

DATA Structures  
And  
Algorithms

Volume - 2

## Tree traversal

- \* The process by which we can reach each and every node of a tree exactly once in some order.
- \* There are 4 Methods which we can traverse a tree and these 4 methods are further divided into two categories.
  - 1) Breadth first Search / Level order search (BFS)
  - 2) Depth first Search (DFS)
- \* We use the term search, in searching and in traversing we have different objective, but the task is going to remain same i.e. reaching every node
- \* We perform ~~depth~~ breadth first search with the help of level order traversal

↳ What is Level order Traversal?

BFS

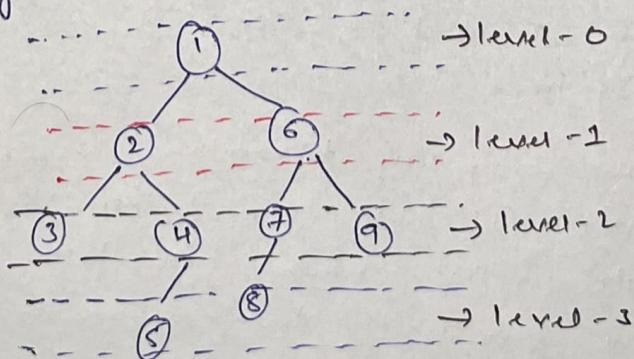
↳ A Binary tree is organized in different levels where the root node is at the topmost level (0th element). So the idea of the level order traversal is

↳ We first start processing from the root node, then we process all the nodes at the first level, 2nd,

3rd ...

current

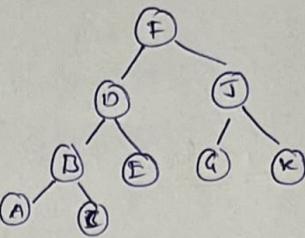
→ i.e. we explore all the nodes at the ~~2nd~~ level before moving on to the nodes at the next level.



BFS / Level order Traversal 1, 2, 6, 3, 4, 7, 9, 5, 8

F, D, I, B, E, G, K, A, B

=>



DFS:

~~Depth first search (BFS)~~

for any node

→ In BFS we visit all its children before visiting any of its grandchildren

↳ suppose in the above example, we are visiting "F" & then we are visiting "B" and we are not going to any child child of "B" like B/E along the Depth

DFS: Unlike BFS in DFS approach if we would go to child, we would complete the whole subtree of the child before going to the next child

↳ In the above example, from "F" the root node if we are going left to "D" then we should visit all the nodes in the left subtree. that is we should finish this left subtree in its complete depth (d) in other words we should finish all the grandchildren of "F" along the path before going to right child of F i.e. I

→ There are 3 popular strategies of DFS

1) Root, left, right  $\Rightarrow$  Pre order Traversal

2) left, Root, right  $\Rightarrow$  In order Traversal

3) left, right, Root  $\Rightarrow$  Post order Traversal

→ In total there are 6 possible permutations but conventionally left subtree is always visited before the right subtree, so above are 3 strategies that we are only the position of the Root is changing here

→ There is an easy way to remember these 3 depth first algorithms. If we can denote visiting a node (d) reading the data in that node with letter "D" going to the left subtree as "L", going to the right subtree as R

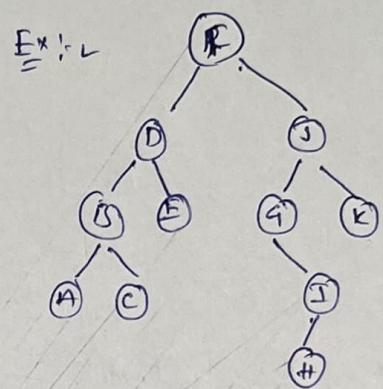
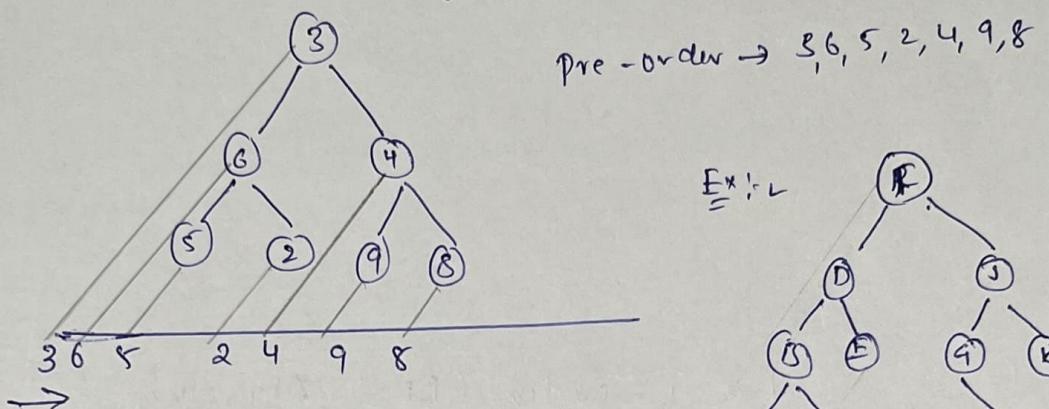
D L R  $\rightarrow$  Pre order

L D R  $\rightarrow$  In order

L R D  $\rightarrow$  Post order

## 1) Pre-order (D, L, R)

- Take a straight line at the bottom of tree
- Then draw a line from left hand side of the every node so that it connects the bottom line. Make sure no two lines intersecting.

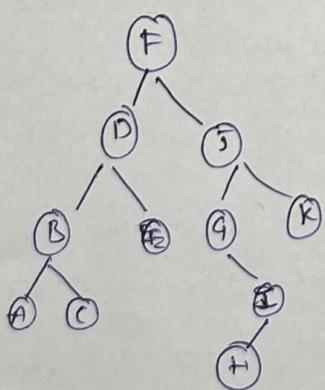


F D B A C E J G I H K

## 2) In-order (LDR)

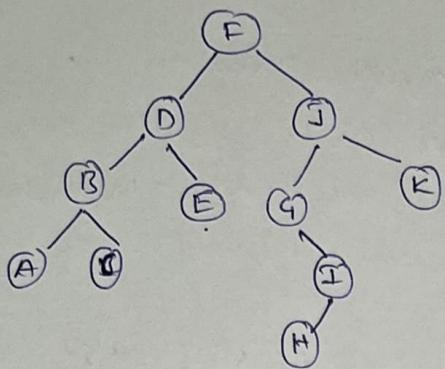
- ↳ In - in order traversal we will first finish visiting the left subtree then visit the current node and then go right
- ↳ We will start at the root and we first go left, once again for D we will first go left i.e. to "B" & from B we will go left i.e. "A" → now for A there is no left so we can read the data. and now we can go right but there is no right either, i.e. done with this node.

$\Rightarrow$  In order (LDR)  $\Rightarrow A, B, C, D, E, F, G, H, I, J, K$



↑ B-left

### 3) POST-order (L R D)



~~DRR~~

A, C, B, E, D, H, I, G, K, J, F

### Level-order (BFS) Algorithm

⇒ Like linked list, we can't just have one pointer and keep moving it (as the tree is non-linear)

⇒ Let's say we have a point at F, it's possible to move to nodes "D" / "J".

↳ As a conventional let say I moved to left node "D" → No I can not move to "J"

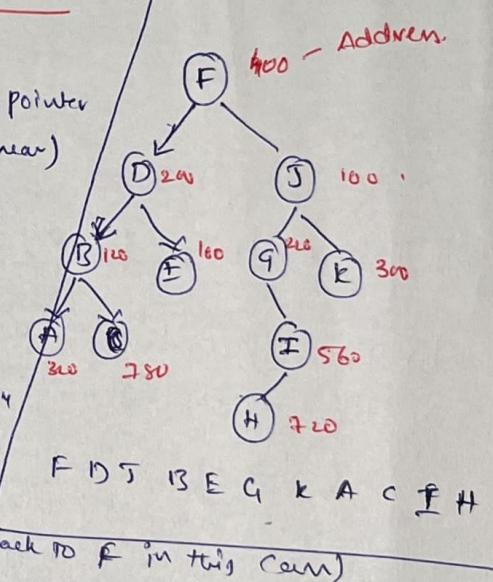
(next element in the same level) as the tree is uni-directional. (we can't go back to F in this case)

→ So what we can do is, as we visit a node, we can store reference of address of all its children in a "queue" so we can visit them later.

↳ A node in the queue can be called discovered node whose address is known to us but we have not visited it yet.

↳ Initially we can start with the address of root node in the queue, to mean that initially it's the only discovered node.

↳ Let's say in the Ex:- address of the root node (F) = 400 and assume some random address for others



## → Finding height of node

or max depth

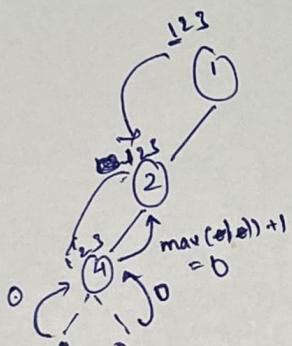
```
public int getHeight (TreeNode node) {
    if (node == null) return -1;
}
```

- ① int lh = this.getHeight (node.left)
- ② int rh = this.getHeight (node.right)
- ③ return max(lh, rh) + 1;

how many nodes from its ground level.  
 $\hookrightarrow 8 \times 1.3 \text{ edges} \Rightarrow 4 \text{ nodes}$

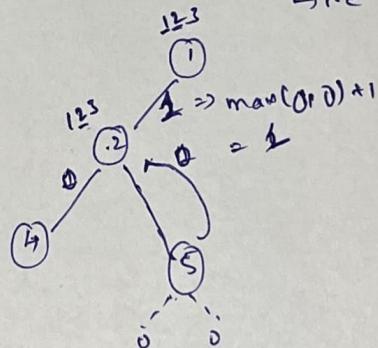
→ The 3 steps marked above should be performed for all the nodes. (so marking 123 at every node i.e. these steps should be performed in seq manner for all nodes)

↳ Start with node (1)



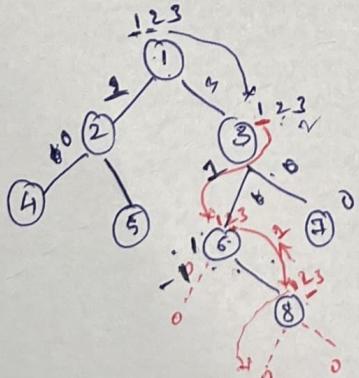
now we are step 2 of node 2

$\hookrightarrow$  i.e. go to right subtree



↳ now we are at step 2 of node 4

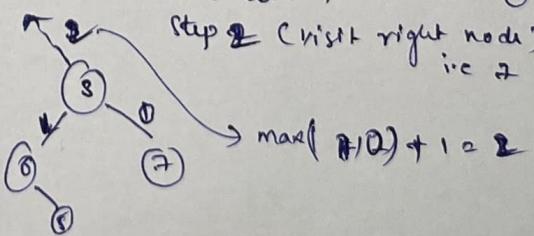
$\hookrightarrow$  i.e. goto Right subtree of node 4.



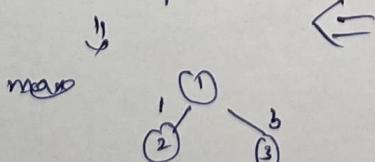
Step 2 of node 6, i.e. right

$$\hookrightarrow \max(-1, 0) + 1 = 1$$

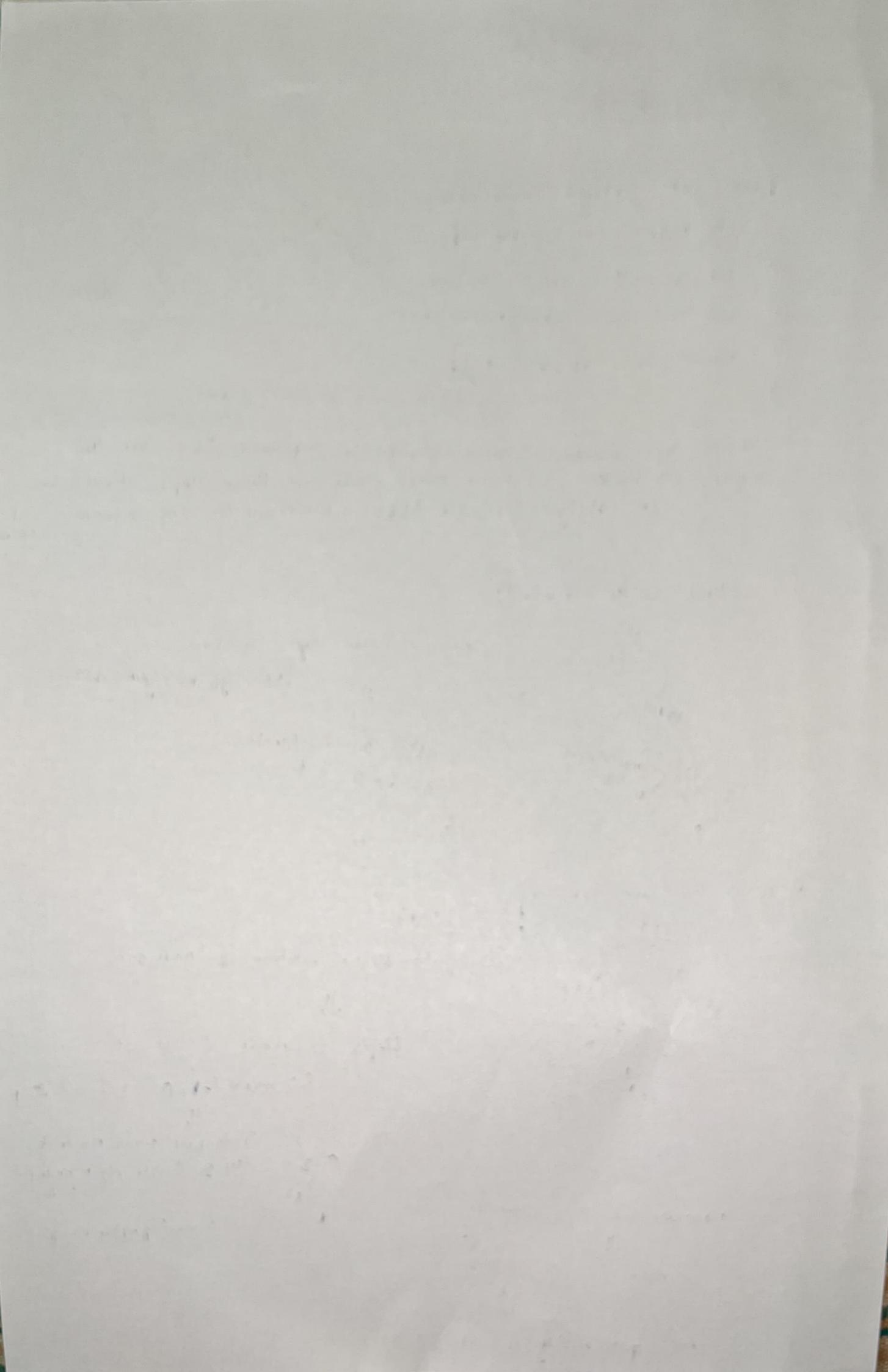
$\downarrow$   
 This will pass node 3  
 Step 2 (right right node)  
 i.e. 2



now we are step 3 of node 2



$$\max(1, 1) + 1 \Rightarrow 2 \Rightarrow \text{Height of node } 1$$

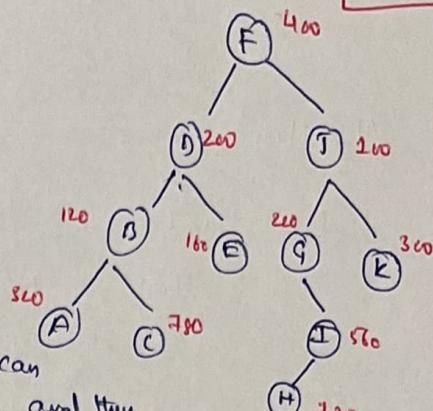


→ Enqueue Root node (F).

↳ Storing node in the Queue means  
Starting Address of \$  
(i.e.) reference.

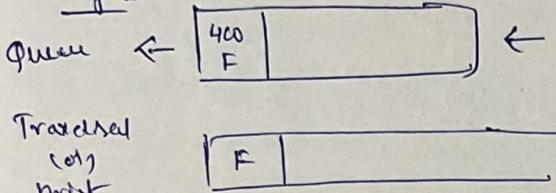
→ Logic

↳ As long as the Queue is not Empty, we can  
take out a node from the front, visit it and then  
Enqueue its children.



printing the value (in our case)

Step 1

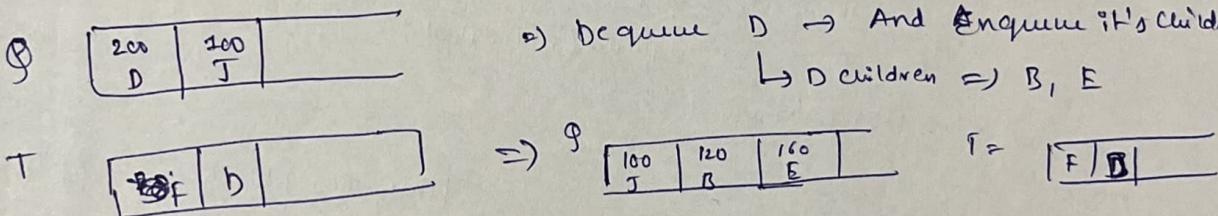


F - Enqueued & visited

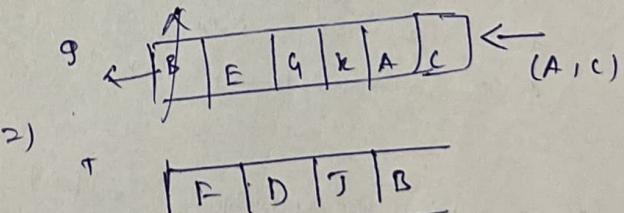
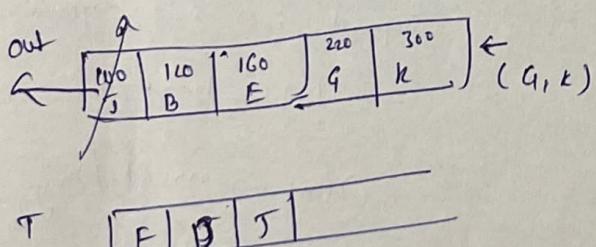
parent  $\downarrow$

After visit deque the node "F" &  
Enqueue its children.

Step 2:- Enqueue the children of node "F"



Step 3 → Dequeue J → And enqueue its children

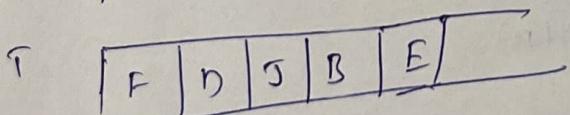


Step 4

$\Rightarrow$  Q  $\leftarrow$ 

100	120	160	200	300
J	B	E	G	K

 (Dequeue E  $\rightarrow$  There are no children so nothing to enqueue)



$\Rightarrow$ 

100	120	160	200	300
J	B	E	G	K

 $\leftarrow$  I }  $\Rightarrow$

100	120	160	200	300
J	B	E	G	K

 $\leftarrow$  no Elements to enqueue

T  $\leftarrow$ 

F	D	J	B	I	G
---	---	---	---	---	---

S/b for others till the Queue is empty

## Algorithm

```

def level_order (self, start):
    if start is None:
        return
    q = Queue()
    q.enqueue (start)
    traversal = []
    while len(q) > 0:
        traversal.append (q.peek())
        node = q.dequeue()
        if node.left:
            q.enqueue (node.left)
        if node.right:
            q.enqueue (node.right)
    return traversal

```

~~q~~ = Queue() → Here traversal we are not considering as we ~~print~~ are using this for printing (used for internal logic not for actual requirement)
  
 q.enqueue (start) → Here instead of append we can simply use print
  
 traversal = [] → O(n)
  
 while len(q) > 0: → O(n)
  
 traversal.append (q.peek())
  
 node = q.dequeue()
  
 if node.left:
  
 if node.right:
  
 return traversal

alt case

main  
 tree = Binarytree (5)  
 tree.root.left = Node (4)  
 tree.root.right = Node (6)  
 tree.root.left.left = Node (7)

⇒ BFS Time complexity =  $O(n)$

BFS Space complexity =  $O(n)$  ↳ max no. of nodes in queue at any time.

↳ Best case =  $O(n)$  (for degenerated Binary Tree)

Worst/Avg case =  $O(n)$

Time complexity =  $O(n)$

Space-complexity

↳ Here ~~q~~ will shrink and expand i.e. ~~q~~ is dynamic

$O(1)$  → best

$O(n)$  → worst / Avg

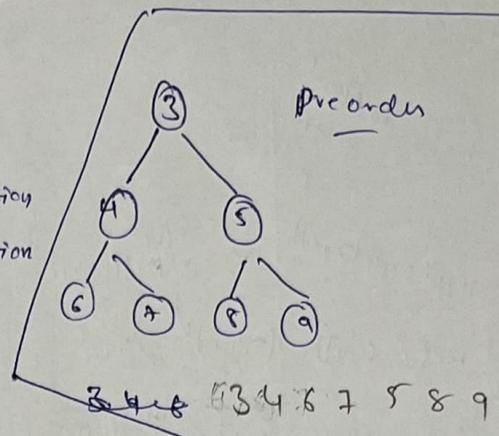
## Depth first Traversal (DFS)

→ prc-order  
→ In-order  
→ post-order

DS-~~50~~

### Pre-order (D, L, R)

- \* In DFS, if we go in one direction then we visit all the nodes in that direction (i.e., in other words we visit the complete sub-tree in that direction). And then only we go in other direction



- \* So if we see in this Approach we are dealing the problem in a self similar (i.e., recursive manner), we can say that in total visiting all the nodes in the tree is visiting the root node, visiting left subtree and visiting right subtree (i.e., Other ways. (DLR, LDR, LRD))

#### 1) Pre order (DLR)

- 1) visit root
- 2) visit left sub tree
- 3) visit Right sub tree

1)  
Continue this process in  
generative  $\Rightarrow$  Break recursive  
for the subtree if root is null

② // say if want to get the list  
of Elements then ↑ list

```
def &preorder(self, root, traversal):
    if root is None:
        return
    else:
        traversal.append(root.value)
```

$\uparrow$  Break case TO break the recursion  
if root is None!

```
        self.preorder(root.left, traversal)
        self.preorder(root.right, traversal)
```

return traversal

①  
class Node():

```
def __init__(self, value):
    self.value = value
    self.left = None
    self.right = None
```

class BinaryTree:

```
def __init__(self, root):
    self.root = Node(root)
```

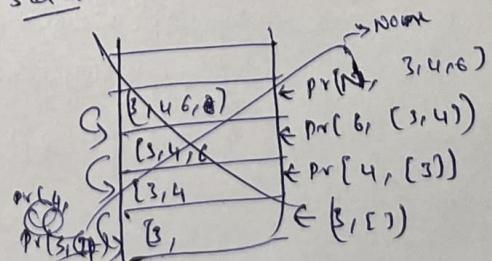
def preorder(self, root):

if root is None:
 return

# print the root
 print(root.value)

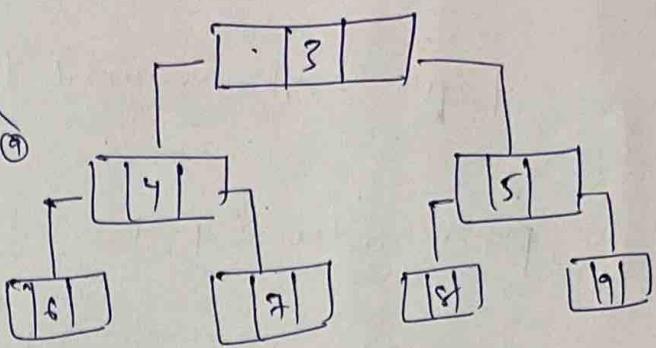
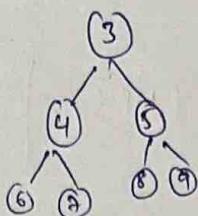
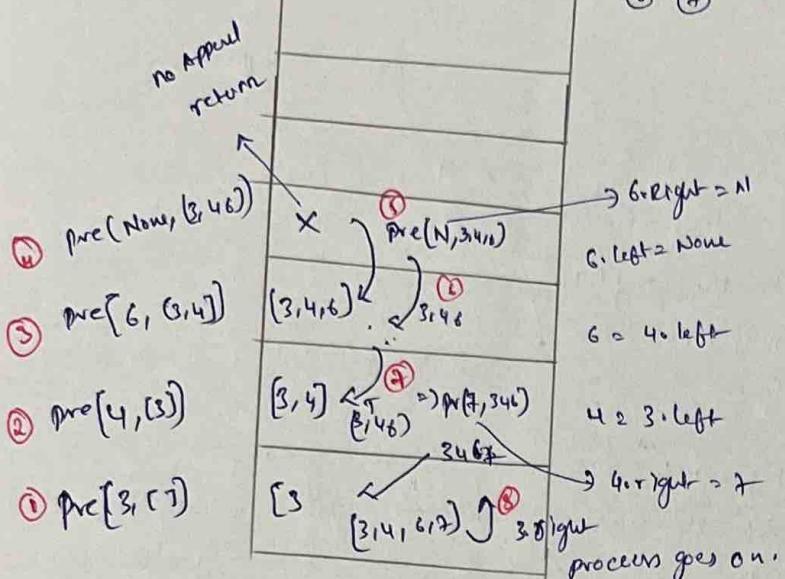
```
    self.preorder(root.left)
    self.preorder(root.right)
```

Stack



## Call Stack

### Pre order



def pre\_order ( self, root, Traversal ) :

if root is None :

return

else :

Traversal.append (root.value)

preorder (root.left), T

preorder (root.right), T

## In-order

def inorder ( self, start, traversal ) :

# Left → Root → Right

if start is None :

return

else :

self.inorder (root.left, traversal)

# append Root

Traversal.append (start.value)

self.inorder (root.right, traversal)

## Post Order

def post\_order ( self, start, T ) :

start > Root

# Left → Right → Root

if start is None :

return

else :

self.postorder (start.left, T)

self.postorder (start.right, T)

self.T.append (start.value)

Pre order Iterative method

→ Right child must be pushed first  
so that left child is processed first  
(LIFO order).

```

public static void PreorderIterative(Node root)
{
    if (root == null) {
        return;
    }
    // Create an empty stack and push the root node
    Stack<Node> stack = new Stack<>();
    stack.push(root);

    // Loop till the stack is empty
    while (!stack.isEmpty()) {
        // Pop a node from stack & print it
        Stack.Node currentNode = stack.pop();
        System.out.print(currentNode.data);

        // Push the right child of the popped node
        stack.push(currentNode.right);
        if (currentNode.right != null) {
            stack.push(currentNode.right);
        }
        // Push the left child of the popped node
        if (currentNode.left != null) {
            stack.push(currentNode.left);
        }
    }
}

```

⇒ Above solution can be further optimised by pushing only "Right" child to the stack.

```

Node curr = root
while (!stack.isEmpty()) {
    if (curr != null) {
        print(curr.data);
        if (curr.right != null) {
            stack.push(curr.right);
        }
        curr = curr.left;
    } else {
        curr = stack.pop();
    }
}

```

→ If the current node exits, print it and push its right child to the stack before moving to its left child.

→ If the current node is null, pop a node from stack & set the current node to the popped one.

## Iterative Inorder

```

public static void inorderIterative (Node root)
{
    Stack<Node> stack = new Stack<> ();
    Node curr = root
    // If the current node is null & the stack is also empty we are done
    while ( !stack.isEmpty() || curr != null) {
        * If current node exists, push it into the
        if (curr != null) {
            stack.push (curr)
            curr = curr.left
        }
        else { * Otherwise, if the current node is null, pop an element
                from the stack, print it and finally
                curr = stack.pop();
                Set the current node to its
                right-child
                System.out.print (curr.data);
                curr = curr.right
        }
    }
}

```

## Iterative - Post Order (we need an explicit out-stack)

```

postorderIterative (Node root) {
    if (root == null) {
        return;
    }
    Stack<Node> stack = new Stack ();
    stack.push (root);
    Stack<Integer> out = new Stack<> ();
    while ( !stack.isEmpty() ) {
        Node curr = stack.pop()
        out.push (curr.data)
        if (curr.left != null) {
            stack.push (curr.left);
        }
        if (curr.right != null) {
            stack.push (curr.right);
        }
    }
    while ( !out.isEmpty() ) {
        print (out.pop());
    }
}

```

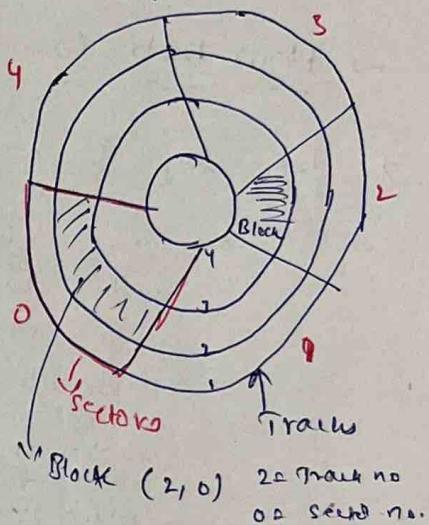
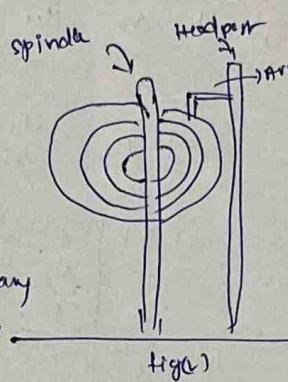
## B-Trees and B+ Trees

→ Before we go to B & B+ trees we need to understand the below things.

