

« Forward Relational Infinitary Static Analysis »

Patrick Cousot

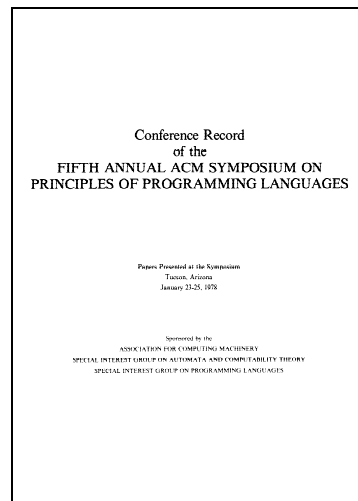
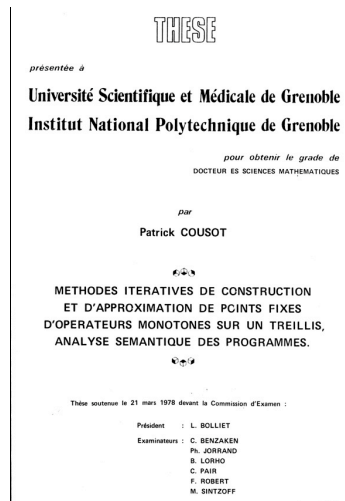
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Course 16.399: “Abstract interpretation”

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Back to closure operators



Motivations

- We want to **combine abstract analyzes** that are defined independently of one another
- Each analysis is defined on the collecting semantics by a **closure operator**
- Whence the combination of analyzes involves the **combination of closure operators**
- The **reduced product** corresponds to the lub of closure operators

- It is the **most abstract/less precise analysis which is more precise than the component analyzes** (since it is the smallest Moore family containing all abstract properties of the various components)
- The study of the lub of closure operators yields **effective methods to approximate this ideal**

- If $f \in L \xrightarrow{\text{me}} L$ is monotone and extensive then $f \sqsubseteq f \circ f$ so f is a prefixpoint of $\lambda g \cdot g \circ g$ considered as a function of $(L \xrightarrow{\text{me}} L) \xrightarrow{\text{m}} (L \xrightarrow{\text{me}} L)$
- It follows by Knaster-Tarski on cpos that $\text{lfp}_f \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$ does exists.
- If we consider the transfinite iterates $\langle g^\delta, \delta \in \mathbb{O} \rangle$ of $\lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$ from f , they are all monotone and extensive since $g^0 = f \in L \xrightarrow{\text{me}} L$, if $g^\delta \in L \xrightarrow{\text{me}} L$ then $g^{\delta+1} = g^\delta \circ g^\delta \in L \xrightarrow{\text{me}} L$ as shown above and if $\forall \beta < \lambda : g^\beta \in L \xrightarrow{\text{me}} L$ implies $g^\lambda = \bigsqcup_{\beta < \lambda} g^\beta$ from limit ordinal so in particular $\text{lfp}_f \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g = g^\epsilon$ where ϵ is the rank of the iterates is certainly monotone and extensive
- Moreover, by the fixpoint property, $g^\epsilon = g^\epsilon \circ g^\epsilon$ proving $\text{lfp}_f \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$ idempotent whence a closure operator. Since the iterates are increasing it is also greater than or equal to f
- If ρ is another closure operator on L greater than or equal to f we have $f \sqsubseteq \rho$ and $\rho = \rho \circ \rho$ so by Knaster-Tarski $\text{lfp}_f \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g = \bigsqcap \{g \in L \xrightarrow{\text{me}} L \mid f \sqsubseteq g \wedge g = g \circ g\} \sqsubseteq \rho$ by def. glbs

The lub of closure operators (I)

THEOREM. If $\langle L, \sqsubseteq, \perp, \sqcup \rangle$ is a cpo and $f \in L \xrightarrow{\text{me}} L$ is monotone and extensive then $\text{lfp}_f \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$ is the \sqsubseteq -least upper closure operator on L greater than or equal to f . ■

PROOF. – Because $\langle L, \sqsubseteq, \perp, \sqcup \rangle$ is a cpo, $(L \xrightarrow{\text{me}} L)$ is a cpo pointwise

- $\lambda g \cdot g \circ g$ is a function of $(L \xrightarrow{\text{me}} L)$ into $(L \xrightarrow{\text{me}} L)$ since the composition of monotonic and extensive functions is monotonic and extensive
- $\lambda g \cdot g \circ g \in (L \xrightarrow{\text{me}} L) \mapsto (L \xrightarrow{\text{me}} L)$ is monotonic. Indeed if $g_1 \sqsubseteq g_2$ then by def. of a pointwise ordering $g_1 \circ g_2 \sqsubseteq g_2 \circ g_2$ and by monotony of g_1 , $g_1 \circ g_1 \sqsubseteq g_1 \circ g_2$ so by transitivity $g_1 \circ g_1 \sqsubseteq g_2 \circ g_2$ proving that $\lambda g \cdot g \circ g \in (L \xrightarrow{\text{me}} L) \xrightarrow{\text{m}} (L \xrightarrow{\text{me}} L)$

COROLLARY. Let $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$ be a complete lattice. The lub of a set F of upper closure operators in the complete lattice of closure operators on L is

$$\text{lfp}_{\bigsqcup F} \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$$

PROOF. Let $\text{lub } F$ be this lub. We have $\bigsqcup F \sqsubseteq \text{lub } F$ and, because $\bigsqcup F$ is monotonic and extensive, $\text{lub } F$ is the least closure operator \sqsubseteq -greater than or equal to $\bigsqcup F$, whence, by the previous theorem, $\text{lfp}_{\bigsqcup F} \sqsubseteq \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$. □

The lub of closure operators (II)

THEOREM. If $\langle L, \sqsubseteq, \perp, \sqcup \rangle$ is a cpo and $f \in L \xrightarrow{\text{me}} L$ is monotone and extensive then $\text{lfp}_f \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g = \lambda x \cdot \text{lfp}_x \sqsubseteq f$ ■

PROOF. – Define $g = \text{lfp}_f \lambda g' \in L \xrightarrow{\text{me}} L \cdot g' \circ g'$. We just showed that g is the \sqsubseteq -least closure operator which is greater than or equal to f .

- Given any $x \in L$, x is a prefixpoint of $f \in L \xrightarrow{\text{me}} L$ by extensivity. Since $\langle L, \sqsubseteq, \perp, \sqcup \rangle$ is a cpo and f is monotone, $\text{lfp}_x \sqsubseteq f$ does exist, whence $\lambda x \cdot \text{lfp}_x \sqsubseteq f$ is well-defined.
- Define $h \stackrel{\text{def}}{=} \text{lfp}_x \sqsubseteq f$. $h(x)$ is the limit of the transfinite iterates of f starting from the prefixpoint x , so we have shown h to be an upper closure operator (in the constructive proof of Tarski theorem).

- Let ϵ and ϵ' be the rank of the respective iterates. Then $h(x) = \text{lfp}_x \sqsubseteq f = h^\epsilon = h^{\max(\epsilon, \epsilon')} = g^{\max(\epsilon, \epsilon')}(x) = g^{\epsilon'}(x) = (\text{lfp}_f \lambda g' \in L \xrightarrow{\text{me}} L \cdot g' \circ g')(x) = g(x)$ so that $h \sqsubseteq g$
- By antisymmetry, we conclude that $h = g$. □

COROLLARY. Let $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$ be a complete lattice. The lub of a set F of upper closure operators in the complete lattice of closure operators on L is $\lambda x \cdot \text{lfp}_x \sqsubseteq \bigsqcup F$. ■

PROOF. The lub has been shown (on page 7) to be $\text{lfp}_{\bigsqcup F} \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g = \lambda x \cdot \text{lfp}_x \sqsubseteq \bigsqcup F$. □

- Since the iterates are increasing $\forall x \in L : f(x) \sqsubseteq h(x)$ so $f \sqsubseteq h$. It follows that h is a closure operator on L which is greater than or equal to f , proving that $g \sqsubseteq h$.
- Let $\langle h^\delta, \delta \in \mathbb{O} \rangle$ be the iterates of $\text{lfp}_x \sqsubseteq f$ and $\langle g^\delta, \delta \in \mathbb{O} \rangle$ be the iterates of $\text{lfp}_f \lambda g \in L \xrightarrow{\text{me}} L \cdot g \circ g$. Let us prove, by transfinite induction, that $\forall \delta \in \mathbb{O} : h^\delta \sqsubseteq g^\delta(x)$.
 - $h^0 = x \sqsubseteq f(x) = g^0(x)$
 - If $h^\delta \sqsubseteq g^\delta(x)$ then $g^\delta(h^\delta) \sqsubseteq g^\delta(g^\delta(x))$ since g^δ is monotone. But $f \sqsubseteq g^\delta$ since the iterates are increasing so $f(h^\delta) \sqsubseteq g^\delta(h^\delta)$. By transitivity and def. of the iterates $h^{\delta+1} = f(h^\delta) \sqsubseteq g^\delta(g^\delta(x)) = g^{\delta+1}(x)$.
 - For a limit ordinal λ , if $\forall \beta < \lambda : h^\beta \sqsubseteq g^\beta(x)$ then $h^\lambda = \bigsqcup_{\beta < \lambda} h^\beta \sqsubseteq \bigsqcup_{\beta < \lambda} g^\beta(x) = (\bigsqcup_{\beta < \lambda} g^\beta)(x) = g^\lambda(x)$, by def. of the iterates, existence of the lubs in the cpo and and def. lubs.

Iterative reduced product

Union of abstract domains

THEOREM. If $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$ and $\langle M, \leq, 0, 1, \vee, \wedge \rangle$ are complete lattices and $\forall i \in \Delta : \langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha_i]{\gamma_i} \langle M, \leq \rangle$

$$\text{then } \langle L, \sqsubseteq \rangle \xleftrightarrow[\bigvee_{i \in \Delta} \alpha_i]{\bigcap_{i \in \Delta} \gamma_i} \langle M, \leq \rangle \quad \blacksquare$$

PROOF. For all $x \in L$ and $y \in M$, we have

$$\begin{aligned} & (\bigvee_{i \in \Delta} \alpha_i)(x) \leq y \\ \iff & (\bigvee_{i \in \Delta} \alpha_i(x)) \leq y && \{\text{pointwise def. } \bigvee\} \\ \iff & \forall i \in \Delta : \alpha_i(x) \leq y && \{\text{def. lub}\} \end{aligned}$$

Cartesian product of abstract domains

THEOREM. If $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$ is a complete lattice and $\langle M_i, \leq_i \rangle$ is a family of posets then $\forall i \in \Delta : \langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha_i]{\gamma_i} \langle M_i, \leq_i \rangle$

$$\langle M, \leq \rangle \text{ implies that } \langle L, \sqsubseteq \rangle \xleftrightarrow[\lambda x. \prod_{i \in \Delta} \alpha_i(x)]{\lambda \vec{a}. \prod_{i \in \Delta} \gamma_i(\vec{a}_i)} \langle \prod_{i \in \Delta} M_i, \leq \rangle$$

where \leq is the componentwise ordering for the $\leq_i, i \in \Delta$ ■

PROOF. For all $x \in L$ and $\vec{y} \in \prod_{i \in \Delta} M_i$, we have

$$\begin{aligned} & \prod_{i \in \Delta} \alpha_i(x) \leq \vec{y} \\ \iff & \forall i \in \Delta : \alpha_i(x) \leq_i \vec{y}_i && \{\text{pointwise def. } \leq\} \end{aligned}$$

$$\begin{aligned} \iff & \forall i \in \Delta : x \sqsubseteq \gamma_i(y) && \{\text{Galois connection}\} \\ \iff & x \sqsubseteq \prod_{i \in \Delta} \gamma_i(y) && \{\text{def. glb}\} \\ \iff & x \sqsubseteq (\prod_{i \in \Delta} \gamma_i)(y) && \{\text{pointwise def. } \prod\} \end{aligned}$$

□

- Will discover the information found by all component analyzes
- Usefull in theory, not much in practice

$$\begin{aligned} \iff & \forall i \in \Delta : x \sqsubseteq \gamma_i(\vec{y}_i) && \{\text{since } \langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha_i]{\gamma_i} \langle M_i, \leq_i \rangle\} \\ \iff & x \sqsubseteq \prod_{i \in \Delta} \gamma_i(\vec{y}_i) && \{\text{def. glb}\} \end{aligned}$$

□

- The cartesian product of abstractions discovers in one shot the information found separately by the component analyzes
- The problem is that we do not learn more by performing all analyzes simultaneously than by performing them one after another and finally taking their conjunctions

The reduction operator

THEOREM. Let $\langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle A, \leq \rangle$ where $\langle A, \leq, 0, 1, \vee, \wedge \rangle$ is a complete lattice. Define

$$\rho(a) \stackrel{\text{def}}{=} \bigwedge \{a' \in A \mid \gamma(a) \sqsubseteq \gamma(a')\}$$

then ρ is a lower closure operator and

$$\langle L, \sqsubseteq \rangle \xleftrightarrow[\rho \circ \alpha]{\gamma} \langle \rho(A), \leq \rangle$$

■

PROOF. – ρ is reductive since $a \in \{a' \in A \mid \gamma(a) \sqsubseteq \gamma(a')\}$ by reflexivity and so $\rho(a) \sqsubseteq a$ by def. glb \wedge .

– If $a \sqsubseteq b$ then $\gamma(a) \sqsubseteq \gamma(b)$ so $\gamma(b) \sqsubseteq \gamma(b')$ implies $\gamma(a) \sqsubseteq \gamma(b')$ whence $\{b' \mid \gamma(b) \sqsubseteq \gamma(b')\} \subseteq \{a' \mid \gamma(a) \sqsubseteq \gamma(a')\}$ so $\rho(a) = \bigwedge \{a' \mid \gamma(a) \sqsubseteq \gamma(a')\} \sqsubseteq \bigwedge \{b' \mid \gamma(b) \sqsubseteq \gamma(b')\} = \rho(b)$.

$$\begin{aligned} &\Rightarrow \gamma(\bigwedge \{a' \in A \mid \gamma(\alpha(x)) \sqsubseteq \gamma(a')\}) \sqsubseteq \gamma(y) && \{\gamma \text{ monotone}\} \\ &\Rightarrow (\bigcap \{\gamma(a') \in A \mid \gamma(\alpha(x)) \sqsubseteq \gamma(a')\}) \sqsubseteq \gamma(y) && \{\gamma \text{ preserves existing glbs}\} \\ &\Rightarrow \gamma \circ \alpha(x) \sqsubseteq \gamma(y) && \{\text{reflexivity for } a' = \alpha(x) \text{ and def. glb}\} \\ &\Rightarrow x \sqsubseteq \gamma(y) && \{\gamma \circ \alpha \text{ extensive and transitivity}\} \end{aligned}$$

□

- The reduction operator brings in the abstract the conjunction of properties we would have in the concrete.
- So information can flow from any component analysis to all others
- Whence, this is more precise than the cartesian product

- For idempotence, we have

$$\begin{aligned} &\rho(\rho(a)) \\ &= \bigwedge \{a' \in A \mid \gamma(\rho(a)) \sqsubseteq \gamma(a')\} && \{\text{def. } \rho\} \\ &= \bigwedge \{a' \in A \mid \gamma(\bigwedge \{a'' \in A \mid \gamma(a) \sqsubseteq \gamma(a'')\}) \sqsubseteq \gamma(a')\} && \{\text{def. } \rho\} \\ &= \bigwedge \{a' \in A \mid \bigwedge \{\gamma(a'') \in A \mid \gamma(a) \sqsubseteq \gamma(a'')\} \sqsubseteq \gamma(a')\} && \{\gamma \text{ preserves meets}\} \\ &= \bigwedge \{a' \in A \mid \gamma(a) \sqsubseteq \gamma(a')\} && \{\text{since } \gamma(a) = \bigwedge \{\gamma(a'') \in A \mid \gamma(a) \sqsubseteq \gamma(a'')\} \text{ by reflexivity and def. glb}\} \\ &\rho(a) && \{\text{def. } \rho\} \end{aligned}$$

- By the Galois connection, $x \sqsubseteq \gamma(y)$ implies $\alpha(x) \sqsubseteq y$ implies $\rho \circ \alpha(x) \sqsubseteq y$ since ρ is a closure operator and $y = \rho(y)$ is closed
- Inversely if $x \in L$ and $y \in \rho(A)$ then

$$\begin{aligned} &\rho \circ \alpha(x) \sqsubseteq y \\ &\Rightarrow \bigwedge \{a' \in A \mid \gamma(\alpha(x)) \sqsubseteq \gamma(a')\} \sqsubseteq y && \{\text{def. } \circ \text{ and } \rho\} \end{aligned}$$

THEOREM. $\gamma = \gamma \circ \rho$

■

PROOF. or all $x \in L$:

$$\begin{aligned} &\gamma \circ \rho \circ \alpha(x) \\ &= \gamma(\bigwedge \{\vec{a}' \mid \gamma(\alpha(x)) \sqsubseteq \gamma(\vec{a}')\}) && \{\text{def. } \rho\} \\ &= \bigcap \{\gamma(\vec{a}') \mid \gamma(\alpha(x)) \sqsubseteq \gamma(\vec{a}')\} && \{\gamma \text{ preserves meets}\} \\ &= \gamma(\alpha(x)) && \{\text{choosing } \vec{a}' = \alpha(x) \text{ and def. glb}\} \end{aligned}$$

and so

$$\begin{aligned} \gamma &= \gamma \circ \alpha \circ \gamma && \{\text{Galois connection}\} \\ &= \gamma \circ \rho \circ \alpha \circ \gamma && \{\text{since } \gamma \circ \alpha = \gamma \circ \rho \circ \alpha\} \\ &\sqsubseteq \gamma \circ \rho && \{\alpha \circ \gamma \text{ is reductive and monotony}\} \end{aligned}$$

Moreover ρ is a lower closure operator on $\langle \prod_{i \in \Delta} A_i, \sqsubseteq_{\Delta} \rangle$ so ρ is reductive ($\rho \sqsubseteq_{\Delta} 1$) whence by monotony $\gamma \circ \rho \sqsubseteq \gamma$. By antisymmetry, $\gamma \circ \rho = \gamma$. □

The reduced product

THEOREM. Assume that $\langle L, \sqsubseteq \rangle$ is a poset, $\langle A_i, \leq_i, 0_i, 1_i, \vee_i, \wedge_i \rangle, i \in \Delta$ are complete lattices such that $\forall i \in \Delta : \langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha_i]{\gamma_i} \langle A_i, \leq_i \rangle$. Define \leq_Δ componentwise in terms of the $\leq_i, i \in \Delta$. Let $\gamma = \lambda \vec{a}. \prod_{i \in \Delta} \gamma_i(\vec{a}_i)$ and $\alpha = \lambda x. \prod_{i \in \Delta} \alpha_i(x)$ so that $\langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha]{\gamma} \langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle$ Now let $\rho = \lambda \vec{a}. \prod \{ \vec{a}' \mid \gamma(\vec{a}) \sqsubseteq \gamma(\vec{a}') \}$ so that $\langle L, \sqsubseteq \rangle \xleftrightarrow[\rho \circ \alpha]{\gamma} \langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$. Then we have:

$\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is the reduced product of the $\langle A_i, \leq_i \rangle, i \in \Delta$

$\leq_\Delta \gamma_i \circ \alpha_i(x)$ (for any $i \in \Delta$, by def. glb)

- Let be given any other $\langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha']{\gamma'} \langle M, \leq_\Delta \rangle$ which is more precise than the $\langle A_i, \leq_i \rangle, i \in \Delta$ in that $\forall i \in \Delta : \gamma' \circ \alpha' \sqsubseteq \gamma_i \circ \alpha_i$. So $\gamma' \circ \alpha' \sqsubseteq \bigwedge_{i \in \Delta} \gamma_i \circ \alpha_i = \gamma \circ (\rho \circ \prod_{i \in \Delta} \alpha_i)$ as just shown above, so $\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is less precise than $\langle M, \leq_\Delta \rangle$
- In conclusion, $\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is:
 - more precise than the $\langle A_i, \leq_i \rangle, i \in \Delta$
 - less precise than any other $\langle M, \leq_\Delta \rangle$ which is more precise than the $\langle A_i, \leq_i \rangle, i \in \Delta$
 whence it is the less precise abstraction of $\langle L, \sqsubseteq \rangle$ which is more precise than the $\langle A_i, \leq_i \rangle, i \in \Delta$ which was precisely defined as the reduced product of the $\langle A_i, \leq_i \rangle, i \in \Delta$.

