

4 Sym break

- ④ Topological order, topological phase, topological phase transition,
 $J_A = J_B$

4 Alternating FM & AFM Coupling

4 Haldane spin chain

- ④ determine phase boundary of the topological phase as a function of relative strength of the exchange coupling & the magnetic exchange anisotropy

- ④ Jordan - Wigner transformation ✓

- ④ non-local string operators

- ④ mean-field approx

- ④ coupled self-consistency eq's

- ④ simple model Hamiltonian. ✓

- ④ Fermi-creation & annihilation operators ✓

$\gamma \rightarrow 1$

- Strong anisotropy \Rightarrow Z-comp of spin frozen \Rightarrow fermions non-interacting
have topological phase transition @ $J_A = J_B$

$\gamma \rightarrow 0$

- weaker anisotropy \Rightarrow mean-field approx \Rightarrow numerical solution of coupled self-consistency equations

$\gamma \rightarrow 0$

1D spin chain:

→ Heisenberg chain (Bloch Ansatz)

⊗ → XY chain (Jordan-Wigner transformation) [Pg 82]

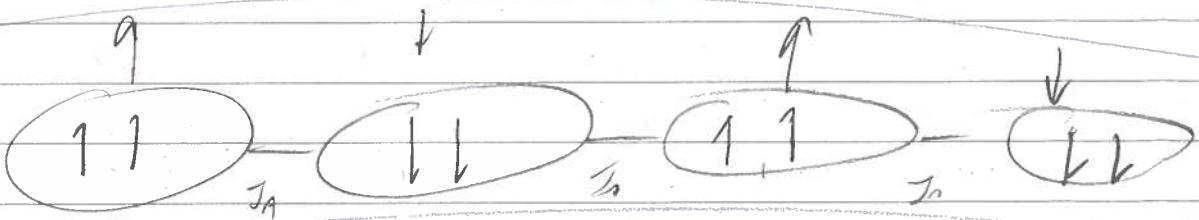
use
V Periodic boundary conditions: Pg 29
Section 2.7 (An intro to Quantum
spin systems)

Ends of chain are joined

→ N^{th} site is a nearest-neighbour of the 1^{st} ,
as well as of $(N-1)^{th}$

taking $N \rightarrow \infty$

$$[H = J[\underline{s}_1 \cdot \underline{s}_2 + \underline{s}_2 \cdot \underline{s}_3 + \dots + \underline{s}_N \cdot \underline{s}_1]] \\ = J \sum_{i=1}^N \underline{s}_i \cdot \underline{s}_{i+1} \quad i+N=1]$$



Ex.: γ : anisotropy → combination of

Heisenberg & Ising

→ → XX model

A -> c
A w/o
B/c
A + w/c

= 1 → Ising case

⊗ correction factors for anisotropy ?

Han. / trans. :

$$[H] = J \sum_j [(1+\gamma) S_j^n S_{j+1}^n + (1-\gamma) S_j^y S_{j+1}^y]$$

$$[\text{Ising} \rightarrow \cancel{H} = J S_j^n S_{j+1}^n + S_j^y S_{j+1}^y]$$

$$= J \sum_j \underbrace{[2(S_j^n S_{j+1}^n - S_j^y S_{j+1}^y)]}_{DS_j^n S_{j+1}^y} + (S_j^n S_{j+1}^n + S_j^y S_{j+1}^y)]$$

Spin operators & Fermion operators Pg 62

Pauli Spin Matrices

For a single site:

$$+ = \uparrow$$

$$S_i^z = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$- = \downarrow$$

$$S_i^x = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, b = 1$$

$$S_i^y = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\hat{S}^+ = \hat{S}^x + i \hat{S}^y$$

$$\hat{S}^- = \hat{S}^x - i \hat{S}^y$$

\otimes

$$\left. \begin{array}{l} S^+ |+\rangle = 0 \quad S^- |-\rangle = 0 \\ S^+ |-\rangle = |+\rangle \quad S^- |+\rangle = |-\rangle \end{array} \right] \text{Page 62}$$

Raising operator

$$a_i^+ = S_i^z + i S_i^x$$

$$a_i^- = S_i^z - i S_i^x$$

$$S_i^+ |-\rangle = |+\rangle \quad S_i^+ |+\rangle = 0$$

$$S_i^- |+\rangle = 0 \quad S_i^- |-\rangle = 1 \quad \cancel{S_i^z |+\rangle = 1} \quad S_i^- |+\rangle = |-\rangle$$

$$S_i^z |-\rangle = -\frac{1}{2} |-\rangle \quad S_i^z |+\rangle = \frac{1}{2} |+\rangle$$

$$\begin{aligned} \therefore S_i^- S_i^+ + S_i^+ S_i^- &= 1 \quad \left[- (S_i^- S_i^+ + S_i^+ S_i^-) |+\rangle = |+\rangle \right] \\ \therefore S_i^{z^2} &= S_i^{+2} = 0 \quad \left[- \right] \end{aligned}$$

Commutator relationship for a ² sites, $i \neq j$

$$\begin{aligned} \left[S_i^-, S_j^+ \right] &\equiv S_i^- S_j^+ - S_j^+ S_i^- = 0 \\ S_i^- S_j^+ + S_j^+ S_i^- &= 2 S_i^- S_j^+ \\ S_i^- S_j^- + S_j^- S_i^+ &= 2 S_i^- S_j^- \\ S_i^+ S_j^+ + S_j^+ S_i^+ &= 2 S_i^+ S_j^+ \end{aligned} \quad \text{---} \quad \text{---}$$

$\cancel{\text{for}}$

anticommutation for fermions

↓ analogous

Introducing Fermion operators:

$c_i \& c_i^\dagger$ commutes for boson particles

Fermions \rightarrow anticommute

$$\{c_i, c_j^\dagger\} = c_i c_j^\dagger + c_j^\dagger c_i = 8_{ij} \quad \begin{cases} i=j \Rightarrow 1 \\ i \neq j \Rightarrow 0 \end{cases}$$

$$\{c_i, c_i\} = 0$$

$$\boxed{\{c_i^\dagger, c_j^\dagger\} = 0}$$

\Rightarrow can be simultaneously measured

For single site \Rightarrow can do $S_i^- = c_i$ $S_i^+ = c_i^\dagger$

BUT \Rightarrow For different sites

\Rightarrow spin operator can be represented in terms of the fermions (c_i)

$$\Rightarrow S_i^- = c_i$$

$$S_i^+ = c_i^\dagger$$

$$S_2^- = [\exp(i\pi c_1^\dagger c_1)] e_2$$

$$S_2^+ = c_2^\dagger [\exp(-i\pi c_1^\dagger c_1)]$$

Transformation of

6 fermion
operator

$$S_i^- = Q_i c_i \quad i \geq 1$$

$$S_i^+ = c_i^\dagger Q_i^\dagger \quad i \geq 1$$

$$\boxed{Q_i = \exp\left[i\pi \sum_{j=1}^{i-1} c_j^\dagger c_j\right]}$$

\Rightarrow Now, need to prove the spin operators from 6.13 commute when on different sites ($i \neq j$)

introduce new operators, A_i and T_i , N_i, T_i, Q_i

Let $|+\rangle \& |-\rangle$ be the basis for the i^{th} site

Fermion operators acting on these basis functions

$$c_i^\dagger |+\rangle = 0 \quad c_i^\dagger |-\rangle = |+\rangle$$

$$c_i |+\rangle = |-\rangle \quad c_i |-\rangle = 0$$

Defining:

$n_i = \text{number operator}$

$$\textcircled{1} \quad n_i \equiv c_i^\dagger c_i \quad \text{Counts the value of the } z\text{-component of the momenta}$$

$$n_i^\dagger = n_i$$

relative to the state $|-\rangle$

$$n_i |+\rangle = c_i^\dagger c_i |+\rangle = c_i^\dagger |-\rangle = |+\rangle \quad n_i |-\rangle = 0 |-\rangle \text{ spin down}$$

$$n_i |-\rangle = c_i^\dagger c_i |-\rangle = 0 \quad \textcircled{2}$$

$$n_i^\dagger |+\rangle = 1 |+\rangle \quad \text{spin up}$$

defining $\tilde{T}_i = e^{i\pi c_i^\dagger c_i} = e^{i\pi n_i}$

$$\Rightarrow \tilde{T}_i |+\rangle e^{i\pi n_i} |+\rangle = e^{i\pi \cdot 1} |+\rangle = -|+\rangle \quad n_i = 1 \text{ for spin up}$$

$$\tilde{T}_i |-\rangle = e^{i\pi n_i} |-\rangle = e^{i\pi (0)} |-\rangle = +|-\rangle \quad n_i = 0 \text{ for spin down}$$

$$\tilde{T}_i^\dagger = e^{-i\pi n_i}$$

$$\tilde{T}_i^\dagger |-\rangle = -|+\rangle$$

$$\tilde{T}_i |-\rangle = |-\rangle$$

\therefore effect of \tilde{T}_i^\dagger is the same as that of T_i on the basis states

$$\Rightarrow \tilde{T}_i^\dagger = T_i$$

$$\therefore T_i^2 |+\rangle = |+\rangle \quad \text{and} \quad T_i^2 |-\rangle = |-\rangle$$

$$\hookrightarrow T_i^2 = 1$$

$$n_i n_j = n_j n_i$$

$$\Rightarrow n_i n_j - n_j n_i = 0 \quad \text{by commutator relationships}$$

$$\boxed{\begin{aligned} [n_i, n_j] &= 0 \\ [T_i, T_j] &= 0 \end{aligned}}$$

$$\text{for } \{c_i, c_j^\dagger\} = 0$$

$$\{c_i, c_j^\dagger\} = 0$$

$$Q_i = \exp \left[i\hbar \sum_{n=1}^{i-1} n_j \right] \quad \text{if } n_j \text{ commute.}$$

The proof

Provided that $(A, B) := 0$

$$Q_i = \prod_{j=1}^{i-1} e^{i\hbar n_j} = \prod_{j=1}^{i-1} e^A e^B$$

$$Q_i^\dagger = \prod_{j=1}^{i-1} e^{-i\hbar n_j} = \prod_{j=1}^{i-1} T_2^\dagger = \prod_{j=1}^{i-1} T_2 = Q_i$$

$$\boxed{Q_i^\dagger = Q_i}$$

$$[C_i, n_j] = C_i n_j - n_j n_i = C_i C_j^\dagger C_j - C_j^\dagger C_j C_i$$

$$[C_i, n_j] = 0 \quad [C_i, T_i] = 0$$

$$= -C_j^\dagger C_i C_j + C_j^\dagger C_i C_j = 0$$

$$[C_i, T_i] = 0 \quad [C_i, T_i] \neq 0$$

$$[C_i^\dagger, T_j] = 0 \quad [C_i, Q_i] = 0$$

$$[C_i^\dagger, Q_i] = 0$$

$$[S_i^-, S_j^+] = 0$$

see b. 18-6. 21

using 6. 1

$$S_j^x S_{j+1}^x = \frac{1}{2} (S_j^+ + S_j^-) \frac{1}{2} (S_{j+1}^+ + S_{j+1}^-)$$

$$= \frac{1}{4} (S_j^+ S_{j+1}^+ + S_j^- S_{j+1}^-) + \frac{1}{4} (S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-)$$

$$(2) S_j^y S_{j+1}^y = \frac{1}{2i} (S_j^+ - S_j^-) \frac{1}{2i} (S_{j+1}^+ - S_{j+1}^-)$$

$$= -\frac{1}{4} (S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+) + \frac{1}{4} (S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-)$$

Hamiltonian of the $\pm\gamma$ model
in terms of the spin operators

$$H = J \sum_{j=1}^N [((1+\gamma) S_j^+ S_{j+1}^- + (1-\gamma) S_j^- S_{j+1}^+)]$$

$$= \frac{J}{2} \sum_{j=1}^N [(S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-) + \gamma (S_j^+ S_{j+1}^+ + S_j^- S_{j+1}^-)]$$

$\gamma = 0 \rightarrow \chi Y - \text{model}$

↓ in terms of ^{fermion} spin operators

$$S_j^- S_{j+1}^+ = Q_j c_j c_{j+1}^+, Q_{j+1}^-$$

$$= C_j T_j C_{j+1}^+ \quad [\text{using commutation relationships}]$$

$$\begin{aligned} \cancel{\langle S_j T_j | + \rangle} &= -1 \rightarrow & \text{comp} & \langle C_j | + \rangle = 1 \rightarrow \\ \cancel{\langle S_j T_j | - \rangle} &= 0 & & \langle C_j | - \rangle = 0 \end{aligned}$$

$$C_j T_j = -c_j$$

$$[S_j^- S_{j+1}^+ = -c_j c_{j+1}^+ = C_{j+1}^+ c_j]$$

similarly

$$S_j^+ S_{j+1}^- = C_j^+ c_{j+1}$$

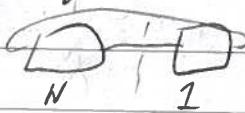
$$S_j^+ S_{j+1}^+ = C_j^+ c_{j+1}^+$$

$$S_j^- S_{j+1}^- = c_{j+1} c_j$$

For $j=N$, at the end of the series, for periodic boundary conditions:

$$S_N^+ S_1^- = Q_N^+ C_N^+ c_1 \neq C_N^+ c_1 = Q_N^+ c_N^+ + c_1^+ c_1$$

Subbing to the Hamiltonian



$$H = \frac{J}{2} \sum_{j=1}^N [(C_{j+1}^+ c_j + C_j^+ c_{j+1}) + \gamma (C_j^+ c_{j+1} + C_{j+1}^+ c_j)]$$

$$- \frac{J}{2} [(C_1^+ c_N + C_N^+ c_1) + \gamma (C_N^+ c_1 + C_1^+ c_N)] \quad \left. \begin{array}{l} \text{can be neglected in TL} \\ \text{limit that } N \rightarrow \infty \end{array} \right]$$

$$+ \frac{J}{2} Q_N [(C_N^+ c_1 + C_1^+ c_N) + \gamma (C_1^+ c_N + C_N^+ c_1)]$$

5412-spins can be arranged in a definite order.

