

## 3.6 Lithography and Mask Process

### Outline

#### 3.6.1 Introduction

- Purpose
- Design Data Flow
- Lithographic Approaches
- Equipment

#### 3.6.2 Lithographic Process

- Overview
- Resist
- Exposure

#### 3.6.3 Mask Manufacturing Process

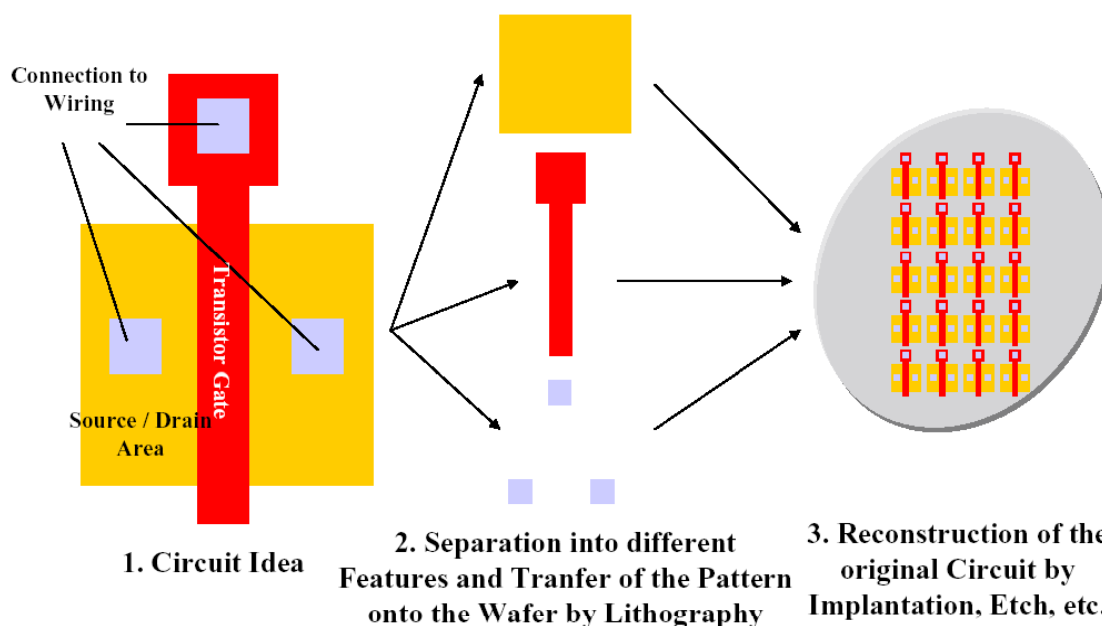
#### 3.6.4 Resolution Enhancement Technologies (RET)

- Optical Proximity Correction (OPC)
- Off-Axis Illumination (OAI)
- Phase Shift Masks (PSM)
- Double Exposure (DE)

### 3.6.1 Introduction

#### Purpose of Lithography:

- Pattern transfer from mask to wafer to form functional components
- Preliminary step for selective wafer treatment (e.g. doping)



## Design Data Flow

### Project Phase

Design

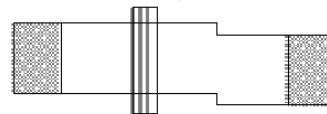
Chip:  
Functional description

### Additional Structures

### Data Format

VHDL, ...

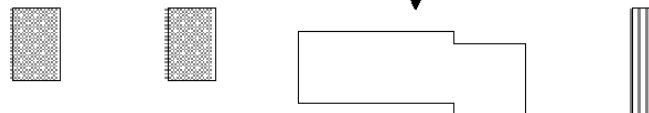
Layout



Frame patterns,  
Test structures

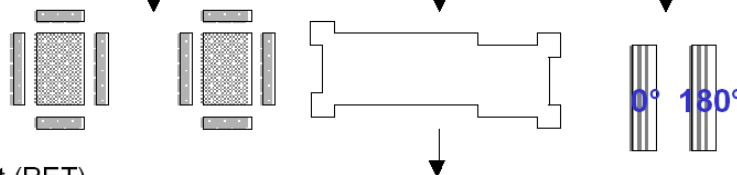
GDSII

Layer  
Extraction



GDSII

Resolution  
Enhancement (RET)

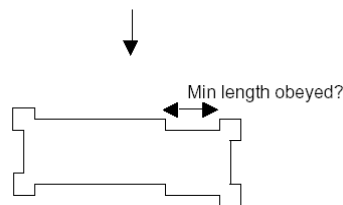


GDSII

## Design Data Flow

### Project Phase

Mask Rule Check  
(MRC)



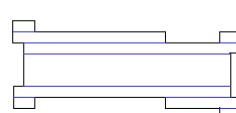
### Additional Structures

### Data Format

Frame patterns,  
Test structures

GDSII

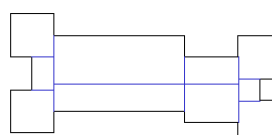
Mask Data-  
preparation(MDP,  
„Fracture“ 4x scaling)



Mixing in of  
Frame patterns,  
Test structures

MDP format  
(e.g. MEBES)

Mask Process  
Compensation,  
Process Bias,  
Pattern optimization



(automatic) generation  
of barcode, addition of  
alignment pattern

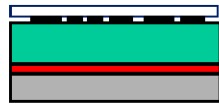
MDP format  
(e.g. VSB11)