□

PROOF. – $\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is more precise than the $\langle A_i, \leq_i \rangle$ in that $\gamma \circ (\rho \circ \prod_{i \in \Delta} \alpha_i) \dot{\sqsubseteq} \gamma_i \circ \alpha_i$

$$\begin{aligned} & \gamma \circ (\rho \circ \prod_{i \in \Delta} \alpha_i)(x) \\ &= \gamma(\rho(\prod_{i \in \Delta} \alpha_i(x))) && \text{(def. } \circ, \prod \text{)} \\ &= \bigwedge \{ \gamma(\vec{a}') \mid \gamma(\prod_{i \in \Delta} \alpha_i(x)) \sqsubseteq \gamma(\vec{a}') \} && \text{(} \gamma \text{ preserves existing meets)} \\ &= \gamma(\prod_{i \in \Delta} \alpha_i(x)) && \text{(choosing } \vec{a}' = \prod_{i \in \Delta} \alpha_i(x) \text{ and def. glb)} \\ &= \bigwedge_{k \in \Delta} \gamma_k((\prod_{i \in \Delta} \alpha_i(x))_k) && \text{(def. } \gamma \text{)} \\ &= \bigwedge_{k \in \Delta} \gamma_k(\alpha_k(x)) && \text{(def. index selection)} \end{aligned}$$

- The advantage of the reduced product over the cartesian product of analyses is that each analysis in the abstract composition benefits from the information brought by the other analyses
- For example a sign analysis establishing $x = 0$ can be reduced by a parity analysis showing that x is odd to yield ff that is “unreachable program point”
- We must elaborate on the present non-constructive definition of the reduction operator to get algorithms for constructing reduced products of abstract domains

The reduction operator in fixpoint form

DEFINITION. Let

- $\langle L, \sqsubseteq, \perp, \top, \sqcup, \sqcap \rangle$ be a complete lattice
- Let $\langle \Delta, \leq \rangle$ be a totally ordered set of indices¹
- $\langle A_i, \leq_i, 0_i, 1_i, \vee_i, \wedge_i \rangle, i \in \Delta$ be complete lattices
- $\langle L, \sqsubseteq \rangle \xleftrightarrow[\alpha_i]{\gamma_i} \langle A_i, \sqsubseteq_i \rangle$ for all $i \in \Delta$

Define

- $\alpha \in L \mapsto \prod_{i \in \Delta} A_i$ as $\alpha(x) \stackrel{\text{def}}{=} \prod_{i \in \Delta} \alpha_i(x)$
- $\gamma \in \prod_{i \in \Delta} A_i \mapsto L$ by $\gamma(\vec{a}) \stackrel{\text{def}}{=} \prod_{i \in \Delta} \gamma_i(\vec{a}_i)$

¹ naming abstract domains, in practice Δ is finite.

THEOREM. ρ^* is the glb of the $\{\rho_{ij} \mid i, j \in \Delta \wedge i < j\}$ in the complete lattice of lower closure operators ■

PROOF. By dual of the definition of the lub of upper closures operators on a complete lattice (on page 7) and its equivalent definition (on page 11). □

THEOREM. $\gamma = \gamma \circ \rho = \gamma \circ \rho^*$ ■

PROOF. We have

$$\begin{aligned} & \gamma(\vec{a}) \\ = & \prod_{k \in \Delta \setminus \{i, j\}} \gamma_k(\vec{a}_k) \sqcap \gamma_i(\vec{a}_i) \sqcap \gamma_j(\vec{a}_j) & \{ \text{def. } \gamma \} \\ \sqsubseteq & \prod_{k \in \Delta \setminus \{i, j\}} \gamma_k(\vec{a}_k) \sqcap \gamma_i \circ \alpha_i(\gamma_i(\vec{a}_i) \sqcap \gamma_j(\vec{a}_j)) \sqcap \gamma_j \circ \alpha_j(\gamma_i(\vec{a}_i) \sqcap \gamma_j(\vec{a}_j)) & \{ \text{since} \\ & \gamma_i \circ \alpha_i \text{ and } \gamma_j \circ \alpha_j \text{ are extensive} \} \end{aligned}$$

- $\rho \in \prod_{i \in \Delta} A_i \mapsto \prod_{i \in \Delta} A_i$ by $\bigwedge \{ \vec{a}' \mid \gamma(\vec{a}) \sqsubseteq \gamma(\vec{a}') \}$
- $\rho_{ij} \in \langle A_i \times A_j, \leq_{ij} \rangle \mapsto \langle A_i \times A_j, \leq_{ij} \rangle$ be $\rho_{ij}(\langle x, y \rangle) \stackrel{\text{def}}{=} \text{let } c = \gamma_i(x) \sqcap \gamma_j(y) \text{ in } \langle \alpha_i(c), \alpha_j(c) \rangle$ where \leq_{ij} is defined pointwise, for all $i, j \in \Delta, i < j$
- $\rho_{ij} \in \langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle \mapsto \langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle$ be $\rho_{ij}(\vec{x}) \stackrel{\text{def}}{=} \text{let } \langle x'_i, x'_j \rangle \stackrel{\text{def}}{=} \rho_{ij}(\langle x_i, x_j \rangle) \text{ in } \vec{x}[i := x'_i][j := x'_j]$ where \leq_Δ is defined pointwise and $\vec{x}[i := a]_i = a$ and $\vec{x}[i := a]_j = \vec{x}_j$ when $i \neq j$.
- $\rho^* \in \langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle \mapsto \langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle$ is $\rho^* \stackrel{\text{def}}{=} \lambda \vec{a}. \text{gfp}_{\vec{a}}^{\leq_\Delta} \bigwedge_{i, j \in \Delta; i < j} \rho_{ij}$ ■

$$\begin{aligned} & = \gamma(\vec{a}[i := \alpha_i(\gamma_i(\vec{a}_i) \sqcap \gamma_j(\vec{a}_j))[j := \alpha_i(\gamma_i(\vec{a}_i) \sqcap \gamma_j(\vec{a}_j))]) & \{ \text{def. } \gamma \} \\ & = \gamma(\rho_{ij}(\vec{a})) & \{ \text{def. } \rho_{ij} \} \end{aligned}$$

It immediately follows that for all $\vec{a} \in \prod_{i \in \Delta} A_i$, we have $\rho_{ij}(\vec{a}) \in \{ \vec{a}' \mid \gamma(\vec{a}) \sqsubseteq \gamma(\vec{a}') \}$ proving $\rho(\vec{a}) = \bigwedge \{ \vec{a}' \mid \gamma(\vec{a}) \sqsubseteq \gamma(\vec{a}') \} \leq_\Delta \rho_{ij}(\vec{a})$ by def. glb, whence $\rho \leq_\Delta \rho_{ij}$, pointwise. It follows that ρ is a lower bound of the $\{\rho_{ij} \mid i, j \in \Delta \wedge i < j\}$ so by the characterization of their glb on page 27, $\rho \leq_\Delta \rho^*$. By monotony, $\gamma \circ \rho \sqsubseteq \gamma \circ \rho^*$.

For all $\vec{a} \in \prod_{i \in \Delta} A_i$, we have $\rho^*(\vec{a}) = \text{gfp}_{\vec{a}}^{\leq_\Delta} \bigwedge_{i, j \in \Delta; i < j} \rho_{ij}$ whence $\rho^*(\vec{a}) \sqsubseteq_\Delta \vec{a}$. So by monotony and def. \circ , $\gamma \circ \rho^* \sqsubseteq \gamma$.

We conclude, using the theorem on page 20, that $\gamma = \gamma \circ \rho \sqsubseteq \gamma \circ \rho^* \sqsubseteq \gamma$ whence $\gamma = \gamma \circ \rho = \gamma \circ \rho^*$ by antisymmetry. □

The reduced product (iterative form)

THEOREM. $\langle \rho^*(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is the reduced product of the $\langle A_i, \leq_i \rangle, i \in \Delta$ ■

PROOF. Let us first prove that we have $\langle L, \sqsubseteq \rangle \xleftrightarrow[\rho^* \circ \alpha]{\gamma} \langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$.
Indeed for all $x \in L$ and $y \in \rho(\prod_{i \in \Delta} A_i)$, we have

$$\begin{aligned} & \rho^* \circ \alpha(x) \leq_\Delta y \\ \implies & \gamma \circ \rho^* \circ \alpha(x) \sqsubseteq \gamma(y) && \{\gamma \text{ monotone}\} \\ \implies & \gamma \circ \alpha(x) \sqsubseteq \gamma(y) && \{\text{since } \gamma = \gamma \circ \rho^*\} \\ \implies & x \sqsubseteq \gamma(y) && \{\text{since } \gamma \circ \alpha \text{ is extensive}\} \\ \implies & \alpha(x) \leq_\Delta y && \{\text{Galois connection}\} \\ \implies & \rho^* \circ \alpha(x) \leq_\Delta y && \{\rho^* \circ \text{reductive}\} \end{aligned}$$

Implementing the reduced product of abstract domains

- Assume we have implemented several analyzers using abstract domains $\langle A_i, \leq_i \rangle, i \in \Delta$
- We can run them all simultaneously, by considering the cartesian product $\langle \prod_{i \in \Delta} A_i, \leq_\Delta \rangle$
- There is no advantage in doing so since the analyzers remain independent of one another
- However, if we use their reduced product, $\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$, each analysis can benefit from the information gathered by the others

We have $\langle L, \sqsubseteq \rangle \xleftrightarrow[\rho \circ \alpha]{\gamma} \langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ and $\langle L, \sqsubseteq \rangle \xleftrightarrow[\rho^* \circ \alpha]{\gamma} \langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$
whence $\rho \circ \alpha = \rho^* \circ \alpha$ by unicity of the adjoint in Galois connections so that the reduced product of the $\langle A_i, \leq_i \rangle, i \in \Delta$ which has been shown to be $\langle \rho(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ is also $\langle \rho^*(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$. □

- To do so we just have to implement ρ (or use any upper-approximation if this is too hard) and replace any abstract information $\vec{a} \in \prod_{i \in \Delta} A_i$ appearing during the analysis by $\rho(\vec{a})$
- This is sound since nothing is changed in the concrete (recall $\gamma = \gamma \circ \rho$)
- The design and implementation of ρ is a difficult task when $|\Delta|$ is large
- The design and implementation of ρ has to be entirely redone when a new abstract domain is added to the list Δ

The reduced product of three abstract domains or more

- We can consider instead the iterative reduction $\langle \rho^*(\prod_{i \in \Delta} A_i), \leq_\Delta \rangle$ ²
- We then consider the reductions, two by two:

$$\rho_{ij}, \quad i, j \in \Delta, i < j$$

- The computation of ρ_{ij} and the fixpoint computation of ρ^* can be implemented once for all

² Indeed this makes not difference when $|\Delta| \leq 2$.

- The addition of a new abstract domain only requires
 - the design and implementation of its reduction with the existing ones,
 - without any modification of the existing reductions

- The advantage of this approach are that:
 - The pairwise reductions $\rho_{ij}, i, j \in \Delta, i < j$ are much simpler to design and implement than the global reduction ρ ³
 - The iterative implementation⁴ is equally precise (recall $\gamma \circ \rho = \gamma \circ \rho^*$)
 - Termination of the fixpoint computation may have to be ensured by a narrowing⁵

³ Which involves the evaluation of an hidden fixpoint anyway.

⁴ replacing any abstract information $\bar{a} \in \prod_{i \in \Delta} A_i$ appearing during the analysis by $\rho^*(\bar{a})$

⁵ in which case the exact reduction ρ was certainly quite complex if not impossible to compute.

The generic forward abstract interpreter with reduced product

Implementation of the ternary iterated reduction

```
1 (* red123.mli *)
2 open Avalues1
3 open Avalues2
4 open Avalues3
5 val reduce : (Avalues1.t * Avalues2.t * Avalues3.t)
6               -> (Avalues1.t * Avalues2.t * Avalues3.t)
7
8 (* red123.ml *)
9 open Red12
10 open Red23
11 open Red13
12 open Avalues1
13 open Avalues2
14 open Avalues3
```

32

Note that we may have to include a narrowing to ensure termination of the iteration.

```
14 open Trace
15 (* printing *)
16 let print (x,y,z) =
17   (print_string "("; Avalues1.print x; print_string ",";
18    Avalues2.print y; print_string ",";
19    Avalues3.print z; print_string ")")
20 let reduce' (a, b, c) =
21   let (a', b') = Red12.reduce (a, b) in
22   let (b'', c') = Red23.reduce (b', c) in
23   let (a'', c'') = Red13.reduce (a', c') in
24   (a'', b'', c'')
25 let rec reduce t =
26   if trace_red () then (print t; print_string " -> ");
27   let t' = (reduce' t) in
28   if (t = t') then
29     (if trace_red () then (print_string "stable\n"); t)
30     else (reduce t')
31
```

The parity and initialization and simple sign reduction

- The main reduction is $\text{ODD} \wedge \text{EVEN} \rightarrow \text{BOT}$
- The abstract values implementation is hidden, whence must be accessed through abstract primitives operations, such as:

- (Avalues1.f_NAT "0") = EVEN
- (Avalues1.f_NAT "1") = ODD
- (Avalues2.f_NAT "0") = ZERO
- (Avalues2.f_NAT "2") = POS
- ...

```
33 (* red-Parity-ISS12.ml *)
```

```

34 open Avalues1 (* avalues.ml of Parity *)
35 (* \gamma(BOT) = {_0_(a)} *)
36 (* \gamma(ODD) = { 2n+1\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
37 (* \gamma(EVEN) = { 2n\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
38 (* \gamma(TOP) = [min_int,max_int] U {_0_(a),_0_(i)} *)
39 open Avalues2 (* avalues.ml of initialization and simple sign *)
40 (* \gamma(BOT) = {_0_(a)} *)
41 (* \gamma(NEG) = [min_int,-1] U {_0_(a)} *)
42 (* \gamma(POS) = [1,max_int] U {_0_(a)} *)
43 (* \gamma(ZERO) = {0, _0_(a)} *)
44 (* \gamma(INI) = [min_int,max_int] U {_0_(a)} *)
45 (* \gamma(ERR) = {_0_(a),_0_(i)} *)
46 (* \gamma(TOP) = [min_int,max_int] U {_0_(a),_0_(i)} *)
47 let reduce (p, i) =
48   if ((Avalues1.eq p (Avalues1.bot ()))
49       || (Avalues2.eq i (Avalues2.bot ())))
50   then ((Avalues1.bot ()), (Avalues2.bot ()))
51   else if ((Avalues1.eq p (Avalues1.f_NAT "1"))

```

The parity and intervals reduction

```

57 (* red-Parity-Intervals12.ml *)
58 open Avalues1 (* avalues.ml of Parity *)
59 (* \gamma(BOT) = {_0_(a)} *)
60 (* \gamma(ODD) = { 2n+1\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
61 (* \gamma(EVEN) = { 2n\in[min_int,max_int] | n\in Z } U {_0_(a)} *)
62 (* \gamma(TOP) = [min_int,max_int] U {_0_(a),_0_(i)} *)
63 open Avalues2 (* avalues.ml of Intervals *)
64 (* \gamma(a,b) = [a,b] U {_0_(a), _0_(i)} *)
65 (* when min_int <= a <= b <= max_int *)
66 (* = {_0_(a), _0_(i)} *)
67 (* when a = max_int > min_int = b *)
68 (* reduction of parity and intervals *)
69 let reduce (p, i) =
70   if (Avalues1.eq p (Avalues1.bot ())) then

```

```

52 & (Avalues2.eq i (Avalues2.f_NAT "0")))
53   then ((Avalues1.bot ()), (Avalues2.bot ()))
54   else if (Avalues2.eq i (Avalues2.f_NAT "0"))
55   then ((Avalues1.f_NAT "0"), i)
56   else (p, i)

```

```

71 ((Avalues1.bot ()), (Avalues2.bot ()))
72 else if (Avalues1.eq p (Avalues1.f_NAT "1")) then (p, (reduce_odd i))
73 else if (Avalues1.eq p (Avalues1.f_NAT "0")) then (p, (reduce_even i))
74 else if ((Avalues2.parity i) = 0) then ((Avalues1.f_NAT "0"), i)
75 else if ((Avalues2.parity i) = 1) then ((Avalues1.f_NAT "1"), i)
76 else (p, i)

```

In the interval abstract domain, the interval bounds can be reduced by the parity:

```

(* avalues.mli *)
...
(* reductions by parity *)
val reduce_even : t -> t
val reduce_odd : t -> t

```

```
(* avalues.ml *)
(* reductions by parity *)
let reduce_even (a, b) = ((if ((a mod 2) = 0) then a
                           else if a = max_int then a else (a+1)),
                          (if ((b mod 2) = 0) then b
                              else if b = min_int then b else (b-1)))
let reduce_odd (a, b) = ((if ((a mod 2) = 1) || ((a mod 2) = -1)
                          then a
                           else if a = max_int then a else (a+1)),
                         (if ((b mod 2) = 1) || ((b mod 2) = -1)
                             then b
                              else if b = min_int then b else (b-1)))
```

Notes on the naïve implementation

- In the reduction process, the information between modules is communicated in the form of constants.
- In general a more complex communication language, known by the two modules, is required to exchange the reduction information (e.g. in symbolic form)
- In the naïve implementation, the modules involved in the reduction are determined by using aliases of file names.
- In OCaml, modules can be parameterized, which would provide a more elegant solution

In the parity abstract domain, the parity can be improved for constant intervals

```
(* reduction with parity *)
(* information on parity = 0:EVEN, 1:ODD, 2:TOP *)
let parity (a, b) = if (a = b) then (a mod 2) else 2
```

The parity information is sent in the form of a constant.

