

Title: Psychometric properties of the Montreal Cognitive Assessment (MoCA): An analysis using the **Rasch model**

Title suitable for the running head: MoCA: Rasch analysis

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Conflict of Interest

None declared.

Abstract

In the present study, we analyzed the psychometric characteristics of the MoCA (Portuguese version) using the **Rasch model for dichotomous items**. The total sample comprised 897 participants distributed between two main subgroups: (I) healthy group that was comprised of 650 cognitively healthy community dwellers and (II) clinical group that was comprised of 90 patients with Mild Cognitive Impairment, 90 patients with Alzheimer's Disease, 33 patients with frontotemporal dementia, and 34 patients with vascular dementia recruited at a reference dementia clinic. All patients were investigated through a comprehensive neuropsychological assessment, laboratory tests essential to exclude a reversible form of dementia, imaging studies (CT or MRI and SPECT or FDG-PET), Apolipoprotein E allele genotyping and CSF biomarker (A β 42, Tau, and P-tau) analyses. The clinical diagnosis was established through the consensus of a multidisciplinary team, based on international criteria. The results demonstrated an **overall good fit of both items and the persons values**, a high variability on cognitive performance level, and a good quality of the measurements. The MoCA scores also demonstrated **adequate discriminant validity**, with high diagnostic value. **DIF analyses** indicated the generalized validity of the MoCA scores. In conclusion, the results of this study show the overall psychometric adequacy of the MoCA and verify the **discriminant and generalized validity** of the obtained results.

Keywords: MoCA; Assessment; Cognitive Impairment; Rasch Model

Introduction

Increased life expectancy is one of the most significant demographic trends of the last decades. Population aging is a reality, and the proportion of elders has rapidly increased in most countries (Federal Interagency Forum on Aging-Related Statistics, 2000). Moreover, aging is a crucial risk factor for cognitive impairment and dementia (Chen, Lin, & Chen, 2009), which is one of the most significant health issues among older people, with a serious impact in health-care systems worldwide (Comas-Herrera, 2011; Federal Interagency Forum on Aging-Related Statistics, 2010).

Therefore, early diagnosis and screening for cognitive impairment are of extreme importance. Cognitive screening instruments remain the best method for the early detection of dementia in population studies (Cullen, O'Neill, Evans, Coen, & Lawlor, 2007; Ismail & Shulman, 2006), facilitating the identification of individuals in preclinical stages (Fabrigoule, Barberger-Gateau, & Dartigues, 2006). However, the accuracy of the results from these evaluations can be compromised if the versions of the instruments from different cultures are not subject to rigorous translation and adaptation studies to the new cultural background. The lack of psychometric validation studies of the instruments used is also an important issue.

The Montreal Cognitive Assessment (MoCA; Nasreddine et al., 2005) was specifically developed as a brief cognitive screening test for the identification of milder forms of cognitive impairment among older people. Previous studies have confirmed the adequate psychometric properties (evaluated according to the Classical Test Theory), and excellent sensitivity, utility and accuracy of the MoCA for the identification of patients with Mild Cognitive Impairment (MCI) and Alzheimer's Disease (AD) (Freitas, Simões, Alves & Santana, 2013; Fujiwara et al., 2010; Lee et al., 2008), and cognitive impairment associated with other clinical conditions (e.g.,

Vascular Cognitive Impairment, Parkinson Disease, Huntington Disease, tumors, and multiple sclerosis; see studies in <http://www.mocatest.org>). The consistent achievement of good results has led to the widespread use, international recognition, acceptance in various guidelines and subsequent recommendation of the MoCA as a cognitive screening tool (e.g., Arnold et al., 2007; Gauthier et al., 2011; Hachinski et al., 2006).

The MoCA has been commonly used to measure global cognitive function in both clinical and research contexts. However, the accuracy of the results of this cognitive screening instrument must be supported by psychometric validation studies. The Rasch model (Andrich, 1988; Rasch, 1960; Wright & Mok, 2004) is a psychometric method appropriated for the analysis of neuropsychological assessment instruments with this purpose (Conrad & Smith, 2004; Prieto, Contador, Tapias-Merino, Mitchell & Bermejo-Pareja, 2012; Prieto, Delgado, Perea & Ladera, 2010). Indeed, the Rasch model plays an important role in modern psychometric studies, with relevant applications for the development and evaluation of measures for the quantification of cognitive impairment. Among other uses and strengths, the Rasch model estimates the specific precision of the cutoff values for the classification of patients, examines the differential functioning of an item according to different groups, and generates a linear estimate of cognitive status. Few previous studies have examined the psychometric properties of the MoCA using the Rasch model. Koski and collaborators (2009) demonstrated that the MoCA provides a reliable and valid quantitative estimate of the cognitive function based on the collective evaluation of cognition as a unidimensional construct. These results validated the utility of the MoCA, beyond qualitative screening classifications, for use in monitoring changes in global cognition over time. Subsequently, Koski and collaborators (2011) presented an algorithm, combining the items of the Mini Mental State Examination (MMSE; Folstein, Folstein, & McHugh,

1975) and the MoCA to improve the measurement precision of the cognitive ability in milder forms of impairment. In another study based on Rasch's analysis, **Konsztowicz and collaborators (2011) proposed a simplified adaptive approach to cognitive assessment** (Geriatric Rapid Adaptive Cognitive Estimate - GRACE method), which reduces the test burden and facilitates the assessment of individuals across a broader range of the cognitive ability continuum compared with the MMSE and MoCA.