Mask Fabrication

Lithographic process

## Early Optical Lithography

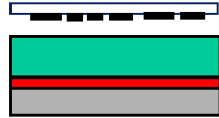
Contact  
Printing



**Mask in direct contact  
with resist**

- Defects on Wafer and Mask
- Mask Lifetime

Proximity  
Printing



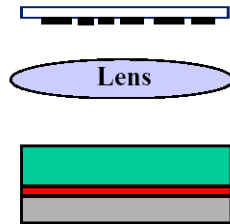
$g \sim 10 \mu\text{m}$

**Small Gap between  
Mask and Resist**

- Defects on Wafer
- Reduced Resolution

$$CD \cong \sqrt{\lambda g}$$

Projection  
Printing



**Mask Pattern  
projected  
by Lens on Resist**

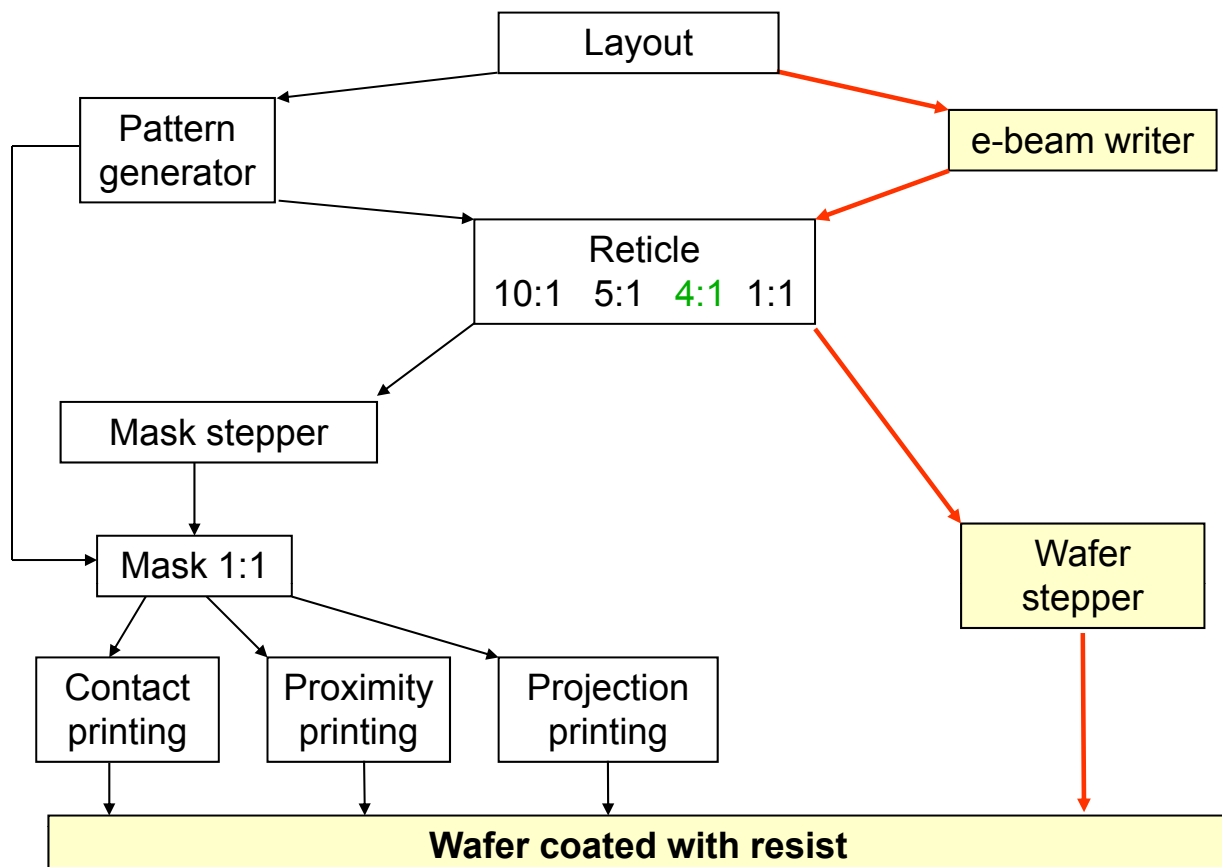


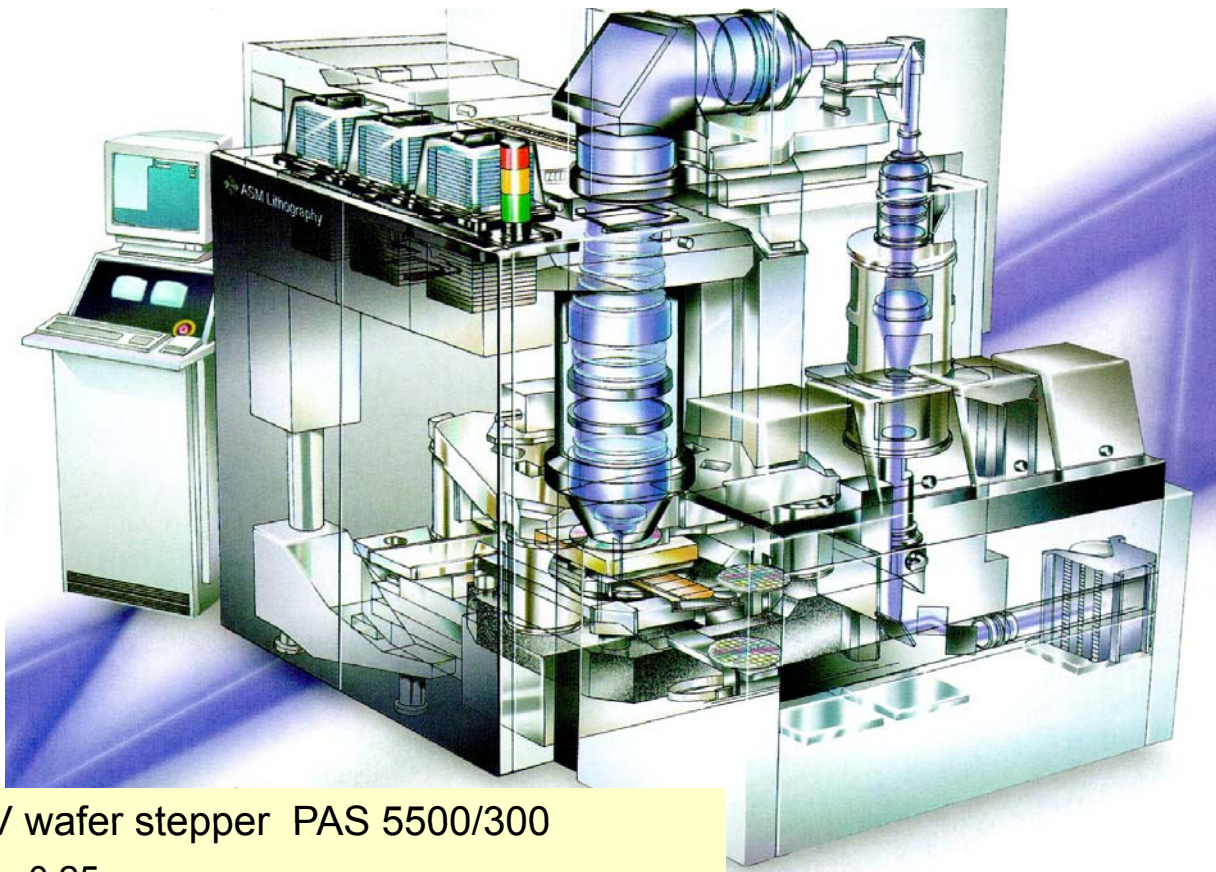
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Thomas Zell  
Datum : 13.09.2005

## Lithographic approaches

Foretime

Now





DUV wafer stepper PAS 5500/300

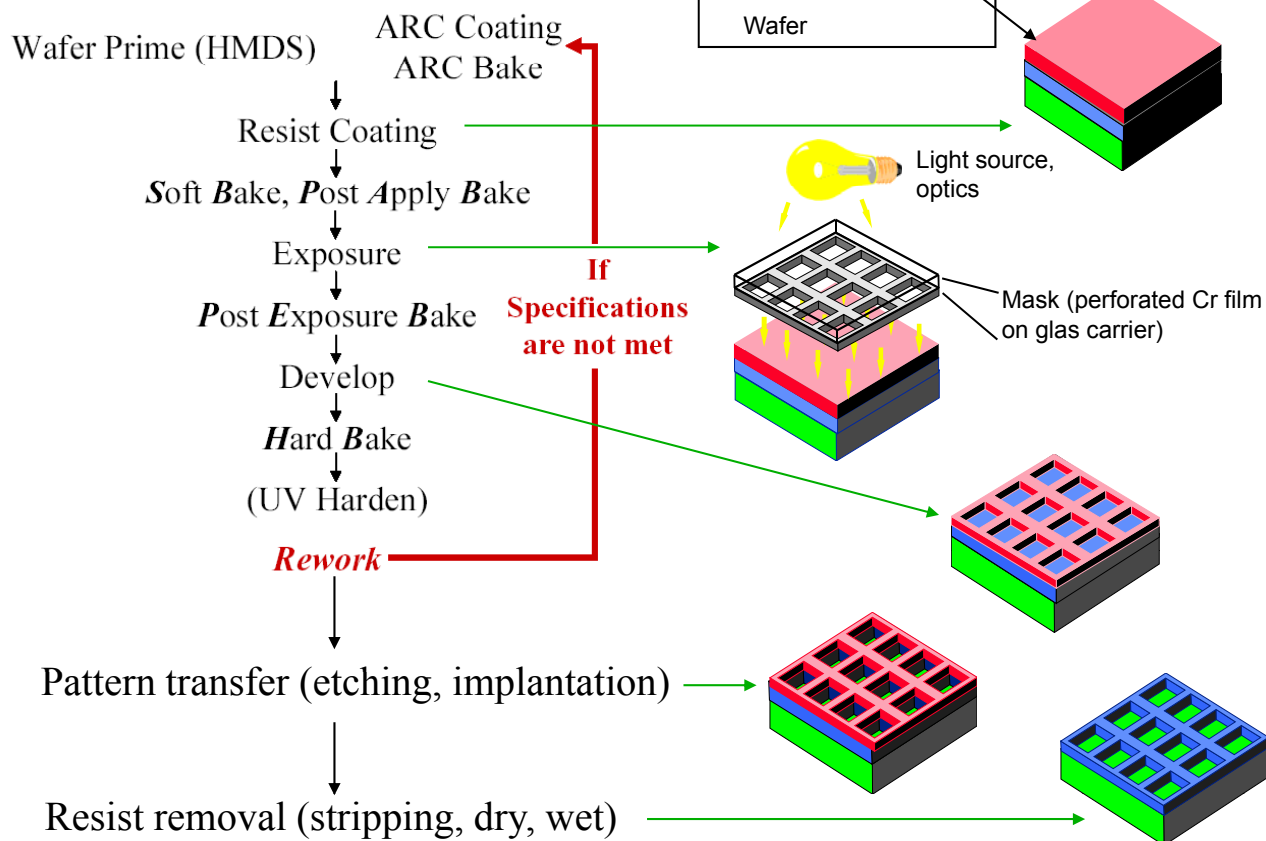
$d_{\min} = 0.25 \mu\text{m}$

ASML Lithography



Excimer laser, DUV lenses from Zeiss (1996)

## 3.6.2 Lithographic Process

### 3.6.2.1 Overview



### 3.6.2.2 Resist

Type	Positive Optical Resist	Negative Optical Resist
Components		
– Matrix	nonvolac resin	cyclized synthetic rubber resin
– Sensitizer (PAC)	diazoquinones	bisarylzide
– Solvent	n-butyl acetate, xylene, etc.	aromatic solvent
– Developer	<i>Hydroxides</i>	<i>organic solvents</i>
Mechanism		
<ul style="list-style-type: none"> <li>Exposure to radiation leads to breakdown of PAC</li> <li>Dissolution rate in developer (hydroxide) changes</li> </ul>		
		<ul style="list-style-type: none"> <li>Negative optical resist becomes insoluble in regions exposed to light               <ul style="list-style-type: none"> <li>Photochemical reaction generates cross-linking to form 3D molecular network</li> <li>New structure insoluble in developer (usually an organic solvent)</li> </ul> </li> </ul>

Detailed chemistry depends strongly on wavelength

PAC - photo-active compound

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#### Resist removal (stripping)

**Requirement:** Complete removal of resist layers after patterning or implantation without damaging the underlying films

#### **Approaches:**

##### Weakly stressed resist:

Wet removal using

- acetone
- $\text{H}_2\text{O}_2 + \text{H}_2\text{SO}_4$
- hydroxyl amines

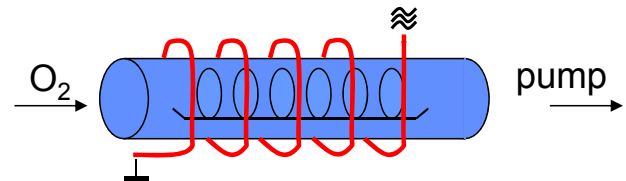
##### heavily stressed resist:

Dry removal (or dry + wet)

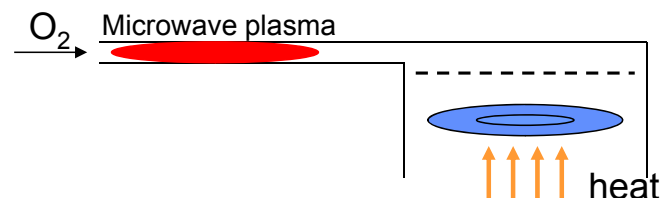
#### **Dry resist removal using oxygen**

(generation of CO, CO<sub>2</sub>, H<sub>2</sub>O)

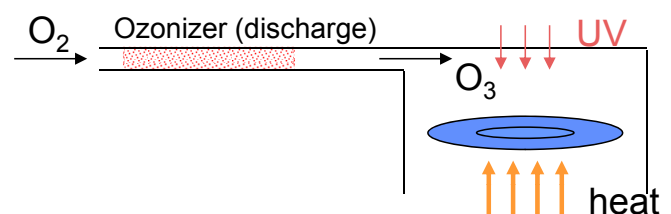
- Plasma stripping in Barrel reactors



- Downstream stripping (less radiative damage)



