated, an actual description of that area can be made via keyboard input.

When the pathologist is done or wants to save the made annotations, the Annotation Service will create a file in which they will be persisted in. Only one WSI can be opened in one ASV at a time.

## **4.1 Methodology**

### **4.1.1 DICOM**

## **4.2 Implementation**

## **4.3 Test**

### **4.3.1 Setup**

### **4.3.2 Result**

## Chapter 5

# Tessellation Service

### 5.1 Methodology

### 5.2 Implementation

### 5.3 Test

#### 5.3.1 Setup

#### 5.3.2 Result

## Chapter 6

# Conclusion

6.1 Results

6.2 Conclusion

6.3 Future tasks

# Appendices

# Appendix A

## Listing of Conversion Service Test Data

The test data for the Conversion Service can be found at OpenSlides homepage, at the freely distributable test data section<sup>1</sup>. Various slides can be found there. The following subsections (A.1 - A.8) give listings of all used WSIs, sorted by vendor and file format.

### A.1 Aperio (.svs)

<b>name</b>	<b>size (MB)</b>	<b>description</b>
CMU-1-JP2K-33005.svs	126.42	Export of CMU-1.svs, brightfield, JPEG 2000, RGB
CMU-1-Small-Region.svs	1.85	Exported region from CMU-1.svs, brightfield, JPEG, small enough to have a single pyramid level
CMU-1.svs	169.33	Brightfield, JPEG
CMU-2.svs	372.65	Brightfield, JPEG
CMU-3.svs	242.06	Brightfield, JPEG
JP2K-33003-1.svs	60.89	Aorta tissue, brightfield, JPEG 2000, YCbCr
JP2K-33003-2.svs	275.85	Heart tissue, brightfield, JPEG 2000, YCbCr

Table A.1: Aperio data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Aperio/>)

---

<sup>1</sup><http://openslide.cs.cmu.edu/download/openslide-testdata/>



## A.2 Generic Tiled tiff (.tiff)

name	size (MB)	description
CMU-1.tiff	194.66	Conversion of CMU-1.svs to pyramidal tiled TIFF, brightfield

Table A.2: Generic Tiled tiff data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Generic-TIFF/>)

## A.3 Hamamatsu (.ndpi)

name	size (MB)	description
CMU-1.ndpi	188.86	Small scan with valid JPEG headers, brightfield, circa 2009
CMU-2.ndpi	382.14	Brightfield, circa 2009
CMU-3.ndpi	270.1	Brightfield, circa 2009
OS-1.ndpi	1,860	H&E stain, brightfield, circa 2012
OS-2.ndpi	931.42	Ki-67 stain, brightfield, circa 2012
OS-3.ndpi	1,370	PTEN stain, brightfield, circa 2012

Table A.3: Hamamatsu data set (.ndpi, source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu/>)

## A.4 Hamamatsu (.vms)

name	size (GB)	description
CMU-1.zip	0.62	Brightfield
CMU-2.zip	1.13	Brightfield
CMU-3.zip	0.91	Brightfield

Table A.4: Hamamatsu data set (.vms, source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu-vms/>)

## A.5 Leica (.scn)

name	size (GB)	description
Leica-1.scn	0.28	Brightfield, single ROI, 2010/10/01 schema
Leica-2.scn	2.1	Mouse kidney, H&E stain, brightfield, multiple ROIs with identical resolutions, 2010/10/01 schema
Leica-3.scn	2.79	Mouse kidney, H&E stain, brightfield, multiple ROIs with different resolutions, 2010/10/01 schema
Leica-Fluorescence-1.scn	0.02	Fluorescence, 3 channels, single ROI, 2010/10/01 schema

Table A.5: Leica data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Leica/>)