- only neighbouring spins interact

- 2-components of spins cannot be entered.

in Am Phy 11, 47 (1961)

it is in matrix form

In textbook An intro to Quantum Spin Systems

$$\text{thus } \frac{e^{ik} + e^{-ik}}{2} = \cos(k)$$

Eg 6.27:

$$H = \frac{1}{2} \sum_{j=1}^N [(c_{j+1}^\dagger c_j + c_j^\dagger c_{j+1}) + i(c_j^\dagger c_{j+1}^\dagger + c_{j+1} c_j)]$$

- find quadratic Hamiltonian involving only fermion operator

Diagonalise to make use of the translational invariance by

Introducing Fourier transformed operators d_k & d_k^\dagger
discrete

→ diagonalize the Hamiltonian

final

$$d_k = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{-ikj} c_j$$

$$k \in [-\pi, \pi]$$

$$k = 2 \frac{j\pi}{N}$$

$$d_k^\dagger = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{ikj} c_j^\dagger$$

$$\lambda = (-\frac{N}{2} + 1), \dots, (\frac{N}{2})$$

Eigenvalue

$$d_{k_1} d_{k_2} - d_{k_1+k_2}$$

$$d_{k_1} d_{k_2} + d_{k_1+k_2} = 0$$

↓ reverse transform

$$c_j = \frac{1}{\sqrt{N}} \sum_k e^{ikj} d_k$$

using the properties
 $c_i^\dagger c_j^\dagger \rightarrow$

$$c_j^\dagger = \frac{1}{\sqrt{N}} \sum_k e^{-ikj} d_k^\dagger$$

d's are
fermion

using ~~orthogonality~~

$$\sum_j e^{i(k_1 - k_2)j} = N \delta_{k_1, k_2}$$



↓ rewriting each term of the Hamiltonian

$$\sum_j c_{j+1}^\dagger c_j = \sum_j \frac{1}{N} \sum_{k_1, k_2} e^{-ik_1(j+1)} e^{ik_2 j} d_{k_1}^\dagger d_{k_2}$$

$$= \frac{1}{N} \sum_{k_1, k_2} e^{-ik_1} N \delta_{k_1, k_2} d_{k_1}^\dagger d_{k_2}$$

↓ picking $k_1 = k_2 = k$

$$\Rightarrow \sum_k e^{-ik} d_k^\dagger d_k$$

$$\text{Similarly: } \sum_j c_j^\dagger c_{j+1} = \sum_k e^{ik} d_k^\dagger d_k \Rightarrow \sum_j c_{j+1}^\dagger c_j = \sum_k e^{-ik} d_k^\dagger d_k$$

$$\sum_j c_j^\dagger c_{j+1} = \sum_k e^{ik} d_k^\dagger d_{-k}$$

$$\sum_j c_{j+1} c_j = \sum_k e^{ik} d_k d_{-k}$$

in count of fermion operators

$$\rightarrow H = J \sum_k [\cos k (d_k^\dagger d_k) + \gamma \frac{e^{ik}}{2} (d_k^\dagger d_{-k}^\dagger + d_k d_{-k})]$$

↳ Bogoliubov transformation
↓
quasi-particle operators

- no coupling between states w/ diff $|k|$
- still need to diagonalize the coupled $k \& -k$ terms

(in momentum space) pg.

instead of summing $k \in [-\pi, \pi]$

↓ combine $k \& -k$

↳ summing $k \in [0, \pi]$

$$H = J \sum_{k=0}^{\pi} [\cos(k) (d_k^\dagger d_k + d_{-k}^\dagger d_{-k}) + \gamma \left[\frac{e^{ik}}{2} (d_k^\dagger d_{-k}^\dagger + d_k d_{-k}) + e^{-ik} (d_{-k}^\dagger d_k^\dagger + d_{-k} d_k) \right]]$$

using anticommutation relationships

$$H = J \sum_{k=0}^{\pi} [\cos k (d_k^\dagger d_k + d_{-k}^\dagger d_{-k}) + \gamma [\sin k (d_k^\dagger d_{-k}^\dagger + d_{-k} d_k)]]$$

↓

- only d_k and d_{-k}

diagonalize

d_k^\dagger and d_{-k}^\dagger

the Hamiltonian

↳ we need to look for two diff lin-cmb n_k & n_{-k}

anticommutation

w/ commutation
relationships

$$\{n_k, n_k\} = \{n_k^\dagger, n_k^\dagger\} = 0$$

$$\{n_{-k}, n_{-k}\} = \{n_{-k}^\dagger, n_{-k}^\dagger\} = 0$$

$$\{n_k, n_{-k}^\dagger\} = \{n_k^\dagger, n_{-k}\} = 0$$

$$\{n_k, n_{-k}^\dagger\} = \{n_{-k}, n_{-k}^\dagger\} = 1$$

$$\{n_k, n_{-k}\} = \{n_k^\dagger, n_{-k}^\dagger\} = 0$$

??

also fermion operator

$$\begin{cases} n_k = A_k d_k + B_k d_{-k}^\dagger \\ n_{-k} = C_k d_{-k} + D_k d_k^\dagger \end{cases}$$

$$|A_k|^2 + |B_k|^2 = 1$$

$$|C_k|^2 + |D_k|^2 = 1$$

$$A = J \sum_{k=0}^K [\cosh(d_k^+ d_k^- + d_{-k}^+ d_{-k}^-) + \gamma [\sinh(d_k^+ d_{-k}^+ + d_{-k}^- d_{-k})]]$$

tgt w/ lin comb of n_k, n_{-k} & d_k, d_{-k}

has the form below:

$$A = \sum_{k=0}^K [A_{1k} n_k^+ n_k^- + A_{2k} n_{-k}^+ n_{-k}^- + \underbrace{X_k}_{\text{constant}}]$$

7/6/2021

CMMMP Intern notes

- Classical \Rightarrow quantum

$$\underline{S} = \begin{pmatrix} S_x \\ S_y \\ S_z \end{pmatrix} \Rightarrow \hat{\underline{S}} = \begin{pmatrix} \hat{S}_x \\ \hat{S}_y \\ \hat{S}_z \end{pmatrix}$$

- acting on Hilbert space

|i> (ket)

<j| (bra)

- dot product

$$\langle j | i \rangle \in \mathbb{C}$$

$$- \langle i | = (| i \rangle)^*$$

trans + complex
conjugate

- Matrix element $A_{ij} = \langle j | \hat{A} | i \rangle \in \mathbb{C}$

- Commutator Relationships $\Rightarrow [\hat{A}, \hat{B}] = 0$ (commute)

$$[\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}]$$

$$[\hat{A}, \hat{A}] = 0$$

$$[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]^* [\hat{A}, \hat{C}] \quad (\text{linearity})$$

$$[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]^* \hat{C} + \hat{B}[\hat{A}, \hat{C}]$$

- Spin commutator relations (cyclic permutations)

$$[\hat{S}_x, \hat{S}_y] = i\hbar \hat{S}_z$$

$$[\hat{S}_y, \hat{S}_z] = i\hbar \hat{S}_x$$

$$[\hat{S}_z, \hat{S}_x] = i\hbar \hat{S}_y$$

$$[\hat{S}_x, \hat{S}_x] = 0$$

$$[\hat{S}_\alpha, \hat{S}_\beta] = i\hbar E_{\alpha\beta\gamma} \hat{S}_\gamma$$

$E_{\alpha\beta\gamma} \rightarrow$ non-zero only if
no two indices are the same

$$\text{e.g. } E_{xy} = 0$$

$$E_{xy} = E_{zy} = E_{zx} = 1$$

$$E_{yz} = E_{zy} = E_{xy} = -1$$

$$\hat{S}^2 |S_m\rangle = \hbar^2 S(S+1) |S_m\rangle$$

$$\hat{S}_z |S_m\rangle = \hbar m |S_m\rangle$$

Focusing on $S = \frac{1}{2}$

$$\begin{aligned} & \langle S, m \rangle \\ & := |\frac{1}{2}, \frac{1}{2}\rangle = |\uparrow\rangle \quad \left(\text{Hilbert space spanned by} \right) \\ & \quad |\frac{1}{2}, -\frac{1}{2}\rangle = |\downarrow\rangle \quad \left(\text{two states [both: 2 dim space]} \right) \end{aligned}$$

Pauli spin matrices:

What is the significance of
 \hat{S}_x & \hat{S}_y components?

$$\begin{aligned} \hat{S}_x |\uparrow\rangle &= \frac{\hbar}{2} |\downarrow\rangle & \hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} & \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ \hat{S}_x |\downarrow\rangle &= \frac{\hbar}{2} |\uparrow\rangle \end{aligned}$$

$$\hat{S}_y |\uparrow\rangle = -i \frac{\hbar}{2} |\downarrow\rangle$$

$$\hat{S}_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\hat{S}_z |\uparrow\rangle = \frac{\hbar}{2} |\uparrow\rangle$$

$$\hat{S}_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\hat{S}_z |\downarrow\rangle = -\frac{\hbar}{2} |\downarrow\rangle$$

defining: what does this mean??

$$\hat{S}_+ := \hat{S}_x + i \hat{S}_y \quad S_+ = \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\hat{S}_- := \hat{S}_x - i \hat{S}_y$$

$$S_- = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\hat{S}_+ |\downarrow\rangle = \hbar |\uparrow\rangle$$

$$\hat{S}_- |\downarrow\rangle = 0$$

$$\hat{S}_+ |\uparrow\rangle = \hat{S}_x |\uparrow\rangle + i \hat{S}_y |\uparrow\rangle = \frac{\hbar}{2} |\downarrow\rangle + i \left(-i \frac{\hbar}{2} |\downarrow\rangle\right) = \hbar |\downarrow\rangle$$

$$\hat{S}_- |\uparrow\rangle = \hat{S}_x |\downarrow\rangle - i \hat{S}_y |\downarrow\rangle = 0$$

Two-site problem:

$$\begin{matrix} \uparrow & \downarrow \\ \downarrow & \uparrow \end{matrix} \Rightarrow 2 \text{ arrangements}$$

classically

$$\text{AFM: } \uparrow\downarrow\uparrow\downarrow\uparrow\downarrow\uparrow\downarrow$$

$$\text{FM: } \uparrow\uparrow\uparrow\uparrow\uparrow\uparrow\uparrow$$

for two-interacting $S = \frac{1}{2}$ spins

$$\hat{H} = J \hat{\vec{S}}_1 \cdot \hat{\vec{S}}_2 \quad J > 0 : \text{AFM coupling}$$

Hilbert space is spanned by:

$$|\uparrow, \uparrow\rangle, |\uparrow, \downarrow\rangle, |\downarrow, \uparrow\rangle, |\downarrow, \downarrow\rangle$$

$$|12\rangle, |13\rangle, |14\rangle, |23\rangle, |24\rangle, |34\rangle$$

4D Hilbert space

Hilbert space grows exponentially w/ # of lattice sites, n

$$\hookrightarrow 2^n \text{ for spin } \frac{1}{2}$$

$$\hat{H} = J \left(\frac{1}{2} \hat{S}_1^+ \hat{S}_2^- + \frac{1}{2} \hat{S}_1^- \hat{S}_2^+ + \hat{S}_1^z \hat{S}_2^z \right)$$

$$\hat{H}|12\rangle = \hat{H}|\uparrow, \uparrow\rangle = \frac{\hbar^2}{2} J |\uparrow, \uparrow\rangle$$

$$\hat{H}|14\rangle = \hat{H}|\uparrow, \downarrow\rangle = \frac{\hbar^2}{4} J |\uparrow, \downarrow\rangle$$

$$\hat{H}|12\rangle = \hat{H}|\uparrow, \downarrow\rangle = \frac{\hbar^2}{2} J |\uparrow, \downarrow\rangle - \frac{\hbar^2}{4} J |\downarrow, \uparrow\rangle$$

$$\hat{H}|13\rangle = \hat{H}|\downarrow, \uparrow\rangle = \frac{\hbar^2}{2} J |\downarrow, \uparrow\rangle - \frac{\hbar^2}{4} J |\uparrow, \downarrow\rangle$$

$$\underline{H} = \frac{\hbar^2}{4} J \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 2 & 0 \\ 0 & 2 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

haven't used for a while.