- Plasma-free (ozone and UV) stripping



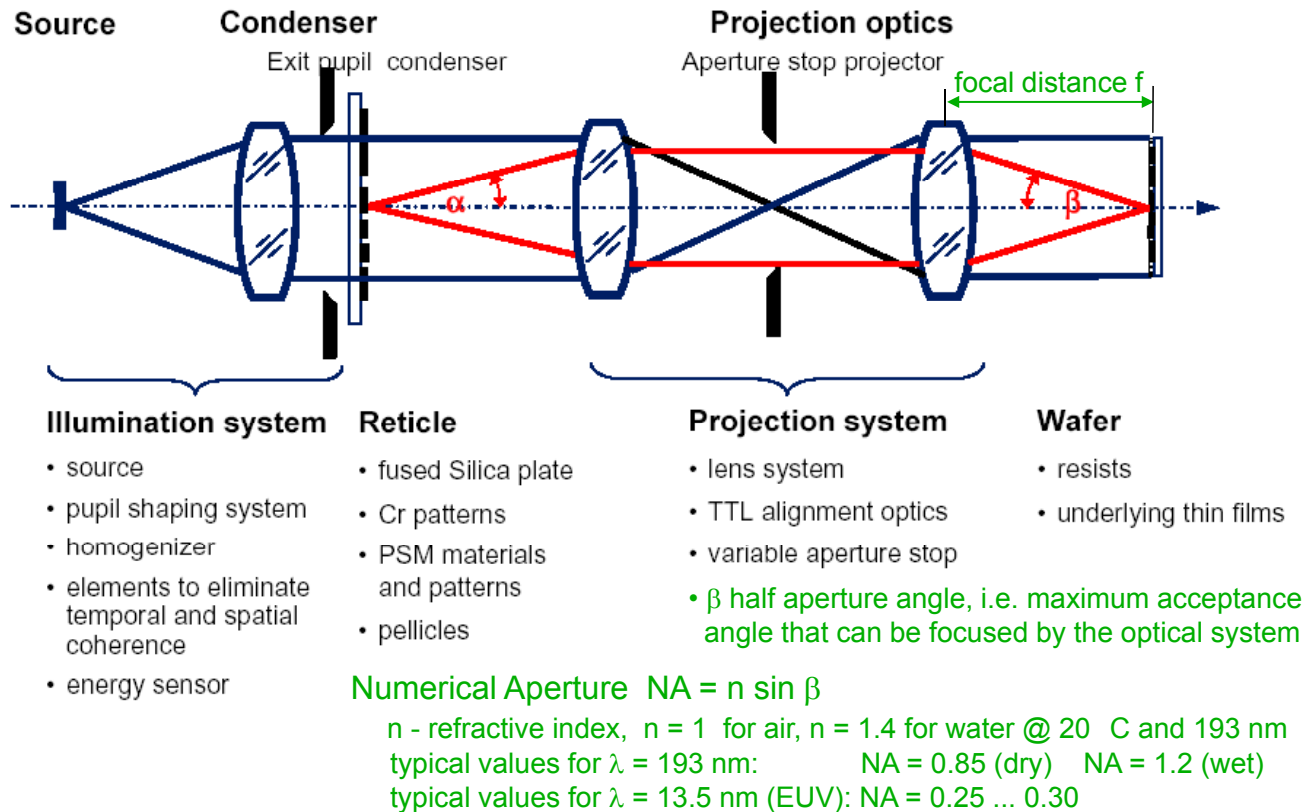
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### 3.6.2.3 Exposure

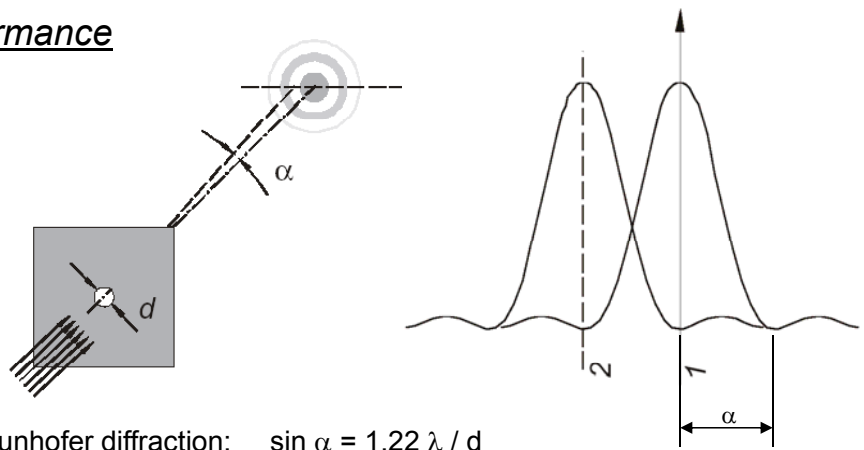
## Conceptual Design of Stepper/Scanner Optics



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### Criteria of imaging performance

#### Resolution



Rayleigh's criterion defines two light points as resolved if the positions of both main maxima of intensity lay outside the range between the respective other main maximum and the related first diffraction minimum.

Equivalent formulation: The peak widths at half-maximum do not overlap.

Resolution limit = Minimum line width:

$$l_{\min} = k_1 \cdot \frac{\lambda}{NA}$$

3 ways to reduce  $l_{\min}$ :

- reduce  $\lambda$  ( $\rightarrow$  DUV  $\rightarrow$  EUV  $\rightarrow$  X-ray)
- increase NA (immersion litho)
- reduce  $k_1$  (RET)

Rayleigh:

without RET:

now:  $\lambda = 193$  nm  $NA \approx 0.85$  (dry)

theoretical limit:

$k_1 = 0.61$

$k_1 = 0.61 \dots 0.8$

$k_1 \approx 0.4$

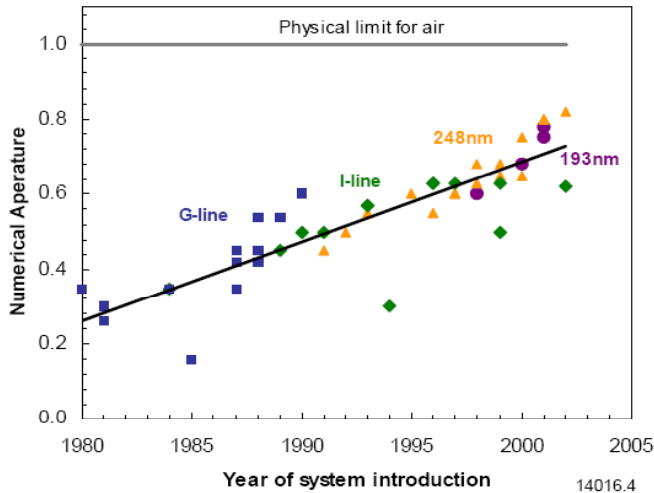
$k_1 = 0.25$

depending on the optical system,  
 resist capability, tool control,  
 reticle pattern adjustment,  
 process control, RET

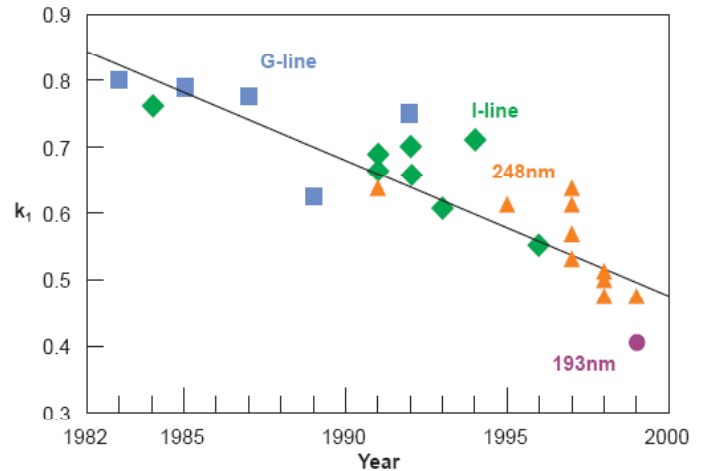
RET = Resolution enhancement Techniques



# Lithography Trends



**Numerical Aperture Trend for commercial exposure systems**



**k<sub>1</sub> trend**

[http://www.icknowledge.com/misc\\_technology/Immersion%20Lithography.pdf](http://www.icknowledge.com/misc_technology/Immersion%20Lithography.pdf)

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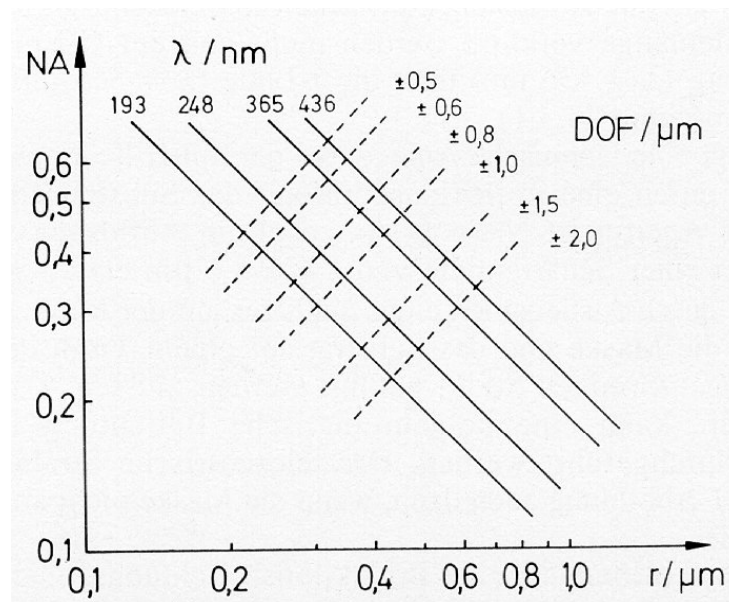
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## Depth of focus (DOF)

Criterion for depth of focus (DOF) is that two optical path lengths do not differ by more than  $\lambda / 4$

$$\text{DOF} \approx \pm k_2 \cdot \frac{\lambda}{(\text{NA})^2}$$

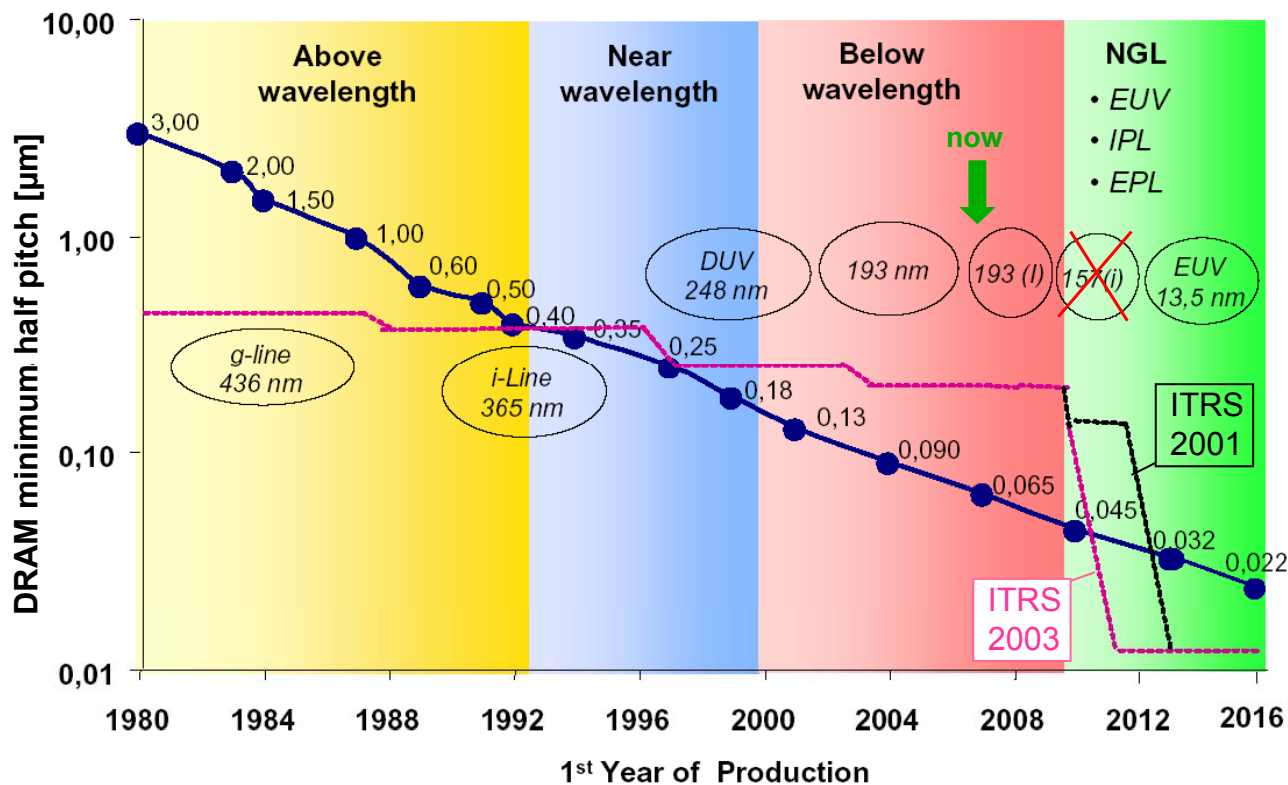
now:  $k_2 \approx 1.0$   
theoretical limit:  $k_2 = 0.5$  (dense L/S pattern)



