

# Physical layer Modulation

Mobile Computing

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# TYPES OF SIGNALS

(a) continuous time/discrete time

(b) continuous values/discrete values

- analog signal = continuous time, continuous values
- digital signal = discrete time, discrete values

Periodic signal - analog or digital signal that repeats over time

- $s(t + T) = s(t) \quad -\infty < t < +\infty$ 
  - where  $T$  is the period of the signal

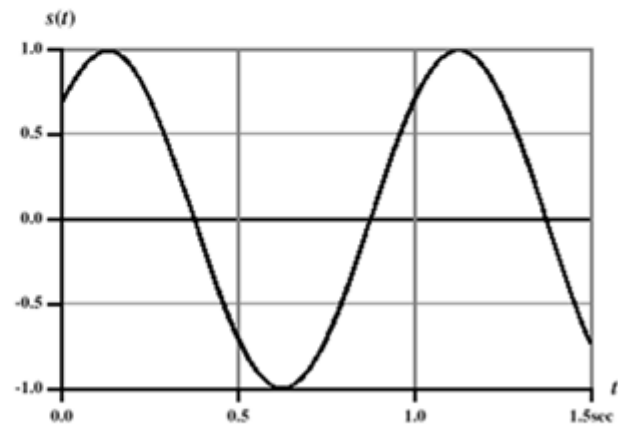
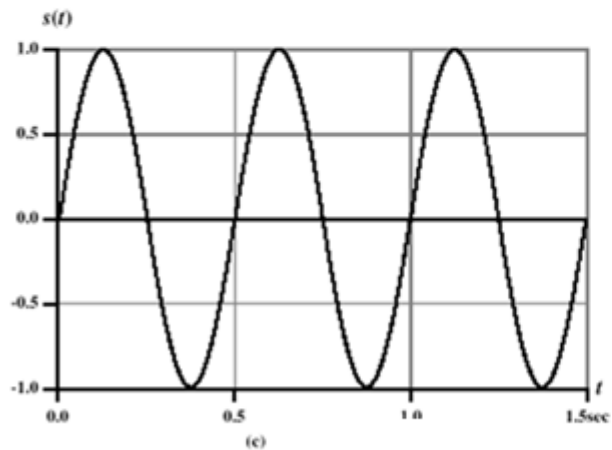
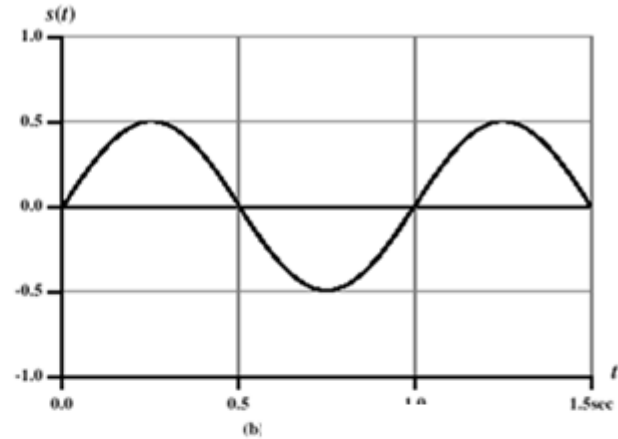
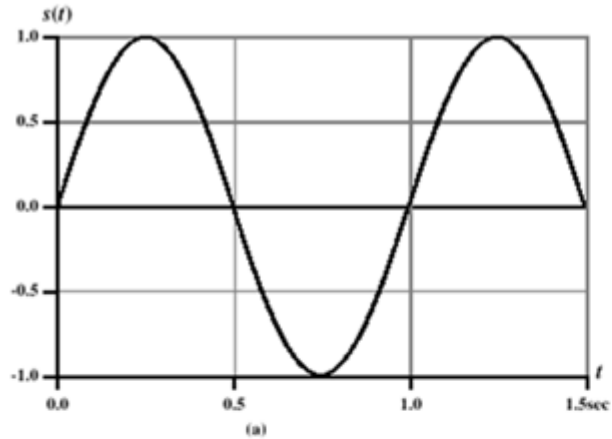
signal parameters of periodic signals:

period  $T$ , frequency  $f = 1/T$ , amplitude  $A$ , phase shift  $\varphi$

- sine wave as special periodic signal for a carrier:

$$s(t) = A_t \sin(2 \pi f_t t + \varphi_t)$$

# SINE WAVE PARAMETERS



$$s(t) = A \sin (2 \pi f t + \phi)$$

# MODULATION

## Digital modulation

- digital data is translated into an analog signal (baseband)
- ASK, FSK, PSK - main focus in this chapter
- differences in spectral efficiency, power efficiency, robustness

## Analog modulation

- shifts center frequency of baseband signal up to the radio carrier

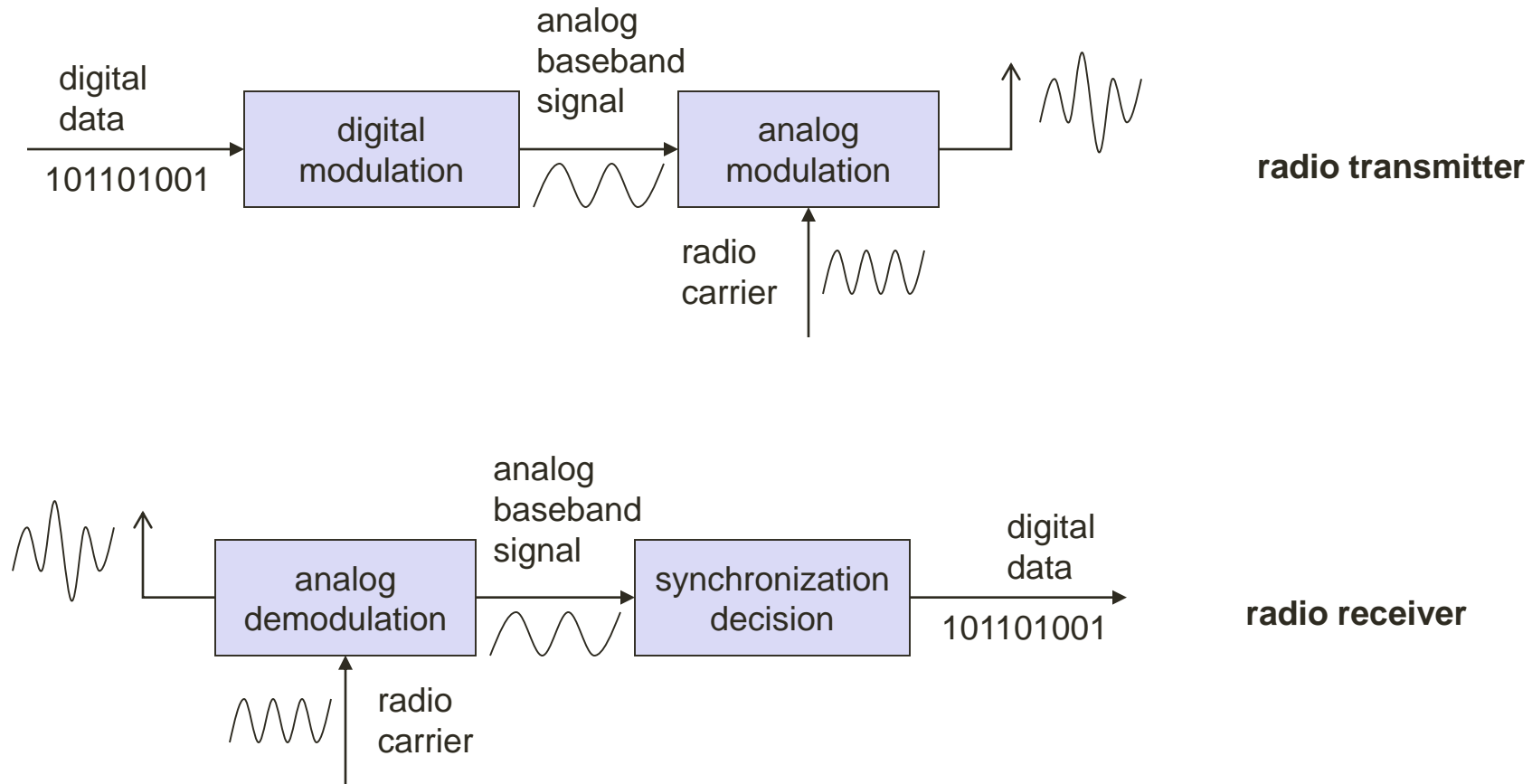
## Motivation

- smaller antennas (e.g.,  $\lambda/4$ )
- Frequency Division Multiplexing
- medium characteristics

## Basic schemes

- Amplitude Modulation (AM)
- Frequency Modulation (FM)
- Phase Modulation (PM)

# MODULATION AND DEMODULATION



# DIGITAL MODULATION

Modulation of digital signals known as Shift Keying

Amplitude Shift Keying (ASK):

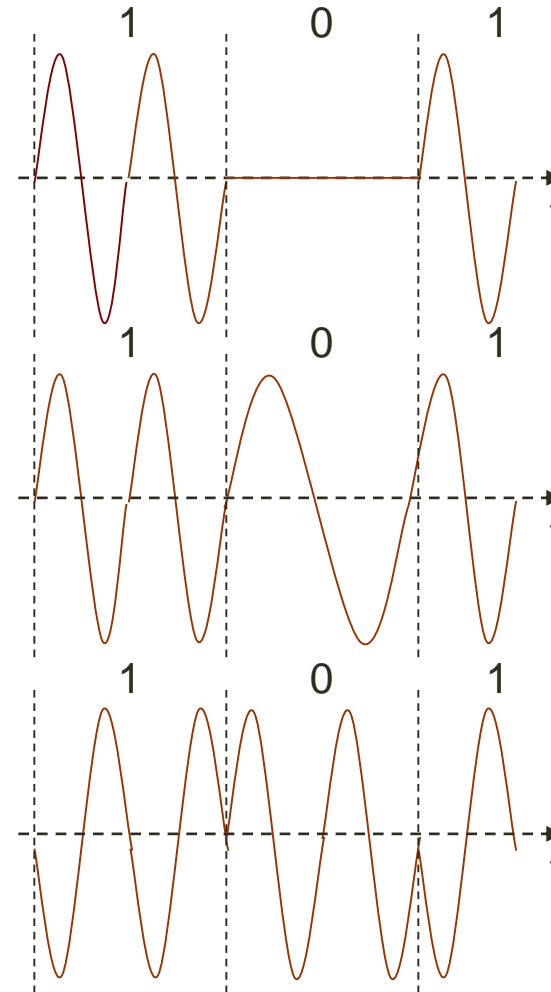
- very simple
- low bandwidth requirements
- very susceptible to interference

Frequency Shift Keying (FSK):

- needs larger bandwidth

Phase Shift Keying (PSK):

- more complex
- robust against interference

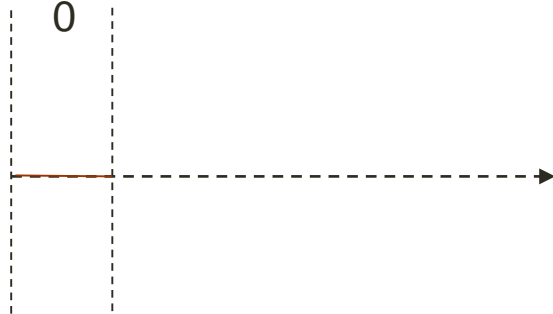
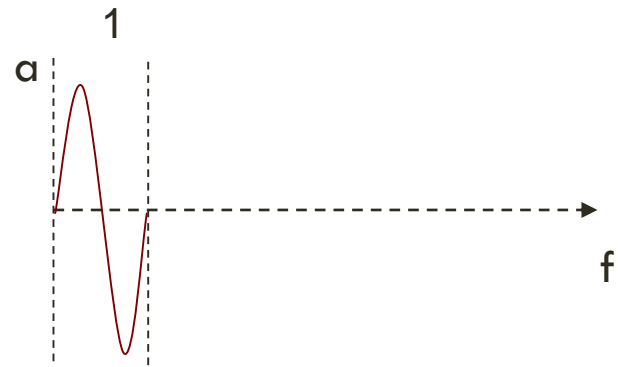


# EX.

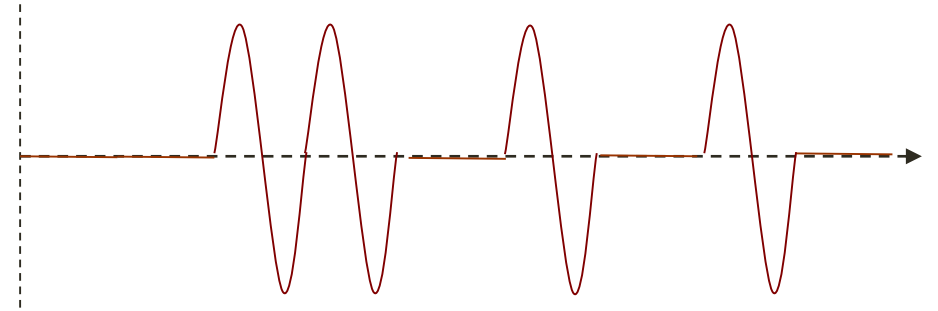
Draw the bit sequence “001101010” using the following types of digital modulation :

