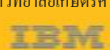




Mahidol  
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Wisdom of the Land



การแข่งขันเขียนโปรแกรมคอมพิวเตอร์  
ACM-ICPC ระดับภูมิภาค ภาคกลางเขต 2 ประจำปี 2559

# ACM-ICPC

ACM-ICPC Thailand Central Group B Programming Contest 2016  
รอบภาคกลางเขต 2 ครอบคลุมมหาวิทยาลัยในภาคกลาง (ไม่รวมกรุงเทพฯ) และภาคตะวันตก

## Dynamic Programming

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# Dynamic Programming

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# Dynamic Programming

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*Dynamic Programming* is a general algorithm design technique for solving problems defined by or formulated as recurrences with overlapping subinstances

- Invented by American mathematician Richard Bellman in the 1950s to solve optimization problems and later assimilated by CS
- “Programming” here means “planning”
- Main idea:
  - set up a recurrence relating a solution to a larger instance to solutions of some smaller instances
  - solve smaller instances once
  - record solutions in a table
  - extract solution to the initial instance from that table



# Divide-and-Conquer and Dynamic Programming

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- Both algorithm methods solve a problem by combining solutions of *sub-problems*.
- However, there's a difference between the two:
  - In divide-and-conquer, the **sub-problems don't overlap**
    - **Independent** sub-problems, solve sub-problems **independently** and **recursively**, (so same sub(sub)problems solved *repeatedly*)
  - In dynamic programming, the **sub-problems overlap**
    - Sub-problems are **dependent**, i.e., sub-problems share sub-sub-problems, every sub(sub)problem solved just once, solutions to sub(sub)problems are **stored in a table** and used for solving higher level sub-problems.



# Example: Fibonacci numbers

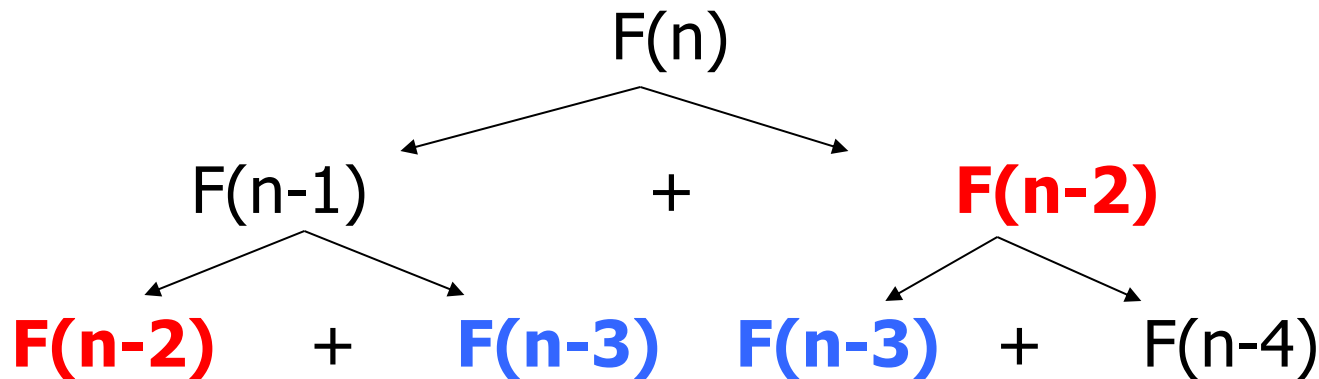
- Recall definition of Fibonacci numbers:

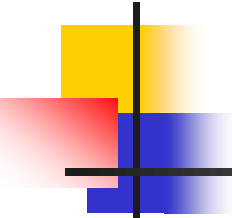
$$F(n) = F(n-1) + F(n-2)$$

$$F(0) = 0$$

$$F(1) = 1$$

- Computing the  $n^{\text{th}}$  Fibonacci number recursively (**top-down**):





# Example: Fibonacci numbers (cont.)

---

Computing the  $n^{\text{th}}$  Fibonacci number using bottom-up iteration and recording results:

$$F(0) = 0$$

$$F(1) = 1$$

$$F(2) = 1 + 0 = 1$$

...

$$F(10) = F(9) + F(8)$$

...

$$F(n-1) =$$

$$F(n) = F(n-1) + F(n-2)$$

|   |   |   |       |          |          |        |
|---|---|---|-------|----------|----------|--------|
| 0 | 1 | 1 | . . . | $F(n-2)$ | $F(n-1)$ | $F(n)$ |
|---|---|---|-------|----------|----------|--------|

Efficiency:

- time  $O(n)$
- space  $O(n)$

What if we solve  
it recursively?



# Fibonacci Number Pseudocode

---

A straightforward, but inefficient algorithm to compute the  $n$ th Fibonacci number would use a **top-down approach**:

*Fibonacci ( $n$ )*

1. *if  $n = 0$  then return 0*
2. *else if  $n = 1$  then return 1*
3. *else return Fibonacci ( $n-1$ ) + Fibonacci ( $n-2$ )*

A more efficient, **bottom-up approach** starts with 0 and works up to  $n$ , requiring only  $n$  values to be computed:

*Fibonacci( $n$ )*

1.  $f[0] \leftarrow 0$
2.  $f[1] \leftarrow 1$
3. **for**  $i \leftarrow 2 \dots n$
4.     **do**  $f[i] \leftarrow f[i-1] + f[i-2]$
5. **return**  $f[n]$ ;



# Fibonacci Number Pseudocode

A straightforward, but inefficient algorithm to compute the  $n$ th Fibonacci number would use a **top-down approach**:

|          |   |   |   |   |   |   |    |    |
|----------|---|---|---|---|---|---|----|----|
| <b>F</b> | 1 | 1 | 2 | 3 | 5 | 8 | 13 | 21 |
|----------|---|---|---|---|---|---|----|----|

*Fibonacci* ( $n$ )

0. if  $F(n)$  exists, return  $F(n)$

1. if  $n = 0$  then return 0

2. else if  $n = 1$  then return 1

3. else return *Fibonacci* ( $n-1$ ) + *Fibonacci* ( $n-2$ ), **keep**  $F(n)$

**MEMOIZATION**

A more efficient, **bottom-up approach** starts with 0 and works up to  $n$ , requiring only  $n$  values to be computed:

*Fibonacci*( $n$ )

1.  $f[0] \leftarrow 0$

2.  $f[1] \leftarrow 1$

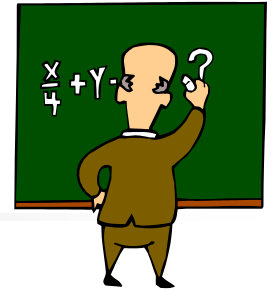
3. **for**  $i \leftarrow 2 \dots n$

4.     **do**  $f[i] \leftarrow f[i-1] + f[i-2]$

5. **return**  $f[n]$ ;



# The General Dynamic Programming Technique



- Applies to a problem that at first seems to require a lot of time (possibly exponential), provided we have:
  - **Subproblem optimality:** the global optimum value can be defined in terms of optimal subproblems
  - **Subproblem overlap:** the subproblems are not independent, but instead they overlap (hence, should be constructed bottom-up).



# DP Properties

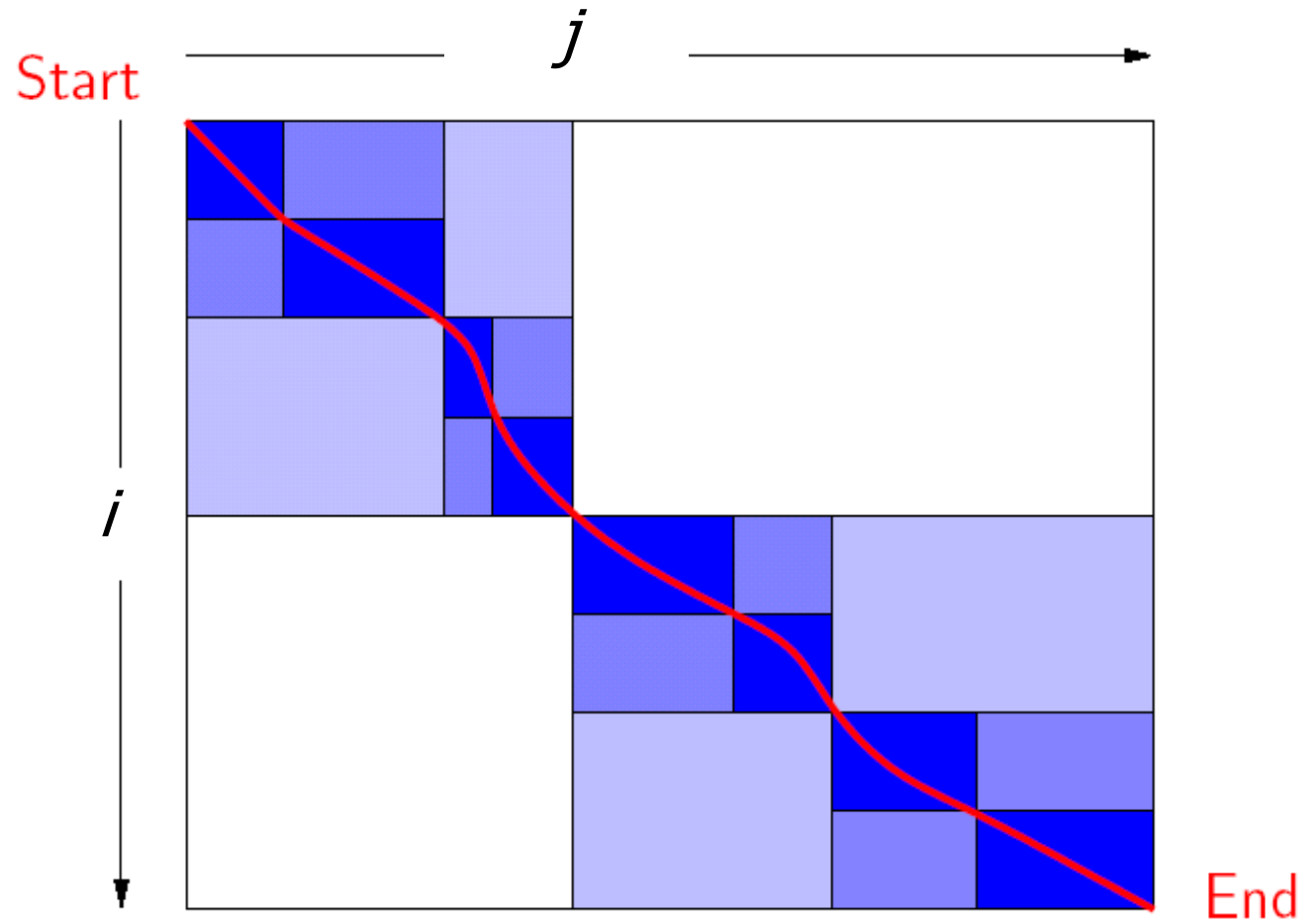
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## ***Optimal substructure***

*An optimal solution to a problem (instance) contains optimal solutions to subproblems.*



# Schmatic Diagram of DC Method





# DP Properties

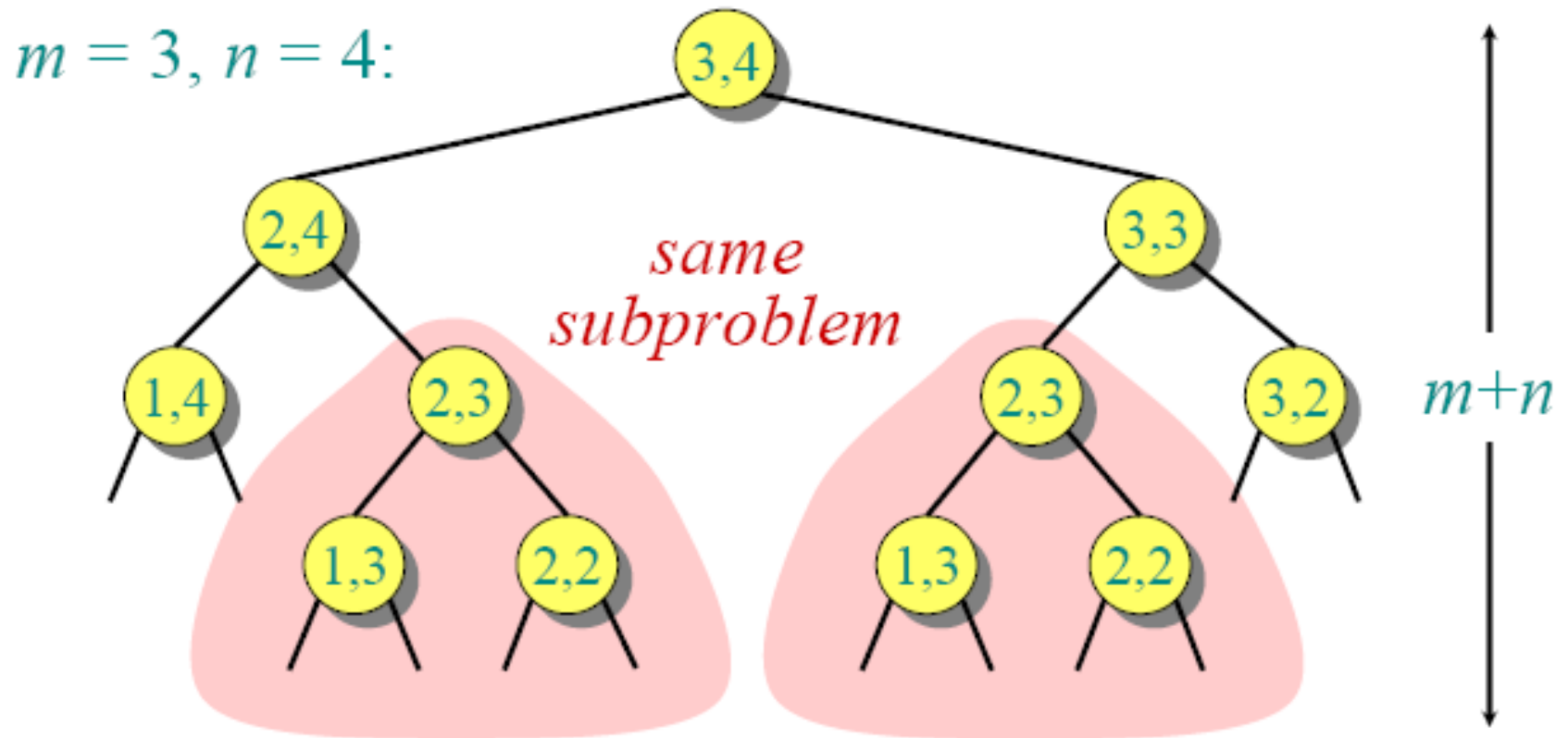
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## ***Overlapping subproblems***

*A recursive solution contains a “small” number of distinct subproblems repeated many times.*

# DP Properties: Example

## Recursion tree



Height =  $m + n \Rightarrow$  work potentially exponential,  
but we're solving subproblems already solved!