## A.6 Mirax (.mrxs)

name	size (GB)	description
CMU-1-Exported.zip	2.02	Export of CMU-1.mrxs with overlaps resolved, brightfield, JPEG, CURRENT_SLIDE_VERSION 2.3
CMU-1-Saved-1_16.zip	0.003	Quick save of CMU-1.mrxs at 1/16 resolution (multiple positions per image), brightfield, JPEG, CURRENT_SLIDE_VERSION 1.9
CMU-1-Saved-1_2.zip	0.14	Quick save of CMU-1.mrxs at 1/2 resolution (multiple images per position), brightfield, JPEG, CURRENT_SLIDE_VERSION 1.9
CMU-1.zip	0.54	Brightfield, JPEG, CURRENT_SLIDE_VERSION 1.9
CMU-2.zip	1.22	Brightfield, JPEG, CURRENT_SLIDE_VERSION 1.9
CMU-3.zip	0.65	Brightfield, JPEG, CURRENT_SLIDE_VERSION 1.9
Mirax2-Fluorescence-1.zip	0.06	Fluorescence, 3 channels, JPEG, CURRENT_SLIDE_VERSION 2
Mirax2-Fluorescence-2.zip	0.04	Fluorescence, 3 channels, JPEG, CURRENT_SLIDE_VERSION 2
Mirax2.2-1.zip	2.61	HPS stain, brightfield, JPEG, CURRENT_SLIDE_VERSION 2.2
Mirax2.2-2.zip	2.38	HPS stain, brightfield, JPEG, CURRENT_SLIDE_VERSION 2.2
Mirax2.2-3.zip	2.77	HPS stain, brightfield, JPEG, CURRENT_SLIDE_VERSION 2.2
Mirax2.2-4-BMP.zip	0.95	Brightfield, BMP, CURRENT_SLIDE_VERSION 2.2
Mirax2.2-4-PNG.zip	1.01	Brightfield, PNG, CURRENT_SLIDE_VERSION 2.2

Table A.6: Mirax data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Mirax/>)

## A.7 Trestle (.tiff)

name	size (MB)	description
CMU-1.zip	158.87	Brightfield
CMU-2.zip	304.22	Brightfield
CMU-3.zip	223.11	Brightfield

Table A.7: Trestle data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/>)

## A.8 Ventana (.bif)

name	size (GB)	description
OS-1.bif	3.61	H&E stain, brightfield
OS-2.bif	2.53	Ki-67 stain, brightfield

Table A.8: Trestle data set (source: <http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/>)

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# List of Figures

1.1	Example results of the in [1] introduced model (source: <a href="http://cs.stanford.edu/people/karpathy/deepimagesent/">http://cs.stanford.edu/people/karpathy/deepimagesent/</a> ) . . . .	4
2.1	Example of an image pyramid (DICOM, source: [11]) . . . . .	7
2.2	3 consecutive levels of a dzi image (source: <a href="https://i-msdn.sec.s-msft.com/dynimg/IC141135.png">https://i-msdn.sec.s-msft.com/dynimg/IC141135.png</a> ) . . . . .	10
2.3	Neuron in a BNN (source: [25]) . . . . .	11
2.4	Exemplary NN (source: [25]) . . . . .	12
2.5	Perceptron by Rosenblatt (source: [25]) . . . . .	13
2.6	Examples for linearly separable problems (source: [25]) . . . . .	14
2.7	Examples for non-linearly separable problems (source: [25]) . . . .	14
2.8	NN with multiple layers (source: <a href="http://docs.opencv.org/2.4/_images/mlp.png">http://docs.opencv.org/2.4/_images/mlp.png</a> )	15
2.9	Visualization of the Conversion Service . . . . .	20
2.10	Visualization of the Annotation Service . . . . .	21
2.11	Visualization of the Tessellation Service . . . . .	22
3.1	Example of a pyramid scheme in image processing (source: <a href="http://iipimage.sourceforge.net/images/pyramid.png">http://iipimage.sourceforge.net/images/pyramid.png</a> ) . . . . .	23
3.2	Content of input directory . . . . .	31
4.1	Microdraw GUI with opened WSI . . . . .	32



# List of Tables

2.1	File formats by vendor . . . . .	8
3.1	Overview of conversion options for zooming image formats (source: [14]) . . . . .	25
3.2	Options for deepzoom.py . . . . .	26
3.3	Options for VIPS . . . . .	28
3.4	Results of Conversion Service Test . . . . .	31
A.1	Aperio data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Aperio/">http://openslide.cs.cmu.edu/download/openslide-testdata/Aperio/</a> ) . . . . .	37
A.2	Generic Tiled tiff data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Generic-TIFF/">http://openslide.cs.cmu.edu/download/openslide-testdata/Generic-TIFF/</a> ) . . . . .	38
A.3	Hamamatsu data set (.ndpi, source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu/">http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu/</a> ) . . . . .	38
A.4	Hamamatsu data set (.vms, source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu-vms/">http://openslide.cs.cmu.edu/download/openslide-testdata/Hamamatsu-vms/</a> ) . . . . .	38
A.5	Leica data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Leica/">http://openslide.cs.cmu.edu/download/openslide-testdata/Leica/</a> ) . . . . .	39
A.6	Mirax data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Mirax/">http://openslide.cs.cmu.edu/download/openslide-testdata/Mirax/</a> ) . . . . .	40
A.7	Trestle data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/">http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/</a> ) . . . . .	41
A.8	Trestle data set (source: <a href="http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/">http://openslide.cs.cmu.edu/download/openslide-testdata/Trestle/</a> ) . . . . .	41