- 1) Disk Structure
- 2) How data is stored on disk
- 3) What is Indexing
- 4) What is Multilevel Indexing
- 5) M-way Search Tree
- 6) B-Trees
- 7) Insertion and Deletion B Tree
- 8) B+ -Trees,

### 1) Disk Structure :-

→ Concentric logical circles are called Tracks



→ Tracks are divided into many Sectors (Red color). Here we have 5 sectors (0 to 4)

→ Intention portion of a track and sector is Block

→ So Any location on the disk can be addressed with Track no. & Sector number

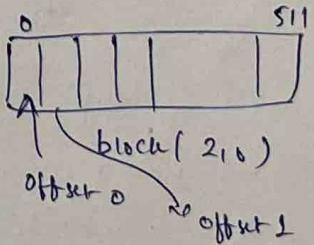
$$\text{i.e. Address of Item} = (\text{Track no.}, \text{Sector Number})$$

Block

→ Let's consider block size as 512 bytes (depends on manufacturer)

↳ When we read and write on a disk we always write in terms of blocks

↳ Let us consider the block divided into multiple bytes. Here 512 bytes, i.e. 0 to 511 bytes. Then each byte can have its own address that is called "offset"



→ So To reach each byte we should know track no., sector number, offset

→ From Fig (1) → Disk is mounted like shown on a spindle and the head post will also be there so by spinning it will change the sector by the arm movement of the head rest (i.e. reading data or writing data) by arm movement tracks are changed.

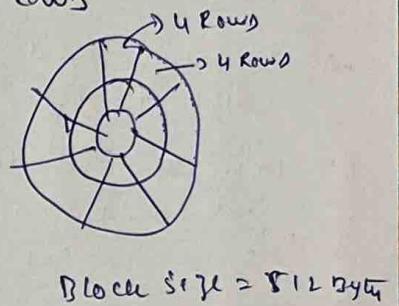
- The Data can not be processed directly on disk it has to brought on to the main memory (RAM) and then process array and process → once processed again the data copied to disk
- Organizing the data that is directly used by the program that is stored in the memory is the job of Data Structure
- Organizing the data efficiently so that it can be easily utilized that is DBMS

### → How Data is stored on the Disk

\* let say I have Employee table with 100 rows

<u>Schema</u>	<u>size</u>
cid - 10 bytes	
name - 50	
dept - 10	
section - 10	
add - 10	
	$\sum$
	size = 128 bytes

Employee	name	size
1	A	128 bytes
2	B	128 bytes
3	C	128 bytes
4	D	
		100 rows



↳ It means Each row size is 128 bytes

→ When we want to store the table on the disk / DB then Each block How many rows can be stored?

$$\text{No. of Records/Block} = \frac{512}{128} \Rightarrow 4 \Rightarrow \text{Each block can store 4 Records/Rows}$$

$$\text{for 100 Records} = \frac{100}{4} \Rightarrow 25 \text{ blocks.}$$

→ let's say now we have written a select query where cid =

↳ If I am searching then how much time it takes

↳ i.e. Time depends on the no. of blocks you are accessing. Here we need to access 25 blocks because record may present anywhere.

Records ↑ ⇒ Blocks ↑ ⇒ Search Time ↑

How can be reduced ⇒ Using Indexes

→ In Index → we will store key (emp#) and row pointer.

### 3) Indexing

→ Where do we store Index

↳ We store Index also in the Blocks in the Disk.

→ How much Space Index Taking?

Index → Emp1 = 10 Bytes

Record pointer = 16 Bytes (Assume)

Each Index record ⇒ 16 Bytes  
will take

#### Index

Idx	Point
1001	
1002	
1003	

Emp	Name	...
1001	A	
1002	B	
1003	C	

↓  
↑  
pointer to the block  
in the disk

For Each Record we will a entry

→ So say we have 100 Records in Employee Table. So 100 records in Index table ⇒ 100 v

$$\text{No. of Entries / Block} = \frac{512 \text{ (Bytes Block size)}}{16} = 32 \text{ Entries}$$

→ i.e per block we can store

$$\text{for 100 entries} = \frac{100}{32} \approx 3.1 \approx 4 \text{ Blocks}$$

↳ so we required 4 blocks to store 100 records

→ Now Perform searching. → So here instead of searching directly into the Employee table we search in Index table → get Row pointer → And then Access the record ~~ind~~ in that Memory (Row pointer)

↳ so Total, 4 Blocks + 1 Block to visit in Emp Table = 5 Blocks

Not 25 blocks

↳ as in earlier case

→ What if Index size also growing?

↳ Then Perform Index on an Index (Multi-level indexing)

↳ Now how do we prepare this second Index?

↳ For Every Entry in Index → No (As size becomes same and no - entry)

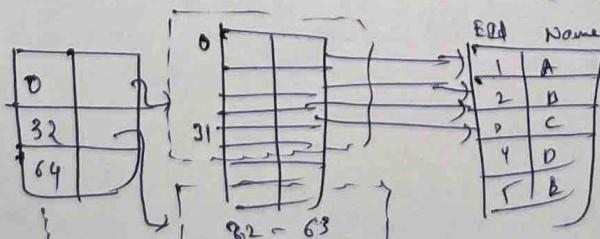
↳ we perform index to every block in the Index Table,

↳ for 1000 records → 40 blocks

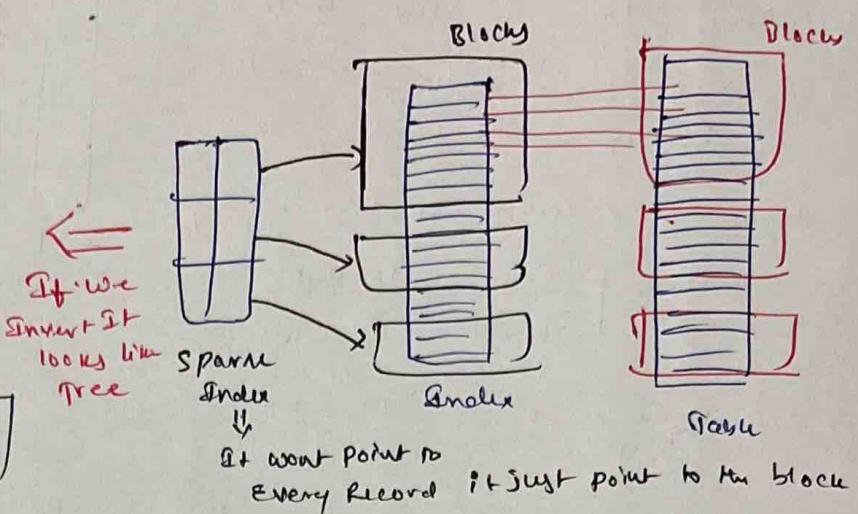
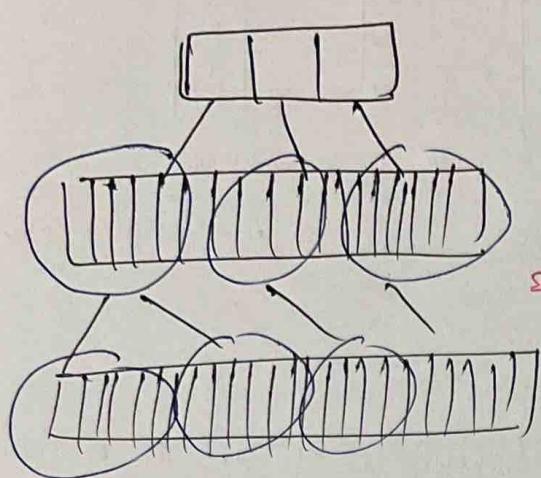
↳ so for 2nd level Index

$\frac{40}{32} = 1 \dots \approx 2 \text{ blocks.}$

Sparse  
Index



→ So this is the basic idea of originating B & BT trees.  
 ↳ If we observe this multi level index looks like a tree.



⇒ What is the requirement of indexing?

↳ Shall we check DB → growing/shrinking and manually perform indexing?  
 ↳ It should be done automatically so

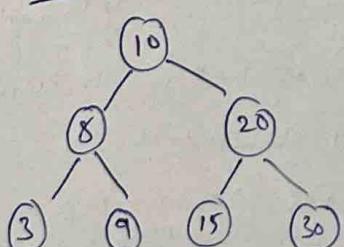
We want Self-managed multi-level indexing. That is where B & BT Trees comes into picture.

→ B & BT trees are actually originating from M-way search trees.

### \* M-Way - Search Tree :-

→ like in Binary search tree we know that smaller elements arranged towards left side and larger elements towards right side.

#### BST



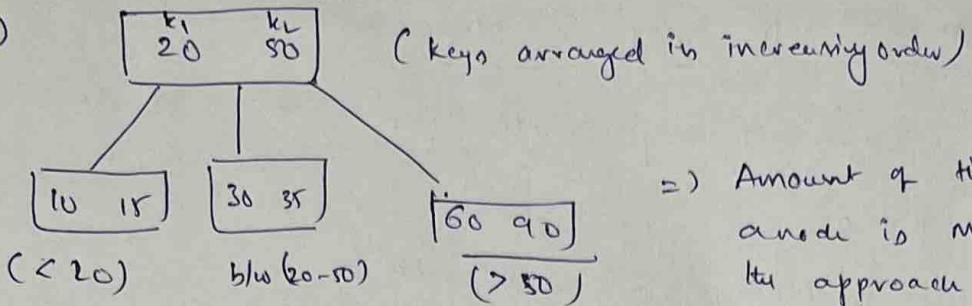
⇒ no. of keys per node = 1

⇒ no. of children (max) per node = 2



Can we have node with more than 1 key and more than 2 children?

2)



=> Amount of time taken to search a node is more than BST but the approach is same.

No. of key per node => 2 keys

=> These trees are called as M-way search tree

No. of Children per node = 3 children

↓  
So

3-way search tree

So ~~way~~ M-way search tree

i.e.  $\hookrightarrow$  Each node can have at most "M" children  
 $\hookrightarrow$  i.e.  $(n+1)$  key per node

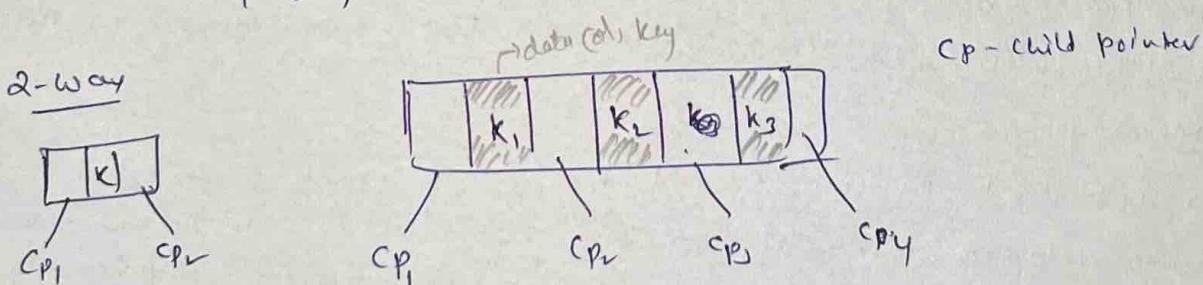
=> ~~so~~ M-is based on no. of children = degree of a node.

$\hookrightarrow$  i.e. Binary search tree (BST) is a type of M-way search tree with degree 2

=> How we represent a node in M-way search Tree?

for example M-way search tree = 4-way search tree

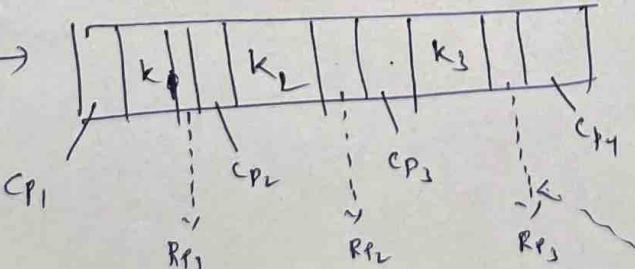
4-way search  $\rightarrow$  3 keys - 4 children



$\rightarrow$  Can we utilize M-way search tree for Indexing

$\hookrightarrow$  Take 4-way as example

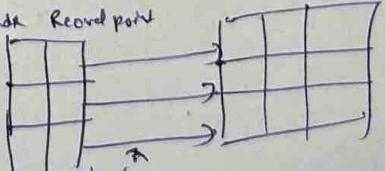
Node structure  $\rightarrow$



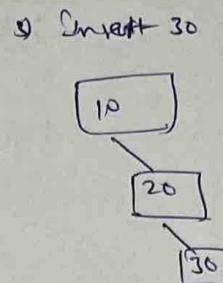
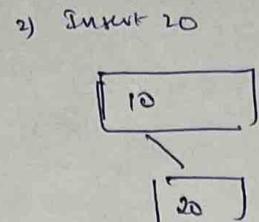
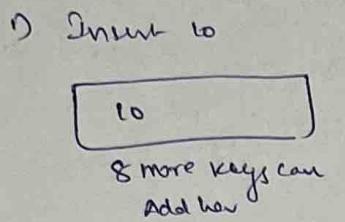
$RP \rightarrow$  Record point

End record point

Table



- Problems with M-way Search
- Creation process is not in control  
There is no guidelines
- Insertion → 10-way search tree i.e 10 children & keys.  
10, 20, 30



- I can insert like above but this is wrong because we have lots of memory wasting at each node. first fill up the previous node and fill up other nodes.
- ↳ But there is no control on M-way search tree we can insert as we like
- To overcome this problem, we use ~~B+Tree~~<sup>B.g of</sup> tree with some guidelines.

## B-Tree

- B-Tree is a M-way search tree with some Rules
- B-Tree is a self-balancing search tree. ~~like AVL & Red black trees~~
- In most of the other self-balancing search tree (like AVL & Red black trees), it is assumed that everything is in main memory.
- To understand the use of B-Trees, we must think of the huge ~~memory~~ amt. of data that can not fit in the main memory (RAM). When the No. of keys are high, the data is read from the disk in the form of blocks. Disk access time is very high, compared to main memory access time. The main idea of using B-Tree is to reduce the disk access time.

## B-Tree Rules

- \* 1) Every node in B-tree must be filled by half i.e  
If degree is  $M \rightarrow$  Then  $\lceil M/2 \rceil$  children must be there  
  
Ex: If degree is 10  $\rightarrow$  Then Every node  $(10/2) = 5$  children must be there  
↳ Then only think of creating new node.
- 2) We can not impose the above condition on a Root,  
If we impose we can not even insert one key. So  
↳ Root can have minimum Two Children.
- 3) All leaf nodes must be at same level.
- 4) Creation process is bottom up Approach, i.e Tree size will grow from bottom.

## B-Tree Insertion

Ex:-  $m=4$  (4-way-std) : keys = 10, 20, 40, 50

### Steps

- 1) If the tree is Empty  $\rightarrow$  Allocate a root node & insert a key
- 2) Update the allowed no. of keys in the node
- 3) Search the Appropriate node for insertion.

↳ If the node is full follow below steps

- 1) Insert the Elements in Increasing Order
- 2) Now there are elements greater than limit, so split at the median (middle).
- 3) Push the median key upwards and make left keys as left child and right keys as right child

↳ If node is not full

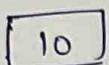
↳ Insert the node in increasing order.

## Insertion Example 1

$m = 4$  (4-way search tree)  $\Rightarrow$  4 children per node (max)  
 .  
 3 keys per node (max)

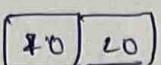
Keys :- 10, 20, 40, 50, 60, 70, 80, 30, 35, 5

1) Insert 10



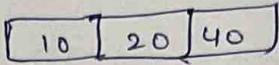
$\hookrightarrow$  2 more key can insert

2) Insert 20



$\hookrightarrow$  1 more key can insert

3) Insert 40



$\hookrightarrow$  No more key can insert

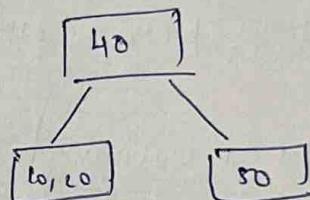
Note :- Insertions into keys in a node should be in increasing order.

4) Insert - 50

$\hookrightarrow$  there is no space to add it in Root Node, Then ~~what~~ we should split the node and create one more node

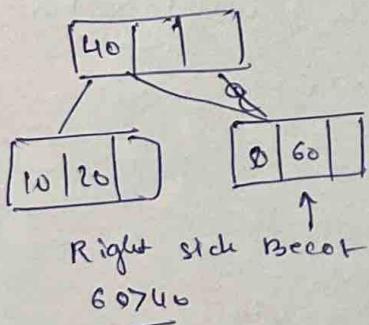
$\rightarrow$  Take Median  $\rightarrow$  and push it to upwards

10, 20, 40, 50  
 1 2 3  $\Rightarrow$   
 left median right

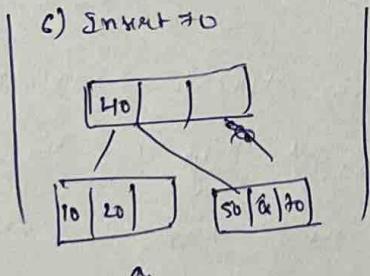


$\rightarrow$  If we observe, the tree is growing upwards  $\rightarrow$  i.e bottom up approach.

5) Insert 60



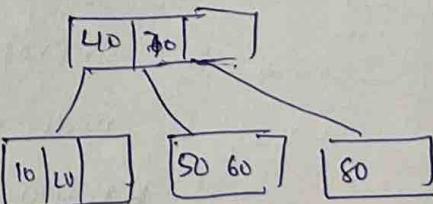
6) Insert 70



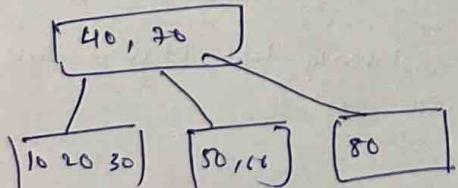
7) Insert 80

$\hookrightarrow$  no space so split two nodes

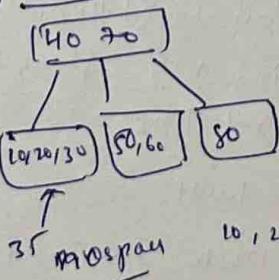
50 60 70 & 80  
 median  $\rightarrow$  move up



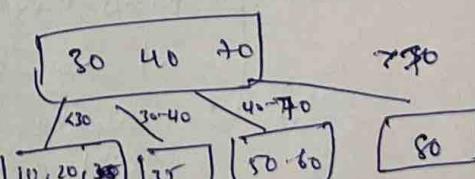
8) Insert 30



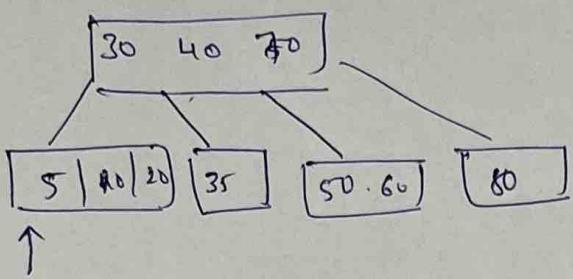
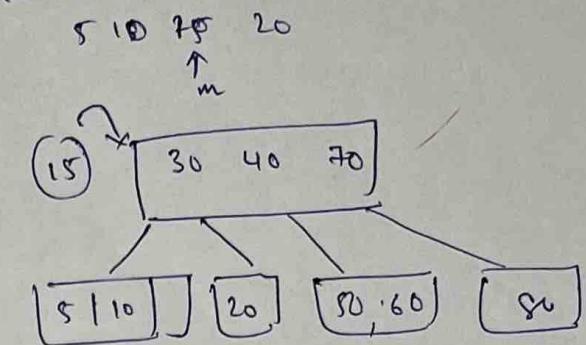
9) Insert 35



2)

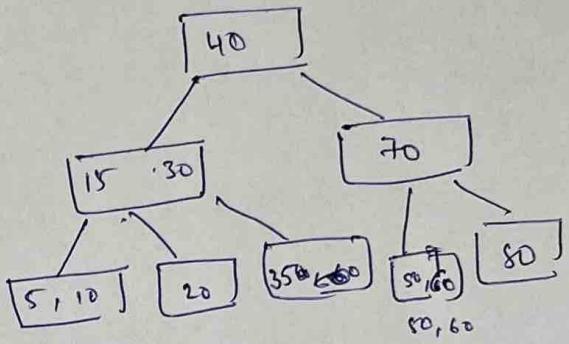


10, 20, 30, 35  
 of M

10) Insert 811) Insert 15

2) Even there is no space to insert 15 at Root node 80  
SPLIT it

15 30 40 70  
↑  
m

B-Tree complexity

- 1) Search -  $O(\log n)$
- 2) Insert -  $O(\log n)$
- 3) Delete -  $O(\log n)$

$n$ : Total no. of Elements in B-tree

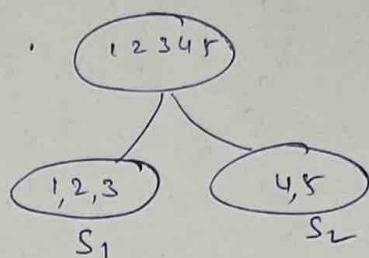
B-Tree deletion

Disjoint Set

- 1) Basics    2) Union & Find    3) Cycle detection.

→ Two or more sets with nothing in common are called disjoint sets

$$S_1 \cap S_2 = \emptyset$$



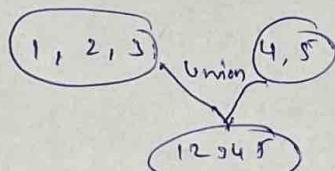
→ Union of disjoint set

- ① ↳ keeps track of the set that an element belongs to. Therefore it is easier to check, given 2 elements, whether they belong to the same subset (i.e. Find operation)

$s(1); s(2) \Rightarrow$  Belongs to same set i.e.  $S_1$   
↳ true

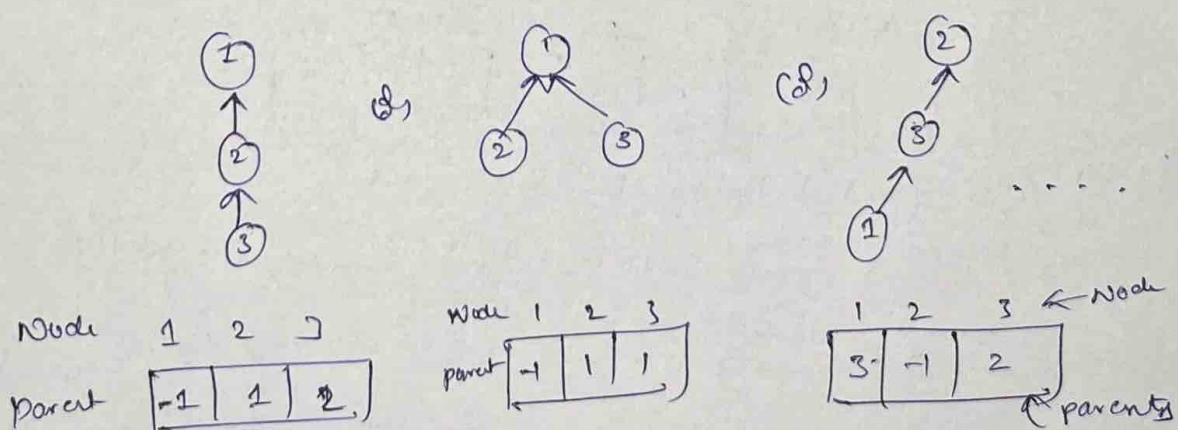
- ② It is used to merge 2 sets into one i.e. (Union operation)

↳ Union of 2 Elements is same as Union of 1 sets



→ Disjoint Sets (DS) uses chaining to define a set. The Chaining is defined by a parent-child relationship.

Ex:- 1, 2, 3 → This can be represented below

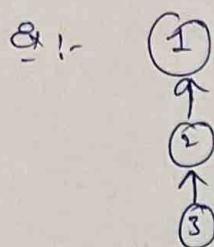


→ Indices

Array Indices  $\Rightarrow$  Nodes

Array value  $\Rightarrow$  Hold the parent

→ How can we find that the two elements belongs to same set?  
 ↳ we can tell that finding it's Absolute parent (A.P)

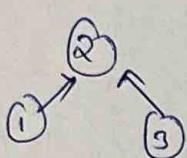


1	2	3
-1	1	1

⇒ If we observe here, 2-parent is 1 &  
 3-parent is 2 ⇒ i.e. parent again is

- o) So the absolute parent of 2, 3 = 1
- ⇒ If Absolute parent of 1 is "-1" (Root not connected to Any)

Silly

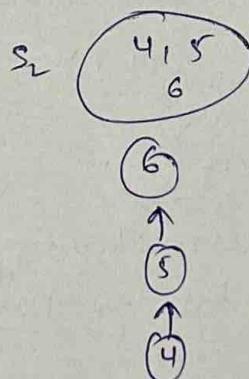
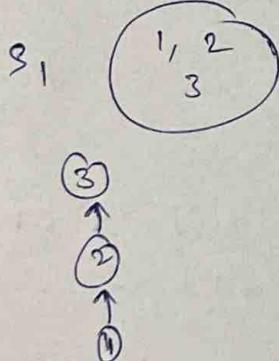


1	2	3
2	-1	2

⇒ same absolute parent  
 so belong to same set

→ The structure of the tree does not matter, it just matters for the optimization purpose.

### 2-Sets Representation



- Q<sub>1</sub> Are (2, 5) same set?
- Q<sub>2</sub> Are (1, 2) same set.

Nodes

1	2	3	4	5	6
2	3	-1	5	6	-1

Q<sub>1</sub> → Are (2, 5) Belong to same set?

Q  $\xrightarrow{\text{parent}}$  3  $\xrightarrow{\text{parent}}$  -1 (i.e Root) ⇒ i.e. 2 Absolute Parent (A.P)  
 PS "3"

Q  $\xrightarrow{\text{parent}}$  6  $\xrightarrow{\text{parent}}$  -1 (i.e Root) ⇒ i.e. 5 Absolute Parent  
 is "6"

→ So Here → The absolute parent of 2 & 5 is different  
 ↳ So they are not belong to same set.

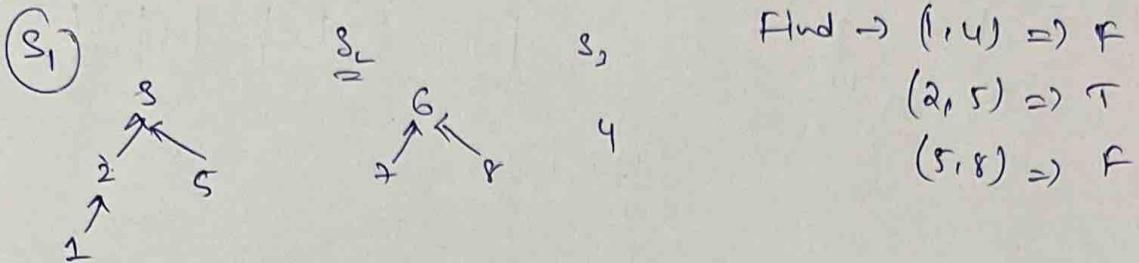
Silly (1, 2) → 1  $\xrightarrow{\text{Absolute parent}}$  3 ; 2 → 3 ⇒ So same set as A.P are same.

(2)

DS-55.2

Find operation

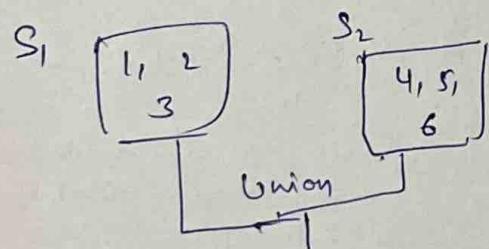
- \* If root parent (i.e.) Absolute parent of A & B are same then they must be in the same set.



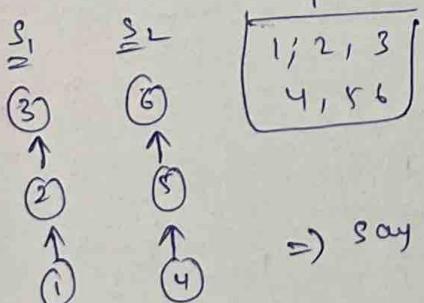
Nodes:	1	2	3	4	5	6	7	8
parent:	2	3	-1	-1	3	-1	6	8/5

$(1, 4) \Rightarrow 1 \xrightarrow[\text{parent}]{\text{Add}} 2 \xrightarrow[\text{parent}]{\text{parent}} 3 \xrightarrow[\text{parent}]{\text{parent}} -1$  (Root)  $\Rightarrow$  i.e. 3 is absolute parent  
 $4 \rightarrow -1 \Rightarrow$  (Root)

$(1, 4) \Rightarrow$  No belong to same sets as their absolute parents are different

Union Operation $\Rightarrow$  Union of  $(1, 5)$ 

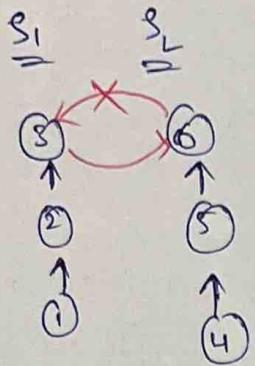
Taking of one element is nothing but the merging the element into the other set i.e. nothing but the merging of two sets



Nodes:	1	2	3	4	5	6
parent:	2	3	-1	5	6	-1

$\Rightarrow$  say we want to find union of  $(1, 5)$

- To do merging what can we do is take absolute parent of 1 i.e. 3 & take absolute parent of "5" i.e. "6"
- ↳ Now what we can do is point the absolute root of 1 to the absolute root of 5 (i.e. vice versa)
- ↳ In order to optimize it we can take union by graph → but now lets go with brutal force method



Node  
Parents

1	2	3	4	5	6
2	3	-1	5	6	-1

↓ Now made 3 point to 6

1	2	3	4	5	6
2	3	6	5	6	-1

(1, 5)  $\Rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow 6 \rightarrow -1$

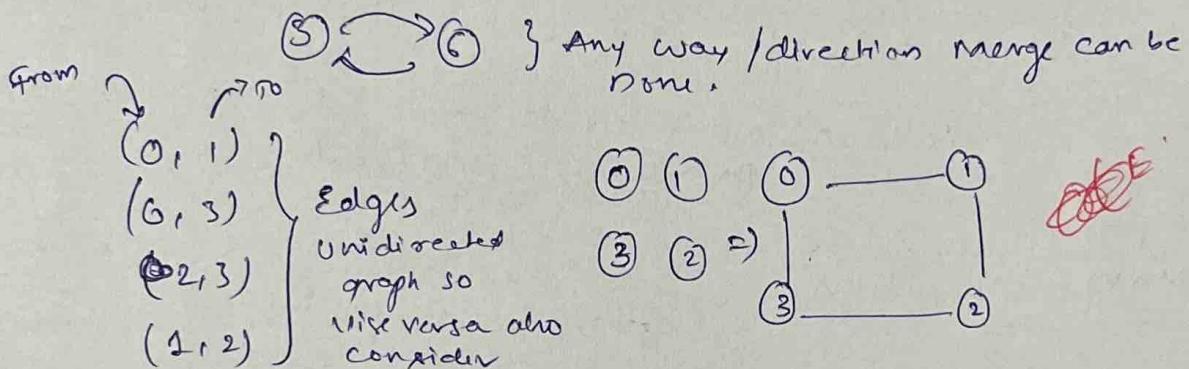
5  $\rightarrow$  6  $\rightarrow$  -1

For both Absolute parent will be "6" now

$\rightarrow$  CC(E\*V)

Ex:- Detect cycle in an undirected graph.

