

# Problems on Linear Operators and Eigenvalues

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1. Suppose  $P \in \mathcal{L}(V)$  is such that  $P^2 = P$ . Prove that if  $\lambda$  is an eigenvalue of  $P$ , then  $\lambda = 0$  or  $\lambda = 1$ . Let  $v \in V$  be an eigenvector corresponding to the eigenvalue  $\lambda$ , so  $Pv = \lambda v$  and  $v \neq 0$ . Applying  $P$  again gives  $P(Pv) = P(\lambda v) = \lambda(Pv) = \lambda(\lambda v) = \lambda^2 v$ . Since  $P^2 = P$ , we have  $P^2 v = Pv = \lambda v$ . Thus,  $\lambda^2 v = \lambda v$ , which implies  $(\lambda^2 - \lambda)v = 0$ . Since  $v \neq 0$ , we must have  $\lambda^2 - \lambda = 0$ , so  $\lambda(\lambda - 1) = 0$ . Therefore,  $\lambda = 0$  or  $\lambda = 1$ .
2. Define  $T : \mathcal{P}(\mathbb{R}) \rightarrow \mathcal{P}(\mathbb{R})$  by  $T_P = P'$ . Find all eigenvalues and eigenvectors of  $T$ . We look for an eigenvalue  $\lambda$  and a non-zero eigenvector  $P$  such that  $TP = \lambda P$ , which means  $P' = \lambda P$ . If  $P$  is a non-zero polynomial, let  $n = \deg(P)$ . Then  $\deg(P') = n - 1$  (if  $n \geq 1$ ) or  $P' = 0$  (if  $n = 0$ ).

If  $\lambda \neq 0$ , then  $\deg(\lambda P) = \deg(P) = n$ . However,  $\deg(P') < n$  (or  $P' = 0$  if  $n = 0$ ). Thus,  $P' = \lambda P$  can only hold if  $P' = 0$ , which implies  $P$  is a constant polynomial,  $P(x) = c \neq 0$ . If  $P(x) = c$ , then  $P'(x) = 0$ . The equation becomes  $0 = \lambda c$ . Since  $c \neq 0$ , we must have  $\lambda = 0$ . This contradicts the assumption  $\lambda \neq 0$ . Therefore, the only possible eigenvalue is  $\lambda = 0$ .

If  $\lambda = 0$ , the equation is  $P' = 0$ , which means  $P$  must be a constant polynomial,  $P(x) = c$ , where  $c \neq 0$  because  $P$  is an eigenvector. The corresponding eigenspace is  $E_0 = \text{span}\{1\}$ .

(Note: The handwritten solution mentions  $P^0$  is an eigenvector with  $\lambda = 0$  and discusses degree, aligning with this conclusion that  $\lambda = 0$  is the only eigenvalue, with eigenvectors being constant polynomials.)

3. Define  $T \in \mathcal{L}(P_4(\mathbb{R}))$  by  $(TP)(x) = xP'(x)$  for all  $x \in \mathbb{R}$ . Find all eigenvalues and eigenvectors. We test basis elements  $P(x) = x^k$  for  $k \in \{0, 1, 2, 3, 4\}$ . Note that  $P_4(\mathbb{R})$  has dimension 5. We define  $P^0 := 1$ . For  $P(x) = x^k$ :

$$(TP)(x) = xP'(x) = x(kx^{k-1}) = kx^k = kP(x)$$

Thus,  $P(x) = x^k$  is an eigenvector with eigenvalue  $\lambda = k$ . The distinct eigenvalues are  $\lambda \in \{0, 1, 2, 3, 4\}$ . The corresponding eigenvectors are  $P_k(x) = x^k$ , for  $k = 0, 1, 2, 3, 4$ . Since we have found 5 linearly independent eigenvectors in a 5-dimensional space, these are all the eigenvalues

and eigenvectors. The set of eigenvectors  $\{x^0, x^1, x^2, x^3, x^4\}$  forms a basis of  $P_4(\mathbb{R})$ .