- Since the notation is positional (Avalues1, Avalues2, ...) and module/abstract domain names cannot be changed, duplications of reductions may be required (when a given reduction module appears in different positions)
- This duplication, a simple macroexpansion, could have been automatized or better handled by the language module system

Reduction of intervals with initialization and simple sign

```
77 (* red-ISS-Intervals12.ml *)
78 open Avalues1 (* avalues.ml of Initialization-Simple-Sign *)
79 (* \gamma(BOT) = {_0(a)} *)
80 (* \gamma(NEG) = [min_int,-1] U {_0(a)} *)
81 (* \gamma(POS) = [1,max_int] U {_0(a)} *)
82 (* \gamma(ZERO) = {0, _0(a)} *)
83 (* \gamma(INI) = [min_int,max_int] U {_0(a)} *)
84 (* \gamma(ERR) = {_0(a),_0(i)} *)
85 (* \gamma(TOP) = [min_int,max_int] U {_0(a),_0(i)} *)
86 open Avalues2 (* avalues.ml of Intervals *)
87 (* \gamma(a,b) = [a,b] U {_0(a), _0(i)} *)
88 (* when min_int <= a <= b <= max_int *)
89 (* = {_0(a), _0(i)} *)
```

```
108 else if ((sign i) = 1)
109 then (Avalues1.f_NAT "1")
110 else (Avalues1.top ())
111 let reduce (a, b) = ((Avalues1.meet a (alpha21 b)),
112 (Avalues2.meet b (gamma12 a)))
```

- Again the abstract values are communicated through abstract operations on constants
- The reduction is useful only in absence of thresholds in the widening (the initialization and simple sign amounts to restricting to the introduction of a 0 threshold)
- The reduction of intervals by initialization and simple sign uses primitives defined in the interval abstract domain:

```
90 (* when a = max_int > min_int = b *)
91 let gamma12 a =
92   if (Avalues1.eq a (Avalues1.bot ()))
93   then (Avalues2.bot ())
94   else if (Avalues1.eq a (Avalues1.f_UMINUS (Avalues1.f_NAT "1")))
95   then (Avalues2.neg ())
96   else if (Avalues1.eq a (Avalues1.f_NAT "0"))
97   then (Avalues2.f_NAT "0")
98   else if (Avalues1.eq a (Avalues1.f_NAT "1"))
99   then (Avalues2.pos ())
100  else (Avalues2.top ())
101 let alpha21 i =
102   if (Avalues2.eq i (Avalues2.bot ()))
103   then (Avalues1.initerr ())
104   else if ((sign i) = -1)
105   then (Avalues1.f_UMINUS (Avalues1.f_NAT "1"))
106   else if ((sign i) = 0)
107   then (Avalues1.f_NAT "0")
```

```
(* avalues.mli *)
...
(* reduction with initialization and simple sign *)
(* information on simple sign = -1:<0, 0:=0, 1:>0, 2:TOP *)
val sign : t -> int
...
```

```
(* avalues.ml *)
...
(* reduction with initialization and simple sign *)
(* information on simple sign = -1:<0, 0:=0, 1:>0, 2:TOP *)
let sign (b1, b2) = (* b1 <= b2 *)
  if (b2 < 0) then -1
  else if (b1 = 0) & (b2 = 0) then 0
  else if (b1 > 0) then 1
  else 2
...
```

No error-intervals reduction

```
113 (* red-Errors-Intervals12.ml *)
114 open Avalues1 (* avalues.ml of Errors *)
115 (* gamma(NER) = [min_int,max_int] *)
116 (* gamma(AER) = [min_int,max_int] U {_0_(a)} *)
117 (* gamma(IER) = [min_int,max_int] U {_0_(i)} *)
118 (* gamma(ERR) = [min_int,max_int] U {_0_(a), _0_(i)} *)
119 open Avalues2 (* avalues.ml of Intervals *)
120 (* gamma (a,b) = [a,b] U {_0_(a), _0_(i)} *)
121 (* when min_int <= a <= b <= max_int *)
122 (* = {_0_(a), _0_(i)} *)
123 (* when a = max_int > min_int = b *)
124 let reduce (a, b) = (a, b)
```

No reduction at all, which is always the case for independent information.

```
140 (* isbotempty () = gamma(bot ()) = {} *)
141 let isbotempty () = (Avalues1.isbotempty ()) ||
142   (Avalues2.isbotempty ()) || (Avalues3.isbotempty ())
143 (* uninitialization: initerr () = alpha({_0_i}) *)
144 let initerr () = reduce ((Avalues1.initerr ()), (Avalues2.initerr ()),
145   (Avalues3.initerr ()))
146 (* supremum: top () = alpha({_0_i, _0_a} U [min_int,max_int]) *)
147 let top () = reduce (Avalues1.top (), Avalues2.top (), Avalues3.top ())
148 (* least upper bound join: p q = alpha(gamma(p) U gamma(q)) *)
149 let join (v,w,t) (x,y,u) = reduce ((Avalues1.join v x),
150   (Avalues2.join w y), (Avalues3.join t u))
151 (* greatest lower bound meet p q = alpha(gamma(p) cap gamma(q)) *)
152 let meet (v,w,t) (x,y,u) = reduce ((Avalues1.meet v x),
153   (Avalues2.meet w y), (Avalues3.meet t u))
154 (* approximation ordering: leq p q = gamma(p) subseq gamma(q) *)
155 let leq (v,w,t) (x,y, u) = (Avalues1.leq v x) & (Avalues2.leq w y)
156   & (Avalues3.leq t u)
157 (* equality: eq p q = gamma(p) = gamma(q) *)
```

The ternary reduced product

```
125 (* avalues123.ml *)
126 open Avalues1
127 open Avalues2
128 open Avalues3
129 open Red123
130 (* reduced product *)
131 (* *)
132 (* ABSTRACT VALUES *)
133 (* *)
134 type t = Avalues1.t * Avalues2.t * Avalues3.t
135 (* gamma (a,b,c) = Avalues1.gamma(a) /\ Avalues2.gamma(b) /\ *)
136   (* Avalues3.gamma(c) *)
137 (* infimum: bot () = alpha({}) *)
138 let bot () = reduce ((Avalues1.bot ()), (Avalues2.bot ()),
139   (Avalues3.bot ()))
```

```
158 let eq (v,w,t) (x,y,u) = (Avalues1.eq v x) & (Avalues2.eq w y)
159   & (Avalues3.eq t u)
160 (* included in errors?: in_errors p = gamma(p) subseq {_0_i, _0_a} *)
161 let in_errors (x,y,z) = (Avalues1.in_errors x) ||
162   (Avalues2.in_errors y) || (Avalues3.in_errors z)
163 (* printing *)
164 let print (x,y,z) =
165   (print_string "("; Avalues1.print x; print_string ",";
166   Avalues2.print y; print_string ",";
167   Avalues3.print z; print_string ")")
168 (* *)
169 (* ABSTRACT TRANSFORMERS *)
170 (* *)
171 (* forward abstract semantics of arithmetic expressions *)
172 (* f_NAT s = alpha({(machine_int_of_string s)}) *)
173 let f_NAT s = reduce (Avalues1.f_NAT s, Avalues2.f_NAT s,
174   Avalues3.f_NAT s)
175 (* f_RANDOM () = alpha([min_int, max_int]) *)
```

```

176 let f_RANDOM () = reduce (Avalues1.f_RANDOM (),
177                             Avalues2.f_RANDOM (), Avalues3.f_RANDOM ())
178 (* f_UMINUS a = alpha({ (machine_unary_minus x) | x \in gamma(a)} *)
179 let f_UMINUS (x, y, z) = reduce (Avalues1.f_UMINUS x,
180                                 Avalues2.f_UMINUS y, Avalues3.f_UMINUS z)
181 (* f_UPLUS a = alpha(gamma(a)) *)
182 let f_UPLUS (x, y, z) = reduce (x, y, z)
183 (* f_BINARITH a b = alpha({ (machine_binary_binarith i j) |
184 (*
185                             i in gamma(a) /\ j \in gamma(b)} *)
185 let f_PLUS (a, b, c) (d, e, f) = reduce (Avalues1.f_PLUS a d,
186                                           Avalues2.f_PLUS b e, Avalues3.f_PLUS c f)
187 let f_MINUS (a, b, c) (d, e, f) = reduce (Avalues1.f_MINUS a d,
188                                           Avalues2.f_MINUS b e, Avalues3.f_MINUS c f)
189 let f_TIMES (a, b, c) (d, e, f) = reduce (Avalues1.f_TIMES a d,
190                                           Avalues2.f_TIMES b e, Avalues3.f_TIMES c f)
191 let f_DIV (a, b, c) (d, e, f) = reduce (Avalues1.f_DIV a d,
192                                          Avalues2.f_DIV b e, Avalues3.f_DIV c f)
193 let f_MOD (a, b, c) (d, e, f) = reduce (Avalues1.f_MOD a d,

```

```

212                             Avalues2.narrow b e, Avalues3.narrow c f)
213 (* backward abstract semantics of arithmetic expressions *)
214 (* b_NAT s v = (machine_int_of_string s) in gamma(v) cap
215 (*
216                             [min_int, max_int]? *)
216 let b_NAT s (a, b, c) = (Avalues1.b_NAT s a) &
217                             (Avalues2.b_NAT s b) & (Avalues3.b_NAT s c)
218 (* b_RANDOM p = gamma(p) cap [min_int, max_int] <> emptyset *)
219 let b_RANDOM (a, b, c) = (Avalues1.b_RANDOM a) &
220                             (Avalues2.b_RANDOM b) & (Avalues3.b_RANDOM c)
221 (* b_UOP q p = alpha({i in gamma(q) |
222 (*
223                             UOP(i) \in gamma(p) cap [min_int, max_int]}) *)
223 let b_UMINUS (a, b, c) (d, e, f) = reduce (Avalues1.b_UMINUS a d,
224                                           Avalues2.b_UMINUS b e, Avalues3.b_UMINUS c f)
225 let b_UPLUS (a, b, c) (d, e, f) = reduce (Avalues1.b_UPLUS a d,
226                                           Avalues2.b_UPLUS b e, Avalues3.b_UPLUS c f)
227 (* b_BOP q1 q2 p = alpha2({<i1,i2> in gamma2(<q1,q2>) |
228 (*
229                             BOP(i1, i2) \in gamma(p) cap [min_int, max_int]}) *)
229 let b_PLUS (a, b, c) (d, e, f) (g, h, i) =

```

```

194                             Avalues2.f_MOD b e, Avalues3.f_MOD c f)
195 (* forward abstract semantics of boolean expressions *)
196 (* Are there integer values in gamma(u) equal to values in gamma(v)? *)
197 (* f_LT p q = exists i in gamma(p) cap [min_int,max_int]:
198 (*
199                             exists j in gamma(q) cap [min_int,max_int]: machine_eq i j *)
199 let f_EQ (a, b, c) (d, e, f) = (Avalues1.f_EQ a d) &
200                             (Avalues2.f_EQ b e) & (Avalues3.f_EQ c f)
201 (* Are there integer values in gamma(u) strictly less than (<) *)
202 (* integer values in gamma(v)? *)
203 (* f_LT p q = exists i in gamma(p) cap [min_int,max_int]:
204 (*
205                             exists j in gamma(q) cap [min_int,max_int]: machine_lt i j *)
205 let f_LT (a, b, c) (d, e, f) = (Avalues1.f_LT a d) &
206                             (Avalues2.f_LT b e) & (Avalues3.f_LT c f)
207 (* widening *)
208 let widen (a, b, c) (d, e, f) = reduce (Avalues1.widen a d,
209                                           Avalues2.widen b e, Avalues3.widen c f)
210 (* narrowing *)
211 let narrow (a, b, c) (d, e, f) = reduce (Avalues1.narrow a d,

```

```

230 let (a', d') = Avalues1.b_PLUS a d g in
231 let (b', e') = Avalues2.b_PLUS b e h in
232 let (c', f') = Avalues3.b_PLUS c f i in
233 ((reduce (a', b', c')), (reduce (d', e', f')))
234 let b_MINUS (a, b, c) (d, e, f) (g, h, i) =
235 let (a', d') = Avalues1.b_MINUS a d g in
236 let (b', e') = Avalues2.b_MINUS b e h in
237 let (c', f') = Avalues3.b_MINUS c f i in
238 ((reduce (a', b', c')), (reduce (d', e', f')))
239 let b_TIMES (a, b, c) (d, e, f) (g, h, i) =
240 let (a', d') = Avalues1.b_TIMES a d g in
241 let (b', e') = Avalues2.b_TIMES b e h in
242 let (c', f') = Avalues3.b_TIMES c f i in
243 ((reduce (a', b', c')), (reduce (d', e', f')))
244 let b_DIV (a, b, c) (d, e, f) (g, h, i) =
245 let (a', d') = Avalues1.b_DIV a d g in
246 let (b', e') = Avalues2.b_DIV b e h in
247 let (c', f') = Avalues3.b_DIV c f i in

```

```

248      ((reduce (a', b', c')), (reduce (d', e', f'))))
249 let b_MOD (a, b, c) (d, e, f) (g, h, i) =
250   let (a', d') = Avalues1.b_MOD a d g in
251   let (b', e') = Avalues2.b_MOD b e h in
252   let (c', f') = Avalues3.b_MOD c f i in
253   ((reduce (a', b', c')), (reduce (d', e', f'))))
254 (* backward abstract interpretation of boolean expressions *)
255 (* a_EQ p1 p2 = let p = p1 cap p2 cap [min_int, max_int]I in <p, p> *)
256 let a_EQ p1 p2 = let p = meet p1 p2 in (p, p)
257 (* a_LT p1 p2 = alpha2({<i1, i2> |
258   (*          i1 in gamma(p1) cap [min_int, max_int] /\ *)
259   (*          i2 in gamma(p1) cap [min_int, max_int] /\ *)
260   (*          i1 < i2})
261   *)
261 let a_LT (a, b, c) (d, e, f) =
262   let (a', d') = Avalues1.a_LT a d in
263   let (b', e') = Avalues2.a_LT b e in
264   let (c', f') = Avalues3.a_LT c f in
265   ((reduce (a', b', c')), (reduce (d', e', f'))))

```

```

266 Forward non-relational static analysis:
267 make help      : this help
268 (1) reset:
269 make reset     : erase all mode choices
270 (2) choose tracing mode:
271 make trace     : tracing all
272 make traceaexp : tracing arithmetic expressions
273 make tracebexp : tracing boolean expressions
274 make tracecom  : tracing commands
275 make tracered  : tracing ternary reductions
276 make notrace   : no tracing
277 (3) choose abstract interpreter mode:
278 (3a) relational/non-relational analysis:
279 make r         : relational abstract interpreter
280 make nr        : non-relational abstract interpreter
281 (3b) boolean expressions:
282 make fbool     : forward analysis

```

User manual of the generic abstract interpreter

- All abstract domains have the same interface
- The analyzer can be instantiated to a particular abstract domain by choosing which abstract domain to use
- This can be a basic domain, the reduction of 2 basic domains or the reduction of 3 basic domains
- Which abstract domains are used is chosen by aliasing to files implementing these domains
- the user manual is as follows:

```

283 make fbbool    : forward/backward analysis
284 make fbrbool   : forward/backward reductive analysis
285 (3c) arithmetic expressions:
286 make fassign   : forward analysis
287 make fbassign  : forward/backward analysis
288 (4) choose static analysis and compile analyzer:
289 make err       : error analysis
290 make iss       : initialization and simple sign analysis
291 make int       : interval analysis
292 make par       : parity analysis
293 make err-int   : error x interval analysis
294 make iss-int   : initialization and simple sign x interval analysis
295 make par-int   : parity x interval analysis
296 make par-iss   : parity x initialization and simple sign analysis
297 make par-iss-int : parity x initialization and simple sign analysis x
298               interval
299 (5) analyze:
300 ./a.out        : analyze (the standard input)

```



```

301 ./a.out file.sil : analyze (the file "file.sil")
302 make examples    : analyze all examples
303 (6) clean:
304 make clean       : remove auxiliary files
305

```

```

% make par
...
"Parity" static analysis
% ./a.out ../Examples/example09.sil
{ x:T; y:T; z:T; t:T }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:e; y:e; z:e; t:e }
%

```

Example reduced product of parity, initialization and simple sign and intervals

- Basic static analyses:
- “Parity” static analysis:

```

% make reset
Remove instantiated files
% make notrace
Tracing mode off
% make nr
"Non-relational" static analysis
% make fbrbool
Forward/backward analysis of boolean expressions with reduction
% make fbassign
Forward/backward analysis of assignments

```

Note that in the assignment to y, $(536870912 * 2) = 1073741824 > \text{max_int} = 1073741823$. So execution is stopped which is overapproximated by y:e.

- “Initialization and simple sign” static analysis:

```

{ x:ERR; y:ERR; z:ERR; t:ERR }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:NEG; y:POS; z:NEG; t:NEG }

```

- “Interval” static analysis:

```
{ x:[]; y:[]; z:[]; t:[] }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:[]; y:[]; z:[]; t:[min_int,min_int] }
```

· Reduced product of “Parity” and “Interval” static analysis:

```
{ x:(T, []); y:(T, []); z:(T, []); t:(T, []) }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:(_|_, []); y:(_|_, []); z:(_|_, []); t:(e, [min_int,min_int]) }
```

- Binary/pairwise reductions:
· Reduced product of “Parity” and “Initialization and simple sign” static analysis:

```
{ x:(T, ERR); y:(T, ERR); z:(T, ERR); t:(T, ERR) }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:(e, NEG); y:(e, POS); z:(e, NEG); t:(e, NEG) }
```

- Reduced product of “Initialization and simple sign” and “Interval” static analysis:

```
{ x:(ERR, []); y:(ERR, []); z:(ERR, []); t:(ERR, []) }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:(BOT, []); y:(BOT, []); z:(BOT, []); t:(NEG, [min_int,min_int]) }
```

- Binary/pairwise reductions (reduced product of “Parity”, “Initialization and simple sign” and “Interval” static analysis):

```
{ x:(T,ERR,[]); y:(T,ERR,[]); z:(T,ERR,[]); t:(T,ERR,[]) }
0:
  x := (-536870912 * 2);
1:
  y := (536870912 * 2);
2:
  z := ((-1073741823 - 1) * 1);
3:
  t := ((-1073741823 - 1) * 1073741823)
4:
{ x:(_|_,BOT,[]); y:(_|_,BOT,[]); z:(_|_,BOT,[]);
t:(e,NEG,[min_int,min_int]) }
```

Linearization

Reduction can cancel convergence enforcement by widening/narrowing

- With abstract domains not satisfying the ACC, the reduction can destroy the effect of the widenings in each of the abstract domains
- A post-reduction widening may have to be included
- If reduction is costly, it may be applied less often (e.g. only once in a loop)

The linear abstraction

Many relational abstraction of sets of vectors of \mathbb{I} ($\mathbb{I} = \mathbb{Z}, \mathbb{Q}$ or \mathbb{R}) involve linear expressions on the form

$$x_j = a_{j0}x_0 + \dots + a_{jn}x_n, \quad j = 1, \dots, k$$

which can be encoded as a matrix product

$$\begin{pmatrix} a_{00} & \dots & a_{0n} \\ \vdots & \ddots & \vdots \\ a_{k0} & \dots & a_{kn} \end{pmatrix} \begin{pmatrix} x_0 \\ \vdots \\ x_n \end{pmatrix}$$

written AX where X is the column vector (x_0, \dots, x_n) .