Note:- disjoint sets won't work for directed graph as the Union won't follow direction. In above case



Node  
parents

0	1	2	3
-1	-1	-1	-1

(Initial no nodes are connected i.e disjoint)

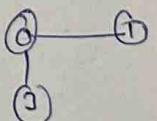
1)  $(0, 1) \Rightarrow$  edge

Since it is undirected we can consider 0 to 2 or 1 to 0, let's consider 0 to 1 i.e. Take union

2)  $(0, 1) \Rightarrow$  Take Union |  $(0, 3)$  union

0	1	2	3
1	-1	-1	-1

0	1	2	3
1	3	-1	-1



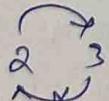
Absolute Parent

0  $\xrightarrow{\text{parent}}$  1  $\rightarrow$  -1  $\rightarrow$  1

3  $\rightarrow$  -1  $\rightarrow$  3. (Absolute parent)

$\begin{array}{l} 0 \\ 1 \\ 2 \\ 3 \end{array}$  } i.e. 1 point by 3

$\Rightarrow (2, 3) \Rightarrow$  Both are disjoint as it pointing to -1 so perform union



0	1	2	3
1	3	3	-1

$(1, 2) \Rightarrow$  It has same absolute rest i.e. 3 i.e.

$\hookrightarrow$  Belong to same set  $\Rightarrow$  If you add it creates cycle

Time complexity :  $O(E * V)$

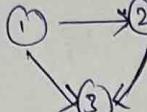
Process  
All edges

Find DS Union  
Worst case for a  
Degenerated Tree / Skewed  
Tree

(DS)

→ Disjoint set can't detect cycle in a directed graph because union operation has no directionality

Ex:-

 ⇒ If we see here, there is no cycle, but DS will detect cycle

(1, 2)  
(1, 3)  
(2, 3)

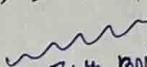
1	2	3
-1	-1	-1

1	2	3
2	-1	-1

1	2	3
2	3	-1

1, 3

1 → 2 → 3 → -1

3 → -1   
Both pointing to  
3 → Cycle

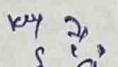
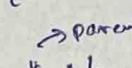
Program

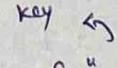
→ Takes one param

find(d) ⇒ S3 (st) b (parent)

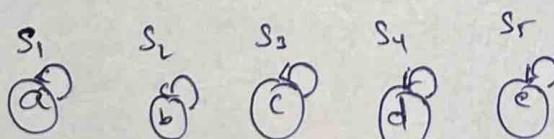
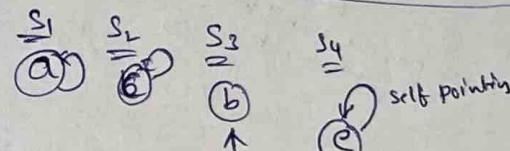
Union (S2, S3)

→ let Elements = { "a", "b", "c", "d", "e" }

dict S1 = { "a": "a" } // pointing to sub  parent 

S2 = { "b": "b" }  parent

S3 = { "c": "c" }; S4 = { "d": "d" }; S5 = { "e": "e" }



$S_2 \cup S_3$

⇒ Form  i.e make parent of d as b

{ "d": "b" }

## Program

Class DisjointSet {

    private Map<Integer, Integer> parent = new HashMap<>()

    // perform make set operation

    ↳ creates "n" disjoint sets (one for each item)

    public void makeSet(int[] universe) {

        for (int i : universe) {

            parent.put(i, i);

        }

    // find the root of the set in which element "k" belongs

    public int find(int k) {

        if (parent.get(k) == k) {

            return k;

        }

        return find(parent.get(k));

        Recur for item  
        Parent until we  
        find its root

    }

    // performs union of two sets

    public void union(int a, int b) {

        // find the root of the sets in which elements "x" & "y" belongs

        int x = find(a);

        int y = find(b);

        parent.put(x, y); // parent.put(y, x)

    }

main() {

    int[] universe = {1, 2, 3, 4, 5};

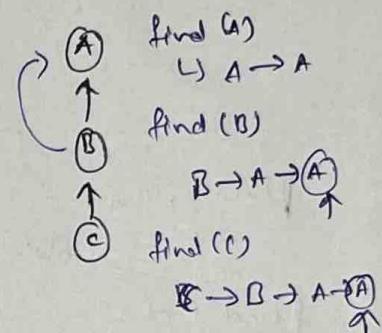
    DisjointSet DS = new DisjointSet();

    DS.makeSet(universe) → 1, 2, 3, 4, 5

    DS.union(4, 3) → 1 2 3 3 5

    DS.union(2, 1) → 1 1 3 3 5

    DS.union(1, 3) → 3 3 3 3 5



(4)

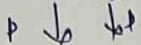
DS-55.4

$$\text{Universe} = [1, 2, 3, 4, 5]$$

$$\text{Parent} = \{1:1, 2:2, 3:3, 4:4, 5:5\}$$

↑  
Union(4,3)

$$\text{Union}(4,3)$$



$4 \neq 3 \Rightarrow$  Both parents are different so we can apply union



$\Rightarrow$  i.e. mark 4 parent as 3

$$\text{Parent} : \text{get}(4) \Rightarrow 3 \Rightarrow \{1:1, 2:2, 3:3, 4:3, 5:5\}$$

$$\text{S1ly } \text{Union}(2,1) \text{ & } \text{Union}(1,3)$$

$$\text{Union}(2,1) \text{ Parent: } \{1:1, 2:2, 3:3, 4:4, 5:5\}$$

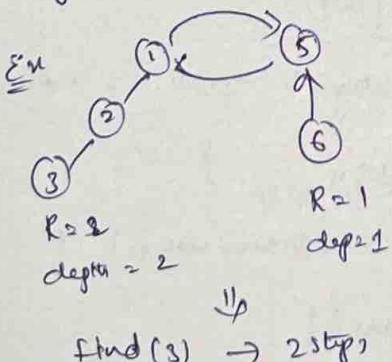
$$\text{Union}(1,3) : \{1:1, 2:1, 3:3, 4:3, 5:5\}$$

$\hookrightarrow$  now find (1)  $\Rightarrow ① \rightarrow ② \rightarrow ③ \leftarrow^{\text{parent}}$

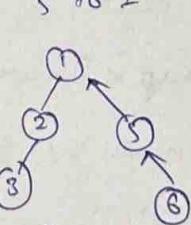
find (2)  $\Rightarrow 2 \rightarrow ① \rightarrow ③ \rightarrow ③$

$\rightarrow$  In the above approach, Tree can be highly balanced, so we can enhance by below improvements

# 1) Union - by - Rank :- Always attach smaller tree to the root of larger tree

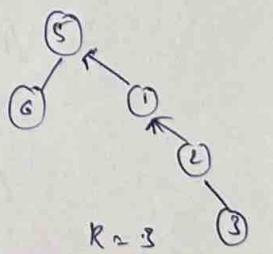


If we attach  
5 to 2



(Attaching smaller to  
larger)  
find(3)  $\rightarrow$  2 steps

If we attach to 1 to 5

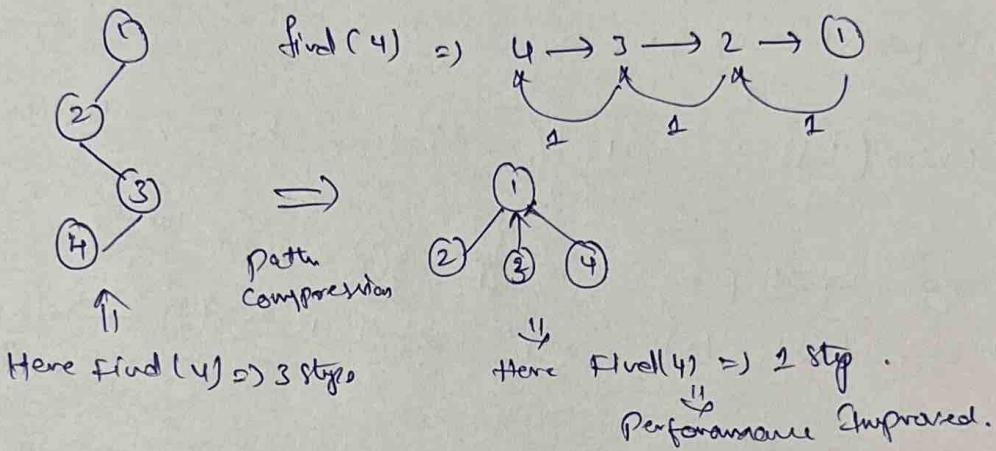


Find(3)  $\rightarrow$  3 steps

worst case run time improves to  $O(\log n)$

## ~~2)~~ Path compression

- ↳ flattening the tree's structure whenever find is used on it.
- ↳ The idea is that each node visited heading to a <sup>Absolute</sup> root
- ↳ so the element can directly attached / linked to absolute root
- To effect, this as "find" progressively traverse up the tree, it changes each node parent reference to point to the root that is found
- The resulting tree is much flatter, speeding up future operations not only on these elements but also on those referencing them, directly or indirectly.



## Program

```
class Disjoint {
```

```
    private Map<Integer, Integer> parent = new HashMap<>();
```

```
    // Stores the depth of trees
```

```
    private Map<Integer, Integer> rank = new HashMap<>();
```

```
    // performs make set operation
```

```
    public void makeSet (int[] universe) {
```

```
        for (int i : universe) {
```

```
            parent.put (i, i)
```

```
            rank.put (i, 0)
```

```
        }
```

Public find (int k) {

// If k is not root

if (parent.get(k) != k) {

// path compression

parent.put(k, find(parent.get(k)))

y

return parent.get(k)

}

Public void Union (int a, int b) {

// Find the Roots of a, & b

int x = find(a)

int y = find(b)

// If x & y are equal → i.e. if already present in same set  
no need of union

if (x == y) { return; }

// Always Attach a smaller depth tree to larger depth tree  
// Always Attach a smaller depth tree to larger depth tree

if (rank.get(x) > rank.get(y)) {

parent.put(y, x)

-y

else if (rank.get(x) < rank.get(y)) {

parent.put(x, y)

y

else {

parent.put(x, y)

rank.put(y, rank.get(y) + 1)

y

Main

put() universe = {1, 2, 3, 4, 5}

DisjointSet ds = new DisjointSet(1);

ds.makeSet(universe)

ds.union(4, 3) → 1 2 3 3 5      ds.union(1, 3) → 3 3 3 3 5

ds.union(2, 1) → 1 1 3 3 5

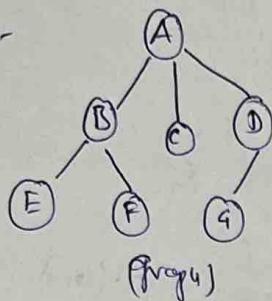
→ Disjoint sets will be useful for finding cycles in  
undirected graph

↳ This will be used in Kruskal's Algorithm,  
(finding minimum spanning tree,

## Graph Traversal

- \* Graph won't have root unlike in B tree, so we have to consider one starting node to start all the properties of BFS and DFS

Eg:-



- => This looks like tree, but it is a graph for easy to understand graph is visually represented as tree in this case.
- => Unlike Tree, In graph there is no concept like parent, child etc

=> In graph we will have vertex and adjacent nodes, for ex:-  
 $A \rightarrow$  vertex  $\Rightarrow B \in B \Rightarrow$  adjacent nodes

=> We have to keep a track that if we have visited an element (or) not because there are chances that several nodes might be connected with each other.

$\rightarrow$  Sample graph implementation :-

Class Node :

```

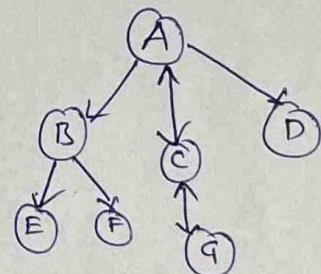
def __init__(self, value):
    self.value = value
    self.adjacent_nodes = []
    self.visited = False
  
```

Class Graph :

pass

```

nodeA = Node("A")
nodeB = Node("B")
nodeC = Node("C")
nodeD = Node("D")
nodeE = Node("E")
nodeF = Node("F")
nodeG = Node("G")
  
```



	Adjacent nodes
A $\rightarrow$	B, C, D
B $\rightarrow$	E, F
C $\rightarrow$	A, G
D $\rightarrow$	No - adjacent nodes
E $\rightarrow$	No - adjacent nodes
F $\rightarrow$	No - adjacent nodes
G $\rightarrow$	C

- $\rightarrow$  nodeA.adjacent\_nodes.append(nodeB, nodeC, nodeD)
- $\rightarrow$  nodeB.adjacent\_nodes.appendall(nodeE, nodeF)
- $\rightarrow$  nodeC.adjacent\_nodes.append(nodeA, nodeG)
- $\rightarrow$  nodeG.adjacent\_nodes.append(nodeD)

## 1) Graph BFS

→ Since we don't have parent-child relation ship in graphs we will make use of graph visited property → as soon as we visited node we will set this flag to true → else we will stuck in infinite loop.

Public List<T> BFS(GNode<T> node){<sup>Time</sup>

List<T> traversal = new ArrayList<T>();

Queue<GNode<T>> Q = new Queue();

Q.enqueue(node)

while (!Q.isEmpty()) {  $\rightarrow O(n)$

GNode<T> currentnode = Q.dequeue();

traversal.add(currentnode)

currentnode.visited = true;

$\rightarrow O(E)$

for (GNode<T> gnode : currentnode.adjacentNodes) {

if (gnode.visited == false) {

↳ gnode.visited = true

Q.enqueue(gnode);

}

return traversal;

## BFS - Application

- TO build index by search index for GPS navigation
- path finding algorithms

In Ford-Fulkerson Algorithm to find max flow in a flow

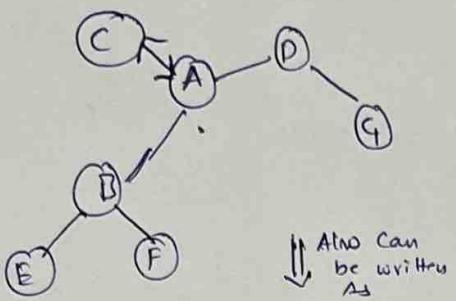
Cycle detection in an undirected graph.

In minimum spanning tree.

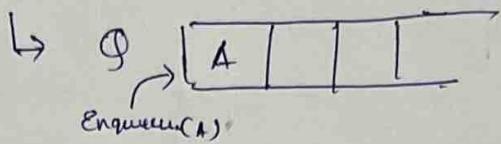
Space complexity  
 $O(V)$   
Using queue

BFS  
So Time complexity  
 $O(N+E)$  (A)  
 $O(V+E)$   
 $V \Rightarrow$  node/vertex  
 $N \Rightarrow$  node/vertex

## Graph BFS



→ Step 1 :- we can start from any node  
say we have started with A

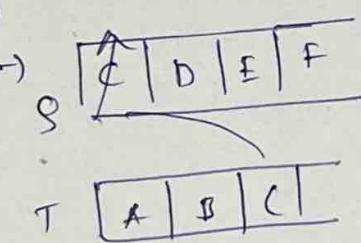
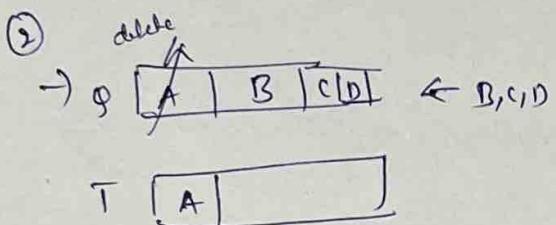
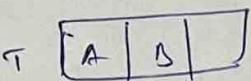
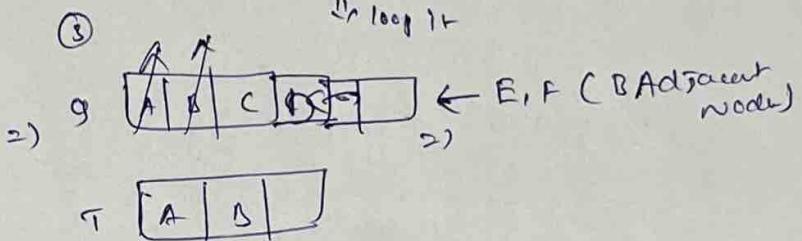


→ mark visited = True

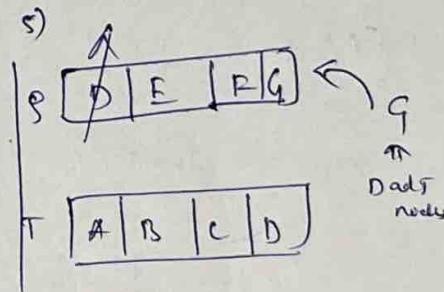
↓  
Traverses its Adjacent node



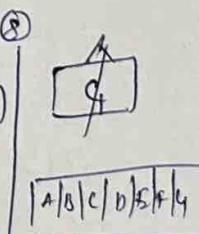
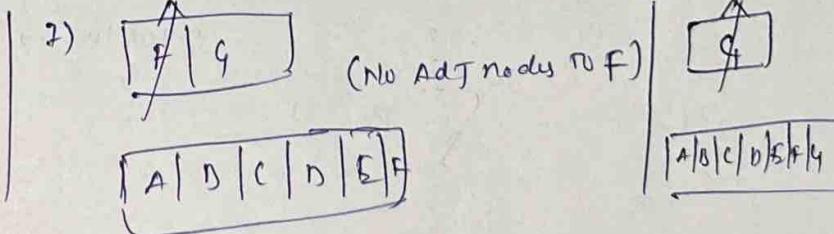
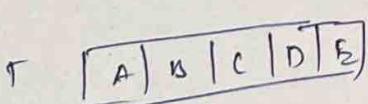
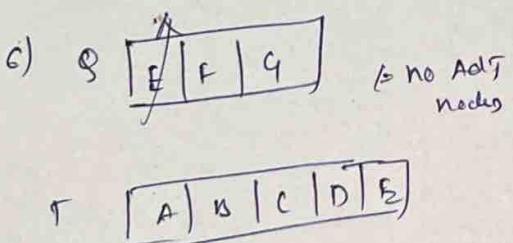
A → B, C, D → Adjacent nodes  
↓ loop if



C = (A)  
But it is already visited in step 1  
so we will not push to queue



↓  
Dads nodes



q) Q is Empty come out

→ Time complexity  $\Rightarrow \underline{O(V + E)}$

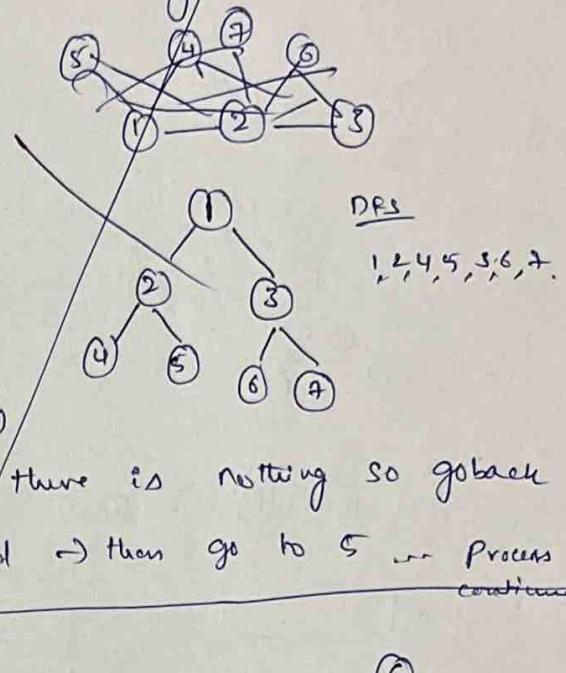
V  $\Rightarrow$  no. of nodes / vertices

E  $\Rightarrow$  no. of edges

→ Space complexity  $\Rightarrow \underline{O(V)}$ , q-length.

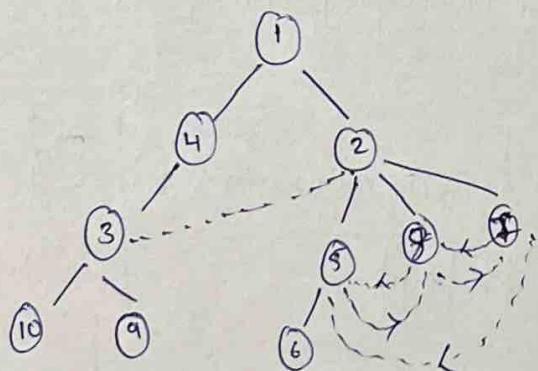
## Depth - first Search (Graph)

- \* In DFS, once we visited a node / vertex we will suspend its vertex and start visiting its adjacent nodes in that path.
- DFS is like Pre-order traversal in Tree.
- ↳ Start from 1 → we got 2  
 Then start exploring "2" → then we got 4 → then stop exploring (2) and continue exploring 4 → so there is nothing so go back 2 → (which 2 is already visited → then go to 5 in process continues)



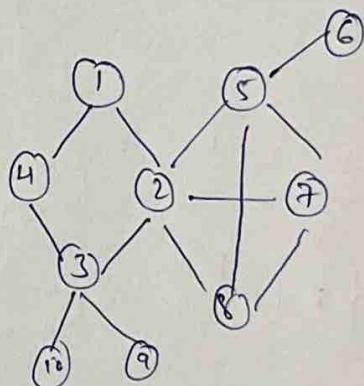
## Ex:-2 (BFS)

start :- from 1



$$Q = 1, 4, 2, 5, 6, 3, 7, 8, 9, 10$$

↓  
 at this point we  
 already visited "3"  
 so dotted line



Dotted line → dt vertex already visited

↳ Tree we got while we exploring the each vertex / node is ~~spa~~

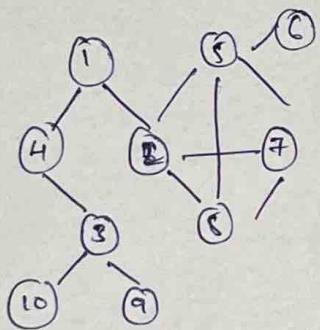
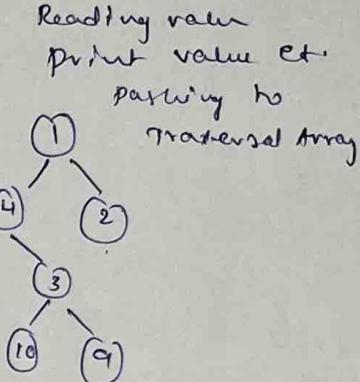
## BFS Spanning - Tree

→ Dotted edges are called as cross edges.

→ 2nd BFS is

BFS - Rules

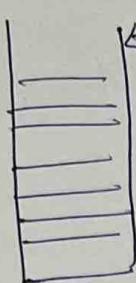
- 1) You can start BFS from vertex
  - 2) ~~beforehand~~ When we are exploring the given vertex, we can choose any adjacent vertices (order does not matter)
  - 3) When you are ~~selecting~~<sup>selected</sup> the node for exploration then you have to visit all its adjacent nodes, And then only we ~~go~~<sup>u</sup> should go to next vertex for Exploration.
- ↳ This next vertex should be picked from the Queue
- Say we have chosen "1" for exploring, Then ~~only~~ we have to visit adjacent nodes of 1 → (4, 2). Then only we need to choose 4 - for exploration.



\* Possible BFS  
2) <sup>Start</sup> 1, 2, 4, 8, 5, 7, 3, 6, 10, 9

3) <sup>Start</sup> 5, 2, 8, 7, 6, 3, 1, 9, 10, 4

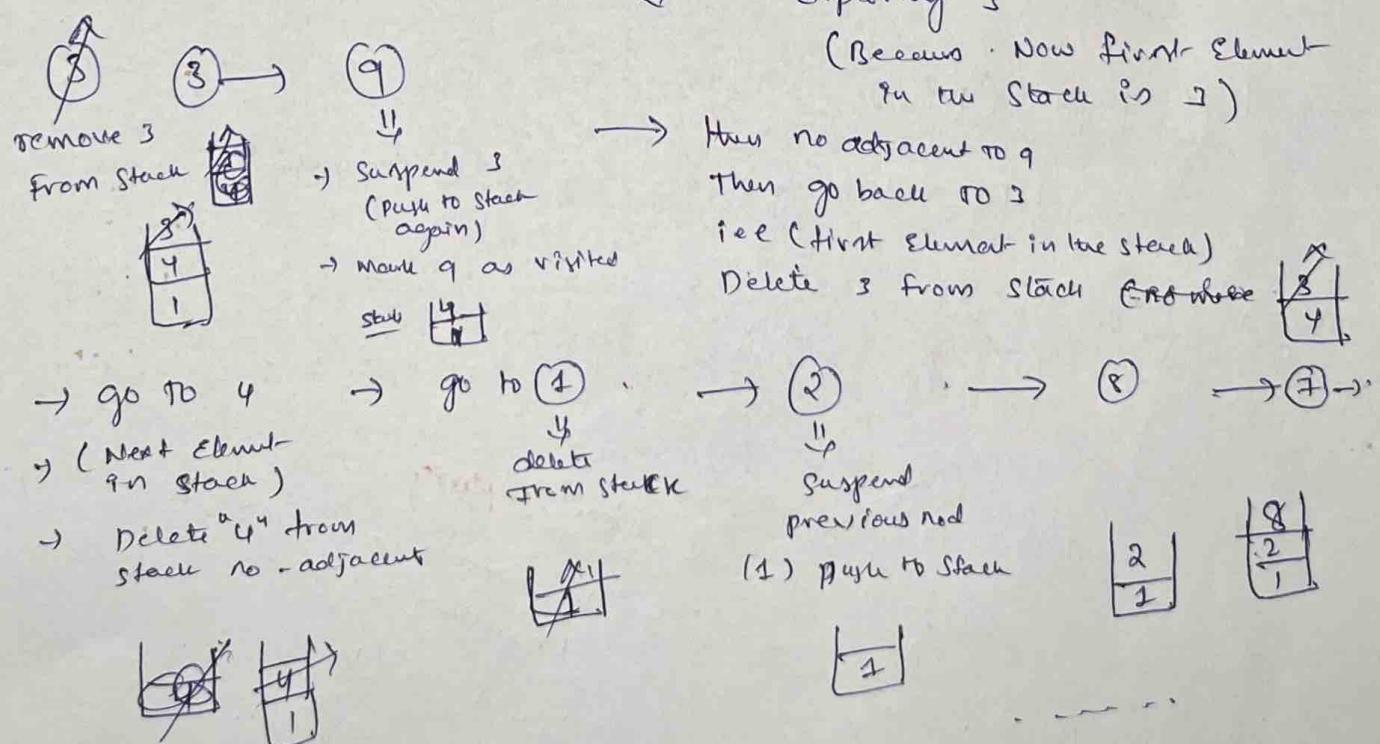
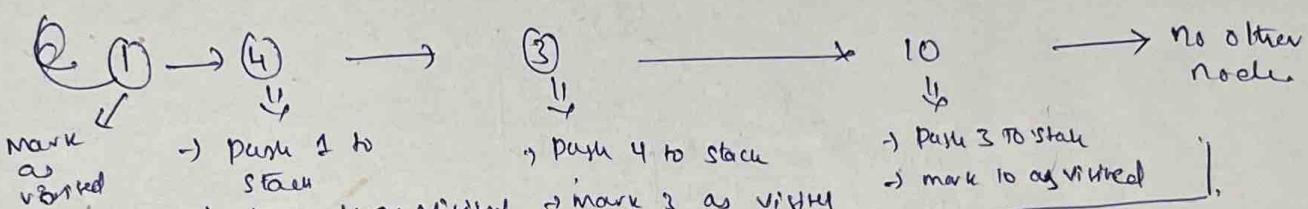
Like them we can draw many combinations.