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# Technology Nodes and Lithography Wavelength



NGL - next generation lithography  
IPL - ion projection lithography

DUV - deep ultraviolet

EUV - extreme ultraviolet

EPL - electron projection lithography

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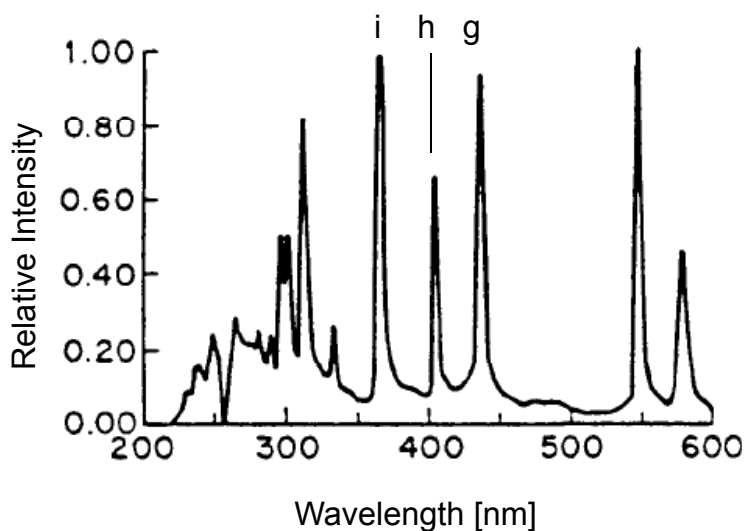
## Light sources

- High pressure Hg arc lamp
  - g - line (436 nm)
  - h - line (405 nm)
  - i - line (365 nm)
- DUV laser  
(Excimer laser, excited dimer)
  - KrF (248 nm)
  - ArF (193 nm)
  - F<sub>2</sub> (157 nm), cancelled !
- EUV (13.5 nm)

laser- or discharge-produced plasmas (Xe, Sn, In)

electron-impact ionization (excitation) of atomic inner shells (e.g. L-shell of Si)

## Hg lamp spectrum



Source: L.F.Thompson, C.G.Wilson, M.J.Bowden,  
Introduction to Microlithography, Am. Chem. Soc. 1983

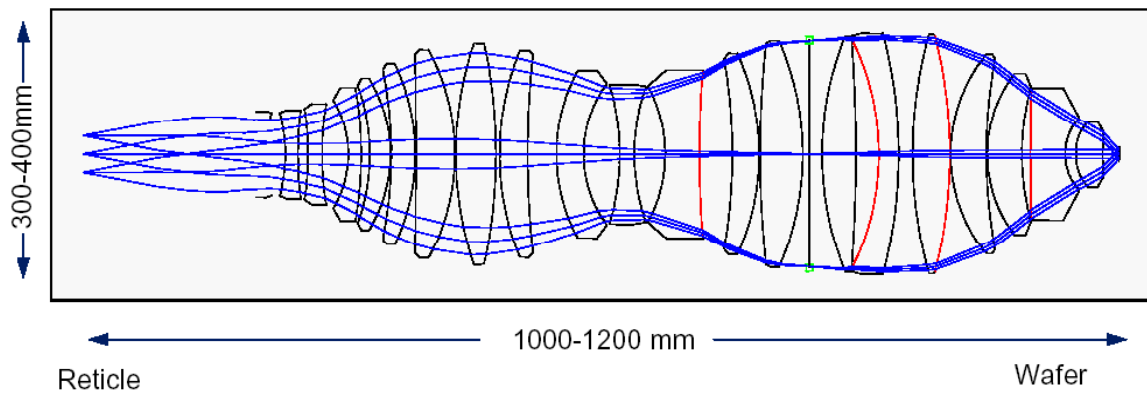
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## Optic materials

### Refractive optics

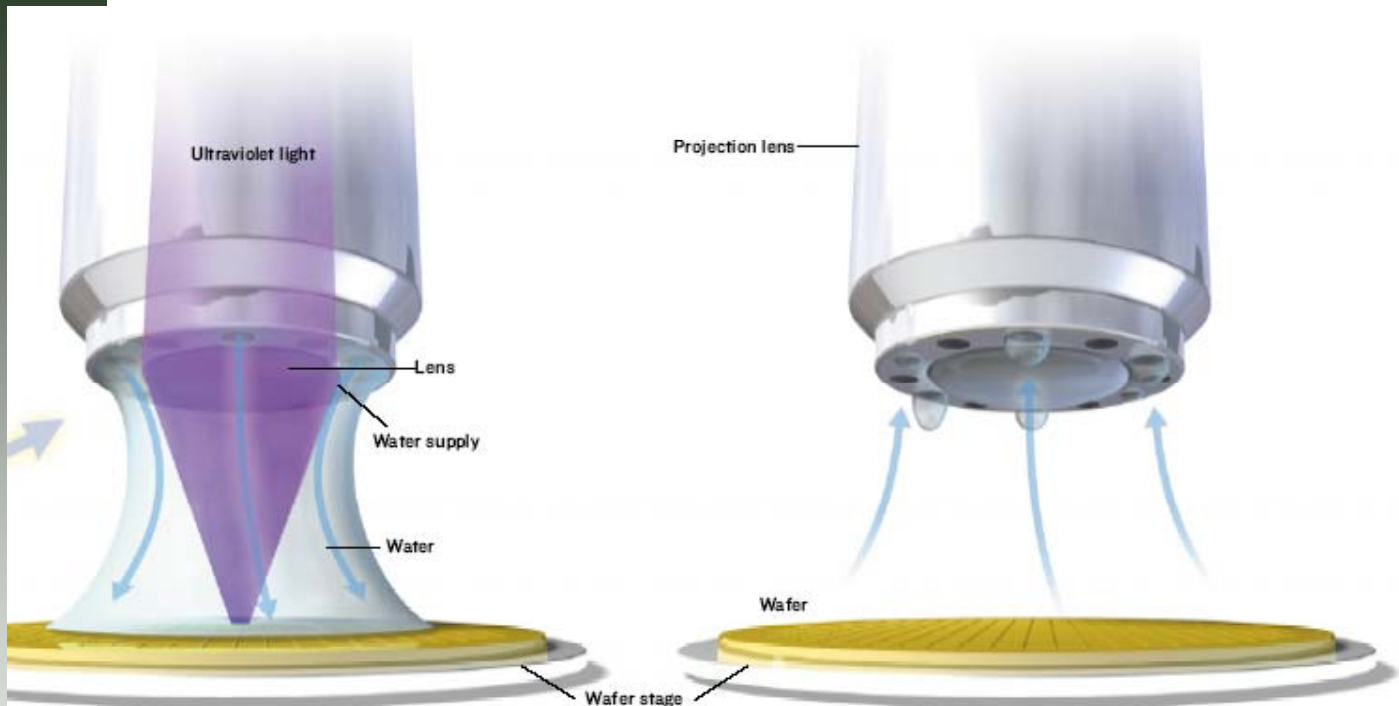


Light source	Optical system	NA	Resolution (nm)
i - line (365 nm)	special high-purity glass ~30 individual lens elements	0.45 - 0.65	> 280
KrF (248 nm)	fused silica ~30 individual lens elements	0.4 - 0.7	> 150
ArF (193 nm)	quartz glass calcium fluoride (single lenses)	0.65 - 0.93	> 55
	<b>immersion</b>	>1	> 45
EUV (13.5 nm)	<b>reflective optics</b> (mirrors only) vacuum		< 45

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## Immersion Lithography



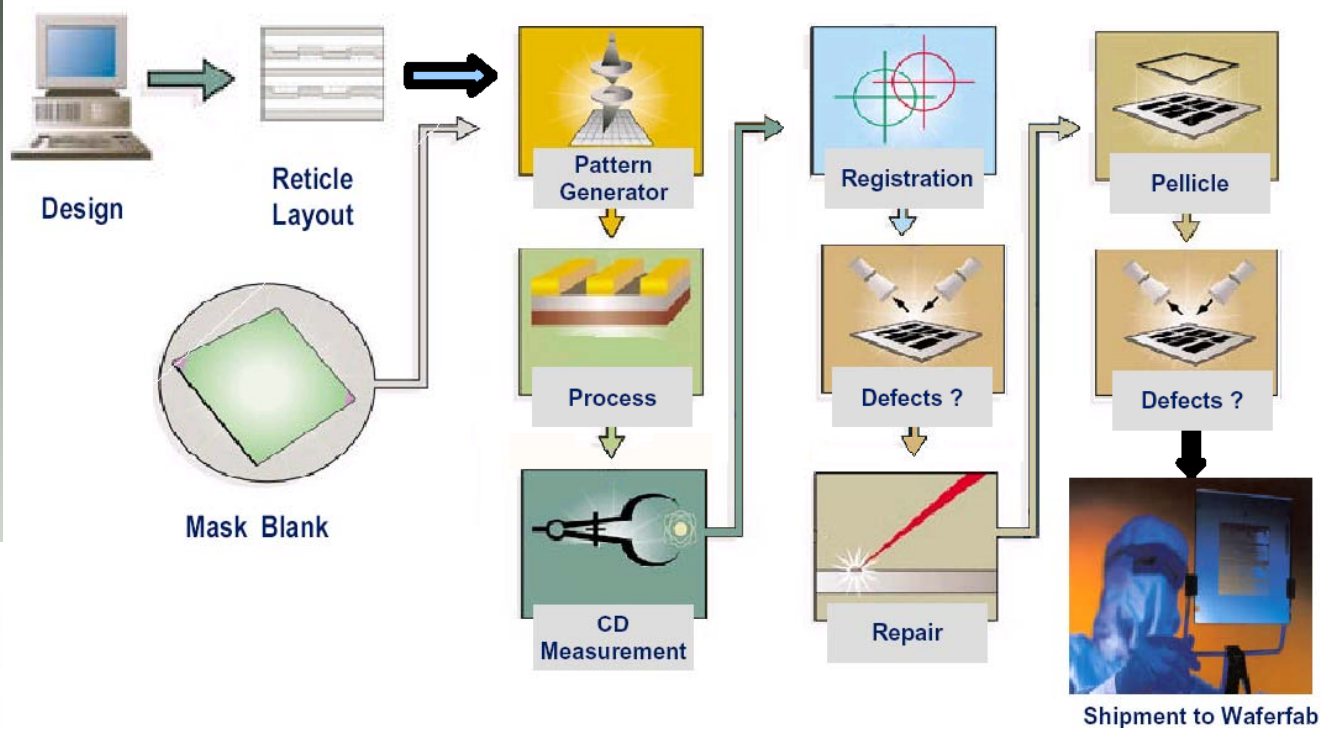
Source: Geppert, L. **Chip making's wet new world** Spectrum, IEEE Volume 41, Issue 5, May 2004 Page(s): 29 - 33