After translation and adaptation studies (Freitas, Simões, Martins, Vilar & Santana, 2010) and the normative study of the MoCA regarding the Portuguese population (Freitas, Simões, Alves & Santana, 2011), we have conducted various validation studies. Some of these studies further emphasize the psychometric characteristics of the instrument (Freitas, Simões, Marôco, Alves & Santana, 2012; Freitas, Simões, Alves & Santana, 2012), while others primarily focus on specific clinical groups (MCI and AD – Freitas et al., 2013; Frontotemporal dementia – Freitas, Simões, Alves, Duro & Santana, 2012; Vascular dementia – Freitas, Simões, Alves, Vicente & Santana, 2012). The present study was undertaken with the aim of further **assessing the psychometric characteristics of the MoCA using the Rasch model for dichotomous items**. Moreover, **this is the first proposed study with the MoCA Portuguese version using the Rasch model**. We initially examined the fit of the data to the model and the **reliability** values for the estimation of the items and persons. After testing the data fit, we performed **DIF analyses** to explore the likelihood that individual items of the MoCA might work differently as a function of pathology, gender, age and educational level. Thus, this study contributes to overcoming a significant gap in the evaluation of the psychometric properties of this instrument.

Method

Participants and procedures

The total sample comprised 897 participants distributed between two main subgroups:

I) Healthy Group

The healthy group was comprised of 650 cognitively healthy community dwellers living in all geographic regions of the Portuguese continental territory recruited through the national health and social security services. The inclusion criteria were: individuals 25 years and over that spoke Portuguese as their native language and had undergone schooling in Portugal; an absence of significant motor, visual or auditory deficits - all of which may influence performance on tests; and to ensure that participants were cognitively healthy: autonomy in daily activities; no history of alcoholism or substance abuse; absence of neurological or psychiatric diseases and chronic unstable systemic disorders that have an impact on cognition (e.g., vitamin deficits, hypothyroidism, uncontrolled diabetes, hypertensive encephalopathy, systemic infections, abstinence syndromes and delirium); and absence of significant depressive complaints and medication with possible impact in cognition (e.g., psychotropic or psycho-active drugs). A psychologist confirmed the presence of these criteria in an interview using a standard questionnaire that included a complete socio-demographic questionnaire, an inventory of the current clinical health status, and past habits and medical history. Regarding older participants, this information was also verified through general practitioners, community center directors and/or informants, typically a close relative or an individual living with the participant. In this group, given the sample size and geographical distribution of the participants, it was not possible to perform a neurological consultation or additional diagnostic tests, such as neuroimaging or biomarker analyses. After this initial selection, a global assessment was conducted using

the following set of instruments: the MMSE (Folstein et al., 1975; Guerreiro, Silva, Botelho, Leitão, Castro-Caldas & Garcia, 1994); the *Clinical Dementia Rating* scale (CDR - Hughes, Berg, Danziger, Coben & Martin, 1982; Garret, Santos, Tracana, Barreto, Sobral & Fonseca, 2008), for participants over 49 years old; the *Irregular Word Reading Test* (TeLPI: Teste de Leitura de Palavras Irregulares - Alves, Simões & Martins, 2009), for pre-morbid intelligence estimation; the *Subjective Memory Complaints* scale (SMC - Schmand, Jonker, Hooijer & Lindeboom, 1996; Ginó, Mendes, Ribeiro, Mendonça, Guerreiro & Garcia, 2008); and the *Geriatric Depression Scale* (GDS-30 - Yesavage, Brink, Rose, Lum, Huang, Adey & Leirer, 1983; Barreto, Leuschner, Santos & Sobral, 2008). Only participants with normal performance on the MMSE (according Portuguese cutoff points – Guerreiro et al., 1994), a zero score on the CDR and a GDS-30 score below 20 points were eligible for participation in this study. This sample served as the basis for the MoCA normative study for the Portuguese population (Freitas, Simões, Alves & Santana, 2011), where can be found more details about the recruitment process.

II) Clinical Group

The clinical group was recruited at the Dementia Clinic, Neurology Unit of the Centro Hospitalar e Universitário de Coimbra and included 90 patients with MCI, 90 patients with AD, 33 patients with frontotemporal dementia (FTD), and 34 patients with vascular dementia (VaD). All patients were investigated through a comprehensive neuropsychological assessment, laboratory tests essential to exclude a reversible form of dementia or significant comorbidities, imaging studies (CT or MRI and SPECT or FDG-PET), Apolipoprotein E allele genotyping and eventually CSF analyses (A β 42, Tau, and P-tau) and biomarker profiles for neurodegenerative diseases. This

comprehensive evaluation was considered essential to exclude non-degenerative or vascular forms of cognitive decline and for the differential diagnosis of these situations. The final diagnosis was established through a consensus reached by a multidisciplinary team based on the international criteria for (i) MCI (Petersen, 2004, 2007), (ii) probable AD (American Psychiatric Association, 2000; McKhann, Drachman, Folstein, Katzman, Price & Stadlan, 1984), (iii) FTD (Neary et al., 1998); and (iv) VaD (Román et al., 1993). The MCI group included patients classified as “amnesic MCI” (single or multidomain) (Petersen, 2007), with a classification of 0.5 on the CDR. The AD, FTD and VaD groups included only patients with mild to moderate severity (classified with $CDR \leq 2$ and $MMSE \geq 12$ points). The FTD group only included patients with behavioral variant of disease. Patients with Vascular Mild Cognitive Impairment or mixed dementia were not included in the VaD group. In addition, only patients with a complete clinical evaluation, a well-established diagnosis at the time of the data collection according to the above international criteria and a stable clinical condition, without significant comorbidities were eligible for this study. At the outset, the exclusion criteria included high-dementia severity; recent pharmacotherapy changes; recent psychiatric comorbidity (a clinical diagnosis in the 6 months prior to the current neuropsychological evaluation); and significant motor or sensorial deficit, which could influence neuropsychological assessment.