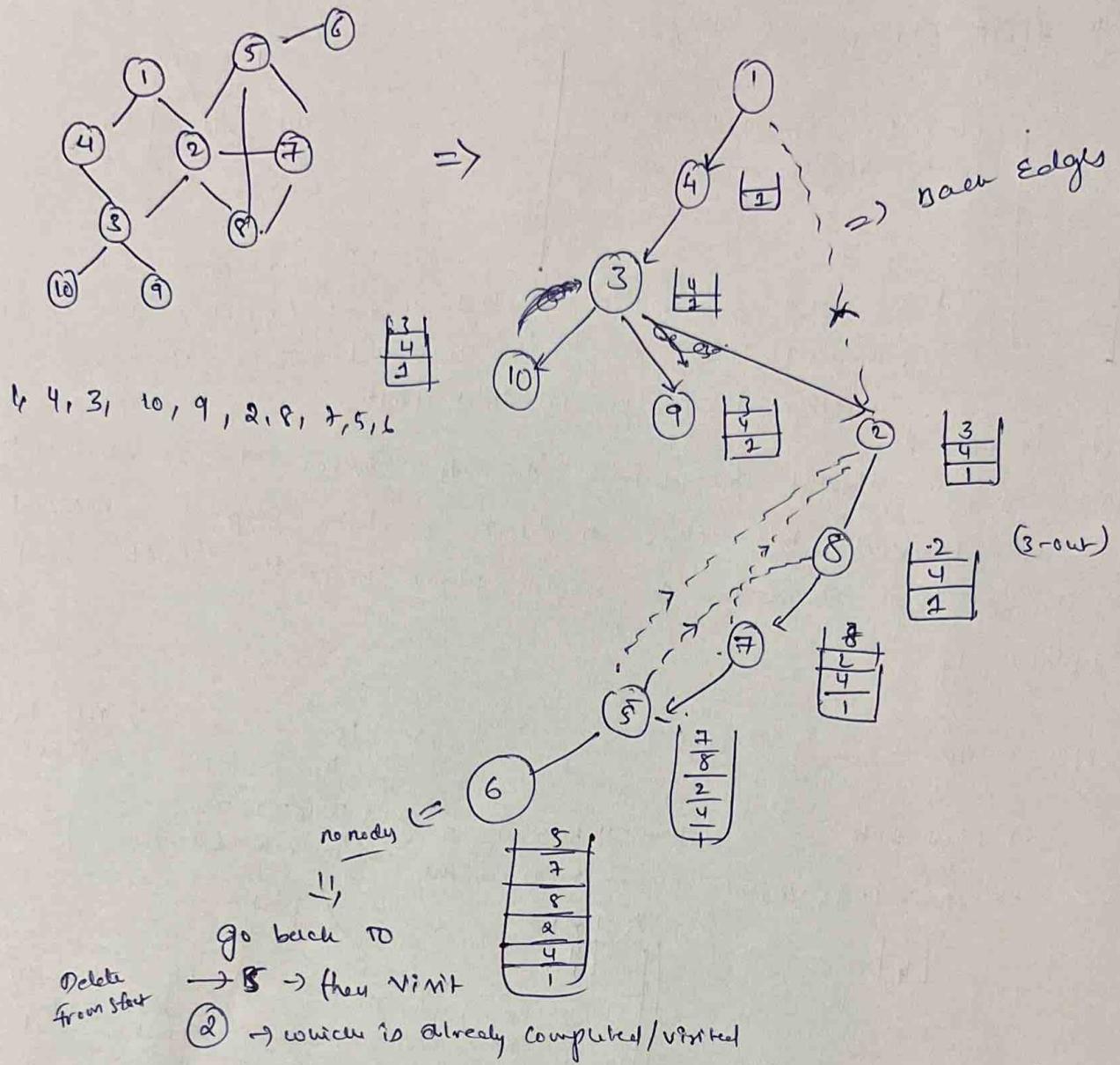
Depth first search(equivalent to)  
pre order← place the suspended nodes  
in the stack.

+ In DFS, once we visited a node/vertex we will suspend that vertex/node and start visiting its adjacent nodes in that path.

→ Start from 1

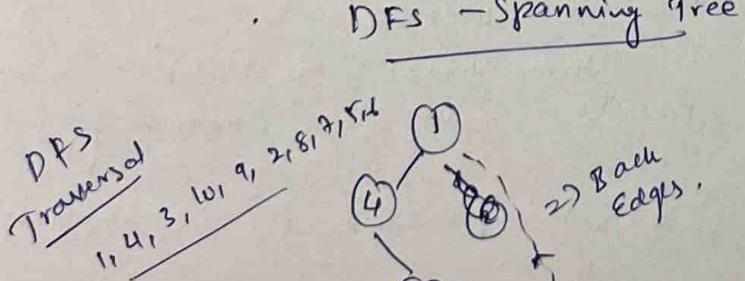
→ let's say we started from node "1" then mark it as visited and we moved to either 4 or 2, let say we moved to "4", then suspend "1" → i.e. place it in the stack. and explore 4.





$\Rightarrow$  So by Back Track the Stack  $\rightarrow 5, 7, 8, 2, 4, 1$  Exit

=) final graph (DFS)



Other Possible Traversals

1) 1, 2, 8, 7, 5, 6, 3, 9, 10, 4

2) 3, 4, 1, 2, 5, 6, 7, 8, 10, 9

Time Complexity  
 $\Rightarrow$  The same can also be achieved by Recursion without using  
 $O(V+B)$   
 Time complexity  $\rightarrow O(V+B)$   
 Space  $\rightarrow O(V)$

## Graph DFS Iterative Method

\* It is same as Graph non-recursive BFS . but differs from it in ~~two~~ 3 ways.

- 1) It uses a stack instead of Queue
- 2) The DFS should mark discovered / visited only after popping the vertex , not before pushing it.
- 3) It uses a reverse iterator instead of an forward iterator , to produce the same results of recursive DFS

```

List<T> traversal;
GraphNode<T> start;

public static iterativeDFS (Graph<T> graph, start) {
    list<T> traversal = new ArrayList<>();
    Stack<T> stack = new Stack<*>();
    stack.push(start);
    while (!stack.empty()) {
        // pop a vertex from stack
        v = stack.pop();
        if (v.visited) {
            continue;
        }
        v.visited = true;
        traversal.add(v);
        for (List<GraphNode<T>> adjList = v.adjList(); i >= 0; i--) {
            GraphNode<T> u = adjList.get(i);
            if (!u.visited) {
                stack.push(u);
            }
        }
    }
    return traversal;
}

```

Annotations:

- we will reach here , & the popped vertex
- v is not visited yet .
- Add v to traversal and process its undiscovered adj nodes into the stack

DFS Algorithm

$\rightarrow$  equivalent to  
pre order

```

if public List<T> DFS(GNode < T > startnode, List < T > traversal) {
    if (startnode != null) {
        startnode.visited = true
        traversal.add(startnode.value);
        for (GNode < T > node : startnode.adjacentnodes) {
            if (node.visited) {
                this.DFS(node, traversal)
            }
        }
    }
    return traversal
}

```

Greedy Method

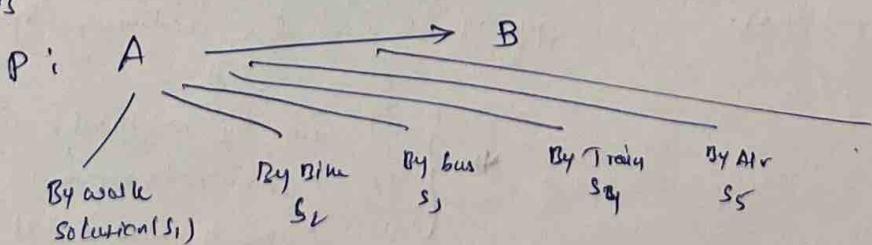
- \* Greedy method is also one of the approach for solving a problem
- (d) design which we can adopt for solving similar problem that fits into this
- \* This Method is used for solving Optimization problem,

Optimization problem

$\hookrightarrow$  The problem that demands either minimum efforts or maximum efforts.

Ex:- Suppose I have problem (P) i.e. I want to travel from

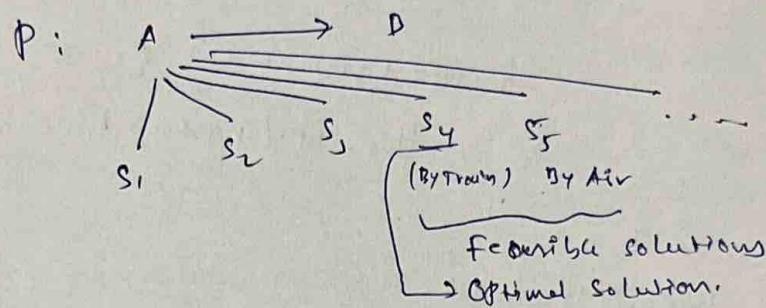
A to B



→ To solve a problem there are many solutions (In our case to reach from A to B we have solutions like  $s_1, s_2, s_3, s_4, s_5$ )

\* ↳ Now I will add a constraint, that I have to cover this journey within 12 hrs.

↳ Assume that I can not cover it by walking  
Bus, bike. And I can cover it only by ~~car~~  
train & Air.



→ The solution which is satisfying its condition in problem are called Feasible Solutions → There can be 1 or more feasible solution.

→ Now I will add one more condition that I want to spend less money. Then out of two feasible solution → One solution would be cost efficient (i.e.  $s_4$ ) → so this is called as

↳ i.e minimization problem → Optimal solution

↳ for any problem there can be only one Optimal Solution

→ This problem requires minimum result and some other problem requires maximum result. So if a problem requires either minimum / max result, then we call that type of problems as optimization problem

→ So "Greedy Method" is used for solving optimization problems.

⇒ Diff strategies for solving optimization problems

- \* { 1) Greedy method  
2) Dynamic program  
3) Branch and Bound

→ Greedy Method says that the problem should be solved in stages, in each stage, we will consider one I/P from a given problem and if that I/P is feasible; then we include it in the solution → Now from all these feasible solutions we will get an optimal solution.

### Greedy Algorithm

- 1) To begin with, the solution set is Empty
- 2) At each step, an item is added to the solution set until a solution is reached.
- 3) If the solution set is ~~empty~~ <sup>feasible</sup>, the current item is kept
- 4) Else, the item is rejected and never considered again.

### Algorithm (C, u)

Ex: You have to make a change of Amount using the smallest possible number of coins.

Amount is 18 → Available  $\Rightarrow$  {5, 2, 1} coins

There is no limit to the no. of each coin you can use.

### Solution:

1) Create an empty solution set = {} Available coins = {5, 2, 1}

↳ Always start from larger value (i.e.) until the sum  $> 18$

↳ When we select the largest value at each step, we hope to reach the destination faster. This concept is called as the Greedy choice property

1st iteration  $\rightarrow$  Solution = {5} sum = 5  
set (S)

2nd  $\rightarrow$  S = {5, 5} sum = 10

3rd  $\rightarrow$  S = {5, 5, 5} sum = 15

4th  $\rightarrow$  S = {5, 5, 5, 2} sum = 17  
 $\Downarrow$  2nd largest coin If we choose  $> 18$

5th  $\rightarrow$  {5, 5, 5, 2, 1}  $\Downarrow$  3rd largest

$(5) \nmid 20 > 18$   
So chosen 2

## Ex 1.2 Knapsack problem

\* Here knapsack is like a container (or) a bag. Given weights and values of  $n$  items, put them items in a knapsack of capacity " $W$ " to get the maximum total value (max cost/profit) in the knapsack.

Object (o)	(x <sub>1</sub> )	(x <sub>2</sub> )	(x <sub>3</sub> )	(x <sub>4</sub> )	(x <sub>5</sub> )	(x <sub>6</sub> )	(x <sub>7</sub> )	( $\Sigma$ total, 7 Obj cbs)
Object (o)	1	2	3	4	5	6	7	( $\Sigma$ total, 7 Obj cbs)
Profit (P)	10	5	15	7	6	18	3	$W = 15 \text{ kg}$
Weights (w)	2	3	5	7	1	4	1	
(P/w)	5	1.67	3	1	6	4.5	3	

Constraint :- fill the knapsack with objects, such that total weight is  $\leq 15$

ie  $\sum (x_i \times w_i) \leq 15$

$\downarrow$        $\downarrow$        $\downarrow$   
 sum of    object    weight per  
 count      count      1 obj

Objective :- get maximum profit  $\Rightarrow \max(\sum x_i P_i)$

Select max (P/w)

~~$\sum (x_i \times w_i) \leq 15$~~   $\rightarrow$  choose one after other

$\sum (x_i \times w_i) \leq 15$   $\rightarrow$   $x_1, x_2, x_3, x_4, x_5, x_6, x_7$

Optimal

$$\sum (x_i \times w_i) = (1 \times 2) + (\frac{2}{3} \times 3) + (1 \times 5) + (0 \times 7) + (1 \times 1) + (1 \times 4) + (1 \times 1)$$

$$= 2 + 2 + 5 + 0 + 1 + 4 + 1 = 15$$

$\uparrow$  constraint met

$$\begin{aligned} \max(\sum x_i P_i) &= (1 \times 10) + (\frac{2}{3} \times 5) + (3 \times 15) + (6 \times 7) + (1 \times 1) + (1 \times 18) \\ &\quad + (1 \times 3) \\ &= 54.6 \end{aligned}$$

Ex:-3

### \* Job sequencing with deadline

↳ Here 5 tasks are given, for each job there is some profit, but that job must be finished within the deadline.

↳ Type ~~writer~~ → Each job completes in 1 hr.

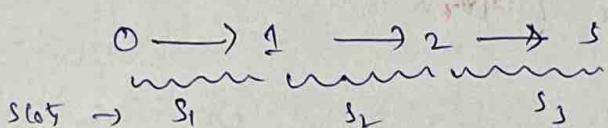
→ Constraint  
↳ Deadline

Jobs	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
Profit	20	15	10	5	1
Deadline	2	2	1	3	3

→ Objective  
Max Profit

→ It is also given that, every job takes a single unit of time, so the min possible deadline for any job is 1.

↳ The max time is 3 → so we have 3 slots



Job order  
Job in max profit  
order

$J_1$        $J_2$        $J_4$

Job order  
 $J_1 J_2 J_4$   
 $J_1$   
 $J_2 J_1 J_4$

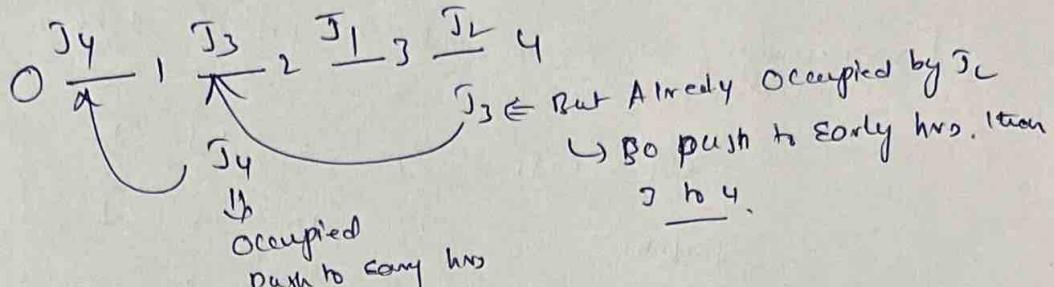
Job consider	Slot Assign	Solution	Profit
—	—	∅	0
$J_1$	1 to 2 as it can wait 2 hr	$J_1$	20
$J_2$	1 to 2 ↑ already occupied so (ch 1)	$J_2$	$20 + 15 = 35$
$J_3$	0 to 1 ↑ already occupied so Reject as it can wait more than 1 hr	X	X
$J_4$	(2, 3) → free Allocate	$J_3$	$15 + 10 + 5 = 40$
$J_5$	(2, 3) → already occupied → min profit so Reject	X	X

Isotated profit = 40

Ex: for jobs  $J_1, J_2, J_3, J_4, J_5, J_6, J_7$

Profit: 35 30 25 20 15 10 5

deadlines: 3 4 4 2 3 1 2



$$\begin{array}{l} \text{JOB seq.} \rightarrow J_4 - J_3 - J_1 - J_2 \\ \text{20 + 25 + 35 + 30 = } \underline{\underline{110}} \end{array} \quad \text{max profit}$$

### Optimal Merge pattern (Greedy Method)

Merging → can be done only on sorted list

↳ will be used by  
Huffman Coding  
Greedy method.

sorted list	A	B	C (Result)
	3	85	3
	8	9	5
	92	11	8
	20	16	9
			11
			12
			16
			20

$O(n \cdot m \cdot n)$

lists = A D C B

sizes = 6 5 2 3

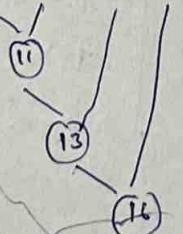
merge

↳ A B C D

6 5 2 3

size

→ 11

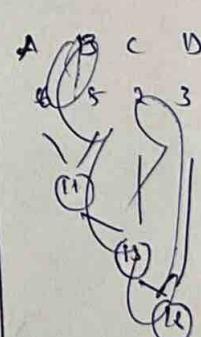


2nd way

A B C D

6 5 2 3

Total cost =  
 $11 + 5 + 16 = 32$

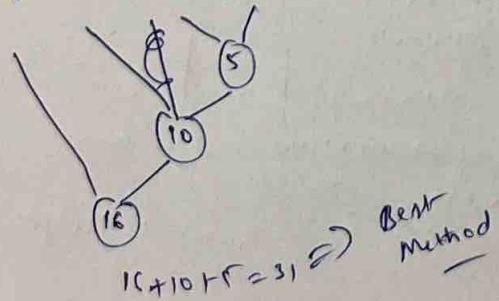


A B C D  
6 5 2 3

Hence How we achieved Best Method!

↳ Big Merging

The greed method we should follow is always merge a small size list to get the best result.



## Optimal Merge pattern Example

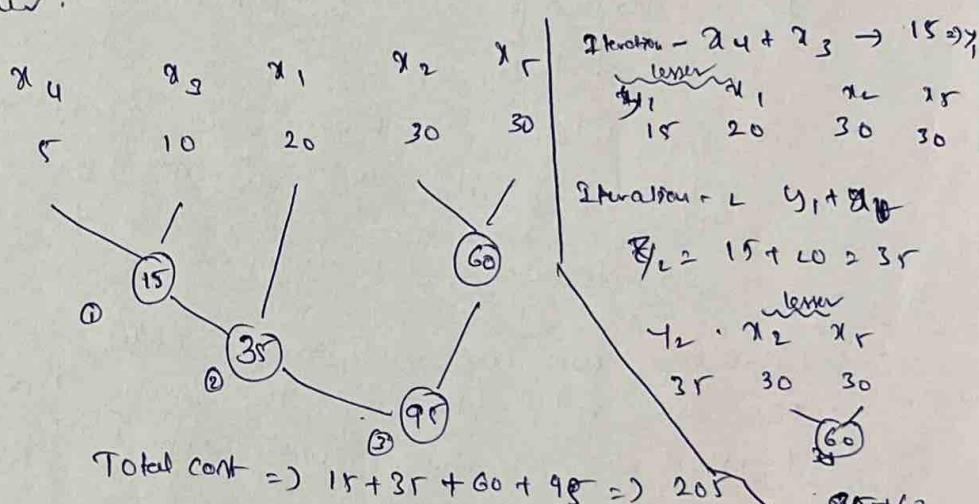
→ Find the pattern in which the total cost of merging is minimized.

Lifts/files -  $x_1, x_2, x_3, x_4, x_5$   
 sizes.                  do            30            10            5            30

### Optimal Merge pattern

→ Always select a smaller size of lift to get the minimized time solution

→ In the above example files are not sorted let's place them in sorted order.



⇒ Total cost can also measure as  $\rightarrow$  no. of times the node merged.

→ For Example  $x_4 \rightarrow$  merged 3 times

$$(3 \times 5) + (3 \times 10) + 2(20) + 2(30) + 2(30) = 205$$

$\uparrow \quad \uparrow$   
distance size  
i.e.

$$\sum d_i \times x_i$$

Optimal merge formula =  $\sum d_i \times x_i$

$d_i \Rightarrow$  distance of each node

$x_i \Rightarrow$  size of each node

→ This pattern will be used by Huffman Coding.

## Huffman Coding (Greedy Method)

- \* Huffman Coding is a technique of compressing data to reduce the size without losing any of the details.
- \* Huffman Coding is generally useful to compress the data in which there are frequently occurring characters.

- Ex:-
- 1) Suppose storing data in a file in compressed form to reduce the size of a file
  - 2) Transmitting data over a NW in compressed form to reduce the bandwidth.

Ex :- message  $\rightarrow$  BCCABAABDDAECCBBAEDDCC  
 $\hookrightarrow$  length = 20

$\hookrightarrow$  Each letter will have an ASCII mapping, and ASCII if of 8 bit Ex:- A  $\rightarrow$  65  $\rightarrow$  01000001

20 - letters  $\rightarrow$  160 bits

$\rightarrow$  Now can we use our own codes instead of ASCII codes as we are not utilizing the whole 128 characters in our message.  
 $\hookrightarrow$  Here I am using just English Capital Alphabet

### Fix Size Encoding

Character	Count	Frequency	Code	Assume own codes using 3 bits	$\rightarrow$ every if I have 1 bit $\hookrightarrow$ then I can write 0/1 $\Rightarrow$ 2 ways
A	3	3/10	000		
B	5	5/10	001		
C	6	6/10	010		
D	4	4/10	0110		
E	2	2/10	100		
		20			$\rightarrow$ 2 bits $\Rightarrow$ 00 01 10 11 $\rightarrow$ 3 bits = 0-7 & combinations $\downarrow$ 8 characters

$\Rightarrow$  now size of message  $\Rightarrow 20 \times 3 = 60$  bits

$\rightarrow$  Now, as we are not using the standard ASCII codes, so along with the message we also need to send our own ASCII table for decode the msg at receiver side

→ Encoded Table

	ASCII value	New code
A	65-01 000001	000
B		001
C		002
D		003
E		004

↓  
8 bits

5 × 8 + 5 × 3  
↓  
Characters  
(A to E)

↓  
3 bits

New Total Msg size

"

$$\text{#} 60 \text{ bit } + 55 \text{ bit } \\ \text{msg length } \quad \text{Encoded table length} \\ = 115 \text{ bit}$$

Previous size = 160 bit

After fixed encoding = 115 bit. → So the size of the msg reduced

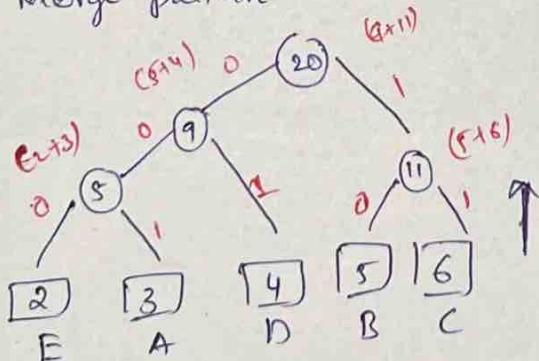
→ Now let us perform variable size code and let us follow Huffman coding, which will still reduce the size of the msg.

→ Huffman

→ says that we don't have to say, take fixed size codes for the Alphabets, Some characters or Alphabets may be appearing few times, and some may be more times → so if we small size code for the more appearing characters then the size of msg will be further reduced.

Message → B C C A B B D D A E C C B D A E D D C C  
Length 20

→ Arrange the Character count in Ascending Order → & Perform merging using Optimal merge pattern



Character	Count	Code
A	3	000
B	5	10
C	6	11
D	4	01
E	2	001

→ on the left hand side Edges mark them as "Zero" & right hand side edges mark them as "One" (As per Huffman)

→ For each Alphabet Follow from Root to node A → 0 001  
SAY FOR OTHERS

Message :- B C C A B D D D A E C C B D A E D C C

### Huffman Encoding Table

Char	Count	Codeword	Code size + msg
A	3	001	$3 \times 3 = 9$
B	5	10	$5 \times 2 = 10$
C	6	11	$6 \times 2 = 12$
D	4	01	$4 \times 2 = 8$
E	2	000	$2 \times 3 = 6$
	<u>Total</u> <u>20</u>	<u>12bit</u>	<u>45</u>

```

graph TD
    Root((20)) --- Node9((9))
    Root --- Node11((11))
    Node9 --- Node5((5))
    Node9 --- Node1((1))
    Node5 --- Node2((2))
    Node5 --- Node3((3))
    Node1 --- Node4((4))
    Node1 --- Node5B((5))
    Node2 --- NodeE((E))
    Node3 --- NodeA((A))
    Node4 --- NodeD((D))
    Node5B --- NodeB((B))
    Node11 --- NodeC((C))
  
```

9A bits  $\Rightarrow$  size + msg

Now  $\rightarrow$  message length  $\Rightarrow$   $5 \times 2 \Rightarrow 10$  bit

number of characters  $\uparrow$   $\downarrow$  3 bit

$$\text{Total message size} = (8 \times 3) + (5 \times 2) + (6 \times 2) + (4 \times 2) + (2 \times 3)$$

$$9 + 10 + 12 + 8 + 6 \Rightarrow 45 \text{ bit}$$

$$\text{Encoding table size} \Rightarrow (5 \times 8) + 12 \text{ bit} \Rightarrow 40 + 12 \Rightarrow 52 \text{ bit}$$

5 characters  $\uparrow$  ASCII  $\downarrow$  new codeword

$$\text{Total size of the msg} \Rightarrow 45 + 52 = 97 \text{ bit}$$

$\rightarrow$  we can also get the size of the msg from the tree as well

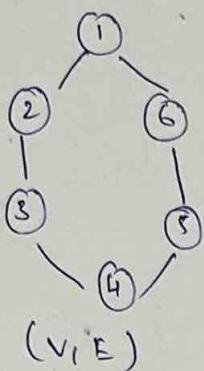
$$\sum_i f_i = (8 \times 3) + (2 \times 5) + (2 \times 6) + (2 \times 4) + 2(3)$$

$f_i$  frequency / count  
 $\uparrow$  distance from root node

$$9 + 10 + 12 + 8 + 6 = 45$$

## Minimum cost Spanning Tree:

As we know graph is represented as a set of vertices and edges



$$V = \{1, 2, 3, 4, 5, 6\}$$

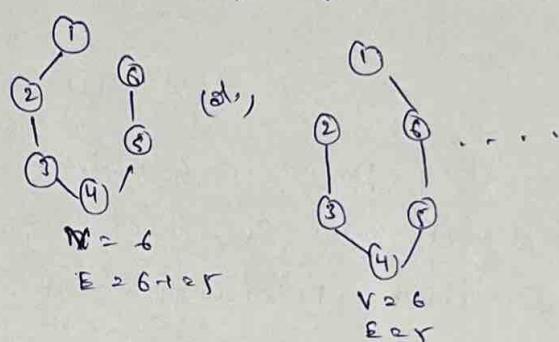
$$E = \{(1,2), (2,3), (3,4), (4,5), \dots\}$$

Now spanning tree is a sub-graph of a graph, as I should take the subset of the graph.

Subset of Edges, vertex must be as it is.

$$\begin{aligned} \text{no. of vertices } &= (n) = 6 && \left\{ \text{for spanning tree} \right. \\ \text{no. of edges } &= n-1 = 5 && \left. \text{Tree} \right\} \end{aligned}$$

↓, sample spanning trees, can not form a cycle.



So we can define the spanning tree as set of all nodes in a graph and  $(n-1)$  edges

$$\hookrightarrow S \subseteq G \Rightarrow S = (V', E')$$

$$\text{where } V' = V ; E' = V \setminus E$$

Now for a given graph how many <sup>diff</sup> spanning trees can be drawn?

$$\frac{|E|_C}{|V|-1} - \text{no. of cycles}$$

$$n_{st} = \frac{n!}{(n-r)! \times r!}$$

Observation

above example  
7 - vertex  
6 - edges

$$6 \text{ possible spanning trees}$$

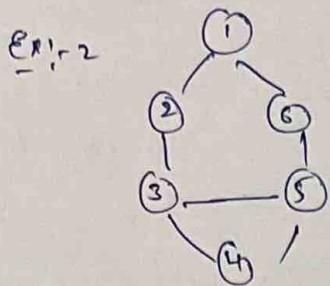
$$\Rightarrow 7 \times 6_C^5 \Rightarrow \frac{6!}{2! \times 5!} \Rightarrow \frac{6 \times 5 \times 4 \times 3 \times 2}{5!} = \underline{\underline{6}}$$

possible spanning tree

Remember we can not have cyclic circle in tree

so always one vertex path will remain open.

So we need to remove cycles in the above formula.

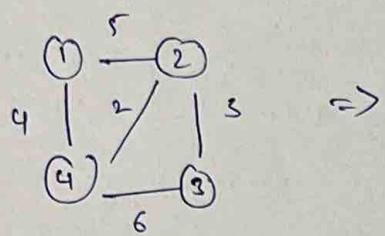


$$\Rightarrow V = 6 \\ E = 7 \\ \text{cycles} = 2 \quad (1, 2, 3, 4, 6), (3, 4, 5)$$

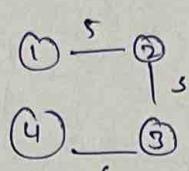
$$\text{No. of subgraphs} \quad {}^7C_5 - 2 \Rightarrow \left( \frac{7!}{2!(5!)} \right) - 2 \\ \text{By formula} \quad \Rightarrow \left( \frac{7 \times 6 \times 5!}{2! \cdot 5!} \right) - 2 \\ \Rightarrow (7 \times 3) - 2 \Rightarrow 21 - 2 \\ \Rightarrow 19$$

→ Now let's see in case of weighted graph

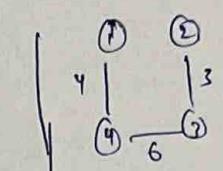
possible spanning tree



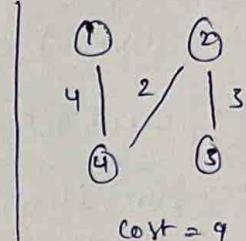
⇒



cost = 14



cost = 13



cost = 9

→ So for every possible combination spanning tree cost will be different → So, now out of all spanning tree, how do we find minimum cost spanning tree

↳ i.e. By visiting all ten ~~possible~~ possible spanning tree

↳ But this will be lengthy and costly

↓

so, this can be found using some Greedy methods

1) Prims Algorithm

2) Kruskals Algorithm.

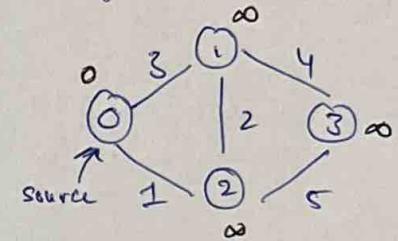
## Prims Algorithm

- Prims Algorithm is a Greedy method used to identify the Minimum cost spanning tree (MST)
- This algorithm takes graph as an I/p and finds the subset of the edges of that graph which
  - form a tree that includes every vertex
  - Has the min sum of weights among all the trees that can be formed from the graph

### Steps

- 1) Select Node with min-weight. (start at source)
  - ↳ How will you find the min-weight at start?