1. Amplitude Shift Keying (ASK)
2. Frequency Shift Keying (FSK)
3. Phase Shift Keying (PSK)

# ASK

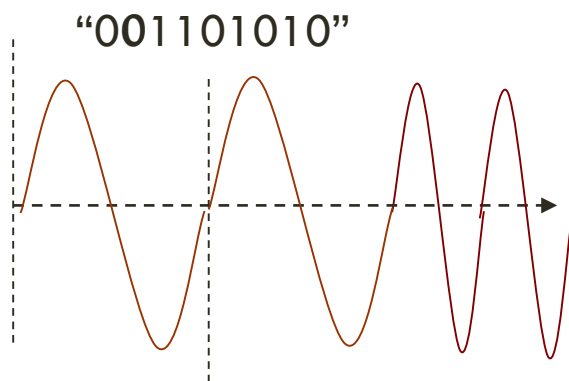
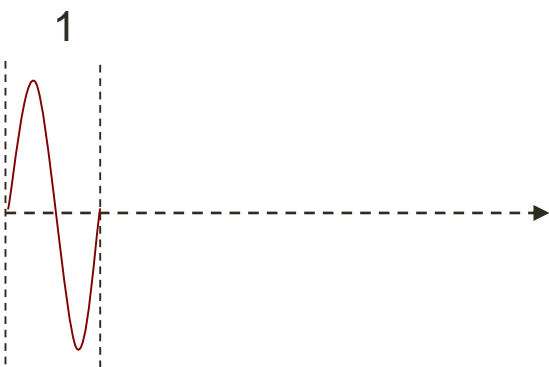


“001101010”

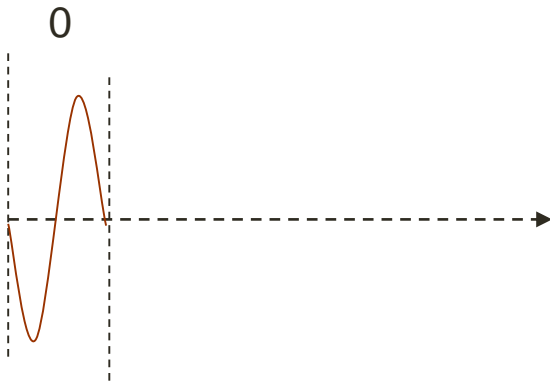
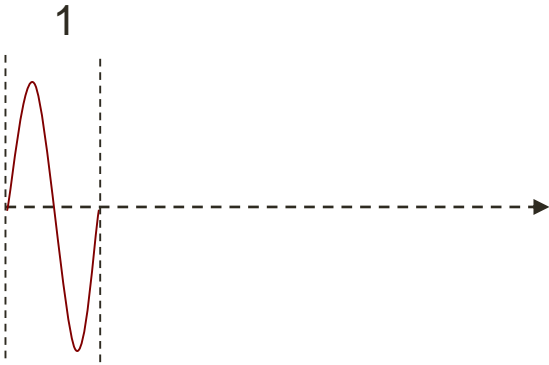




# FSK



# PSK



# ADVANCED FREQUENCY SHIFT KEYING

- A famous FSK scheme used in many wireless systems is **minimum shift keying (MSK)**.
- MSK is basically BFSK (Binary FSK) without abrupt phase changes,  
i.e., it belongs to CPM (Continuous Phase Modulation) schemes.
- In a first step, data bits are separated into even and odd bits, the duration of each bit being doubled.
- The scheme also uses two frequencies:  $f_1$ , the lower frequency, and  $f_2$ , the higher frequency, with  $f_2 = 2f_1$ .

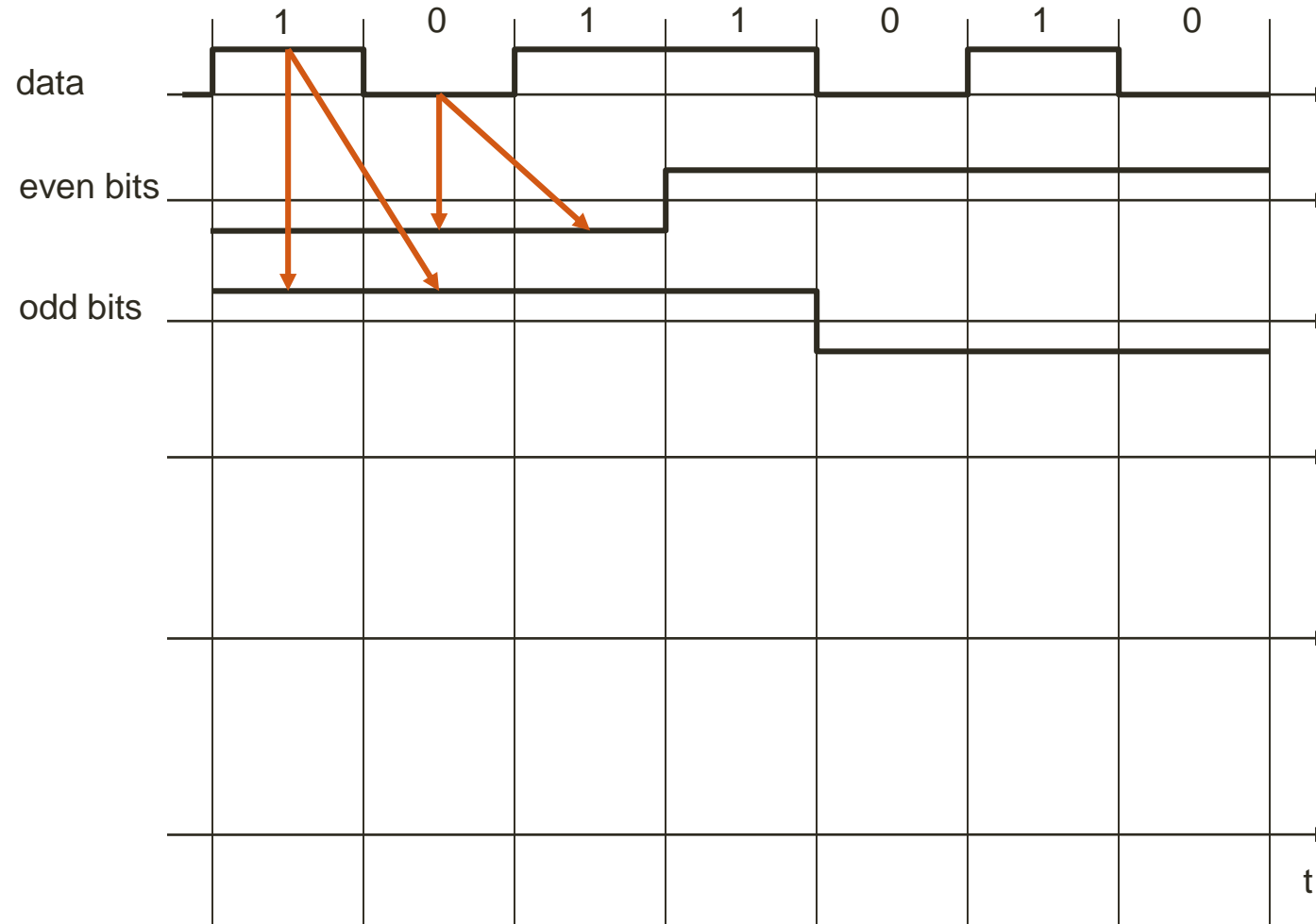
# MSK

According to the following scheme, the lower or higher frequency is chosen (either inverted or non-inverted) to generate the MSK signal:

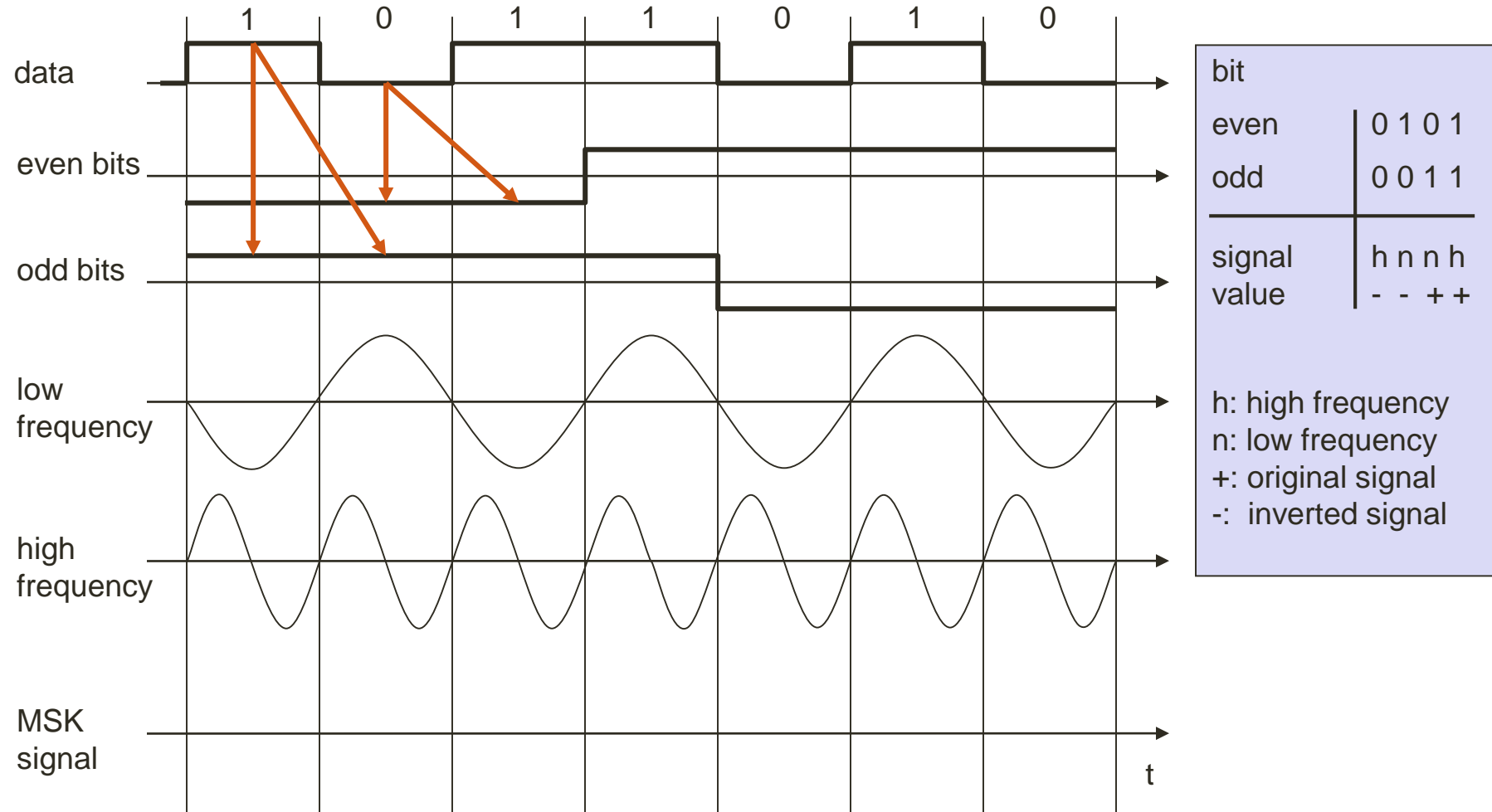
- if the even and the odd bit are both 0,  
then the higher frequency  $f_2$  is inverted  
(i.e.,  $f_2$  is used with a phase shift of  $180^\circ$ );
- if the even bit is 1,  
the odd bit 0, then the lower frequency  $f_1$  is inverted.  
This is the case, e.g., in the fifth to seventh columns,
- if the even bit is 0 and the odd bit is 1,  
 $f_1$  is taken without changing the phase,
- if both bits are 1 then the original  $f_2$  is taken.