Each participant was assessed in a single session by one of two psychologists with expertise in neuropsychological assessment. Informed consent was obtained from all participants after the research aims and procedures and the confidentiality requirements were fully explained by a member of the research team. For patients who were not capable of providing informed consent, a legal representative fulfilled this requirement on their behalf. The present study complies with the ethical guidelines on

human experimentation stated in the Declaration of Helsinki and was approved through the Portuguese Foundation for Science and Technology and the Faculty of Psychology and Educational Sciences Scientific Committee.

Measure: Montreal Cognitive Assessment

The MoCA is a brief cognitive screening instrument developed to screen milder forms of cognitive impairment, providing a quick indication of the global cognitive state of an individual. The MoCA includes a one-page test, which requires a short administration time (10 to 15 minutes), and a manual explicitly describing the instructions for administering the tasks and objectively portraying the defined scoring system. The MoCA covers a wide range of cognitive functions, such as short-term memory, executive functions, visuospatial abilities, language, attention, concentration, working memory, and temporal and spatial orientation. A MoCA score is generated through the summation of the points of each successfully completed task, in a range from 0 to 30 points, with higher scores indicating better cognitive performance (Nasreddine et al., 2005). In the present study, the MoCA was not used as a diagnostic tool. Furthermore, the MoCA total score refers to the raw score without a correction point for the educational effects proposed in the original study (Nasreddine et al., 2005) because this correction point is not used in the Portuguese population (Freitas et al., 2011). The cultural adaptation of MoCA to the Portuguese population involved translation, linguistic improvement of the instrument and manual, studies with the experimental version, revision and adjustments required to finalize the Portuguese version, and an analysis of the equivalence to the original version. The equivalence between the original and the Portuguese final version at six levels (conceptual, of item,

semantic, operational, of measurement and functional) was verified according to Herdman and collaborators (1998) (Freitas et al., 2010).

Statistical Analysis

Descriptive statistics were used to characterize the sample, performed using the Statistical Package for the Social Sciences (SPSS, version 19.0) (IBM SPSS, Chicago, IL).

The Rasch analysis was computed using the WINSTEPS 3.70.1 package (Linacre, 2011). The dichotomous Rasch model states that the probability of success, in terms of hitting an item ($X=1$), is a logistic function of the difference between the person's ability (B_n) and the difficulty of the item (D_i). According to Wright and Mok (2004):

$$P_{ni} = \exp(B_n - D_i) / [1 + \exp(B_n - D_i)]$$

The values for the individuals and items are typically expressed into a *logits* (units of measurements) scale with interval-level properties (Conrad & Smith, 2004).

The accuracy of the item-persons estimations was assessed using the standard error of parameters (standard deviation of estimates), item-person separation index, Person Separation Reliability (PSR) and Item Separation Reliability (ISR) statistics. PSR and ISR statistics (range: 0-1) are similar to the classical reliability coefficient (the ratio between true variance and observed variance of persons or items). To achieve a suitable measure, a value above 0.70 is recommended. The Item or Person Separation Index (G) is a ratio of true standard deviation and standard error of items or persons (an estimate of the spread of separation of persons or items on variable expressed in standard error units). The Separation Index ranges from 0 to infinity; a higher G value indicates that measures are more dispersed on the variable (Schumacker, 2004). An

important use of the G value is the calculation of the number of distinct ability levels separated by 3 errors of measurement (strata). Strata are computed according to the formula $([4G + 1] / 3)$. The number of strata indicates the utility of an assessment instrument to determine group differences.

The major assumption of the Rasch model is unidimensionality, represented on a single dimension where subjects and items are conjointly located. Analysis with the Rasch model of MoCA was validated through previous analyses showing that the data have a good fit to a proposed dominant second order-factor (Freitas, Simões, Marôco, et al., 2012), thus ensuring the assumption of fundamental unidimensionality. In the context of the Rasch model, the unidimensionality test was performed using a principal component analysis of the residuals (Chou and Wang, 2010). Although strict unidimensionality is never achieved in practice (Zickar and Broadfoot, 2009), a principal component analysis of the residuals allows an assessment of whether the lack of unidimensionality is large enough to threaten the score validity. According to Linacre (2011), fundamental unidimensionality is achieved when the variance explained by the model measures is greater than 50 percent and if the eigenvalue of the first component of residuals is small (usually less than 2.0). Reckase (1979) proposed a less stringent criterion, suggesting that the percent of variance explained should be greater than 20% and that there should not be a second dominant factor (the eigenvalue of the first component of residuals less than 3.0).

The properties of the Rasch model can only be attained if the empirical data fit the model predictions. Thus, a fit analysis was performed based on two main indicators: the means of the residuals (*Infit* and *Outfit*) and Differential Item Functioning (DIF) analysis.