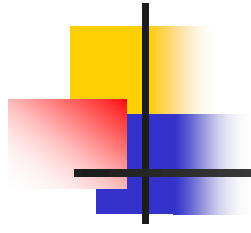


# DP Development

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Development of a dynamic-programming algorithm can be broken into 4 steps:

- 1) Characterize the **structure** of an optimal solution
- 2) **Recursively define** the value of an optimal solution
- 3) **Compute** the value of an optimal solution from **bottom-up**
- 4) **Construct** an optimal **solution** from stored/computed information



# Assembly Line Scheduling Problem



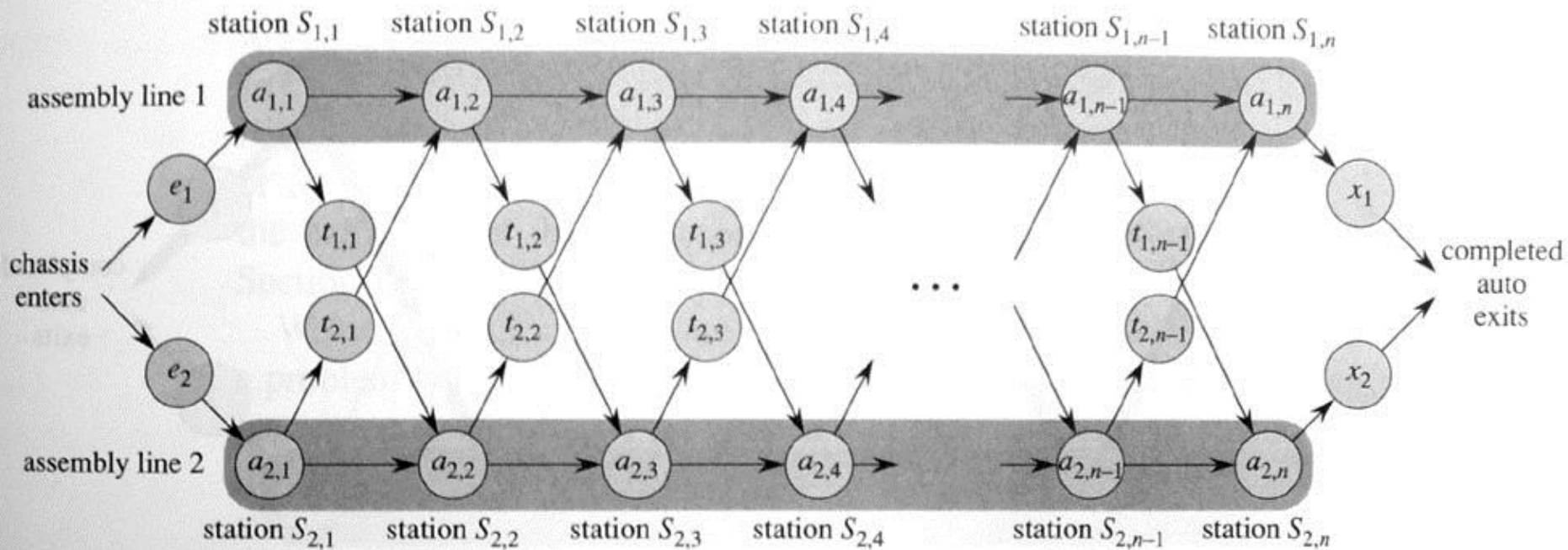
# Assembly Line Scheduling (ALS)

---

- 2 assembly lines at an auto assembly plant
- Normally they operate independently and concurrently
- But when there is a rush job the manager halts the assembly lines and use stations in both assembly lines in an optimal way, to be explained next

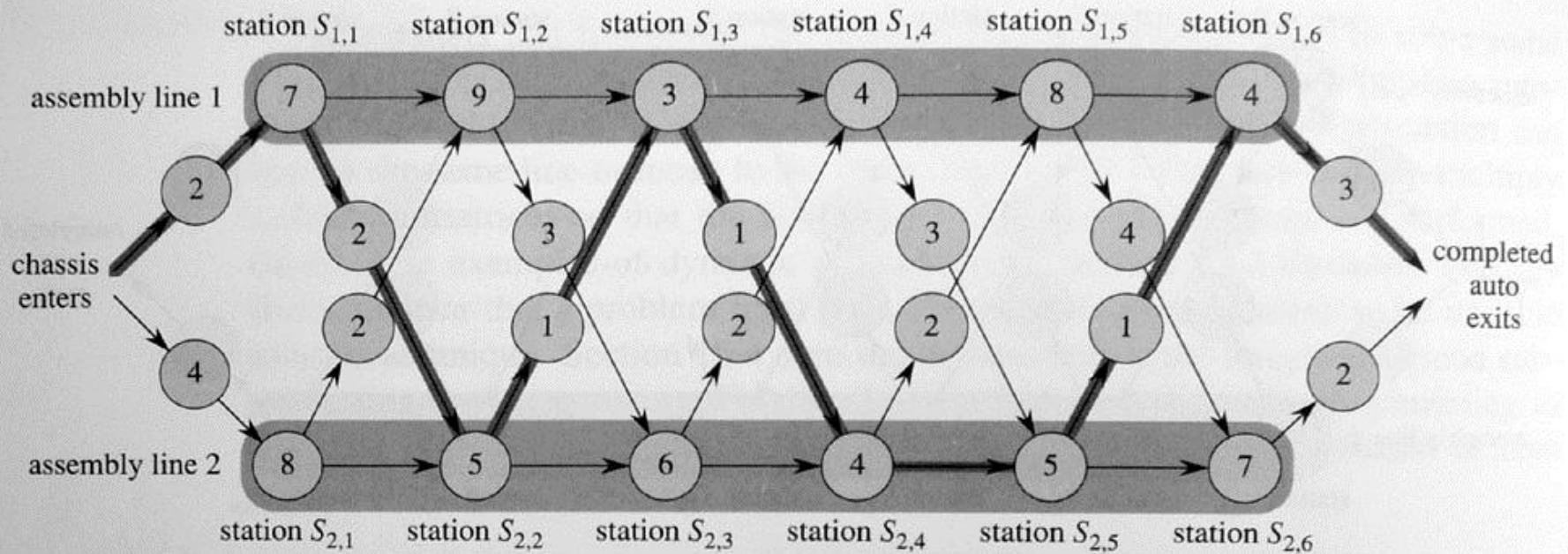


# Assembly Line Scheduling (ALS)



**Figure 15.1** A manufacturing problem to find the fastest way through a factory. There are two assembly lines, each with  $n$  stations; the  $j$ th station on line  $i$  is denoted  $S_{i,j}$  and the assembly time at that station is  $a_{i,j}$ . An automobile chassis enters the factory, and goes onto line  $i$  (where  $i = 1$  or 2), taking  $e_i$  time. After going through the  $j$ th station on a line, the chassis goes on to the  $(j+1)$ st station on either line. There is no transfer cost if it stays on the same line, but it takes time  $t_{i,j}$  to transfer to the other line after station  $S_{i,j}$ . After exiting the  $n$ th station on a line, it takes  $x_i$  time for the completed auto to exit the factory. The problem is to determine which stations to choose from line 1 and which to choose from line 2 in order to minimize the total time through the factory for one auto.

# Concrete Instance of ALS



(a)

|          |    |    |    |    |    |    |
|----------|----|----|----|----|----|----|
| $j$      | 1  | 2  | 3  | 4  | 5  | 6  |
| $f_1[j]$ | 9  | 18 | 20 | 24 | 32 | 35 |
| $f_2[j]$ | 12 | 16 | 22 | 25 | 30 | 37 |

$f^* = 38$

|          |   |   |   |   |   |
|----------|---|---|---|---|---|
| $j$      | 2 | 3 | 4 | 5 | 6 |
| $l_1[j]$ | 1 | 2 | 1 | 1 | 2 |
| $l_2[j]$ | 1 | 2 | 1 | 2 | 2 |

$l^* = 1$

(b)

**Figure 15.2** (a) An instance of the assembly-line problem with costs  $e_i$ ,  $a_{i,j}$ ,  $t_{i,j}$ , and  $x_i$  indicated. The heavily shaded path indicates the fastest way through the factory. (b) The values of  $f_i[j]$ ,  $f^*$ ,  $l_i[j]$ , and  $l^*$  for the instance in part (a).



# Brute Force Solution

---

- List all possible sequences,
- For each sequence of  $n$  stations, compute the passing time. (the computation takes  $\Theta(n)$  time.)
- Record the sequence with smaller passing time.
- However, there are total  $2^n$  possible sequences.





# ALS --DP steps: Step 1

---

- **Step 1:** find the structure of the fastest way through factory
  - Consider the fastest way from starting point through station  $S_{1,j}$  (same for  $S_{2,j}$ )
    - $j=1$ , only one possibility
    - $j=2,3,\dots,n$ , **two possibilities**: from  $S_{1,j-1}$  or  $S_{2,j-1}$ 
      - from  $S_{1,j-1}$ , additional time  $a_{1,j}$
      - from  $S_{2,j-1}$ , additional time  $t_{2,j-1} + a_{1,j}$
    - suppose the fastest way through  $S_{1,j}$  is through  $S_{1,j-1}$ , then the **chassis must have taken a fastest way from starting point through  $S_{1,j-1}$** . Why???
    - Similarly for  $S_{2,j-1}$ .



# DP step 1: Find Optimal Structure

---

- An optimal solution to a problem contains within it an optimal solution to subproblems.
- the fastest way through station  $S_{i,j}$  contains within it the fastest way through station  $S_{1,j-1}$  or  $S_{2,j-1}$ .
- Thus can construct an optimal solution to a problem from the optimal solutions to subproblems.



## ALS --DP steps: Step 2

---

- **Step 2:** A recursive solution
- Let  $f_i[j]$  ( $i=1,2$  and  $j=1,2,\dots, n$ ) denote the fastest possible time to finish station  $j$  of line  $i$  from starting point (through  $S_{i,j}$ ).
- Let  $f^*$  denote the fastest time for finishing all the way through the factory. Then
$$f^* = \min(f_1[n] + x_1, f_2[n] + x_2)$$
- $f_1[1]=e_1+a_{1,1}$ , fastest time to get through  $S_{1,1}$
- $f_1[j]=\min(f_1[j-1]+a_{1,j}, f_2[j-1]+t_{2,j-1}+a_{1,j})$
- Similarly to  $f_2[j]$ .



## ALS --DP steps: Step 2

- Recursive solution:

- $f^* = \min(f_1[n] + x_1, f_2[n] + x_2)$

- $f_1[j] = \begin{cases} e_1 + a_{1,1} & \text{if } j=1 \\ \min(f_1[j-1] + a_{1,j}, f_2[j-1] + t_{2,j-1} + a_{1,j}) & \text{if } j>1 \end{cases}$

- $f_2[j] = \begin{cases} e_2 + a_{2,1} & \text{if } j=1 \\ \min(f_2[j-1] + a_{2,j}, f_1[j-1] + t_{1,j-1} + a_{2,j}) & \text{if } j>1 \end{cases}$

- $f_i[j]$  ( $i=1,2$ ;  $j=1,2,\dots,n$ ) records optimal values to the subproblems.
- To **keep the track** of the fastest way, introduce  **$l_i[j]$  to record the line number (1 or 2)**, whose station  $j-1$  is used in a fastest way through  $S_{i,j}$ .
- Introduce  $l^*$  to be the line whose station  $n$  is used in a fastest way through the factory.



## ALS --DP steps: Step 3

- **Step 3:** Computing the fastest time
  - One possible option: *Recursive algorithm*
    - Let  $r_i(j)$  be the number of references made to  $f_i[j]$ 
      - $r_1(n) = r_2(n) = 1$
      - $r_1(j) = r_2(j) = r_1(j+1) + r_2(j+1)$
      - $r_i(j) = 2^{n-j}$ .
      - So  $f_1[1]$  is referred to  $2^{n-1}$  times.
      - Total references to all  $f_i[j]$  is  $\Theta(2^n)$ .
    - Thus, the running time is exponential.
    - Memoization is needed.
  - Another possible option: *Non-recursive algorithm*



# ALS Step 3 – Coding (Bottom-Up)

Fastest-Way(a, t, e, x, n)

1.  $f_1[1] = e_1 + a_{1,1}$
2.  $f_2[1] = e_2 + a_{2,1}$
3. for  $j = 2$  to  $n$
4.     if  $f_1[j-1] + a_{1,j} \leq f_2[j-1] + t_{2,j-1} + a_{1,j}$
5.          $f_1[j] = f_1[j-1] + a_{1,j}$
6.          $l_1[j] = 1$
7.     else
8.          $f_1[j] = f_2[j-1] + t_{2,j-1} + a_{1,j}$
9.          $l_1[j] = 2$
- 10-15.   // same as lines 4-9 for  $f_2$  and  $l_2$
16. if  $f_1[n] + x_1 \leq f_2[n] + x_2$
17.      $f^* = f_1[n] + x_1$
18.      $l^* = 1$  // note:  $l^*$  is the line whose station  $n$  is the last
19. else  $f^* = f_2[n] + x_2$
20.      $l^* = 2$

Linear running time



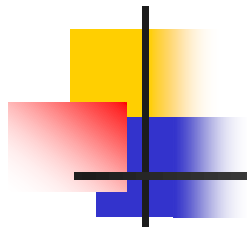
## ALS --DP steps: Step 4

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- **Step 4:** Construct the fastest way through the factory
  - Use value  $l_i[j]$  to trace back the solution

Print-Stations( $l$ ,  $n$ )

1.  $i = l^*$
2. print "line "+ $i$ +", station "+ $n$
3. for  $j = n$  downto 2
4.      $i = l_i[j]$
5.     print "line "+ $i$ +", station "+ $j - 1$



# Longest Increasing Subsequence



# Longest increasing subsequence

---

INPUT: numbers  $a_1, a_2, \dots, a_n$

OUTPUT: longest increasing subsequence

1,9,2,4,7,5,6



1,9,2,4,7,5,6



# DP algorithm for Longest Increasing Subsequence

---

- Problem: Given a sequence  $s_1, s_2, \dots, s_N$  of integers, find a longest increasing subsequence
- Algorithm: We compute  $F^*(j)$  for  $j=1, 2, \dots, N$  where  $F^*(j)$  is the length of the longest increasing subsequence of  $s_1, s_2, \dots, s_j$  that includes  $s_j$



# LIS Algorithm

---

- Step 1: Def of subproblem: previous slide
- Step 2: Solution obtained by taking  $\text{Max}\{F^*(1), F^*(2), \dots, F^*(N)\}$
- Step 3: Base case:  $F^*(1)=1$
- Step 4: Order of subproblems:  $F^*(1)$ , then  $F^*(2)$ , then  $F^*(3)$ ., etc., up to  $F^*(N)$
- Step 5: For  $j>1$ ,  
$$F^*(j) = \max_k \{1, F^*(k)+1 : k < j, \text{ and } s_k < s_j\}$$



---

# Longest Common Subsequence Problem



# Longest Common Subsequence

**LCS Problem:** Given two strings, find a longest subsequence that they share.

- substring vs. subsequence of a string
  - **Substring:** the characters in a substring of S must *occur contiguously* in S
  - **Subsequence:** the characters can be interspersed with *gaps*.

- Consider *ababc* and *abdcb*

*alignment 1*

ababc .

ab . d . cb

the longest common subsequence is *ab..c* with length 3

*alignment 2*

ab . a . bc

abdcb .

the longest common subsequence is *ab..b* with length 3



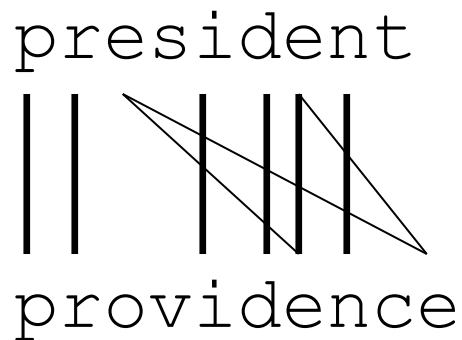
# Longest Common Subsequence

For instance,

Sequence 1: president

Sequence 2: providence

Its LCS is priden.



# Longest Common Subsequence

For another example,

Sequence 1: algorithm

Sequence 2: alignment

Its LCS can be **algm** or **alit** or **algt**

or

Diagram illustrating the alignment of the two sequences:

|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| a | l | g | o | r | i | t | h | m |
|   |   | \ | / |   | / | \ |   | / |
| a | l | i | g | n | m | e | n | t |

The diagram shows the alignment of the two sequences. The first sequence is "algorithm" and the second sequence is "alignment". The characters are aligned as follows: 'a' aligns with 'a', 'l' aligns with 'l', 'g' aligns with 'i', 'o' aligns with 'g', 'r' aligns with 'n', 'i' aligns with 'm', 't' aligns with 'e', 'h' aligns with 'n', and 'm' aligns with 't'. The longest common subsequence (LCS) is highlighted in red in the original image: **algm** or **alit** or **algt**.