<u>bit</u>	
even	
odd	
Signal value	
h: high frequency n: low frequency +: original signal -: inverted signal	

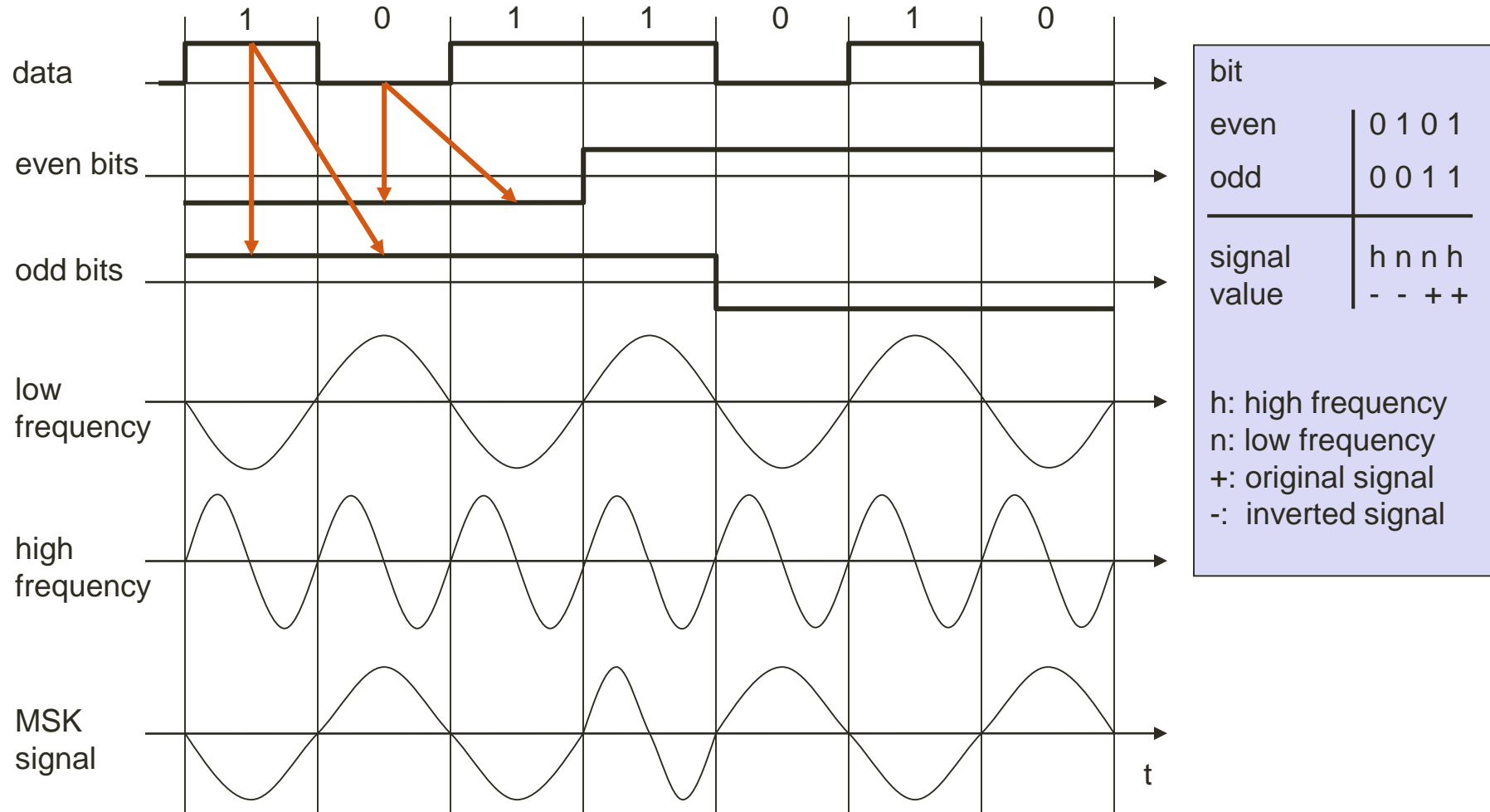
# EXAMPLE OF MSK



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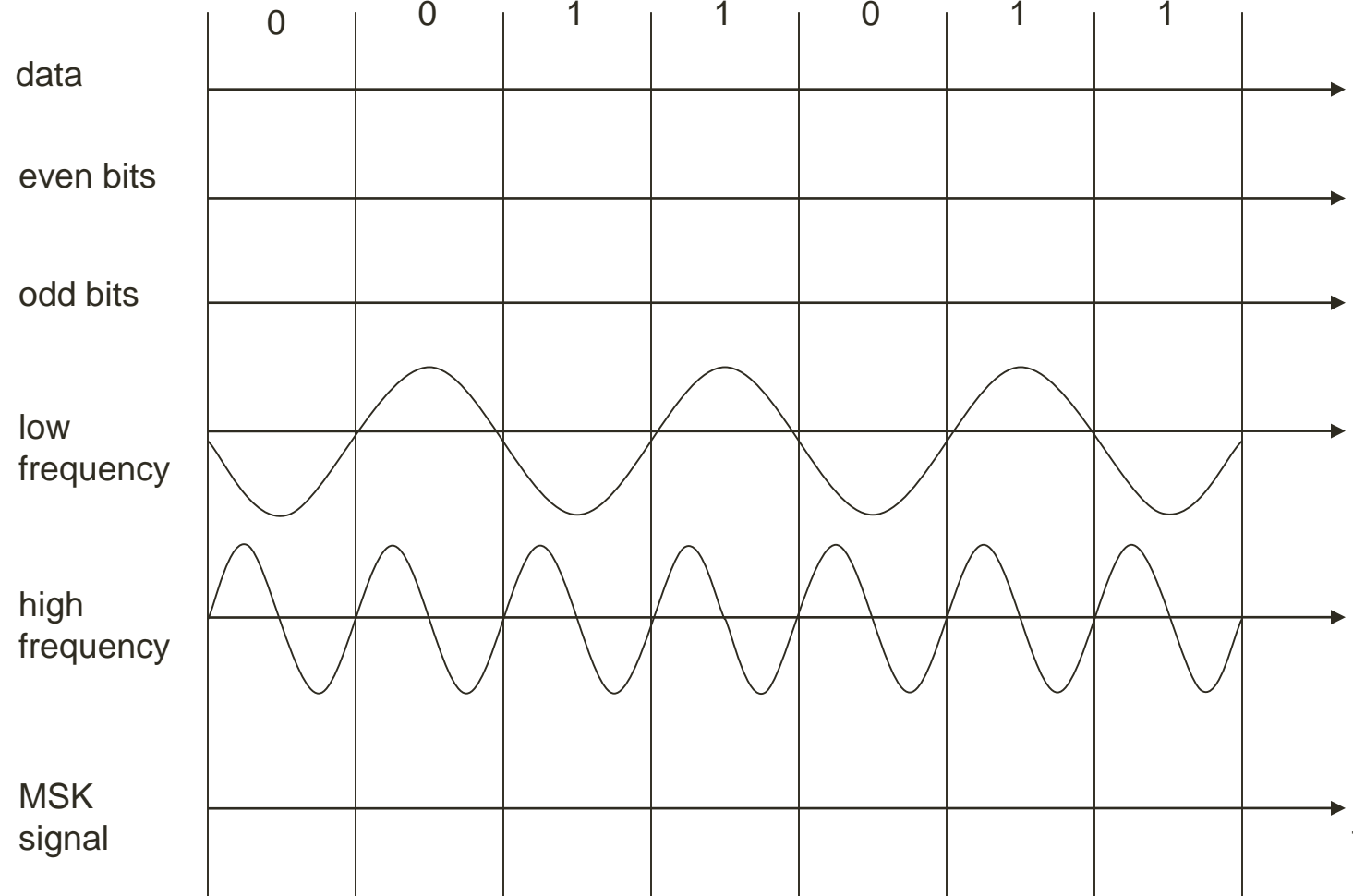


EX:

Draw the resultant signal for the given bit sequence “00110110” using MSK scheme?



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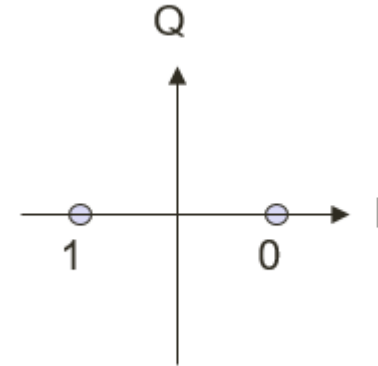
bit	
even	0 1 0 1
odd	0 0 1 1
signal value	h n n h - - + +

h: high frequency  
n: low frequency  
+: original signal  
-: inverted signal

# ADVANCED PHASE SHIFT KEYING

BPSK (Binary Phase Shift Keying):

- bit value 0: sine wave
- bit value 1: inverted sine wave
- very simple PSK
- low spectral efficiency
- robust, used e.g. in satellite systems

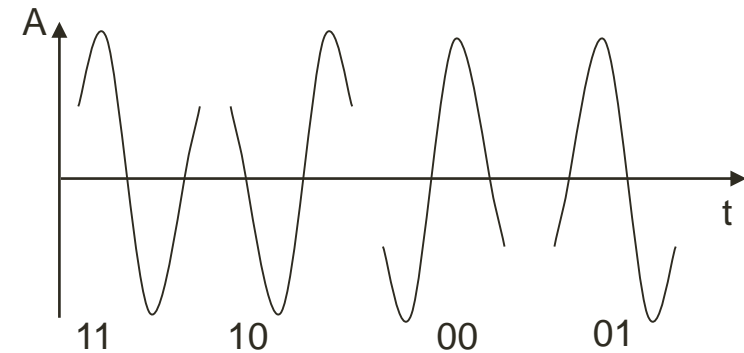
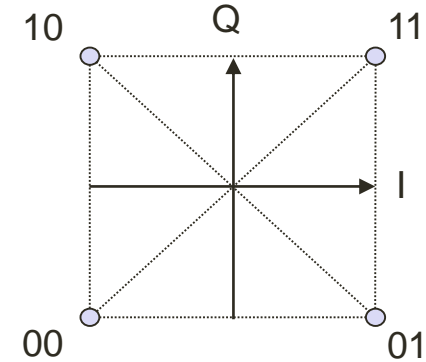


# ADVANCED PHASE SHIFT KEYING

QPSK (Quadrature Phase Shift Keying):

- 2 bits coded as one symbol
- symbol determines shift of sine wave
- needs less bandwidth compared to BPSK
- more complex

Often also transmission of relative, not absolute phase shift: DQPSK - Differential QPSK (IS-136, PHS)

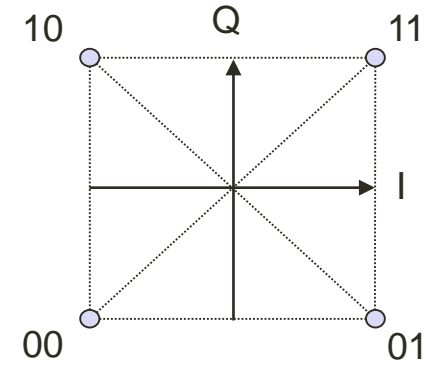


**EX.**

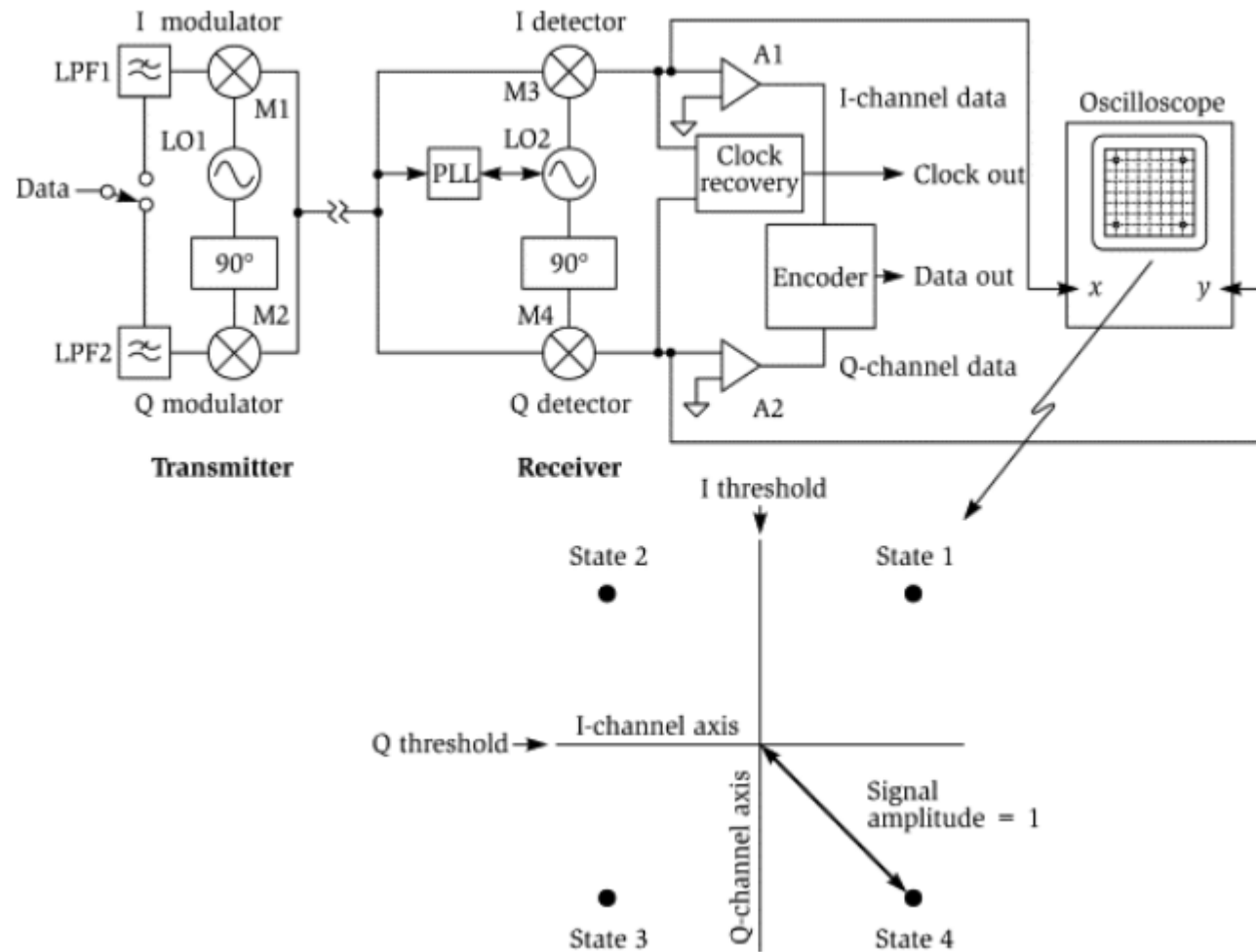
Draw the bit sequence “00110110” using QPSK  
(Quadrature Phase Shift Keying).