Take some time to

familiarize

Diagonalizing: $A = \begin{pmatrix} -1 & 2 \\ 2 & -1 \end{pmatrix}$

$$\det \begin{pmatrix} -1-\lambda & 2 \\ 2 & -1-\lambda \end{pmatrix} = 0$$

$$(1+\lambda)^2 - 4 = 0$$

$$(1+\lambda)^2 = 4$$

$$1+\lambda = \pm 2$$

$$\lambda = \pm 2 - 1$$

$$\begin{aligned}\lambda_1 &= 1 \\ \lambda_2 &= -3\end{aligned}$$

$$D = \begin{pmatrix} 1 & 0 \\ 0 & -3 \end{pmatrix}$$

$$AK = \lambda K$$

$$\lambda_1 = 1 \quad \begin{pmatrix} -1 & 2 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix}$$

$$\begin{aligned}-a + 2b &= a \\ 2a - b &= b\end{aligned} \quad \left. \begin{aligned}a+b &= 1 \\ a &= 1\end{aligned} \right\} \quad V_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$L = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$\lambda_2 = -3$$

$$\begin{aligned}-a + 2b &= -3a \\ 2a - b &= -3b\end{aligned} \quad \left. \begin{aligned}2b &= -2a \\ 2a &= -3b\end{aligned} \right\} \quad \begin{aligned}a &= 1 \\ b &= -1\end{aligned}$$

$$V_2 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$LDL^{-1} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -3 \end{pmatrix} \begin{pmatrix} \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} \end{pmatrix}$$

$$AL = \begin{pmatrix} -1 & 2 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$= \begin{pmatrix} -1+2 & -1-2 \\ 2-1 & 2+1 \end{pmatrix} = \begin{pmatrix} 1 & -3 \\ 1 & 3 \end{pmatrix}$$

$$LD = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -3 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & -3 \\ 1 & 3 \end{pmatrix}$$

$$AL = LD \Rightarrow A = LDL^{-1}$$

5

Resulting Hamiltonian for the two site APM coupling problem:

$$\underline{H} = \frac{\hbar^2}{4} J \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -3 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

shouldn't ~~be~~

$$\underline{H}|12\rangle = \underline{H}|13\rangle \quad ???$$

$$E_1 \hat{H}|12\rangle = \frac{\hbar^2}{4} J |1,1\rangle$$

$$E_2 \hat{H}|14\rangle = \frac{\hbar^2}{4} J |1,1\rangle$$

$$E_3 \hat{H}|12\rangle = \frac{\hbar^2}{4} J |1,\downarrow\rangle$$

$$E_4 \hat{H}|13\rangle = \frac{\hbar^2}{4} J |\downarrow,1\rangle$$

$$\frac{\hbar^2}{4} J |1,\downarrow\rangle = \frac{2\hbar^2}{4} J |1,1\rangle - \frac{\hbar^2}{4} J |1,\uparrow\rangle$$

$$|2\rangle = |13\rangle - |12\rangle$$

$$|2\rangle = |13\rangle$$

$|2\rangle = |3\rangle \Rightarrow$ so need normalization?

$$-\frac{3}{4} |13\rangle = \frac{1}{2} |12\rangle - \frac{1}{4} |1\uparrow\rangle$$

$$-2|3\rangle = |12\rangle$$

$$|2\rangle = -|3\rangle$$

$$\underline{|2\rangle = \pm |3\rangle}$$

PhD

group start of some
non-integrable model

- (1) - (1) - (1)

need field theory

group

eigenvectors:

$$\Psi_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \Psi_4 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\Psi_2 = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \\ 0 \end{pmatrix} \quad \Psi_3 = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} \\ 0 \end{pmatrix}$$

$$\frac{\sqrt{2}}{2} (|1\downarrow\rangle + |1\uparrow\rangle)$$

$$\leftrightarrow \frac{1}{\sqrt{2}} (|1\downarrow\rangle - |1\uparrow\rangle)$$

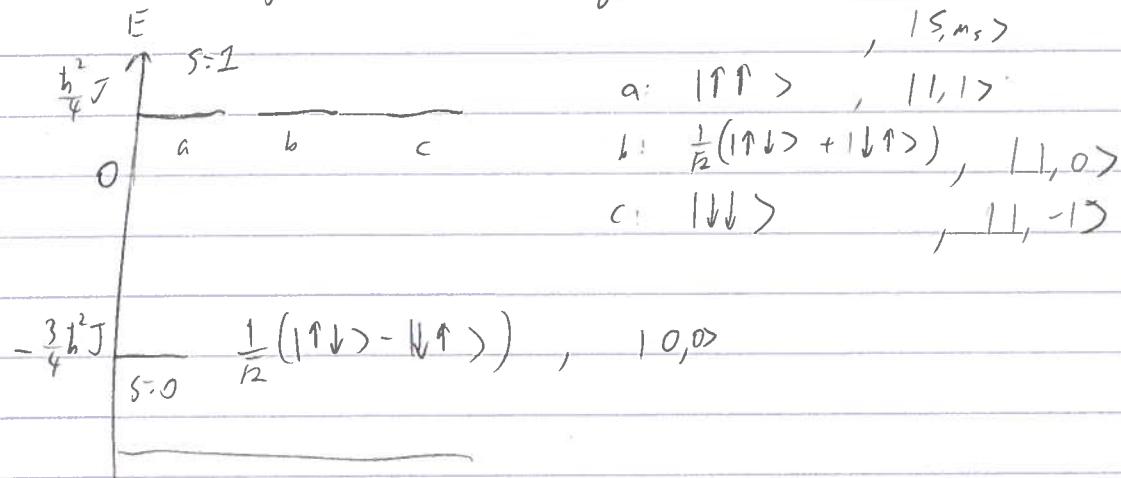
on oscillators
a[†], a

2nd quantisation

Zachari, Xanchar?

Resulting diagonalized Hamiltonian given us:

6



Heisenberg Antiferromagnetic Chain

$$APM : J > 0$$

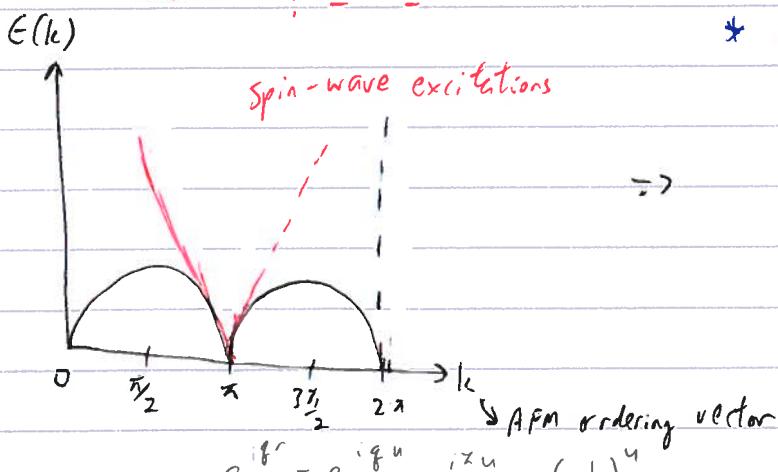
Haldane Conjecture:

$$S = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \Rightarrow \text{excitation is gapless}$$

$$\cdot \quad \cdot \quad \cdot \quad \cdot \quad \cdots \quad S = 1, 2, 3, \dots \Rightarrow \text{excitation spectrum}$$

is gapped

$$\hat{H} = J \sum \vec{S}_i \cdot \vec{S}_{i+1}$$



* Spin $\frac{1}{2}$ is special \Rightarrow no sharp spin-wave excitations

\Rightarrow there is an exact spectrum

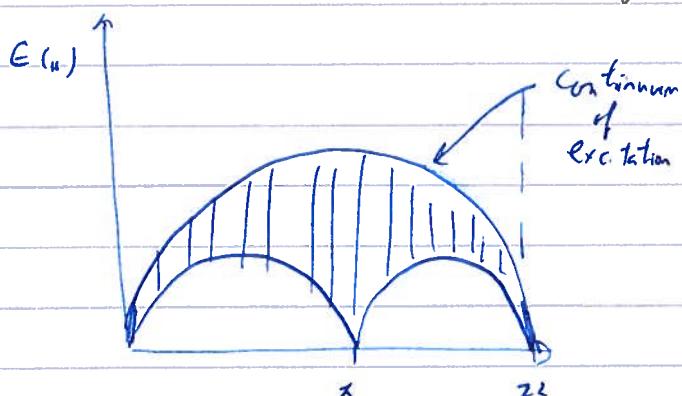
\hookrightarrow Bethe Ansatz (see other sheets)

\hookrightarrow Néel order (AFM order)

$$\uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow$$

\Rightarrow spin wave excitations

\hookrightarrow quasi-particles (magnons)



spin-wave excitations break up into pairs of "spins" (domain walls)

$$\uparrow \downarrow \uparrow \downarrow \uparrow \downarrow$$

$\Downarrow \Rightarrow S=1$ excitation, $\Delta S = 4J$

$$\uparrow \downarrow \uparrow \uparrow \downarrow \uparrow$$

domain walls are like fractionalised
quasiparticles that can propagate freely
(in a continuum.)

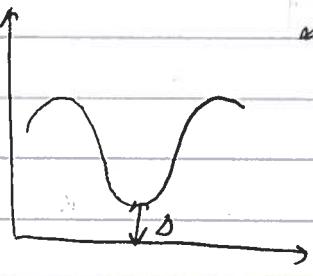
$$\uparrow \downarrow \downarrow \uparrow \downarrow \uparrow$$

$$S=\frac{1}{2}$$

determined exp. &

For the $S=1$ case:

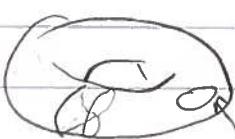
numerically &
other methods



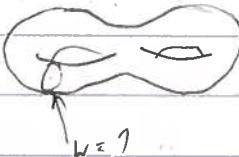
Δ : excitation \Rightarrow caused by topological order
 \hookrightarrow topological gap.

pretzel gear

Topological indices: (see other sheets) Terms $S=1$



rubber ring: $w=0$



winding number (w)
genus of a
manifold (g)

topological quantum states are potentially useful for quantum computing

\hookrightarrow - topological protection

\hookrightarrow small, smooth perturbations cannot change the topology

Generalisation of the Haldane model :

$$\hat{H} = J \sum_i \hat{\Sigma}_i \cdot \hat{\Sigma}_{i+1} + \beta J \sum_i (\hat{\Sigma}_i \cdot \hat{\Sigma}_{i+1})^2$$

\hookrightarrow biquadratic coupling

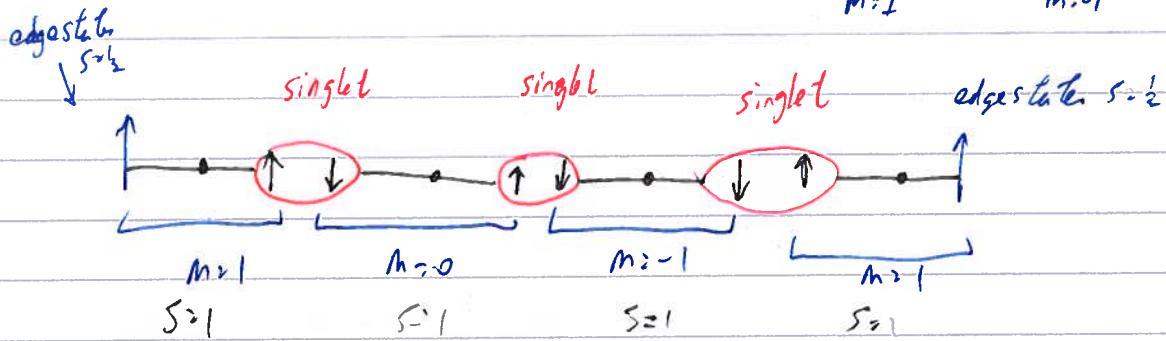
$\beta > 0$: Haldane model is non-integrable

$\beta = \frac{1}{2}$: AKLT model is integrable, w/ exact known ground state.

now we can write $S=1$ in terms of triplet state

$$\text{of two spin } \frac{1}{2} \quad \begin{array}{c} S=\frac{1}{2} \\ \uparrow \downarrow \end{array} \quad \begin{array}{c} S=\frac{1}{2} \\ \uparrow \downarrow \end{array} \quad \downarrow \downarrow \quad \frac{1}{2} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$M=2$ $M=1$ $M=0$



possible states

(as a rule of thumb) $+ - 0 0 + - 0 + -$

$+ 0 0 - 0 0 + + - 0 0 0 +$

\hookrightarrow Néel state $+ - + -$

w/ any number of "0" insertions \Rightarrow hidden order

\hookrightarrow can be linked to a string order parameter (see other notes)

\hookrightarrow (product of spin operators)

non-local operator
with finite product

\diamond ground state is non-magnetic (product of singlets)

\diamond magnetic excitations are gapped.