# Longest Common Subsequence

- Subproblem optimality

Consider two sequences

$S_1: a_1a_2a_3\dots a_i$

$S_2: b_1b_2b_3\dots b_j$

There are three possible cases

for the current pair :

Substitution 

|            |       |
|------------|-------|
| $a_1\dots$ | $a_i$ |
| $b_1\dots$ | $b_j$ |

Gap 

|                |       |
|----------------|-------|
| $a_1\dots a_i$ | -     |
| $b_1\dots$     | $b_j$ |

Gap 

|                |       |
|----------------|-------|
| $a_1\dots$     | $a_i$ |
| $b_1\dots b_j$ | -     |

# Longest Common Subsequence

There are three cases for the last element:

$S_1: a_1 a_2 a_3 \dots a_i$   
 $S_2: b_1 b_2 b_3 \dots b_j$

Substitution  $\begin{array}{|c|} \hline a_1 \dots a_i \\ \hline b_1 \dots b_j \\ \hline \end{array}$

$$M_{i,j} = M_{i-1,j-1} + S_{i,j} \text{ (match/mismatch)}$$

Gap  $\begin{array}{|c|} \hline a_1 \dots a_i - \\ \hline b_1 \dots b_j \\ \hline \end{array}$

$$M_{i,j} = M_{i,j-1} + w \text{ (gap in sequence \#1)}$$

Gap  $\begin{array}{|c|} \hline a_1 \dots - a_i \\ \hline b_1 \dots b_j \\ \hline \end{array}$

$$M_{i,j} = M_{i-1,j} + w \text{ (gap in sequence \#2)}$$

$$M_{i,j} = \text{MAX} \{ M_{i-1,j-1} + S(a_i, b_j) \text{ (match = 1 / mismatch = 0)} \\ M_{i,j-1} + 0 \text{ (gap in sequence \#1)} \\ M_{i-1,j} + 0 \text{ (gap in sequence \#2)} \}$$

$M_{i,j}$  is the score for optimal alignment between strings  $a[1 \dots i]$  (substring of  $a$  from index 1 to  $i$ ) and  $b[1 \dots j]$



# Longest Common Subsequence

---

$$M_{i,j} = \text{MAX} \left\{ \begin{array}{l} M_{i-1, j-1} + S(a_i, b_j) \\ M_{i, j-1} + 0 \\ M_{i-1, j} + 0 \end{array} \right\}$$

$s(a_i, b_j) = 1$  if  $a_i = b_j$

$s(a_i, b_j) = 0$  if  $a_i \neq b_j$  or *any of them is a gap*

Examples:

G A A T T C A G T T A (sequence #1)

G G A T C G A (sequence #2)

# Longest Common Subsequence

Fill the score matrix M and trace back table B

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |
| T |   |   |   |   |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |

Substitution  $\begin{matrix} a_1 \dots a_i \\ b_1 \dots b_j \end{matrix}$   $M_{i,j} = M_{i-1,j-1} + S_{i,j} \text{ (match/mismatch)}$

Gap  $\begin{matrix} a_1 \dots a_i \\ b_1 \dots b_j \end{matrix}$   $M_{i,j} = M_{i,j-1} + w \text{ (gap in sequence \#1)}$

Gap  $\begin{matrix} a_1 \dots a_i \\ b_1 \dots b_j \end{matrix}$   $M_{i,j} = M_{i-1,j} + w \text{ (gap in sequence \#2)}$

$$M_{1,1} = \text{MAX}[M_{0,0} + 1, M_{1,0} + 0, M_{0,1} + 0] = \text{MAX}[1, 0, 0] = 1$$

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |
| T |   |   |   |   |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |

Score matrix M

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |
| T |   |   |   |   |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |

Trace back table B

# Longest Common Subsequence

Score matrix M

|   | G | A | A | T | T | T | C | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A |   |   |   |   |   |   |   |   |   |   |   |
| T |   |   |   |   |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 6 |

Trace back table B

|   | G | A | A | T | T | T | C | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A |   |   |   |   |   |   |   |   |   |   |   |
| T |   |   |   |   |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |   |   |   |   |
| A |   |   |   |   |   |   |   |   |   |   |   |

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 6 |

$M_{7,11}=6$  (lower right corner of Score matrix)

This tells us that the best alignment has a score of 6

What is the best alignment?

# Longest Common Subsequence

We need to use trace back table to find out the best alignment, which has a score of 6

(1) Find the path from lower right corner to upper left corner

|   |   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
|   | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 | 4 |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 | 5 |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 5 | 6 |



# Longest Common Subsequence

(2) At the same time, write down the alignment backward

|   |   |                          |   |   |   |   |   |   |   |   |   |   |          |
|---|---|--------------------------|---|---|---|---|---|---|---|---|---|---|----------|
|   |   | <div>S<sub>1</sub></div> |   |   |   |   |   |   |   |   |   |   |          |
|   |   | G                        | A | A | T | T | C | A | G | T | T | A |          |
|   |   | 0                        | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |          |
| G | 0 | 1                        | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | (Seq #1) |
| G | 0 | 1                        | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 |          |
| A | 0 | 1                        | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 | (Seq #2) |
| T | 0 | 1                        | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |          |
| C | 0 | 1                        | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 | 4 |          |
| G | 0 | 1                        | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 | 5 |          |
| A | 0 | 1                        | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 5 | 5 | ↘        |

|   |   |   |   |   |   |   |   |   |   |   |   |   |          |
|---|---|---|---|---|---|---|---|---|---|---|---|---|----------|
|   |   | G | A | A | T | T | C | A | G | T | T | A |          |
|   |   | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |          |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | (Seq #1) |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 2 | 2 | 2 |          |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 | (Seq #2) |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |          |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 | 4 |          |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 | 5 | →        |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 5 | 5 | ↘        |

- ↘: Take one character from each sequence
- : Take one character from sequence S<sub>1</sub> (columns)
- ↓: Take one character from sequence S<sub>2</sub> (rows)

# Longest Common Subsequence

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 6 |

(Seq #1)

(Seq #2)

T T A  
|  
- - A

- ↘ :Take one character from each sequence
- :Take one character from sequence  $S_1$  (columns)
- ↓ :Take one character from sequence  $S_2$  (rows)

|   | G | A | A | T | T | C | A | G | T | T | A |
|---|---|---|---|---|---|---|---|---|---|---|---|
| G | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| A | 0 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 3 |
| T | 0 | 1 | 2 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |
| C | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 4 | 4 | 4 |
| G | 0 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 5 | 5 |
| A | 0 | 1 | 2 | 3 | 3 | 3 | 4 | 5 | 5 | 5 | 6 |

(Seq #1)

(Seq #2)

G \_ A A T T C A G T T A  
| | | | |  
G G A \_ T \_ C \_ G \_ \_ A



# Longest Common Subsequence

---

Thus, the optimal alignment is

|          |   |   |   |   |   |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|---|---|---|---|---|
| (Seq #1) | G | _ | A | A | T | T | C | A | G | T | T | A |
|          |   |   |   |   |   |   |   |   |   |   |   |   |
| (Seq #2) | G | G | A | _ | T | _ | C | _ | G | _ | _ | A |

The longest common subsequence is  
G.A.T.C.G..A

There might be multiple longest common subsequences (LCSs)  
between two given sequences.

These LCSs have the same number of characters (not include gaps)



# Longest Common Subsequence

**Algorithm LCS** (string A, string B) {

**Input** strings A and B

**Output** the longest common subsequence of A and B

M: Score Matrix

B: trace back table (use letter a, b, c ~~for~~  $\rightarrow$   $\downarrow$  )

n=A.length()

m=B.length()

// fill in M and B

for (i=0;i<m+1;i++)

    for (j=0;j<n+1;j++)

        if (i==0) || (j==0)

            then M(i,j)=0;

        else if (A[i]==B[j])

            M(i,j)=max {M[i-1,j-1]+1, M[i-1,j], M[i,j-1]}

            {update the entry in trace table B}

        else

            M(i,j)=max {M[i-1,j-1], M[i-1,j], M[i,j-1]}

            {update the entry in trace table B}

then use trace back table B to print out the optimal alignment

...

# Tracing back in the LCS algorithm

- e.g.  $A = b a c a d$ ,  $B = a c c b a d c b$

|   |   | B |   |    |   |    |   |   |    |    |
|---|---|---|---|----|---|----|---|---|----|----|
|   |   | a | c | c  | b | a  | d | c | b  |    |
| A | b | 0 | 0 | 0  | 0 | 0  | 0 | 0 | 0  |    |
|   | a | 0 | ① | ←1 | 1 | 1  | 2 | 2 | 2  |    |
|   | c | 0 | 1 | 2  | ② | ←2 | 2 | 2 | 3  | 3  |
|   | a | 0 | 1 | 2  | 2 | 2  | ③ | 3 | 3  | 3  |
|   | d | 0 | 1 | 2  | 2 | 2  | 3 | ④ | ←4 | ←4 |

- After all  $L_{i,j}$ 's have been found, we can trace back to find the longest common subsequence of A and B.





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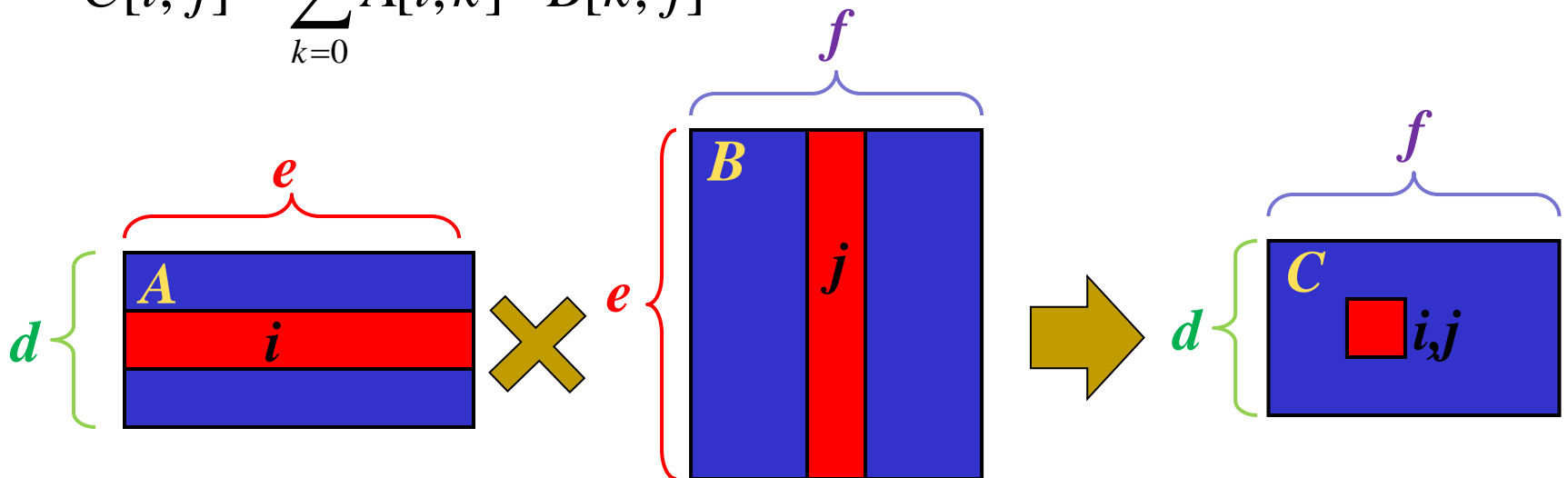
# Matrix-Chain Multiplication

# Matrix Chain-Products

- Review: Matrix Multiplication.

- $C = A * B$
- $A$  is  $d \times e$  and  $B$  is  $e \times f$
- computation time  $O(d \cdot e \cdot f)$

$$C[i, j] = \sum_{k=0}^{e-1} A[i, k] * B[k, j]$$







# Matrix Chain-Products

- **Matrix Chain-Product:**

- Compute  $A = A_0 * A_1 * \dots * A_{n-1}$
- $A_i$  is  $d_i \times d_{i+1}$
- Problem: How to parenthesize?

- $n$  matrices  $A_1, A_2, \dots, A_n$  with size

$$p_0 \times p_1, p_1 \times p_2, p_2 \times p_3, \dots, p_{n-1} \times p_n$$

To determine the multiplication order such that # of scalar multiplications is minimized.

- To compute  $A_i \times A_{i+1}$ , we need  $p_{i-1}p_ip_{i+1}$  scalar multiplications.

# Matrix-chain multiplication

## Example

- B is  $3 \times 100$ , C is  $100 \times 5$ , D is  $5 \times 5$
- $(B \times C) \times D$  takes  $1500 + 75 = 1575$  ops
- $B \times (C \times D)$  takes  $1500 + 2500 = 4000$  ops

## Example

- $A_1: 3 \times 5, A_2: 5 \times 4, A_3: 4 \times 2, A_4: 2 \times 5$  ( $n=4$ )
- $((A_1 \times A_2) \times A_3) \times A_4$ , # of scalar multiplications:  
$$3 * 5 * 4 + 3 * 4 * 2 + 3 * 2 * 5 = 114$$
- $(A_1 \times (A_2 \times A_3)) \times A_4$ , # of scalar multiplications:  
$$3 * 5 * 2 + 5 * 4 * 2 + 3 * 2 * 5 = 100$$
- $(A_1 \times A_2) \times (A_3 \times A_4)$ , # of scalar multiplications:  
$$3 * 5 * 4 + 3 * 4 * 5 + 4 * 2 * 5 = 160$$

# Enumeration Approach



- **Matrix Chain-Product Alg.:**

- Try all possible ways to parenthesize  $A=A_0*A_1*\dots*A_{n-1}$
- Calculate number of ops for each one
- Pick the one that is best

- **Running time:**

- The number of parenthesizations is equal to the number of binary trees with n nodes
- This is **exponential!**
- It is called the **Catalan number**, and it is almost  $4^n$ .
- This is a terrible algorithm!

# Subproblem Overlap

$A_1A_2A_3A_4$



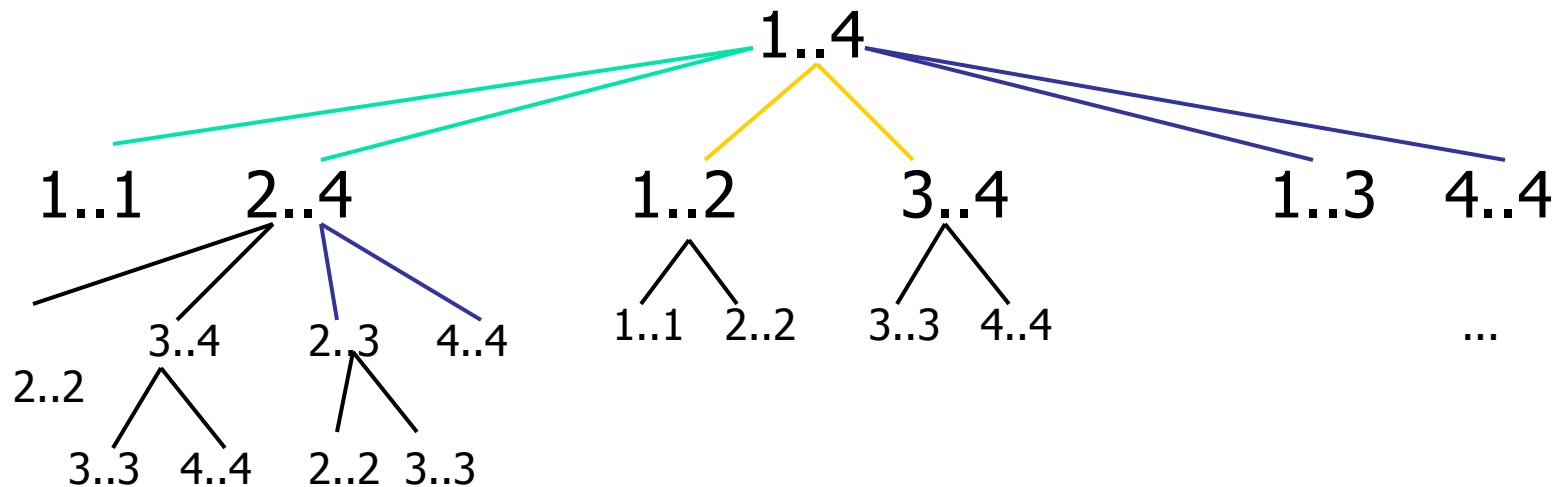
or

or

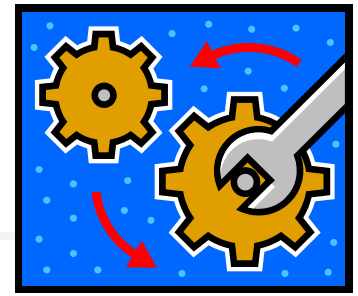
$(A_1) (A_2A_3A_4)$

$(A_1A_2) (A_3A_4)$

$(A_1A_2A_3) (A_4)$



# Characterizing Equation



- The global optimal has to be defined in terms of optimal subproblems, depending on where the final multiplication is at.
- Let us consider all possible places for that final multiplication:
  - Recall that  $A_i$  is a  $d_i \times d_{i+1}$  dimensional matrix.
  - So, a characterizing equation for  $N_{i,j}$  is the following:

$$N_{i,j} = \min_{i \leq k < j} \{ N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1} \}$$

- Note that subproblems are not independent—the **subproblems overlap**.