The affine abstraction

The affine case, involve expression of the form

$$a_{j0}x_0 + \dots + a_{jm}x_m + a_{j,m+1}, \quad j=1, \dots, k$$

in matrix form $AX+B$ this is :

$$\begin{pmatrix} a_{10} & \dots & a_{1m} \\ \vdots & & \vdots \\ a_{k0} & \dots & a_{km} \end{pmatrix} \cdot \begin{pmatrix} x_0 \\ \vdots \\ x_m \end{pmatrix} + \begin{pmatrix} a_{1,m+1} \\ \vdots \\ a_{k,m+1} \end{pmatrix}$$

Static linear/affine expressions recognition

- In the static view, the expressions which are recognized as linear/affine are those which directly appear in the program such as :

$$2 * X + 1$$

This will miss

$T := 2;$

$$T * X + 1$$

Linear/affine expressions recognition

- Such linear or affine abstractions can only handled linear or affine expressions, the others being assimilated to a random assignment
- There are essentially two ways of recognizing linear/affine expressions:
 - static (before the analysis), or
 - dynamic (during the analysis)

Dynamic linear/affine expressions recognition

- In the dynamic view (as used in ASTREE), the expression is partially evaluated using information presently available to transform it in linear form. If constant propagation is used above, then $T = 2$ in $T * X + 1$ yields the affine expression $2 * X + 1$. If on the other hand, $X = 3$ then we get $T * 3 + 1$ whereas the static view would yield the random assignment ?.

The syntax of linear arithmetic expressions

- The linear arithmetic expressions of SIL program P with finitely many variables $\text{Var}[[P]] = \{X_1, \dots, X_k\}$, $k \in \mathbb{N}$ are defined as

$$L ::= ? \\ \mid \sum_{i=1}^k n_i \times X_i + n_{k+1}$$

where the n_j , $j = 1, \dots, k+1$ are numbers.

- This can be easily encoded as the vector $\langle n_1, \dots, n_k, n_{k+1} \rangle$

The linear abstraction of arithmetic expressions

We define a syntactic abstraction:

$$\alpha : A \rightarrow L$$

such that for all arithmetic expression:

$$\text{Faexp}[A] \subseteq \text{Faexp}[\alpha(A)]$$

so that $\text{Faexp}[\alpha(A)]$ is an upper-approximation of $\text{Faexp}[A]$. This proves the soundness of the linearization α .

$$\alpha(n) = \sum_{i=1}^k 0 * X_i + n$$

$$\alpha(X_j) = \sum_{i=1}^k 0 * X_i + 1 * X_j + 0$$

$$\alpha(?) = ?$$

The forward collecting semantics of linear arithmetic expressions

- The collecting semantics of linear arithmetic expressions is defined as:

$$\text{Faexp}[?]R \stackrel{\text{def}}{=} \mathbb{I} \\ \text{Faexp}\left[\sum_{i=1}^k n_i \times X_i + n_{k+1}\right]R \stackrel{\text{def}}{=} \left\{ \sum_{i=1}^k n_i \times \rho(X_i) + n_{k+1} \mid \rho \in R \right\}$$

$$\alpha(A_1 + A_2) = \\ \text{if } \alpha(A_1) = ? \text{ then ?} \\ \text{else if } \alpha(A_2) = ? \text{ then ?} \\ \text{else } \underline{\text{let}} \quad \alpha(A_1) = \sum_{i=1}^k n_i^1 * X_i + n_{k+1}^1 \\ \text{and } \alpha(A_2) = \sum_{i=1}^k n_i^2 * X_i + n_{k+1}^2 \\ \text{in } \sum_{i=1}^k (n_i^1 \oplus n_i^2) * X_i + (n_{k+1}^1 \oplus n_{k+1}^2)$$

(where $n \oplus m$ is ℓ such that $\underline{\ell} = \underline{n} + \underline{m}$ and in case of overflow the result is ?).

$$\alpha(-A) = \text{if } \alpha(A) = ? \text{ then ?} \\ \text{else } \underline{\text{let}} \quad \alpha(A) = \sum_{i=1}^k n_i * X_i + n_{k+1} \\ \text{in } \sum_{i=1}^k (\ominus n_i) * X_i + \ominus n_{k+1}$$

(where $\oplus m$ is m such that $\underline{m} = -\underline{n}$. More if $-\underline{n}$ overflows then the result is ?).

$\alpha(A_1 * A_2) =$
if $\alpha(A_1) = ?$ or $\alpha(A_2) = ?$ then ? etc

let $\alpha(A_1) = \sum_{i=1}^k n_i^1 * X_i + n_{k+1}^1$

and $\alpha(A_2) = \sum_{i=1}^k n_i^2 * X_i + n_{k+1}^2$

if $\bigwedge_{i=1}^k n_i^1 = 0$ then

$$\sum_{i=1}^k n_{k+1}^1 \otimes n_i^2 * X_i + n_{k+1}^1 \otimes n_{k+1}^2$$

elif $\bigwedge_{i=1}^k n_i^2 = 0$ then

$$\sum_{i=1}^k n_i^1 \otimes n_{k+1}^2 * X_i + n_{k+1}^1 \otimes n_{k+1}^2$$

etc ?

Definition of the forward collecting semantics of arithmetic expressions

Recall the *forward/bottom-up collecting semantics* of an arithmetic expression from lecture 8:

$$\text{Faexp} \in \text{Aexp} \mapsto \wp(\text{Env}[P]) \xrightarrow{\sqcup} \wp(\mathbb{I}_\Omega),$$

$$\text{Faexp}[A]R \stackrel{\text{def}}{=} \{v \mid \exists \rho \in R : \rho \vdash A \Rightarrow v\}. \quad (1)$$

such that:

$$\text{Faexp}[A] \left(\bigcup_{k \in S} R_k \right) = \bigcup_{k \in S} (\text{Faexp}[A]R_k)$$

$$\text{Faexp}[A]\emptyset = \emptyset.$$

(where $n \otimes m$ is ℓ such that $\underline{\ell} = \underline{n} \times \underline{m}$ and the result is ? when one of these products overflows).

observe that the product of linear/affine arithmetic expressions is not, in general, linear/affine, so we consider the particular case of one constant expression only. The other operators are also abstracted to ?

Structural specification of the forward collecting semantics of arithmetic expressions

$$\text{Faexp}[n]R \stackrel{\text{def}}{=} \{\underline{n}\}^6$$

$$\text{Faexp}[X]R \stackrel{\text{def}}{=} R(X)$$

$$\text{where } R(X) \stackrel{\text{def}}{=} \{\rho(X) \mid \rho \in R\}$$

$$\text{Faexp}[?]R \stackrel{\text{def}}{=} \mathbb{I}$$

$$\text{Faexp}[u A']R \stackrel{\text{def}}{=} \underline{u}^C(\text{Faexp}[A']R)$$

$$\text{where } \underline{u}^C(V) \stackrel{\text{def}}{=} \{u(v) \mid v \in V\}$$

$$\text{Faexp}[A_1 \text{ b } A_2]R \stackrel{\text{def}}{=} \underline{b}^C(\text{Faexp}[A_1], \text{Faexp}[A_2])R$$

$$\text{where } \underline{b}^C(F_1, F_2)R \stackrel{\text{def}}{=} \{v_1 \underline{b} v_2 \mid \exists \rho \in R : v_1 \in F_1(\{\rho\}) \wedge v_2 \in F_2(\{\rho\})\}$$

⁶ For short, the case $\text{Faexp}[A]\emptyset = \emptyset$ is not recalled.

Correctness of the linear abstraction

THEOREM. The syntactic transformation of an arithmetic expression A of a program P into its linear form $\alpha(A)$ yields an upper approximation of its forward collecting semantics

$$\forall R \in \wp(\text{Env}[P]) \setminus \{\emptyset\} : \text{Faexp}[A]R \subseteq \text{Faexp}[\alpha(A)]R$$

■

Note: since the analysis is defined by structural induction on the program syntax, a program transformation can be understood as an abstraction of the syntactic parameter of the analyzer: $\alpha(\text{Faexp}[A]) \stackrel{\text{def}}{=} \text{Faexp}[\alpha(A)]$

$$\begin{aligned} \text{— if } A \text{ is } X_j \text{ then:} \\ & \text{Faexp}[\alpha(X_j)]R \\ &= \text{Faexp}\left[\sum_{\substack{i=1 \\ i \neq j}}^R 0 * X_i + 1 * X_j + 0\right]R \\ &= \left\{ \sum_{\substack{i=1 \\ i \neq j}}^R 0 \times p(X_i) + 1 \times p(X_j) + 0 \mid p \in R \right\} \\ &= \{p(X_j) \mid p \in R\} = R(X_j) = \text{Faexp}[X_j]R \end{aligned}$$

Proof of correctness of the linear abstraction

PROOF. By structural induction on A

$$\begin{aligned} \text{— if } A \text{ is } n \text{ then:} \\ & \text{Faexp}[\alpha(n)]R \\ &= \text{Faexp}\left[\sum_{i=1}^R 0 * X_i + n\right]R \\ &= \left\{ \sum_{i=1}^R 0 \times p(X_i) + n \mid p \in R \right\} \\ &= \{n \mid p \in R\} \\ &= \{n\} \quad \text{since } R \neq \emptyset \\ &= \text{Faexp}[n]R. \end{aligned}$$

$$\begin{aligned} \text{— if } A \text{ is } ? \text{ then} \\ & \text{Faexp}[\alpha(?)]R = \mathbb{I}_R \supseteq \mathbb{I} = \text{Faexp}[?]R^{(*)} \end{aligned}$$

(*) Recall that $\text{Faexp}[A]R \in \mathbb{I}_R$ since the evaluation of A might yield \mathbb{R}_i or \mathbb{R}_a , so that this possibility must be included in the abstraction $\alpha(A)$. Notice that to be more precise we could have distinguished $?$ and $?_R$ in the abstract.

• If A is $(A_1 + A_2)$ then we proceed by cases on $\alpha(A_1)$ and $\alpha(A_2)$. If any one of them is ? then obviously:

$$\begin{aligned} & \text{Caexp}[A] R \\ & \subseteq \mathbb{I} \mathbb{R} \\ & = \text{Caexp}[\text{?}] R \\ & = \text{Caexp}[\alpha(A)] R. \end{aligned}$$

Otherwise we have:

$$\begin{aligned} \alpha(A_1) &= \sum_{i=1}^k n_i^1 \cdot X_i + n_{k+1}^1 \\ \alpha(A_2) &= \sum_{i=1}^k n_i^2 \cdot X_i + n_{k+1}^2 \end{aligned}$$

so that we have:

$$\text{Caexp}[\alpha(A_1 + A_2)] R$$

$$= \text{Caexp}\left[\sum_{i=1}^k (n_i^1 \oplus n_i^2) \cdot X_i + (n_{k+1}^1 \oplus n_{k+1}^2)\right] R$$

By structural induction hypothesis, we have:

$$\begin{aligned} \text{Caexp}[A_1](\{p\}) &\subseteq \text{Caexp}[\alpha(A_1)](\{p\}) \\ &= \text{Caexp}\left[\sum_{i=1}^k n_i^1 \cdot p(X_i) + n_{k+1}^1\right](\{p\}) \end{aligned}$$

and so:

$$\begin{aligned} & \subseteq \{ \text{Caexp}[A_1](\{p\}) + \text{Caexp}[A_2](\{p\}) \mid p \in R \} \\ & = \text{Caexp}[A_1 + A_2] R \end{aligned}$$

■ For the product, the proof is similar. □

• If some of the $n_i^1 \oplus n_i^2$ overflows, then we would have had ?, a case already considered above.

• Otherwise,

$$\begin{aligned} & = \left\{ \sum_{i=1}^k (n_i^1 + n_i^2) \cdot p(X_i) + (n_{k+1}^1 + n_{k+1}^2) \mid p \in R \right\} \\ & = \left\{ \left(\sum_{i=1}^k n_i^1 \cdot p(X_i) + n_{k+1}^1 \right) + \left(\sum_{i=1}^k n_i^2 \cdot p(X_i) + n_{k+1}^2 \right) \mid p \in R \right\} \\ & = \{ \text{Caexp}[\alpha(A_1)](\{p\}) + \text{Caexp}[\alpha(A_2)](\{p\}) \mid p \in R \} \end{aligned}$$

Syntax of linear boolean expressions

We define linear boolean expressions as:

$$\begin{aligned} BL &::= BL_1 \mid BL_2 \\ &\mid BL_1 \& BL_2 \\ &\mid AL_1 = AL_2 \\ &\mid AL_1 < AL_2 \\ &\mid \text{true} \\ &\mid \text{false} \end{aligned}$$

The collecting semantics is essentially unchanged but for the use of linear arithmetic expressions.

The collecting semantics of linear boolean expressions

$$\begin{aligned}
 \text{Cbexp}[\text{true}]R &\stackrel{\text{def}}{=} R \\
 \text{Cbexp}[\text{false}]R &\stackrel{\text{def}}{=} \emptyset \\
 \text{Cbexp}[AL_1 \text{ c } AL_2]R &\stackrel{\text{def}}{=} \underline{c}^C(\text{Faexp}[AL_1], \text{Faexp}[AL_2])R \\
 &\text{where } \underline{c}^C(F, G)R \stackrel{\text{def}}{=} \{\rho \in R \mid \exists v_1 \in F(\{\rho\}) \cap \mathbb{I} : \exists v_2 \in G(\{\rho\}) \cap \mathbb{I} : \\
 &\quad v_1 \underline{c} v_2 = \text{tt}\} \\
 \text{Cbexp}[BL_1 \& BL_2]R &\stackrel{\text{def}}{=} \text{Cbexp}[BL_1]R \cap \text{Cbexp}[BL_2]R \\
 \text{Cbexp}[BL_1 \mid BL_2]R &\stackrel{\text{def}}{=} \text{Cbexp}[BL_1]R \cup \text{Cbexp}[BL_2]R
 \end{aligned}$$

Soundness of the linearization of boolean expressions

THEOREM. The syntactic transformation of a boolean expression B of a program P into its linear form $\alpha(B)$ yields an upper approximation of its forward collecting semantics

$$\forall R \in \wp(\text{Env}[P]) \setminus \{\emptyset\} : \text{Cbexp}[B]R \subseteq \text{Cbexp}[\alpha(B)]R$$

Note: again the semantic abstraction $\alpha \in (\wp(\text{Env}[P]) \xrightarrow{\sqcup} \wp(\text{Env}[P])) \mapsto (\wp(\text{Env}[P]) \xrightarrow{\sqcup} \wp(\text{Env}[P]))$ is defined in term of a syntactic transformation of boolean expressions into linear boolean expressions: $\alpha(\text{Cbexp}[B]) \stackrel{\text{def}}{=} \text{Cbexp}[\alpha(B)]$

Linearization of boolean expressions

The extension of linearization to boolean expressions is trivial since it essentially concerns the arithmetic expressions within the boolean expression:

$$\begin{aligned}
 \alpha(\text{true}) &\stackrel{\text{def}}{=} \text{true} \\
 \alpha(\text{false}) &\stackrel{\text{def}}{=} \text{false} \\
 \alpha(A_1 \text{ c } A_2) &\stackrel{\text{def}}{=} \alpha(A_1) \text{ c } \alpha(A_2) \\
 \alpha(B_1 \& B_2) &\stackrel{\text{def}}{=} \alpha(B_1) \& \alpha(B_2) \\
 \alpha(B_1 \mid B_2) &\stackrel{\text{def}}{=} \alpha(B_1) \mid \alpha(B_2)
 \end{aligned}$$

PROOF. We proceed by structural induction on B .

— initial for true and false

— for the arithmetic comparison, we have shown that

$$\begin{aligned}
 \forall R \in \wp(R) : \text{Faexp}[A]R &\subseteq \text{Faexp}[\alpha(A)]R \\
 \text{and so when } R \neq \emptyset : \\
 \text{Cbexp}[\alpha(A_1 \text{ c } A_2)]R &= \text{Cbexp}[\alpha(A_1) \text{ c } \alpha(A_2)]R \\
 &= \underline{c}^C(\text{Faexp}[\alpha(A_1)], \text{Faexp}[\alpha(A_2)])R \\
 &\supseteq \underline{c}^C \text{ is pointwise extensive if } F \subseteq F' \text{ and } G \subseteq G' \text{ then } \underline{c}^C(F, G) \subseteq \underline{c}^C(F', G') \\
 &\subseteq \underline{c}^C(\text{Faexp}[A_1], \text{Faexp}[A_2])R \\
 &= \text{Cbexp}[A_1 \text{ c } A_2]R.
 \end{aligned}$$

- for the boolean operator, we have

$$\begin{aligned} & \text{Cbexp}[\alpha(B_1 \& B_2)]R \\ &= \text{Cbexp}[\alpha(B_1) \& \alpha(B_2)]R \\ &= \text{Cbexp}[\alpha(B_1)]R \cap \text{Cbexp}[\alpha(B_2)]R \\ &\in \{ \text{by induction hypothesis} \} \\ & \quad \text{Cbexp}[B_1]R \cap \text{Cbexp}[B_2]R \\ &= \text{Cbexp}[B_1 \& B_2]R \end{aligned}$$
 - The case of disjunction \vee is similar.