**EX.**

Draw the bit sequence “00110110” using QPSK (Quadrature Phase Shift Keying).



## Basic QPSK Modulator and Demodulator



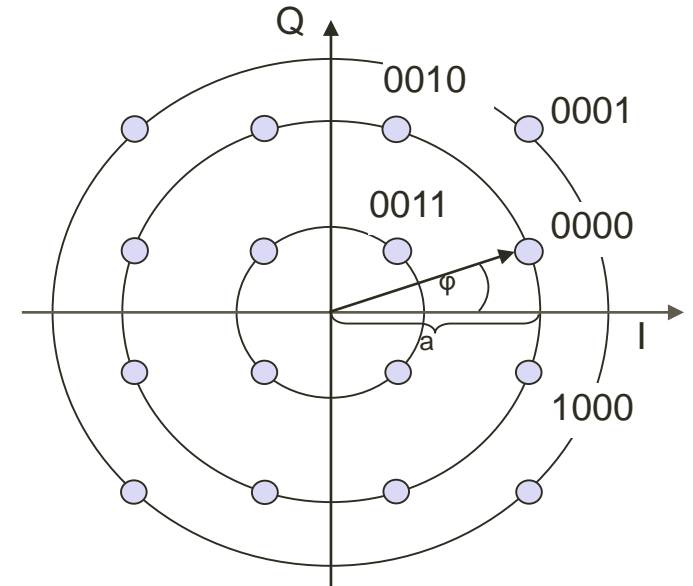
# QUADRATURE AMPLITUDE MODULATION

## . Quadrature Amplitude Modulation (QAM)

- combines amplitude and phase modulation
- it is possible to code  $n$  bits using one symbol
- $2^n$  discrete levels,  $n=2$  identical to QPSK

Bit error rate increases with  $n$ , but less errors compared to comparable PSK schemes

- Example: 16-QAM (4 bits = 1 symbol)
- Symbols 0011 and 0001 have the same phase  $\phi$ , but different amplitude  $a$ .
- 0000 and 1000 have different phase, but same amplitude



# HIERARCHICAL MODULATION

DVB-T modulates two separate data streams onto a single DVB-T stream

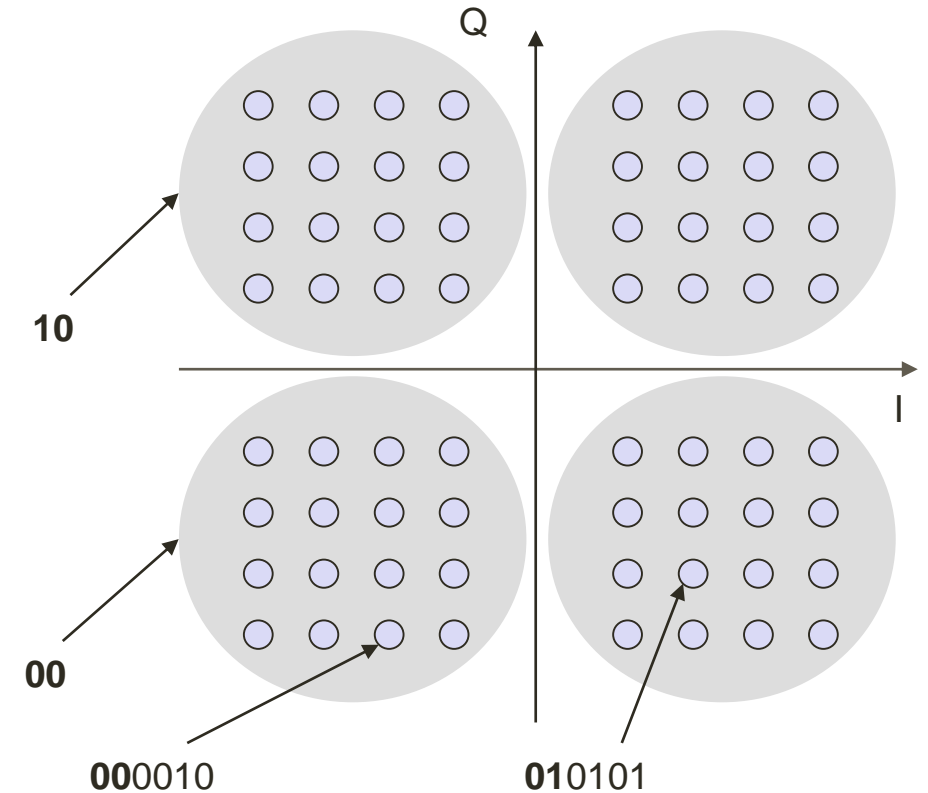
High Priority (HP) embedded within a Low Priority (LP) stream

Multi carrier system, about 2000 or 8000 carriers

QPSK, 16 QAM, 64QAM

Example: 64QAM

- good reception: resolve the entire 64QAM constellation
- poor reception, mobile reception: resolve only QPSK portion
- 6 bit per QAM symbol, 2 most significant determine QPSK
- HP service coded in QPSK (2 bit), LP uses remaining 4 bit





# FREQUENCY DOMAIN

**Fundamental frequency** - when all frequency components of a signal are integer multiples of one frequency, it's referred to as the fundamental frequency

**Spectrum** - range of frequencies that a signal contains

**Absolute bandwidth** - width of the spectrum of a signal

**Effective bandwidth** (or just **bandwidth**) - narrow band of frequencies that most of the signal's energy is contained in

# BIT RATES, CHANNEL CAPACITY

Impairments, such as noise, limit data rate that can be achieved

For digital data, to what extent do impairments limit data rate?

**Channel Capacity** – the maximum rate at which data can be transmitted over a given communication path, or channel, under given conditions

# SIGNAL-TO-NOISE RATIO

Ratio of the power in a signal to the power contained in the noise that's present at a particular point in the transmission

Typically measured at a receiver

Signal-to-noise ratio (SNR, or S/N)

$$(SNR)_{dB} = 10 \log_{10} \frac{\text{signal power}}{\text{noise power}}$$

A high SNR means a high-quality signal, low number of required intermediate repeaters

SNR sets upper bound on achievable data rate

# SHANNON CAPACITY FORMULA

Equation: 
$$C = B \log_2(1 + \text{SNR})$$

Represents theoretical maximum that can be achieved

In practice, only much lower rates achieved

- Formula assumes white noise (thermal noise)
- Impulse noise is not accounted for
- Attenuation distortion or delay distortion not accounted for

# EXAMPLE OF NYQUIST AND SHANNON FORMULATIONS

Spectrum of a channel between 3 MHz and 4 MHz ;  $\text{SNR}_{\text{dB}} = 24 \text{ dB}$

$$B = 4 \text{ MHz} - 3 \text{ MHz} = 1 \text{ MHz}$$

$$\text{SNR}_{\text{dB}} = 24 \text{ dB} = 10 \log_{10}(\text{SNR})$$

$$\text{SNR} = 251$$

Using Shannon's formula  $C = B \log_2(1 + \text{SNR})$

$$C = 10^6 \times \log_2(1 + 251) \approx 10^6 \times 8 = 8 \text{ Mbps}$$

## SHANNON CAPACITY FORMULA — EXAMPLE 1

Binary data is transmitted through an additive white Gaussian noise (AWGN) channel with  $\text{SNR} = 3.5 \text{ dB}$  and bandwidth  $B$ . Channel coding is used to ensure reliable communications. Then:

- i. What is the maximum bit rate that can be transmitted?
- ii. If the bit rate is increased to  $3B$ , how much must the channel SNR be increased to ensure reliable transmission?

## SHANNON CAPACITY FORMULA — EXAMPLE 1

$$\text{SNR} = 3.5 \text{ dB} (=2.24 \text{ in ratio})$$

- i. Channel capacity is given by Shannon equation (3.1):

$$\begin{aligned} C &= B \cdot \log_2(1 + 2.24) = B \cdot \log_2(3.24) \\ &= B \cdot \frac{\log_{10}(3.24)}{\log_{10}(2)} = 1.7B \end{aligned}$$

*Note the maximum bit rate for binary transmission that can be achieved with no errors in an ideal channel (no noise) is  $2B$ . In this example the bit rate is about  $1.7B$ .*

- ii.  $C = 3B = B \log_2(1 + \text{SNR})$  where SNR represents the channel's new signal-to-noise ratio.

$$\text{Thus } (1 + \text{SNR}) = 2^3 = 8, \text{ therefore, } \text{SNR} = 7 = 8.45 \text{ dB}$$

$$\text{The increase in the channel SNR} = 8.45 - 3.5 = 4.95 \text{ dB.}$$

*Note in this case, the bit rate is greater than  $2B$  and the transmission of the data over the channel is multi-level but the symbol rate is still  $2B$ .*

# EXAMPLE OF NYQUIST AND SHANNON FORMULATIONS

How many signaling levels are required?

$$C = 2B \log_2 M$$

$$8 \times 10^6 = 2 \times (10^6) \times \log_2 M$$

$$4 = \log_2 M$$

$$M = 16$$



# FREQUENCIES FOR WIRELESS COMMUNICATION

VLF = Very Low Frequency

UHF = Ultra High Frequency

LF = Low Frequency

SHF = Super High Frequency

MF = Medium Frequency

EHF = Extra High Frequency

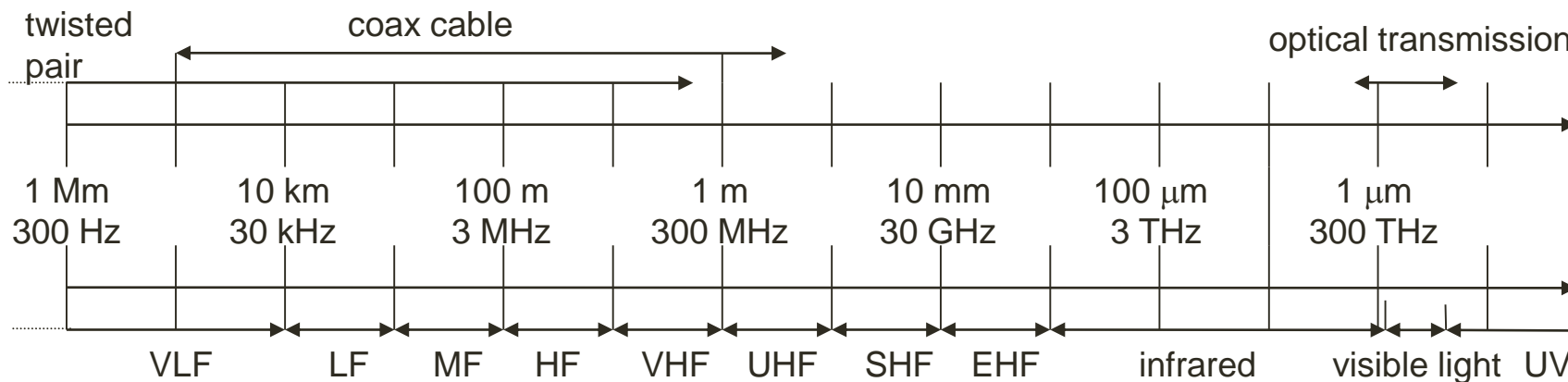
HF = High Frequency

UV = Ultraviolet Light

VHF = Very High Frequency

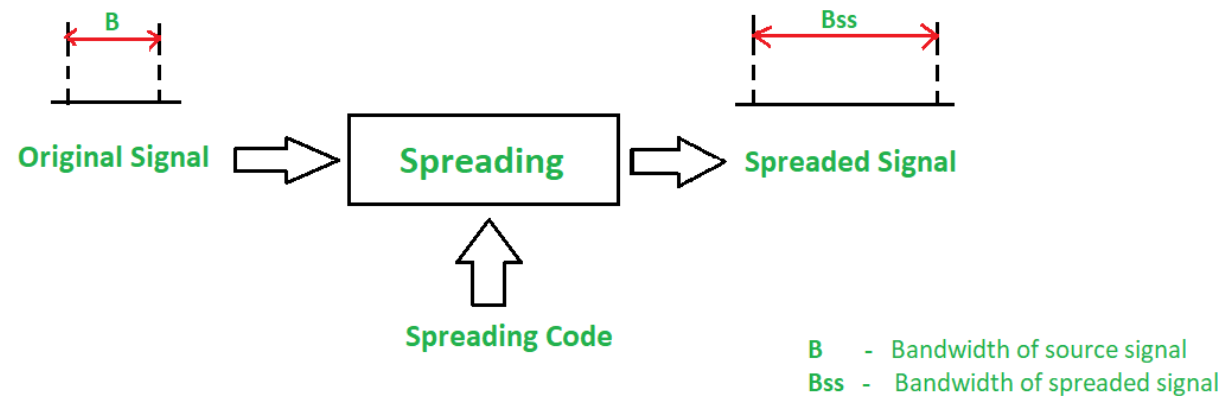
## Frequency and wave length

- $\lambda = c/f$
- wave length  $\lambda$ , speed of light  $c \cong 3 \times 10^8 \text{m/s}$ , frequency  $f$



# Spread Spectrum

- A spread-spectrum system is one in which the transmitted signal is spread over a wide frequency band, much wider than the bandwidth required to transmit the message.
- Such a system would take a baseband voice signal with a bandwidth of a few kilohertz and spread it to a band of many megahertz.