# Subproblem Overlap

**Algorithm** *RecursiveMatrixChain*( $S, i, j$ ):

**Input:** sequence  $S$  of  $n$  matrices to be multiplied

**Output:** number of operations in an optimal parenthesization of  $S$

**if**  $i=j$

**then return** 0

**for**  $k \leftarrow i$  **to**  $j$  **do**

$N_{i,j} \leftarrow \min\{N_{i,j}, \text{RecursiveMatrixChain}(S, i, k) + \text{RecursiveMatrixChain}(S, k+1, j) + d_i d_{k+1} d_{j+1}\}$

**return**  $N_{i,j}$

# Dynamic Programming Algorithm



- Since subproblems overlap, we don't use recursion.
- Instead, we construct optimal subproblems "bottom-up."
- $N_{i,j}$ 's are easy, so start with them
- Then do problems of "length" 2,3,... subproblems, and so on.
- Running time:  $O(n^3)$

**Algorithm** *matrixChain*( $S$ ):

**Input:** sequence  $S$  of  $n$  matrices to be multiplied

**Output:** number of operations in an optimal parenthesization of  $S$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

$N_{i,i} \leftarrow 0$

**for**  $b \leftarrow 1$  **to**  $n - 1$  **do**

{  $b = j - i$  is the length of the problem }

**for**  $i \leftarrow 0$  **to**  $n - b - 1$  **do**

$j \leftarrow i + b$

$N_{i,j} \leftarrow +\infty$

**for**  $k \leftarrow i$  **to**  $j - 1$  **do**

$N_{i,j} \leftarrow \min\{N_{i,j}, N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$

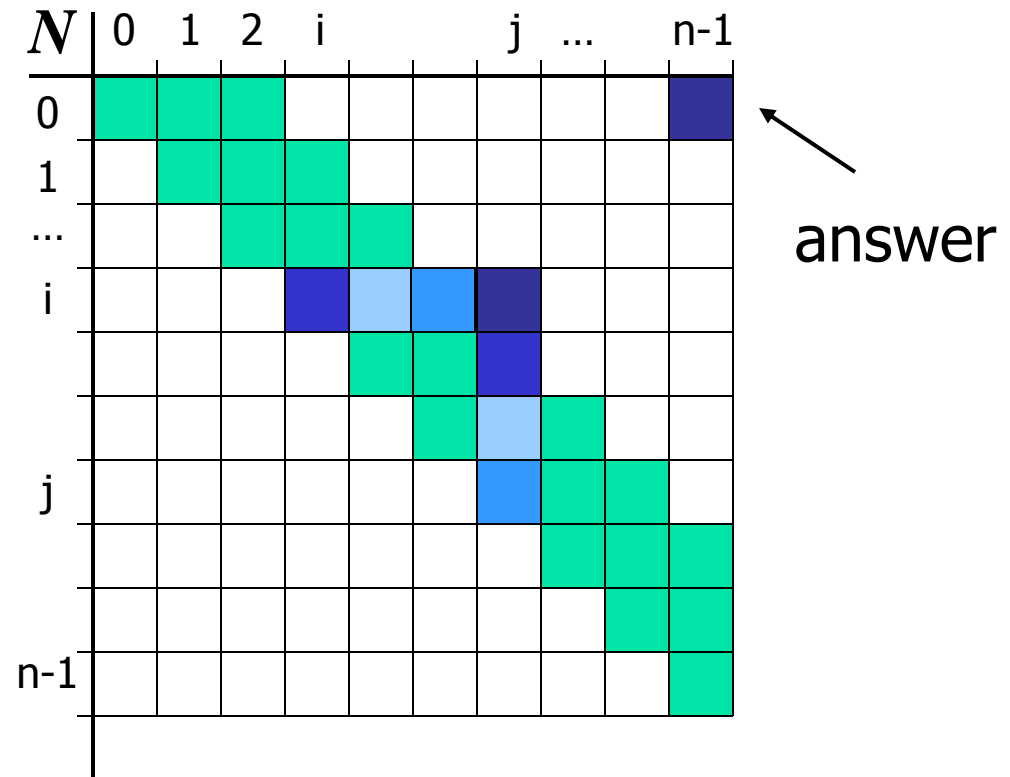
**return**  $N_{0,n-1}$

# Dynamic Programming Algorithm Visualization



- The bottom-up construction fills in the  $N$  array by diagonals
- $N_{i,j}$  gets values from previous entries in  $i$ -th row and  $j$ -th column
- Filling in each entry in the  $N$  table takes  $O(n)$  time.
- Total run time:  $O(n^3)$
- Getting actual parenthesization can be done by remembering "k" for each  $N$  entry

$$N_{i,j} = \min_{i \leq k < j} \{N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$$





# Dynamic Programming Algorithm Visualization

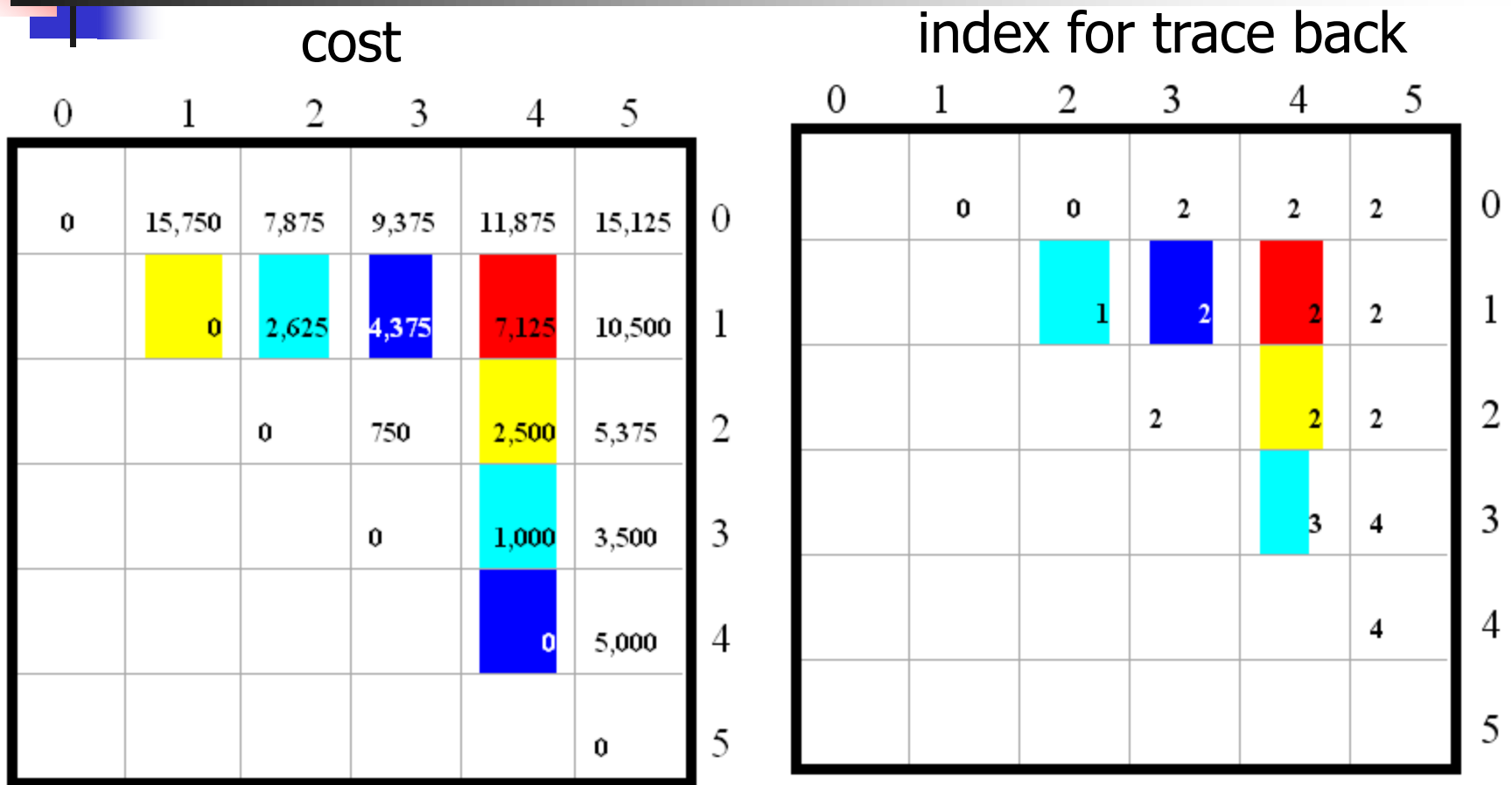
- $A_0: 30 \times 35$ ;  $A_1: 35 \times 15$ ;  $A_2: 15 \times 5$ ;  
 $A_3: 5 \times 10$ ;  $A_4: 10 \times 20$ ;  $A_5: 20 \times 25$

| 0 | 1      | 2     | 3     | 4      | 5      |   |
|---|--------|-------|-------|--------|--------|---|
| 0 | 15,750 | 7,875 | 9,375 | 11,875 | 15,125 | 0 |
|   | 0      | 2,625 | 4,375 | 7,125  | 10,500 | 1 |
|   |        | 0     | 750   | 2,500  | 5,375  | 2 |
|   |        |       | 0     | 1,000  | 3,500  | 3 |
|   |        |       |       | 0      | 5,000  | 4 |
|   |        |       |       |        | 0      | 5 |

$$N_{i,j} = \min_{i \leq k < j} \{N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$$

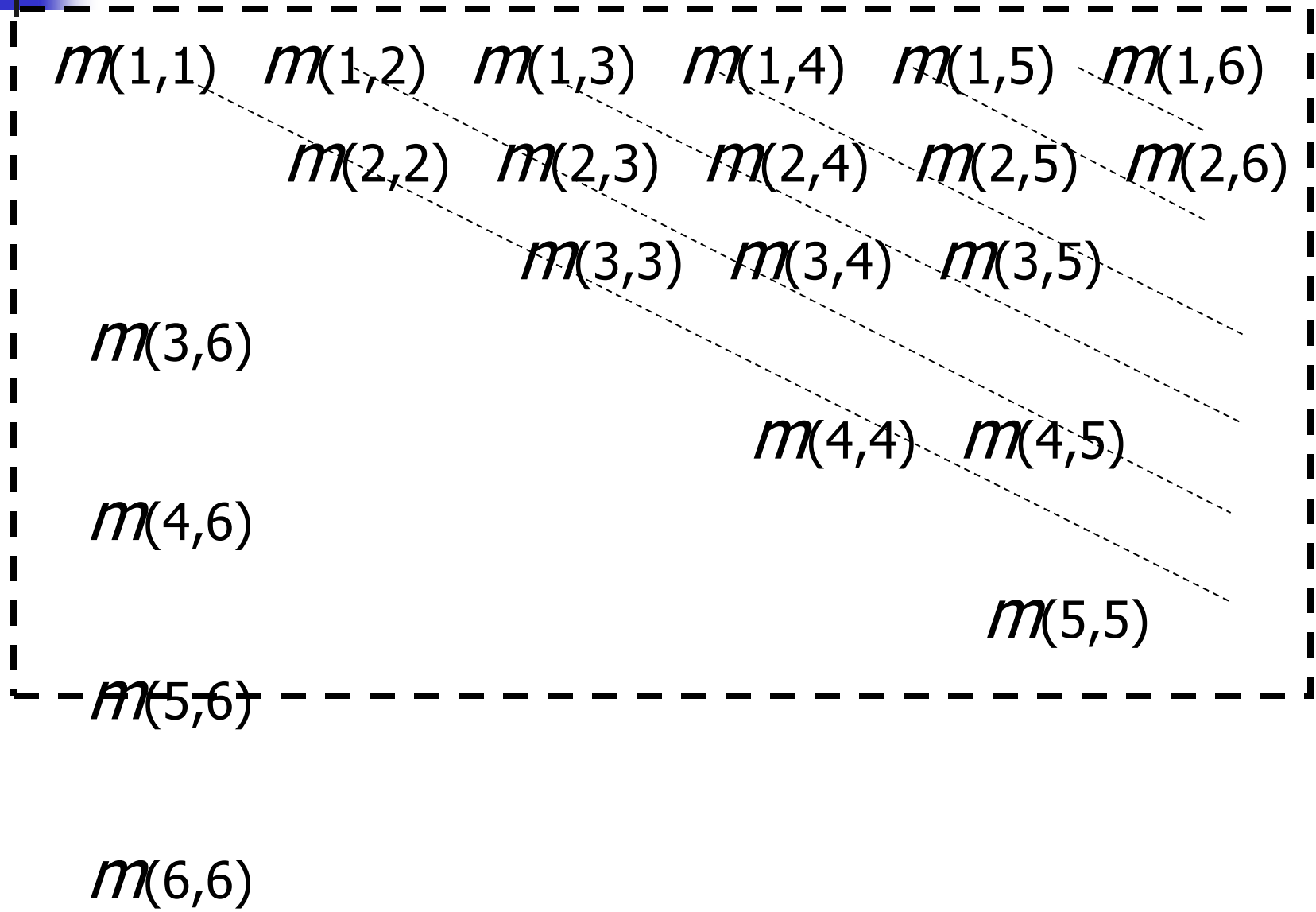
$$\begin{aligned}
 N_{1,4} &= \min\{ \\
 &N_{1,1} + N_{2,4} + d_1 d_2 d_5 = 0 + 2500 + 35 * 15 * 20 = 13000, \\
 &N_{1,2} + N_{3,4} + d_1 d_3 d_5 = 2625 + 1000 + 35 * 5 * 20 = 7125, \\
 &N_{1,3} + N_{4,4} + d_1 d_4 d_5 = 4375 + 0 + 35 * 10 * 20 = 11375 \\
 &\} \\
 &= 7125
 \end{aligned}$$

# Dynamic Programming Algorithm Visualization

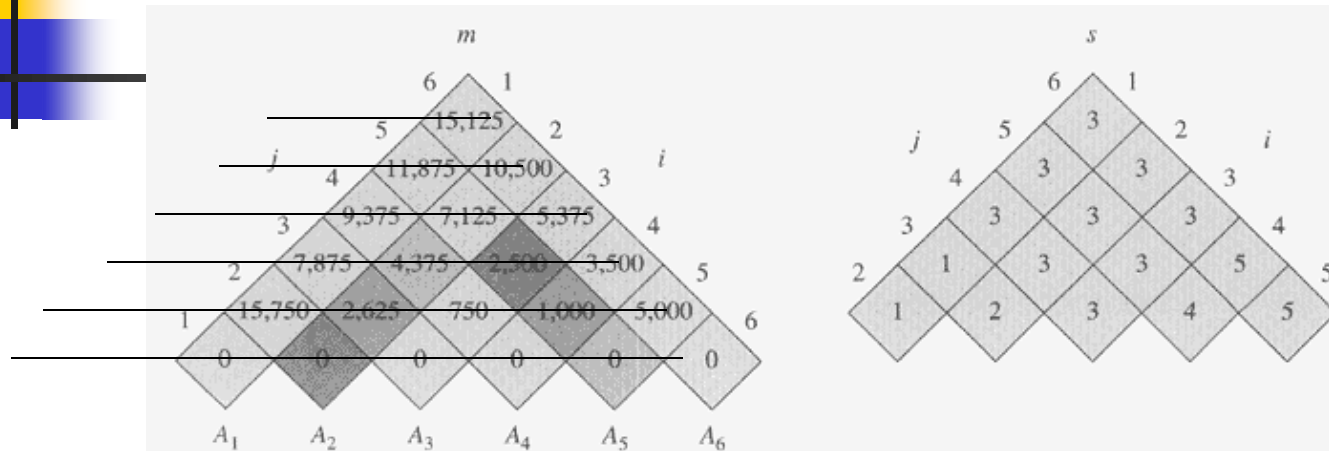


$$(A_0 * (A_1 * A_2)) * ((A_3 * A_4) * A_5)$$

# MCM DP—order of matrix computations



# MCM DP—order of matrix computations

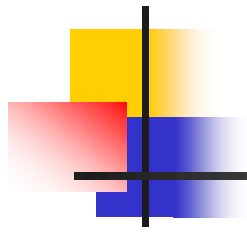


**Figure 15.3** The  $m$  and  $s$  tables computed by MATRIX-CHAIN-ORDER for  $n = 6$  and the following matrix dimensions:

| matrix | dimension      |
|--------|----------------|
| $A_1$  | $30 \times 35$ |
| $A_2$  | $35 \times 15$ |
| $A_3$  | $15 \times 5$  |
| $A_4$  | $5 \times 10$  |
| $A_5$  | $10 \times 20$ |
| $A_6$  | $20 \times 25$ |

The tables are rotated so that the main diagonal runs horizontally. Only the main diagonal and upper triangle are used in the  $m$  table, and only the upper triangle is used in the  $s$  table. The minimum number of scalar multiplications to multiply the 6 matrices is  $m[1, 6] = 15,125$ . Of the darker entries, the pairs that have the same shading are taken together in line 9 when computing

$$m[2, 5] = \min \begin{cases} m[2, 2] + m[3, 5] + p_1 p_2 p_5 = 0 + 2500 + 35 \cdot 15 \cdot 20 = 13000, \\ m[2, 3] + m[4, 5] + p_1 p_3 p_5 = 2625 + 1000 + 35 \cdot 5 \cdot 20 = 7125, \\ m[2, 4] + m[5, 5] + p_1 p_4 p_5 = 4375 + 0 + 35 \cdot 10 \cdot 20 = 11375 \end{cases} = 7125.$$



# 0/1 Knapsack Problem

# The 0/1 Knapsack Problem



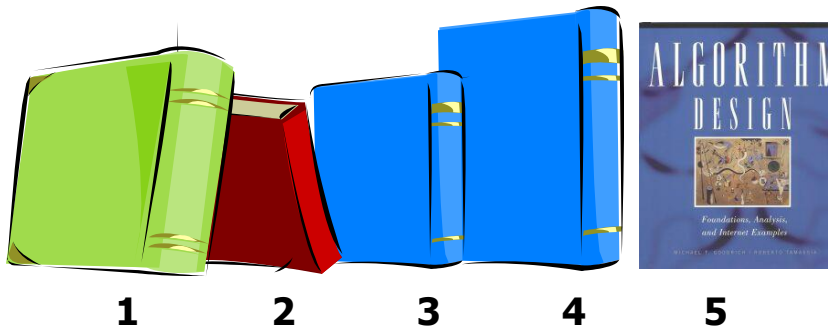
- Given: A set  $S$  of  $n$  items, with each item  $i$  having
  - $w_i$  - a positive weight
  - $b_i$  - a positive benefit
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .
- If we are **not** allowed to take fractional amounts, then this is the **0/1 knapsack problem**.
  - In this case, we let  $T$  denote the set of items we take
  - Objective: maximize 
$$\sum_{i \in T} b_i$$
  - Constraint: 
$$\sum_{i \in T} w_i \leq W$$

# Example



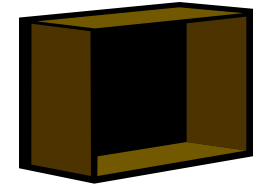
- Given: A set  $S$  of  $n$  items, with each item  $i$  having
  - $b_i$  - a positive "benefit"
  - $w_i$  - a positive "weight"
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .

Items:



|          |      |      |      |      |      |
|----------|------|------|------|------|------|
| Weight:  | 4 in | 2 in | 2 in | 6 in | 2 in |
| Benefit: | \$20 | \$3  | \$6  | \$25 | \$80 |

"knapsack"



box of width 9 in

Solution:

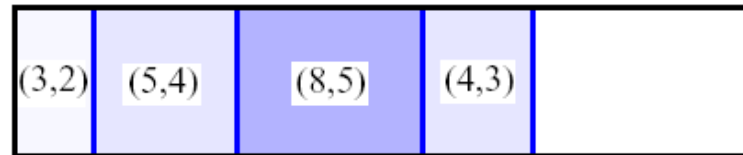
- item 5 (\$80, 2 in)
- item 3 (\$6, 2in)
- item 1 (\$20, 4in)

# 0/1 Knapsack Algorithm, First Attempt

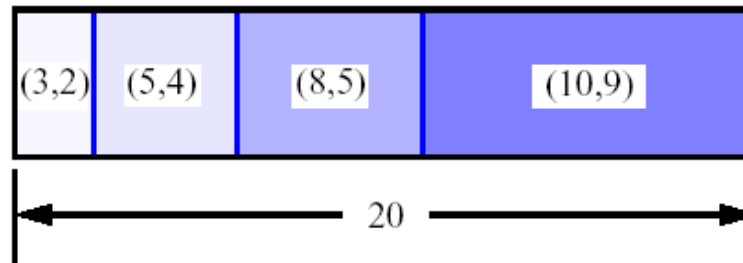


- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k]$  = best selection from  $S_k$ .
- Problem: does not have subproblem optimality:
  - Consider set  $S = \{(3,2), (5,4), (8,5), (4,3), (10,9)\}$  of (benefit, weight) pairs and total weight  $W = 20$

Best for  $S_4$ :



Best for  $S_5$ :





# 0/1 Knapsack Algorithm, Second Attempt



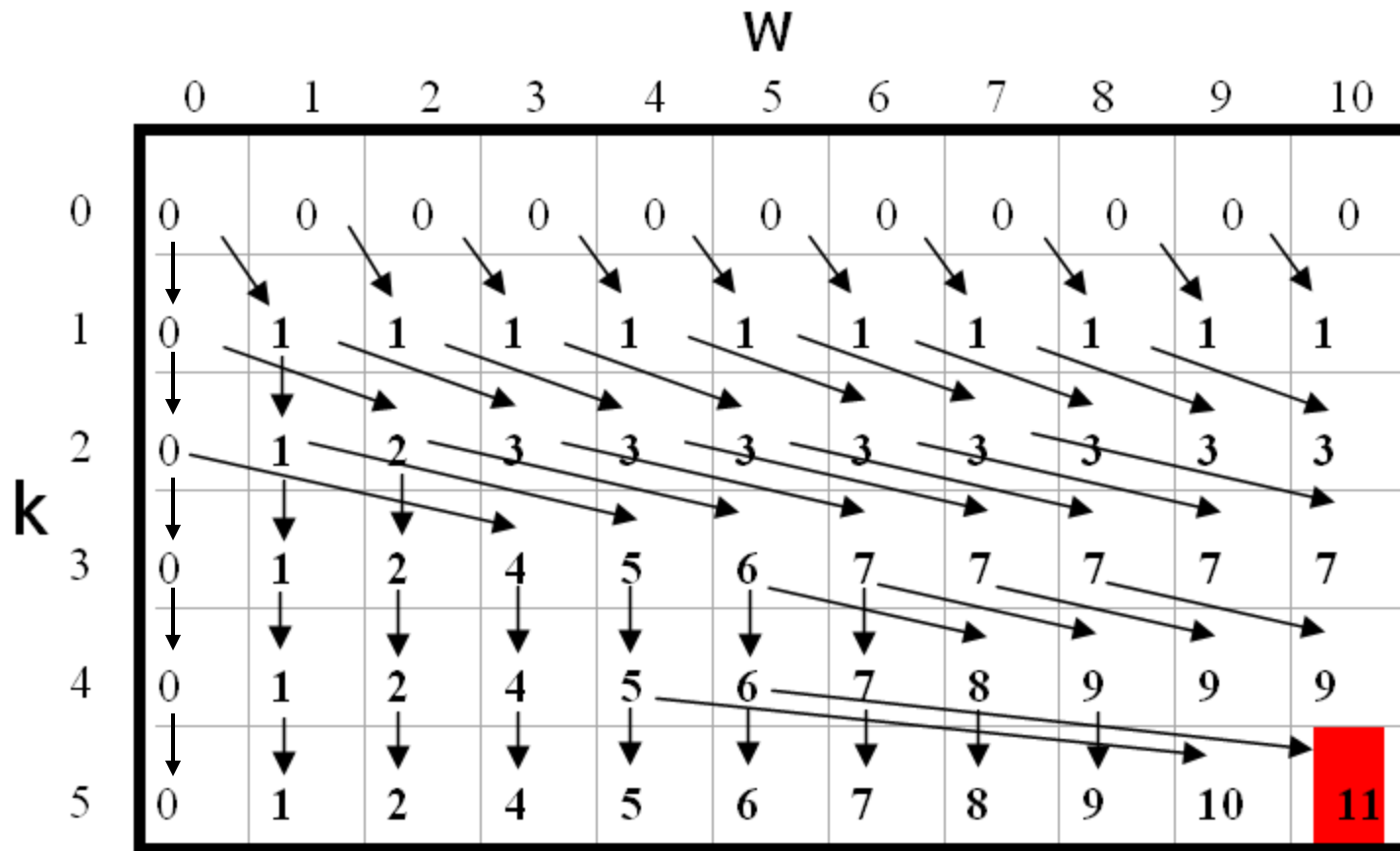
- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k, w]$  to be the best selection from  $S_k$  with weight at most  $w$
- Good news: this does have subproblem optimality.

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{ B[k-1, w], B[k-1, w - w_k] + b_k \} & \text{else} \end{cases}$$

- I.e., the best subset of  $S_k$  with weight at most  $w$  is either
  - the best subset of  $S_{k-1}$  with weight at most  $w$  or
  - the best subset of  $S_{k-1}$  with weight at most  $w - w_k$  plus item  $k$

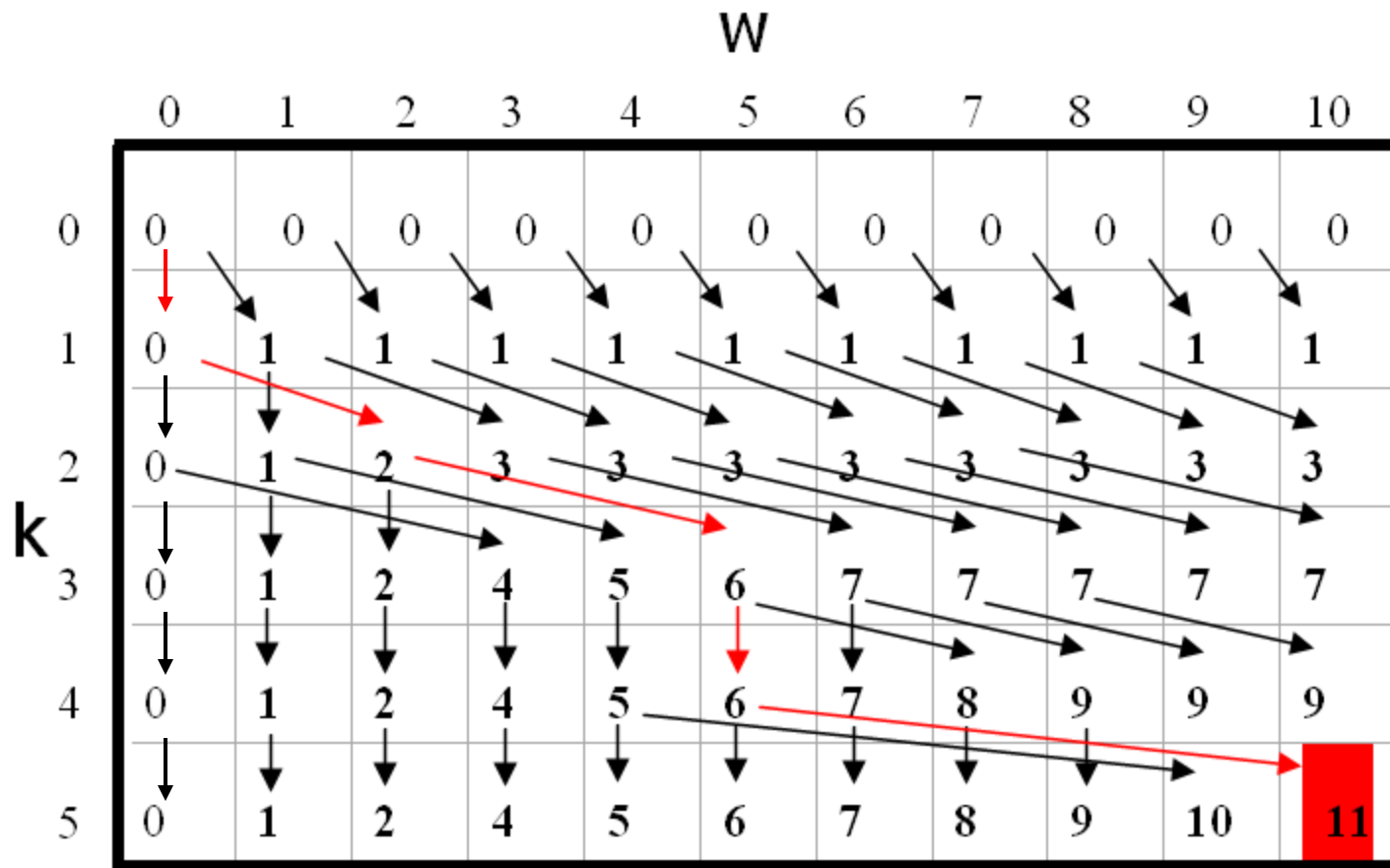
# 0/1 Knapsack Algorithm

- Consider set  $S=\{(1,1),(2,2),(4,3),(2,2),(5,5)\}$  of (benefit, weight) pairs and total weight  $W = 10$



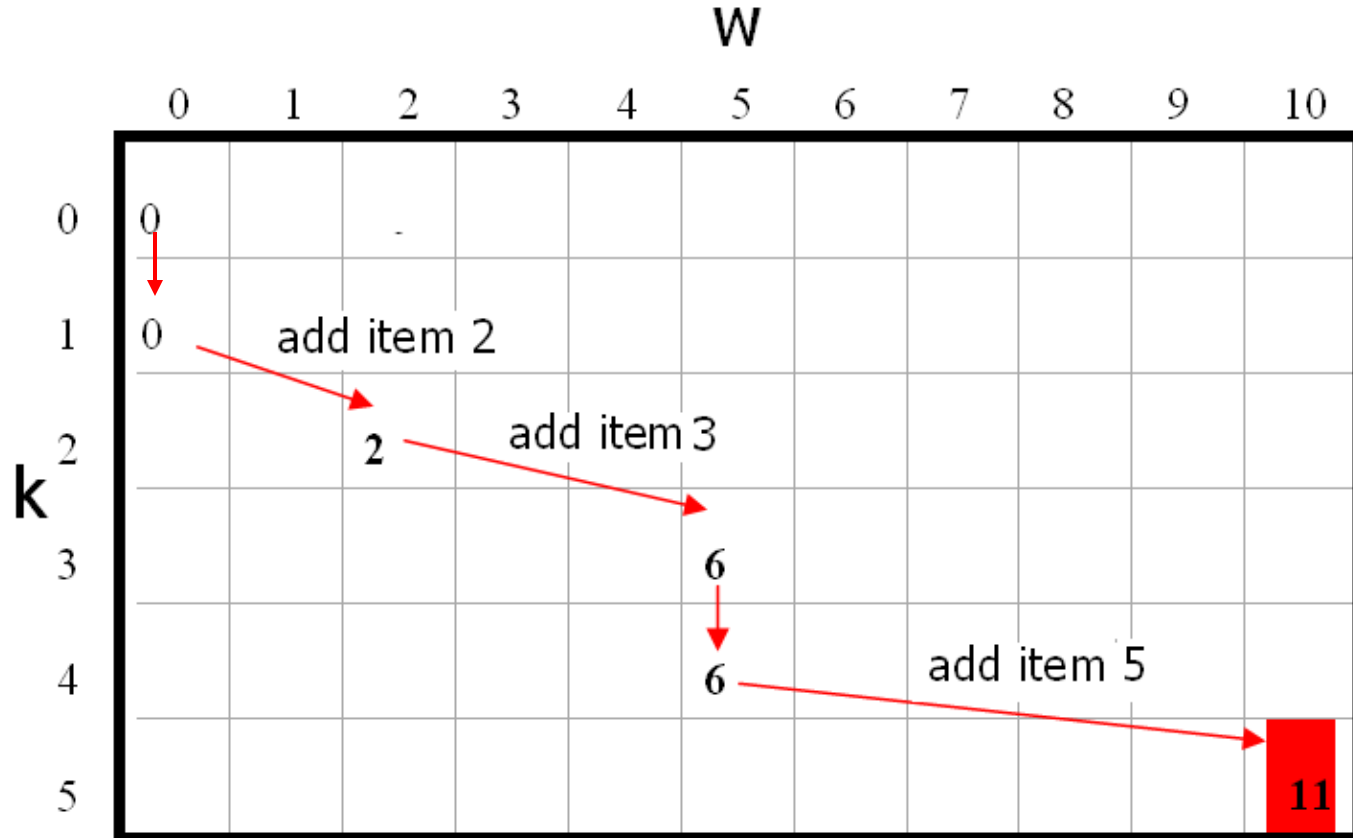
# 0/1 Knapsack Algorithm

- Trace back to find the items picked



# 0/1 Knapsack Algorithm

- Each diagonal arrow corresponds to adding one item into the bag
- Pick items 2,3,5
- $\{(2,2),(4,3),(5,5)\}$  are what you will take away



# 0/1 Knapsack Algorithm



$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{ B[k-1, w], B[k-1, w - w_k] + b_k \} & \text{else} \end{cases}$$

- Recall the definition of  $B[k, w]$
- Since  $B[k, w]$  is defined in terms of  $B[k-1, *]$ , we can use two arrays instead of a matrix
- Running time:  $O(nW)$ .
- Not a polynomial-time algorithm since  $W$  may be large
- This is a **pseudo-polynomial** time algorithm