EXTRA:

The Harmonic Oscillator \Rightarrow Annihilation & Creation Operators Prof. J. J. Sakurai

Pg 89.

$$H = \frac{p^2}{2m} + \frac{mw^2x^2}{2}$$

$$\omega = \sqrt{\frac{k}{m}}$$

Def: two non-Hermitian operators: x & p are operators

$$a = \sqrt{\frac{mw}{2\hbar}} \left(x + \frac{ip}{mw} \right) ; \quad a^\dagger = \sqrt{\frac{mw}{2\hbar}} \left(x - \frac{ip}{mw} \right)$$

(annihilation
operator)

(creation
operator)

$$\begin{aligned} [a, a^\dagger] &= aa^\dagger - a^\dagger a = \frac{mw}{2\hbar} \left[\left(x + \frac{ip}{mw} \right) \left(x - \frac{ip}{mw} \right) - \left(x - \frac{ip}{mw} \right) \left(x + \frac{ip}{mw} \right) \right] \\ &= \frac{mw}{2\hbar} \left[\left(x^2 - \frac{x^2 p^2}{m^2 w^2} + \frac{ipx}{mw} \right) - \left(x^2 + \frac{x^2 p^2}{m^2 w^2} - \frac{ipx}{mw} \right) \right] \\ &= \frac{mw}{2\hbar} \left[-x^2 p^2 + ipx - x^2 p^2 - ipx \right] \\ &= \frac{i}{\hbar} [px - xp] = \frac{i}{\hbar} [p, x] = -\frac{i(\hbar)}{\hbar} = 1 \end{aligned}$$

$$\boxed{[a, a^\dagger] = 1}$$

Number operator $N = a^\dagger a$

$$\begin{aligned} a^\dagger a &= \frac{mw}{2\hbar} \left[\left(n^2 + \frac{p^2}{m^2 w^2} \right) + \frac{xip}{mw} - \frac{ipx}{mw} \right] \\ &= \frac{m^2 w^2 n^2}{2\hbar w} + \frac{p^2}{2\hbar mw} + \frac{i}{2\hbar} (xp - px) \\ &= \frac{1}{\hbar w} \left(\underbrace{\frac{m^2 w^2 n^2}{2}}_{H} + \frac{p^2}{2m} \right) + \frac{i}{2\hbar} \underbrace{[x, p]}_{i\hbar} \end{aligned}$$

$$N = a^\dagger a = \frac{H}{\hbar w} - \frac{1}{2} \quad \Rightarrow \quad H = \hbar w \left(N + \frac{1}{2} \right)$$

Some commutative relationship

aside :

$$[N, a] : [a^\dagger a, a] = a^\dagger [a, a] + [a^\dagger, a] a$$

$$[N, a] = -a$$

$$[N, a^\dagger] = a^\dagger$$

for some eigenstate $|n\rangle$ w/ eigenvalue n

$$N|n\rangle = n|n\rangle$$

$$H|n\rangle = (n + \frac{1}{2})\hbar\omega|n\rangle$$

⊗ $\hat{N}a^+|n\rangle = \underbrace{([N, a^+] + a^+N)}_{a^+}|n\rangle \Rightarrow a^+|n\rangle$ is an eigenket of N
 $= a^+(1+N)|n\rangle = (n+1)a^+|n\rangle \Rightarrow$ w/ eigenvalue $\uparrow\uparrow$ by 1 [creation]
∴ $\uparrow\uparrow$ one unit of $\hbar\omega$

⊗ $\hat{N}a|n\rangle = ([N, a] + aN)|n\rangle = (n-1)a|n\rangle \Rightarrow a|n\rangle$ is an eigenket of N

w/ eigenvalue $\downarrow\downarrow$ by 1

∴ $\downarrow\downarrow$ one unit of $\hbar\omega$
(annihilation)

↳ implies $a|n\rangle$ & $|n-1\rangle$
are the same,

up to a multiplicative constant, c .

$$a|n\rangle = c|n-1\rangle$$

for both $|n\rangle$ & $|n-1\rangle$ to be normalized. ~~why?~~

$$\langle n | a^\dagger a | n \rangle = |c|^2$$

$$n = |c|^2 \Rightarrow$$

for $c > 0$ and $c \in \mathbb{R}$ (by convention)

$$c = \sqrt{n}$$

$$a|n\rangle = \sqrt{n}|n-1\rangle$$

$$\hookrightarrow a^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$$

in the condition that

⊗ $n = \langle n | N | n \rangle = (E_n | a^\dagger |) \cdot (a | n \rangle) \geq 0$

EXTRA:

for $n=0$

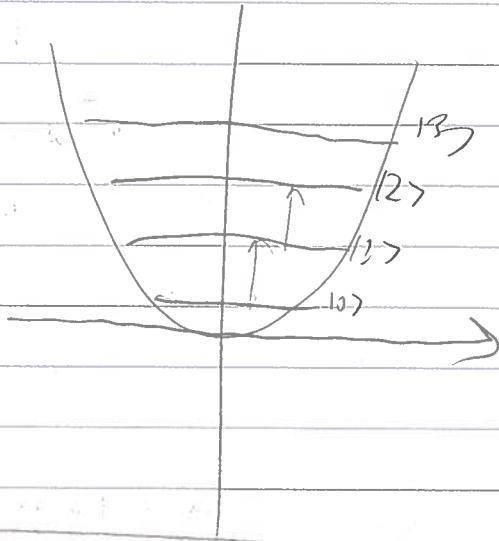
$$\hat{E}_0 = \frac{\hbar\omega}{2} \Rightarrow H|n\rangle = E_0|n\rangle = |0\rangle$$

apply the creation operator to $|0\rangle$

$$|1\rangle = a^\dagger|0\rangle$$

$$|2\rangle = \left(\frac{a^\dagger}{\sqrt{2}}\right)|1\rangle = \left(\frac{(a^\dagger)^2}{\sqrt{2!}}\right)|0\rangle$$

$$|n\rangle = \left[\frac{(a^\dagger)^n}{\sqrt{n!}}\right]|0\rangle$$



2nd Quantisation (by Frank S.悟 book: Condensed Matter Field Theory)

- for many body systems (A. Al'tland & K. Simons, 2010)
- for interpreting creation of quanta of energy by ladder operators (a^\dagger, a)

* indistinguishability of fermion & bosons:

under particle exchange wrt position n_1 & n_2

$$(\pi_1, \pi_2)$$

$$|\pi_1, \pi_2(n_1, n_2) = |\pi_1, \pi_2\rangle_{FB} \equiv \frac{1}{\sqrt{2}} (\langle n_1 | \pi_1 \rangle \langle n_2 | \pi_2 \rangle + \zeta \langle n_2 | \pi_2 \rangle \langle n_1 | \pi_1 \rangle)$$

$$= \frac{1}{\sqrt{2}} (|\pi_1\rangle \otimes |\pi_2\rangle + \zeta |\pi_2\rangle \otimes |\pi_1\rangle)$$

* permutation operator

$\zeta = +1$ for bosons

$\zeta = -1$ for fermions

$$P_{ij} \psi(n_1, \dots, n_i, \dots, n_j, \dots, n_n) = S \psi(n_1, \dots, n_i, \dots, n_j, \dots, n_n) \quad (\otimes : \text{antisymmetrisch/symmetrisch product})$$

$$\hat{P}_{ij} \hat{P}_{ji} = 1$$

Basis state for many particle states:

$$\Psi(n_1, \dots, n_N) = N \sum_p (-)^p \underbrace{\Psi_{\alpha_1(x_1)} \dots \Psi_{\alpha_N(x_N)}}_{\text{order of permutation}}$$

$$N = \frac{1}{\sqrt{N!}} \text{ for normalisation}$$

Orthonormal basis states

of a single particle

- Occupational No.

generally: $|n_1, n_2 \dots\rangle$

Fermions: 0, 1

Bosons: 0, 1, 2, ..., ∞

- Fock space: $\mathcal{F} \rightarrow$ set of states w/ All possible combinations of occupation numbers

Hilbert direct sum of tensor products of copies
of a single-particle Hilbert space H

$$\mathcal{F} = \bigoplus_{N=0}^{\infty} \mathcal{F}^N$$

direct sum

- some important commutation (anticommutation) relationships for fermions (bosons)

$$\text{from: } [\hat{c}_i^\dagger, \hat{c}_j^\dagger |0\rangle] = \pm [c_i^\dagger; c_j^\dagger |0\rangle]$$

$$[c_i, c_j^\dagger] = \delta_{ij} \quad \{c_i, c_j^\dagger\} = \delta_{ij}$$

$$[c_i, c_j] = 0 \quad \{c_i, c_j\} = 0$$

$$[c_i^\dagger, c_j^\dagger] = 0 \quad \{c_i^\dagger, c_j^\dagger\} = 0$$

- number operator: $n_\eta = c_\eta^\dagger c_\eta$

Signature of topology order:

finite system: surface states which are

9
Topologically protected

\Rightarrow for the Haldane chain: unpaired $S = \frac{1}{2}$ at the ends of the chain (edge states)

\hookrightarrow topological edge state are protected by the gap, Δ , of the bulk

\clubsuit topological order cannot be destroyed by perturbations that do not close the gap

@ topological phase transition: $\Delta = 0$

Important: going from $\beta = \frac{1}{3}$ ($\Delta > 0$) to $\beta = 0$, (Haldane model)

Δ remains finite all the way

\Rightarrow Haldane model is topological

\clubsuit In the project:

spin- $\frac{1}{2}$ model: using Jordan-Wigner Transformation to map onto a model of spinless fermions

- contains a string operator

[related to string operator which

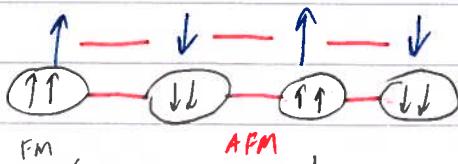
measures topological order]

- for the AKLT ($\beta = \frac{1}{3}$, $S = 1$) model, ground state is written in $S = \frac{1}{2}$ degrees of freedom
- \hookrightarrow why not start w/ $S = \frac{1}{2}$ model?

\curvearrowleft cook up a $S = \frac{1}{2}$ model, that in a certain regime, behaves as the Haldane model ($S = 1$)

$J_F \gg J_A$:

(behaves like a spin-1 object)



Haldane spin chain physics
 \clubsuit topological!

$$\begin{array}{c} \downarrow \\ \text{---} \\ \text{S}_{tot} = 0 \\ \equiv S_{tot} = 1 \end{array}$$

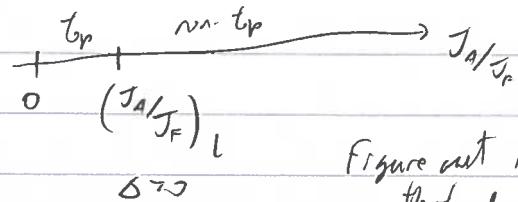


Figure out in which
regime the topological order
is.

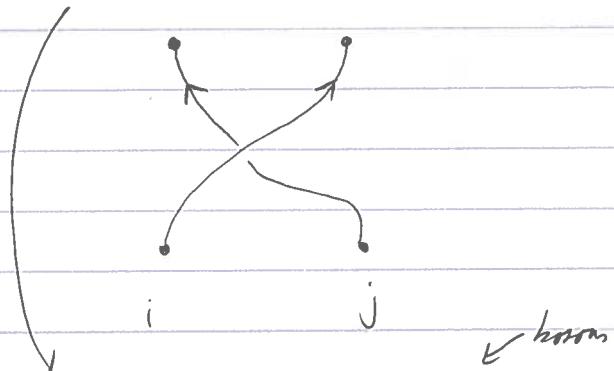
First quantised: $\Rightarrow S. E.$

\Rightarrow fixed particle no.