The statistics *Infit* and *Outfit* quantify the means of the squared residuals (differences between the observed responses and those predicted in the model). *Person fit statistics* measure the extent to which a person's pattern of responses to the items corresponds with that predicted by the model. *Item fit statistics* are used to identify items that might not contribute to a unitary scale. Misfit items should be examined to determine whether a second dimension might exist. These items should be eliminated when a one-dimensional measure of the construct is required (Conrad & Smith, 2004). *Infit* and *Outfit* have an expected value of 1.0. Values larger than 1 indicate noise. A rule of thumb for assessing item fit has been to discard any item with Infit or Outfit values greater than 2.0 (severe noise) (Smith, 2000). Other authors suggest that values greater than 1.4 indicate a moderate misfit (Gardizi, Millis, Hanks, & Axelrod, 2012). Conventionally, the misfit is considered to be moderately high if these statistics range between 1.5 and 2.0, and severe if the statistics are higher than 2.0 (Linacre, 2011).

A test item is considered to have Differential Item Functioning (DIF) when individuals with the *same level* in the variable being measured, belonging to different groups (e.g., gender, pathologies), do not have the same likelihood of producing a correct answer. The presence of DIF can have adverse consequences for the validity of scores, as DIF reveals the inclusion of construct-irrelevant variance in the scores, given that there are factors having nothing to do with the measured attribute affecting the responses. The presence of DIF likely suggests that factors outside the construct measured are spuriously affecting the MoCA scores.

The hypothesis of the absence of DIF was tested on persons with different pathology, gender, age and education levels by comparing two groups (focal and reference) of these variables (pathology: healthy / clinical; gender: male / female; age: < 65 years old / > 64 years old; education: 1-4 years / > 4 years). In this study, we

employed two methods with different characteristics (Potenza & Dorans, 1995): the Mantel-Haenszel procedure (a non-parametric method based on direct scores) and Rasch-based DIF analysis (a parametric method based on values in a latent variable).

The Mantel-Haenszel (MH) method compares the answers given to an item by focal and reference groups whose members have the same level in the attribute measured. The total score in the variable is used as an internal matching criterion (Holland & Thayer, 1988). The procedure is based on an analysis of the contingency tables corresponding to the different levels in which the variable has been divided. For each level j , the odds ratio (α) is calculated as:

$$\alpha = (p_{Rj}/1-p_{Rj}) / (p_{Fj}/1-p_{Fj}),$$

where p_{Rj} and p_{Fj} are the odds of a correct answer to the item in the reference and focal groups, respectively. There will be no DIF on a specific level j if $\alpha = 1$ (the likelihood of responding to the item correctly is equal in the focal group and the reference group). The $MH\alpha$ statistic reports an average weighted odds-ratio across an entire score level (Dorans & Holland, 1993; Holland & Thayer, 1988). The usual interpretation of the $MH\alpha$ statistic is that values close to 1 indicate an absence of DIF; values notably greater than 1 indicate a DIF in favor of the reference group; and values closer to 0 reveal a DIF in favor of the focal group. The null hypothesis of the absence of DIF ($MH\alpha=1$) can be tested using the $MH\chi^2$ statistic (Holland & Thayer, 1988), which is distributed as χ^2 with one degree of freedom. Testing the absence of DIF on a test involves multiple comparisons (at least one for each item). It is therefore logical to use the Bonferroni's adjustment to maintain the family wise error rate (usually .05). As a result, each individual hypothesis is tested at a statistical significance level of $p<.05/\text{number of contrasts}$ (Benjamini & Hochberg, 1995).

Given that the $MH\alpha$ is not symmetrical, Holland and Thayer (1988) proposed a

logarithmic transformation called Delta-MH ($\Delta\alpha_{MH}$), whose values oscillate symmetrically around zero. A zero value indicates the absence of DIF; a negative value shows that the item favors the reference group; and a positive value indicates the focal group. Delta-MH is obtained through the transformation $\Delta\alpha_{MH} = -2.35 \ln (MH\alpha)$. Based on the Delta-MH statistic, Zwick and Ercikan (1989) proposed a classification of DIF magnitude into three categories (adopted by the Educational Testing Service):

Type A items-negligible DIF: $\Delta\alpha_{MH} < |1|$.

Type B items-moderate DIF: $|1| \leq \Delta\alpha_{MH} \leq |1.5|$, and the MH test statistically significant.

Type C items-large DIF: $\Delta\alpha_{MH} > |1.5|$, and the MH test statistically significant.

In addition to the MH method, we have also used a detection method derived from the Rasch model (1960). The most important property of the model, *specific objectivity* (Andrich, 1988), indicates that individuals with the same ability (B) will have the same likelihood of correctly answering an item, regardless of whether they belong to groups with different pathology, gender, age or education. The DIF detection procedure in the RM is based on the Item Characteristic Curve (ICC), the proportion of individuals at the same ability level who answer a given item correctly: if the item measures the same ability across groups, then, except for random variations, the same proportion is observed irrespective of the nature of the group. That is, in the absence of DIF, the ICC in the different groups and the item parameter of difficulty (D) will be invariable. As a result, the hypothesis of the absence of DIF was tested by calculating the difference between the estimators of the item parameter of difficulty for each group ($D_f - D_r$), thus controlling for potential differences between the groups in the latent variable. Wright and Douglas (1976) observed that differences lower than 0.50 logits had negligible consequences regarding the validity of the measures. Thus, the DIF is

considered substantial if the absolute difference is higher than 0.50 logits and statistically significant. The t test with Bonferroni's adjustment (Benjamini & Hochberg, 1995) was used to test the significance and is described below.

$$t = D_f - D_r / (SE_{Df}^2 + SE_{Dr}^2)^{1/2}$$

That is, SE_{Df} and SE_{Dr} are the standard errors of both parameters of difficulty. If any of the t -tests in the list have $p < .05/(\text{number of } t\text{-tests in the list})$, then the hypothesis of No DIF is rejected (Bonferroni's correction).