↳ choose a vertex as Random and mark as source with weight 0 and all the other nodes mark weights as  $\infty$



i.e. at beginning, all nodes' weights are  $\infty$ , except source therefore we need to select source first

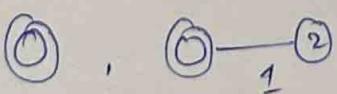
- 2) Include Selected node in MST set,  $\{\}$ 
  - ↳ why? → What ever node is processed we will not process it again, so that the duplicates node won't exist in MST

- 3) Relax/compute all adjacent edges

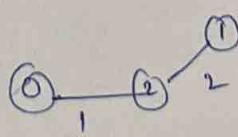
↳ say the adjacent edges of "0" (source) is 1 & 2 we need to update their weights from  $\infty$  to  $1 \rightarrow 3$   $2 \rightarrow 1$

- Repeat all the 3 steps unless all the vertices are included in mst set.

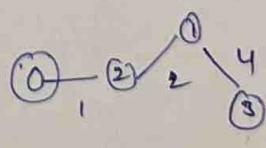
~~Ques~~



0	1	2	3	4
0	2	1	$\infty$	$\infty$



0	1	2	3	4
0	2	1	$\infty$	$\infty$



0	1	2	3	4
0	2	1	4	$\infty$

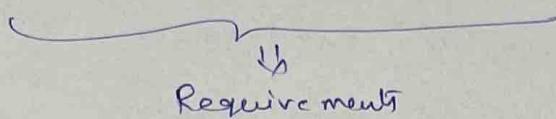
## → Observations

→ First we selected  $\textcircled{2} \rightarrow \textcircled{6}$  and then next min is  $\textcircled{2} \rightarrow \textcircled{3}$   $\Rightarrow$  But we should not select this as it is not connected to either  $\textcircled{1}$  or  $\textcircled{6}$

↳ Prims thought is if some farther away edge is selected then it may not be forming a tree finally.

↳ So Always select minimum which are connected to the other vertices in MST

→ How to code? How to print MST?



1) We need to keep track of weight values assigned to each node.

↳ Use  $\rightarrow$  Array (Int)  $\text{size} = V$

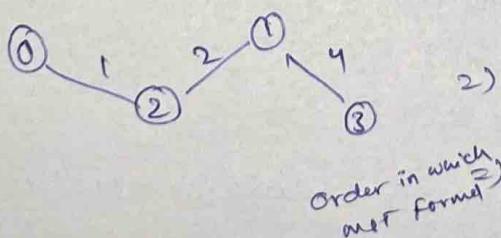
↳ Initially we <sup>marked</sup> updated as  $\infty$  for all nodes and source as 0  $\rightarrow$  And then we updating.

2) We need to know what all vertices are included in MST set

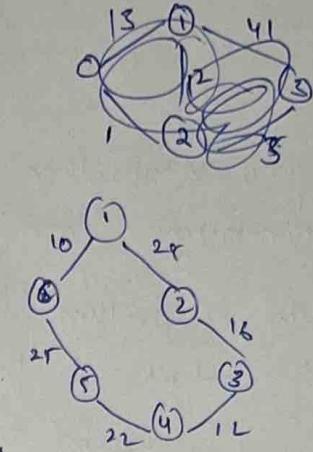
↳ use  $\rightarrow$  <sub>MST set</sub> Array (boolean)  
 $\text{size} = V$

3) We need to remember the edges of MST to print

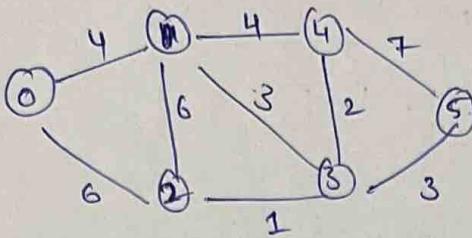
↳ Use  $\rightarrow$  Parent  $\rightarrow$  Array (Int)  
 $\text{size} = V$



2) {  
 Parent of  $2 \rightarrow 0$   
 Parent of  $1 \rightarrow 2$   
 Parent of  $3 \rightarrow 1$



## (Prims Algorithm)

Ex: 2

Distance

0	1	2	3	4	5
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

mst set

F	F	F	F	F	F
---	---	---	---	---	---

parent

-1					
----	--	--	--	--	--

Since source will have no parent in MST so -1

Pass - 0

→ Pick min node value i.e. 0

0	1	2	3	4	5
0	4	6	$\infty$	$\infty$	$\infty$

mst

T					
---	--	--	--	--	--

parent

--	--	--	--	--	--

Pass 1

→ Now pick min from distance array

$$\min(0, 4, 6, \infty, \infty, \infty)$$

0 1 2 3 4 5

next min

$$\min(4, 6, \infty, \infty, \infty)$$

DIST

0	1	2	3	4	5
0	4	6	<del>3</del>	<del>4</del>	$\infty$

mst

+ T		F	F	F	F
-----	--	---	---	---	---

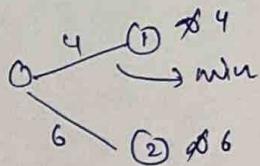
parent

-1	0				
----	---	--	--	--	--

0	1	2	3	4	5
0	0	4	6	0	0
1	4	0	6	3	4
2	6	6	0	1	0
3	0	3	1	0	2
4	0	4	0	2	0
5	0	0	0	3	7

Adjacency matrix

0 =)



⇒

Relax the Edge weights

$$\min(\infty, 6) \Rightarrow 6$$

$$\min(\infty, 4) \Rightarrow 4$$

⇒ Update parent array as

parent [1] 2 0

parent [2] 2 0

Because 1 &amp; 2 got relaxed by node 0.

⇒ 0 i.e. Node 0 ⇒ But it is already selected

i.e. mst[0] = true

⇒ 4 ⇒ Node 1 &amp; mst[1] = false

Not included to select

0 → 1 (already visited)

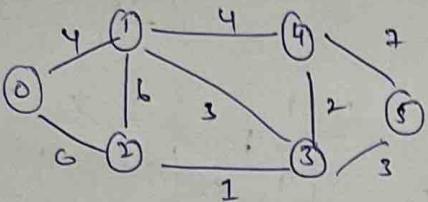
① → ② 6

① → ④ ~~6~~ 4

⑤ → ② 6

① → ③ ~~6~~ 3

weight



0	1	2	3	4	5
0	4	6	3	4	∞
Dist					

(mst)

0	1	2	3	4	5
T	T	F	F	F	F
mst set					

0	1	2	3	4	5
-1	0				
parent					

part - 2

$$\min(0, 4, 6, 3, 4, \infty) \Rightarrow \text{Ignore } 0 \& 4 \text{ as they are already processed} \Rightarrow 3 \text{ i.e. Node 3}$$

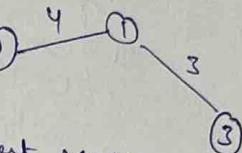
Index

0	1	2	3	4	5
0	4	6	3	4	∞
Dist					

0	1	2	3	4	5
T	T	F	T	F	F
mst					

0	1	2	3	4	5
-1	0	1	2	1	
parent					

$\rightarrow$  We jumped from node 1 to Node 3 so parent of node 1 is 3



$\rightarrow$  Relax Adjacent Vertices

$$\min(3, \infty) \quad \begin{array}{l} \text{③} \xrightarrow{3} \text{⑤} \cancel{\xrightarrow{3}} \text{③} \\ \text{③} \xrightarrow{1} \text{④} \cancel{\xrightarrow{1}} \text{③} \end{array} \quad \begin{array}{l} \text{③} \xrightarrow{1} \text{①} \text{ (processed)} \\ \text{③} \xrightarrow{1} \text{②} \cancel{\xrightarrow{1}} \text{③} \end{array}$$

$$\min(1, \infty) \quad \begin{array}{l} \text{③} \xrightarrow{1} \text{④} \cancel{\xrightarrow{1}} \text{③} \\ \text{③} \xrightarrow{1} \text{②} \cancel{\xrightarrow{1}} \text{③} \end{array}$$

part - 3

ignore Already visited

$$\min(0, 4, 1, 8, 2, 3) \Rightarrow \min(1, 2, 3) \Rightarrow 1$$

$\therefore \rightarrow$  got a call from 3

So 3 will be the parent

0	1	2	3	4	5
0	4	1	3	2	3
Dist					

0	1	2	3	4	5
T	T	T	T		
mst					

0	1	2	3	4	5
-1	0	3	1	1	
parent					

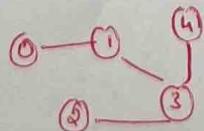
part 4

$$\min(2, 3) \quad \text{②} \xrightarrow{2} \text{④} \Rightarrow \text{mst}(4) = F \text{ so pick}$$

$$\therefore \text{parent}(4) = 3$$

we are picking 2 but not direct child

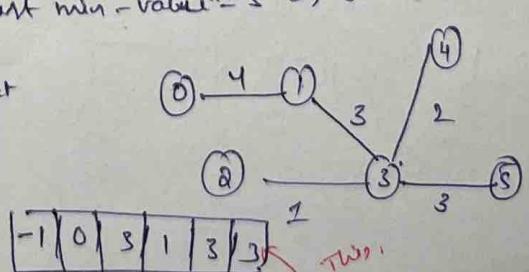
0	1	2	3	4	5
-1	0	3	1	3	
parent					



part - 5

last min-value = 3  $\Rightarrow$  Node 5

0	1	2	3	4	5
-1	0	3	1	3	3
parent					



Pras

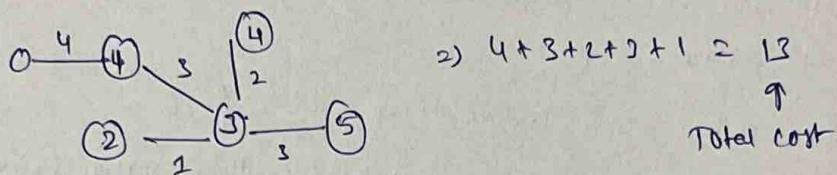
→ If we observe a PMS-4 parent of node 4 marked as "3"  
 Even though we jump from node 2 → Because the node 4  
 weight was relaxed by Node 3 in PMS - 2

so ↳ When ever an adjacent vertex is relaxed from the  
 current vertex → update parent Array. as

$$\text{parent [adj\_vertex]} = \text{current\_vertex}$$

↑  
This is how we need to do it in code.

Final spanning tree



→ 6-vertices → 5 PMS i.e.  $(6-1)$

Program :

class PrimsAlgorithm {

private int vertices;

private int[][] graph;

private Integer[] values; → used for edge relaxation

private Integer[] parent; → used to store Parent of  
the given vertex

private Boolean[] mstSet; - it could be hashmap as well  
like disjoint set

↳ used to store which are include in mst  
//constructed.

public PrimsAlgorithm (int vertices, int[][] graph) {

this.vertices = vertices

this.graph = graph

this.values = new Integer[vertices]

this.parent = new Integer[vertices]

this.mstSet = new Boolean[vertices]

// Initially fill all the vertices weight as 00 except source  
(node 0)

Arrays. Fill (values, Integer. Max-value);

Arrays. Fill (mstSet, false);

values(0) = 0;

// source has no parent i.e. self pointing in case of  
Hash Table

parent[0] = -1

} // constructor end.

private Integer selectMinVertex () {

int min = Integer. max-value;

int vertex = -1

for (int i = 0; i < vertices; i++) {

if (mstSet[i] == false && this. values[i] <= min) {

vertex = i;

min = this. values[i];

y.   
 y.   
 y.

return vertex;

y

private void printMST () {

int totalWeight = 0

for (int i = 0; i < this. parent. length; i++) {

if (this. parent[i] != -1) {

print (this. parent[i] + " - " + i);

print ("weight: " + this. graph[parent[i]][i])

totalWeight += this. graph[parent[i]][i];

y

} print ("Total weight", totalWeight);

y

④

DS-65-4

```

public void findMST() {
    // Form MST with (n-1) edges
    for( int i=0 ; i < vertices-1 ; i++ ) {
        int minvertex = this.SelectMinVertex();
        // Include new vertex in MST
        if( minvertex >= 0 && minvertex < vertices ) {
            this.mstSet[ minvertex ] = true;
            for( int j=0 ; j < vertices ; j++ ) {
                int currentEdgeWeight = values[i];
                int edgeweight = graph[minvertex][j];
                if( Edgeweight != 0 && → Edge is present b/w min
                    mstSet[j] == false && → vertex j
                    Edgeweight < currentEdgeWeight ) { → vertex j not included
                        values[i] = Edgeweight
                        Parent(j) = minvertex
                }
            }
        }
    }
}

```

*Relaxation constraints*

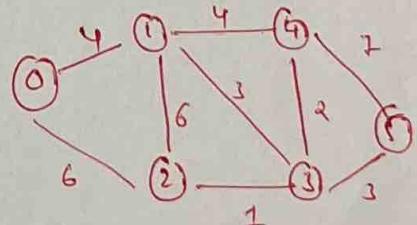
i) Edge is present b/w min

main {

```

int v = 6
int[][] G = {{ {0,4,6,0,0,0}, {4,0,6,3,4,0} },
              { {6,6,0,1,0,0}, {0,3,1,0,2,3} },
              { {0,4,0,2,0,7}, {0,0,0,3,2,0} } };

```



Prims Algorithm pg 2 new Prims algorithm (v, g)

pg. findMST()  
pg. printMST()

## Prim's Algorithm

Uses  
 → laying cables of electric wiring  
 → In network design  
 → To make protocols in N/w cycles.

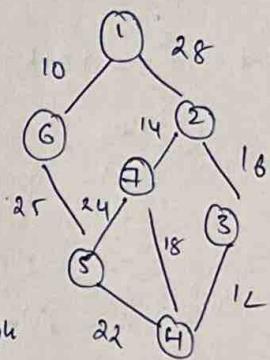
\* Prim's algorithm is a greedy method used to identify the minimum spanning tree algorithm that takes a graph as I/P and finds the subsets of the edges of that graph which

- 1) Forms a Tree that includes Every vertex
- 2) Has minimum sum of weights among all the trees that can be formed from the graph.

→ According to Prim.

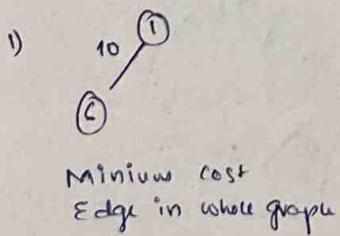
1) Select a minimum cost edge. (Initial)

2) Then for rest of the procedure, always select a minimum cost edge from the graph but make sure that it is connected to already selected vertex



### Algorithm

- 1) Initialize a minimum spanning tree with a vertex chosen at random
- 2) Find all the edges that connect the tree to new vertices, find the minimum and add it to the tree.
- 3) Keep repeating step 2 until we get a minimum spanning tree

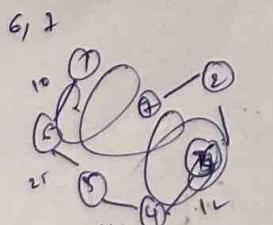
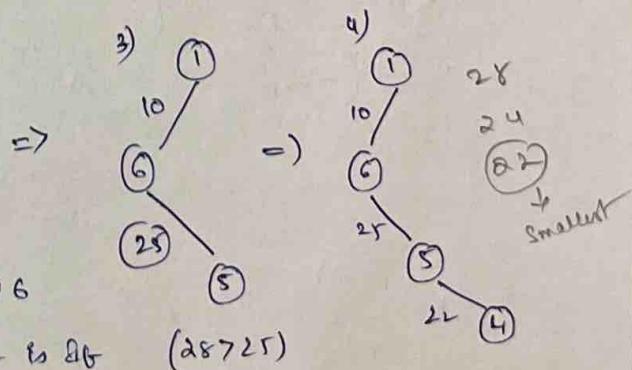


2) next minimum

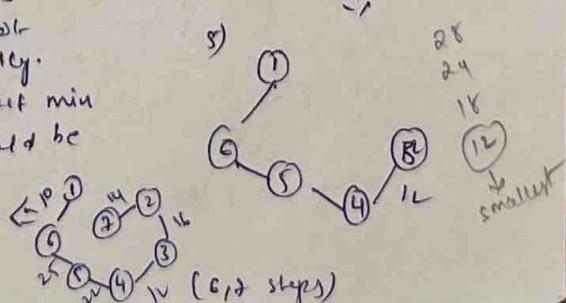
But don't choose this, as it is not connected to 1(=)6 vertices

↳ Prim's thought is 26 Some farther away edge is selected then it may not be forming a tree finally.

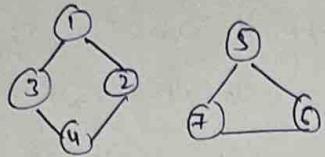
↳ so Always select min Edge which should be connected



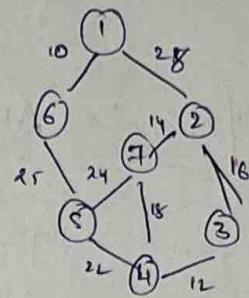
So total cost = 99



↪ let's say there are two graphs which are not connected



Then we can not form a minimum spanning tree using any algorithm.

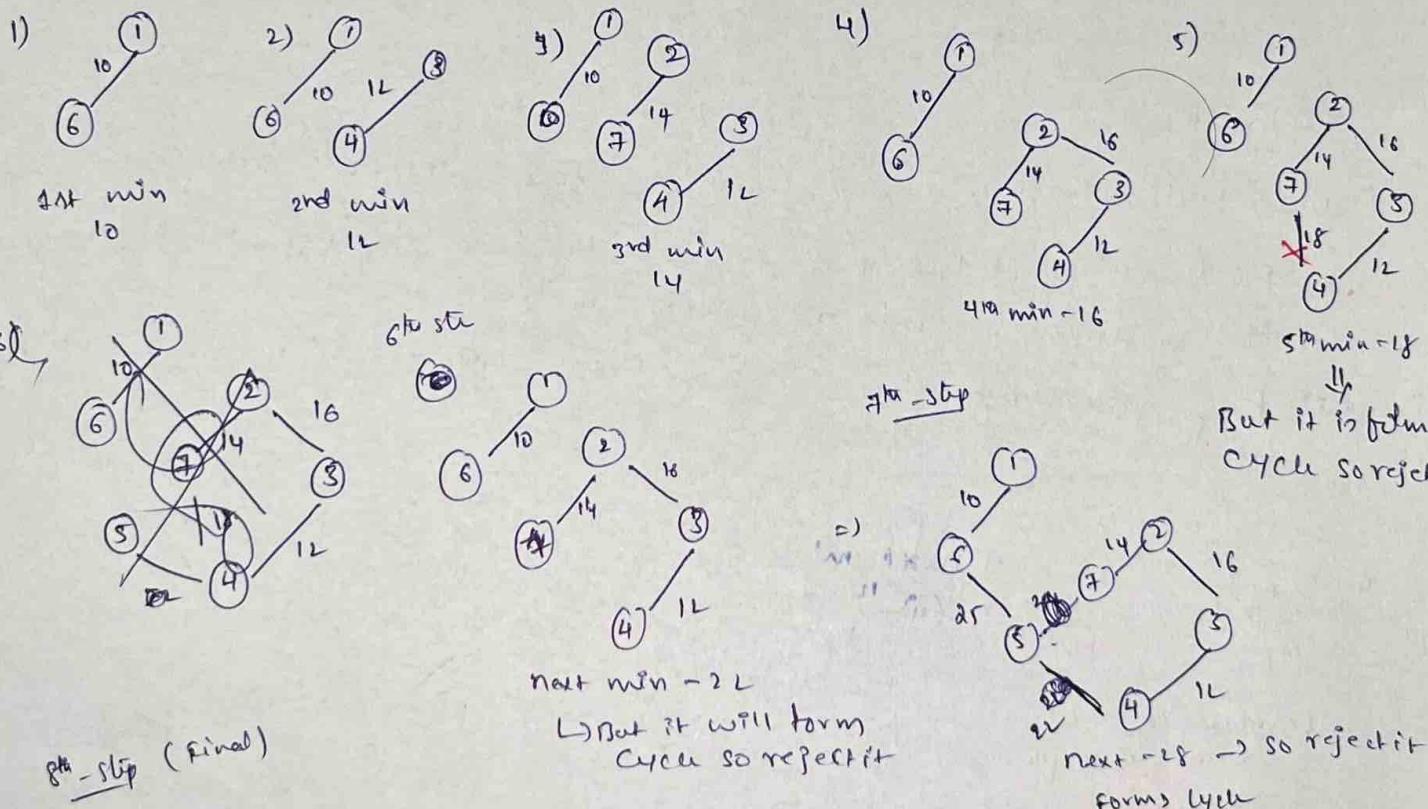


$O(E \log V)$  time complexity

To form a spanning tree vertices must be connected

## Kruskals Algorithm

- \* Kruskals Algorithm is a minimum spanning tree algorithm that takes a graph as input and finds the subset of the edges of that graph which
  - Form a tree that includes every vertex
  - Always select a minimum cost edge



⇒ All nodes present in tree

$$\Rightarrow E = V-1 \Rightarrow 7-1 = 6 \quad \checkmark$$

Condition satisfied

$$T = O(VE)$$

$$\Rightarrow O(n^2) \text{ (if } V=2B)$$

$$10 + 12 + 14 + 16 + 18 + 22 = 99$$

→ Time taken by the Kruskal's algorithm is

$$O(VE) \approx O(n^2) \text{ if } (V=E)$$

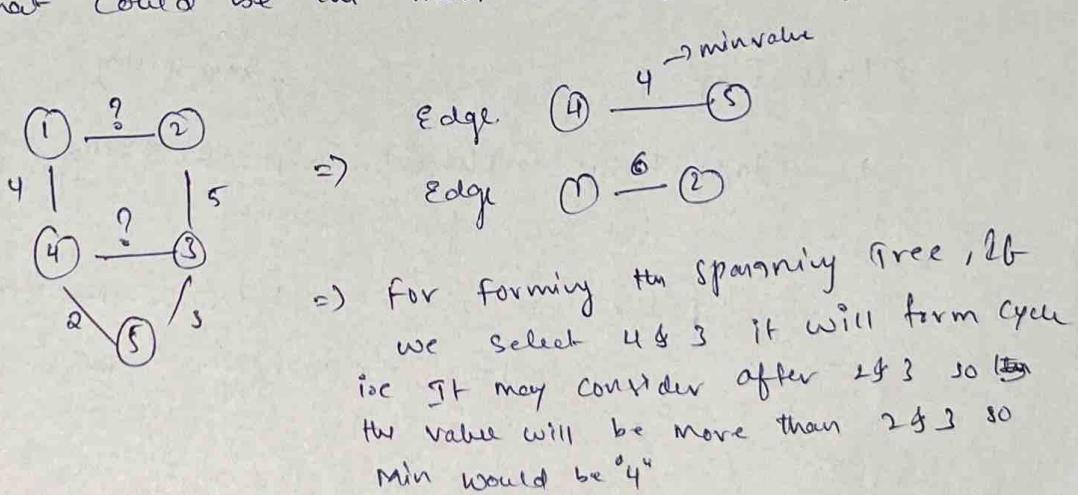
↳ But this can be improved, after we always take min element → so we can use min heap

↳ From min-heap when ever you delete it gives min element → add the time complexity to delete min element in min heap is  $\log(n)$

$$\begin{array}{c} n \log(n) \\ \uparrow \\ \text{we need} \\ \text{to repeat perform for } n \text{ nodes} \end{array} \quad \left. \begin{array}{l} \text{For Kruskal algorithm} \\ \text{time complexity} \end{array} \right\} \quad \Downarrow \quad n \log(n)$$

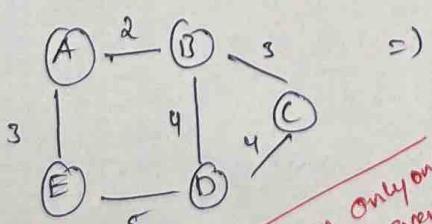
→ Kruskal algorithm may find the spanning tree for the non connected graphs, but not for the entire graph together.

Problem: What could be the min value of the edges

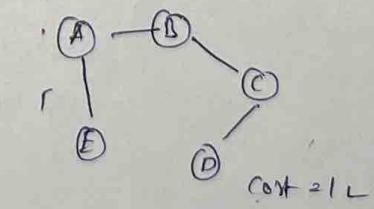
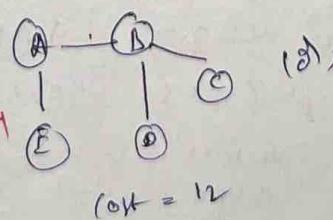


Slly for (1) & (2) → It may form at the end as it also creates cycle → so min value would be 6

Problem - 3 → find min cost spanning tree



As the weights of B to Edge A & D to C edge is same so we can Form min Span Tree but eventually same cost.



Note: The optimal cost of the minimum spanning tree will have only one ~~several~~ value  $\rightarrow$  But the I/P TO get the value may ~~very~~ vary  $\rightarrow$  Here we have 2 spanning tree but final cost is const. i.e 12

### Algorithm

- 1) Sort all the edges from low weight to low
- 2) Take the edge with the lowest weight and add it to the spanning tree. if adding the edge created a cycle, then reject this edge.
- 3) Keep adding edges until we reach all vertices.

### Kruskall's Algorithm Program $\rightarrow$ It uses disjoint sets

#### Class Graph {

} for sorting

    class Edge implements Comparable<Edge> {

        int src, dest, weight;

        public int compareTo(Edge compareEdge) {

            return this.weight - compareEdge.weight;

    };

#### // Union

    class Subset {

        int parent, rank;

    };

    int vertices; edges;

    Edge[] edge;

#### // construction of graph (graph creation)

    @ Graph (int v, int e) {

        vertices = v;

        edges = e; edges = new Edge[edge];

    }

```
for (int i = 0; i < e; i++) {
    edge[i] = new Edge
}
```

// find (Disjoint set)

```
int find (Subset subsets, int i) {
    if (subsets[i].parent != i) {
        subsets[i].parent = find (subsets, subsets[i].parent); Recursion
    }
    return subsets[i].parent;
}
```

// Union (Disjoint set)

```
void Union (Subset subsets[], int x, int y) {
    int xroot = find (subsets, x);
    int yroot = find (subsets, y);
    if (subsets[xroot].rank < subsets[yroot].rank) {
        subsets[xroot].parent = yroot;
    } else if (subsets[xroot].rank > subsets[yroot].rank) {
        subsets[yroot].parent = xroot;
    } else {
        subsets[yroot].parent = xroot;
        subsets[xroot].rank++;
    }
}
```

// Applying kruskals algorithm

```
void kruskalsAlgo () {
    Edge result[] = new Edge [vertices];
    int e = 0;
    int i = 0;
    for (i = 0; i < vertices; i++) {
        result[i] = new Edge();
    }
}
```

// sorting the edges (by 1)

```
Arrays.sort (edges)
```

// creating subsets for disjoint find function

```
for (i = 0; i < vertices; i++) {
    subset[i] = new subset();
```

}

```
for (int v = 0; v < vertices; v++) {
    subsets[v].parent = v;
    subsets[v].rank = 0;
```

}

i = 0;

```
while (e < vertices - 1) {
```

```
    Edge nextEdge = new Edge();
```

```
    nextEdge = edges[i++];
```

```
    int x = find (subsets, nextEdge.src);
```

```
    int y = find (subsets, nextEdge.dest);
```

```
    if (x != y) { → no cycle (not belong to same set)
```

```
        result[e++] = nextEdge;
```

```
        union (subsets, x, y);
```

main  
int v = 6  
int e = 8  
Graph g = new Graph(v);

G.edge[0].src = 0  
G.edge[0].dest = 1  
G.edge[0].weight = 2  
G.edge[1].src = 0  
G.edge[1].dest = 2  
G.edge[1].weight = 4

(g.kruskalsAlgo())  
y y y  
y y y  
y y y

```
// print
```

```
for (i = 0; i < e; i++) {
    print (result[i].src + " - " result[i].dest + " " result[i].weight);
```

## Dijkstra Algorithm

some algorithm

→ Single Source Shortest Path - Greedy Method.

- \* If the weighted graph is given, we have to find the shortest path from the single source.
  - ↳ say I have chosen Vertex "1" then I have to find out the shortest path to all the vertices. may be a direct path (d) by a indirect path via other vertices.
  - ↳ As we have to find out a shortest path, so it's a minimization problem → And as we know minimization problem is an optimization problem → So optimization problem can be solved using Greedy method.
- As we know Greedy methods says that a problem should be solved in stages by taking one step at a time and considering one step at a time. to get a optimal solution.
- Dijkstra Algorithm is a procedure to get an optimal solution that is min result that is shortest path.
- Dijkstra Algorithm can work for directed & a non-directed graph.

→ Approach :-

$(1) \xrightarrow{2} (2) \xrightarrow{4} (3)$  ⇒ If I say vertex "1" as the starting vertex and I want to find the shortest path to vertex (2) & vertex (3)

→ If we observe the above graph there is a directed path from (1) → (1) and cont "2". And there is no direct path to "3" so we don't know what is the path?

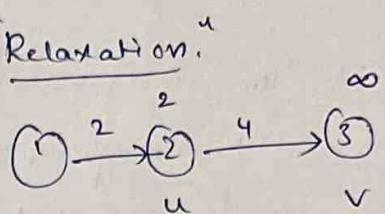
↳ first we consider a direct path, but now if we follow Dijkstra Algorithm, first we will be selecting the shortest path vertex i.e. "2" (as 1 to 3 is  $\infty$ )

↳ Now check from that vertex there is any shortest path to other ~~vertex~~ vertices  $2 \xrightarrow{4} 3$  → Now infinity can change to "6"

→ Now, there is no direct path to vertex "3", but there is a path coming via vertex "2" and update the distance by sum up the weights.

↳ This process is called as the "Relaxation".