**Algorithm 01Knapsack( $S, W$ ):**

**Input:** set  $S$  of  $n$  items with benefit  $b_i$  and weight  $w_i$ ; maximum weight  $W$

**Output:** benefit of best subset of  $S$  with weight at most  $W$

let  $A$  and  $B$  be arrays of length  $W + 1$

**for**  $w \leftarrow 0$  **to**  $W$  **do**

$B[w] \leftarrow 0$

**for**  $k \leftarrow 1$  **to**  $n$  **do**

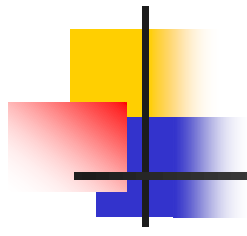
    copy array  $B$  into array  $A$

**for**  $w \leftarrow w_k$  **to**  $W$  **do**

**if**  $A[w - w_k] + b_k > A[w]$  **then**

$B[w] \leftarrow A[w - w_k] + b_k$

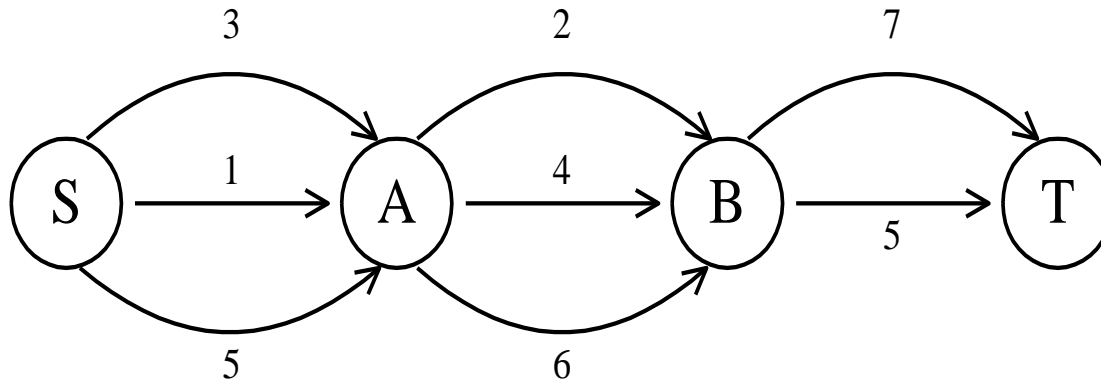
**return**  $B[W]$



# All-Pair Shortest Path

# The shortest path

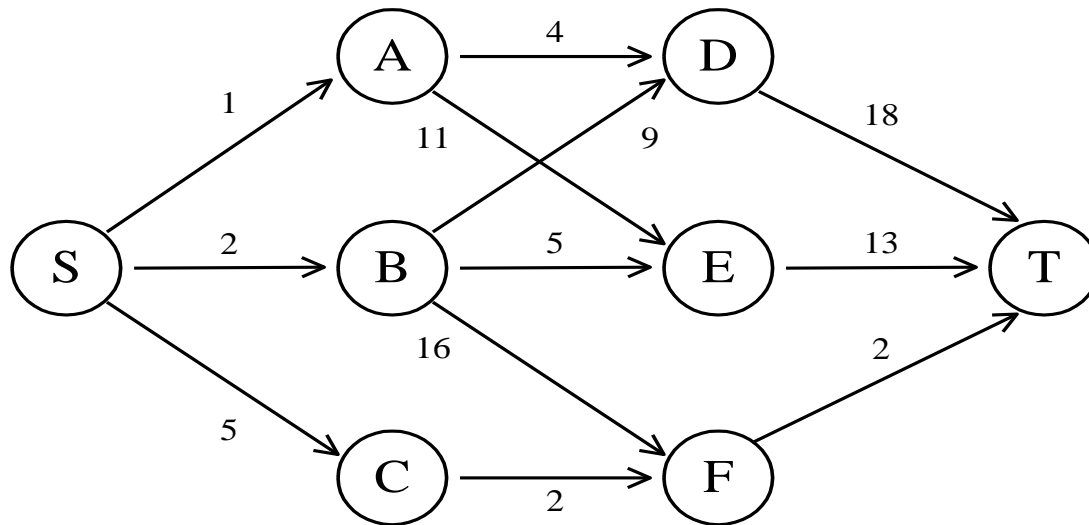
- To find a shortest path in a multi-stage graph



- Apply the greedy method :  
the shortest path from S to T :  
 $1 + 2 + 5 = 8$

# The shortest path in multistage graphs

- e.g.

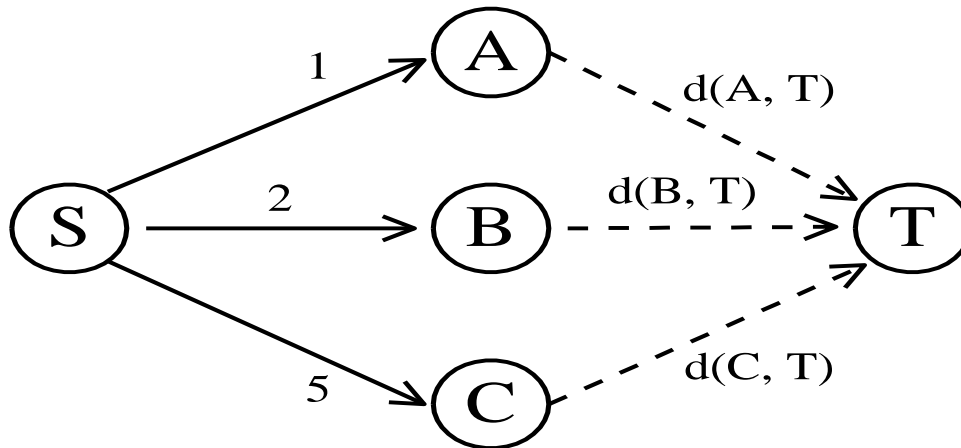


- The greedy method can not be applied to this case:  $(S, A, D, T)$   $1+4+18 = 23$ .
- The real shortest path is:  
 $(S, C, F, T)$   $5+2+2 = 9$ .

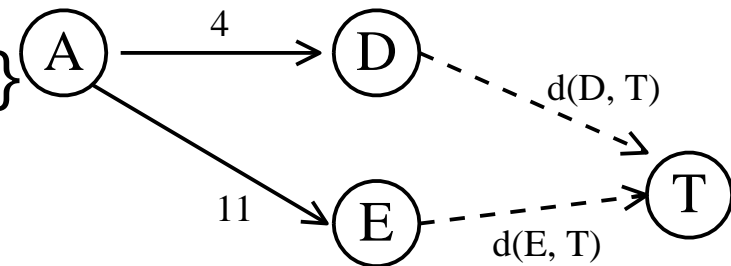


# Dynamic programming approach

- Dynamic programming approach (forward approach):

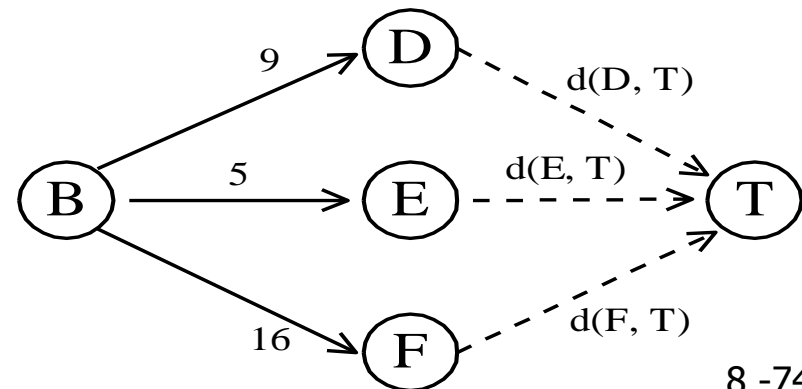


- $d(S, T) = \min\{1+d(A, T), 2+d(B, T), 5+d(C, T)\}$
- $d(A, T) = \min\{4+d(D, T), 11+d(E, T)\}$   
 $= \min\{4+18, 11+13\} = 22.$



# Dynamic programming

- $d(B, T) = \min\{9+d(D, T), 5+d(E, T), 16+d(F, T)\}$   
 $= \min\{9+18, 5+13, 16+2\} = 18.$
- $d(C, T) = \min\{2+d(F, T)\} = 2+2 = 4$
- $d(S, T) = \min\{1+d(A, T), 2+d(B, T), 5+d(C, T)\}$   
 $= \min\{1+22, 2+18, 5+4\} = 9.$
- The above way of reasoning is called backward reasoning.





# Backward approach

---

- $d(S, A) = 1$   
 $d(S, B) = 2$   
 $d(S, C) = 5$
- $d(S, D) = \min\{d(S, A) + d(A, D), d(S, B) + d(B, D)\}$   
 $= \min\{1 + 4, 2 + 9\} = 5$   
 $d(S, E) = \min\{d(S, A) + d(A, E), d(S, B) + d(B, E)\}$   
 $= \min\{1 + 11, 2 + 5\} = 7$   
 $d(S, F) = \min\{d(S, A) + d(A, F), d(S, B) + d(B, F)\}$   
 $= \min\{2 + 16, 5 + 2\} = 7$



# Backward approach

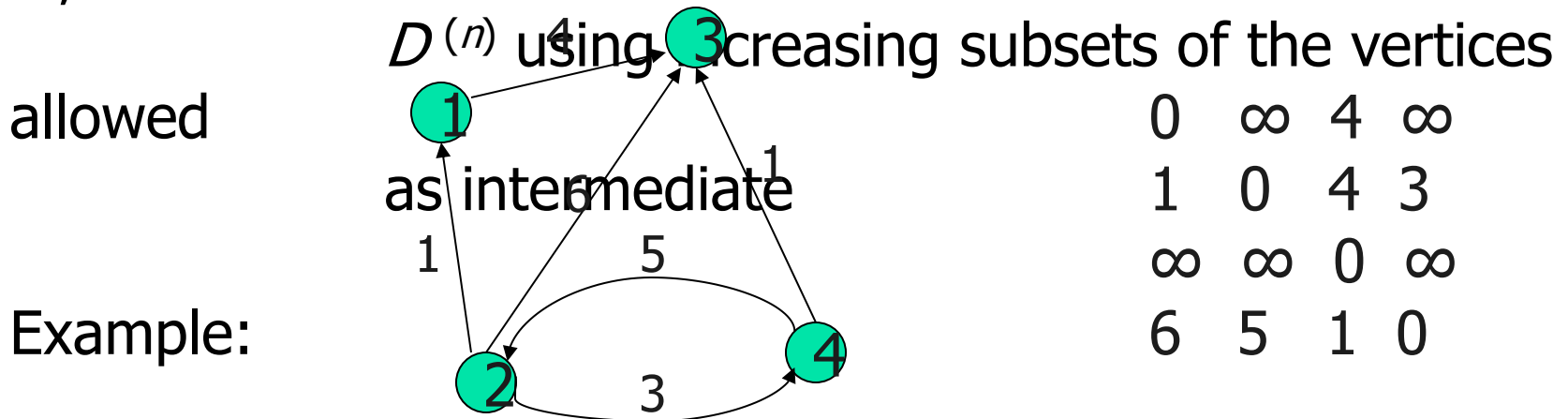
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- $$\begin{aligned} d(S,T) &= \min\{d(S, D)+d(D, T), d(S,E)+ \\ &\quad d(E,T), d(S, F)+d(F, T)\} \\ &= \min\{ 5+18, 7+13, 7+2 \} \\ &= 9 \end{aligned}$$

# Floyd's Algorithm: All pairs shortest paths

**Problem:** In a weighted (di)graph, find shortest paths  
between  
every pair of vertices

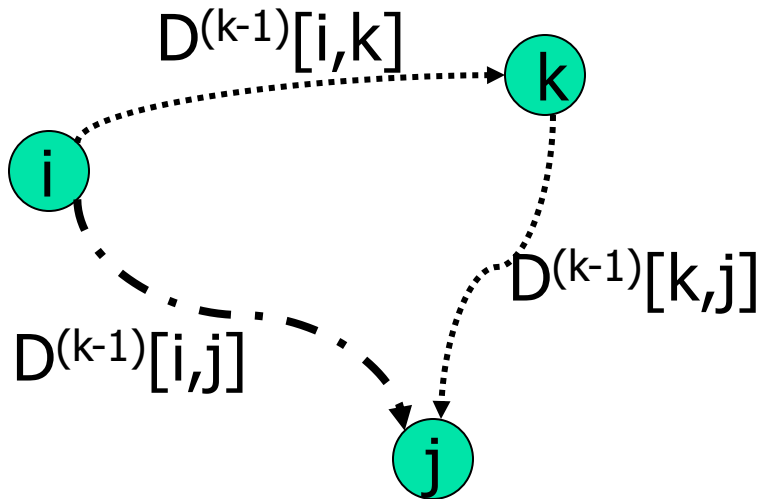
Same idea: construct solution through series of matrices  $D^{(0)}$ ,  
...



# Floyd's Algorithm (matrix generation)

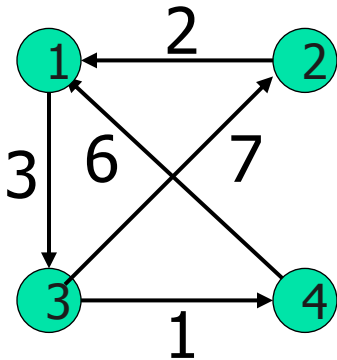
On the k-th iteration, the algorithm determines shortest paths between every pair of vertices  $i, j$  that use only vertices among  $1, \dots, k$  as intermediate

$$D^{(k)}[i,j] = \min \{D^{(k-1)}[i,j], D^{(k-1)}[i,k] + D^{(k-1)}[k,j]\}$$



Initial  
condition?

# Floyd's Algorithm (example)



$$D^{(0)} =$$

|          |          |          |   |
|----------|----------|----------|---|
| 0        | $\infty$ | 3        |   |
| $\infty$ | 2        | 0        |   |
| $\infty$ | $\infty$ |          |   |
| $\infty$ | 7        | 0        | 1 |
| 6        | $\infty$ | $\infty$ |   |
| 0        |          |          |   |

$$D^{(1)} =$$

|          |          |          |          |
|----------|----------|----------|----------|
| 0        | $\infty$ | 3        | $\infty$ |
| 2        | 0        | <b>5</b> |          |
| $\infty$ |          |          |          |
| $\infty$ | 7        | 0        | 1        |
| 6        | $\infty$ | <b>9</b> | 0        |

$$D^{(2)} =$$

|          |          |   |          |
|----------|----------|---|----------|
| 0        | $\infty$ | 3 | $\infty$ |
| 2        | 0        | 5 | $\infty$ |
| <b>9</b> | 7        | 0 | 1        |
| 6        | $\infty$ | 9 | 0        |

$$D^{(3)} =$$

|   |           |   |          |
|---|-----------|---|----------|
| 0 | <b>10</b> | 3 | <b>4</b> |
| 2 | 0         | 5 | <b>6</b> |
| 9 | 7         | 0 | 1        |
| 6 | <b>16</b> | 9 | 0        |

$$D^{(4)} =$$

|          |    |   |   |
|----------|----|---|---|
| 0        | 10 | 3 | 4 |
| 2        | 0  | 5 | 6 |
| <b>7</b> | 7  | 0 | 1 |
| 6        | 16 | 9 | 0 |

# Floyd's Algorithm (pseudocode and analysis)

**ALGORITHM** *Floyd*( $W[1..n, 1..n]$ )

//Implements Floyd's algorithm for the all-pairs shortest-paths problem

//Input: The weight matrix  $W$  of a graph with no negative-length cycle

//Output: The distance matrix of the shortest paths' lengths

$D \leftarrow W$  //is not necessary if  $W$  can be overwritten

**for**  $k \leftarrow 1$  **to**  $n$  **do**

**for**  $i \leftarrow 1$  **to**  $n$  **do**

**for**  $j \leftarrow 1$  **to**  $n$  **do**

$D[i, j] \leftarrow \min\{D[i, j], D[i, k] + D[k, j]\}$

**return**  $D$       **If**  $D[i,k] + D[k,j] < D[i,j]$  **then**  $P[i,j] \leftarrow k$

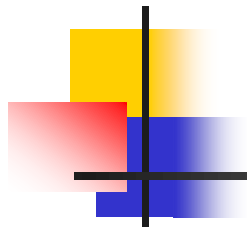
Time efficiency:  $\Theta(n^3)$

Space efficiency: Matrices can be written over their predecessors

Note: Works on graphs with negative edges but without negative cycles.  
Shortest paths themselves can be found, too. How?

Since the superscripts  $k$  or  $k-1$   
make no difference to  $D[i,k]$  and  
 $D[k,j]$ .