Results

Sample Characterization

The total sample was comprised of 897 participants. The characterization of the study sample is presented in Table 1, in more detail for all subgroups. For this description, the following variables were considered: sample size, gender, age, educational level and MoCA score.

(Insert Table 1 about here)

Unidimensionality

First, the unidimensionality of the data were analyzed through a principal component analysis of residuals. The results show that the data fulfill the criteria of Reckase (1979) to uphold the assumption of basic unidimensionality: the percentage of variance explained by measures (41.8%) is higher than 20%, and the eigenvalue of the first component of the residuals (2.4%) is low (4.3% of the variance), indicating that a second dominant factor does not exist.

Item Analysis

The *Infit* and *Outfit* statistics show the validity of the items and persons values. The statistics for the item fit are provided in Table 2. We can observe that the *Infit* values range between .75 and 1.29, and the *Outfit* values oscillate between .29 and 1.68. According to Linacre (2011), values between .50 and 1.50 indicate a suitable fit, while values higher than 2.0 indicate a severe misfit. Thus, no items revealed a severe misfit; only the first subtraction item showed a moderate misfit (*Outfit* value > 1.5). As observed in the Figure 1, the items showed a high variability at the cognitive performance level, ranging between -4.98 and 2.32 (SD=1.86); the extreme items were *City* and *Phonemic Fluency*, respectively. From the perspective of classical test theory, most of the items had proper discrimination ($R_iX > .30$). Indeed, the average of item-test correlations was .50 (SD=.11). Only the extremely easy items (*Contour* and *City*) showed low levels of discrimination.

(Insert Table 2 about here)

(Insert Figure 1 about here)

Person Analysis

Table 3 summarizes the descriptive statistics of the participants' scores ($n=897$). Both the classic scores and the logits values revealed a high variability between subjects on the scale. It was observed that no individual possessed the extreme minimum score and only 5.7% of individuals possessed the maximum extreme score. The mean of logits (1.78) showed that the average cognitive performance of the total sample is high. This fact is a consequence of the large number of cognitively healthy participants in the sample (72%). The presence of subjects with a severe model misfit is low (5.2%). The person values have been estimated with high reliability in both hits ($\alpha = .92$) and logits (Person Separation Reliability = .85). The Person Separation Index (2.39) separates

individuals into a 3.5 strata in the variable, indicating that the MoCA is useful for assessing individual differences in the measured variable.

(Insert Table 3 about here)

The means of the persons groups classified according to pathology, gender, educational level and age are presented in Table 4. The MoCA scores showed good discriminant validity with high diagnostic utility, as indicated by the large and significant differences observed between control and clinical groups. The effect size (Cohen's d) (1988) ranged between 1.57 (in MCI group) and 3.22 (in FTD group). Moreover, with the exception of the comparisons between FTD and VaD, the group means differed significantly among all clinical groups. As expected, according to a previous study (Freitas, Simões, Alves & Santana, 2012), the mean cognitive performance was similar in both genders. However, the mean cognitive performance of participants with primary educational level (1 to 4 years) was significantly lower than the mean of the group with higher educational level (> 4 years) ($t=15.8$; $df=839$; $p<.01$; $d=1.21$). Similarly, the MoCA performance differed significantly according to age; the group of older participants (more than 64 years old) had a lower performance ($t=17.0$; $df=886$; $p<.01$; $d=1.14$).

(Insert Table 4 about here)

Differential Item Functioning

The absence of DIF tendency is a requisite for screening tests, essentially because we would not be able to compare the performance of different groups (healthy vs. clinical) if the MoCA did not have the same metric properties (Prieto et al., 2012). After testing the data fit, DIF analyses were conducted to explore the likelihood that individual items of the MoCA might work differently as a function of pathology,

gender, age and educational level. Table 5 displays the items that showed DIF according to these variables. It was considered that an item showed appreciable DIF if the following criteria were met: (a) a difference greater than .50 logits and statistically significant difference (Bonferroni's correction) between the difficulty parameters of the reference group and the focal group (Prieto et al., 2010) ($P_{\text{Bonferroni}} = .05/32 = .0016$) and (b) a Delta MH value classified as C, consistent with the criteria of the Educational Testing Service (Padilla, Hidalgo, Benitez & Gómez-Benito, 2012) (C in logits: size > .64). According to the established criteria, the items showed no age-related DIF. Nevertheless, there were a few items that revealed DIF associated with pathology (7 items), education (7 items) and gender (4 items). Notably, the benefits of these items are balanced in these three variables: half of the items with DIF favor the reference group and the remaining items favor the focal group (control vs. clinical; female vs. male; low education vs. high education). This phenomenon is known as bias cancellation because at the overall test score level the respective biases might cancel each other out (Drasgow, 1987; Nandakumar, 1993; Roznowski, 1987). Therefore, it is reasonable to assume that the items with DIF do not spuriously change the differences in MoCA according to pathology, education and gender.