□

The postcondition collecting semantics of linear programs

$$\begin{aligned} \text{Pcom}[\text{skip}]R &= R \\ \text{Pcom}[X := AL]R &= \{\rho[X := i] \mid \rho \in R \wedge i \in (\text{Faexp}[AL]\{\rho\}) \cap \mathbb{I}\} \\ \text{Pcom}[\text{if } BL \text{ then } LCL_t \text{ else } LCL_f \text{ fi}]R &= \\ & \quad \text{Pcom}[LCL_t](\text{Cbexp}[BL]R) \cup \text{Pcom}[LCL_f](\text{Cbexp}[T(\neg(BL))])R \\ \text{Pcom}[\text{while } BL \text{ do } LCL \text{ od}]R &= \\ & \quad \text{let } I = \text{lfp}_0^{\subseteq} \lambda X. R \cup \text{Pcom}[LCL](\text{Cbexp}[BL]X) \text{ in} \\ & \quad \text{Cbexp}[T(\neg(BL))](I) \\ \text{Pcom}[BL ; LCL_0]R &= (\text{Pcom}[LCL_0] \circ \text{Pcom}[BL])R \\ \text{Pcom}[LCL_0 ;;]R &= \text{Pcom}[LCL_0] \end{aligned}$$

Syntax of linear commands and programs

LC ::=	Linear commands
skip	void
X := AL	linear assignment
if BL then LCL ₀ else LCL ₁ fi	test
while BL do LCL ₀ od	iteration
LCL ::=	List of linear commands
CL	
LC ; LCL ₀	
PL ::=	Linear programs
LCL;;	

Program linearization

The linearization abstraction is trivially extended to commands and programs as follows:

$$\begin{aligned} \alpha(\text{skip}) &\stackrel{\text{def}}{=} \text{skip} \\ \alpha(X := AL) &\stackrel{\text{def}}{=} X := \alpha(AL) \\ \alpha(\text{if } B \text{ then } LCL_t \text{ else } LCL_f \text{ fi}) &\stackrel{\text{def}}{=} \\ & \quad \text{if } \alpha(B) \text{ then } \alpha(LCL_t) \text{ else } \alpha(LCL_f) \text{ fi} \\ \alpha(\text{while } B \text{ do } LCL \text{ od}) &\stackrel{\text{def}}{=} \text{while } \alpha(B) \text{ do } \alpha(LCL) \text{ od} \\ \alpha(B ; LCL_0) &\stackrel{\text{def}}{=} \alpha(B) ; \alpha(LCL_0) \\ \alpha(LCL_0 ;;) &\stackrel{\text{def}}{=} \alpha(LCL_0) ;; \end{aligned}$$

Soundness of program linearization

THEOREM. The syntactic transformation of a program P into its linear form $\alpha(P)$ yields an upper approximation of its postcondition collecting semantics

$$\forall R \in \wp(\text{Env}[[P]]) \setminus \{\emptyset\} : \text{Pcom}[[P]]R \subseteq \text{Pcom}[[\alpha(P)]]R$$

■

Note: again we leave implicit the fact that this is indeed a semantic abstraction defined as: $\alpha(\text{Pcom}[[P]]) \stackrel{\text{def}}{=} \text{Pcom}[[\alpha(P)]]$

$$\begin{aligned} & \text{Pcom}[[\alpha(LC_1)]](\text{Pbexp}[[B]]R) \cup \\ & \text{Pcom}[[\alpha(LC_2)]](\text{Pbexp}[[\neg B]]R) \\ & \subseteq \{ \text{Pcom}[[\alpha(LC)]R] \supseteq \text{Pcom}[[LC]]R \} \\ & \text{Pcom}[[LC_1]](\text{Pbexp}[[B]]R) \cup \\ & \text{Pcom}[[LC_2]](\text{Pbexp}[[\neg B]]R) \\ & = \text{Pcom}[[\text{if } B \text{ then } LC_1 \text{ else } LC_2 \text{ fi}]]R \\ & \text{— the proof for while is similar using the fact} \\ & \text{that } F \sqsubseteq G \text{ implies } \text{Pbexp}_\perp F \sqsubseteq \text{Pbexp}_\perp G. \\ & \text{— the case } LC_j \text{ is trivial.} \end{aligned}$$

□

PROOF. We proceed by structural induction on P .

$$\begin{aligned} & \text{— initial for skip.} \\ & \text{— } \text{Pcom}[[X := A]]R \\ & = \{ p[X \leftarrow i] \mid p \in R \wedge i \in \text{Pbexp}[[\alpha(A)]](\{p\}) \cap \mathbb{I} \} \\ & \subseteq \{ p[X \leftarrow i] \mid p \in R \wedge i \in \text{Pbexp}[[A]](\{p\}) \cap \mathbb{I} \} \\ & = \text{Pcom}[[X := A]]R \\ & \text{— } \text{Pcom}[[\alpha(\text{if } B \text{ then } LC_1 \text{ else } LC_2 \text{ fi})]]R \\ & = \text{Pcom}[[\text{if } \alpha(B) \text{ then } \alpha(LC_1) \text{ else } \alpha(LC_2) \text{ fi}]]R \\ & = \text{Pcom}[[\alpha(LC_1)]](\text{Pbexp}[[\alpha(B)]]R) \cup \\ & \quad \text{Pcom}[[\alpha(LC_2)]](\text{Pbexp}[[\alpha(\neg B)]]R) \\ & \subseteq \{ \text{Pbexp}[[B]] \sqsubseteq \text{Pbexp}[[\alpha(B)]] \text{, } \alpha(\neg B) = \neg \alpha(B) \text{ and } \text{Pcom}[[LC]] \text{ is monotone} \} \end{aligned}$$

Implementation of the
syntactic linear abstraction

Linear relational representation of programs

The linear representation of
 $a_0 x_0 + \dots + a_n x_n + a_{n+1}$
 is the vector
 $[a_0; a_1; \dots; a_n; a_{n+1}]$
 while the representation of
 $a_0 x_0 + \dots + a_n x_n \geq a_{n+1}$
 is:
 $[a_0; a_1; \dots; a_n; a_{n+1}]$.
 Non-linear expressions or tests are represented
 by a random assignment.

```

10 and lbexp =
11   | LTRUE | LFALSE      (* constant boolean expression      *)
12   | RANDOM_BEXP         (* random boolean expression        *)
13   | LAND of lbexp list (* boolean conjunction              *)
14   | LOR  of lbexp list (* boolean disjunction               *)
15   | LGE  of int array  (* LGE a1 ... an b is a1.x1+...+an.xn >= b *)
16   | LEQ  of int array  (* LGE a1 ... an b is a1.x1+...+an.xn = b  *)
17 and label = Abstract_Syntax.label
18 and lcom =
19   | LSKIP of label * label
20   | LASSIGN of label * variable * laexp * label
21   | LSEQ of label * (lcom list) * label
22   | LIF of label * lbexp * lbexp * lcom * lcom * label
23   | LWHILE of label * lbexp * lbexp * lcom * label
24 val after : lcom -> label      (* command exit label      *)
25 val incom : label -> lcom -> bool (* label in command      *)

```

The non-initialization (Ω_i) and arithmetic errors (Ω_a) values of variables are simply ignored.

For the forthcoming backward analyzes, we need to know the label after c of a command c as well as a check $\text{incom } \ell \ c$ to test that the label ℓ does appear within command c .

```

1 (* linear_Syntax.mli *)
2 open Abstract_Syntax
3 (* A linear arithmetic expression a1.x1+...+an.xn+b, where n is the *)
4 (* number of program variables, is represented by a vector:      *)
5 (* LINEAR_AEXP a1 ... an b. A non-linear arithmetic expression is *)
6 (* represented by RANDOM_AEXP.                                     *)
7 type laexp =
8   | RANDOM_AEXP          (* random expression              *)
9   | LINEAR_AEXP of int array (* linear expression          *)

```

The linear abstraction of programs

- The *linear abstraction* of programs consists in replacing all non-linear expressions by a random choice (which is safe). Moreover the linear expressions are transformed into the array form defined in `linear_Syntax.mli`.

```

26 (* abstract_To_Linear_Syntax.mli *)
27 open Abstract_Syntax
28 open Linear_Syntax
29 (* Linearization of commands *)
30 val linearize_com : com -> lcom

```

- The linearization is by induction on the syntax of expressions by combination of the linear forms of the subexpressions. For example:

- For a constant v :

$$0.x_0 + 0.x_1 + \dots + 0.x_n + v$$

- For a variable x_i

$$0.x_0 + 0.x_1 + \dots + 1.x_i + \dots + 0.x_n + 0$$

```

31 (* abstract_To_Linear_Syntax.ml *)
32 open Abstract_Syntax
33 open Linear_Syntax
34 open Values
35 open Variables
36 (* Linearization of arithmetic operations *)
37 exception Not_constant
38 exception Not_linear
39 exception Abstract_To_Linear_Syntax_error
40 let rec linearize_aexp a =
41   let n = (number_of_variables ()) in
42   try
43     match a with
44     | (Abstract_Syntax.NAT i) ->
45       (match (machine_int_of_string i) with
46        | (ERROR_NAT _) -> RANDOM_AEXP
47        | (NAT vi) ->

```

- For an addition:

$$(a_0x_0 + \dots a_nx_n + a_{n+1}) + (b_0x_0 + \dots b_nx_n + b_{n+1}) \\ = (a_0 + b_0)x_0 + \dots (a_n + b_n)x_n + (a_{n+1} + b_{n+1})$$

When a coefficient is not machine representable, the result is simply the random overapproximation (represented by the random assignment).

- Finally, when the combination is not linear (e.g. product by non-constant), the result is also the random overapproximation.

```

48   let l = Array.make (n+1) 0 in l.(n) <- vi;
49   LINEAR_AEXP l)
50 | (VAR v) -> (let l = Array.make (n+1) 0 in l.(v) <- 1;
51   LINEAR_AEXP l)
52 | RANDOM -> RANDOM_AEXP
53 | (UPLUS a1) -> (linearize_aexp a1)
54 | (UMINUS a1) -> (match linearize_aexp a1 with
55   | RANDOM_AEXP -> RANDOM_AEXP
56   | LINEAR_AEXP l1 ->
57     let l = Array.make (n+1) 0 in
58     (for i=0 to n do
59       match machine_unary_minus (NAT l1.(i)) with
60       | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
61       | NAT v -> l.(i) <- v
62     done;
63     LINEAR_AEXP l))
64 | (PLUS (a1, a2)) ->
65   (match (linearize_aexp a1, linearize_aexp a2) with

```

```

66 | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_AEXP
67 | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->
68   let l = Array.make (n+1) 0 in
69   (for i=0 to n do
70     match machine_binary_plus (NAT l1.(i)) (NAT l2.(i)) with
71     | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
72     | NAT v -> l.(i) <- v
73   done;
74   LINEAR_AEXP l))
75 | (MINUS (a1, a2)) ->
76   (match (linearize_aexp a1, linearize_aexp a2) with
77   | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_AEXP
78   | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->
79     let l = Array.make (n+1) 0 in
80     (for i=0 to n do
81       match machine_binary_minus (NAT l1.(i)) (NAT l2.(i)) with
82       | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
83       | NAT v -> l.(i) <- v

```

```

102   let l = Array.make (n+1) 0 in
103   for i=0 to n do
104     match machine_binary_times (NAT l1.(i)) (NAT l2.(n)) with
105     | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
106     | NAT v -> l.(i) <- v
107   done;
108   LINEAR_AEXP l
109   with Not_linear -> RANDOM_AEXP)
110 | (DIV (a1, a2)) ->
111   (match (linearize_aexp a1, linearize_aexp a2) with
112   | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_AEXP
113   | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->
114     try
115       for i=0 to n-1 do if l2.(i)<>0 then raise Not_constant done;
116       if (l2.(n) = 0) then
117         RANDOM_AEXP
118       else
119         let l = Array.make (n+1) 0 in

```

```

84   done;
85   LINEAR_AEXP l))
86 | (TIMES (a1, a2)) ->
87   (match (linearize_aexp a1, linearize_aexp a2) with
88   | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_AEXP
89   | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->
90     try
91       for i=0 to n-1 do if l1.(i)<>0 then raise Not_constant done;
92       let l = Array.make (n+1) 0 in
93       for i=0 to n do
94         match machine_binary_times (NAT l1.(n)) (NAT l2.(i)) with
95         | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
96         | NAT v -> l.(i) <- v
97       done;
98       LINEAR_AEXP l
99       with Not_constant ->
100     try
101       for i=0 to n-1 do if l2.(i)<>0 then raise Not_linear done;

```

```

120   for i=0 to n do
121     match machine_binary_div (NAT l1.(i)) (NAT l2.(i)) with
122     | ERROR_NAT _ -> raise Abstract_To_Linear_Syntax_error
123     | NAT v -> l.(i) <- v
124   done;
125   LINEAR_AEXP l
126   with Not_constant -> RANDOM_AEXP)
127 | (MOD (a1, a2)) -> RANDOM_AEXP
128   with Abstract_To_Linear_Syntax_error -> RANDOM_AEXP
129 (* Linearization of boolean operations *)
130 let rec linearize_bexp b =
131   match b with
132   | TRUE -> LTRUE
133   | FALSE -> LFALSE
134   | (EQ (a1, a2)) ->
135     (match (linearize_aexp a1), (linearize_aexp a2) with
136     | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_BEXP
137     | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->

```



```

138     let t = Array.make ((number_of_variables ())+1) 0 in
139     for i=0 to (number_of_variables ()) do
140       t.(i) <- 12.(i) - 11.(i)
141     done;
142     LEQ t)
143 | (LT (a1, a2)) ->
144   (match (linearize_aexp a1),
145    (linearize_aexp (MINUS (a2, (Abstract_Syntax.NAT "1")))) with
146   | (RANDOM_AEXP, _) | (_, RANDOM_AEXP) -> RANDOM_BEXP
147   | (LINEAR_AEXP l1, LINEAR_AEXP l2) ->
148     let t = Array.make ((number_of_variables ())+1) 0 in
149     for i=0 to (number_of_variables ()) do
150       t.(i) <- 12.(i) - 11.(i)
151     done;
152     LGE t)
153 | (AND (b1, b2)) ->
154   (match (linearize_bexp b1), (linearize_bexp b2) with
155   | (LFALSE, _) | (_, LFALSE) -> LFALSE

```

```

174 let rec linearize_com c =
175   match c with
176   | SKIP (l1, l2) -> (LSKIP (l1, l2))
177   | ASSIGN (l1, v, a, l2) -> (LASSIGN (l1, v, (linearize_aexp a), l2))
178   | SEQ (l1, cl, l2) -> (LSEQ (l1, (linearize_com_list cl), l2))
179   | IF (l1, b, nb, ct, cf, l2) ->
180     (LIF (l1, (linearize_bexp b), (linearize_bexp nb),
181      (linearize_com ct), (linearize_com cf), l2))
182   | WHILE (l1, b, nb, c, l2) ->
183     (LWHILE (l1, (linearize_bexp b), (linearize_bexp nb),
184      (linearize_com c), l2))
185 and linearize_com_list cl =
186   match cl with
187   | [] -> []
188   | c :: cl' -> (linearize_com c) :: (linearize_com_list cl')

```

```

156   | (LTRUE, b) -> b
157   | (a, LTRUE) -> a
158   | (RANDOM_BEXP, _) | (_, RANDOM_BEXP) -> RANDOM_BEXP
159   | (LAND l1, LAND l2) -> LAND (l1@l2)
160   | (LAND l, b) -> LAND (l@[b])
161   | (b, LAND l) -> LAND (b::l)
162   | (b1', b2') -> LAND [b1';b2']
163 | (OR (b1, b2)) ->
164   (match (linearize_bexp b1), (linearize_bexp b2) with
165   | (LTRUE, _) | (_, LTRUE) -> LTRUE
166   | (LFALSE, b) -> b
167   | (a, LFALSE) -> a
168   | (RANDOM_BEXP, _) | (_, RANDOM_BEXP) -> RANDOM_BEXP
169   | (LOR l1, LOR l2) -> LOR (l1@l2)
170   | (LOR l, b) -> LOR (l@[b])
171   | (b, LOR l) -> LOR (b::l)
172   | (b1', b2') -> LOR [b1';b2']
173 (* Linearization of commands *)

```

Note: an alternative (as in *ASTRÉE*) is to use an abstract domain which keeps track of linear subexpressions (together with rounding errors) [1, 2]. The other numerical domains then use this symbolic domain to obtain a symbolic value of expressions which can then be evaluated in the abstract. In this pedagogical abstract interpreter, we use a much simpler hard-coding of linear expressions (with a static abstraction).

Reference

- [1] Antoine Miné. “Relational abstract domains for the detection of floating-point run-time errors”. In ESOP 2004 — European Symposium on Programming, D. Schmidt (editor), Mar. 27 — Apr. 4, 2004, Barcelona, Lecture Notes in Computer Science 2986, pp. 3—17, Springer.
- [2] Antoine Miné. “Weakly relational numerical abstract domains”. PhD, École polytechnique, 6 December 2004.