Initially (Relaxation)



$$\text{if } (d(u) + c(u,v) < d(v)) \{$$

$$d(v) = d(u) + c(u,v)$$

$$\text{distance of } u \Rightarrow d(u) = 2$$

$$\text{cost of } u (c(u) = 2) \quad \} \quad c(u,v) = 2+4=6$$

$$\text{cost of } v (c(v) = 4)$$

As per above formula

$$(2+4) \leq \infty$$

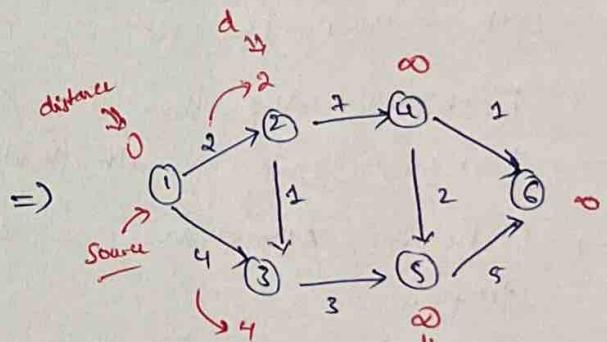
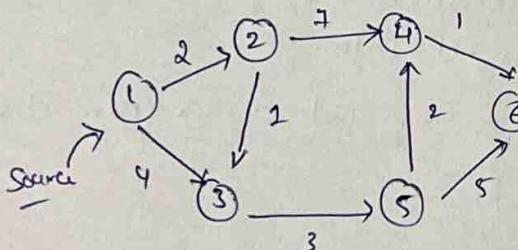
||

$$d(v) = 6$$

$$\text{distance of } V \Rightarrow d(V) = \infty$$

Initial → as we don't have direct path

→



As there is no direct connection with 4

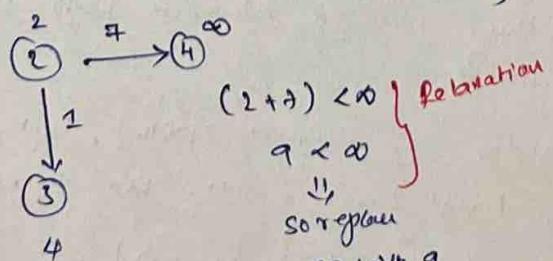
Initially treat it as  $\infty$

step -

→ Now select the shortest distance node

↳ out of  $(2, 4, \infty, \infty, \infty)$  ⇒ 2 is the smallest distance so take consider Vertex 2

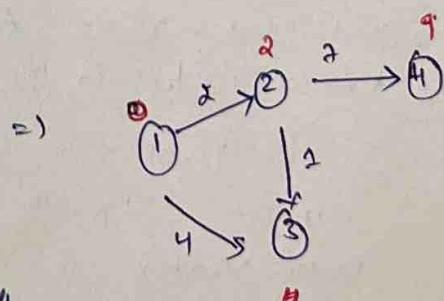
↳ check who are connect to Vertex 2 and apply relaxation.



$$(2+1) < 4$$

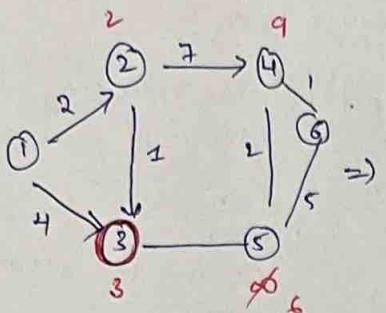
$$3 < 4$$

so replace 4 with 3

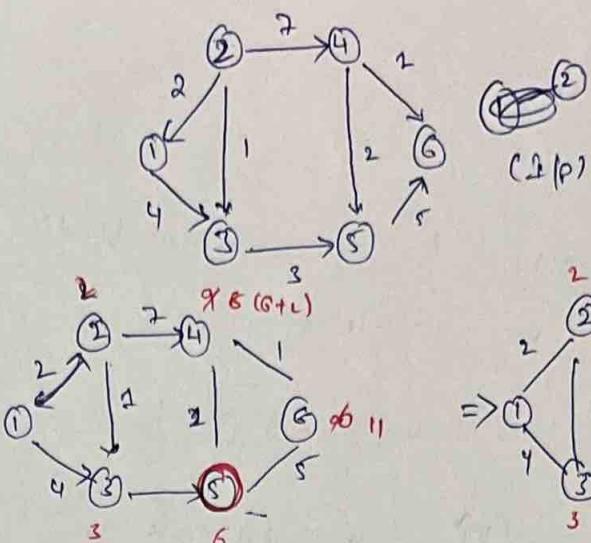


1. c distance to node

4 from 1 is 1

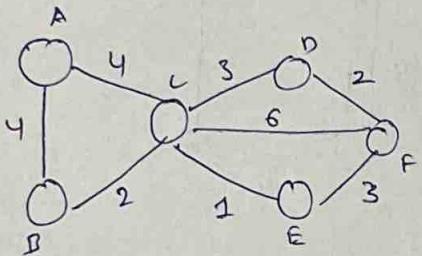
Step 3

remaining  
nodes  
5, 4, 6

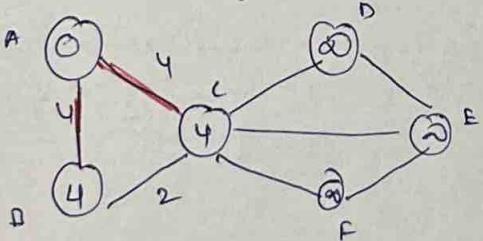


$\min \rightarrow (6, \infty 9) \Rightarrow 6 \text{ is min}$   
Connected nodes of 5

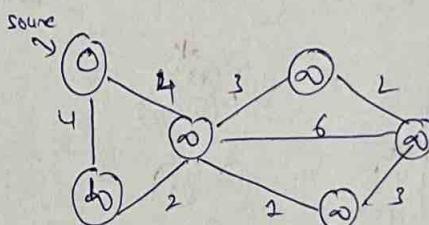
$d(v)$
2
3
4
5
6
9

Example 2

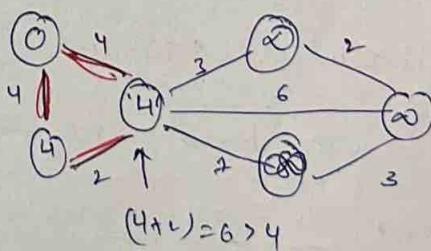
- 2) Go to each vertex and update it's path length



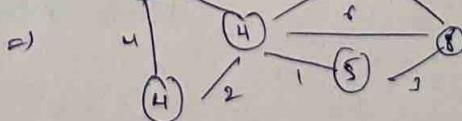
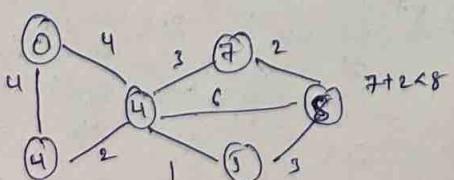
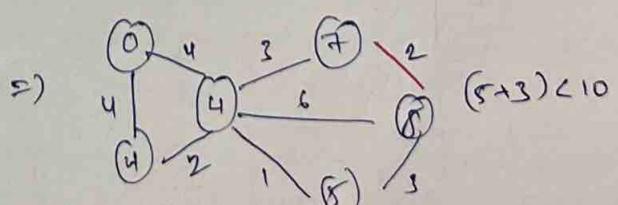
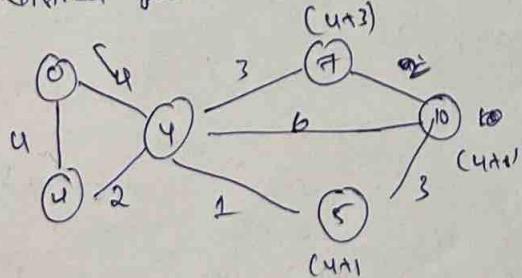
- 1) Choose a vertex and assign infinity path values to all other vertex



- 3) Choose next min path out of connected vertex to source i.e. B & C and it's distance are 4, 4 = so we can choose any one.  
If the path length of the adjacent vertex is lesser than new path length, don't update it



- 4) Avoid updating path lengths of already visited path



## Observations

\* In Every Iteration - it is finding shortest path

No. of vertices  $\Rightarrow N = V \rightarrow$  and it is relaxing the other vertices at each step

$$\text{max} = \frac{V}{2}$$

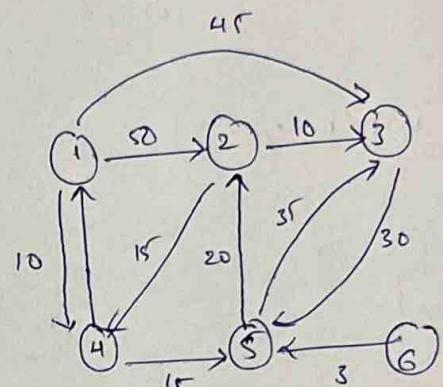
↳ Because a given node is connected all nodes in graph

$$T = O(N \times V) \quad (\text{or}) \quad O(nm)$$

$$T = O(m^2) \quad \leftarrow \text{Worst case}$$

Ex - 3

Starting vertex (1)		Vertices				
Selected Vertex		2	3	4	5	6
1		50	45	10	$\infty$	$\infty$
4		50	45	10	25	$\infty$
5		45	45	10	25	$\infty$
2		45	45	10	25	$\infty$
3		45	45	10	25	$\infty$
6		$\infty$	$\infty$	$\infty$	$\infty$	$\infty$



If already vertex is selected  
Then don't select again.

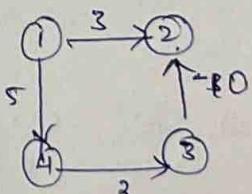
We can not reach vertex "6" because  
There is no incoming edge on "6"  
so we can't reach.

## Drawback of Dijkstra Algorithm

→ Let's we have negative value on Edge

Vertex	2	3	4
1	3	$\infty$	5
2	3	$\infty$	5
4	3	7 (5+2)	5

It may not work  
for negative values



→ No need to select  
Because remaining nodes  
2, 4 → already checked

But if select then new minimum value to node (2)  
 $-3 < (10 + 7) \Rightarrow -3$

So the better value is  $-3 (-3 < 3)$   
But Dijkstra says that then it will  
not update the node if it already visited

So the algorithm may not  
work for negative values

## Dijkstra's Algorithm Steps

- 1) Mark the ending vertex with a distance of zero. Designate this vertex as current.
- 2) Find all vertices leading to the current vertex. Calculate their distances to the End. Since we already know the distance the current vertex is from the End, this will require adding the most recent edge.  
 ↳ Don't record the distance if it is longer than a previously recorded distance.
- 3) Mark the current vertex as visited. We will never look at the vertex again.
- 4) Mark the vertex with the smallest distance as current, and repeat from step 2.

Example 1:- find the <sup>length</sup> of the shortest path from vertex A to vertex L.

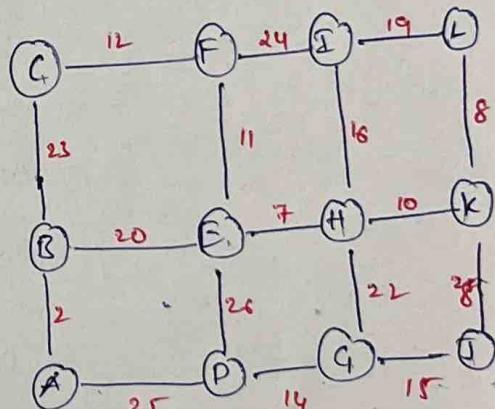
Ans :- 47

Start vertex	B	C	D	E	F	G	H	I	J	K	L
A	(2)	∞	25	∞	∞	∞	∞	∞	∞	∞	∞
B	(2)	25	25	(2)	∞	∞	∞	∞	∞	∞	∞
E	(2)	25	25	(2)	33	∞	29	∞	∞	∞	∞
C	(2)	25	25	(2)	33	∞	29	∞	∞	∞	∞
D	(2)	25	25	(2)	33	39	(2)	∞	∞	∞	∞
H	(2)	25	25	(2)	33	39	(2)	45	∞	39	∞
F	(2)	25	25	(2)	33	39	(2)	45	∞	(39)	∞
K	(2)	25	25	(2)	33	39	(2)	45	54	(39)	47

next smallest → But all the nodes connected to (I)

i.e. F, H, A already marked as visited.

& for L → 45 + 19 (A5 + 19) ⇒ L = 47



means  
circled ~~∞~~ → visited  
already

∅ → means min element  
for next iteration

left

## Program

Public class Dijkstra {

    public static void dijkstra(int[][] graph, int source) {

        int count = graph.length;

        boolean[] visitedVertex = new boolean[count];

        int distance[] = new int[count];

        // Set all distances initially as  $\infty$  except source  
        Also set visited as false for all nodes

        Arrays.fill(distance, Integer.MAX\_VALUE);

        Arrays.fill(visitedVertex, false);

        // Set source distance as 0

        distance[source] = 0;

        for (int i=0; i<count; i++) {

            // Update the distance b/w neighbouring  
            vertex & source vertex for Relaxation.

            int u = findMinDistance(distance, visitedVertex);  
            visitedVertex[u] = true; same as prim's

            // Update all the neighbouring vertex distances  
            ↳ i.e Relaxation

            for (int v=0; v<count; v++) {

                if (visitedVertex[v] == false &

                    graph[u][v] != 0 &

                    distance[u] + graph[u][v] < distance[v]) {

                        distance[v] = distance[u] + graph[u][v];

                y

        } // print

        for (int i=0; i<distance.length; i++) {

            print("Source, " + source, " " + distance[i])

y

## Relaxation

### ③ Constraints

- 1) Edge must exist b/w u & v
- 2) Vertex  $v^*$  is not included in MST
- 3) Edge weight is current (3)

## Dynamic Programming

\* Difference b/w Greedy Method and dynamic programming (DP) (Gm)

1) Gm, DP both are used for solving optimization problems

a) In Gm  $\rightarrow$  we tried to follow the defined procedure to get the best result like, Kruskal Algo,

b) In dp  $\rightarrow$  we find all possible solutions and then pick up an optimal solution out of possible solutions.

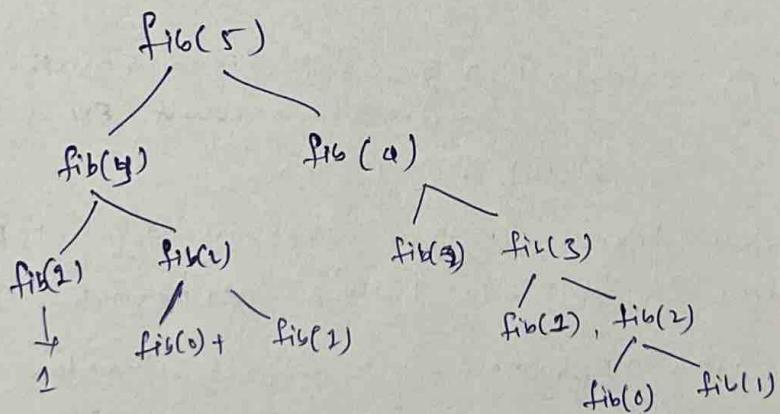
- $\rightarrow$  Time consuming compared to DP
- $\rightarrow$  Most of DP problems are solved using recursive formulas.
- $\rightarrow$  It follows principal of optimality

Two Methods

- 1) Memoization Method
- 2) Tabulation Method  
 $\hookrightarrow$  widely used

It states that the problem can be solved by taking the sequence of decisions to get the optimal solution.

Ex:-



```

int fib(int n) {
    if (n <= 1)
        return 1
    return fib(n-2) + fib(n-1)
  
```

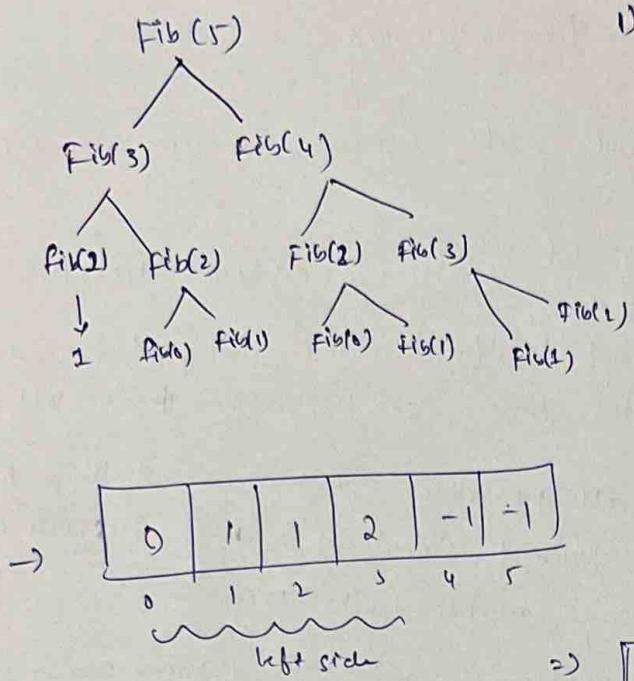
$$T(n) = T(n-2) + T(n-1)$$

$$\text{Assume } \Rightarrow (n-1) = 2T(n-1)$$

$$\Rightarrow \text{Every time it adding the result } \Rightarrow 2T(n-1) + 1 \\ = T(2^n) \quad (\text{By Recursion})$$

$\rightarrow$  If we observe the same fn is being called again & again for some parameter  $\rightarrow$  say f(2) 3 times

$\hookrightarrow$  So more fn calling  $\rightarrow$  more time  $\rightarrow$  so this can be reduced by storing values.



i) Initialize Array with (-1) a

T[0]	-1	-1	-1	-1	-1	-1
	0	1	2	3	4	5

$\text{fib}(5) \Rightarrow$  There is no value at index 5  $\rightarrow$  so continue

$\text{fib}(3) \Rightarrow$  There is no value at index 3  $\rightarrow$  so continue

So  $\text{fib}(2) ; \text{fib}(1) \Rightarrow 1$   
so store  $T[1] = 1$

$\rightarrow$  If we see here we have called fn fib  $\Rightarrow \text{fib}(5), \text{fib}(4), \text{fib}(3), \text{fib}(2), \text{fib}(1), \text{fib}(0) \rightarrow$  only once.

So total calls  $\sim O(n+1) \Rightarrow O(n)$

$\rightarrow$  The above methodology is called as "Memoization". By storing the results of the fn we are avoiding the same call once again

$\hookrightarrow$  Here  $\text{fib}(1) \rightarrow$  called once and stored result  $\rightarrow$  so don't call again when evaluating  $\text{fib}(3), \text{fib}(4) \dots$

$\rightarrow$  If we see the above tree, The tree growing from TOP to bottom i.e Memoization works in TOP - to bottom Approach. If

We want bottom-up approach we follow iterative method.  
i.e Tabularization method

Ex:-

$\hookrightarrow$  widely used method in D.P

F	0	1	2	3	4
	0	1	2	3	4

F	0	1	1	2	3	5
	0	1	2	3	4	5

```

int fib(int n) {
    if (n == 0) return 0;
    if (n == 1) return 1;
    for (int i = 2; i < n; i++) {
        F[i] = F[i-2] + F[i-1];
    }
    return F[n];
}
  
```

Q) If you see here the iteration starts from smaller number  $\rightarrow$  i.e from 0 where as in Recursion it starts from bigger i.e  $5 = n$ .

\* Mostly dynamic problems solved using 2 methods

1) Memoization Method

↳ Using recursion

↳ Top - down Approach

2) Tabulation Method

↳ Using Iteration Method

↳ Bottom - up Approach.

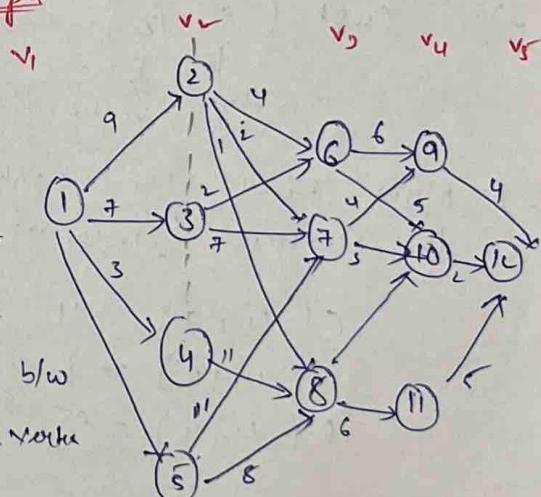
\* Tabulation is widely used in D.P

\* The main problem is identifying the solution (S) approach for solving a problem.

Time complexity  
 $O(n^r)$

Multi-Stage

Multi Stage Graph



\* A multi stage graph is a directed graph, in which its nodes can be divided into a set of stages, such that all edges are from a stage to stage only (In other words, There is no edge b/w vertices of same stage and from a vertex of current stage to previous stage)

\* We need to find the shortest path from source to destination By convention we consider source at stage 1 and destination at stage L

$V \rightarrow$  Vertex

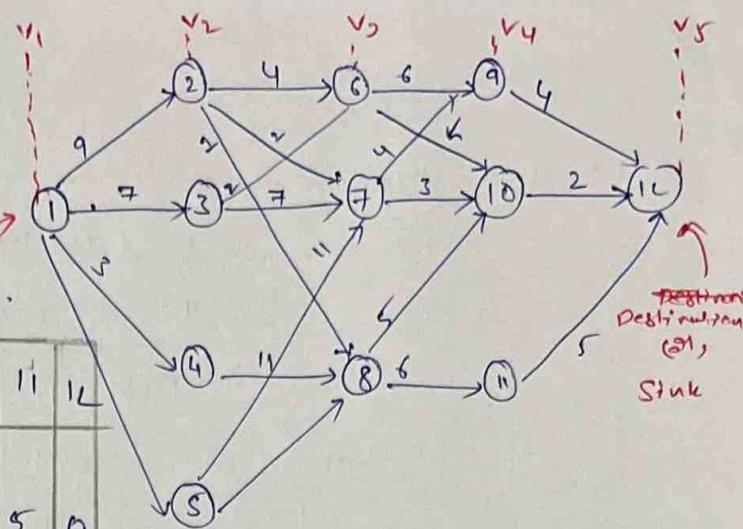
$C \Rightarrow$  Cost

$D \Rightarrow$  Destination  
Distribution

$O \Rightarrow$  vertex giving its minimum value.

$V$	1	2	3	4	5	6	7	8	9	10	11	12
$C$	12	7	6	18	18	7	5	7	4	2	5	0
$D$	20	7	9	8	8	10	10	10	12	12	12	12

↓ Vertex giving the min value



+ If I want find the cost of vertex "4" then I should consider all these paths reaching sink

+ Only if want to find for vertex "3" then I need to consider paths from 3 to sink.

→ So, I will choose from sink, because cost will be zero, as it is the last vertex (4) (destination).

$$\rightarrow \text{Cost}(S, 12) = 0$$

↓  
stage  
vertex

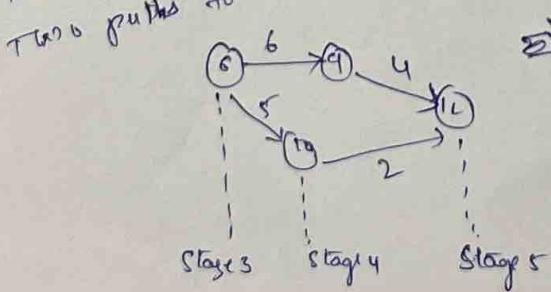
→ Now find the cost of all vertices in the 4th stage i.e for the vertices (9, 10, 11)

$$\begin{aligned} C(4, 9) &= 4 & \Rightarrow & \text{By taking the above path} \\ C(4, 10) &= 2 & \Rightarrow & \text{we are reaching to node/vertex } 12 \\ C(4, 11) &= 5 & \Rightarrow & \text{so all these vertices will be } 12 \end{aligned}$$

→ 3rd stage

$$C(3, 6) = \min \left\{ \underbrace{C(G, 9) + C(9, 6)}_{\substack{\text{cost of 6th stage} \\ \text{cost of 4th stage}}} , \underbrace{C(G, 10) + C(10, 6)}_{\substack{\text{cost of 6th stage} \\ \text{cost of 4th stage}}} , \underbrace{C(G, 11) + C(11, 6)}_{\substack{\text{cost of 6th stage} \\ \text{cost of 4th stage}}} \right\}$$

From vertex "6" we have two paths to reach "12"



$$\therefore C(3, 6) = \min \{ (6+4) , (5+2) \}$$

$$= \min \{ 10, 7 \}$$

= 7

→ And vertex 8, 10 giving the min value.

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$$\rightarrow C(3,7) = \min \{ C(7,9) + (S_4 + 9), \\ C(7,10) + C(S_4, 10) \}$$

$$= \min \{ 4+4, 3+2 \}$$

$$= \min \{ 9, 5 \}$$

↑  
Already  
found in table  
in previous step

$$C(3,7) = 5$$

↳ This min value is getting by vertex 10

$$\therefore C(3,7) = 5, d = 10$$

$$\rightarrow C(3,8) \Rightarrow \min \{ C(8,10) + C(S_4, 10), C(8,11) + C(S_4, 11) \}$$

$$= \min \{ 5+2, 6+5 \} \Rightarrow \min \{ 7, 11 \} = \underbrace{7}_{\substack{\text{2 is getting } S_4 \\ \text{node 10}}}$$

$$C(3,8) = 7 ; d = 10$$

Stage 2

$\rightarrow C(2,2) \Rightarrow \min \{ C(2,6) + C(3,6), C(2,7) + C(3,7), C(2,8) + C(3,8) \}$

↑  
This calculated  
in previous step

$= \min \{ 4+7, 6+5, 7+7 \} \Rightarrow \min \{ 11, 11, 14 \}$

$C(2,2) = 11 ; d = 11$

$\rightarrow C(2,4) = \min \{ C(4,8) + C(S_3, 8) \}$

$= \min \{ 11+7 \} = 18 ; d = 18$

$\rightarrow C(2,5) = \min \{ C(5,7) + C(3,7), C(5,8) + C(3,8) \}$

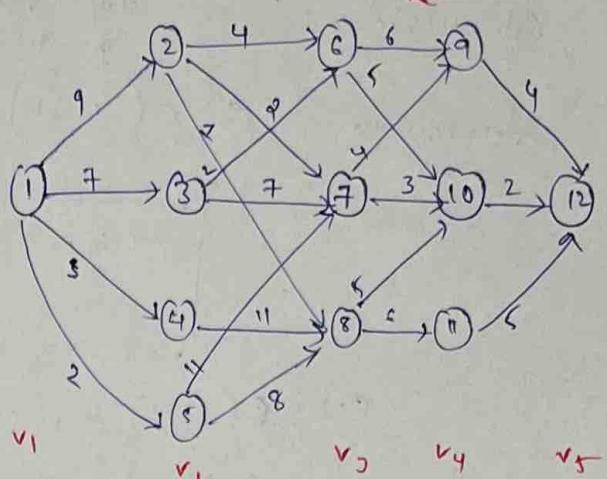
$= \min \{ 11+5, 11+7 \} = \min \{ 16, 18 \} = 16 ; d = 18$

Stage 3

$\rightarrow C(1,1) = \min \{ C(1,1) + C(2,2), C(1,1) + C(2,3), C(1,4) + C(2,4), C(1,5) + C(2,5) \}$

$= \min \{ 9+11, 9+12, 10+11, 10+15 \} = \min \{ 18, 19, 21, 25 \} = \underline{18}$

So closest cost b/w 21 & 13.



Observation

formulas

stage ↑ vertex no ↑

some vertex in next stage

$$c(i, j) = \min \{ c(i, l) + c(l, j) \}$$

$$c(i, j) = \min \{ c(i, l) + c(i+1, l) \}$$

Ex:  $c(2, 3) = \min \{ c(2, 6) + c(3, 6) \}$

$i=2, j=3$

$c(i, l)$        $c(i+1, l)$

$l=6$        $(i+1)$

$$\therefore cost(i, j) = \min \{ c(i, l) + cost(i+1, l) \}$$

where  $i$  = stage ;  $j, l$  = vertices no.  
 $(l = V_{i+1})$

→ Now Apply dynamic programming on the tabular data we have

↳ And we are going to solve it by taking sequence of decision and we will start from ~~the~~ source vertex (1) to sink vertex (12)

↳ so this is forward, the decisions will be taking in forward direction.

stage ↑ vertex ↑ Source  
 $d(1, 1) = 2/3 \Rightarrow ②$  let's select

V	1	2	3	4	5	6	7	8	9	10	11	12
C	10	7	6	18	15	7	5	7	4	2	5	0
D	2/3	7	9	8	8	10	10	10	10	10	10	12

2 in 1st stage

7 in 2nd stage

10 in 4th stage

$$d(2, 1) = 7$$

$$d(3, 7) = 10$$

$$d(4, 10) = 12$$

$$d(5, 12) = 10$$

So the path from 1 to 12 (shortest path)

$$① \xrightarrow{9} ② \xrightarrow{2} ⑦ \xrightarrow{3} ⑩ \xrightarrow{2} ⑫$$

(definition)

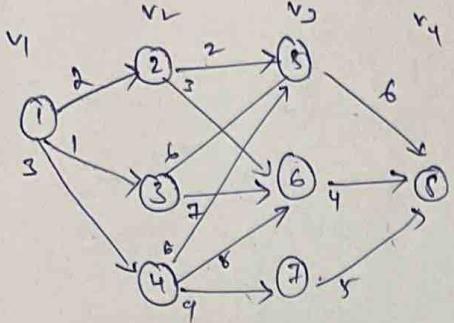
$$9+2+3+2 = 16$$

If we choose 3

$$① \xrightarrow{7} ③ \xrightarrow{2} ⑥ \xrightarrow{5} ⑩ \xrightarrow{2} ⑫$$

$$7+2+5+2 = 16$$

## Multistage graph program



$$\text{inf } c[9][9] = \left\{ \begin{array}{l} \{0, 0, 0, 0, 0, 0, 0, 0, 0\}, \\ \{0, 0, 2, 1, 3, 0, 0, 0, 0\}, \\ \{0, 0, 0, 1, 0, 0, 2, 3, 0, 0\}, \\ \{0, 0, 0, 0, 0, 1, 0, 0, 0\}, \\ \{0, 0, 0, 0, 0, 0, 6, 8, 9, 0\}, \\ \{0, 0, 0, 0, 0, 0, 0, 0, 0, 6\}, \\ \{0, 0, 0, 0, 0, 0, 0, 0, 0, 5\}, \\ \{0, 0, 0, 0, 0, 0, 0, 0, 0, 0\} \end{array} \right.$$

① → ② → ③ → ④  
↑ shortest path

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	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	2	1	3	0	0	0	0
2	0	0	0	0	0	2	3	0	0
3	0	0	0	0	0	6	7	0	0
4	0	0	0	0	0	6	8	9	0
5	0	0	0	0	0	0	0	0	6
6	0	0	0	0	0	0	0	0	4
7	0	0	0	0	0	0	0	0	5
8	0	0	0	0	0	0	0	0	0

Here vertex starts from 1 but array starts from 0, so matrix consider from 0 to 8

cost

0	1	2	3	4	5	6	7	8
9	7	11	14	6	4	5	0	

$n(8) = 0$

d

0	1	2	3	4	5	6	7	8
2	6	6	5	8	8	8	8	

0	1	2	3	4	5	6	7	8
*	2	6	8	10	6	8	8	

Formed  $c[i][k]$

$$c[4][4] = \min\{c[4][4], c[4][5]\}$$

$$c[4][5] = \min\{6, 10\}$$

$$c[4][4] = 6$$

$$k=6 \Rightarrow c[4][6] + c[6][8]$$

$$= 8 + 4 = 12$$

$$k=7 \Rightarrow c[4][7] + c[7][8]$$

$$= 9 + 5 = 14$$

$$k=8 \Rightarrow \text{It will not execute if statement} \rightarrow 5 \text{ vertex}$$

$$\Rightarrow \min\{12, 14\}$$

main()

int stage = 4, min;

int n = 8

int cost[9][], d[], path[9];

int c[9][9] = {{0, 0, 0, 0, 0, 0, 0, 0, 0},  
{0, 0, 2, 1, 3, 0, 0, 0, 0},  
{0, 0, 0, 1, 0, 0, 2, 3, 0},  
{0, 0, 0, 0, 0, 0, 0, 0, 0}}

cost[n] = 0; // last node i.e. destination

for (int i = n - 1; i >= 1, i--) {  
 min = 32767;  
 for (k = i + 1; k < n; k++) {  
 if (c[i][k] != 0 && c[i][k] + c[k][n] < min){

min = c[i][k] + c[k][n]

$d[i] = k$

$\text{cost}[i] = \text{min}$

$p[1] = 1; p[\text{stage}] = n$

for (i = 2; i < stage; i++) {

$p[i] = p[d[i-1]]$

} finding path

## Matrix Chain Multiplication

- 1) What is Matrix multiplication Time complexity
- 2) What is Matrix chain multiplication  $O(n^3)$
- 3) Formula using dynamic programming
- 4) How to use Dynamic programming formula.
- 5) Example problem.