Discussion

The MoCA is a brief cognitive screening test that was specifically developed to screen for milder forms of cognitive impairment, and this test has been extensively validated for many disorders (see studies in <http://www.mocatest.org>). Several studies have reported the good psychometric properties (e.g., excellent levels of internal consistency; good correlations with others measures of global cognitive status, which suggests good convergent and construct validity; and high discriminant validity between

controls and several clinical groups) and excellent sensitivity of the MoCA to cognitive impairment (e.g., Damian et al., 2011; Freitas et al., 2013; Fujiwara et al., 2010), which has resulted in the rapid international dissemination and recommendation of this test as a cognitive screening tool in various guidelines (e.g., Arnold et al., 2007; Gauthier et al., 2011; Hachinski et al., 2006). Few previous studies have examined the psychometric properties of the MoCA using the Rasch model. The Rasch model (Andrich, 1988; Wright & Mok, 2004) is a psychometric method that has been used for the analysis of neuropsychological assessment instruments (Conrad & Smith, 2004; Prieto et al., 2012; Prieto et al., 2010). The overall aims of the present study was to analyze the psychometric characteristics of the MoCA using the Rasch model for dichotomous items, through the evaluation of the data fit and the reliability values and to determine the presence of DIF in individual items of the MoCA according to pathology, gender, age and educational level.

The results of this study reveal an overall good fit of both the items and the persons values. The item analysis revealed the overall psychometric adequacy of the items of the MoCA. No items revealed a severe misfit. The subtraction items of the MoCA are the only task that is inter-related. However, we choose treat all items separately, since the results of our study show that infit and outfit values of these items do not reveal a severe misfit. Although the first subtraction item showed a moderate misfit (*Outfit* value > 1.5; Linacre, 2011), the other items of this task adequately fit the model. A high variability on cognitive performance level also indicated the validity of the MoCA items. Considering the results of the person analysis, the psychometric characteristics of the measures are appropriate. Both the classic scores and the logits values revealed a high variability between the subjects, and the person values have been estimated with high reliability in both hits and logits.

The MoCA scores also demonstrated good sensitivity and specificity. This discriminant validity is supported by the large and significant mean differences between the control group and the clinical groups and between the clinical groups with different diagnoses, except for the comparison of FTD and VaD, which are groups with small sizes (33 and 34 patients, respectively). Statistically significant differences in the mean cognitive performance between educational level groups were also observed, with poor MoCA scores in participants with the lowest educational level. Similarly, the MoCA performance differs significantly according to age, with the lowest MoCA scores for the older participants. However, the mean cognitive performance was similar in both genders. These results are consistent with previous studies in the Portuguese population (Freitas et al., 2011; Freitas, Simões, Alves & Santana, 2012).

DIF analyses were conducted to explore the possibility that individual items of the MoCA might work differently as a function of pathology, gender, age and educational level. The MoCA items have invariance properties for young and older adults, as no items showed an age-related DIF. Regarding the other variables, there were few items that revealed DIF, verifying a balance pattern between the items that benefit the focal group and the items that benefit the reference group (control vs. clinical; female vs. male; low education vs. high education).

The overall good fit of both items and persons values, the high variability of both cognitive performance level of the items and between subjects on the scale, the high reliability in estimation of person values, the good sensitivity and specificity observed, and the balance pattern verified in the DIF analyses demonstrate the suitability of the instrument for the brief cognitive assessment of both cognitively healthy adults and patients with cognitive decline. Thus, our results suggest the utility of the MoCA in the cognitive screening of the adults from the community and in global

cognitive status assessment and follow-up of dementia spectrum patients in primary clinical settings and geriatric health care facilities.

We propose that the added value of the present study is the rigorous methodology used, including I) rigorous MoCA application, with no inter-rater variability (all participants were assessed by one of two expert neuropsychologists); II) well-validated study samples (diagnosis of clinical groups were established by a multidisciplinary team using standard criteria and based on a full investigation); III) homogeneity of the clinical groups (patients with misclassification and more advanced dementia cases were excluded); and IV) a control sample with subjects recruited from the community that were well-characterized as cognitively healthy adults.

Some limitations of the current study must be addressed. One of the main weaknesses of this study was the classification of participants as cognitively healthy subjects. To ensure cognitive health, we established strict criteria for inclusion and exclusion in the sample, as previously explained, and these criteria were confirmed in the clinical interview and neuropsychological evaluation. Furthermore, for older participants, confirmatory information was also obtained through a general practitioner, community center director and/or an informant. However, given the sample size and geographical distribution of the participants, it was not possible to perform a neurological consultation or additional diagnostic tests, such as neuroimaging, which would have further ensured the normal cognitive status of the participants. Another point is the clinical samples size, which did not allow a more detailed analysis considering the clinical groups separately. Moreover, due to the lack of other studies using DIF analyses, a comparative analysis of these results with other studies cannot be performed, and the specific comparison of this investigation with other MoCA studies using the Rasch model is not feasible given the differences in the objectives and

methodologies of the studies. Although all studies are converging to further emphasize the good psychometric properties and high utility of this test for the brief assessment of global cognitive status.

Lastly, some future considerations should be taken into account when analyzing the present results. Although the MoCA Portuguese version resulted in a rigorous process that followed the methodological guidelines for cultural adaptation studies, and the maximum equivalence between the original instrument and the Portuguese final version of the MoCA was pursued (Freitas et al., 2010), the generalization of these results to other target populations should be cautiously considered.

In conclusion, the results of this study highlight the overall psychometric adequacy and the discriminant and generalized validity of the results of the MoCA.