$\rightarrow N$ -particle wavefunctions that are eigenstates w/ energies

$$\epsilon_1 \leq \epsilon_2 \leq \epsilon_3 \dots \leq \epsilon_N$$

$$|\Psi(\dots, r_i, \dots r_j) |^2 = |\Psi(\dots, r_i, \dots r_i) |^2$$



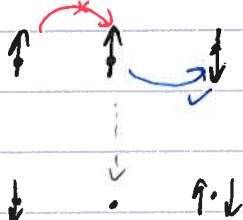
$$\Psi(\dots, r_i, \dots r_j, \dots) = \pm \Psi(\dots, r_j, \dots r_i, \dots)$$

Pauli principle:

$$\Psi(\dots, r_i, \dots r_i, \dots) = 0$$

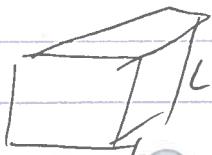
$\cancel{\text{No two fermions can occupy the same quantum state (as from chem)}}$

For fermions on a lattice ($c, \sigma = \uparrow, \downarrow$)



position ↑ spin ↑

$$\Psi(c + L\hat{e}_i) = \Psi(c)$$



$$\begin{aligned} e^{ik_x} e^{ik_y} e^{ik_z} \\ = e^{ik_L} \\ e^{ik_L} = 1 \end{aligned}$$

w/ periodic
boundary cond^t

in momentum space: $(k, \sigma = \uparrow, \downarrow)$

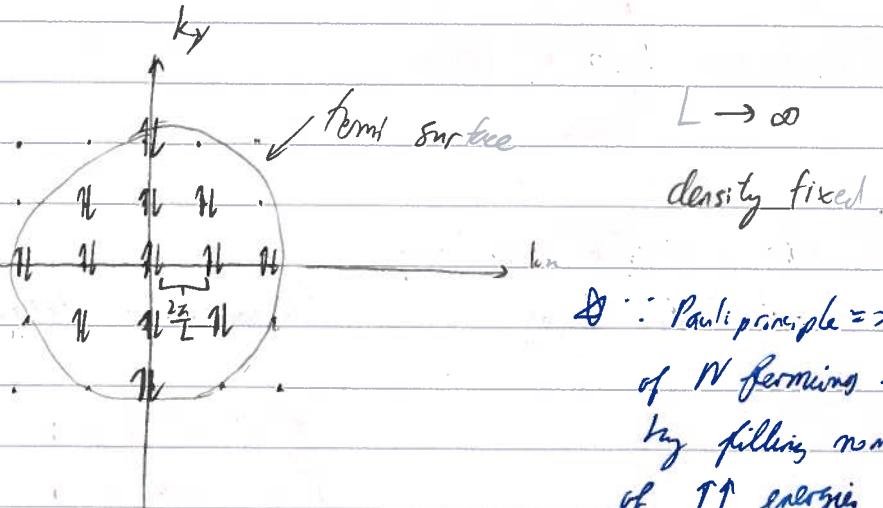
$$-\frac{\hbar^2}{2m} \nabla^2 \Psi(k) = \epsilon \Psi(k)$$

$$k_i = \frac{2\pi}{L} u \quad u \in \mathbb{Z}$$

$$\Psi(k) \propto e^{i k \cdot r} \quad (\text{plane wave state})$$

$$\epsilon = \frac{\hbar^2 k^2}{2m}$$

$d=2:$



As $L \rightarrow \infty$, density is fixed

⇒ Pauli principle \Rightarrow ground state of N fermions is obtained by filling momentum states of $\uparrow\downarrow$ energies
(filling the Fermi sea)

density is given by:

$$V = L^3 \quad \frac{2\pi}{L} = k$$

$$\rho = \frac{N}{V} = \frac{N_{\uparrow} + N_{\downarrow}}{V} = \frac{2}{V} \sum_{k}^{(k_F < k_F)} = \frac{2}{(2\pi)^3} \sum_{k}^{(k_F < k_F)} \frac{(k_F)^3}{k^3} \quad k^3 = \left(\frac{2\pi}{L}\right)^3$$

$$\sum_{k}^{(k_F < k_F)} \frac{2}{(2\pi)^3} d^3 k = \frac{2}{(2\pi)^3} \frac{4}{3} \pi k_F^3 = \frac{1}{3\pi^2} k_F^3 \quad L^3 = \frac{2\pi}{h^2}$$

$$k_F = (3\pi^2 \rho)^{\frac{1}{3}}$$

Spherical corr

$$E_F = \frac{\hbar^2 k_F^2}{2m} = (3\pi^2)^{\frac{2}{3}} \frac{\hbar^2}{2m} e^{\frac{2}{3}}$$

2nd Quantisation // wavefunctions \rightarrow operators

Hilbert space for all possible particle no.

\Rightarrow harmonic oscillator (0-d.m.) $|n\rangle = \hat{b}^\dagger n |0\rangle$

$$|0\rangle, |1\rangle, |2\rangle, \dots, \quad = \hbar\omega(b^\dagger b + \frac{1}{2}) |n\rangle \quad n = \hat{b}^\dagger b$$

$$|0 \dots 0\rangle_i^j$$

for the vacuum state:

$$|0\rangle = |0\rangle, \otimes |0\rangle_2 \otimes |0\rangle_3 \dots$$

(Fock space ??)

$$[b, b^\dagger] = 1 \quad \Leftrightarrow \quad [b b^\dagger - b^\dagger b] = 1$$

$$[b, b] = 0, [b^\dagger, b^\dagger] = 0$$

?

$$b_i^{\dagger} b_j |0\rangle = \begin{matrix} \leftarrow \text{bosons} \\ \pm b_j^{\dagger} b_i^{\dagger} |0\rangle \end{matrix}$$

↑ fermions
↓ i & j exchange

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$$b_i^{\dagger} b_j^{\dagger} |0\rangle = \pm b_j^{\dagger} b_i^{\dagger} |0\rangle \text{ translating to}$$

Commutator relationships for bosons \longleftrightarrow Anticommutator relationships for fermions

$$\begin{aligned} [b_i^{\dagger}, b_j^{\dagger}] &= 0 & \text{for all } i, j \\ [b_i, b_j] &= 0 \\ [b_i, b_j^{\dagger}] &= \delta_{ij} \end{aligned}$$

$$\begin{aligned} \{c_i^{\dagger}, c_j^{\dagger}\} &= 0 \\ \{c_i, c_j\} &= 0 \\ \{c_i, c_j^{\dagger}\} &= \delta_{ij} \end{aligned}$$

$$\text{e.g. } c_i^{\dagger} c_i^{\dagger} |0\rangle = -c_i^{\dagger} c_i^{\dagger} |0\rangle = 0$$

Hamiltonian in 2nd Quantisation (Pauli)

(d=1, fermions) $\sigma = \uparrow, \downarrow ; \tau = i$

$i \in \mathbb{Z}$ (lattice site)

$$\hat{H} = \frac{1}{2} \sum_i \sum_{\sigma} (c_{i+1,\sigma}^{\dagger} c_{i,\sigma} + c_{i,\sigma}^{\dagger} c_{i+1,\sigma}) + \text{h.c.}$$

\curvearrowright hermitian conjugate

interactions:

$$\hat{H}_{\text{int}} = U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} = U \sum_i c_{i\uparrow}^{\dagger} c_{i\uparrow} c_{i\downarrow}^{\dagger} c_{i\downarrow}$$

$$\begin{matrix} & \curvearrowleft & \curvearrowright \\ \curvearrowleft & & \curvearrowright \\ & i & i+1 \end{matrix} \Rightarrow \begin{matrix} & \curvearrowleft & \curvearrowright \\ \curvearrowleft & & \curvearrowright \\ & i & i+1 \end{matrix} + U$$

Hubbard Repulsion

Potential:

$$\hat{H} = \sum_{i\sigma} V_i \hat{n}_{i\sigma}$$

$$= \sum_{i\sigma} V_i c_{i\sigma}^{\dagger} c_{i\sigma}$$

- Basis of transformation:

$$\text{通常 } \propto \psi_p$$

position & momentum:

Jordan-Wigner Transformation:

(fermionize)

↳ for pairs of spins of diff sites.

✗ spin operators @ diff site commute

✗ fermion operators @ diff sites anticommute



Main idea: writing spin operators in terms of number, creation & annihilation operators

$$\hat{S}_m^+ = \hat{c}_m^\dagger \prod_{n \neq m} (1 - 2\hat{n}_n)$$

$$\hat{S}_m^+ = \hat{c}_m^\dagger e^{i\pi \sum_{n \neq m} \hat{n}_n}$$

$$\hat{S}_m^- = \prod_{n \neq m} (1 - 2\hat{n}_n) \hat{c}_m$$

$$\hat{S}_m^- = e^{-i\pi \sum_{n \neq m} \hat{n}_n} \hat{c}_m$$

$$\begin{aligned}\hat{S}_m^z &= \hat{n}_m - \frac{1}{2} \\ &= \hat{c}_m^\dagger \hat{c}_m - \frac{1}{2}\end{aligned}$$

$$\begin{aligned}\hat{c}_m^\dagger (1 - 2\hat{n}_m) &= -(1 - 2\hat{n}_m) \hat{c}_m^\dagger \\ \hat{c}_m (1 - 2\hat{n}_m) &= -(1 - 2\hat{n}_m) \hat{c}_m\end{aligned}$$

Third Quantisation \Rightarrow the Quantum Antiferromagnet (Ch. 79 Condensed Matter Field Theory)

$$H \sim J \sum_{(mn)} \hat{S}_m \cdot \hat{S}_n$$

$$J > 0$$

$$\begin{aligned}*[\hat{S}_m^+, \hat{S}_n^-] &= \hat{S}_m^+ \hat{S}_n^- - \hat{S}_n^- \hat{S}_m^+ = \hat{c}_m^\dagger \prod_{l \neq m} (1 - 2\hat{n}_l) \prod_{l \neq n} (1 - 2\hat{n}_l) \hat{c}_n \\ &\quad - \prod_{l \neq m} (1 - 2\hat{n}_l) \hat{c}_n \hat{c}_m^\dagger \prod_{l \neq m} (1 - 2\hat{n}_l)\end{aligned}$$

= 0

$$\begin{aligned}
 & \left[\hat{S}_m^+ \hat{S}_m^- \right] = \underbrace{\hat{C}_m^+ \frac{T}{2cm} (1-2\hat{n}_2)}_{f^+} \underbrace{\hat{C}_m^- \frac{T}{2cm} (1-2\hat{n}_2)}_{f^-} - \underbrace{\frac{T}{2cm} (1-2\hat{n}_2) \hat{C}_m^- \hat{C}_m^+ \frac{T}{2cm} (1-2\hat{n}_2)}_f \\
 & = \left[- \hat{C}_m^+ \frac{T}{2cm} (1-2\hat{n}_2) \hat{C}_m^- \frac{T}{2cm} (1-2\hat{n}_2) \right] - \left[- \hat{C}_m^- \frac{T}{2cm} (1-2\hat{n}_2) \hat{C}_m^+ \frac{T}{2cm} (1-2\hat{n}_2) \right] \\
 & = f^+ f - f f^+ = 2f^+ f \quad f^+ f - 1 + f^+ f = 2f^+ f = 2\hat{n} = 1 = 2S^2 \\
 & \{ f_i, f_i' \} = \delta_{ii} : 1 \\
 & \Rightarrow f_i f_i' - f_i' f = \pm \\
 & \quad - f_i f_i' + f_i' f^+ \\
 & \quad f_i f^+ - 1 + f^+ f
 \end{aligned}$$

$$(b). \quad \hat{S}_m^+ \hat{S}_{m+1}^- = f_m^+ f_{m+1}$$

$$\hat{S}_m^+ \hat{S}_{m+1}^- = f_m^+ e^{i\sum_{j \neq m} \hat{n}_j} e^{-i\sum_{j \neq m+1} \hat{n}_j} f_{m+1} = 1 \text{ (sum till } m)$$

$$\begin{aligned}
 & \text{Change of the} \\
 & \text{different} \\
 & \text{indices} \\
 & = f_m^+ e^{i\sum_{j \neq m} \hat{n}_j} e^{-i\sum_{j \neq m+1} \hat{n}_j} f_{m+1} = f_m^+ e^{i\sum_{j \neq m} \hat{n}_j} e^{-i\sum_{j \neq m+1} \hat{n}_j} e^{-i\sum_{j \neq m} \hat{n}_j} e^{i\sum_{j \neq m+1} \hat{n}_j} f_{m+1} \\
 & \quad \xrightarrow{\text{only } e^{-i\hat{n}_m} \text{ remains}} \quad \Rightarrow [\hat{n}_j, \hat{n}_l] = 0 \\
 & = f_m^+ e^{-i\hat{n}_m} f_{m+1} = f_m^+ f_{m+1}
 \end{aligned}$$

$$\begin{aligned}
 & e^{i\hat{n}_m} - 0 \\
 & = \cos(\pi \hat{n}_m) - i \sin(\pi \hat{n}_m) \\
 & \quad \boxed{= 1, -1} \\
 & \hat{n}_m = \{0, 1\}
 \end{aligned}$$

c)

Fermionic hopping & mapping

$$\hat{H} = \hat{H}_1 + \hat{H}_2$$

$$\hat{S}^n \Sigma = \begin{pmatrix} S_x \\ S_y \\ S_z \end{pmatrix}$$

Assuming the following form

~~\hat{H}_1~~

$$\hat{H}_1 = J \sum_m (\hat{S}_m \cdot \hat{S}_{m+1}) + \mu J \sum_m (\hat{S}_m \cdot \hat{S}_{m+1})^2$$