## Spread Spectrum Concept

Input fed into channel encoder

- Produces narrow bandwidth analog signal around central frequency

Signal modulated using sequence of digits

- Spreading code/sequence
- Typically generated by pseudonoise/pseudorandom number generator

Increases bandwidth significantly

- Spreads spectrum

Receiver uses same sequence to demodulate signal

Demodulated signal fed into channel decoder

# Spread Spectrum

Two types of spread-spectrum systems are:

- **Direct-sequence system:** A digital code sequence with a bit rate higher than the message is used to obtain the modulated signal.
- **Frequency-hopping system:** The carrier frequency is shifted in discrete increments in a pattern dictated by a code sequence. We will not consider this here.

## DIRECT SEQUENCE SPREAD SPECTRUM (DSSS)

Each bit represented by multiple bits using spreading code

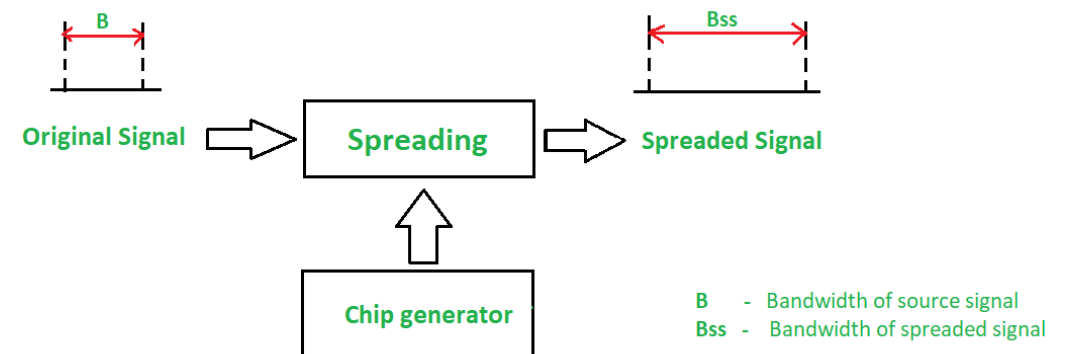
Spreading code spreads signal across wider frequency band

- In proportion to number of bits used
- 10 bit spreading code spreads signal across 10 times bandwidth of 1 bit code

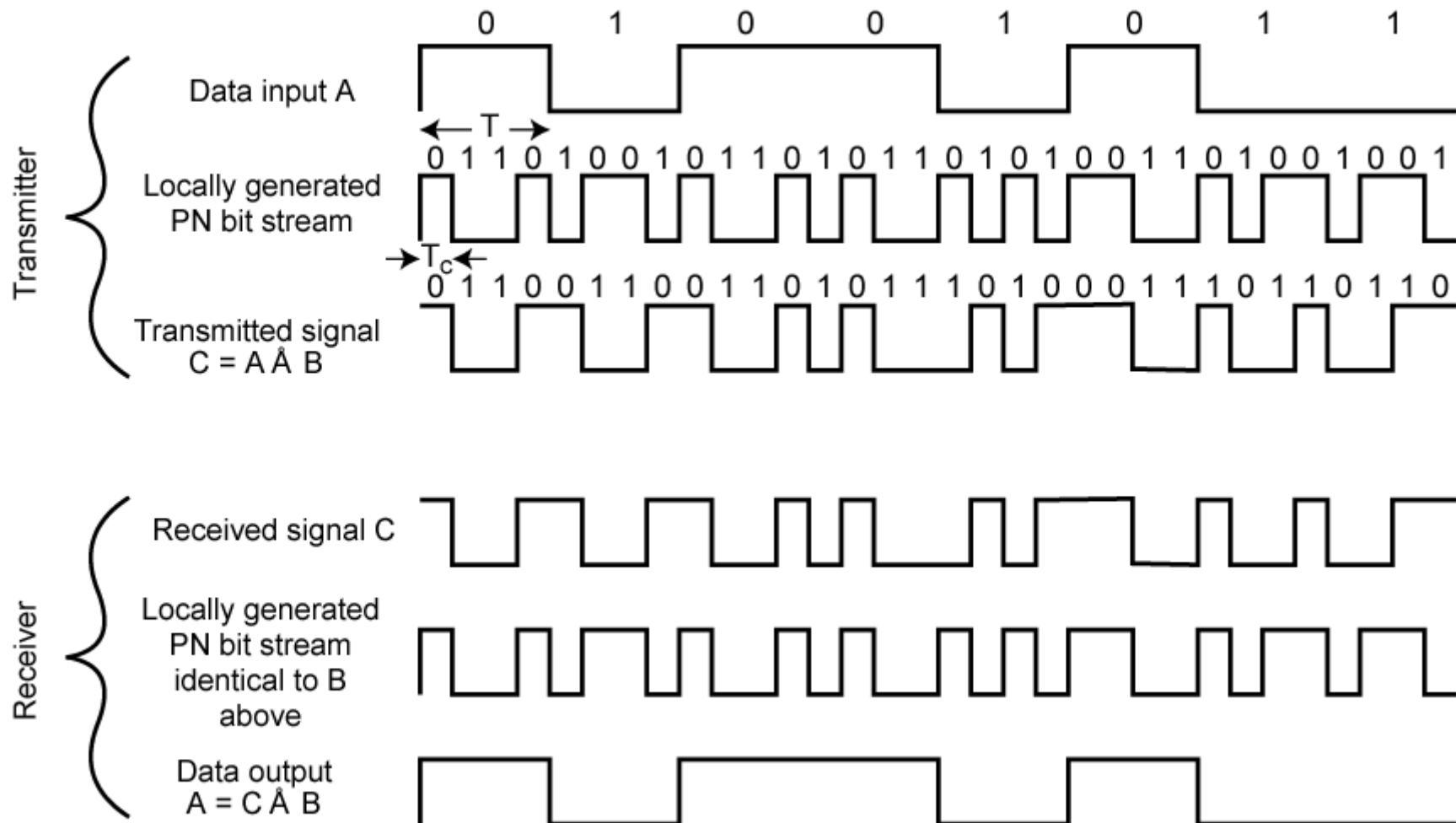
One method:

- Combine input with spreading code using XOR
- Input bit 1 inverts spreading code bit
- Input zero bit doesn't alter spreading code bit
- Data rate equal to original spreading code