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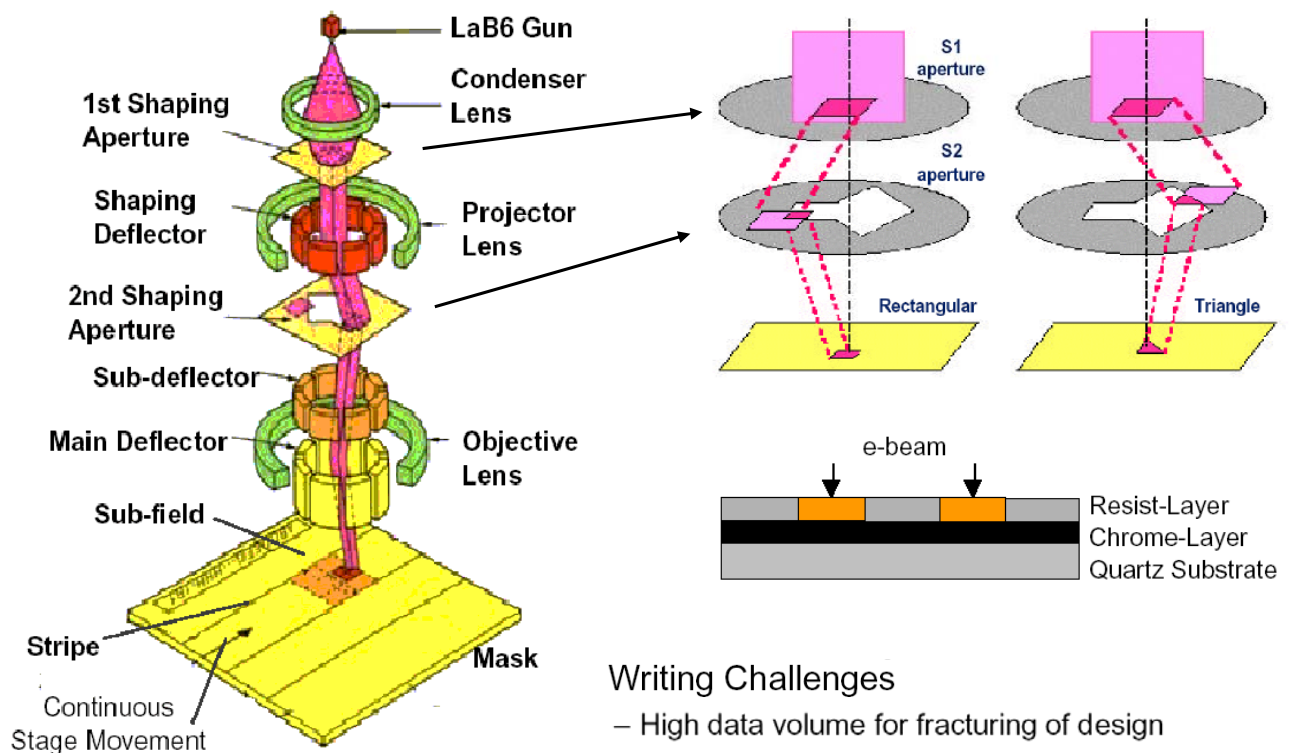
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### 3.6.3 Mask Manufacturing process

#### 3.6.3.1 Overview



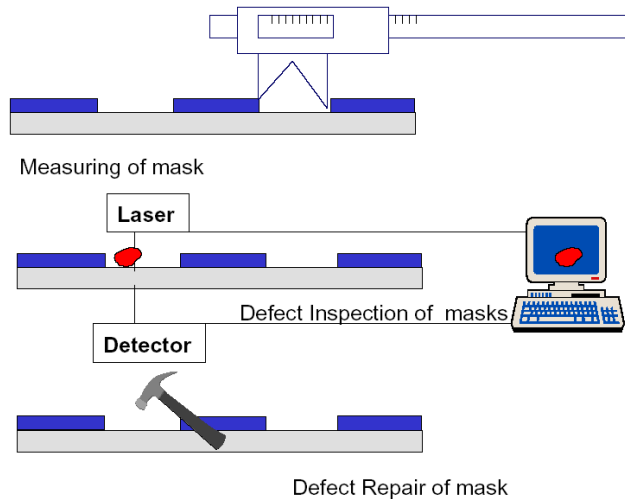
#### 3.6.3.2 e-Beam Writing



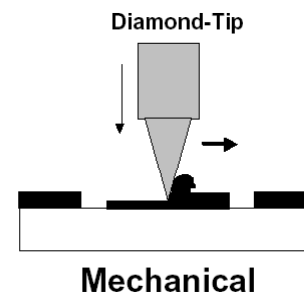
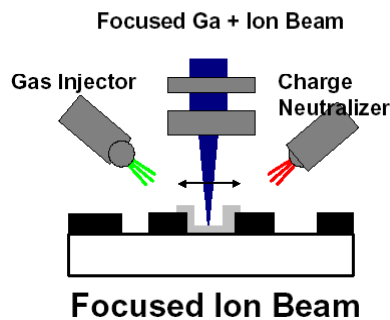
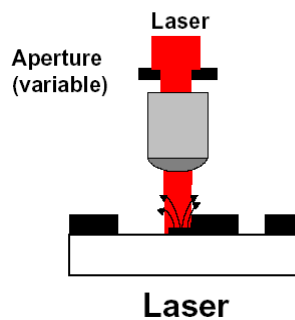
### 3.6.3.3 Mask Inspection and Repair

#### Inspection techniques

- Die-to-Die
- Compare identical designs on mask
- Die-to-Database
- Compare design on mask to design in database
- Check printability of found defect with aerial image tool



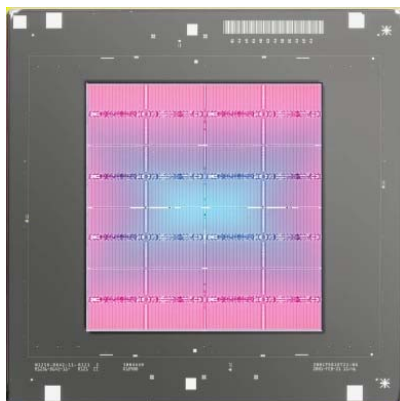
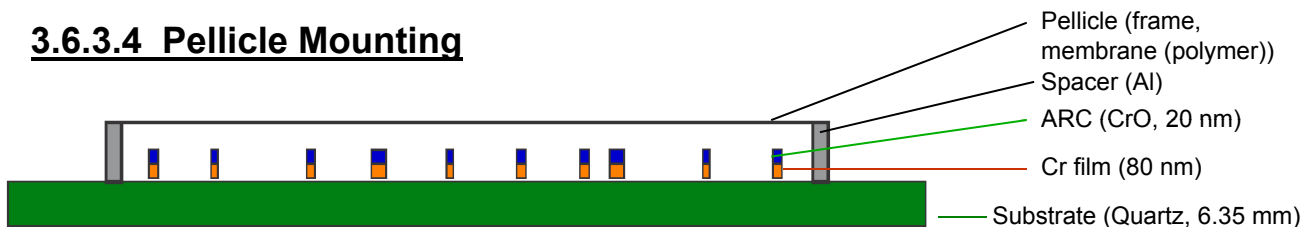
#### Repair techniques



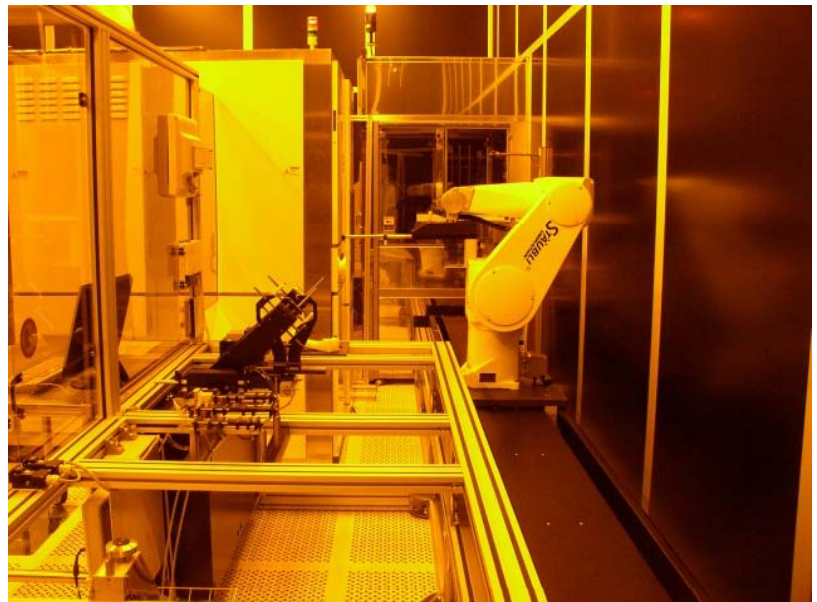
ADVANCED MASK TECHNOLOGY CENTER

© AMTC GmbH & Co KG  
Dr. Jan Hendrik Peters  
Datum : 14.09.2004

### 3.6.3.4 Pellicle Mounting



Pellicle mounting line (AMTC)



Source: [http://www.amtc-dresden.de/index\\_gallery.php](http://www.amtc-dresden.de/index_gallery.php)

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### 3.6.4 Resolution Enhancement Technologies (RET)