### \* Matrix Multiplication

$$A_2 = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \end{bmatrix} \quad B = \begin{bmatrix} b_{11} & b_{1L} \\ b_{21} & b_{2L} \\ b_{31} & b_{3L} \end{bmatrix}$$

Rows  $\times$  Columns

2  $\times$  3      3  $\times$  2

Rows      Columns

Should be equal for matrix multiplication

$\Rightarrow$  first Rule: To perform a Matrix multiplication, below condition should satisfy

$$\text{No. of columns of first matrix} = \text{no. of Rows of 2nd matrix}$$

$$2 \times 3 = 3 \times 2$$

$\Rightarrow$  How we multiply  $\Rightarrow$  All the elements of row in first matrix with all the elements of single column in the second matrix

$$C = A \times B = \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} \end{bmatrix}$$

$$A_2 \quad 2 \times 3 ; \quad B \quad 3 \times L$$

equal

Resultant matrix  $(2 \times 2)$

size/dimension

$2 \times 2$

total no. of multiplications  
 $= 12$

$= 2 \times 3 \times 2 = 12$

Row of 1st matrix      Column of 2nd matrix  
 Column of 1st matrix      Row of 2nd matrix

## Matrix Chain Multiplication

⇒ At a time we can multiply 2-matrix

dimensions  
—

$$A_1 \times A_2 \times A_3$$

$2 \times 3 \quad 3 \times 4 \quad 4 \times L$   
 $d_0 \quad d_1 \quad d_1 \quad d_2 \quad d_3$

→ The above matrices can be multiplied in two ways, but the final result will be same.

$$R = (A_1 \times A_2) A_3$$

$\underbrace{\qquad}_{2 \times 3} \qquad \underbrace{4 \times L}_{3 \times 4}$

Multiplication  
 $\rightarrow 2 \times 3 \times 4 = 24$

$$(A_1 \times A_2) \times A_3$$

$2 \times 4 \quad \underbrace{4 \times L}_{\text{Result}}$

Result →  $2 \times L$

Total multiplications ⇒  $2 \times 4 \times L$

$$(A_1 \times A_2) \times A_3 = 16$$

~~Result~~  
 $2 \times 4 \quad \underbrace{4 \times L}_{\text{Result}}$

→ Total multiplications for which Result ( $R$ )

$$24 + 16 = 40$$

→ Resultant matrix dimension ( $R$ )

$$R_1 \times A_3 = 2 \times 2$$

$2 \times 4 \quad \underbrace{4 \times L}_{\text{Result}} =$

$$A_1 \times (A_2 \times A_3)$$

$2 \times 3 \quad \underbrace{3 \times 4}_{4 \times L}$

$\rightarrow A_2 \times A_3 = R_1$

↳ Total multiplications

$$3 \times 4 \times 2 = 24$$

→ Result dimension ( $R_1$ ) =  $3 \times L$

$$A_1 \times R_1$$

$2 \times 3 \quad \underbrace{3 \times L}_{\text{Result}}$

→ Total multiplications ( $A_1 \times R_1$ )

$$\Rightarrow 2 \times 3 \times L = 1L$$

→ Total multiplications ( $A_1 \times (A_2 \times A_3)$ )

$$12 + 24 = 36$$

→ Resultant matrix dimension

$$A \times R_1$$

$2 \times 3 \quad \underbrace{3 \times L}_{\text{Result}} \Rightarrow \underline{2 \times 2}$

Note : If we observe, the no. of multiplication performed to get the Result is not same in both the cases. So to get the Optimal solution we need to choose the set, whose ~~total~~ no. of multiplications will be less.

↳ But how do we identify the 'set', and which multiplication should be performed such that the total efforts put in for multiplying them should be minimized.

↳ This is matrix chain multiplication problem.

→ Finding the best possible parenthesization such that the total cost of multiplication will be less.

↳ So dynamic programming approach says that, you should try all possible parenthesization and pick up the best.

↳ So we need some formulas to do the job quickly.

$$\begin{array}{c}
 \begin{array}{l}
 (A_1 \times A_2) + A_3 \\
 d_0 \quad \overset{2 \times 3}{\cancel{d_1}} \quad \overset{3 \times 4}{\cancel{d_2}} \quad d_3 \quad 4 \times 1 \\
 \text{Cost}[1, 2] + \text{Cost}[3, 1] \\
 \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \\
 A_1 \quad A_2 \quad A_3 \quad A_1
 \end{array}
 \quad \left| \begin{array}{l}
 A_1 \times (A_2 \times A_3) \Leftarrow \\
 2 \times 3 \quad 3 \times 4 \quad 4 \times 1 \\
 d_0 \quad d_1 \quad d_2 \quad d_3 \\
 C[1, 1] + C[2, 3] \quad \leftarrow \text{Step 1} \\
 C[1, 1] = 0 \\
 C[2, 3] = 3 \times 4 \times 2 = 24 \\
 C[3, 1] = 0 \\
 d_0 \quad d_2 \\
 (A_1 \times A_2) \Rightarrow 2 \times 4 \\
 (A_1 \times A_2) \times A_3 \\
 d_0 \quad d_1 \quad d_2 \quad d_3 \\
 2 \times 4 \quad \cancel{4 \times 1} \quad 4 \times 2 \\
 C[1, 2] + C[3, 1] + (d_0 \times d_2 \times d_3) \\
 24 + (2 \times 4 \times 2) = 40
 \end{array} \right.
 \end{array}$$

$$\begin{array}{l}
 A_1 \times A_2 \times A_3 \\
 2 \times 3 \quad 3 \times 4 \quad 4 \times 1 \\
 d_0 \quad d_1 \quad d_2 \quad d_3 \\
 C[1, 1] + C[2, 3] + C[3, 1] \quad \leftarrow \text{Step 2} \\
 C[1, 1] = 0 \\
 C[2, 3] = 3 \times 4 \times 2 = 24 \\
 C[3, 1] = 0
 \end{array}$$

$$\begin{array}{l}
 A_1 \times [A_2 \times A_3] \\
 \cancel{2 \times 3} + \cancel{3 \times 2} = C[1, 1] + C[2, 3] + (2 \times 3 \times 1) \\
 \Rightarrow C[1, 1] + C[2, 3] + (d_0 \times d_2 \times d_3) \\
 \Rightarrow C[1, 1] + C[2, 3] + d_0 \times d_1 \times d_2 = 24
 \end{array}$$

→ The above matrices  $A_1, A_2, A_3$  can be multiplied in 2 ways as below:

$$\begin{array}{l}
 i \xrightarrow{\text{some notation}} k \xrightarrow{\text{considering}} j \quad d_{i-1} \quad d_k \quad d_j \\
 1) \quad C[1, 2] + C[3, 1] + (d_0 \times d_2 \times d_3) \Rightarrow (A_1 \times A_2) \times A_3 \\
 \text{consider } \text{as} \quad \uparrow \quad \uparrow \quad \uparrow \quad \text{considering} \\
 2) \quad C[1, 1] + C[2, 3] + (d_0 \times d_1 \times d_2) \Rightarrow A_1 (A_2 \times A_3)
 \end{array}$$

Observe which

Formula :  $C[i, j] = \min_{k \in [i, j]} \{C[i, k] + C[k+1, j] + (d_{i-1} \times d_k \times d_j)\}$

where  $k \Rightarrow i \leq k < j$

↓, since 3 matrices  
so  $k \geq 1, 2$

## Matrix chain multiplication

↓

$$C(i, j) = \min_{k=1}^j \{ C(i, k) + C(k+1, j) + d_{i-1} + d_k + d_j \}$$

↑ let's look for 4 matrices

$$\begin{array}{cccc} A_1 & A_2 & A_3 & A_4 \\ d_0 \times d_1 & d_1 \times d_2 & d_2 \times d_3 & d_3 \times d_4 \end{array}$$

- Possibilities to multiply matrix.

- 1)  $A_1 (A_2 (A_3 A_4))$
- 2)  $A_1 ((A_2 A_3) A_4)$
- 3)  $(A_1 A_2) (A_3 A_4)$
- 4)  $(A_1 (A_2 A_3)) A_4$
- 5)  $((A_1 A_2) A_3) A_4$

↳ so if we have 4-matrix → then 5-possibilities

for 4 matrices  $\Rightarrow$  ~~area~~  $n-1 = 3$

$$\frac{2(n-1)}{n} c_{(n-1)} \stackrel{(1)}{\Rightarrow} \frac{2n}{n+1} c_n \quad \text{where } n = (n-1)$$

$$\frac{2(3)}{4} c_3 \stackrel{(2)}{\Rightarrow} \frac{6c_3}{4} \stackrel{(3)}{\Rightarrow} \frac{6!}{(6-3)! \times 3!}$$

$$\frac{6!}{3! \times 3!} \stackrel{(4)}{\Rightarrow} 6 \times 5 \times 4 \times 3!$$

$$\frac{6 \times 5 \times 4 \times 3!}{3! \times 3!} \stackrel{(5)}{\Rightarrow} = 5$$

$$\frac{6 \times 5 \times 4 \times 3!}{3! \times 3!} \stackrel{(6)}{\Rightarrow} \frac{10}{4} \times \frac{1}{4}$$

Now out of 1 item 5 - then fixed

The best possible way → now apply  
matrix chain multiplication formulae.

## Matrix Multiplication - formulae

$$c[i, j] = \min_{i \leq k < j} \{ c[i, k] + c[k+1, j] + d_{i-1} + d_k + d_j \}$$

→ for 4 matrices

$$\begin{array}{cccc} A_1 & A_2 & \dots & A_4 \\ d_0 \times d_1 & d_1 \times d_2 & d_2 \times d_3 & d_3 \times d_4 \\ i=1 \\ j=4 \end{array}$$

$$c[1, 4] = \min_{1 \leq k \leq 3} \left\{ \begin{array}{l} k=1 \quad c[1, 1] + c[2, 4] + (d_0 \times d_1 \times d_4), \\ k=2 \quad c[1, 2] + c[3, 4] + (d_0 \times d_2 \times d_4), \\ k=3 \quad c[1, 3] + c[4, 4] + d_0 \times d_3 \times d_4, \end{array} \right.$$

↓ i.e

$$k=1 \quad A_1 (A_2 *_3 A_4)$$

$$k=2 \quad (A_1 A_2) (A_3 A_4)$$

$$k=3 \quad (A_1 A_2 A_3) A_4$$

we know  $c[1, 1] = 0 \rightarrow$  now we need to find  
 $c[4, 4] = 0 \rightarrow c[2, 4] \text{ & } c[3, 4]$   
 $c[1, 2] \dots \text{ & } c[1, 3]$

$$c[2, 4] = \min_{2 \leq k \leq 3} \left\{ \begin{array}{l} k=2 \quad c[2, 2] + c[3, 4] + d_1 \times d_2 \times d_4 \\ k=3 \quad c[2, 3] + c[4, 4] + d_1 \times d_3 \times d_4 \end{array} \right.$$

↳ If we observe → above case  $c[2, 4] \Rightarrow$  again we need to find out the values for  $c[2, 3] \text{ & } c[3, 4]$  as  $c[2, 2] \text{ & } c[4, 4] \neq 0$  → And it's not practical.

And also we observe

↳ And this process continues. → And how can we achieve above after the solution quickly?

$c[1, 4] \Rightarrow k=3$  possibilities → And then find  $\min$  by silly for  $c[i, j] \Rightarrow (j-1)$  possibilities  
 $c[2, 4] \Rightarrow k=2$  possibilities → And then find  $\min$  for  $k=3$  to  $j-1$

↳ To arrive quickly at the solution  
 ↳ First find smaller & then go for larger values  
 P of K

i.e.  $K=0, K=1, K=2, \dots$

4-matrices

$\rightarrow j$

$i \rightarrow$  Row  
 $j \rightarrow$  columns

		1	2	3	4
		0	0	0	0
		0	0	0	0
		0	0	0	0
		0	0	0	0

1st min

$C =$   
 $\uparrow$   
 Cost of  
 Matrix multiplication

	1	2	3	4
1	0	0	0	0
2	0	0	0	0
3	0	0	0	0
4	0	0	0	0

$$\Rightarrow K = \underbrace{j-i}_{\geq 0} \leq j$$

For 4 matrices possible K values  $\rightarrow 0, 1, 2, 3$

K=1		K=L		K=3	
i	j	i	j	i	j
1	2	1	3		
2	3	2	4		
3	4				

	1	2	3	4
1	0	24	-	-
2		0	16	-
3			0	-
4				0

	1	2	3	4
1	0	1	-	-
2		0	2	-
3			0	-
4				0

(C) (K)

→ first fill diagonals of C & K as 0  $\Rightarrow$  as  
 $C(1,1)=0, C(2,2)=0$   
 $C(3,3)=0, C(4,4)=0$

$$C(1,2) = \min_{1 \leq k \leq 2} \left\{ C(1,1) + C(2,2) + (d_0 \times d_1 \times d_2) \right\}$$

$$\therefore C(1,2) \geq 24$$

$$K(1,2) \geq 1$$

$$= 24$$

got this value for K value 1

$$\rightarrow C(2,3) = \min_{2 \leq k \leq 3} \left\{ \begin{array}{l} C(2,2) + C(3,3) + (d_1 \times d_2 \times d_3) \\ 0 + 0 + (2 \times 4 \times 2) \end{array} \right\}$$

$$C(2,3) = 16 ; K(2,3) = 2$$

$$\rightarrow C(3,4) = \min_{3 \leq k \leq 4} \left\{ \begin{array}{l} C(3,3) + C(4,4) + (d_2 \times d_3 \times d_4) \\ 0 + 0 + 4 \times 2 \times 5 = 40 \end{array} \right\}$$

$$C(3,4) = 40 ; K(3,4) = 3$$

KOL

$$C(1,3) = \min_{1 \leq k \leq 3} \left\{ \begin{array}{l} C(1,1) + C(1,2) + (d_0 \times d_1 \times d_3), \\ C(1,2) + C(3,3) + (d_0 \times d_2 \times d_3) \end{array} \right\}$$

$$\Rightarrow \min_{k=1} \left\{ \begin{array}{l} 0 + 16 + (3 \times 2 \times 2) \\ 24 + 0 + (3 \times 4 \times 2) \end{array} \right\}$$

$$\Rightarrow \min \{ 28, 48 \}$$

wy

$$C(1,3) \Rightarrow K(1,3) = 1$$

$$C(2,4) = \min_{2 \leq k \leq 4} \left\{ \begin{array}{l} C(2,2) + C(3,4) + (d_1 \times d_2 \times d_4), \\ C(2,3) + C(4,4) + (d_1 \times d_3 \times d_4) \end{array} \right\}$$

$$\Rightarrow \left\{ \begin{array}{l} 0 + 40 + (2 \times 4 \times 5), \\ 16 + 0 + (2 \times 2 \times 5) \end{array} \right\}$$

$$\Rightarrow \left\{ \begin{array}{l} 80, 36 \end{array} \right\} \xrightarrow{\min} C(2,4) = 36, K(2,4) = 3$$

K=3 stay

$$C(1,4) = \min_{1 \leq k \leq 4} \left\{ \begin{array}{l} C(1,1) + C(1,4) + d_0 \times d_1 \times d_4 \\ C(1,2) + C(3,4) + d_0 \times d_2 \times d_4 \\ C(1,3) + C(3,4) + d_0 \times d_3 \times d_4 \end{array} \right\} \Rightarrow \min(80, 124, 58)$$

$$C(1,4) = 58 \quad K(1,4) = 3$$

	1	2	3	4
1	0	24	28	58
2		0	16	36
3			0	40
4				0

(C)

	1	2	3	4
1	0	24	1	3
2		0	16	3
3			0	40
4				0

K

$$C[1,4] = 58$$

↑

This is the min no. of multiplications we need to perform for 4 matrices (In our example)

		$i \rightarrow$	$j \rightarrow$		
		1	2	3	4
$i \downarrow$	1	0	24	28	58
	2		0	16	36
3				0	40
4					0

→ How Parenthesization should be done

↳ Which should be multiply first ~~and~~ then next out of 5 possibilities what to choose

↳ Two Information we get using the generated k-table

↳ So we have Four matrices

$$A_1 A_2 A_3 A_4 \Rightarrow \text{Our final answer for cost is}$$

$$1) (A_1 A_2 A_3) A_4$$

$\underbrace{\qquad\qquad\qquad}_{\Downarrow} \quad \Downarrow \quad \Downarrow$

$k[1,4] \Rightarrow \text{i.e. } k[1,4] = 3$

$$C[1,4] = 58$$

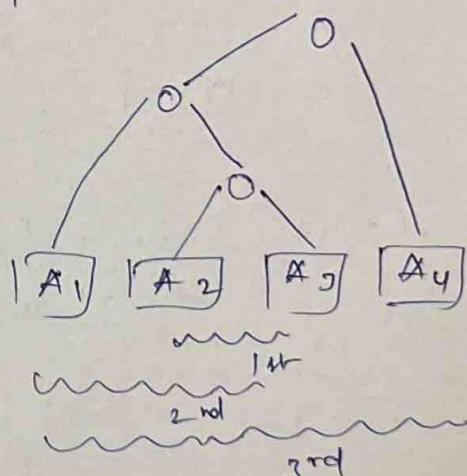
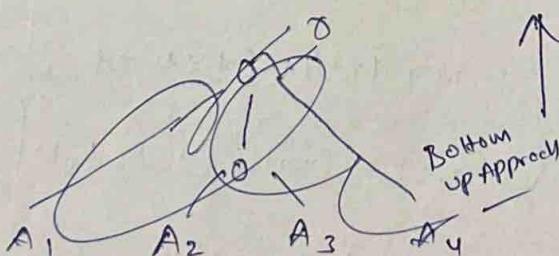
↓, Only take the vertex in k-table

$$2) (A_1) A_2 A_3 \text{ i.e. } C[1,3] = 28$$

$$k[1,3] = 1$$

↳ Here do parenthesis

$$((A_1) \times (A_2 A_3)) A_4$$



→ Time Complexity

↑  
no. of values in c-table

$$\hookrightarrow \text{c-table} \Rightarrow 1 + 2 + 3 + 4 = 4(5)/2$$

$$= n(n+1)/2 = n^2$$

→ c-table is computed diff

→ k-values  $\Rightarrow$  so consider as  $n$

$$(n^n) n = \underline{\underline{n^3}}$$

$\boxed{O(n^3)}$

## Matrix Multiplication Problem (Program)

$A_1 \ A_2 \ A_3 \ A_4$   
 $5 \times 4 \quad 4 \times 6 \quad 6 \times 1 \quad 2 \times 7$   
 $d_0 \quad d_1 \quad d_2 \quad d_3 \quad d_4$

$$P = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 5 & 4 & 6 & 1 & 2 & 7 \end{bmatrix}$$

	0	1	2	3	4
0	0	0	0	0	0
1	0	0	120	88	158
2	0		0	48	104
3	0			0	84
4	0				0

(A) - Tabu

	0	1	2	3	4
0	0	0	0	0	0
1	0	0	1	1	5
2	0		0	2	3
3	0			0	3
4	0				0

(S) - Tabu

Here we have FOUR matrices

but we choose  $n = 5 / A_3$

Array index starts with 0, 4

We assign other columns & other rows

as Tabu  $\rightarrow$  so d starts from 2 to 4

<u><math>d=1</math></u>		<u><math>d=2</math></u>		<u><math>d=3</math></u>	
<u><math>i</math></u>	<u><math>j</math></u>	<u><math>i</math></u>	<u><math>j</math></u>	<u><math>i</math></u>	<u><math>j</math></u>
1	2	1	3	1	3
2	3	2	4	2	4
3	4				

If we observe  $j = \underline{d+1} i+d$

void main() {

int n = 5

d  $\Rightarrow$  int p[7] = {5, 4, 6, 1, 2, 7}

C  $\Rightarrow$  int m[5][5] = {0} }  $\Rightarrow$  <sup>All places</sup> <sub>as zero</sub> <sub>out put value</sub>

table K  $\Rightarrow$  int k[5][5] = {0};

int i, min, q.

for (int d = 1; d < n-1; d++) {

for (int i = 1; i < n-d; i++) {

j = i + d;

min = 32767

for (int k = i; k <= j-1; k++) {

$q = m[i][k] + m[k+1][j] + (p[i-1] * p[k] * p[j])$

if ( $q < \text{min}$ ) {

min = q;

s[i][j] = k;

y

m[i][j] = min;

)

y

Ex:  $d=2; i=2; j=4$

then  $k \geq i \leq k \leq j-2 \quad 2 \leq k \leq 4$   
 $i = e 2, 3$

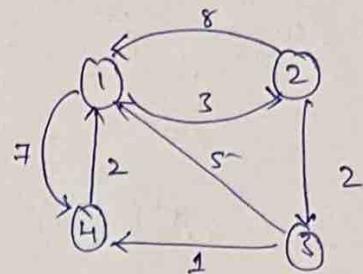
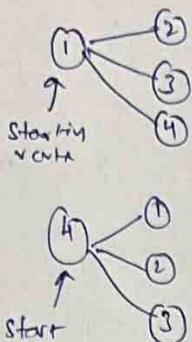
for  $d=2$   
 $i = 2$  time loop

$j = 3, 4$

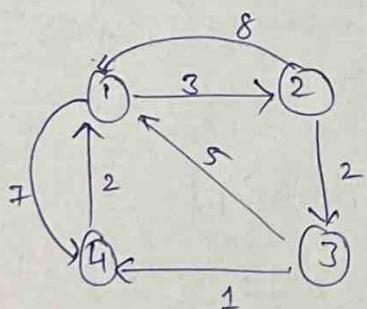
## All Pairs Shortest Path (Floyd-Warshall)

→ Find shortest path b/w every pair of vertices.

→ let say the starting vertex is 1 then  
I need to find the path from 1 to others



- The above looks similar to single source shortest path that is Dijkstra algorithm. but Dijkstra algorithm finds shortest path from one of the vertex. As we Dijkstra takes  $O(n^2)$ . So if I run Dijkstra algorithm for all vertices one by one. Then we get the result is  $n \times n^2 = O(n^3)$ . Now let's see how
- Can't it solved using dynamic programming → i.e. take decision at every step. → This can be done by just preparing matrices



Adjacency Matrix

$$\Rightarrow A^0 =$$

Original Graph  
(G)  
Original matrix

	1	2	3	4
1	0	3	$\infty$	7
2	8	0	2	$\infty$
3	5	$\infty$	0	1
4	2	$\infty$	$\infty$	0

→ no-direct edge take it infinity

→ And self loop if it is not there we will mark as "0"

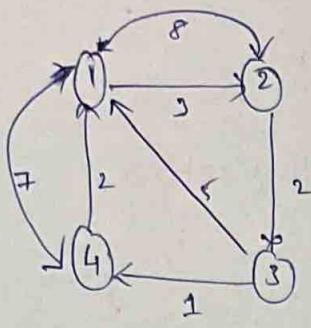
Ex:- (1,1) (2,2) (3,3) (4,4)

→ When I say vertex "1" then all the paths that belongs to vertex 1 will remain unchanged, so I should not calculate them, directly I can take them.