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Table 1. *Sample Characterization: Descriptive Statistics for the Total Sample and Subgroups*

	<i>N/n</i>	Gender f (%)	Age M \pm SD [Min.-Max.]	Education M \pm SD [Min.-Max.]	MoCA M \pm SD [Min.-Max.]
Total Sample	897	541 (60.3)	60.3 \pm 15.4 [25-91]	7.6 \pm 4.6 [1-27]	21.7 \pm 6.5 [2-30]
Healthy Group	650	408 (62.8)	55.8 \pm 15.1 [25-91]	8.2 \pm 4.7 [1-27]	24.7 \pm 3.7 [15-30]
Clinical Group	247	133 (53.8)	72.0 \pm 8.2 [46-91]	6.2 \pm 4.1 [1-20]	13.8 \pm 5.6 [2-25]
MCI	90	55 (61.1)	70.5 \pm 8.0 [46-91]	6.5 \pm 4.6 [1-20]	18.3 \pm 3.9 [10-25]
AD	90	52 (57.8)	74.2 \pm 8.2 [54-91]	6.2 \pm 4.1 [1-17]	10.1 \pm 4.4 [2-21]
FTD	33	14 (42.4)	68.4 \pm 7.0 [55-79]	6.4 \pm 3.8 [3-15]	12.2 \pm 4.8 [4-24]
VaD	34	12 (35.3)	73.2 \pm 7.9 [51-86]	5.0 \pm 2.8 [2-15]	13.0 \pm 4.6 [5-24]

Note. f = feminine gender; M = Mean; SD = Standard Deviation; Min. = Minimum value; Max. = Maximum value; MoCA = Montreal Cognitive Assessment (maximum score = 30); Health Group: all cognitively health participants; Clinical Group: all patients with MCI, AD, FTD and VaD; MCI = Mild Cognitive Impairment; AD = Alzheimer's Disease; FTD = Frontotemporal dementia; VaD = Vascular dementia.

Table 2. *Item Values of Montreal Cognitive Assessment*

Item	P	RiX	D(logits)	SE	Infit	Outfit
TMT-B (adapted)	.62	.67	1.11	.08	.75	.64
Cube	.52	.63	1.70	.08	.84	.84
Contour	.98	.23	-4.04	.29	1.03	.50
Numbers	.69	.60	.57	.09	.89	.88
Hands	.59	.62	1.26	.08	.86	.79
Lion	.93	.35	-2.08	.15	1.23	.92
Rhinoceros	.56	.52	1.46	.08	1.08	1.24
Camel	.88	.45	-1.18	.12	1.16	.88
Digits Forward	.65	.49	.88	.09	1.17	1.26
Digits Backward	.75	.43	.12	.10	1.29	1.40
Sustained Attention	.90	.53	-1.50	.13	.81	.63
Subtraction 1	.89	.43	-1.33	.13	1.09	1.68
Subtraction 2	.57	.53	1.37	.08	1.06	1.18
Subtraction 3	.65	.58	.87	.09	.97	.90
Subtraction 4	.64	.59	.98	.09	.95	.92
Subtraction 5	.60	.58	1.24	.08	.96	.91
Sentence 1	.78	.48	-.15	.10	1.15	1.29
Sentence 2	.58	.49	1.35	.08	1.18	1.20
Phonemic Fluency	.42	.59	2.32	.08	.91	1.05
Abstraction 1	.72	.52	.36	.09	1.09	.99
Abstraction 2	.51	.60	1.75	.08	.93	.82
Word 1	.47	.54	1.98	.08	1.08	.98
Word 2	.52	.54	1.72	.08	1.06	1.03
Word 3	.66	.57	.85	.09	.96	1.12
Word 4	.44	.48	2.18	.08	1.18	1.28
Word 5	.65	.57	.91	.09	.98	1.02
Date	.85	.56	-.79	.11	.85	.85
Month	.93	.46	-2.03	.15	.83	.88
Year	.89	.57	-1.29	.13	.75	.44
Day	.94	.45	-2.20	.16	.89	.52
Place	.97	.30	-3.39	.23	.98	.60
City	.99	.16	-4.98	.42	1.05	.29
M	.71	--	.00	.12	1.00	.94
SD	.17	--	1.86	.07	.14	.30

Note. P = Probability of correct answers; RiX = Item-test correlation; D = Difficulty (logits); SE = Standard Error of D; M = Mean; SD = Standard Deviation.

Table 3. *Descriptive Statistics of the Participants Scores on Montreal Cognitive Assessment*

Statistic	X	B	SE B
<i>M</i>	22.7	1.78	.61
<i>SD</i>	7.1	1.84	.33
Min.	2	-4.31	.43
Max.	32	5.62	1.84
α	.92	--	--
PSR	--	.85	--
% D	5.2		--

Note. X = Classic score (sum of the correct answers); B = Rasch values (logits); SE B = Standard Error of the Rasch values; M = Mean; SD = Standard Deviation; Min. = Minimum value; Max. = Maximum value; α = Cronbach alpha coefficient of the scores (classic reliability); PSR = Rasch reliability (Person Separation Reliability); % D = Percentage of subjects with severe misfit (*Outfit* or *Infit* >2).