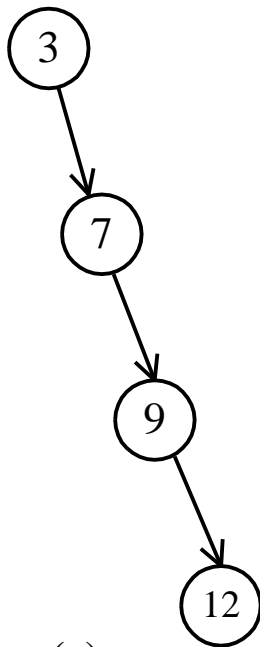




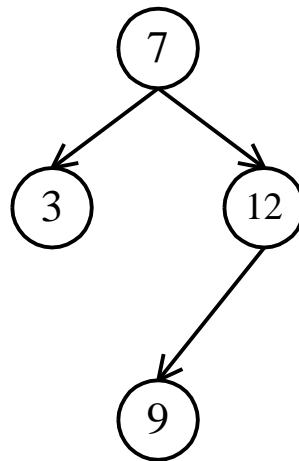
# Optimal Binary Search Tree

# Optimal binary search trees

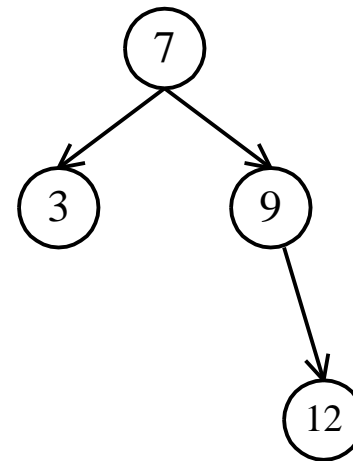
- e.g. binary search trees for 3, 7, 9, 12;



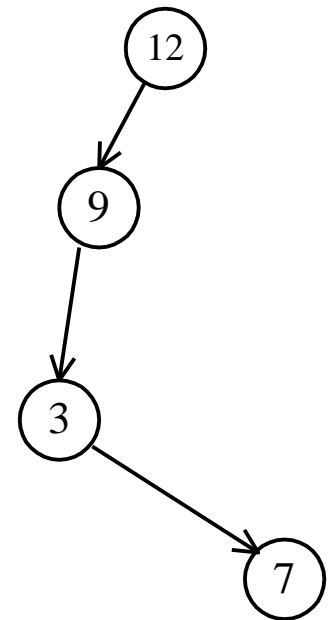
(a)



(b)



(c)



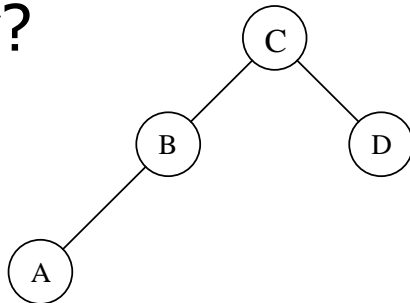
(d)

# Optimal Binary Search Trees

**Problem:** Given  $n$  keys  $a_1 < \dots < a_n$  and probabilities  $p_1, \dots, p_n$  searching for them, find a BST with a minimum average number of comparisons in successful search.

Since total number of BSTs with  $n$  nodes is given by  $C(2n, n)/(n+1)$ , which grows exponentially, brute force is hopeless.

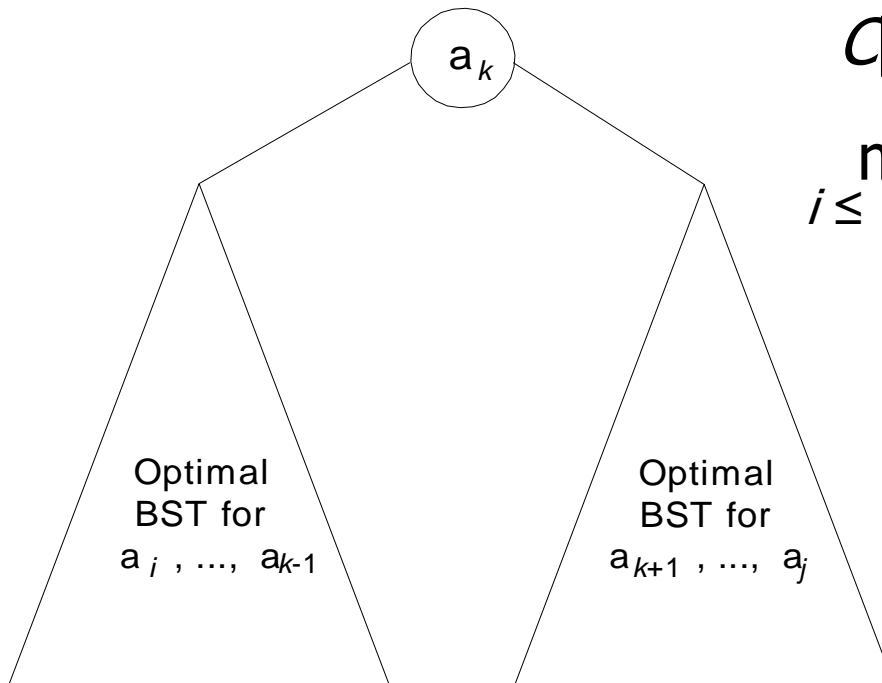
**Example:** What is an optimal BST for keys  $A, B, C$ , and  $D$  with search probabilities 0.1, 0.2, 0.4, and 0.3, respectively?



$$\begin{aligned} \text{Average \# of comparisons} \\ &= 1 \cdot 0.4 + 2 \cdot (0.2 + 0.3) + 3 \cdot 0.1 = 1.7 \end{aligned}$$

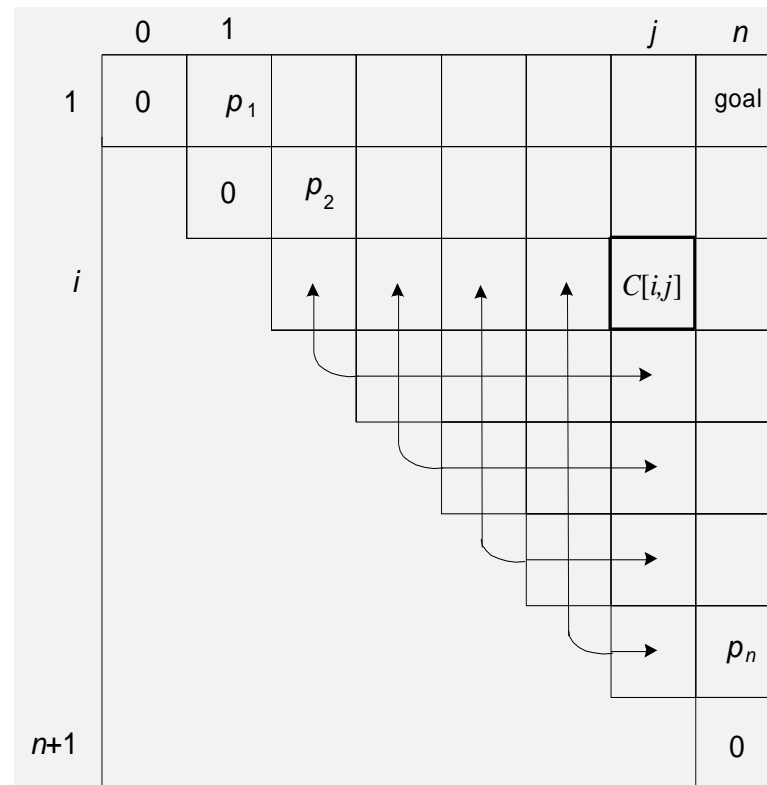
# DP for Optimal BST Problem

Let  $C[i,j]$  be minimum average number of comparisons made in tree  $T[i,j]$ , optimal BST for keys  $a_i < \dots < a_j$ , where  $1 \leq i \leq j \leq n$ . Consider optimal BST among all BSTs with some  $a_k$  ( $i \leq k \leq j$ ) as their root;  $T[i,j]$  is the best among them.



$$C[i,j] = \min_{i \leq k \leq j} \{ p_k \cdot 1 + \sum_{s=i}^{k-1} p_s (\text{level } a_s \text{ in } T[i,k-1] + 1) + \sum_{s=k+1}^j p_s (\text{level } a_s \text{ in } T[k+1,j] + 1) \}$$

$$C[i,j] = \min_{i \leq k \leq j} \{C[i,k-1] + C[k+1,j]\} + \sum_{s=i}^j p_s \quad \text{for } 1 \leq i \leq j \leq n$$

$$C[i,i] = p_i \quad \text{for } 1 \leq i \leq j \leq n$$


|              |          |          |          |          |
|--------------|----------|----------|----------|----------|
| Example: key | <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> |
| probability  | 0.1      | 0.2      | 0.4      | 0.3      |

The tables below are filled diagonal by diagonal: the left one is filled using the recurrence

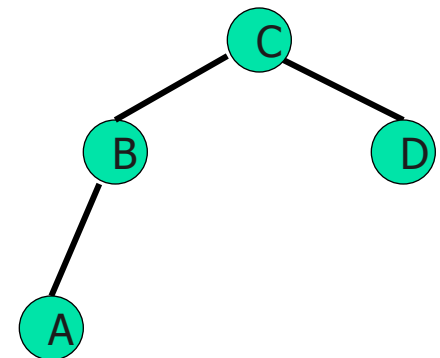
$$C[i,j] = \min_{i \leq k \leq j} \{C[i,k-1] + C[k+1,j]\} + \sum_{s=i}^j p_s, \quad C[i,i] = p_i;$$

$p_i$ ;

the right one, for trees' roots, records  $k$ 's values giving the minima

| <i>i \ j</i> | 0 | 1  | 2  | 3   | 4   |
|--------------|---|----|----|-----|-----|
| 1            | 0 | .1 | .4 | 1.1 | 1.7 |
| 2            |   | 0  | .2 | .8  | 1.4 |
| 3            |   |    | 0  | .4  | 1.0 |
| 4            |   |    |    | 0   | .3  |
| 5            |   |    |    |     | 0   |

| <i>i \ j</i> | 0 | 1 | 2 | 3 | 4 |
|--------------|---|---|---|---|---|
| 1            |   | 1 | 2 | 3 | 3 |
| 2            |   |   | 2 | 3 | 3 |
| 3            |   |   |   | 3 | 3 |
| 4            |   |   |   |   | 4 |
| 5            |   |   |   |   |   |



**optimal BST**



# Optimal Binary Search Trees

**ALGORITHM** *OptimalBST*( $P[1..n]$ )

//Finds an optimal binary search tree by dynamic programming

//Input: An array  $P[1..n]$  of search probabilities for a sorted list of  $n$  keys

//Output: Average number of comparisons in successful searches in the

// optimal BST and table  $R$  of subtrees' roots in the optimal BST

**for**  $i \leftarrow 1$  **to**  $n$  **do**

$C[i, i - 1] \leftarrow 0$

$C[i, i] \leftarrow P[i]$

$R[i, i] \leftarrow i$

$C[n + 1, n] \leftarrow 0$

**for**  $d \leftarrow 1$  **to**  $n - 1$  **do** //diagonal count

**for**  $i \leftarrow 1$  **to**  $n - d$  **do**

$j \leftarrow i + d$

$minval \leftarrow \infty$

**for**  $k \leftarrow i$  **to**  $j$  **do**

**if**  $C[i, k - 1] + C[k + 1, j] < minval$

$minval \leftarrow C[i, k - 1] + C[k + 1, j]; kmin \leftarrow k$

$R[i, j] \leftarrow kmin$

$sum \leftarrow P[i];$  **for**  $s \leftarrow i + 1$  **to**  $j$  **do**  $sum \leftarrow sum + P[s]$

$C[i, j] \leftarrow minval + sum$

**return**  $C[1, n], R$

# Problem

Time efficiency:  $\Theta(n^3)$  but can be reduced to  $\Theta(n^2)$  by taking advantage of monotonicity of entries in

the

root table, i.e.,  $R[i,j]$  is always in the

range

between  $R[i,j-1]$  and  $R[i+1,j]$

Space efficiency:  $\Theta(n^2)$

Method can be expanded to include unsuccessful searches





---

# Town Matching Problem



# Dynamic Programming

---

## ■ Problem:

- The Palmia country is divided by a river into the north and south bank. There are  $N$  towns on both the north and south bank. Each town on the north bank has its unique friend town on the south bank. No two towns have the same friend. Each pair of friend towns would like to have a ship line connecting them. They applied for permission to the government. Because it is often foggy on the river the government decided to prohibit intersection of ship lines (if two lines intersect there is a high probability of ship crash).



# Dynamic Programming

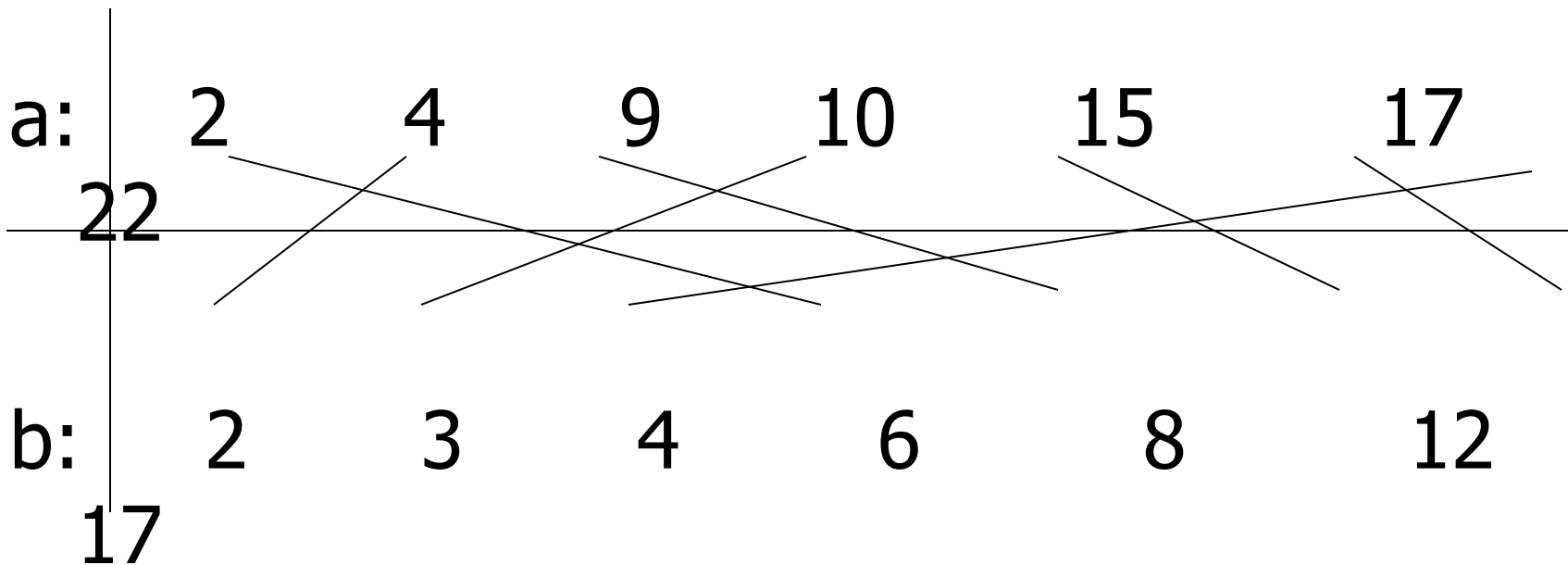
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- Problem (in mathematical terms)
  - There exists a sequences  $a_1, a_2, \dots, a_n$  and  $b_1, b_2, \dots, b_n$  such that
$$a_s < a_j \text{ if } s < j$$
$$b_s < b_j \text{ if } s < j$$
for each  $s$ , there exists a  $s'$  such that  $a_s$  and  $b_{s'}$  connected.
  - Find the **maximum** value  $m$  such that there exist  $x_1 < x_2 < x_3 < \dots < x_m$ .  $m \leq n$  such that
  - $b_{x_0} < b_{x_1} < b_{x_2} < \dots < b_{x_m}$ ,



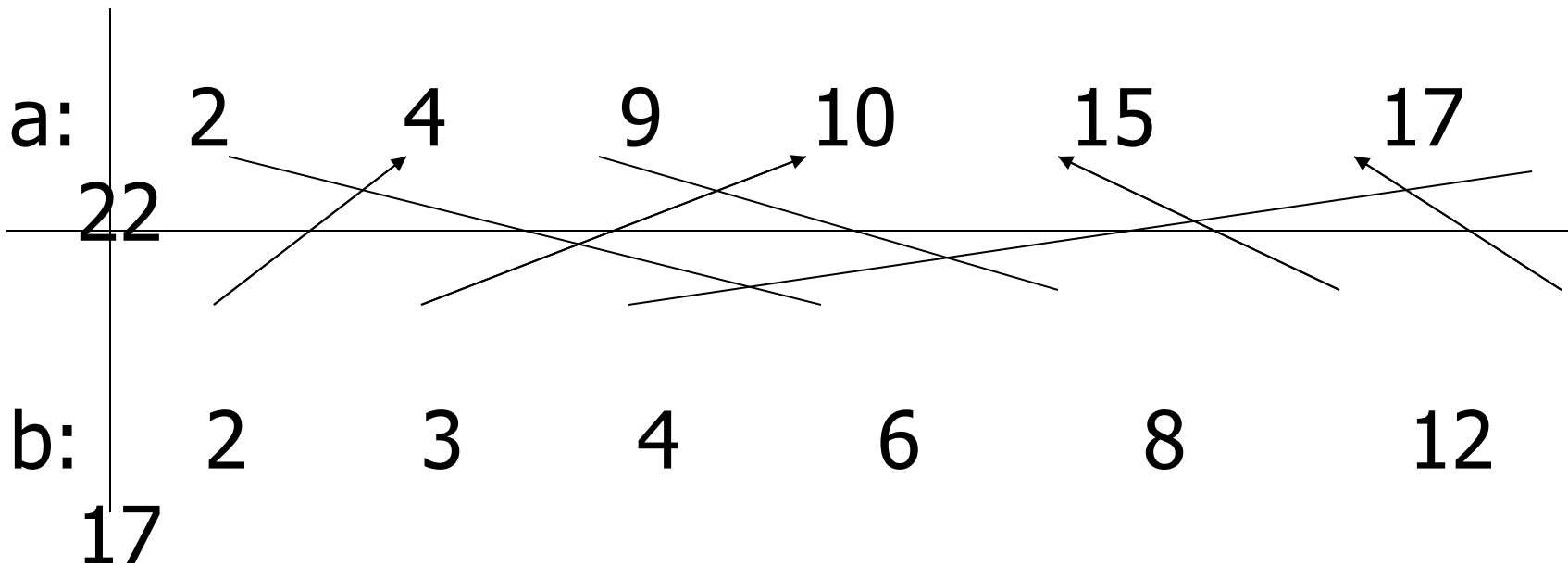
# Dynamic Programming

## ■ Example Problem



# Dynamic Programming

## ■ Example Problem





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# Computing Binomial Coefficient