[biquadratic coupling using
1st order perturbation]

$$= \sum_m J \left[\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y + \hat{S}_m^z \hat{S}_{m+1}^z \right]$$

$$+ \mu J \left[\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y + \hat{S}_m^z \hat{S}_{m+1}^z \right]$$

$$\hat{S}_m^{\#} = \hat{S}_m^x + i\hat{S}_m^y$$

$$\hat{S}_m^z = \hat{S}_m^x - i\hat{S}_m^y$$

$$\hat{S}^x \hat{S}^x - \hat{S}^y \hat{S}^y = 2\hat{S}^z$$

$$\hat{H}_1 = \sum_n \left[J_z (\hat{S}_n^z \hat{S}_{n+1}^z) + \frac{J_L}{2} (\hat{S}_n^+ \hat{S}_{n+1}^- + \hat{S}_n^- \hat{S}_{n+1}^+) \right]$$

$$\{f_i, f_{i+1}^\dagger\} = 0$$

$$f_i f_{i+1}^\dagger = f_i^\dagger f_{i+1}$$

using:

$$\begin{cases} [\hat{S}^+, \hat{S}^-] = 2\hat{S}^z \\ \hat{S}^+ \hat{S}^- = f_n^\dagger f_{n+1} \end{cases}$$

& Jordan-Wigner transformation

$$\hat{H}_1 = \sum_n \left[J_z (f_n^\dagger f_{n+1} - \frac{1}{2})(f_n^\dagger f_{n+1}^\dagger - \frac{1}{2}) \right] + \left[\frac{J_L}{2} (f_n^\dagger f_{n+1}^\dagger + f_n f_{n+1}^\dagger) \right]$$

$$\hat{H}_1 = \sum_n J_z (f_n^\dagger f_{n+1}^\dagger f_n^\dagger f_{n+1} - f_n^\dagger f_{n+1}^\dagger + \frac{1}{4})$$

$$+ \frac{J_L}{2} (f_n^\dagger f_{n+1}^\dagger + h.c.)$$

↙ But how to map?

for XY-model, non-interacting tight-binding

$$\hat{H} = \sum_n \frac{J_L}{2} (f_n^\dagger f_{n+1} + f_n f_{n+1}^\dagger)$$

~~\hat{H}_2~~ ↗ Diagonalizing using discrete Fourier Transform.

Probability eigenvalue in Fourier k space

$$\hat{H} = \frac{J}{2} \sum_n (f_n^+ f_{n+1} + f_n f_{n+1}^+)$$

$$\hat{H} = \frac{J}{2} \sum_n (f_n^+ f_{n+1}) \begin{pmatrix} 0 & \epsilon(k) \\ \epsilon(k) & 0 \end{pmatrix} \begin{pmatrix} f_n \\ f_{n+1} \end{pmatrix}$$

$$d_k = \frac{1}{\sqrt{N}} \sum_{n=1}^N e^{-ik_n} f_n \quad f_n = \frac{1}{\sqrt{N}} \sum_{k=1}^N e^{ik_n} d_k$$

$$d_k^+ = \frac{1}{\sqrt{N}} \sum_{n=1}^N e^{-ik_n} f_n^+ \xrightarrow{\text{reverse transformation}} f_n^+ = \frac{1}{\sqrt{N}} \sum_{n=1}^N e^{-ik_n} d_n^+$$

$$\Phi \sum_j e^{i(k_i - k_j)} \rightarrow N \delta_{k_i, k_j}$$

~~OK~~

rewriting each term of the Hamiltonian.

ke

$$\sum_n f_n^+ f_{n+1} = \sum_k e^{ik} d_k^+ d_k$$

$$\sum_n f_n f_{n+1}^+ = \sum_k e^{-ik} d_k^+ d_k$$

$$\text{Ansatz: } \epsilon(k) = J \cos(k d_k^+ d_k) = J \cos(ka)$$

OR

$$\hat{H} = \sum_{ij} H_{ij} \hat{a}_i^+ \hat{a}_j = \sum_{ij} \underbrace{H_{ij}}_{\text{Hermitian matrix}} a_i^+ a_j$$

\Rightarrow Hermitian matrix \Rightarrow to real & can be diagonalized by a unitary transformation to a new basis

$$\hat{a}_i^+ = U_{ij} \hat{a}_j^+ \Rightarrow \text{creation operator on a basis: } \hat{a}_j^+ = U_{ji}^+ \hat{a}_i^+$$

$$\hat{H} = \sum_{ij} H_{ij} a_i^+ a_j$$

$$= \sum_{ijk} H_{ij} U_{ik} \hat{a}_k^+ a_j U_{kj}^+ = \sum_{kl} \sum_{ij} H_{ij} U_{ik} U_{lj}^+ \hat{a}_k^+ a_l \stackrel{k=l}{=} \sum_l \epsilon_l \hat{a}_l^+ \hat{a}_l$$

$$\Rightarrow (U^\dagger H U)_{kk}$$

Φ finding a Unitary rotation that diagonalizes \hat{H}

Diagonalise it:

$$\tilde{A} = \frac{\pi}{2} \sum_n (f_n^+ f_{n+1}^- + f_n^- f_{n+1}^+)$$

$$\Rightarrow \tilde{A} = \frac{\pi}{2} \sum_n (f_n^+ f_{n+1}^-) \begin{pmatrix} 0 & e(k) \\ e(k) & 0 \end{pmatrix} \begin{pmatrix} f_n \\ f_{n+1} \end{pmatrix}$$

try: $\det \begin{vmatrix} 0 & e(k) \\ e(k) & 0 \end{vmatrix} = 0$

$$-e^2(k) = 0$$

$$e^2(k) = 0$$

Trivially $e(1) = 0$

non-trivial solution:

$$e(k) = b(e^{ik}) = 0$$

$$= Re(\cos(\frac{ka}{2}) + i \sin(\frac{ka}{2}))$$

$$k = \frac{\pi n}{2}$$

$$e(k) = \cos(\frac{\pi n}{2})$$

$$ka = \frac{\pi n}{2}$$

$$k = \frac{\pi n}{2a}$$

??

side part

~~$(f_n^+ f_{n+1}^-) (E_k f_n)$~~

~~$E_k f_n$~~

$\Rightarrow f_n^- f_{n+1}^- + f_{n+1}^- f_n$

$$(f_{n+1}^+ e(k) - f_n^+ e(k)) \begin{pmatrix} f_n \\ f_{n+1} \end{pmatrix}$$

kind of
like a dot
product

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Jordan-Wigner Transformation

1D, spin $\frac{1}{2}$

Transform to toy Hamiltonian

$$\hat{H} = J \sum_i (\hat{S}_i \cdot \hat{S}_{i+1} + \alpha \hat{S}_i^z \hat{S}_{i+1}^z)$$

$\alpha \Rightarrow$ anisotropy term

$\alpha = 0$ isotropic case

$J > 0$ AFM



XXZ chain

ground state: Néel AFM $\uparrow\downarrow\uparrow\downarrow$

$$J_x = J_y = J \quad J_z = (1+\alpha)J$$

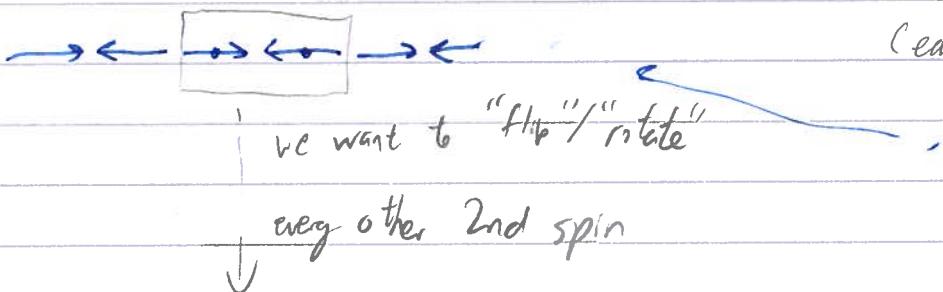
$\alpha > 0$: spins along z-axis $\uparrow\downarrow\uparrow\downarrow$

(easy-axis anisotropy)

$\alpha < 0$: spins in the xy plane $\rightarrow\leftarrow\rightarrow\leftarrow$

(easy-plane anisotropy)

unit cell contains
two spins



$\rightarrow\rightarrow\rightarrow\rightarrow\rightarrow$ (1 site per unit cell)

Using transformations of operators, which also satisfies with the commutator relations signs

$e^{ixn} \ n \in \mathbb{Z}$

$$T_i^x = (-1)^i \hat{S}_i^x$$

$$T_i^y = \hat{S}_i^y$$

$$T_i^z = (-1)^i \hat{S}_i^z$$

$\Rightarrow T^{\alpha}$ are proper spin $\frac{1}{2}$ operators

$$[S_i^x, S_j^y] = i \hbar \hat{S}_i^z$$

$$\Rightarrow [T_i^x, T_j^y] = (-1)^i [\hat{S}_i^x, \hat{S}_j^y] = (-1)^i i \hbar \hat{S}_i^z = i \hbar \frac{\alpha^2}{|i|}$$

$$1, \begin{aligned} \hat{T}^+ &= \hat{T}^x + i \hat{T}^y \\ \hat{T}^- &= \hat{T}^x - i \hat{T}^y \end{aligned} \quad \Leftrightarrow \quad \begin{aligned} \hat{T}^x &= \frac{1}{2} (\hat{T}^+ + \hat{T}^-) \\ \hat{T}^y &= \frac{1}{2i} (\hat{T}^+ - \hat{T}^-) \end{aligned} \quad \int (*^{\alpha} \alpha^k)$$

Spin $\frac{1}{2}$ operator \iff spinless fermion

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$$\hat{T}^z | \frac{1}{2}, m \rangle = m | \frac{1}{2}, m \rangle$$

$$m = \pm \frac{1}{2} \quad (\hbar = 1)$$

$$\hat{T}^z |\uparrow\rangle = \frac{1}{2} |\uparrow\rangle$$

$$\hat{T}^z |\downarrow\rangle = -\frac{1}{2} |\downarrow\rangle$$

states of spinless fermion

$|0\rangle$: no fermion

$|1\rangle$: one fermion

nothing else \vdash Pauli principle

$$\hat{T}^+ |\uparrow\rangle = 0$$

$$\hat{T}^+ |\downarrow\rangle = |\uparrow\rangle$$

$$\hat{T}^- |\downarrow\rangle = 0$$

$$\hat{T}^- |\uparrow\rangle = |\downarrow\rangle$$

$$\hat{c}^+ |1\rangle = 0$$

$$\hat{c}^+ |0\rangle = |1\rangle$$

$$\hat{c}^- |0\rangle = 0$$

$$\hat{c}^- |1\rangle = |0\rangle$$

$n = \hat{c}^\dagger \hat{c}$ (occupation number operator)

identifying: $|\uparrow\rangle \hat{=} |0\rangle$

$|\downarrow\rangle \hat{=} |1\rangle$

$$\hat{T}^z = \frac{1}{2} - \hat{c}^\dagger \hat{c}$$

$$\hat{T}^+ = \hat{c}$$

$$\hat{T}^- = \hat{c}^\dagger$$

works on single site:

\Rightarrow & assuming c, c^\dagger satisfy:

$$\{c, c\} = \{c^\dagger, c^\dagger\} = 0, \{c_i, c_j^\dagger\} = \delta_{ij}$$

single site @ the moment

$$[\hat{T}^x, \hat{T}^y] = \frac{1}{4} [\hat{T}^+ + \hat{T}^-, \hat{T}^+ - \hat{T}^-] \stackrel{\text{linearity}}{=} \frac{1}{4} [- [\hat{T}^+, \hat{T}^-] + [\hat{T}^-, \hat{T}^+]] \stackrel{\substack{\text{operator} \\ \text{commutes} \\ \text{with itself}}}{=} \frac{1}{2} \{ \hat{c}^\dagger \hat{c}, \hat{c}^\dagger \hat{c} \} = 1$$

$$= \frac{1}{2i} [\hat{T}^-, \hat{T}^+]$$

$$= \frac{1}{2i} [\hat{T}^+ \hat{T}^- - \hat{T}^+ \hat{T}^-] = \frac{1}{2i} [\hat{c}^\dagger \hat{c} - \underbrace{\hat{c}^\dagger \hat{c}^\dagger}_{1-\hat{c}^\dagger \hat{c}}] = \frac{1}{2i} (2\hat{c}^\dagger \hat{c} - 1) \times \frac{1}{i}$$

$$= i \left(\frac{1}{2} - \hat{c}^\dagger \hat{c} \right) = i \hat{T}^z$$

\hat{T}

\otimes spin operator on different sites commute
fermion operators anti-commute.