Resolution:

$$l_{\min} = k_1 \cdot \frac{\lambda}{NA}$$

RET shift  $k_1$  below the Rayleigh limit down towards 0.25

#### 3.6.4.1 Optical Proximity Correction (OPC)

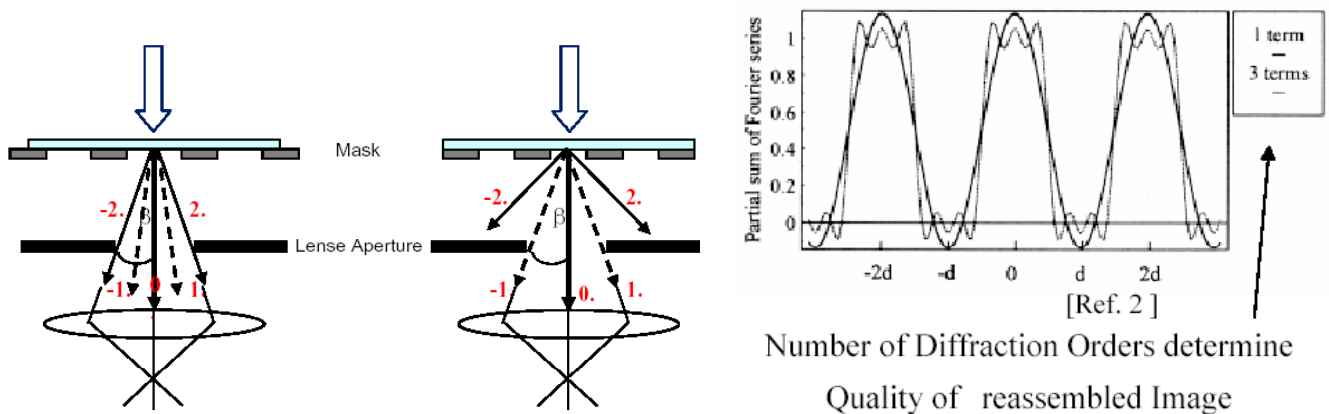
**Proximity Effects:** Effects that lead to a difference of the designed features to the imaged structures due to the proximity of the structures

Cause: Different diffraction orders contribute to imaging

Result:

- line shortening
- dense/isolated vias

CD difference  
process window impact



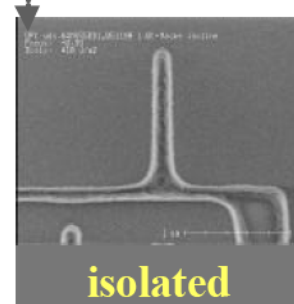
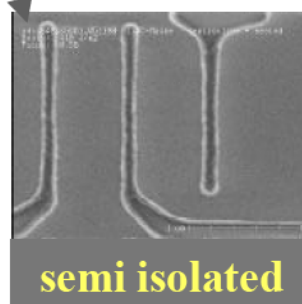
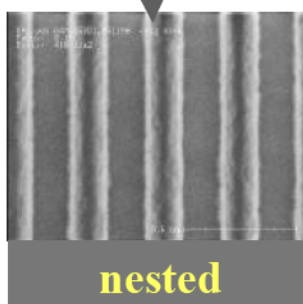
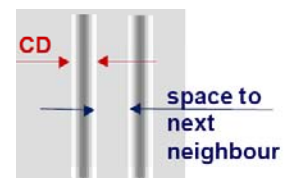
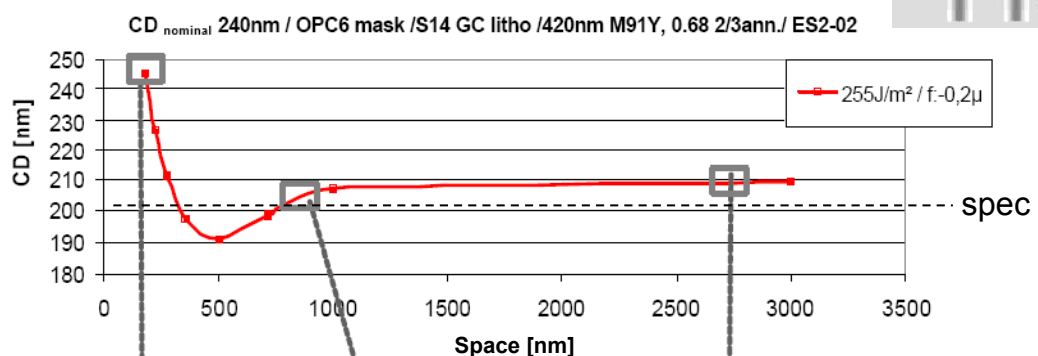
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Optical Proximity Correction is a pattern dependent technology bias

Correction of linewidth deviations  
depending on proximity to neighbours

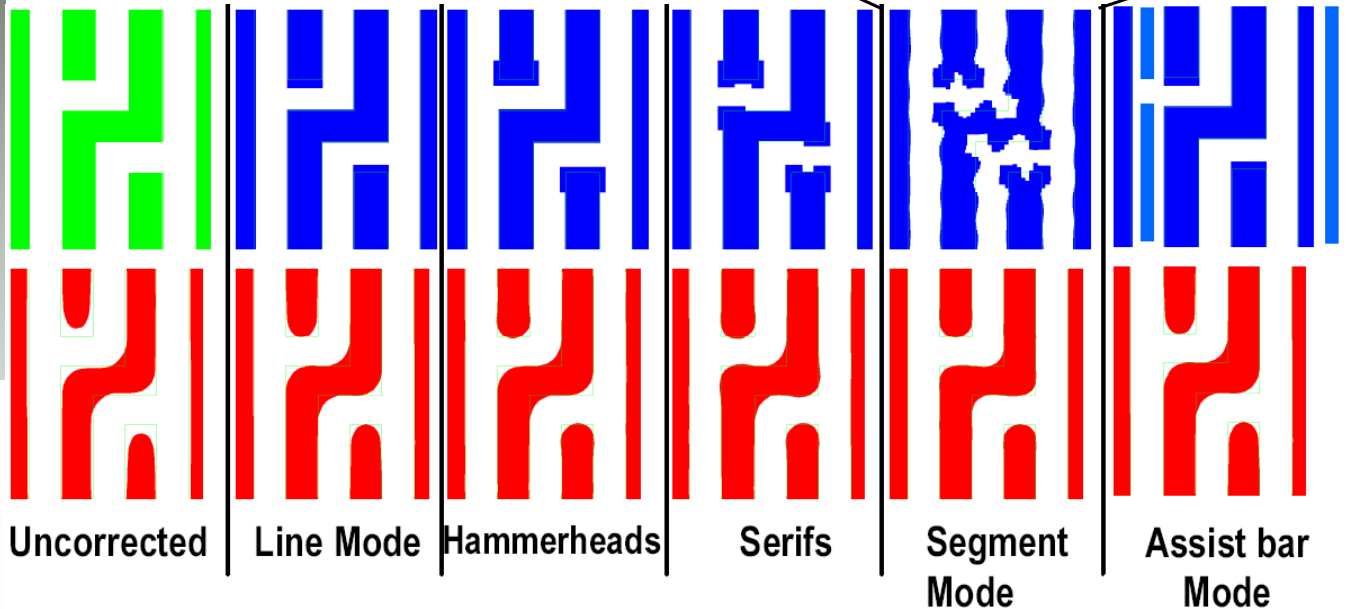
#### OPC Curve



**Leads to big CD Variation for nominally same Feature Size  
Especially critical in Gate Level**

- ⇒ **Enhancement of pattern fidelity**  
line mode, hammerheads, serifs
- ⇒ **Enhancement of process window for isolated lines and spaces**  
assist-bars

Big data volume for mask generation



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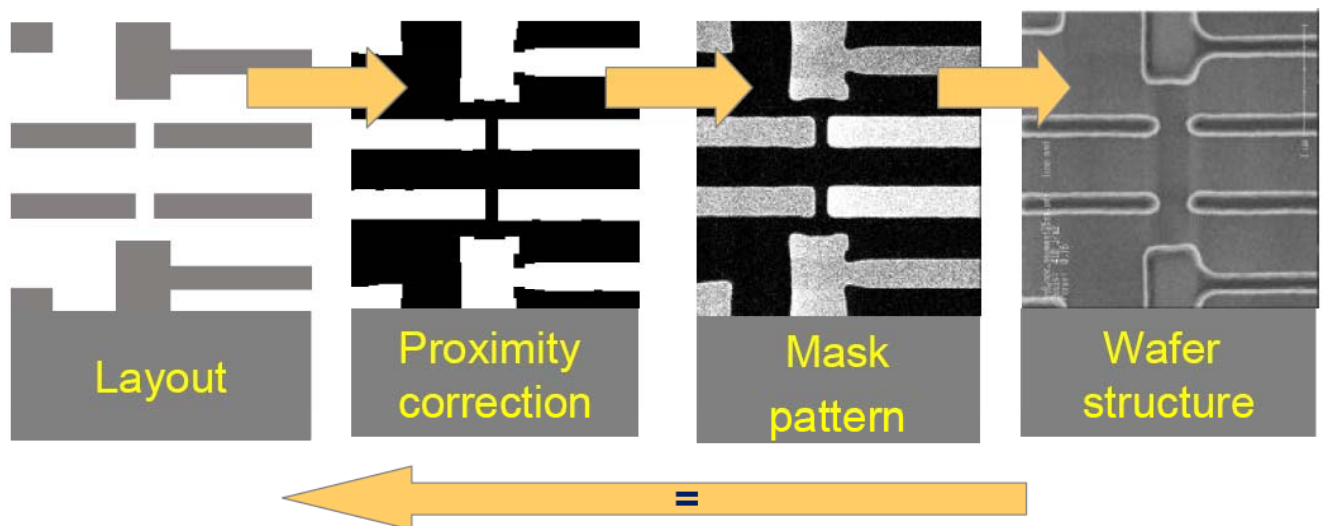
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Dr. Jan Hendrik Peters  
Datum : 14.09.2004

## Optical proximity correction: structural adjustments

From Layout to wafer:

Structures on masks changed

to print the same structure on the wafer as in the layout of the circuit



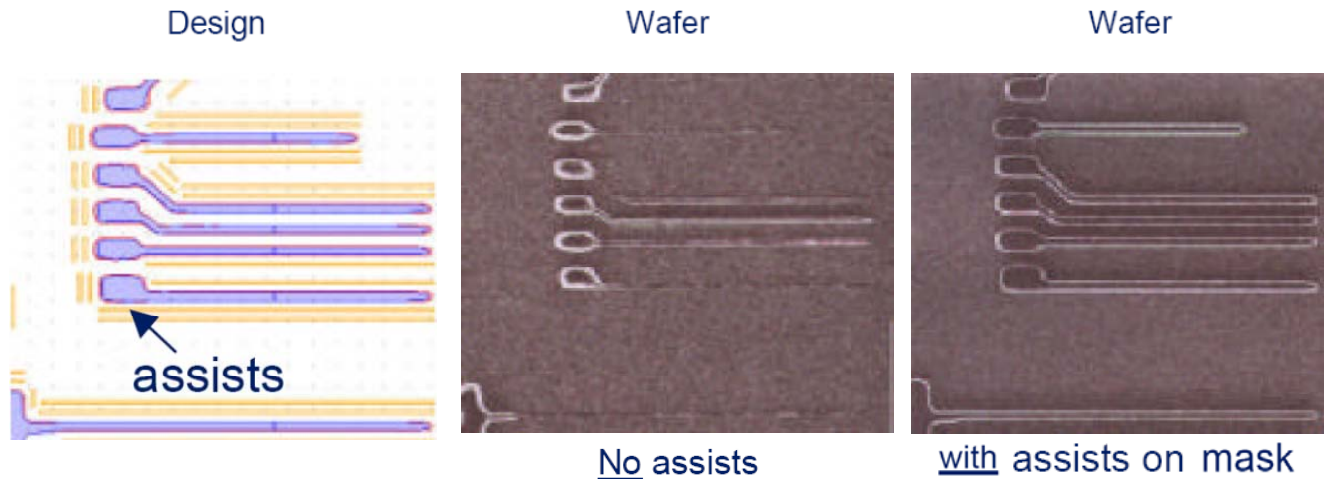


# Optical proximity correction: assists / scatter bars

## From Layout to wafer:

Assist structures on mask to improve image

### Big Challenges for mask production !

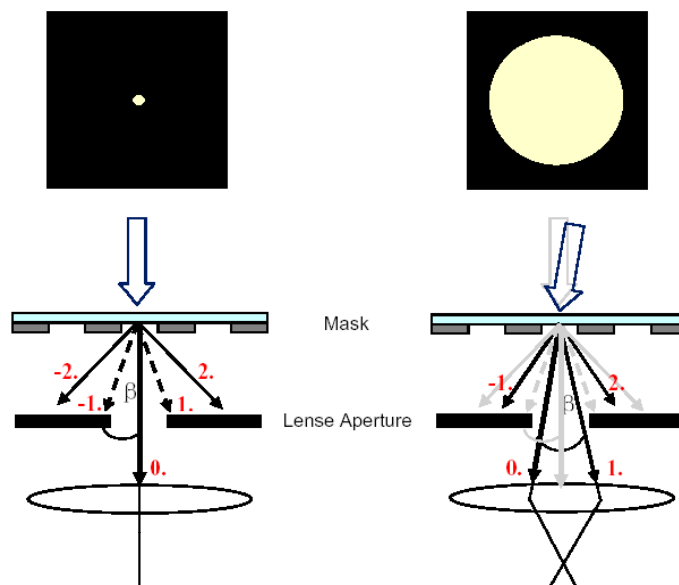


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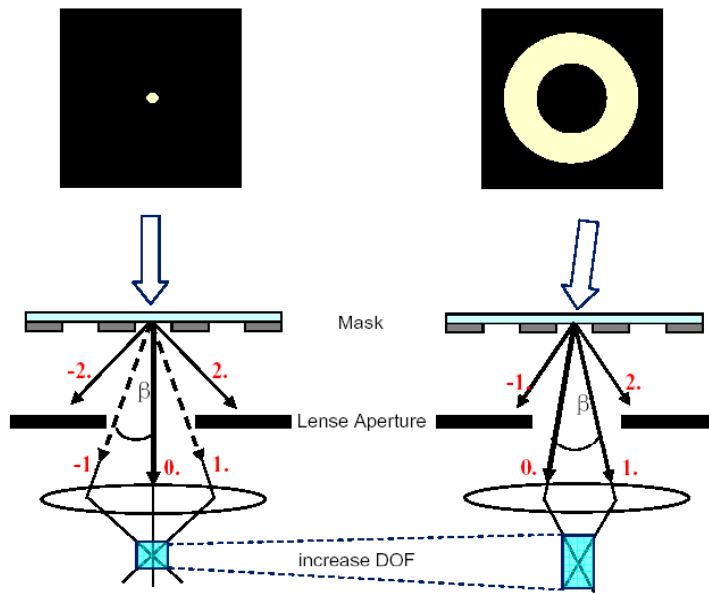
## 3.6.4.2 Off-Axis Illumination (OAI)

OAI is the use of an aperture to limit the light from an illumination system to only enter a lens system at an angle to the optical axis of the lens system.



By changing the illumination conditions the diffraction orders captured by the lens can be changed.

OAI is used with advanced exposure systems such as steppers and scanners to improve resolution at a given wavelength.



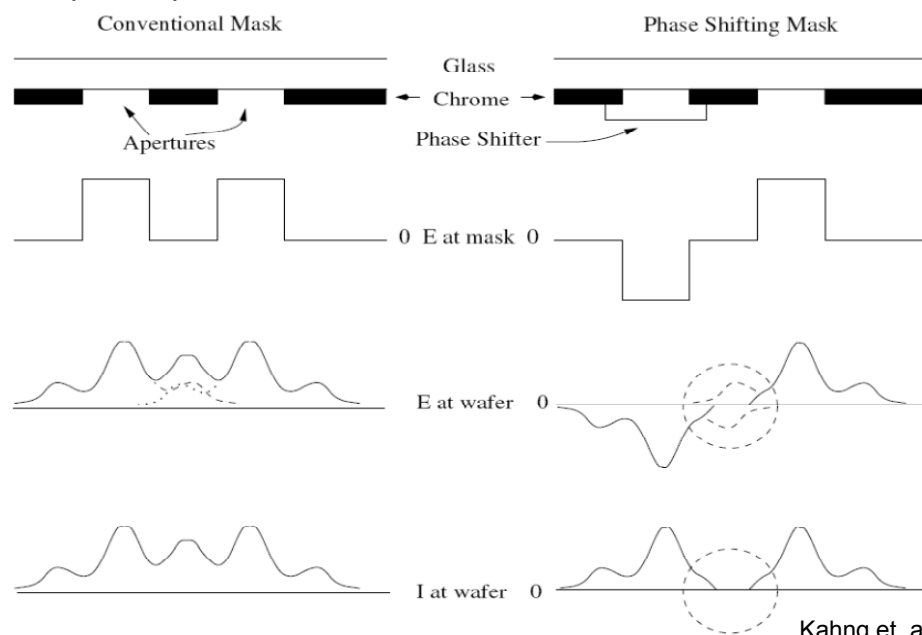
By choosing the appropriate illumination conditions resolution and process window can be optimized.

Shape	standard	quadrupole	annular	small sigma
Resolution & DOF	bad	considerably improved	improved	improved if applied with PSM
Pattern dependence	weak	strong	medium	

### 3.6.4.3 Phase Shift Masks (PSM)

**Purpose:** Enhanced contrast --> enhanced resolution  
--> improvement of process window

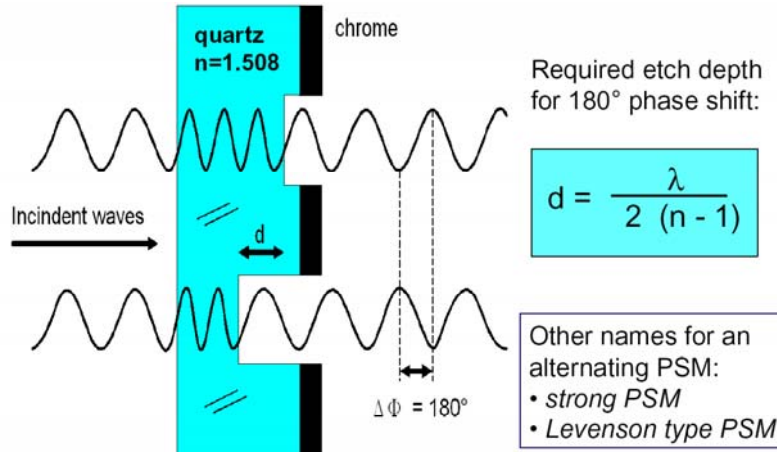
**Basic principle:** Introduction of a phase difference of 180° on specific parts of the mask structure



Kahng et. al., 1999 DAC

- The Phase Shifter reverses the sign of the electric field.
- The light diffracted into the nominally dark region will interfere destructively.
- So PSM can help in resolving the features which may be violating the minimum spacing design rule by assigning opposite phases to the conflicting features.

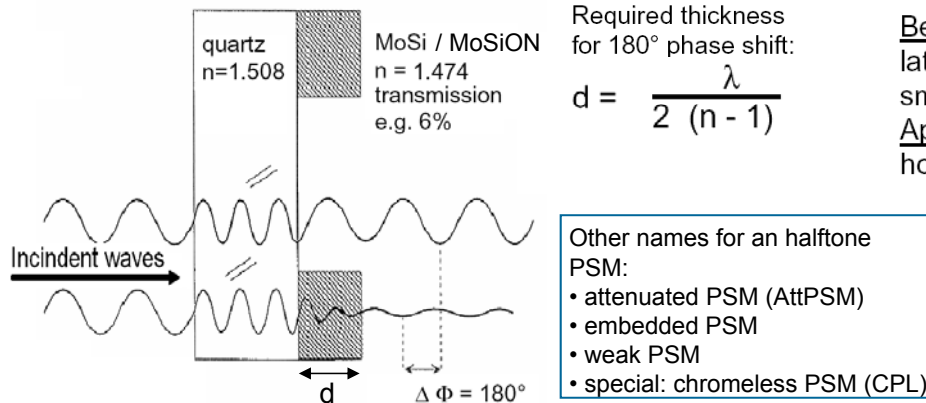
# Principle of Alternating Phase Shift Masks (AltPSM)



**Benefit:** Better resolution, enlargement of process latitude & DOF in lithography;

**Application:** Poly, AA, DT, metal levels

# Principle of Halftone Phase Shift Masks (HTPSM)



**Benefit:** Enlargement of process latitude in lithography;

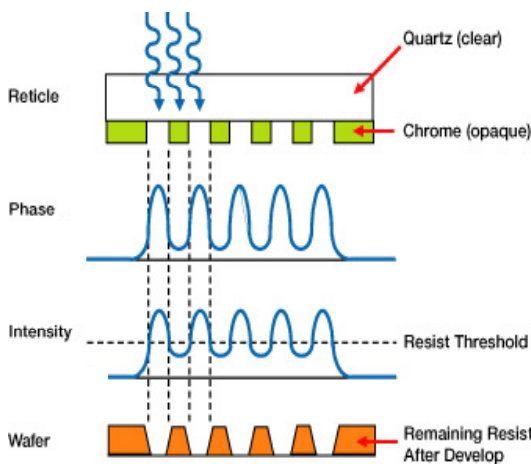
small improvement of resolution.  
**Application:** Levels with contact-holes or similar structures.