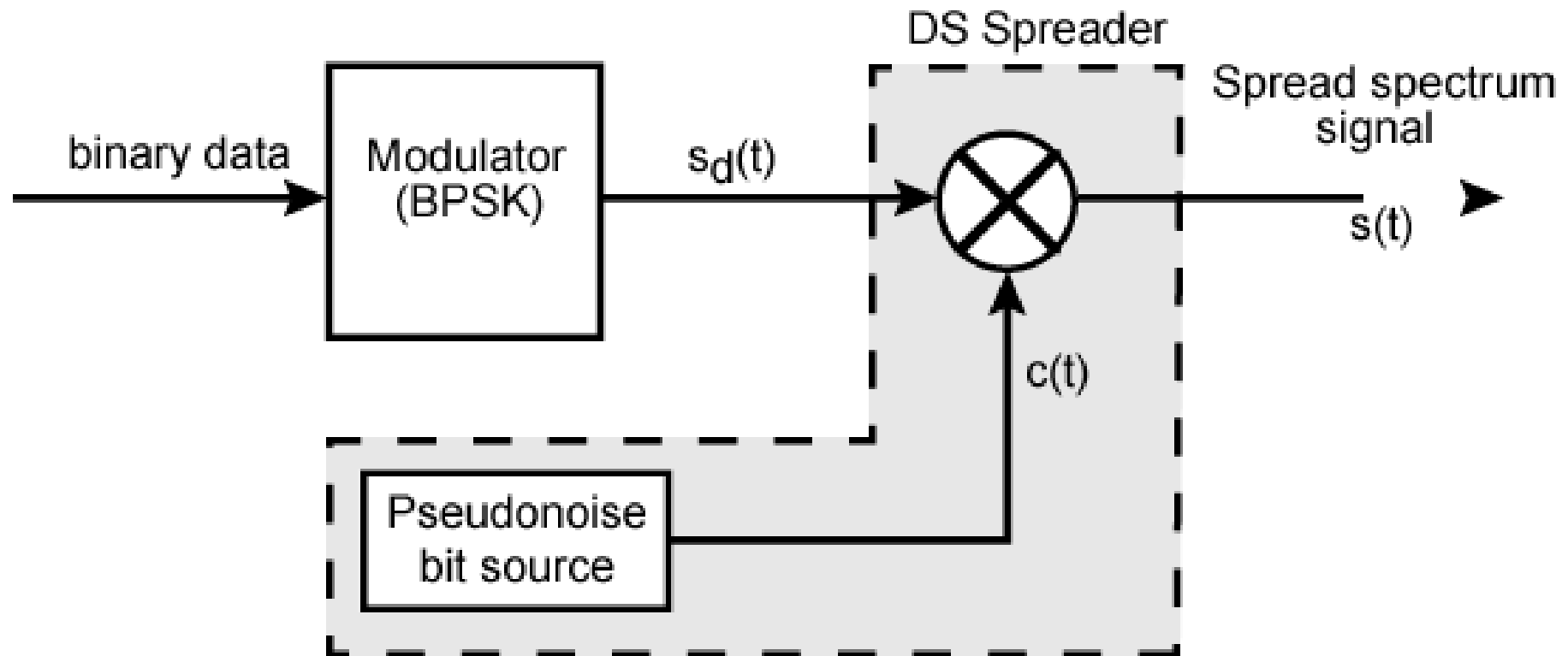
Performance similar to FHSS



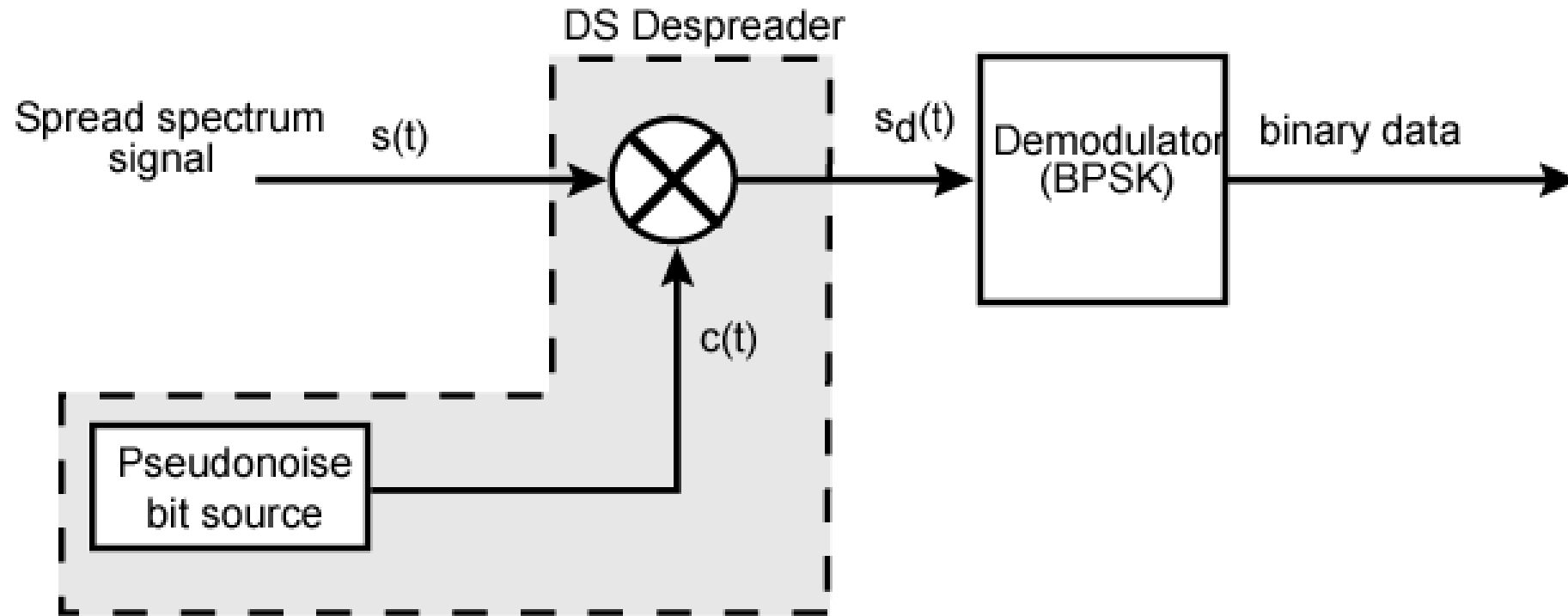
## DIRECT SEQUENCE SPREAD SPECTRUM EXAMPLE



## DIRECT SEQUENCE SPREAD SPECTRUM TRANSMITTER

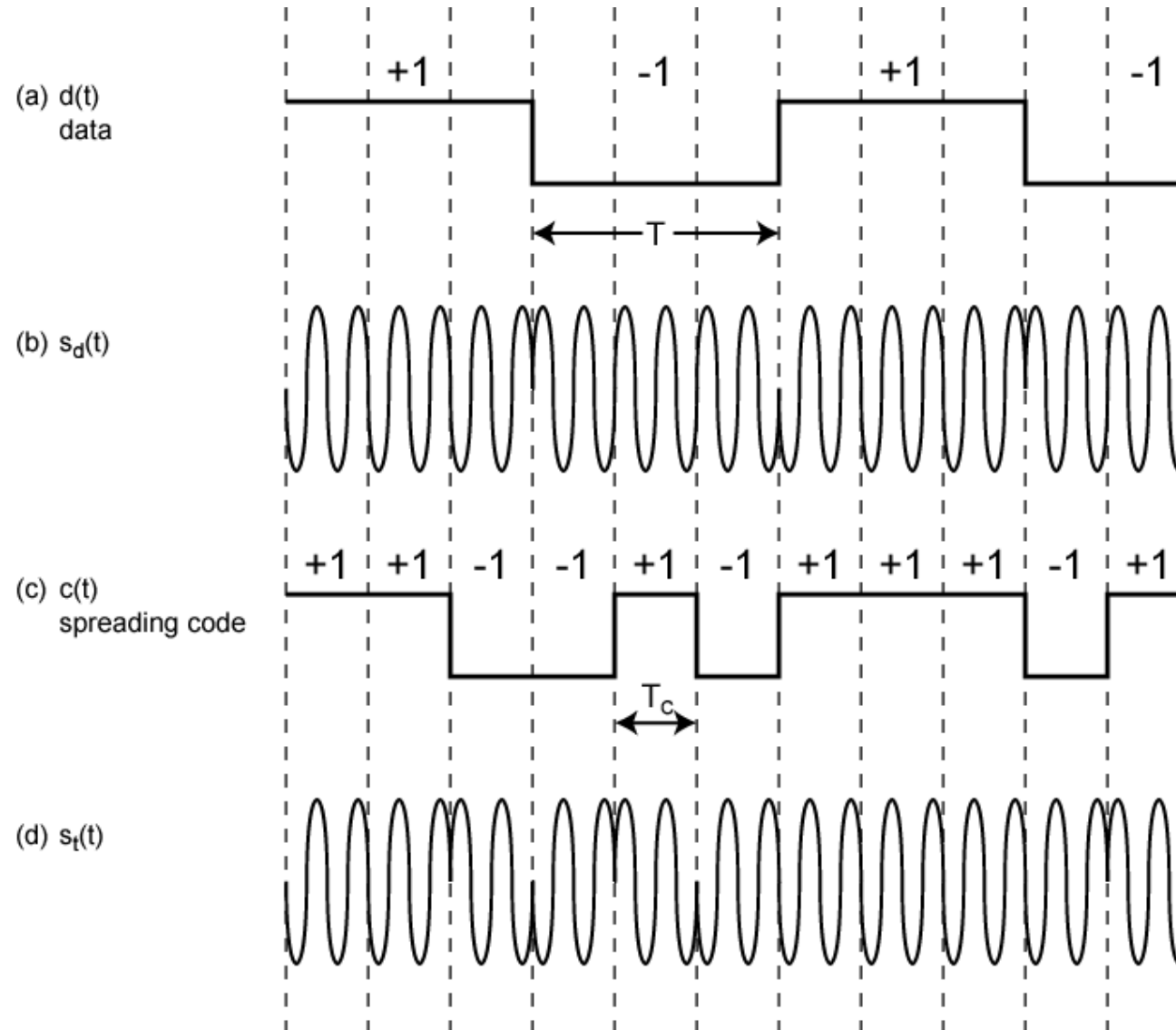


# DIRECT SEQUENCE SPREAD SPECTRUM TRANSMITTER

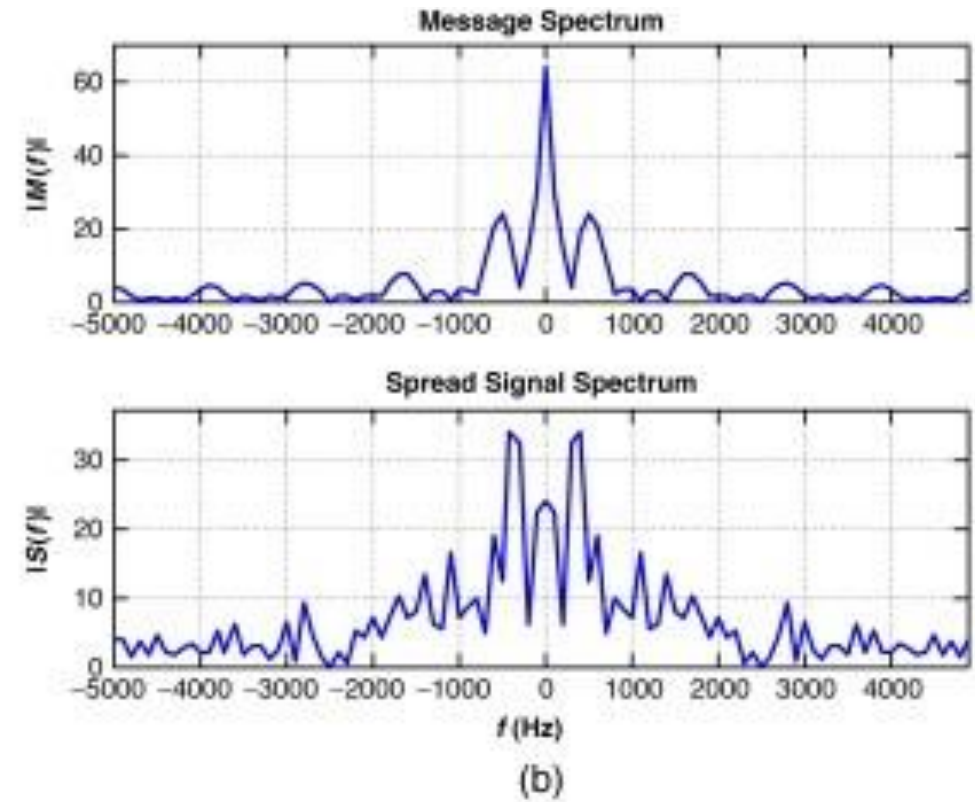
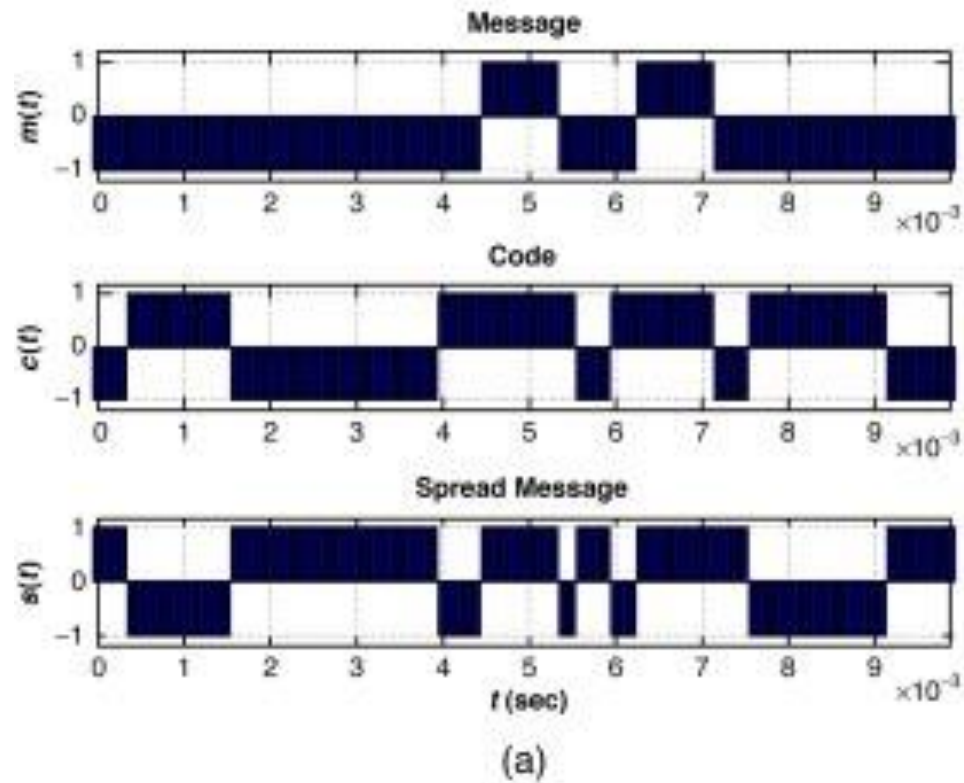