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$$(*) \quad [\hat{T}_i^\alpha, \hat{T}_j^\beta] = i\delta_{ij} \epsilon_{\alpha\beta\gamma} \hat{T}_j^\gamma$$

$$[\hat{T}_i^+, \hat{T}_j^-] = [\hat{T}_i^n + i\hat{T}_i^y, \hat{T}_j^n - i\hat{T}_j^y] = -i[\hat{T}_i^n, \hat{T}_j^y] + i[\hat{T}_i^y, \hat{T}_j^n]$$

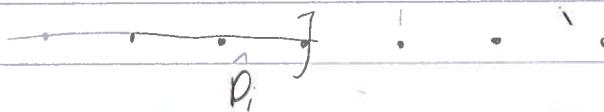
$$= -i[\hat{T}_i^n, \hat{T}_j^y] - i[\hat{T}_i^y, \hat{T}_j^n] = 2\delta_{ij} \hat{T}_i^z$$

$$(*) \quad \begin{cases} \{c_i, c_j\} = \{c_i^+, c_j^+\} = 0 \\ \{c_i, c_j^+\} = \delta_{ij} \end{cases}$$

for different sites:
 $[\hat{T}_i^\alpha, \hat{T}_j^\beta] = 0 \quad [\hat{T}_i^+, \hat{T}_j^-] = 0$
 $\{c_i, c_j\} = \{c_i^+, c_j^+\} = \{c_i, c_j^+\} = 0$

repairing w/ string operator: (JW transformation)

$\hat{T}_i^z = \frac{1}{2} - \hat{c}_i^+ \hat{c}_i^-$ $\hat{T}_i^+ = \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-) \hat{c}_i^+$ $\hat{T}_i^- = \hat{c}_i^+ \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-)$	string operator	$\hat{D}_i^+ = \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-)$
---	-----------------	---



Same site:

$$[\hat{c}_i, \hat{D}_i] = \hat{c}_i \hat{D}_i - \hat{D}_i \hat{c}_i = \underbrace{\hat{c}_i \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-)}_{\hat{A}} - \hat{D}_i \hat{c}_i$$

$$= \underbrace{\prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-)}_{\hat{A}} \hat{c}_i - \hat{D}_i \hat{c}_i = 0$$

$$[\hat{c}_i, \hat{D}_i] = 0 ; [\hat{c}_i^+, \hat{D}_i] = 0 ; \hat{D}_i^+ = \hat{D}_i$$

$$\hat{c}_i \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-) = \prod_{l \neq i} (\hat{c}_l - 2\hat{c}_l^+ \hat{c}_l^-)$$

$$= \sum_{l \neq i} \hat{c}_l - \hat{c}_i^+ \hat{c}_i^-$$

$$\hat{D}_i^2 = \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-) \prod_{l \neq i} (1 - 2\hat{c}_l^+ \hat{c}_l^-)$$

$$= \prod_{l \neq i} (1 - 4\hat{c}_l^+ \hat{c}_l^- + 4\hat{c}_l^+ \hat{c}_l^- \hat{c}_l^- \hat{c}_l^+)$$

$$= \prod_{l \neq i} (1 - 4\hat{c}_l^+ \hat{c}_l^- + 4\hat{c}_l^+ \hat{c}_l^- - 4\hat{c}_l^+ \hat{c}_l^- \hat{c}_l^- \hat{c}_l^+)$$

$$= 1 \quad [\hat{D}_i^2 = 1]$$

$$\hat{D}_i \hat{D}_j = \prod_{l \neq i,j} (1 - 2\hat{c}_l^+ \hat{c}_l^-)$$

For neighbouring lattice sites:

$$\hat{D}_i \hat{D}_{i+1} = 1 - 2\hat{c}_i^+ \hat{c}_i^-$$

If \hat{c}_i, \hat{c}_i^+ satisfy fermion anti-commutation relations (*), then the operator \hat{T}_i^α defined by JW \Rightarrow satisfies the spin commutator relationships (**).

Things to do:

① For $i < j$, check that $[\hat{T}_i^+, \hat{T}_j^-] = 0$

② Rewrite Hamiltonian in terms of \hat{c}_i^+, \hat{c}_i^- , & simplify as much as possible.

Rewriting Hamiltonian:

$$\begin{aligned}
 \hat{H} &= J \sum_i (\hat{S}_i \cdot \hat{S}_{i+1} + \alpha \hat{S}_i^z \cdot \hat{S}_{i+1}^z) \\
 &= J \sum_i ((-1)^{\hat{T}_i^x} (-1)^{\hat{T}_{i+1}^x} \frac{\hat{T}_i^y}{\hat{T}_i^z} + \hat{T}_i^y \hat{T}_{i+1}^y - (1+\alpha) \hat{T}_i^z \hat{T}_{i+1}^z) \\
 &= -J \sum_i (\hat{T}_i^x \hat{T}_{i+1}^x - \hat{T}_i^y \hat{T}_{i+1}^y + (1+\alpha) \hat{T}_i^z \hat{T}_{i+1}^z) \\
 (***) &\Rightarrow -J \sum_i \left\{ \frac{1}{2} (\hat{T}_i^+ \hat{T}_{i+1}^- + \underbrace{\hat{T}_i^- \hat{T}_{i+1}^+}_{\text{h.c.}}) + (1+\alpha) \hat{T}_i^z \hat{T}_{i+1}^z \right\} \\
 &= -J \sum_i \left\{ \frac{1}{2} \left(\underbrace{\hat{D}_i^\dagger \hat{c}_i^\dagger \hat{c}_{i+1}^\dagger \hat{D}_{i+1}}_A + \underbrace{\hat{c}_{i+1}^\dagger \hat{D}_{i+1}^\dagger \hat{D}_i^\dagger \hat{c}_i}_B \right) + (1+\alpha) \left(\frac{1}{2} - \underbrace{\hat{c}_i^\dagger \hat{c}_i}_C \right) \left(\frac{1}{2} - \underbrace{\hat{c}_{i+1}^\dagger \hat{c}_{i+1}}_C \right) \right\}
 \end{aligned}$$

$$[\hat{T}_i^+, \hat{T}_{i+1}^-] = 0$$

$$\hat{T}_i^+ \hat{T}_{i+1}^- = \hat{T}_{i+1}^- \hat{T}_i^+ \quad \hat{T}_i^- \hat{T}_{i+1}^+ = \hat{T}_{i+1}^+ \hat{T}_i^- \quad C = \frac{1}{4} - \frac{1}{2} (\hat{c}_i^\dagger \hat{c}_i + \hat{c}_{i+1}^\dagger \hat{c}_{i+1}) + \hat{c}_i^\dagger \hat{c}_{i+1}^\dagger \hat{c}_{i+1} \hat{c}_i$$

$$\begin{aligned}
 A &= \hat{D}_i^\dagger \hat{c}_i^\dagger \hat{c}_{i+1}^\dagger \hat{D}_{i+1} = \hat{c}_i^\dagger \hat{D}_i \hat{D}_{i+1}^\dagger \hat{c}_{i+1} \\
 &= c_i^\dagger \underbrace{\hat{D}_i \hat{D}_{i+1}^\dagger}_{1-2c_i^\dagger c_i} c_{i+1}^\dagger \quad c_i^\dagger c_i + c_{i+1}^\dagger c_{i+1} = 1 \\
 &= c_i^\dagger (1-2c_i^\dagger c_i) c_{i+1}^\dagger \\
 &= (c_i^\dagger - 2c_i^\dagger c_i c_{i+1}^\dagger) c_{i+1}^\dagger \\
 &= c_i^\dagger c_{i+1}^\dagger - 2 \underbrace{c_i^\dagger c_i^\dagger c_i c_{i+1}^\dagger}_{1-c_i^\dagger c_i} = c_i^\dagger c_{i+1}^\dagger - 2(1-c_i^\dagger c_i)(c_i^\dagger c_{i+1}^\dagger)
 \end{aligned}$$

$$\begin{aligned}
 B &= \underbrace{c_i^\dagger \hat{D}_i \hat{D}_{i+1}^\dagger}_{(1-2c_i^\dagger c_i)} c_{i+1} \\
 &= \underbrace{c_i^\dagger (1-2c_i^\dagger c_i)}_{=0} c_{i+1}
 \end{aligned}$$

$$= (c_i^\dagger - 2c_i^\dagger c_i c_{i+1}^\dagger) c_{i+1}$$

$$= c_i^\dagger c_{i+1}^\dagger - 2 \underbrace{c_i^\dagger c_i^\dagger c_i c_{i+1}^\dagger}_{=0}$$

$$B = c_i^\dagger c_{i+1}^\dagger$$

$$\begin{aligned}
 C &= \frac{1}{4} - \frac{1}{2} c_i^\dagger c_i - \frac{1}{2} c_{i+1}^\dagger c_{i+1} - c_i^\dagger c_{i+1}^\dagger c_i c_{i+1} \\
 &= \frac{1}{4} - \frac{1}{2} (c_i c_i^\dagger - 1) - \frac{1}{2} (c_{i+1} c_{i+1}^\dagger - 1) - c_i^\dagger c_{i+1}^\dagger c_i c_{i+1} \\
 &= \frac{1}{4} - \frac{1}{2} c_i c_i^\dagger + \frac{1}{2} - \frac{1}{2} c_{i+1} c_{i+1}^\dagger + \frac{1}{2} - c_i^\dagger \underbrace{c_{i+1}^\dagger c_i c_{i+1}}_1 \\
 &= \frac{1}{4} + 1 - \frac{1}{2} (c_i c_i^\dagger + c_{i+1} c_{i+1}^\dagger) -
 \end{aligned}$$

Checking $[T_i^+, T_j^-] = 0 \quad i < j$

$$[D_i c_i, D_j c_j^+] = \underbrace{D_i c_i D_j c_j^+}_{=0} - \underbrace{D_j c_j^+ D_i c_i}_{(1-2c_i^+ c_i) c_j^+} = (c_j^+ - 2c_i^+ c_i c_j^+)$$

$$c_i (1 - 2c_i^+ c_i) = c_i - 2c_i + \underbrace{2c_i^+ c_i}_{=0} = -c_i$$

$$\text{if } i < j \Rightarrow c_i (1 - 2c_j^+ c_j) = (1 - 2c_j^+ c_j) c_i$$

$$[T_i^-, c_i] = c_i = -D_i c_i c_i^+ - (c_i^+ - 2c_i^+ c_i c_i^+) D_i c_i$$

$$\{c_i, c_j^+\} = c_i c_j^+ + c_j c_i^+ = -D_i c_i c_j^+ - (\underbrace{c_j^+ D_i c_i}_{=0} - 2c_i^+ c_i c_j^+ \underbrace{D_i c_i}_{=0}) = -D_i c_i c_j^+ - (+D_i \underbrace{c_j^+ c_i}_{=0} + 2c_i^+ \underbrace{c_i c_j^+}_{=0} c_i D_i)$$

\neq

$$= -D_i c_i c_j^+ - (D_i c_i c_j^+ - \underbrace{2c_i^+ c_j^+ c_i c_i D_i}_{=0})$$

$$\frac{1}{4} + \sum_i \underbrace{\left(\hat{n}_{i+1} - \frac{1}{2} (\hat{n}_{i+1} + \hat{n}_i) \right)}_{\text{repulsion}}$$

total \hat{n}

degen bands

for new Hamiltonian

$$\sum_i \hat{n}_i \hat{n}_{i+1} = n_1 n_2 + n_2 n_3 + \dots + n_i n_{i+1}$$

$$\sum_i^N \hat{n}_{i+1} = n_2 + n_3 + n_4 + \dots + n_{N+1} = \sum_{i=1}^N n_i + n_2 + n_3 + n_4 + n_5 + \dots + n_{N+1} = N$$

$$\sum_i$$

$$\sum_i \hat{n}_{i+1}$$

$$n = \sum_i n_i$$

$$i \rightarrow k$$

$$k = i+1$$

$$\sum_i^N \hat{n}_i + \hat{n}_{N+1}$$

$$i = k-1$$

$$\frac{1}{4} + \sum_i \hat{n}_i (n_i + \hat{n}_{i+1}) - \frac{1}{2} \hat{n}_i - \frac{1}{2} \hat{n}_{N+1} - \frac{1}{2} \hat{n}_i$$

$$\begin{aligned}
 A &= c_i c_{i+1}^T - 2 c_i c_i^T c_i c_{i+1}^T = (c_i c_{i+1}^T - 2 c_i (1 - c_i c_i^T) c_{i+1}^T) = c_i c_{i+1}^T - (2 c_i + 2 c_i c_i^T) c_{i+1}^T \\
 &\quad = c_i c_{i+1}^T - 2 c_i c_{i+1}^T - 2 c_i c_i^T c_{i+1}^T \\
 &\quad = -c_i c_{i+1}^T - 2 c_i c_i^T c_{i+1}^T
 \end{aligned}$$

Final Hamiltonian:

$$\hat{H} = -\frac{J}{2} \sum_i (c_{i+1}^T c_i + c_i^T c_{i+1})$$

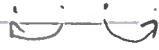
$$+ (1+\alpha) J \sum_i (\frac{1}{2} - \hat{n}_i) (\frac{1}{2} - \hat{n}_{i+1})$$

contain \hat{n}_i, \hat{n}_{i+1}

non-interacting

terms: density terms: $\partial_i^T c_i = \hat{n}_i$

- anomalous terms: $c_i^T c_{i+1}^T + c_{i+1} c_i$
(don't conserve particle number)



interactions:

$\hat{n}_i \hat{n}_{i+1} =$ cannot solve the problem analytically:
→ variational method