Pretty-printing linear programs

```
189 (* lpretty_Print.mli *)
190 open Linear_Syntax
191 val lpretty_print : lcom -> unit

192 (* lpretty_Print.ml *)
193 open Linear_Syntax
194 open Variables
195 open Labels
196 (* print linearized arithmetic expressions *)
197 let rec print_Laexp a = match a with
198 | RANDOM_AEXP ->
199     (print_string "?")
200 | LINEAR_AEXP l ->
201     (let print_var v = (print_int l.(v); print_string ".";
202                        print_variable v)
```

```
221         and print_plus v = (print_string " + ")
222         in (map_variables print_var print_plus;
223            print_string " + ";
224            print_int l.(number_of_variables ());
225            print_string " = 0"))
226 | (LOR bl) -> print_string "("; (print_Lbexp_or bl);
227                                print_string ")"
228 | (LAND bl) -> print_string "("; (print_Lbexp_and bl);
229                                print_string ")"
230 and print_Lbexp_or bl = match bl with
231 | [] -> ()
232 | b :: [] -> print_Lbexp b
233 | b :: bl' -> print_Lbexp b; print_string " | "; print_Lbexp_or bl'
234 and print_Lbexp_and bl = match bl with
235 | [] -> ()
236 | b :: [] -> print_Lbexp b
237 | b :: bl' -> print_Lbexp b; print_string " & "; print_Lbexp_or bl'
238 exception Error_lpretty_print of string
```

```
203         and print_plus v = (print_string " + ")
204         in (map_variables print_var print_plus;
205            print_string " + ";
206            print_int l.(number_of_variables ())))
207 (* print linear boolean expressions *)
208 let rec print_Lbexp b = match b with
209 | LTRUE -> print_string "true"
210 | LFALSE -> print_string "false"
211 | RANDOM_BEXP -> print_string "???"
212 | (LGE l) -> (let print_var v = (print_int l.(v);
213                                print_string "."; print_variable v)
214              and print_plus v = (print_string " + ")
215              in (map_variables print_var print_plus;
216                 print_string " + ";
217                 print_int l.(number_of_variables ());
218                 print_string " >= 0"))
219 | (LEQ l) -> (let print_var v = (print_int l.(v);
220                                print_string "."; print_variable v)
```

```
239 (* print linearized program *)
240 let lpretty_print c =
241   let rec print_margin n =
242     if n > 0 then (print_string " "; print_margin (n-1))
243     else ()
244   and print_margin_label n l =
245     (print_margin n;
246      print_label l; print_string ": ";
247      print_newline ())
248   and print_seq n s =
249     match s with
250     | [] -> raise (Error_lpretty_print
251                  "empty sequence of commands")
252     | [c'] -> print_com n c'
253     | h :: s' -> (print_com n h;
254                  print_string ";"; print_newline ();
255                  print_seq n s')
256   and print_com n c' =
```



```

257 match c' with
258 | (LSKIP (l,m)) ->
259     print_margin_label n l; print_margin (n+1);
260     print_string "skip"
261 | (LASSIGN (l,v,a,m)) ->
262     print_margin_label n l;
263     print_margin (n+1); print_variable v; print_string " := ";
264     print_Laexp a
265 | (LSEQ (l,s,m)) ->
266     print_seq n s
267 | (LIF (l,b,nb,t,f,m)) ->
268     print_margin_label n l; print_margin (n+1);
269     print_string "if "; print_Lbexp b;
270     print_string " then"; print_newline();
271     print_com_line (n+2) t;
272     print_margin (n+1); print_string "else";
273     print_string " {"; print_Lbexp nb; print_string "}";
274     print_newline ();

```

– Example:

```

% cat ../Examples/example29.sil% example29.sil %
n := ?; i := n;
while (i <> 1) do
  j := 0;
  while(j <> i) do
    j := j + 1
  od;
  i := i - 1
od;;

```

```

275     print_com_line (n+2) f;
276     print_margin (n+1); print_string "fi"
277 | (LWHILE (l,b,nb,c'',m)) ->
278     print_margin_label n l; print_margin (n+1);
279     print_string "while "; print_Lbexp b;
280     print_string " do"; print_newline();
281     print_com_line (n+2) c'';
282     print_margin (n+1); print_string "od";
283     print_string " {"; print_Lbexp nb; print_string "}";
284 and print_com_line n c' =
285     print_com n c'; print_newline ();
286     print_margin_label n (Linear_Syntax.after c')
287 in
288     print_com_line 0 c

```

```

% ./a.out ../Examples/example29.sil
** Program:
0:
  n := ?;
1:
  i := n;
2:
  while ((i < 1) | (1 < i)) do
    3:
      j := 0;
    4:
      while ((j < i) | (i < j)) do
        5:
          j := (j + 1)
        6:
          od {(j = i)};
    7:
      i := (i - 1)
    8:
      od {(i = 1)}
9:

```

**** Linearized program:**

```
0:
  n := ?;
1:
  i := 1.n + 0.i + 0.j + 0;
2:
  while (0.n + -1.i + 0.j + 0 >= 0 | 0.n + 1.i + 0.j + -2 >= 0) do
    3:
      j := 0.n + 0.i + 0.j + 0;
    4:
      while (0.n + 1.i + -1.j + -1 >= 0 | 0.n + -1.i + 1.j + -1 >= 0) do
        5:
          j := 0.n + 0.i + 1.j + 1
        6:
          od {0.n + 1.i + -1.j + 0 = 0};
      7:
        i := 0.n + 1.i + 0.j + -1
      8:
        od {0.n + -1.i + 0.j + 1 = 0}
    9:
```

Linear abstraction

— In relational analyses, expressions must be analyzed as a whole (as opposed to the compositional analysis by structural induction in the case of non-relational analyses)

— Most relational analyses consider only linear expressions of the form:

$$x_i := a_0 x_0 + \dots + a_n x_n$$

$$a_0 x_0 + \dots + a_n x_n \geq a_{n+1}$$

where the x_0, \dots, x_n denote the values of the variables and a_0, \dots, a_{n+1} are numeric coefficients (in \mathbb{Z}, \mathbb{Q} or \mathbb{R} , see Hine[book] for floats)

A generic linear relational abstract interpreter

— So a generic relational analyzer may be specialized to linear expressions

— keeping all linear expressions in the above standardized form

— approximating the other non-linear expressions by a random choice (?).

Abstract syntax

- The basic files `lexer.mll` and `parser.mly` are unchanged.
- The variables are represented by a natural number, so the symbol table is essentially unchanged, but for the inclusion of functions `map_variables` and `string_of_variable`:

```
val map_variables      : (variable -> unit) -> (variable -> unit) ->
unit
val string_of_variable : variable -> string
```

which are implemented as follows:

```
(* map_variables p q = (p v0); (q v1); (p v1); ... ; *)
(* (p vn-2); (q vn-1); (p vn-1) *)
(* where v0, ..., vn-1 are the n >= 0 program variables *)
let map_variables p q =
  if (number_of_variables ()) > 0 then
    (p 0;
     for v = 1 to ((number_of_variables ()) - 1) do
       q v;
       p v
     done)
  else
    ()
```

These functions are imported by the Variables modules (which no longer hides the internal implementation of variables by their natural rank, which is the representation considered in available libraries)

```
(* string of variable v in symbol table *)
exception Error_string_of_variable of string
let string_of_variable v =
  let p = ref !symb_table in
  for k = 0 to (v - 1) do
    if !p = [] then
      raise (Error_string_of_variable "too large")
    else
      p := tl !p
  done;
  if !p = [] then
    raise (Error_string_of_variable "not found")
  else
    hd !p
```

```
1 (* variables.mli *)
2 open Symbol_Table
3 type variable = Symbol_Table.variable
4 val number_of_variables : unit -> int
5 val for_all_variables : (variable -> 'a) -> unit
6 val print_variable : variable -> unit
7 val map_variables : (variable -> unit) -> (variable -> unit) -> unit
8 val string_of_variable : variable -> string
9 (* variables.ml *)
10 open Symbol_Table
11 type variable = Symbol_Table.variable
12 let number_of_variables = number_of_variables
13 let for_all_variables = for_all_variables
14 let print_variable = print_variable
15 let map_variables = map_variables
16 let string_of_variable = string_of_variable
17
```

- The program abstract syntax is unchanged, as well as the translation from concrete to abstract syntax, as found in the files `abstract_Syntax.ml`, `concrete_To_Abstract_Syntax.mli`, `concrete_To_Abstract_Syntax.ml`, `labels.mli`, `labels.ml`, `program_To_Abstract_Syntax.mli`, `program_To_Abstract_Syntax.ml`, `pretty_Print.mli`, `pretty_Print.ml`
- The modules handling the concrete values are unchanged: `values.mli`, `values.ml`

```

31 val is_bot : t -> bool
32 (* uninitialization *)
33 val initerr : unit -> t
34 (* supremum *)
35 val top : unit -> t
36 (* least upper bound *)
37 val join : t -> t -> t
38 (* greatest lower bound *)
39 val meet : t -> t -> t
40 (* approximation ordering *)
41 val leq : t -> t -> bool
42 (* equality *)
43 val eq : t -> t -> bool
44 (* printing *)
45 val print : t -> unit
46 (* collecting semantics of assignment *)
47 (* f_ASSIGN x f r = {e[x <- i] | e in r /\ i in f({e}) cap I } *)
48 val f_ASSIGN : variable -> laexp -> t -> t

```

Generic linear relational abstract domains

- The signature of the linear relational abstract domains is as follows:

```

18 (* aenv.mli *)
19 open Linear_Syntax
20 open Array
21 open Variables
22 (* set of environments *)
23 type t
24 (* relational library initialization *)
25 val init : unit -> unit
26 (* relational library exit *)
27 val quit : unit -> unit
28 (* infimum *)
29 val bot : unit -> t
30 (* check for infimum *)

```

```

49 (* collecting semantics of boolean expressions *)
50 (* f_LGE a r = {e in r | a0.v0+...+an-1.vn-1 >= an } *)
51 val f_LGE : (int array) -> t -> t
52 (* f_LEQ a r = {e in r | a0.v0+...+an-1.vn-1 = an } *)
53 val f_LEQ : (int array) -> t -> t
54 (* convergence acceleration *)
55 (* widening *)
56 val widen : t -> t -> t
57 (* narrowing *)
58 val narrow : t -> t -> t

```

The **abstract domains** include:

- The **initialization** and **finalization of the library** used to implement the abstract domain
- The **lattice structure**
- The **convergence acceleration operators** (if necessary)
- The **forward analysis of assignment**

$$x := a_0x_0 + \dots + a_nx_n + a_{n+1}$$

by f_ASSIGN x f r where $f = [a_0, a_1; \dots; a_n; a_{n+1}]$ which is an upper-approximation of

$$\alpha(\{\rho \in \gamma(r) \mid a_0.\rho(x_0) + \dots a_n.\rho(x_n) \geq a_{n+1}\})$$

which is the case of error in the machine computation of the expression $a_0.\rho(x_0) + \dots a_n.\rho(x_n)$.

- It is sometimes useful to handle equality tests

$$a_0x_0 + \dots + a_nx_n = a_{n+1}$$

(which otherwise has to be handled by two opposite inequalities)

$$\alpha(\{\rho[x := v] \mid \rho \in \gamma(r) \wedge v \in \llbracket f \rrbracket \rho \cap \mathbb{I}\})$$

and $\llbracket f \rrbracket \rho \stackrel{\text{def}}{=} a_0.\rho(x_0) + \dots a_n.\rho(x_n) + a_{n+1}$

which is Ω_a is case of error in the machine computation of the expression $a_0.\rho(x_0) + \dots a_n.\rho(x_n) + a_{n+1}$.

- The **analysis of boolean expressions**

$$a_0x_0 + \dots + a_nx_n \geq a_{n+1}$$

by f_LGE a r where $a = [a_0, a_1; \dots; a_n; a_{n+1}]$ which is an upper-approximation of

Generic linear relational analysis of boolean expressions

- The boolean expressions can be handled generically:

```
59 (* abexp.mli *)
60 open Linear_Syntax
61 open Aenv
62 (* abstract interpretation of boolean operations *)
63 val a_bexp : lbexp -> Aenv.t -> Aenv.t
```

- The implementation is as follows:

```
64 (* abexp.ml *)
65 open Linear_Syntax
66 open Aenv
67 (* abstract interpretation of linearized boolean operations *)
68 exception Error of string
69 let rec a_bexp b r =
```

```

70 match b with
71 | RANDOM_BEXP -> r
72 | LTRUE      -> r
73 | LFALSE     -> (Aenv.bot ())
74 | (LGE a)    -> (Aenv.f_LGE a r)
75 | (LEQ a)    -> (Aenv.f_LEQ a r)
76 | (LAND l)   -> let rec andlist l = match l with
77 | []         -> (raise (Error "empty LAND incoherence"))
78 | b'::[]     -> a_bexp b' r
79 | b'::l'     -> Aenv.meet (a_bexp b' r) (andlist l')
80 in andlist l
81 | (LOR l)    -> let rec orlist l = match l with
82 | []         -> (raise (Error "empty LOR incoherence"))
83 | b'::[]     -> a_bexp b' r
84 | b'::l'     -> Aenv.join (a_bexp b' r) (orlist l')
85 in orlist l
86

```

```

95 open Abexp
96 open Fixpoint
97 (* collecting semantics of commands *)
98
99 exception Error of string
100 let rec acom c r l =
101   match c with
102   | (LSKIP (l', l'')) ->
103     if (l = l') then r
104     else if (l = l'') then r
105     else (raise (Error "SKIP incoherence"))
106   | (LASSIGN (l', x, a, l'')) ->
107     if (l = l') then r
108     else if (l = l'') then
109       f_ASSIGN x a r
110     else (raise (Error "ASSIGN incoherence"))
111   | (LSEQ (l', s, l'')) ->
112     (acomseq s r l)

```

Generic linear relational analysis of commands

- The structure is quite similar to the non-relational case, but for the fact that the analysis operates on the linear abstraction of the program and non longer on the program abstract syntax):

```

87 (* acom.mli *)
88 open Linear_Syntax
89 open Aenv
90 (* forward abstract interpretation of commands *)
91 val acom : lcom -> Aenv.t -> label -> Aenv.t

```

- The implementation is as follows:

```

92 (* acom.ml *)
93 open Linear_Syntax
94 open Aenv

```

```

113 | (LIF (l', b, nb, t, f, l'')) ->
114   (if (l = l') then r
115    else if (incom l t) then
116      (acom t (a_bexp b r) l)
117    else if (incom l f) then
118      (acom f (a_bexp nb r) l)
119    else if (l = l'') then
120      (let rt = (acom t (a_bexp b r) (after t))
121       and rf = (acom f (a_bexp nb r) (after f))
122       in join rt rf)
123    else (raise (Error "IF incoherence")))
124 | (LWHILE (l', b, nb, c', l'')) ->
125   let f x = join r (acom c' (a_bexp b x) (after c'))
126   in let i = lfp (bot ()) leq widen narrow f in
127   (if (l = l') then i
128    else if (incom l c') then (acom c' (a_bexp b i) l)
129    else if (l = l'') then (a_bexp nb i)
130    else (raise (Error "WHILE incoherence")))

```

```

131 and acomseq s r l = match s with
132 | [] -> raise (Error "empty SEQ incoherence")
133 | [c] -> if (incom l c) then (acom c r l)
134 |       else (raise (Error "SEQ incoherence"))
135 | h::t -> if (incom l h) then (acom h r l)
136 |       else (acomseq t (acom h r (after h)) l)
137

```

Fixpoint computation with widening/narrowing

- The fixpoint computation `fixpoint.mli` and `fixpoint-notrace.ml` is unchanged
- We add the ability to trace fixpoint computations to observe the iterates in `fixpoint-trace.ml`
- The choice of `fixpoint.ml` between `fixpoint-notrace.ml` or `fixpoint-trace.ml` is done in the makefile prior to starting the analysis

```

138 (* fixpoint.ml *)
139 open Aenv
140 (* iteration of f from prefixpoint x with ordering c and widening w *)
141 let rec luis x c w f =

```

- In general an analyzer has both relational domains and non-relational domains, which must be combined through a reduced product
- In general languages have aliases and so the abstraction must map program variables to abstract variables of the abstract domain. It is then useful to have in the abstract domain variables with destructive assignment as well as variables with cumulative assignment

```

142 print_string "luis: x =\n";
143 print x;
144 let x' = (f x) in
145   print_string "luis: f(x) =\n";
146   print x';
147   if (c x' x) then
148     (print_string "luis: f(x) <= x, convergence\n";
149      x)
150   else
151     (let x'' = (w x x') in
152      print_string "luis: x \\/ f(x) =\n";
153      print x'';
154      luis x'' c w f)
155 (* iteration of f from postfixpoint x with ordering c and narrowing n *)
156 let rec llis x c n f =
157   print_string "llis: x =\n";
158   print x;
159   let x' = (f x) in

```

```

160     print_string "llis: f(x) =\n";
161     print x';
162     let x'' = (n x x') in
163       print_string "llis: x /\ f(x) =\n";
164       print x'';
165       if (c x x'') then
166         (print_string "llis: x <= x /\ f(x), convergence\n";
167          x')
168       else llis x'' c n f
169 (* lfp x c w n f : iterative computation of a c-postfixpoint of f *)
170 (* c-greater than or equal to the prefixpoint x (x <= f(x)) with *)
171 (* widening w and narrowing n *)
172 let lfp x c w n f = llis (luis x c w f) c n f
173 (* gfp x c n f : iterative computation of a c-postfixpoint of f *)
174 (* c-less than or equal to the postfixpoint x (f(x) <= x) with *)
175 (* narrowing n *)
176 let gfp x c n f = llis x c n f

```

```

190     let p = (abstract_syntax_of_program arg) in
191     (print_string "** Program:\n";
192      pretty_print p;
193      let p' = (linearize_com p) in
194        print_string "** Linearized program:\n";
195        lpretty_print p';
196        init ();
197        print_string "** Precondition:\n";
198        print (initerr ());
199        print_string "** Postcondition:\n";
200        print (acom p' (initerr ()) (after p'));
201        quit ())

```

The generic linear relational abstract interpreter

```

177 (* main.ml *)
178 open Program_To_Abstract_Syntax
179 open Labels
180 open Pretty_Print
181 open Lpretty_Print
182 open Abstract_To_Linear_Syntax
183 open Linear_Syntax
184 open Aenv
185 open Acom
186 let _ =
187   let arg = if (Array.length Sys.argv) = 1 then ""
188             else Sys.argv.(1) in
189   Random.self_init ();

```

makefile

```

202 EXAMPLES = ../Examples
203
204 SOURCES = \
205   symbol_Table.mli \
206   symbol_Table.ml \
207   variables.mli \
208   variables.ml \
209   abstract_Syntax.ml \
210   concrete_To_Abstract_Syntax.mli \
211   concrete_To_Abstract_Syntax.ml \
212   labels.mli \
213   labels.ml \
214   parser.mli \
215   parser.ml \

```



```

216 lexer.ml \
217 program_To_Abstract_Syntax.mli \
218 program_To_Abstract_Syntax.ml \
219 pretty_Print.mli \
220 pretty_Print.ml \
221 values.mli \
222 values.ml \
223 linear_Syntax.mli \
224 linear_Syntax.ml \
225 abstract_To_Linear_Syntax.mli \
226 abstract_To_Linear_Syntax.ml \
227 lpretty_Print.mli \
228 lpretty_Print.ml \
229 aenv.mli \
230 aenv.ml \
231 abexp.mli \
232 abexp.ml \
233 fixpoint.mli \

```

```

252 @/bin/rm -f aenv.ml
253 @ln -s ../Relational-FW/Polyhedra/aenv.ml aenv.ml
254 @echo "Polyhedral analysis"
255 ocaml yacc parser.mly
256 ocamllex lexer.mll
257 ocamlc -custom -I /usr/local/lib -I /usr/local/lib/ocaml \
258 -cclib "-L/usr/local/lib -L/usr/local/lib/ocaml -lpolkag_caml \
259 -lpolkag -lgmp -lcamlidl" \
260 polka.cma ${SOURCES}
261
262 include ${EXAMPLES}/makefile
263
264 .PHONY : clean
265 clean :
266 /bin/rm -f *.cmi *.cmo *~ a.out lexer.ml parser.mli parser.ml

```

```

234 fixpoint.ml \
235 acom.mli \
236 acom.ml \
237 main.ml
238
239 .PHONY : help
240 help :
241 @echo ""
242 @echo "Forward relational static analysis:"
243 @echo "make [help] : this help"
244 @echo "make pol : polyhedral analysis"
245 @echo "./a.out file.sil : analyze file.sil"
246 @echo "make examples : analyze all examples"
247 @echo "make clean : remove auxiliary files"
248 @echo ""
249
250 .PHONY : pol
251 pol:

```

Polyhedral relational static analysis

Polyhedral abstract domain

- We consider a **vector space** \mathbb{V} over a **field** \mathbb{F} , that is a set closed under finite vector addition and multiplication by a scalar in \mathbb{F}
- Typically $\mathbb{F} = \mathbb{Q}$ or $\mathbb{F} = \mathbb{R}$ ⁷ and the vector space is the corresponding Euclidean space $\mathbb{V} = \mathbb{F}^n$
- The abstract predicates are affine inequalities $AX \leq B$ i.e. closed convex polyhedra over the field \mathbb{F}

$$\begin{cases} \sum_{i=1}^n a_{j,i} \cdot x_i \leq a_{j,n+1} \\ j = 1, \dots, m \end{cases}$$

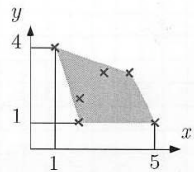
⁷ which is an unsolved soundness problem when implementing the algorithms in \mathbb{R} with floats.