→ no-self loop → so fill diagonal with "0"

→ Now prepare matrix for the starting vertex (1) i.e.  $A^1$

	1	2	3	4
1	0	3	$\infty$	7
2	8	0		
3	5		0	
4	2		0	

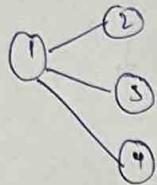


$$A^0 =$$

	1	2	3	4
1	0	3	$\infty$	7
2	8	0	2	$\infty$
3	5	$\infty$	0	1
4	2	$\infty$	$\infty$	0

$$A^1$$

	1	2	3	4
1	0	3	$\infty$	$\infty$
2	8	0	2	15
3	5	8	0	1
4	2	<del>5</del>	$\infty$	0



$$A^1[2,3] =$$

↳ In B/w & need

To include

vertex 1 as we  
are finding way from  
vertex 1

$$A^0[2,3] + A^0[2,1] + A^0[1,3]$$

$$2 < 8 + \infty = \infty$$

$$\min = 2$$

$$\therefore A^1[2,3] = 2$$

$$\Rightarrow A^1[2,4] = \min\{A^0[2,4], A^0[2,1] + A[1,4]\}$$

$$\min(\infty, 8 + 7) = 15$$

~~$A^1[3,2]$~~

↳ ~~1~~ means that there is no direct path from (2 to 4)  $\Rightarrow$  as it is  $\infty$ ; it has the path only ~~2 → 1 → 4~~  $2 \rightarrow 1 \rightarrow 4$

$$\Rightarrow A^1[3,2] = A^0[3,2], A[3,1] + A[1,2] \Rightarrow$$

In b/w 3, 2 & need  
1 As we are finding  
way for vertex 1

$$\min(\infty; 5 + 3) = 8$$

Similarly for others.

$$A^1[3,3] = 0 \quad (\text{As no self loop})$$

~~$A^1[3,4]$~~

$$A^1[3,4] = A^0[3,4], A^0[3,1] + A^0[1,4]$$

$$\Rightarrow \min(1, 5 + 7)$$

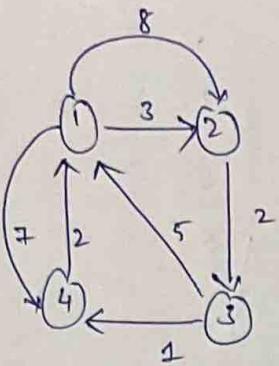
$$\Rightarrow \min(1, 12) \leq 1$$

$$A^1[4,2] = \min(A^0[4,2], A^0[4,1] + A[1,2])$$

$$\infty, 2 + 3 = 5$$

$$A^1[4,3] = (A^0[4,3], A^0[4,1] + A[1,3]) =$$

$$\infty, \infty + \infty = \infty, \infty = \infty$$



$$A^0 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & \infty & 7 \\ 2 & 8 & 0 & 2 & \infty \\ 3 & 5 & \infty & 0 & 1 \\ 4 & 2 & \infty & \infty & 0 \end{array}$$

$$A^1 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & \infty & 7 \\ 2 & 8 & 0 & 2 & 15 \\ 3 & 5 & 8 & 0 & 1 \\ 4 & 2 & 5 & \infty & 0 \end{array}$$

$\Rightarrow$  Now similarly find ways from Vertex 2 i.e.  $A_2^{12}$

$\hookrightarrow$  when we are finding paths to other vertices from vertex "2"  
then all the path that belong to vertex "2" will be unchanged

$$\begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & 0 & 7 \\ 2 & 8 & 0 & 2 & 15 \\ 3 & 5 & 8 & 0 & 1 \\ 4 & 2 & 5 & 7 & 0 \end{array}$$

↑  
values populated  
from  $A^1$

~~All others~~  
 $\Rightarrow$  NO self loop so diagonal are filled with "0"

remaining same

$$\rightarrow A_2(1,1) \Rightarrow A_1(1,1), A_1(1,2) + A_1(2,1)$$

$\Downarrow$   
in b/w 1 & 3 include  
vertex 2

$$\min\{A_1(1,1), \min\{A_1(1,2) + A_1(2,1)\}\}$$

$$\min\{\infty, 3+15\} \Rightarrow 15$$

$$\rightarrow A_2(1,4) \Rightarrow \min\{A_1(1,4), A_1(1,2) + A_1(2,4)\}$$

$$\min\{\infty, 3+15\} \Rightarrow 15$$

$\Rightarrow$  Now similarly find out for vertex "3"

$$A_3 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & 5 & 6 \\ 2 & 7 & 0 & 2 & 3 \\ 3 & 5 & 8 & 0 & 1 \\ 4 & 2 & 5 & 7 & 0 \end{array}$$

slly  $A_4 =$   
removing count from previous value

$$A_4 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & 5 & 6 \\ 2 & 5 & 0 & 2 & 3 \\ 3 & 3 & 6 & 0 & 1 \\ 4 & 2 & 5 & 7 & 0 \end{array}$$

Observation

$$A^k(i,j) = \min\{A^{k-1}(i,j), A^{k-1}(i,k) + A^{k-1}(k,j)\}$$

## Program (Floyd-Warshall)

↳ Every time I was generating Matrix

so How many matrices  $\Rightarrow 4 = n$

$$(A^1, A^2, A^3, A^4)$$

→ i.e. ↳ I need to generate for each and every term. i.e.  $n \times n$

↳ so Two loops

↳ for  $n - \text{Matrix} \Rightarrow n \times n \Rightarrow \text{no. of elements}$

③ →  $\text{for}(k=1; k < n; i++) \{$

① →  $\text{for}(i=1; i < n; i++) \{$

seq for undirected  
program

Not using diff Array  
like  $A_1, A_2, A_3, A_4$

→ I took single instance  
of 2D array and updating  
the same at every  
iteration

3-loop  $\Rightarrow O(n^3)$

$k=1 \Rightarrow A^1$

$k=2 \Rightarrow A^2$

$k=3 \Rightarrow A^3$

$k=4 \Rightarrow A^4$

$$A^0 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 0 & 3 & \infty & 7 \\ 8 & 0 & 2 & \infty \\ 5 & \infty & 0 & 1 \\ 2 & \infty & \infty & 0 \end{bmatrix}$$

Rows ↑  
↓ Columns

$$A(i,j) = \min \{ A(i,j), (A(i,k) + A(k,j)) \}$$

Intermediate  
vertex

```
for(k=1; k<=n; k++) {
```

```
    for(i=1; i<=n; i++) {
```

```
        for(j=1; j<=n; j++) {
```

$$A(i,j) = \min(A(i,j),$$

$$A(i,k) + A(k,j))$$

# Single Source Shortest Path (Dynamic programming)

## Bellman - Ford Algorithm

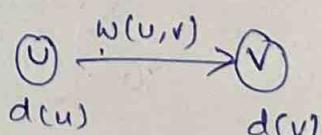
- \* The problem is in a directed weight graph we have to find out, the shortest path to all other vertices by choosing one vertex as source.
- \* For doing this we already have dijkstra's algorithm, but dijkstra's algorithm will not work for negative edges. (it may or may not give correct result)
- \* So the Bellman Ford Algorithm will give us the correct result, and this algorithm follows dynamic programming.
  - ↳ As in dynamic programming we try all possible solutions and pick up the best one.
- \* But how do we try out the possible solutions?
  - ↳ This algorithm says that go and relax all the edges for  $(n-1)$  times where  $n$  is no. of nodes/vertices i.e. here  $n = 7$  i.e. max possible edges =  $6(7-1)$

### Edge Relaxation

- ↳ The edge relaxation is the operation to calculate the steaming cost to the vertex lower. More concretely,

↳

For the edge from the vertex ' $u$ ' to the vertex ' $v$ ', if  $d(u) + w(u,v) < d(v)$  is satisfied, update  $d(v)$  to  $d(u) + w(u,v)$



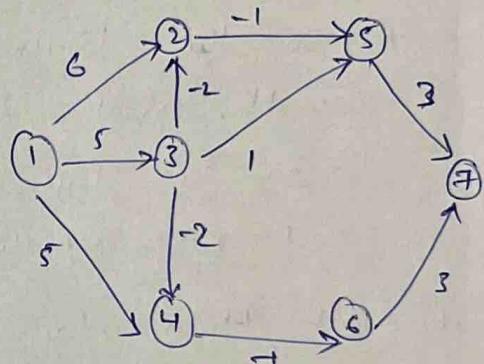
- ↳ The vertices  $u, v$  stand the neighbours in the graph and  $d(u), d(v)$  stand the steaming cost to the vertices  $u, v$ . Also  $w(u,v)$  stands the weight of the edge from vertex ' $u$ ' to vertex ' $v$ '

## How Bellman-Ford Algorithm works?

- 1) Start with the weighted graph
- 2) Choose a starting vertex and mark the distance for that vertex '0' and assign ~~infinity~~ infinity path values to all other vertices.
- 3) Visit each edge and relax the path distances if they are inaccurate
- 4) We need to do this for  $(n-1)$  times, but better to do this for ' $n$ ' times in worst case (negative cycle graph) a vertex path length might need to be readjusted  $n$  times

6 possible edges from source (1)

(1,2) (1,3) (1,4) (2,5) (3,2) (3,5)  
 (4,3) (4,6) (5,1) (6,7)



	A	B	C	D	E	F	G
1	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
2	0	6 (6 $\infty$ )	5	5	$\infty$	$\infty$	$\infty$
3	0	3	3	5	5	4	$\infty$
4	0	1	3	5	0	4	3
5	0	1	3	5	0	4	3
6	0	1	3	5	0	4	3
7	0	1	3	5	0	4	3

No w shortest path.

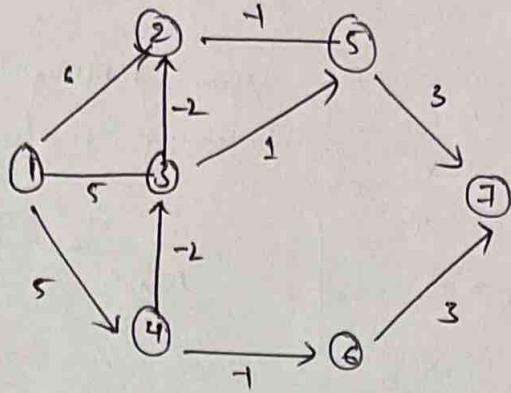
1 to 2

A to G = 3

$$1 \rightarrow 4 \rightarrow 3 \rightarrow 2 \rightarrow 5 \rightarrow 7$$

A D C B E G

$$5 + (-2) + (-2) + (-1) + 3 = 3$$



Edge list :- Any order

↳  $(1, 2), (1, 3), (1, 4), (2, 5), (3, 4), (3, 5), (4, 6), (5, 6), (5, 7)$

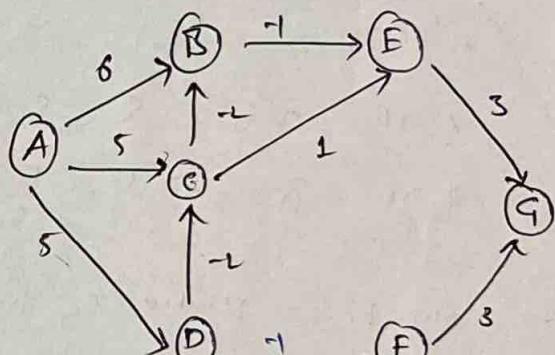
Int Iteration

Initial  
0 0 0 0 0 0 0

Edge list

$(A, B), (A, C), (A, D), (B, E), (C, D), (C, E)$

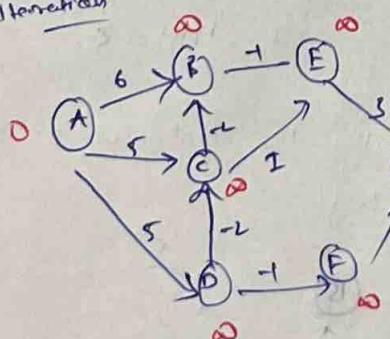
$(D, E), (D, F), (E, G), (F, G)$



→ In order to find the shortest path, first we will initialize the source vertex (A) as "0" and other vertices with  $\infty$ .

→ After that, we will traverse towards each vertex from the source node. Update the value of the node during the traversal.

Int Iteration



⇒ from vertex "A" we can move to vertex B & C & D

1) moving  $A \rightarrow B$

A	B	C	D	E	F	G
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	6	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	$\infty$	$\infty$	$\infty$	$\infty$

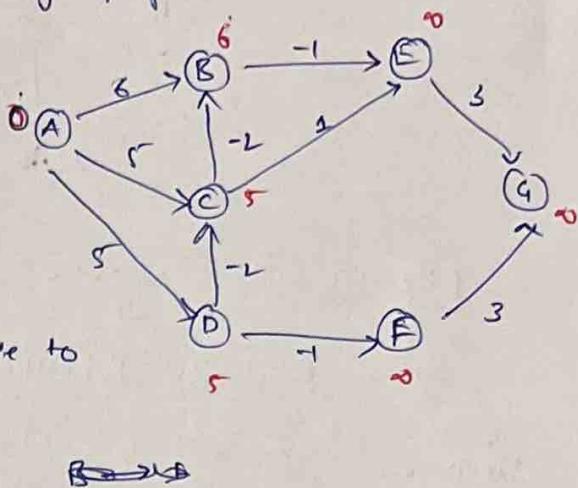
2) moving  $A \rightarrow C$

A	B	C	D	E	F	G
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	5	$\infty$	$\infty$	$\infty$

$A \rightarrow C$  (moving A to C)

	A	B	C	D	E	F	G
(0+0)	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$6 < \infty$	0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$(6+5) < \infty$	0	6	0	$\infty$	$\infty$	$\infty$	$\infty$
$5 < \infty$	0	6	5	$\infty$	$\infty$	$\infty$	$\infty$
	0	6	5	5	$\infty$	$\infty$	$\infty$

After updating the distances, we get the following graph.

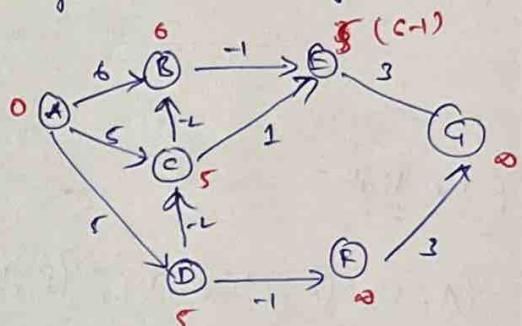


→ Now From Vertex B, we can move to vertex E

moving  $B \xrightarrow{6-1} E \nless (6-1) = 5$

	A	B	C	D	E	F	G
(Initial)	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$A \rightarrow B$	0	6	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
$A \rightarrow C$	0	6	5	$\infty$	$\infty$	$\infty$	$\infty$
$A \rightarrow D$	0	6	5	5	$\infty$	$\infty$	$\infty$
$B \rightarrow E$	0	6	5	5	$\infty$	$\infty$	$\infty$

After updating the distances we got the following graph



→ Now from Vertex C, we can move to E, B

Moving C to E  $\Rightarrow C \xrightarrow[5]{-1} E \Rightarrow (5+1) < 5$

$6 < 5 \Rightarrow$  False so don't change

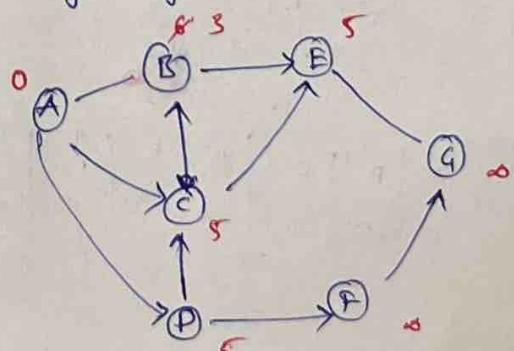
~~Moving C to B~~  $\Rightarrow C \xrightarrow[5]{-2} B \nless 3 \Rightarrow (5+(-2)) < 6$

$3 < 6 \Rightarrow T$

$\Rightarrow d(B) = 3$

	A	B	C	D	E	F	G
(Initial)	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
(A, B)	0	6	0	$\infty$	$\infty$	$\infty$	$\infty$
(A, C)	0	6	5	0	$\infty$	$\infty$	$\infty$
(A, D)	0	6	5	5	0	$\infty$	$\infty$
(B, E)	0	6	5	5	5	0	$\infty$
(C, E)	0	6	5	5	5	0	$\infty$
(C, B)	0	6	5	5	5	3	0

After updating the distances, we got the following graph



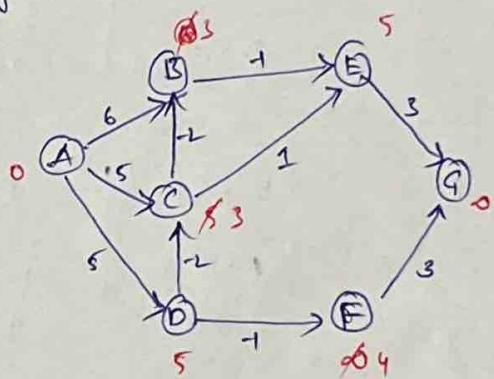
→ Now from vertex D we can move to E, F

↳ moving  $\textcircled{D} \xrightarrow{-2} \textcircled{C}$   
 $s = 5 \quad s' = 3 \Rightarrow (5 + (-2) < 5)$   
 $(3 < 5) \vee$  so update the min value.  
 $\hookrightarrow 3$

↳ moving  $\textcircled{D} \xrightarrow{-1} \textcircled{F}$   
 $s = 5 \quad s' = 4 \Rightarrow (5 + (-1) < \infty)$   
 $= 4 < \infty \Rightarrow \text{True}$   
 $\Rightarrow d(F) = 4$

A	B	C	D	E	F	G
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	6	0	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	5	$\infty$	$\infty$	$\infty$
0	6	5	5	5	$\infty$	$\infty$
0	6	5	5	5	0	$\infty$
$(D, E)$	0	3	5	5	5	4

After updating the distance graph looks like below



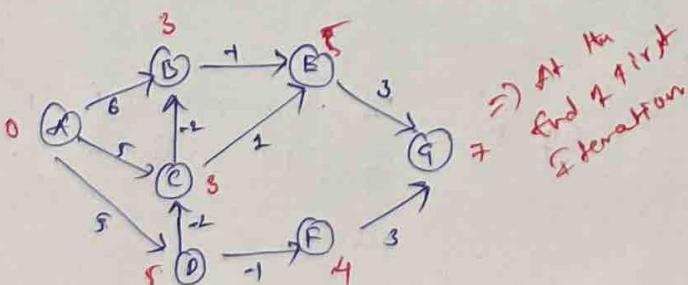
→ Now from vertex "E" we can move to G

$\textcircled{E} \xrightarrow{-3} \textcircled{G}$   
 $s = 5 \quad s' = (5 + 3) = 8$

A	B	C	D	E	F	G	...
0	3	3	5	4	4	$\infty$	$\leftarrow (D, E)$
0	3	3	5	4	4	8	$\leftarrow (E, G)$

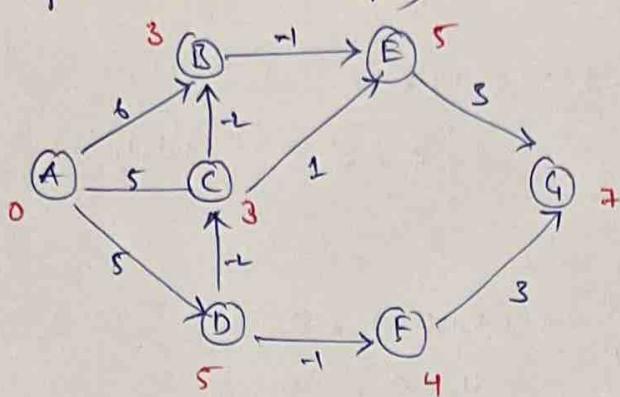
→ Now from vertex "F" we can move to G

$\textcircled{F} \xrightarrow{-1} \textcircled{G}$   
 $s = 4 \quad s' = ((4 + 1) < 8)$   
 $5 \Rightarrow 5 < 8 = \text{True}$



A	B	C	D	E	F	G
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	6	0	$\infty$	$\infty$	$\infty$	$\infty$
0	6	5	0	$\infty$	$\infty$	$\infty$
0	6	5	5	0	$\infty$	$\infty$
0	6	5	5	5	0	$\infty$
0	6	5	5	5	4	$\infty$
0	3	3	5	5	4	0
0	3	3	5	5	4	8

→ At the End of 1st iteration (i.e. After traversing all the edges in the graph)



A	B	C	D	E	F	G
0	3	3	5	5	4	7

distances  
to the vertex  
Cost to vertex

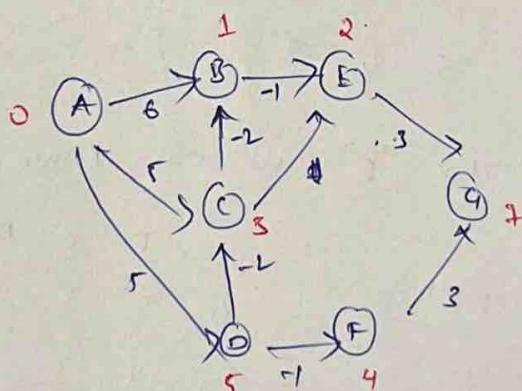
→ Follow the same procedure for the next iteration.

2nd iteration  
i.e.

(A, B)	(A, C)	(A, D)	(B, E)	(C, B)	(C, E)	(D, C)	(D, F)
3	3	5	$(3-1) = 2$	$(3-2) = 1$	$(3+1) = 4$	$(5-2) = 3$	$(5-1) = 4$
$(0+6) < 3$	$(6+5) < 3$		$2 < 5$	$1 < 3$	$4 < 5$	$3 = 3$	$4 = 4$

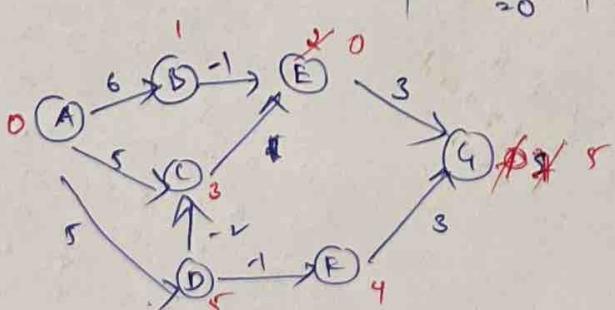
(E, G)	(F, G)
$(5+3) = 8$ $8 < 7 \times 2 = 2$	$(4+3) = 7$ $7 = 7$

A	B	C	D	E	F	G
0	3	3	5	8	4	7

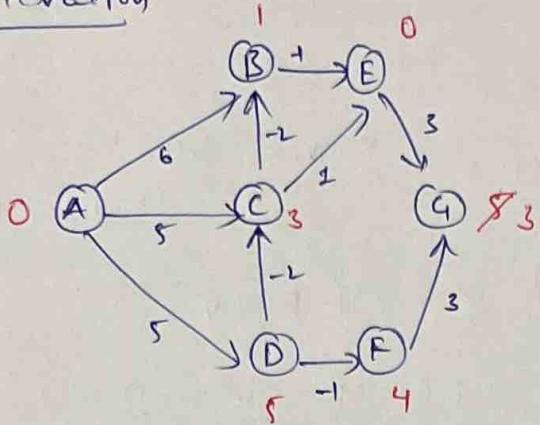


S/ly 3rd iteration

(A, B)	(A, C)	(A, D)	(B, E)	(C, B)	(C, E)	(D, C)	(D, F)	(E, G)	(F, G)
1	3	5	0 $(2-1) < 2$ $0 < 2 = 0$	1	2	3	4	$(2+3) = 5$ $5 < 7$ $\downarrow$ so update G to 5	



A	B	C	D	E	F	G
0	1	3	5	0	4	5

4<sup>th</sup> iteration

$$\begin{array}{ll}
 (A, B) \rightarrow 1 & (E, G) \Rightarrow 0+3 \\
 (A, C) \rightarrow 3 & 3 < 5 \\
 (A, D) \rightarrow 5 & 50 \rightarrow 4 = 3 \\
 (B, E) \rightarrow 0 & (F, G) \rightarrow 3 \\
 (C, B) \rightarrow 1 &
 \end{array}$$

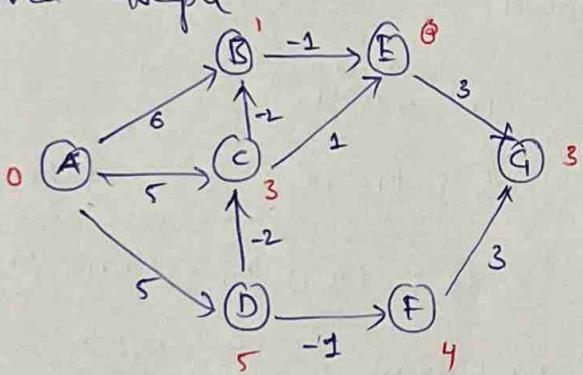
A	B	C	D	E	F	G
0	1	3	5	0	4	3

$$\begin{array}{l}
 (C, E) \rightarrow 0 \\
 (D, F) \rightarrow 4
 \end{array}$$

Relaxation is  
not performed  
at vertex C because the loop  
at vertex C has been  
broken. This means the other  
relaxations won't  
be performed.

5<sup>th</sup> iteration  $\rightarrow$  no change ; 6<sup>th</sup> iteration no change

L) Final Graph



vertex distances

$$A - 0$$

$$B - 1$$

$$C - 3$$

$$D - 5$$

$$E - 0$$

$$F - 4$$

$$G - 3$$

Now if we want to go from

vertex A  $\xrightarrow{?}$  vertex G

$$A \rightarrow B \rightarrow E \rightarrow G \Rightarrow 0 + 1 + 0 + 3 = 4$$

$$A \rightarrow D \rightarrow C \rightarrow E \rightarrow G \Rightarrow 0 + 5 + 3 + 0 + 3 = 11$$

$$A \rightarrow C \rightarrow E \rightarrow G \Rightarrow 0 + 3 + 0 + 3 = 6$$

$$A \rightarrow C \rightarrow B \rightarrow E \rightarrow G \Rightarrow 0 + 3 + 1 + 0 + 3 = 7$$

$$A \rightarrow D \rightarrow F \rightarrow G \Rightarrow 0 + 5 + 4 + 3 = 12$$

$$A \rightarrow D \rightarrow C \rightarrow B \rightarrow E \rightarrow G \Rightarrow 0 + 5 + 3 + 1 + 0 + 3 = 12$$

shortest path  
 $A \rightarrow E = 0$

$$\text{We get } A \xrightarrow{?} G \Rightarrow 3$$

$$\text{inc } A \rightarrow D \rightarrow C \rightarrow B \rightarrow E \rightarrow G$$

$$5 + (-2) + (-2) + (-1) + 3 = 3$$

$$\begin{aligned}
 & A \rightarrow D \rightarrow C \rightarrow B \rightarrow E \rightarrow G \\
 & 5 + (-2) + (-2) + (-1) + 3 = 0
 \end{aligned}$$

## Time complexity

↳ what it is doing  $\rightarrow$  Relaxing all the edges  
 ↳ i.e. E

$$O(E(V-1)) \approx O(ExV)$$

$$\text{if } E = V = n \Rightarrow O(n^2)$$

↳ min

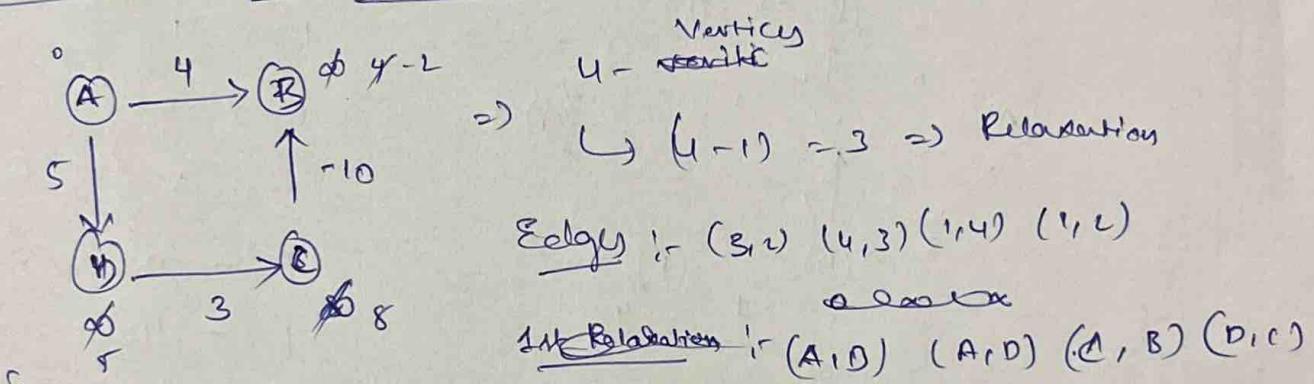
$\rightarrow$  For complete graph.

$$\hookrightarrow \text{no. of edges} \Rightarrow n(n-1)/2$$

$$n\left(\frac{n(n-1)}{2}\right) \Rightarrow O(n^3)$$

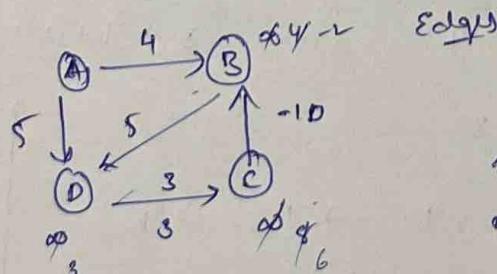
↳ max

## Drawback of Bellman Ford



	A	B	C	D	vertices	, distance
1st	0	$\infty$	$\infty$	$\infty$	1	0
	0	4, 8	5		*	-2
end relaxation	0	-2	8	5	3	8
	0	(8-10)	-2	5	4	5
3rd	0	-2	8	5		

$\rightarrow$  Now B will add one more edge (B to D)



A	B	C	D
0	4	8	5
0	-2	8	3
0	-2	6	3
0	-4	4	1

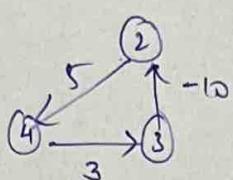
Ideally it should stop here

→ So, ideally when we perform  $(n-1)$  Relaxation we should get a best possible result.

↳ But in 4<sup>th</sup> iteration if we observe it again relaxing. → i.e wrong → why is this happening

↳ If we observe the graph, it's forming a negative cycle graph

i.e



$$\Rightarrow 5 + 3 - 10 = -2$$

↑  
weight of cycle is negative

↳ In this case relaxation would be infinite.

↳ So Bellman-Ford Algorithm won't work ~~if~~ if in case there is a negative cycle in graph.

→ Uses of Bellman Ford

- \* Identifying negative weight cycle → perform  $(n-1)$  Relaxation & perform one more time, if relaxed the negative cycle
- \* Identifying the most efficient currency conversion method

→ Applications

- \* Examining a graph for the presence of (EV) weight cycle
- \* Using (EV) edge weights, find the shortest path in a graph
- \* Routing is a concept used in data N/w

## Bellman Ford Algorithm

// Represents connected, directed & weighted graph

Class Graph {

// A class represents the weighted edge in graph

Class Edge {

int src, dest, weight;

Edge () {

src = dest = weight = 0

}

}

int V, E

Edge edges[];

// Create a graph with V vertices & Edges

Graph (int V, int E) {

V = v; E = e

edge = new Edge [e]

for (int i=0; i<e; i++) {

edge[i] = new Edge();

}

// Main fn. that finds the shortest distances from "src" to all other vertices using Bellman-Ford Algorithm. This fn also detects every cycle-weighted cycle

void BellmanFord (Graph graph, int src) {

int V = graph.V;

int E = graph.E;

int dist[] = new int[V];

// step 1: Initialize distances from src to all other vertices as infinity

for (int i=0; i<V; i++) {

dist[i] = Integer.MAX\_VALUE;

dist[src] = 0;

// step 2: Relax all edges |V|-1 times. A single shortest path from src to any other vertex can have at most V-1 edges.

// Step 2 → Relaxing Edges

```

for( int i=1 ; i<V ; i++ ) {
    for( int j=0 ; j<E ; j++ ) {
        int u = graph.Edge[j].src ;
        int v = graph.Edge[j].dest ;
        int weight = graph.Edge[j].weight ;
        if( dist[u] != Integer.max_value && (dist[u] + weight
            < dist[v]) ) {
            dist[v] = dist[u] + weight
        }
    }
}

```

// Step 3: Check for (ev) weight cycles. The above step guarantees shortest distances if graph doesn't contain (ev) weight cycle. If we get a shorter path in this step means there there is a (ev) cycle.

```

for( int j=0 ; j<E ; j++ ) {
    int u = graph.Edge[j].src ;
    int v = graph.Edge[j].dest ;
    int weight = graph.Edge[j].weight ;
    if( dist[u] != Integer.max_value && dist[u] + weight <
        dist[v] ) {
        System.out.println("Graph contains negative cycle");
    }
}

```

y  
y

```
public static void main (String [] args)
```

```
int V = 5; // no. of vertices in graph
```

```
int E = 8; // no. of edges in graph
```

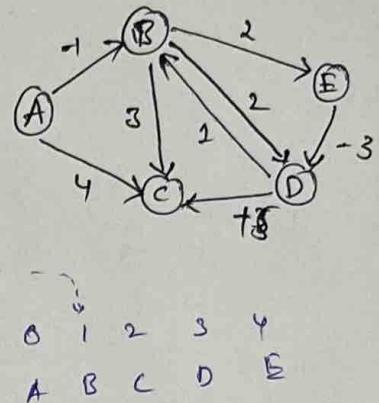
```
Graph graph = new Graph (V, E);
```

```
// Add Edge 0-2 (i.e A-B)
```

```
graph.edge[0].src = 0
```

```
graph.edge[0].dest = 1
```

```
graph.edge[0].weight = -1
```



```
// Add edge (A → C) (d), 0-2
```

```
graph.edge[1].src = 0
```

```
graph.edge[1].dest = 2
```

```
graph.edge[1].weight = 4
```

```
// Add edge B-C (d), 1-2
```

```
graph.edge[2].src = 1
```

```
graph.edge[2].dest = 2
```

```
graph.edge[2].weight = 3
```

try for other edges