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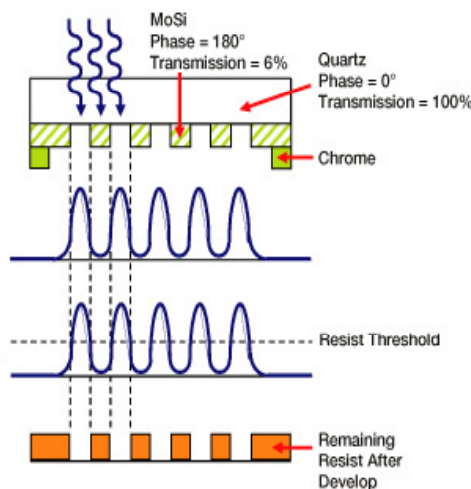
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## PSM Types

### Binary Masks

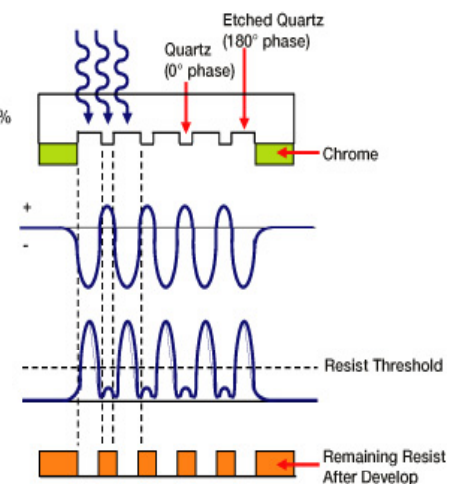


### Attenuated Phase-Shift Mask (AttPSM)



Special application:  
Chromeless Phase Lithography (CPL)

### Alternating Phase-Shift Mask (AltPSM)



Source: ASML

- complex mask manufacturing process  
- need for a second "Trim" reticle --> 2 Exposures

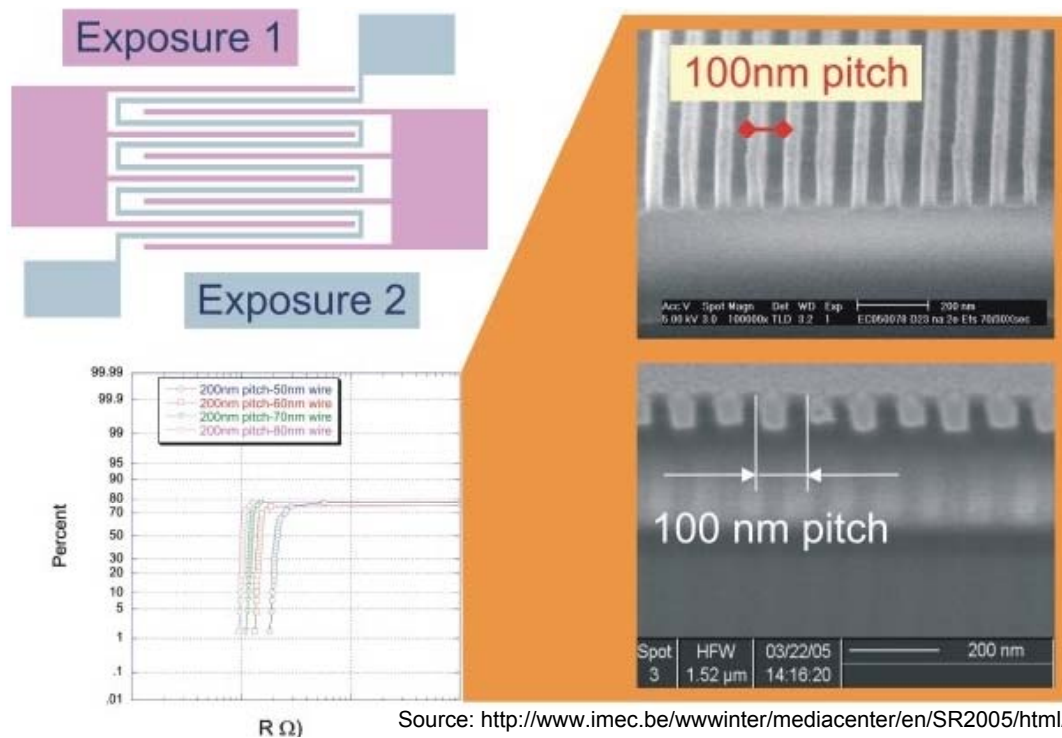
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#### **3.6.4.4 Double Exposure (DE)**

*Basic ideas:* - Double patterning !

- Reduction of  $k_1$  below the theoretical limit of 0.25 for a single print (pitch 100 nm, NA = 0.75,  $\lambda$  = 193 nm -->  $k_1$  = 0.19)
- Separation of critical features aligned in X and in Y direction, resp., for improved OAI



Source: <http://www.imec.be/wwwwinter/mediacenter/en/SR2005/html/142317.html>

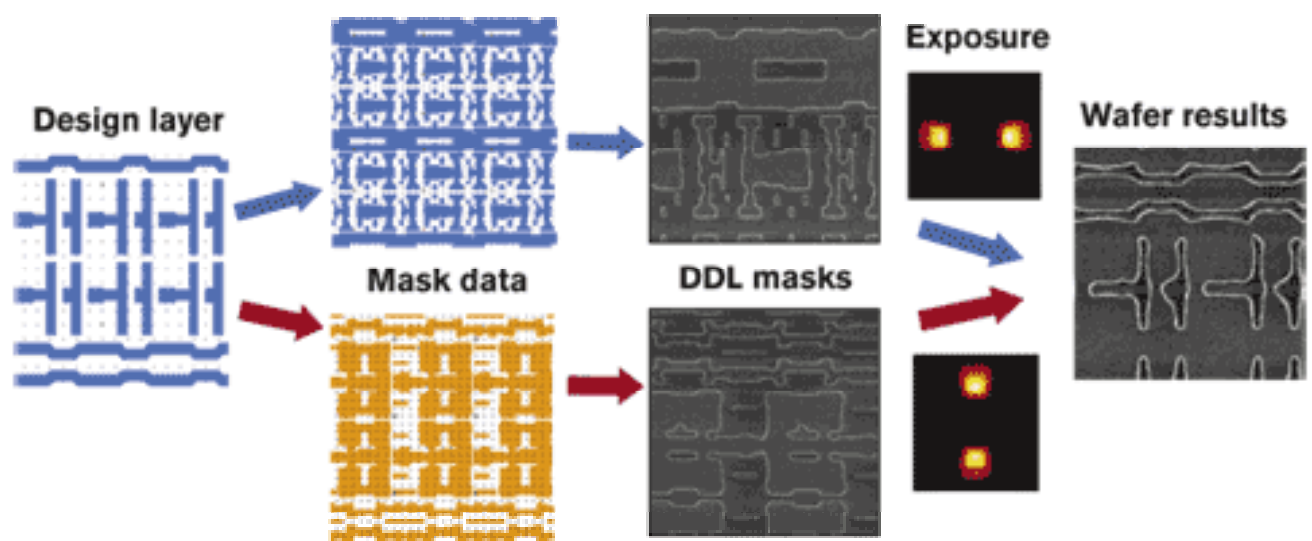
*Double patterning approach to obtain 100 nm pitch structures with 193 nm optical lithography.*

*Cross-sections of trenches after the second patterning step and after metallization.*

Corresponding electrical results are shown left.

### Double Dipole Lithography (DDL)

- special kind of DE



*Double dipole lithography (DDL) breaks a mask into two layers, one with critical features aligned in the X axis, and one with critical features aligned in the Y axis.*



## Combination of RET Solutions

- This is what the designer drew
- Added 'scattering bars' and serifs to make the polygon print more exactly
- Added additional phase features to allow printing smaller features at the same wavelength

Desired Pattern on wafer

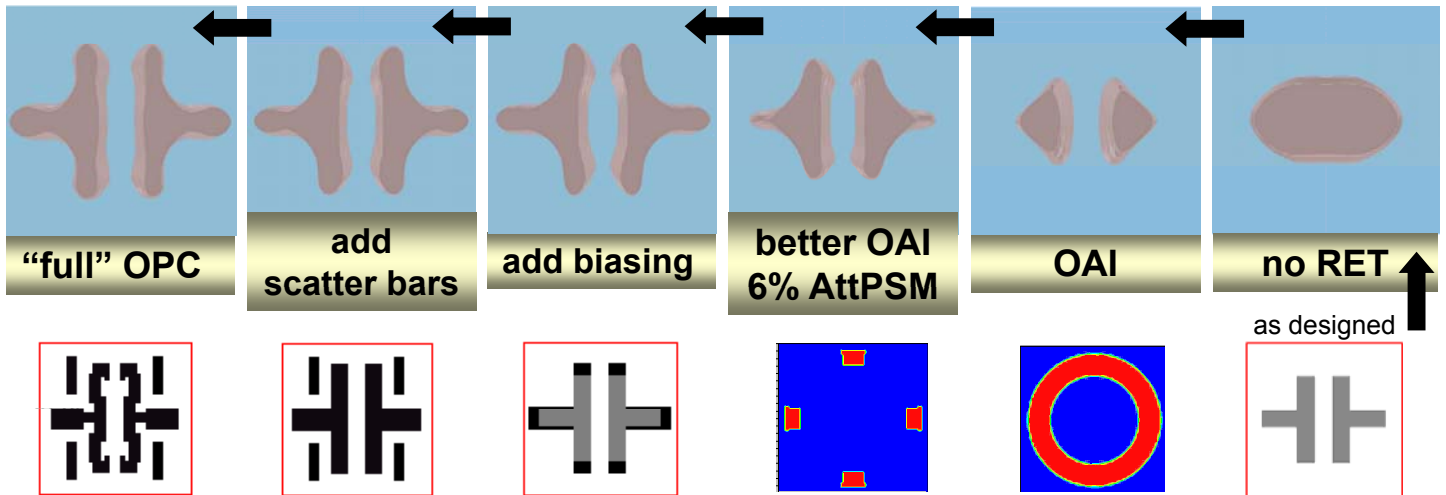
Actual Mask Pattern

OPC Optical Proximity Correction

Multilevel Mask

PSM Phase Shift Mask

Accurate and flexible modeling is key!



(Courtesy ASML)