Binomial coefficients are coefficients of the binomial formula:  
 $(a + b)^n = C(n,0)a^nb^0 + \dots + C(n,k)a^{n-k}b^k + \dots + C(n,n)a^0b^n$

Recurrence:  $C(n,k) = C(n-1,k) + C(n-1,k-1)$  for  $n > k > 0$   
 $C(n,0) = 1, \quad C(n,n) = 1$  for  $n \geq 0$

Value of  $C(n,k)$  can be computed by filling a table:

|     | 0 | 1 | 2 | . | . | . | k-1        | k        |
|-----|---|---|---|---|---|---|------------|----------|
| 0   | 1 |   |   |   |   |   |            |          |
| 1   | 1 | 1 |   |   |   |   |            |          |
| .   |   |   |   |   |   |   |            |          |
| .   |   |   |   |   |   |   |            |          |
| .   |   |   |   |   |   |   |            |          |
| n-1 |   |   |   |   |   |   | C(n-1,k-1) | C(n-1,k) |
| n   |   |   |   |   |   |   |            | C(n,k)   |



# Computing $C(n, k)$ : pseudocode

**ALGORITHM** *Binomial*( $n, k$ )

//Computes  $C(n, k)$  by the dynamic programming algorithm

//Input: A pair of nonnegative integers  $n \geq k \geq 0$

//Output: The value of  $C(n, k)$

**for**  $i \leftarrow 0$  **to**  $n$  **do**

**for**  $j \leftarrow 0$  **to**  $\min(i, k)$  **do**

**if**  $j = 0$  **or**  $j = i$

$C[i, j] \leftarrow 1$

**else**  $C[i, j] \leftarrow C[i - 1, j - 1] + C[i - 1, j]$

**return**  $C[n, k]$

Time efficiency:  $\Theta(nk)$

Space efficiency:  $\Theta(nk)$





# Dynamic Programming

---

- **Simple Brute Force Algorithm (Analysis)**

- Pick all possible sets of routes. ....  $O(2^n)$  possible routes
- Check if selection of routes is valid...  $O(n)$  time to check
- In total will take  $O(2^n * n)$  time.
- EXPONENTIAL TIME = SLOW!!!!!!



# Dynamic Programming

---

- Elegant Solution
- Let  $c(i,j)$  be the maximum possible routes using the first  $i$  sequences in  $a$ , and  $j$  sequences in  $b$ .
- We notice that  $c(i,j)$  is connected to  $c(i-1,j)$ ,  $c(i,j-1)$  and  $c(i-1,j-1)$ .

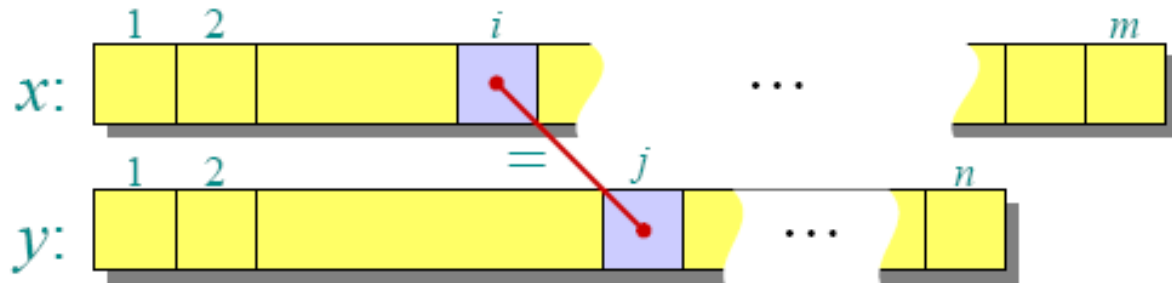
# Dynamic Programming

## Recursive formulation

**Theorem.**

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } a[i] = b[j], \\ \max\{c[i-1, j], c[i, j-1]\} & \text{otherwise} \end{cases}$$

*Proof.* Case  $a[i] = b[j]$ :

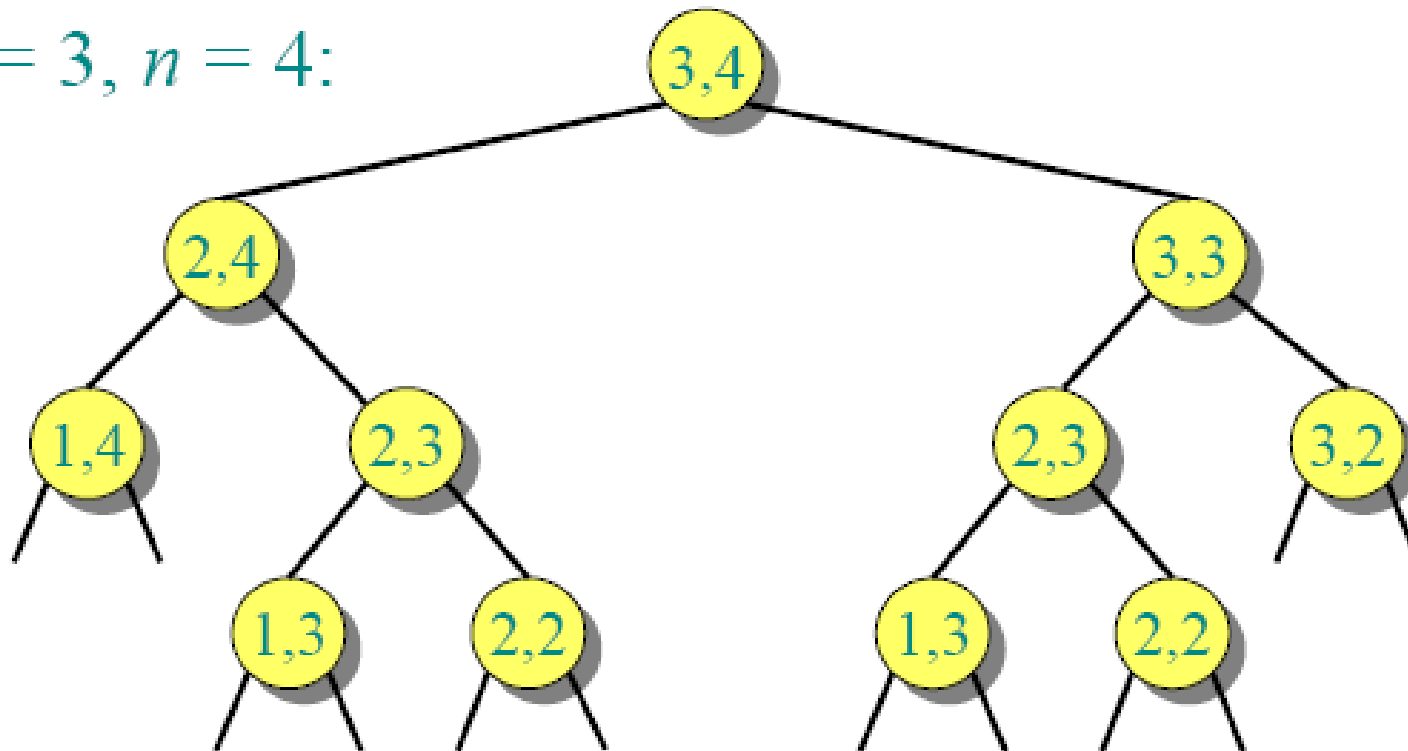


We notice that if  $a[i] = b[j]$  (connected), then we can use them in our solution and the optimal solution becomes  $c[i-1, j-1] + 1$  (because we just used a new route). In all other cases, we just consider the case where we do not use  $b[j]$  (i.e.  $c[i, j-1]$ ) or we do not use  $a[i]$  (i.e.  $c[i-1, j]$ ).

# Dynamic Programming

## Recursion tree

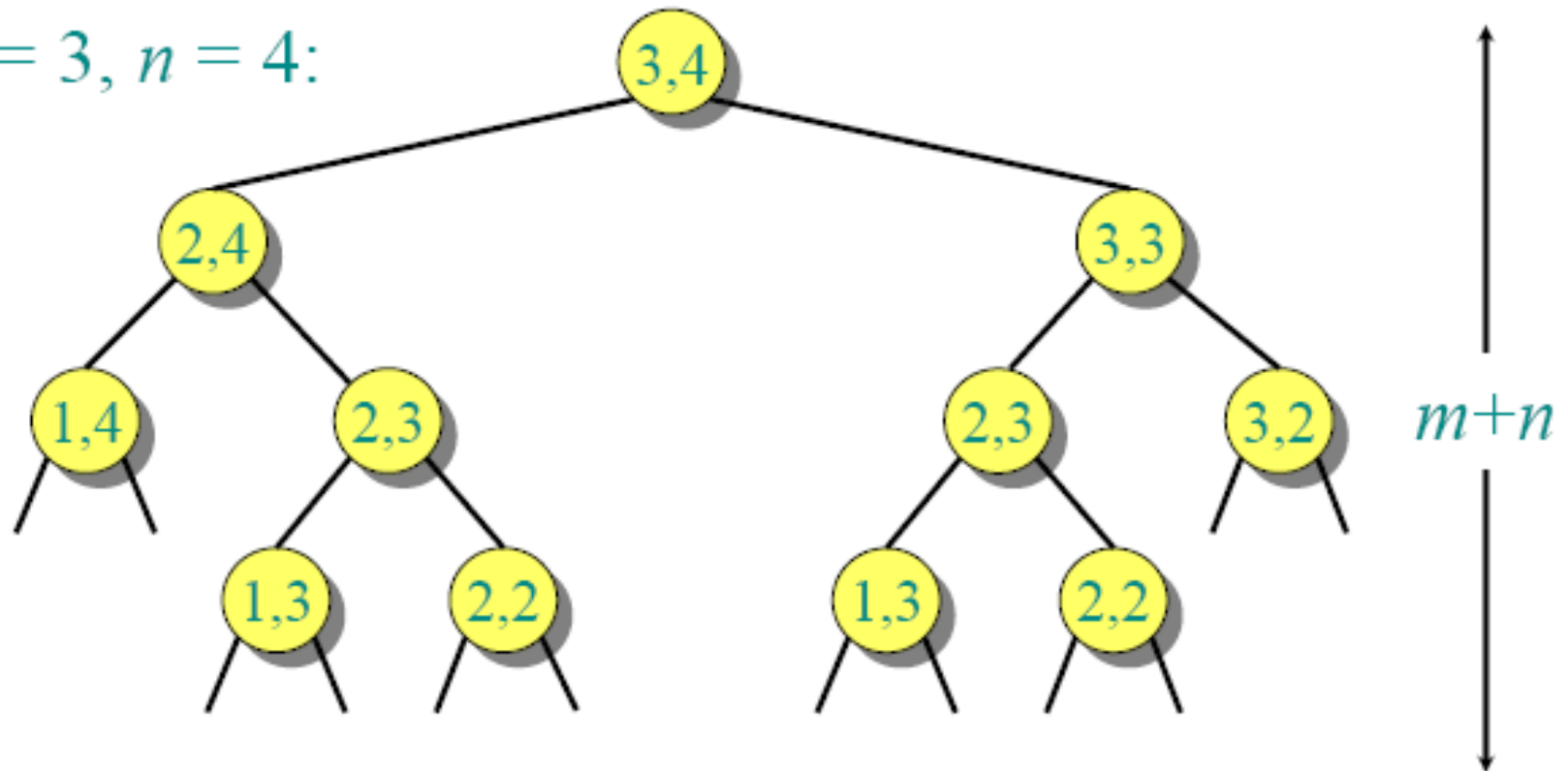
$m = 3, n = 4$ :



# Dynamic Programming

## Recursion tree

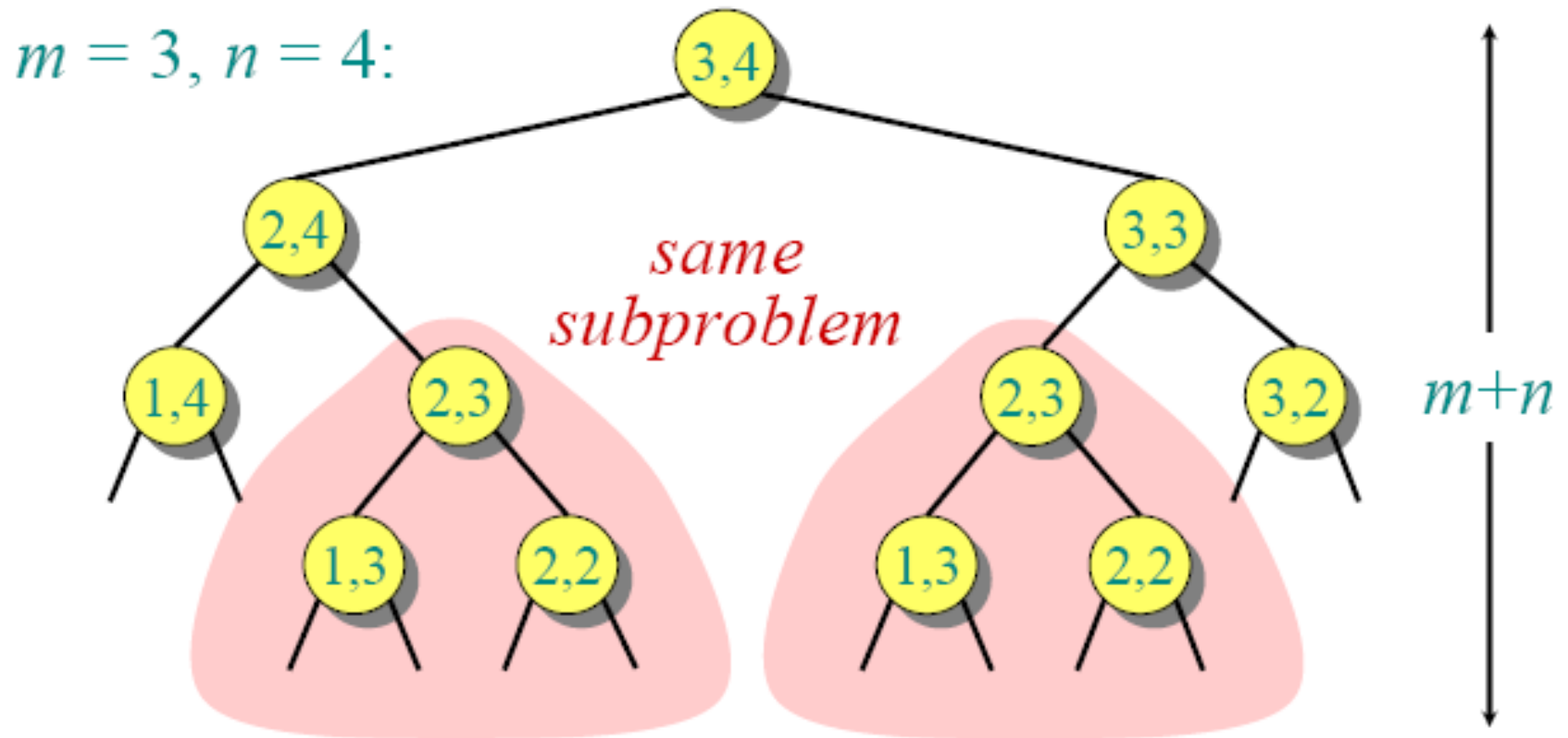
$m = 3, n = 4$ :



Height =  $m + n \Rightarrow$  work potentially exponential.

# Dynamic Programming

## Recursion tree



Height =  $m + n \Rightarrow$  work potentially exponential,  
but we're solving subproblems already solved!



# DP Problems

---

- Fibonacci Number
  - Assembly Line
  - Matrix Chain
  - Subset Sum
  - 0-1 Knapsack
  - Coin Changer
  - All-pair Shortest Paths
  - Longest Common Subsequence
  - Longest Increasing
- Subsequence  $\leq O(n \log n)$
  - Optimal BST  $\leq O(n^2)$
  - Edit Distance
  - Maximum Sum Rectangle
  - .. etc