Table 4. *Montreal Cognitive Assessment Scores Means of the Persons' Groups According to Pathology, Gender, Education and Age*

Group	<i>n</i>	M X	SD X	M B	SD B	PSR
Pathology						
Healthy	650	26.0 _a	4.1	2.55 _a	1.33	.67
MCI	90	19.0 _b	4.2	.81 _b	.83	.71
AD	90	10.3 _c	4.7	-1.17 _c	1.19	.80
FTD	33	12.7 _d	5.2	-.59 _d	1.28	.84
VaD	34	13.5 _d	5.0	-.37 _d	1.12	.81
Gender						
Male	356	22.7 _a	7.2	1.78 _a	1.88	.86
Female	541	22.8 _a	7.0	1.78 _a	1.81	.86
Education						
1-4 years	416	18.9 _a	6.5	.76 _a	1.46	.89
> 4 years	481	26.0 _b	5.7	2.66 _b	1.67	.75
Age						
<65	474	26.0 _a	5.3	2.64 _a	1.61	.74
>64	423	19.1 _a	7.0	.82 _b	1.59	.90

Note. B means with different subscript differ significantly ($p < .05$). M X = Mean of Classic score; SD X = Standard Deviation of Classic score; M B = Mean of Rasch values (logits); SD B = Standard Deviation of Rasch values (logits); PSR = Rasch reliability (Person Separation Reliability).

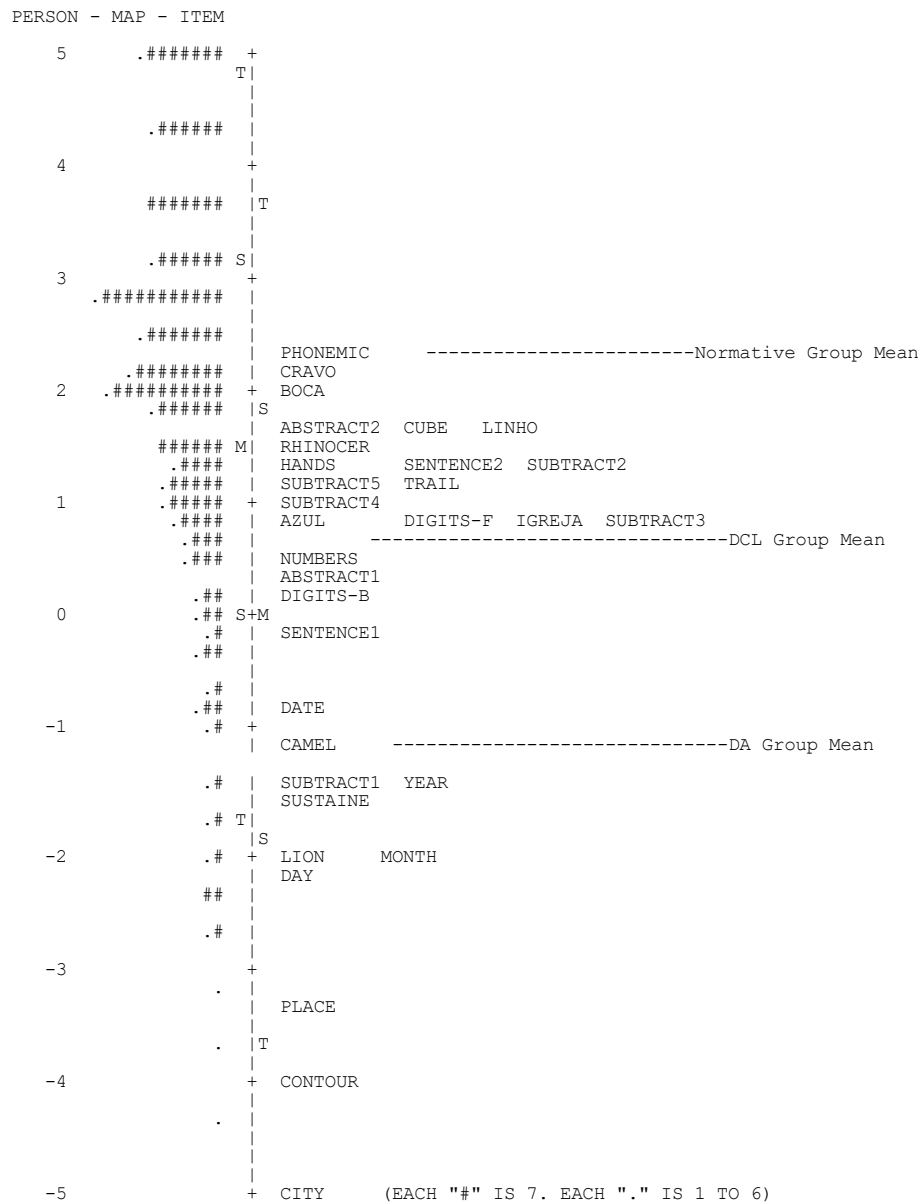


Figure 1. *Variable Map: Conjoint Measurement (Persons and Items)*

Table 5. *Montreal Cognitive Assessment: Differential Item Functioning (DIF) Related with Pathology, Gender and Education*

Item	Pathology	Gender	Education
Cube		Df > Dm	D1 > D2
Hands		Df > Dm	
Rhinoceros	Dh > Dc		
Digits Backward	Dh > Dc		
Sustained Attention	Dh < Dc		
Subtraction 1	Dh > Dc	Df > Dm	
Abstraction 1			D1 > D2
Abstraction 2			D1 > D2
Word 3	Dh < Dc		
Word 4			D1 < D2
Word 5	Dh < Dc	Df < Dm	D1 < D2
Date	Dh < Dc		D1 < D2
City			D1 < D2

Note. Healthy group: $n=650$; Clinical group: $n=247$; Female group: $n=541$; Male group: $n=356$; Low education group (1-4 years): $n=416$; High education group (>4years): $n=481$. Dh = Item difficulty in healthy group; Dc = Item difficulty in clinical group; Df = Item difficulty in female group; Dm = Item difficulty in male group; D1 = Item difficulty in low education group (1-4 years); D2 = Item difficulty in high education group (>4years).