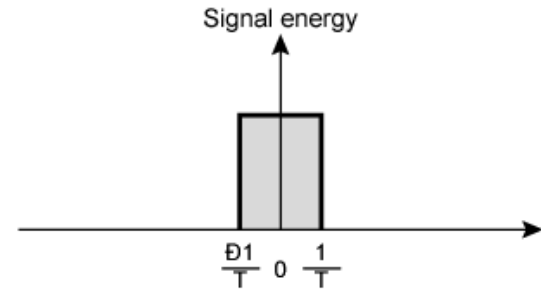
## DIRECT SEQUENCE SPREAD SPECTRUM USING BPSK EXAMPLE



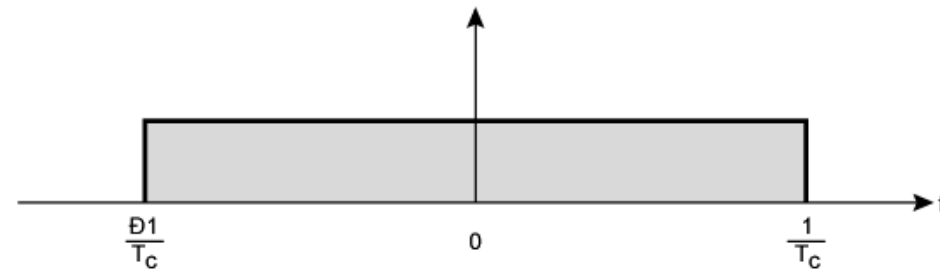
## DIRECT SEQUENCE SPREAD SPECTRUM USING BPSK EXAMPLE



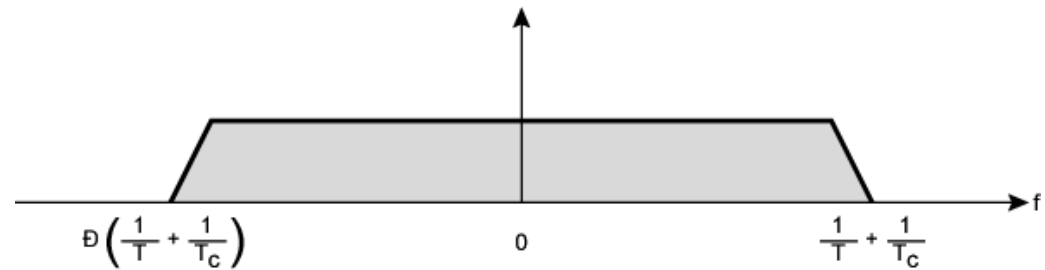
## APPROXIMATE SPECTRUM OF DSSS SIGNAL



(a) Spectrum of data signal

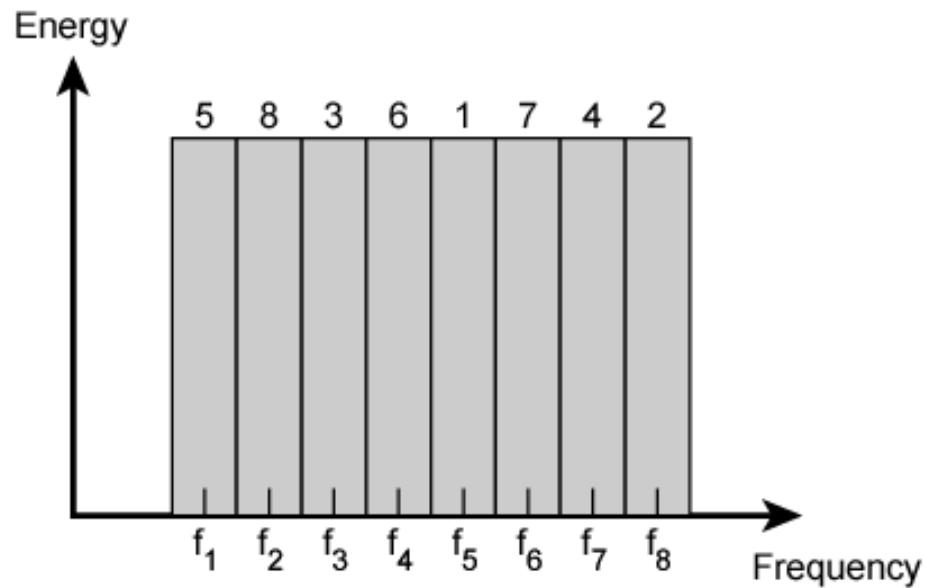


(b) Spectrum of pseudonoise signal

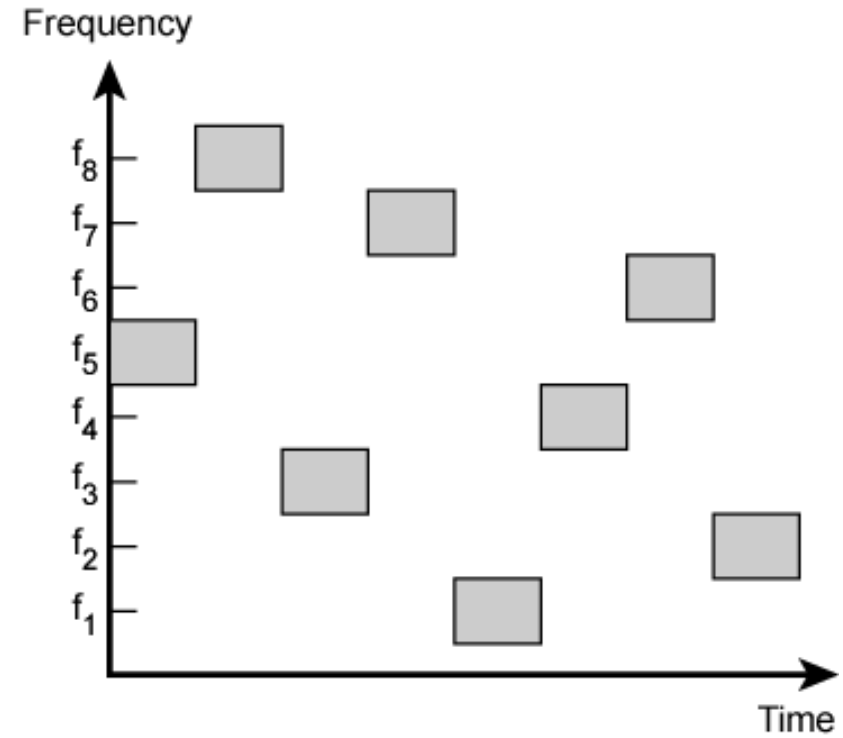


(c) Spectrum of combined signal

# FREQUENCY HOPPING EXAMPLE

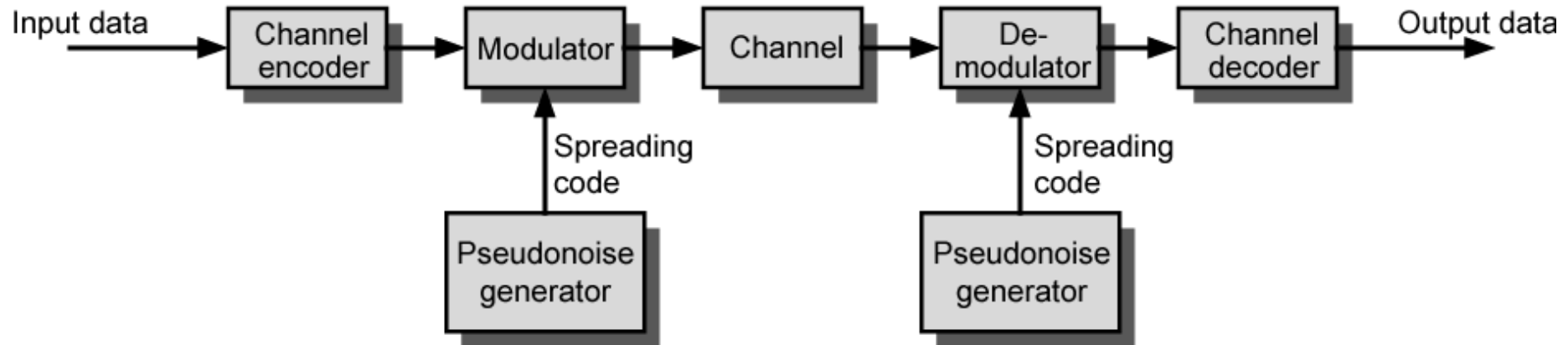


(a) Channel assignment



(b) Channel use

# GENERAL MODEL OF SPREAD SPECTRUM SYSTEM



# GAINS

Immunity from various noise and multipath distortion

- Including jamming

Can hide/encrypt signals

- Only receiver who knows spreading code can retrieve signal

Several users can share same higher bandwidth with little interference

- Cellular telephones
- Code division multiplexing (CDM)
- Code division multiple access (CDMA)

# PSEUDORANDOM NUMBERS

Generated by algorithm using initial seed

Deterministic algorithm

- Not actually random
- If algorithm good, results pass reasonable tests of randomness

Need to know algorithm and seed to predict sequence

# FREQUENCY HOPPING SPREAD SPECTRUM (FHSS)

- FHSS is a wireless technology that spreads its signal over rapidly hopping radio frequencies, it is highly resistant to interference and is **difficult to intercept.**
- Interference at a specific frequency only affects the transmission during that extremely short interval, **making FHSS inherently cybersecure.**

- **Signal broadcast over seemingly random series of frequencies**

- Receiver hops between frequencies in sync with transmitter

- Eavesdroppers hear unintelligible blips

- **Jamming on one frequency affects only a few bits**



# BASIC OPERATION

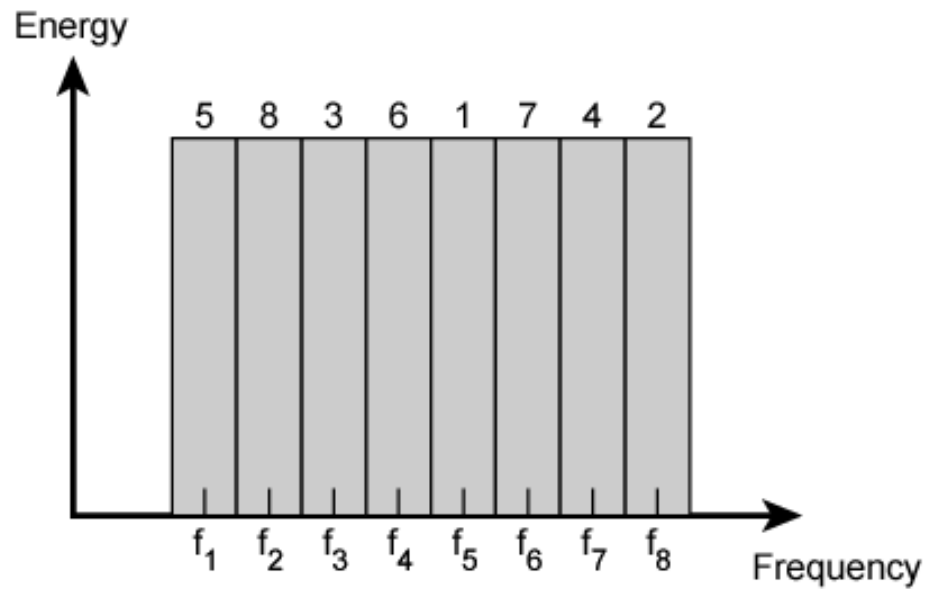
Typically  $2^k$  carriers frequencies forming  $2^k$  channels

Channel spacing corresponds with bandwidth of input

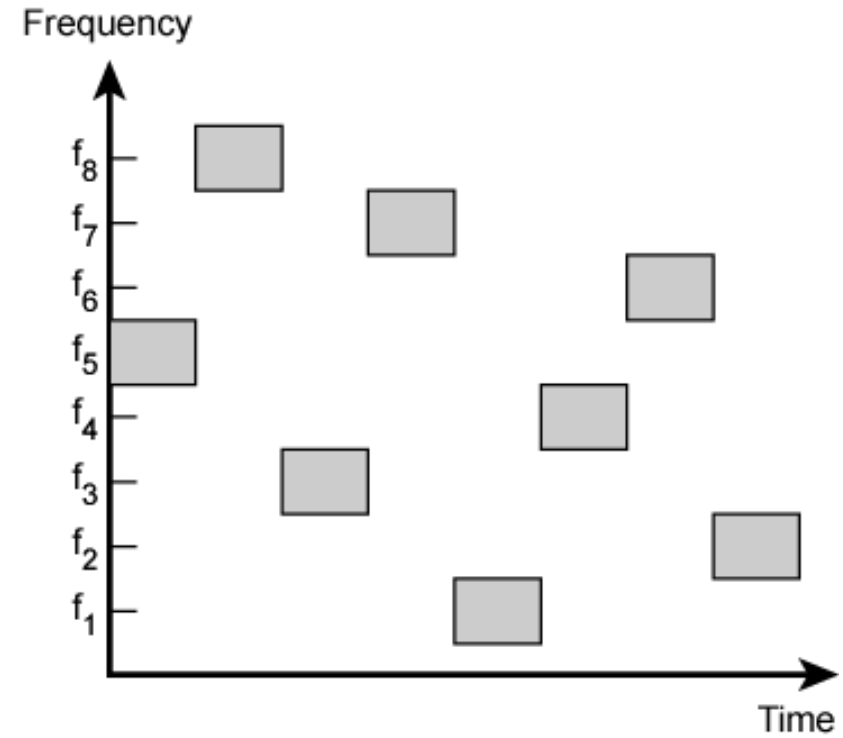
Each channel used for fixed interval

- 300 ms in IEEE 802.11
- Some number of bits transmitted using some encoding scheme
  - May be fractions of bit
- Sequence dictated by spreading code