Example of polyhedral static analysis

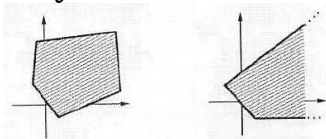
```
program PL;
  var I, J : integer;
begin
  I := 2; J := 0;
  while ... do begin
    { 2J + 2 ≤ I ∧ 0 ≤ J }
    if ... then begin
      I := I + 4;
      { 2J + 6 ≤ I ∧ 0 ≤ J }
    end else begin
      I := I + 2; J := J + 1;
      { 2J + 2 ≤ I ∧ 1 ≤ J }
    end;
    { 2J + 2 ≤ I ∧ 6 ≤ I + 2J ∧ 0 ≤ J }
  end;
end.
```

- Example:

$$\begin{aligned} 3x + y &\geq 7 \\ \wedge 2x + y &\leq 11 \\ \wedge y &\geq 1 \\ \wedge x + 3y &\leq 13 \end{aligned}$$



- The polyhedra may be unbounded:



- A relation is discovered between I and J although they never appear in the same command (thus showing the limits of heuristic methods)

```
% example40.sil %
I:=2;J:=0;B:=?;
while B<>0 do
  if B<>1 then
    I:=I+1
  else
    I:=I+2;
    J:=J+1
  fi
od;;
** Program:
...
```

```

** Linearized program:
I := 0.I + 0.J + 0.B + 2;
J := 0.I + 0.J + 0.B + 0;
B := ?;
while (0.I + 0.J + -1.B + -1 >= 0 | 0.I + 0.J + 1.B + -1 >= 0) do
  if (0.I + 0.J + -1.B + 0 >= 0 | 0.I + 0.J + 1.B + -2 >= 0) then
    I := 1.I + 0.J + 0.B + 1
  else {0.I + 0.J + -1.B + 1 = 0}
    I := 1.I + 0.J + 0.B + 2;
    J := 0.I + 1.J + 0.B + 1
  fi
od {0.I + 0.J + -1.B + 0 = 0}
** Precondition:
{1>=0}
** Postcondition:
{B=0, 1>=0, J>=0, I>=2J+2}
%
```

$$\sum_{k=1}^r \mu_k R_k \quad \text{where } \forall j \in [1, p] : \forall k \in [1, r] : \mu_k \geq 0$$

– The *affine hull* (also *convex hull*) of $\langle V, R \rangle$ is:

$$\left\{ \left(\sum_{j=1}^p \lambda_j V_j \right) + \left(\sum_{k=1}^r \mu_k R_k \right) \mid \right. \\ \left. \forall j \in [1, p] : \lambda_j \geq 0 \wedge \sum_{j=1}^p \lambda_j = 1 \wedge \right. \\ \left. \forall k \in [1, r] : \mu_k \geq 0 \right\}$$

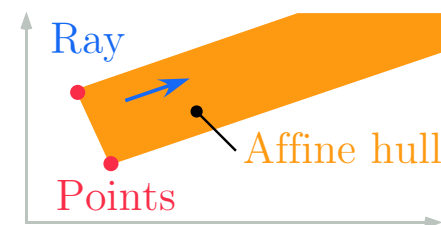
Affine hull

– Given a set $V \in \mathbb{F}^{n \times p}$ representing a finite set of points $\{V_1, \dots, V_p\}$, an *affine combination of points* in V is

$$\sum_{j=1}^p \lambda_j V_j \quad \text{where } \forall j \in [1, p] : \lambda_j \geq 0 \wedge \sum_{j=1}^p \lambda_j = 1$$

– To handle unbounded polyhedra, also consider a set $R \in \mathbb{F}^{n \times r}$ representing a set of rays $\{R_1, \dots, R_r\}$ (i.e., intuitively, points at infinite). An *affine combination of rays* in R is

– Example:



– The affine hull would be nice as an abstraction function — but — it is not defined for an infinite number of points/rays

– We use a concretization function only

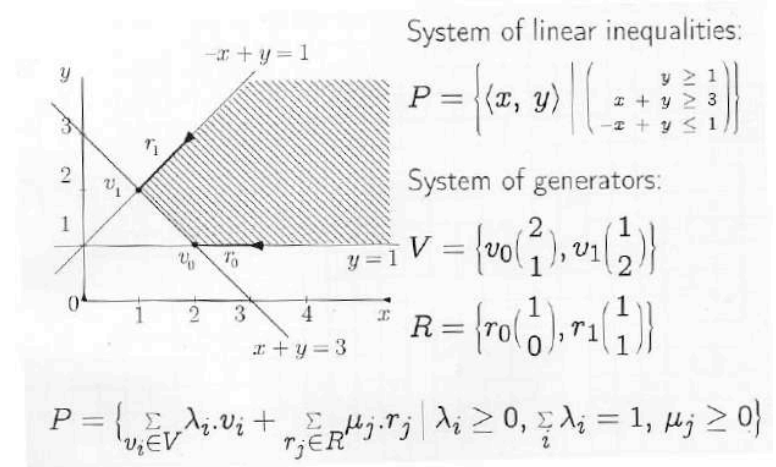
Representation of polyhedra by constraints

We have two dual representations by constraints and systems of generators

- **Representation by constraints:** $\langle A, B \rangle$ where $A \in \mathbb{F}^{m \times n}$ and $B \in \mathbb{F}^m$ representing

$$\gamma(\langle A, B \rangle) \stackrel{\text{def}}{=} \{X \in \mathbb{F}^n \mid AX \geq B\}$$

Example



Representation of polyhedra by generators

- **Representation by generators:** $\langle V, R \rangle$ where $V \in \mathbb{F}^{n \times p}$ represents a set of vertices $\{V_1, \dots, V_p\}$ while $R \in \mathbb{F}^{n \times r}$ represents a set of rays $\{R_1, \dots, R_r\}$ ⁸ which encodes the concrete set

$$\gamma(\langle V, R \rangle) = \left\{ \left(\sum_{j=1}^p \lambda_j V_j \right) + \left(\sum_{k=1}^r \mu_k R_k \right) \mid \right.$$

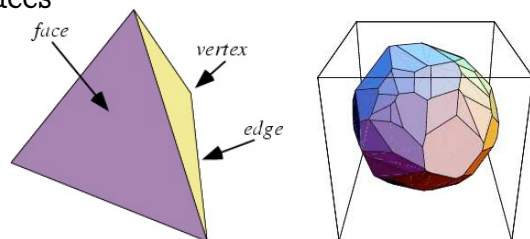
$$\left. \begin{aligned} &\forall j \in [1, p] : \lambda_j \geq 0 \wedge \sum_{j=1}^p \lambda_j = 1 \wedge \\ &\forall k \in [1, r] : \mu_k \geq 0 \end{aligned} \right\}$$

⁸ It can be more efficient in the frame representation to use lines to represent rays in opposite directions

Minimal representations

- The representation by constraints $\langle A, B \rangle$ is minimal whenever no constraint can be eliminated without changing the polyhedron $\gamma(\langle A, B \rangle)$
- The representation by a system of generators $\langle V, R \rangle$ is minimal when no vertex or ray can be eliminated without changing the polyhedron $\gamma(\langle V, R \rangle)$

- There is no bound on the size of these (minimal) representations since polyhedra can have an arbitrary number of faces



Conversion of constraints to generators by Chernikova algorithm

- Chernikova [3] algorithm computes iteratively the system of generators of a polyhedron P given by a system of linear inequalities $AX \geq B$ by successive intersections

References

- [3] N.V. Chernikova. Algorithm for discovering the set of all solutions of a linear programming problem. *U.S.S.R. Computational Mathematics and Mathematical Physics*, 8(6):282–293, 1968.

Why two representations?

- Some operations are much more simple to define on one representation than on the other
- Some operations are much more efficient on one representation than on the other
- It is necessary to convert from one representation to the other

Chernikova algorithm

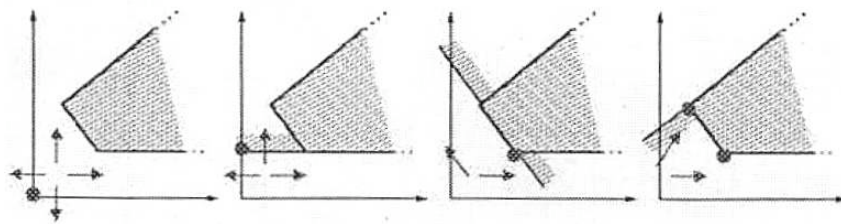
- Start with $P_0 = \mathbb{Q}^n$ given by the system of generators $V_0 = \{\vec{0}\}$ and $R_0 = \{\vec{i}_1, \dots, \vec{i}_n, -\vec{i}_1, \dots, -\vec{i}_n\}$ where $\{\vec{i}_1, \dots, \vec{i}_n\}$ is a basis of \mathbb{Q}^n ;
- At step k , intersect P_{k-1} with the k^{th} inequality $aX \geq b$ of $AX \geq B$, as follows:
 1. any vertex $v \in V_{k-1}$ such that $av \geq b$ belongs to V_k ;
 2. any ray $r \in R_{k-1}$ such that $ar \geq 0$ belongs to R_k ;
 3. for any pair $\langle v, v' \rangle$ of vertices in V_{k-1} such that $av > b$ and $av' < b$, their convex combination $\frac{b-av'}{av-av'} \cdot v - \frac{b-av}{av-av'} \cdot v'$ belongs to V_k ;

4. for any pair $\langle v, r \rangle$ of vertex and ray in $V_{k-1} \times R_{k-1}$ such that either $av > b$ and $ar < 0$ or $av < b$ and $ar > 0$, their positive combination $v + \frac{b-av}{ar} \cdot r$ belongs to V_k ;
5. for any pair $\langle r, r' \rangle$ of rays in R_{k-1} such that $ar > 0$ and $ar' < 0$, their positive combination $(ar') \cdot r - (ar) \cdot r'$ belongs to R_k .

Remarks on Chenikova algorithm

- In the worst case the algorithm can generate an exponential number of generators (an hypercube in dimension n is described by $2n$ constraints but 2^n vertices)
- Moreover, the system of generators computed by Chernikova algorithm may not be minimal, redundant points and rays must be eliminated

Chenikova algorithm: example



- This can be done by Le Verge algorithm [1], to minimize the system of generators during its construction
- By duality, the algorithm can be used to convert a set of generators into a set of constraints

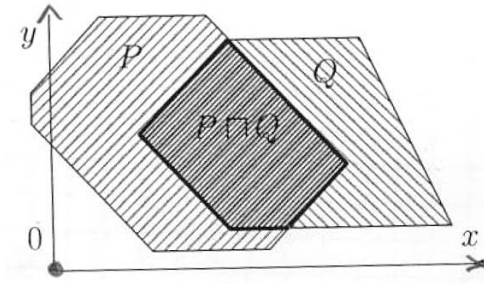
Reference

- N.V. Le Verge. A note on Chernikova's algorithm. *Research report 63*, IRISA, Rennes, February 1992.

Minimization of the system of generators by Le Verge algorithm

- A vertex v saturates an inequality $ax \geq b$ if $av = b$;
- A ray r saturates an inequality $ax \geq b$ if $ar = 0$;
- Let n_1 be the dimension of the least hyperplane containing P_k , and n_2 be the dimension of the greatest hyperplane contained in P_k ,
 - a point v is an actual vertex of P_k if and only if it saturates $n_1 - n_2$ inequalities;
 - a vector r is an actual ray of P_k if and only if it saturates $n_1 - n_2 - 1$ inequalities.

- **Intersection** \sqcap : conjunction of systems of linear inequalities;



- These operations “ $=\emptyset?$ ”, “ \subseteq ”, “ $=$ ” and “ \sqcap ” are exact, i.e. same in concrete

The lattice structure of polyhedra

- **Test for emptiness**: P has no vertex;
- **Test for inclusion**: if P is defined by $AX \geq B$ and Q is defined by $\langle V, R \rangle$ then:

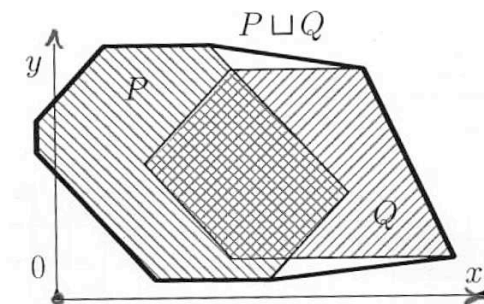
$$P \subseteq Q$$

if and only if:

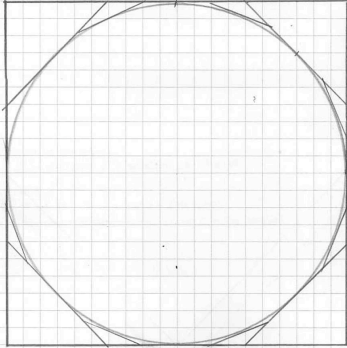
$$\forall v \in V : Av \geq B \quad \wedge \quad \forall r \in R : Ar \geq 0$$

- **Test for equality**: $P = Q$ iff $P \subseteq Q \wedge P \supseteq Q$.

- **Union** \sqcup : union of systems of generators
- This operation \sqcup is the best possible, that is the convex hull of the concrete representations



- We get a lattice structure but not a complete lattice, as shown by the following counter-example:



The limit of the polyhedra is a disk (whence not a polyhedron)

Widening of polyhedra

Informal definition:

- Polyhedron P is defined by $AX \geq B$ represented by the set of inequalities $I = \{\beta_1, \dots, \beta_p\}$;
- Polyhedron Q is defined by $CX \geq D$ represented by the set of inequalities $J = \{\gamma_1, \dots, \gamma_q\}$ and the generators $\langle V, R, L \rangle$;
- $P \nabla Q$ is Q if P is empty;
- $P \nabla Q$ is defined by the set of inequalities $I' \cup J'$ where:
 - I' is the set of inequalities $\beta_i \in I$ satisfied by all points (i.e. vertices V , rays R and lines L) of Q ;
 - J' is the set of linear inequalities $\gamma_j \in J$ which can replace some $\beta_i \in I$ without changing polyhedron P .

Abstract polyhedral transfer functions

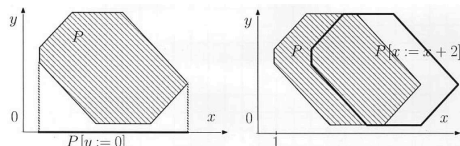
- **Linear transformation:** If P defined by $\langle V, R \rangle$ then the image of P by $\lambda x. Ax + B$ is:

$$P[x := Ax + B] \stackrel{\text{def}}{=} \{Ax + B \mid x \in P\}.$$

$P[x := Ax + B]$ is defined by $\langle V', R' \rangle$ where:

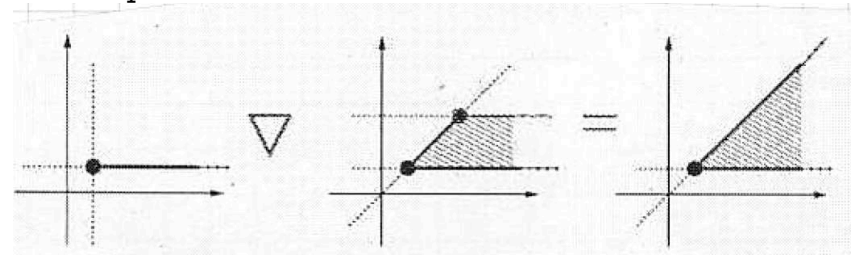
$$V' = \{Av + B \mid v \in V\}$$

$$R' = \{Ar \mid r \in R\}$$



Examples of polyhedral widening

- Example 1:

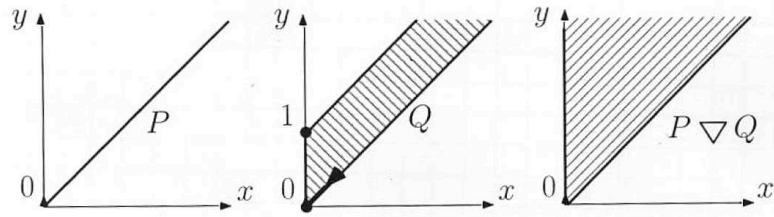


– Example 2:

$$P = \{\langle x, y \rangle \mid 0 \leq x \wedge x \leq y \wedge y \leq x\};$$

$$Q = \{\langle x, y \rangle \mid 0 \leq x \leq y \leq x + 1\};$$

$$P \nabla Q = \{\langle x, y \rangle \mid 0 \leq x \leq y\};$$



Polyhedral widening improvements

Possible improvements:

- Thresholds: given a finite number of constraints T , we had to $X \nabla Y$ the constraints of T satisfied by X and Y
- Delay: $X \nabla Y$ can be replaced by $X \sqcup Y$ finitely many times
- Various heuristics have been proposed by [4] to improve the delay technique

References

- [4] Roberto Bagnara, Patricia M. Hill, Elisa Ricci & Enea Zaffanella. “Precise Widening Operators for Convex Polyhedra” Proceedings of the 10th International Symposium on Static Analysis (SAS’03) (San Diego, California, USA, June 2003), vol. 2694 of Lecture Notes in Computer Science, R. Cousot, Ed., pp. 337-354.