4. Suppose  $V$  is finite dimensional,  $T \in \mathcal{L}(V)$ , and  $\alpha \in \mathbb{F}$ . Prove that there exists  $\delta > 0$  such that  $T - \lambda I$  is invertible for all  $\lambda \in \mathbb{F}$  such that  $0 < |\alpha - \lambda| < \delta$ . Because  $V$  is finite dimensional,  $T - \lambda I$  is invertible if and only if  $\lambda$  is not an eigenvalue of  $T$ . Let  $\sigma(T)$  be the set of eigenvalues of  $T$ . Since  $V$  is finite dimensional,  $\sigma(T)$  is a finite set.

We consider two cases for  $\alpha$ :

- (a) Case 1:  $\alpha$  is not an eigenvalue of  $T$ , i.e.,  $\alpha \notin \sigma(T)$ . Since  $\sigma(T)$  is finite, the set  $\{|\alpha - \lambda| : \lambda \in \sigma(T)\}$  is a finite set of non-negative real numbers, and since  $\alpha \notin \sigma(T)$ ,  $\alpha - \lambda \neq 0$  for all  $\lambda \in \sigma(T)$ . Define  $\delta_1 := \min\{|\alpha - \lambda| : \lambda \in \sigma(T)\}$ . Since the minimum is taken over a finite set of positive numbers,  $\delta_1 > 0$ . If we choose  $\delta = \delta_1$ , then for any  $\lambda$  such that  $0 < |\alpha - \lambda| < \delta$ , we have  $|\alpha - \lambda| < \delta_1$ , which implies  $\lambda$  cannot be an eigenvalue of  $T$ . Thus,  $T - \lambda I$  is invertible.
- (b) Case 2:  $\alpha$  is an eigenvalue of  $T$ , i.e.,  $\alpha \in \sigma(T)$ . Let  $\sigma'(T) = \sigma(T) \setminus \{\alpha\}$  be the set of eigenvalues of  $T$  other than  $\alpha$ . If  $\sigma'(T)$  is empty, then  $\sigma(T) = \{\alpha\}$ . Define  $\delta = 1$ . Then for any  $\lambda$  such that  $0 < |\alpha - \lambda| < \delta$ ,  $\lambda \neq \alpha$ , so  $\lambda \notin \sigma(T)$ , and  $T - \lambda I$  is invertible. If  $\sigma'(T)$  is non-empty, define  $\delta_2 := \min\{|\alpha - \lambda| : \lambda \in \sigma'(T)\}$ . Since  $\sigma'(T)$  is a finite set of eigenvalues different from  $\alpha$ ,  $\delta_2 > 0$ . If we choose  $\delta = \delta_2$ , then for any  $\lambda$  such that  $0 < |\alpha - \lambda| < \delta$ , we have  $|\alpha - \lambda| < \delta_2$ , which implies  $\lambda \neq \alpha$  and  $\lambda \notin \sigma'(T)$ . Thus  $\lambda \notin \sigma(T)$ , and  $T - \lambda I$  is invertible.

In both cases, we can find such a  $\delta > 0$ . (The handwritten text seems to conflate  $\alpha$  being an eigenvalue or not, and uses  $\Delta_\alpha$  which corresponds to  $\delta_2$  or  $\delta_1$  depending on context, finally settling on  $\delta = \Delta_\alpha/2$  in the second case, which is a standard way to ensure the open ball around  $\alpha$  contains no other eigenvalues.)

## Recommended Reading

- **Linear algebra problem book** by Paul R. Halmos (Match: 0.72)
- **Prüfungstraining Lineare Algebra : Band II** by Thomas C. T. Michaels, Marcel Liechti (Match: 0.71)
- **Linear Algebra Done Right** by Sheldon Axler (Match: 0.71)