# FREQUENCY HOPPING EXAMPLE

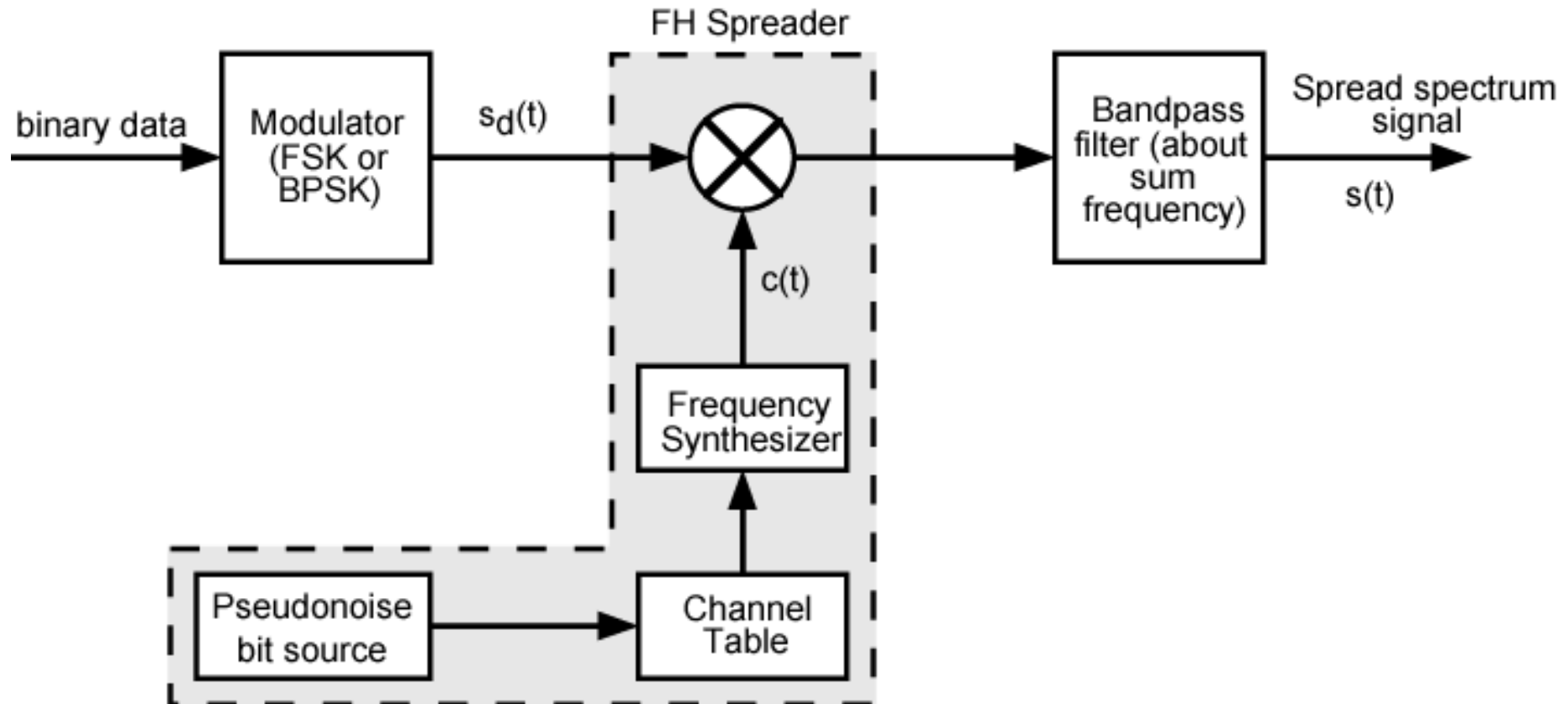


(a) Channel assignment

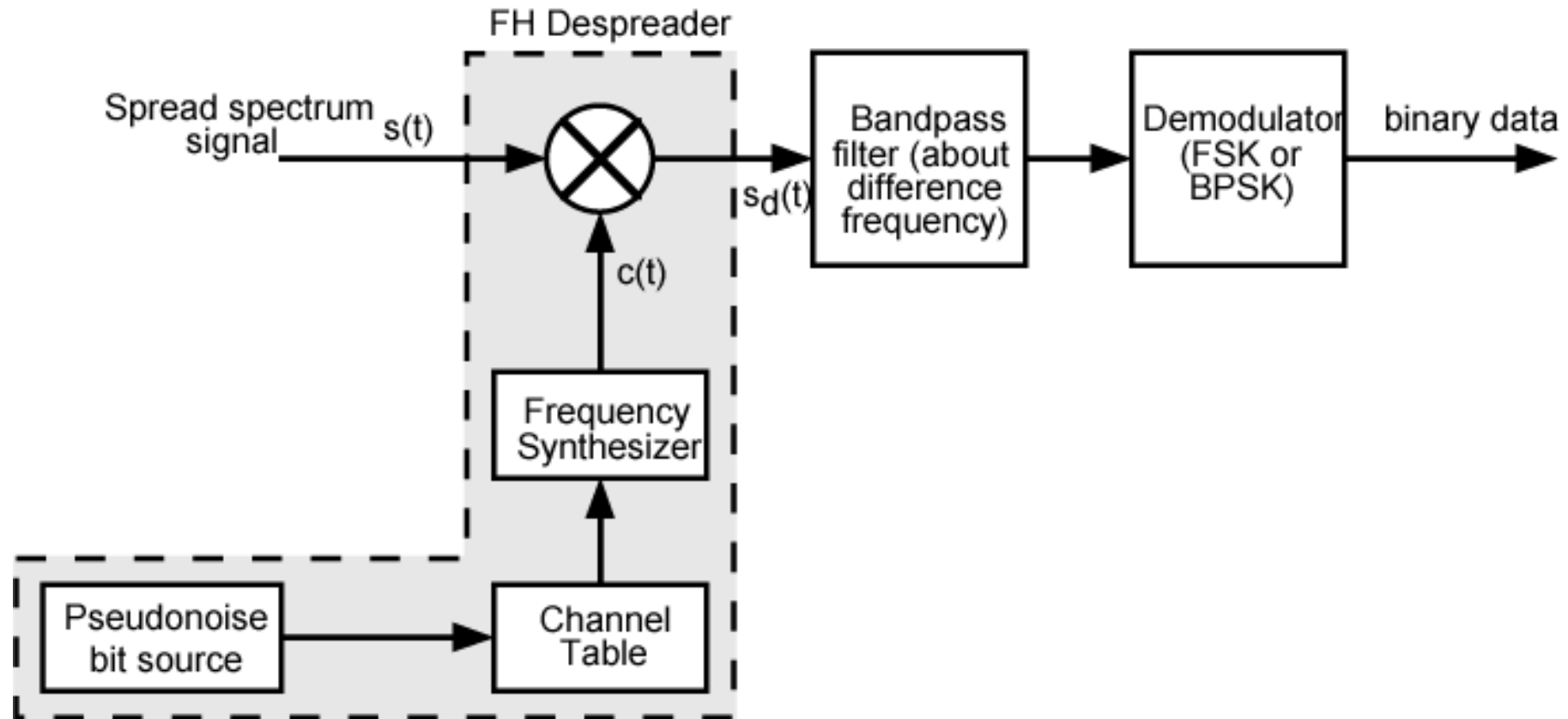


(b) Channel use

## FREQUENCY HOPPING SPREAD SPECTRUM SYSTEM (TRANSMITTER)



## FREQUENCY HOPPING SPREAD SPECTRUM SYSTEM (RECEIVER)



# SLOW AND FAST FHSS

Frequency shifted every  $T_c$  seconds

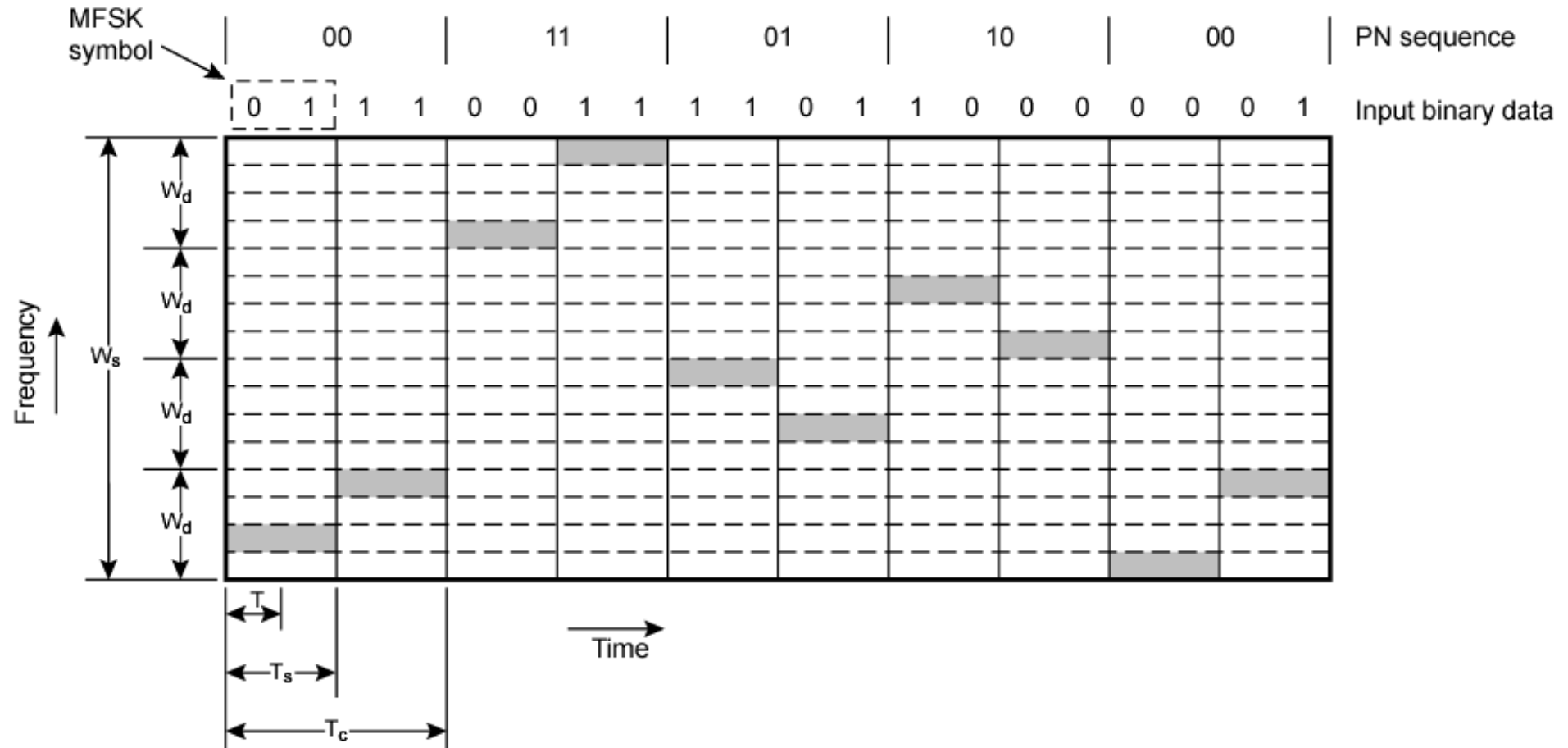
Duration of signal element is  $T_s$  seconds

Slow FHSS has  $T_c \geq T_s$

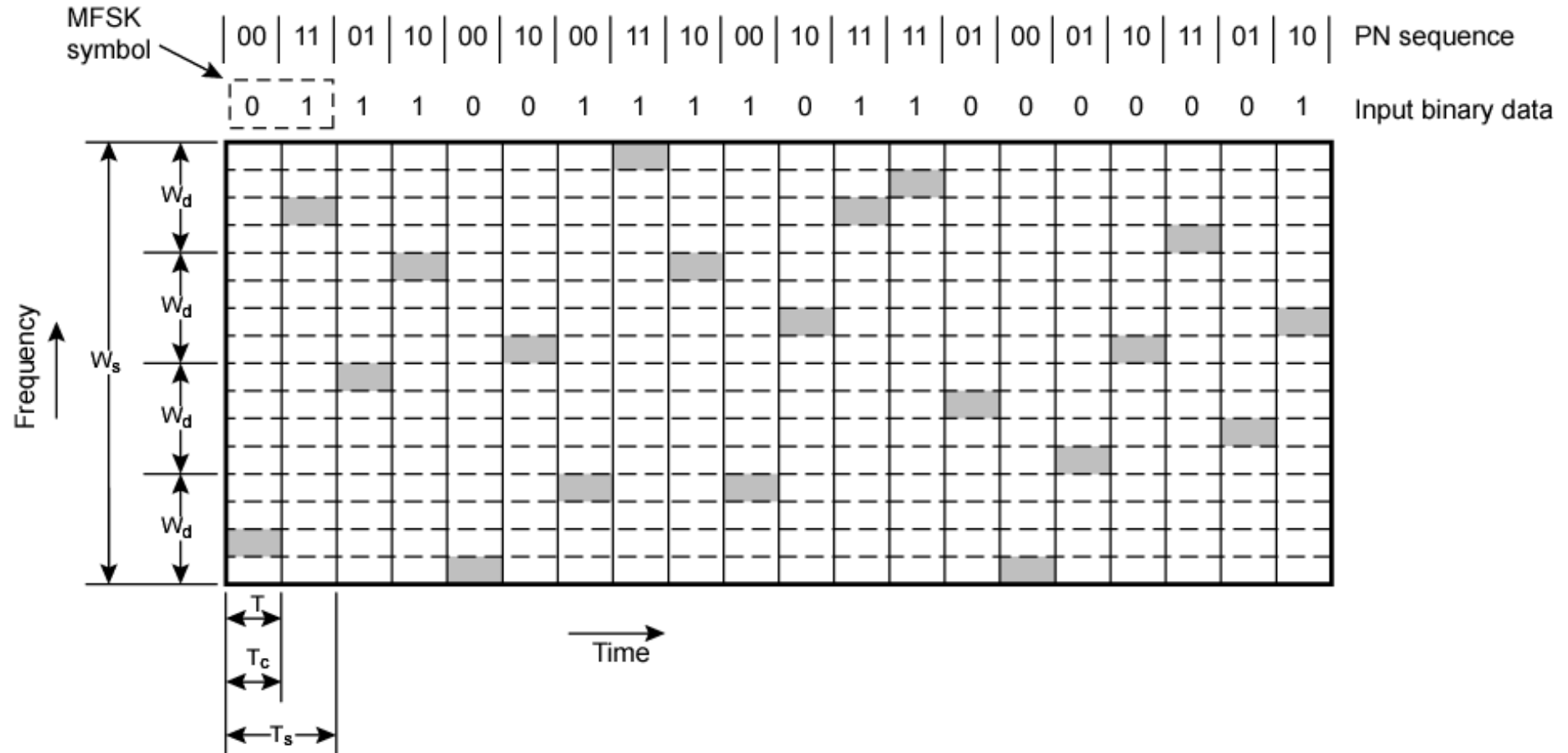
Fast FHSS has  $T_c < T_s$

Generally fast FHSS gives improved performance in noise (or jamming)

## SLOW FREQUENCY HOP SPREAD SPECTRUM USING MFSK ( $M=4$ , $K=2$ )



# FAST FREQUENCY HOP SPREAD SPECTRUM USING MFSK (M=4, K=2)



# FHSS AND WLAN ACCESS POINTS

IEEE 802.11 FHSS WLAN specifies 78 hopping channels separated by 1 MHz in 3 groups

$(0, 3, 6, 9, \dots, 75), (1, 4, 7, \dots, 76), (2, 5, 8, \dots, 77)$

Allows installation of 3 AP's in the same area.