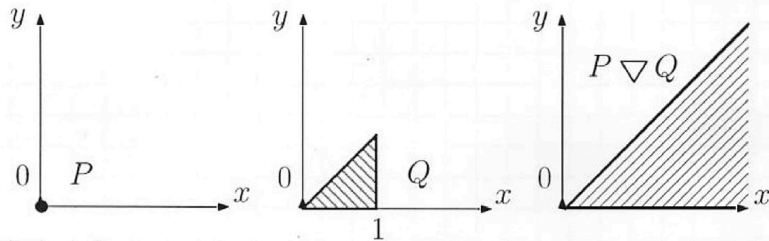
– Example 3:

$$P = \{\langle x, y \rangle \mid x \leq 0 \wedge x \geq 0 \wedge y \leq 0 \wedge y \geq 0\};$$

$$Q = \{\langle x, y \rangle \mid 0 \leq y \leq x \leq 1\};$$

$$P \nabla Q = \{\langle x, y \rangle \mid 0 \leq y \leq x\},$$

instead of $\{\langle x, y \rangle \mid 0 \leq y \wedge 0 \leq x\};$



Example 1 of polyhedral analysis

Generic-FW-REL-Abstract-Interpreter % ./a.out

../Examples/example41.sil

** Program:

```
X := ?; Y := X;
while (((0 < X) | (X = 0)) & ((0 < Y) | (Y = 0))) do
  Y := (Y + 1)
od {((X < 0) | (Y < 0))}
```

** Linearized program:

```
X := ?; Y := 1.X + 0.Y + 0;
while ((1.X + 0.Y + -1 >= 0 | -1.X + 0.Y + 0 = 0) & (0.X + 1.Y + -1 >= 0
  | 0.X + -1.Y + 0 = 0)) do
  Y := 0.X + 1.Y + 1
od {(-1.X + 0.Y + -1 >= 0 | 0.X + -1.Y + -1 >= 0)}
```

** Precondition:

{1>=0}

** Postcondition:

{1>=0, X<=Y, X+1<=0}

The loop invariant:

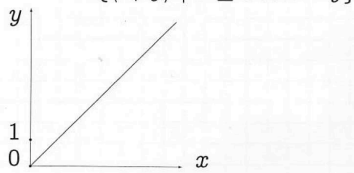
$$\{\langle x, y \rangle \mid x \geq 0 \wedge y \geq 0 \wedge x \leq y\}$$

is the least fixpoint of:

$$F(X) = \{\langle x, y \rangle \mid x \geq 0 \wedge y \geq 0 \wedge ((x = y) \vee (\langle x, y - 1 \rangle \in X))\}$$

The iterates are as follows:

- $\hat{X}^0 = \emptyset$
- $\hat{X}^1 = F(\hat{X}^0)$
 $= \{\langle x, y \rangle \mid x \geq 0 \wedge x = y\}$

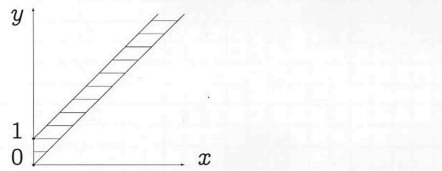


Example 2 of polyhedral analysis

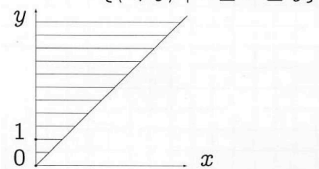
```
X=2; I=0;
while • (I<10) {
  if (?) X=X+2; else X=X-3;
  I=I+1;
} ♦
```

- The narrowing is simply a finite number of intersections.
- The iterations with widening/narrowing are as follows (the result is given at program point ♦:

- $F(\hat{X}^1) = \{\langle x, y \rangle \mid 0 \leq x \leq y \leq x + 1\}$



- $\hat{X}^2 = \hat{X}^1 \nabla F(\hat{X}^1)$
 $= \{\langle x, y \rangle \mid 0 \leq x \leq y\}$



- $\hat{X}^3 = F(\hat{X}^2) = \hat{X}^2$

$$\mathcal{X}_\bullet^{\#1} \{X = 2, I = 0\}$$

$$\mathcal{X}_\bullet^{\#2} \{X = 2, I = 0\} \nabla \{X \in [-1; 4], I = 1\} = \\ \{I \geq 0, X \in [2 - 3I; 2I + 2]\}$$

$$\mathcal{X}_\bullet^{\#3} \{I \geq 0, X \in [2 - 3I; 2I + 2]\} \cap^\# \{I \in [0; 10], X \in [2 - 3I; 2I + 2]\} = \\ \{I \in [0; 10], X \in [2 - 3I; 2I + 2]\}$$

- The final result at program point ♦ is:
 $\{I = 10, X \in [-28; 22]\}$

- The example is handled by the polyhedral analyzer as follows:

```
Generic-FW-REL-Abstract-Interpreter % make pol
Polyhedral analysis
...
Generic-FW-REL-Abstract-Interpreter % cat ../Examples/example42.sil
% example42.sil %
B:=?; X:=2; I:=0;
while (I<10) do
  if B <> 0 then
    X := X+2
  else
    X:=X-3
  fi;
  I:=I+1
od;;
```

Strict inequalities

- Polyhedral analysis can be extended to include strict constraints:

$$\{X \mid AX \geq B \wedge A'X > B'\}$$

- A non-closed polyhedron on $\{X_1, \dots, X_n\}$ is represented by a closed polyhedron on $X' = \{X_1, \dots, X_n\} \cup \{X_\epsilon\}$ where X_ϵ is a fresh variable whose value is assumed to be arbitrarily small
- $a_1X_1 + \dots + a_nX_n \geq 0$ is encoded as $a_1X_1 + \dots + a_nX_n + 0.X_\epsilon \geq 0$

```
Generic-FW-REL-Abstract-Interpreter % ./a.out
../Examples/example42.sil
** Linearized program:
B := ?;
X := 0.B + 0.X + 0.I + 2; I := 0.B + 0.X + 0.I + 0;
while 0.B + 0.X + -1.I + 9 >= 0 do
  if (-1.B + 0.X + 0.I + -1 >= 0 | 1.B + 0.X + 0.I + -1 >= 0) then
    X := 0.B + 1.X + 0.I + 2
  else {-1.B + 0.X + 0.I + 0 = 0}
    X := 0.B + 1.X + 0.I + -3
  fi;
  I := 0.B + 0.X + 1.I + 1
od {(0.B + 0.X + 1.I + -11 >= 0 | 0.B + 0.X + -1.I + 10 = 0)}
** Precondition:
{1>=0}
** Postcondition:
{I=10,X<=22,X+28>=0}
```

- $a_1X_1 + \dots + a_nX_n > 0$ is encoded as $a_1X_1 + \dots + a_nX_n + e.X_\epsilon \geq 0$ where $e > 0$
- The concretization is

$$\gamma_\epsilon(P) \stackrel{\text{def}}{=} \{\langle X_1, \dots, X_n \rangle \mid \exists X_\epsilon > 0 : \langle X_1, \dots, X_n, X_\epsilon \rangle \in \gamma(P)\}$$

- Minimal representations must be adapted to X_ϵ
- The algorithms for the closed case can be adapted easily to the open case [5]

References

- [5] Roberto Bagnara, Elisa Ricci, Enea Zaffanella, Patricia M. Hill. “Possibly Not Closed Convex Polyhedra and the Parma Polyhedra Library”. SAS 2002: 213–229

Polyhedral libraries

- “portable (written in standard C++ and following all other available standards);
- “exception-safe (never leaks resources or leaves invalid object fragments around);
- “efficient (and we hope to make it even more so);
- “thoroughly documented (perhaps not literate programming but close enough);
- “free software (distributed under the terms of the GNU General Public License).”

The *Parma* library for polyhedral static analysis

- The most recent library is PPL, The Parma Polyhedral Library, Roberto Bagnara, University of Parma, Italy
- <http://www.cs.unipr.it/ppl/>
- The Parma Polyhedra Library is (to cite the authors):
 - “user friendly (you write $x + 2*y + 5*z \leq 7$ when you mean it);
 - “fully dynamic (available virtual memory is the only limitation to the dimension of anything);

The *New Polka* library for polyhedral static analysis

- **Polka**, Nicolas Halbwachs, Verimag, Grenoble, France (first available library)
- **New Polka**, Bertrand Jeannet, Irisa, Rennes, France (its successor)
<http://www.irisa.fr/prive/bjeannet/newpolka.html>
- Programmed in NASI C (whence usable in C, C++ and OCaml)
- 64 bits and multiprecision integers

- The implemented operations are
 - creation of polyhedra from constraints or generators, including strict inequalities
 - intersection
 - convex hull
 - image and pre-image by a linear transformation
 - widening, ...
- The OCaml interface offers input and output of constraints, matrices and polyhedra as well as a polyhedra desk calculator usable at OCaml top level
- The library is available at:
<http://www.irisa.fr/prive/bjeannet/archives/polka/>

Interface with the New Polka Library

– Data types:

dimsup .Datatype

```
type dimsup = {
  pos: int;
  nbdims: int;
}
```

Data-type for insertion and deletion of columns in vectors, matrices, and polyhedra.

Implementation of the polyhedral analysis

– Initialization and finalization functions:

initialize : bool -> int -> int -> unit Function

initialize strict maxdims maxrows initializes internal data-structures and global variables of the library:

- **strict** indicates whether strict inequalities are enabled or not;
- **maxdims** is the maximum number of dimensions allowed in polyhedra; the maximum number of columns allowed in vectors and matrices is thus equal to this number plus **polka_dec** (see below);
- **maxrows** is the maximum number of rows or vectors allowed in matrices.

Set variables **strict** and **dec** (see below).

finalize : unit -> unit Function

Free internal data-structure used in the library.

– Vector:

t	Datatype
Abstract datatype for vectors.	
make : int -> t make size	Function
Return a vector of size size with all coefficient initialized to 0. size=0 is accepted.	
set : t -> int -> int -> unit set vec index val	Function
Store the value val in the corresponding coefficient of the vector.	

- Change of dimension of OCaml polyhedra:

add_dims_and_embed_multi : t -> Polka.dimsup array -> t	Function
<i>add_dims_and_embed_multi(po, dimsup)</i>	
Adds new dimensions in the polyhedron <i>po</i> , according to the array <i>tab</i> of size <i>size</i> , and embed it in the new space. Preserves the minimality of the polyhedron.	
del_dims_multi : t -> Polka.dimsup array -> t	Function
<i>del_dims_multi(po, dimsup)</i>	
This function projects the given polyhedron onto the <i>po</i> -> <i>dim</i> - <i>dimsup</i> first dimensions, and eliminates the last coefficients. Minimality is lost. The parameter may be minimized in order to get its generators.	

– Polyhedra:

t : the type of polyhedra *Datatype*

- Constructors for OCaml polyhedra:

empty : int -> t	Function
universe : int -> t	Function
Return respectively the empty and the universe polyhedron of the given dimension.	
minimize : t -> unit	Function
Minimizes in place the polyhedron.	

- Predicates on OCaml polyhedra:

is_included_in : t -> t -> bool	Function
is_equal : t -> t -> bool	Function
<i>Test of inclusion and equality of polyhedra.</i>	

- Intersection and convex hull of OCaml polyhedra:

inter : t -> t -> t	Function
add_constraint : t -> Vector.t -> t	Function
union : t -> t -> t	Function
- Linear transformation on OCaml polyhedra:	
assign_var : t -> int -> Vector.t -> t	Function
Same as C function <i>poly_assign_variable</i> .	
substitute_var : t -> int -> Vector.t -> t	Function
Same as C function <i>poly_substitute_variable</i> .	

- Widening operator on OCaml polyhedra:

```
widening : t -> t -> t
```

Function

- Input and output of OCaml polyhedra:

This functions use the pretty input/output facilities described in module Polka.

```
print_constraints : Format.formatter -> t -> unit
```

Function

Print "empty" if the polyhedron is empty, the constraints of the polyhedron if they are available, "constraints not available" otherwise.

The polyhedral abstract environment domain

```
1 (* aenv.ml *)
2 open Linear_Syntax
3 open Variables
4 type lattice = BOT | TOP
5 type t = NULL of lattice (* if no variable, dimension = 0 *)
6 | POLY of Poly.t (* must be of dimension > 0 *)
7 exception PolyError of string
8 (* relational library initialization *)
9 let init () = (Polka.initialize false 10000 100; Polka.strict := false)
10 (* relational library exit (* print statistics *) *)
11 let quit () = Polka.finalize ()
12 (* infimum *)
13 let bot () = match (number_of_variables ()) with
14 | 0 -> NULL BOT
```

- Compilation of the New Polka library:

The library can be implemented with various representations of integer (32, 64 bits, GMP, ...).

New Polka should be compiled with GMP in order to avoid overflows as in the example:

```
y := 0; if (y > (1073741823 - z)) then y := y - z else y := y + z fi;
```

GMP: GNU arbitrary precision arithmetic for signed integers, rational numbers and floating point numbers.
See <http://www.swox.com/gmp/>

```
15 | n -> POLY (Poly.empty n) (* 1 <= n <= polka_maxcolumns-polka_dec *)
16 (* check for infimum *)
17 let is_bot r = match r with
18 | NULL BOT -> true
19 | NULL TOP -> false
20 | POLY p -> (Poly.is_equal p (Poly.empty (number_of_variables ())))
21 (* uninitialization *)
22 let initerr () = match (number_of_variables ()) with
23 | 0 -> NULL TOP
24 | n -> POLY (Poly.universe n)
25 (* supremum *)
26 let top () = match (number_of_variables ()) with
27 | 0 -> NULL TOP
28 | n -> POLY (Poly.universe n)
29 (* least upper bound *)
30 let ljoin l1 l2 = match (l1, l2) with
31 | BOT, _ -> l2
32 | _, BOT -> l1
```

```

33 | _, _ -> TOP
34 let join r1 r2 = match (r1, r2) with
35 | NULL l1, NULL l2 -> (NULL (ljoin l1 l2))
36 | POLY p1, POLY p2 -> (POLY (Poly.union p1 p2))
37 | _, _ -> raise (PolyError "join")
38 (* greatest lower bound *)
39 let lmeet l1 l2 = match (l1, l2) with
40 | TOP, _ -> l2
41 | _, TOP -> l1
42 | _, _ -> BOT
43 let meet r1 r2 = match (r1, r2) with
44 | NULL l1, NULL l2 -> (NULL (lmeet l1 l2))
45 | POLY p1, POLY p2 -> (POLY (Poly.inter p1 p2))
46 | _, _ -> raise (PolyError "meet")
47 (* approximation ordering *)
48 let lleq l1 l2 = match (l1, l2) with
49 | BOT, _ -> true
50 | _, TOP -> true

```

```

69 (* convert a0.v0+...+an-1.vn-1+an where n = (number_of_variables ()) *)
70 (* into vector [1,an,a0,...,an-1]. *)
71 let vector_of_lin_expr a =
72   let v = Vector.make ((number_of_variables ()) + 2) in
73   (Vector.set v 0 1;
74    Vector.set v 1 (a.(number_of_variables ()))));
75   for i = 0 to ((number_of_variables ()) - 1) do
76     Vector.set v (i+2) a.(i)
77   done;
78   (*
79    Vector._print v;
80    Vector.print_constraint string_of_variable Format.std_formatter v;
81    Format.pp_print_newline Format.std_formatter ();
82    *)
83   v)
84 (* f_ASSIGN x f r = {e[x <- i] | e in r /\ i in f({e}) cap I } *)
85 let f_ASSIGN x f r =
86   match r with

```

```

51 | TOP, BOT -> false
52 let leq r1 r2 = match (r1, r2) with
53 | NULL l1, NULL l2 -> (lleq l1 l2)
54 | POLY p1, POLY p2 -> (Poly.is_included_in p1 p2)
55 | _, _ -> raise (PolyError "leq")
56 (* equality *)
57 let eq r1 r2 = match (r1, r2) with
58 | NULL l1, NULL l2 -> (l1 = l2)
59 | POLY p1, POLY p2 -> (Poly.is_equal p1 p2)
60 | _, _ -> raise (PolyError "eq")
61 (* printing *)
62 let print r = match r with
63 | NULL BOT -> (print_string "{ _|_ }\n")
64 | NULL TOP -> (print_string "{ T }\n")
65 | POLY p ->
66   (Poly.minimize p; (* to get the constraints and generators of p *)
67    Poly.print_constraints string_of_variable Format.std_formatter p;
68    Format.pp_print_newline Format.std_formatter ())

```

```

87 | NULL _ -> r
88 | POLY p -> (match f with
89 | RANDOM_AEXP ->
90   let d = [|{ Polka.pos = x; Polka.nbdims = 1 }|] in
91   (POLY (Poly.add_dims_and_embed_multi (Poly.del_dims_multi p d) d))
92 | LINEAR_AEXP a ->
93   (POLY (Poly.assign_var p x (vector_of_lin_expr a))))
94 (* f_LGE a r = {e in r | a0.v0+...+an-1.vn-1+an >= 0} *)
95 let f_LGE a r =
96   match r with
97 | NULL _ -> r
98 | POLY p -> POLY (Poly.add_constraint p (vector_of_lin_expr a))
99 (* f_LEQ a r = {e in r | a0.v0+...+an-1.vn-1+an = 0} *)
100 let minus = Array.map (fun x -> (- x))
101 let f_LEQ a r = meet (f_LGE a r) (f_LGE (minus a) r)
102 (* widening *)
103 let widen r1 r2 = match (r1, r2) with
104 | NULL l1, NULL l2 -> (NULL (ljoin l1 l2))

```

```

105 | POLY p1, POLY p2 -> (POLY (Poly.widening p1 p2))
106 | _, _ -> raise (PolyError "widen")
107 (* narrowing *)
108 (* let narrow a b = a (* does not ensure termination *) *)
109 let narrow a b = b (* less precise but does ensure termination *)

```

Bottom element of the lattice:

```

Generic-FW-REL-Abstract-Interpreter % ./a.out
x := 1;
while (x > 0) do
  x := x + 1000000
od;;
** Linearized program:
x := 0.x + 1;
while 1.x + -1 >= 0 do
  x := 1.x + 1000000
od {(-1.x + -1 >= 0 | -1.x + 0 = 0)}
** Precondition:
{1>=0}
** Postcondition:
empty(1)

```

Typescript examples of affine inequality analyses

Top element of the lattice:

```

Generic-FW-REL-Abstract-Interpreter % ./a.out
skip;;
** Precondition:
{ T }
** Postcondition:
{ T }

```

```

Generic-FW-REL-Abstract-Interpreter % ./a.out
../Examples/example36.sil
** Program:
x := ?; y := ?;
if (x = y) then
  x := 0; y := 200
else {(x < y) | (y < x)}
  x := 20; y := 0
fi
** Linearized program:
x := ?; y := ?;
if -1.x + 1.y + 0 = 0 then
  x := 0.x + 0.y + 0;
  y := 0.x + 0.y + 200
else {(-1.x + 1.y + -1 >= 0 | 1.x + -1.y + -1 >= 0)}
  x := 0.x + 0.y + 20;
  y := 0.x + 0.y + 0
fi

```

```
** Precondition:  
{1>=0}  
** Postcondition:  
{10x+y=200,y<=200,y>=0}
```

THE END

My MIT web site is <http://www.mit.edu/~cousot/>

The course web site is <http://web.mit.edu/afs/athena.mit.edu/course/16/16.399/www/>.

Bibliography

- [6] P. Cousot and N. Halbwachs. Automatic discovery of linear restraints among variables of a program. In *Conference Record of the Fifth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 84–97, Tucson, Arizona, 1978. ACM Press, New York, NY, U.S.A.
- [7] P. Cousot and R. Cousot. Systematic design of program analysis frameworks. In *Conference Record of the Sixth Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 269–282, San Antonio, Texas, 1979. ACM Press, New York, NY, U.S.A.