→ perturbation theory

→ mean-field theory

$\alpha = -1$: non-interacting system only \rightarrow component of spin: XY spins

$$\Rightarrow \hat{H} = -\frac{J}{2} \sum_i (c_{i+1}^T c_i + c_i^T c_{i+1}) \quad [\text{tight binding Hamiltonian}]$$

VJ

$$\hat{H} = -\frac{J}{2} \sum_i (c_{i+1}^\dagger c_i + c_i^\dagger c_{i+1})$$

FT $c_x^\dagger \otimes c_x$ in this case
 $a=1$ for simplicity

to find energy eigenvalues by diagonalisation

changing from position space to momentum space, $k \propto k'$

$$\hat{c}_x = \int_{-\infty}^{\infty} \frac{dk}{2\pi} \hat{c}_{k'}^\dagger e^{ikx}$$

\int_k

$$c_x = \int_k c_{k'}^\dagger e^{-ik'x}$$

$$\hat{H} = -\frac{J}{2} \sum_n (c_{n+a}^\dagger c_n + c_n^\dagger c_{n+a}) \stackrel{FT}{=} J/2 \sum_n \int_{k,k'} \left[\hat{c}_{k'}^\dagger e^{i(k-n)a} \hat{c}_k^\dagger e^{-ik'n} + h.c. \right]$$

$$\underset{\text{Combining exp}}{=} -\frac{J}{2} \sum_n \int_{k,k'} \left[\hat{c}_{k'}^\dagger \hat{c}_k^\dagger e^{i((k-k')n)} e^{-ik'n} + h.c. \right]$$

$= 1 \text{ if } k=k'$

$$= -\frac{J}{2} \sum_{k,k''} \int_{k,k''} \delta_{kk'} [\hat{c}_{k''}^\dagger \hat{c}_k^\dagger e^{i(k-k'')n} + h.c.]$$

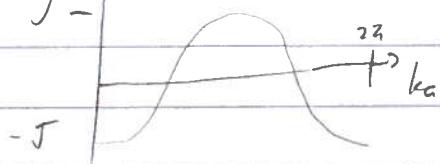
$\sum_n e^{i(k-k'')n} = \int_{-\infty}^{\infty} e^{i(k-k'')n} dn = \delta_{kk''}$

$$= -\frac{J}{2} \langle (e^{i(k-a)} + e^{-i(k-a)}) (\hat{c}_k^\dagger \hat{c}_k) \rangle$$

$$= -J \sum_{k \neq a} \cos(ka) c_k^\dagger c_k \stackrel{\text{finite chain}}{=} -J \sum_k c_{a \pm ka}^\dagger c_{a \pm ka}$$

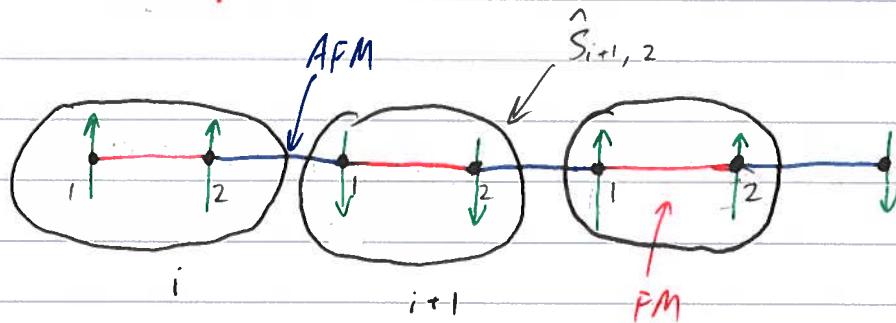
Energy dispersion:

$$-J \cos(ka) \quad J -$$



The Model:

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$$\hat{H} = -J_F \sum_i (\hat{S}_{i,1} \cdot \hat{S}_{i,2} + \alpha \hat{S}_{i,1}^z \hat{S}_{i,2}^z)$$

$$+ J_A \sum_i (\hat{S}_{i,2} \cdot \hat{S}_{i+1,1} + \alpha \hat{S}_{i,2}^z \hat{S}_{i+1,1}^z)$$

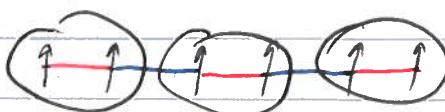
$\alpha = 0$ Isotropic model
(Heisenberg model)

$\alpha = -1$ XY model
 $\therefore S^z$ does not enter

$$= -J_F \sum_i [S_{i,1}^n S_{i,2}^n + S_{i,1}^y S_{i,2}^y + (1+\alpha)(S_{i,1}^z S_{i,2}^z)]$$

$$+ J_A \sum_i [S_{i,2}^n S_{i+1,1}^n + S_{i,2}^y S_{i+1,1}^y + (1+\alpha)(S_{i,2}^z S_{i+1,1}^z)]$$

spin flip:



$$\begin{aligned}\hat{T}_{i,m}^n &= (-1)^i \hat{S}_{i,m}^x \\ \hat{T}_{i,m}^y &= \hat{S}_{i,m}^y \\ \hat{T}_{i,m}^z &= (-1)^i \hat{S}_{i,m}^z\end{aligned}$$

$$H = -J_F \sum_i [\underbrace{\hat{T}_{i,1}^n \hat{T}_{i,2}^n}_{J_F} + \underbrace{\hat{T}_{i,1}^y \hat{T}_{i,2}^y}_{J_A} + (1+\alpha) \underbrace{\hat{T}_{i,1}^z \hat{T}_{i,2}^z}_{F}]$$

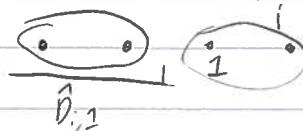
$$- J_A \sum_i [\underbrace{\hat{T}_{i,2}^n \hat{T}_{i+1,1}^n}_{0} - \underbrace{\hat{T}_{i,2}^y \hat{T}_{i+1,1}^y}_{J_A} + (1+\alpha) \underbrace{\hat{T}_{i,2}^z \hat{T}_{i+1,1}^z}_{F}]$$

↓
1) $T^n, T^y \rightarrow T^+, T^-$
2) JW Trans

~~i~~ $i \rightarrow i, m$

$$\hat{T}_{i,m}^z = \frac{1}{2} - c_{i,m}^\dagger c_{i,m}$$

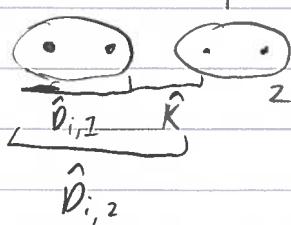
$$\hat{D}_{i,1} = \overline{c_1} (1 - 2 c_{i,1}^\dagger c_{i,1}) (1 - 2 c_{i,2}^\dagger c_{i,2})$$



$$\hat{T}_{i,m}^+ = c_{i,m}^\dagger \hat{D}_{i,m}$$

$$\hat{T}_{i,m}^- = c_{i,m}^\dagger \hat{D}_{i,m}$$

$$\hat{D}_{i,2} = \overline{c_1} (1 - 2 c_{i,1}^\dagger c_{i,1}) (1 - 2 c_{i,2}^\dagger c_{i,2}) (1 - 2 c_{i,3}^\dagger c_{i,3})$$

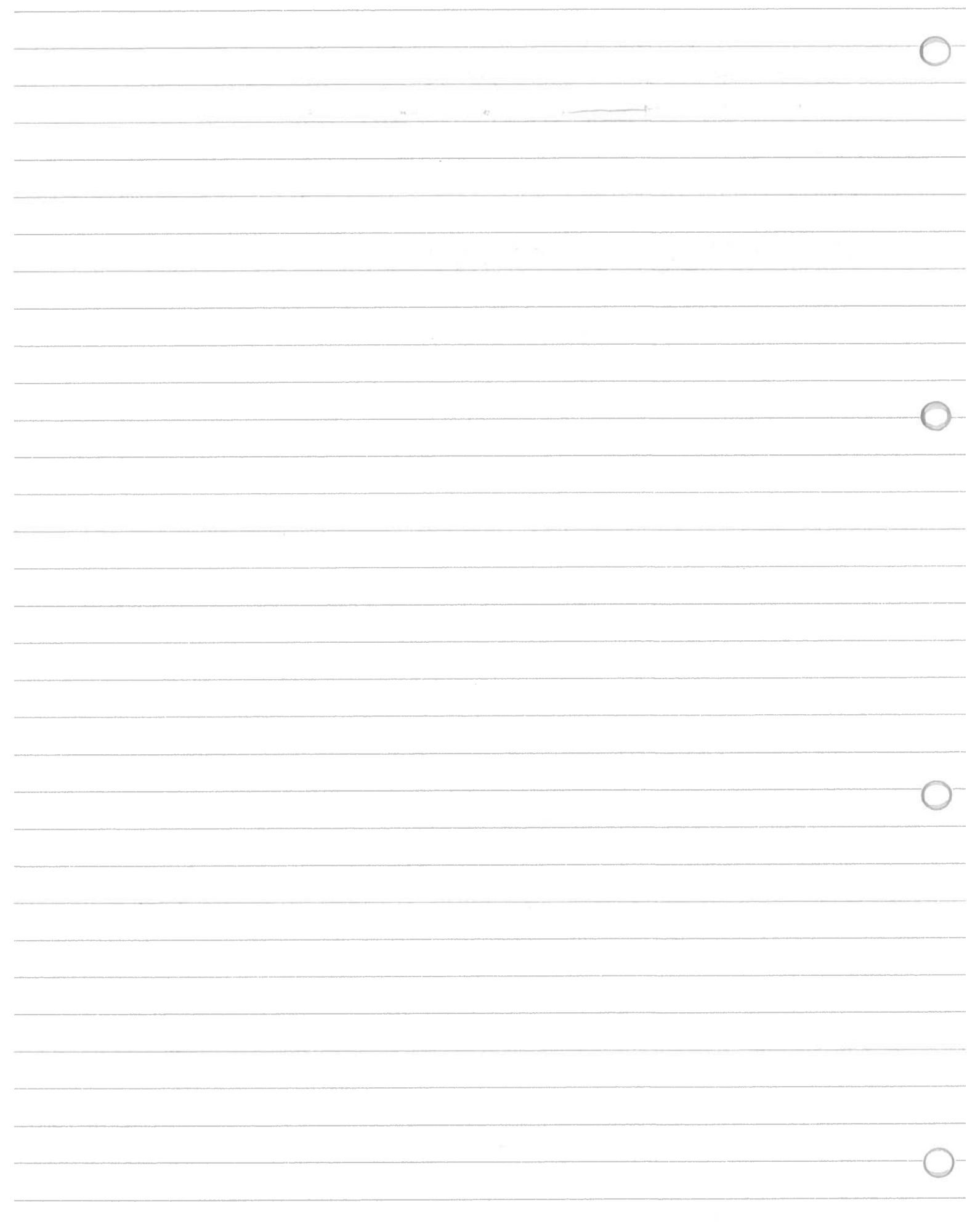


$$\hat{D}_{i,m}^2 = 1$$

$$= \hat{D}_{i,1} (1 - 2 c_{i,1}^\dagger c_{i,1})$$

$$T^n = \frac{1}{2} (T^+ + T^-)$$

$$T^y = \frac{1}{2} (T^+ - T^-)$$



Double checking the calculation

2)

By

$$A+B: T_{i,1}^x T_{i,2}^x + T_{i,1}^y T_{i,2}^y = \frac{1}{2} (T_{i,1}^+ T_{i,2}^- + T_{i,1}^- T_{i,2}^+)$$

$$= \frac{1}{2} (C_{i,1} D_{i,1} D_{i,2} C_{i,2}^t + D_{i,1} C_{i,1}^t D_{i,2} C_{i,2})$$

$$= \frac{1}{2} \underbrace{(C_{i,1} D_{i,1} D_{i,2} (1 - 2 C_{i,1}^t C_{i,1}))}_{1} C_{i,2}^t + C_{i,1}^t \underbrace{D_{i,1} D_{i,2} (1 - 2 C_{i,1}^t C_{i,1})}_{1} C_{i,2}$$

$$= \frac{1}{2} (C_{i,1} C_{i,2}^t - 2 \underbrace{C_{i,1} C_{i,1}^t}_{1 - C_{i,1}^t C_{i,1}} C_{i,2}^t + C_{i,1}^t C_{i,2} - 2 C_{i,1}^t C_{i,1}^t C_{i,1} C_{i,2})$$

$$= \frac{1}{2} (C_{i,1} C_{i,2}^t - 2 C_{i,1} C_{i,2}^t + 2 C_{i,1}^t C_{i,1} C_{i,2}^t + C_{i,1}^t C_{i,2})$$

$$= \frac{1}{2} (C_{i,1} C_{i,2} + C_{i,2} C_{i,1})$$

$$H_f = -\frac{\beta_p}{2} \left[C_{i,1}^t C_{i,2} + C_{i,2}^t C_{i,1} + 2(1+\alpha) \left(\frac{1}{2} - C_{i,1}^t C_{i,1} \right) \left(\frac{1}{2} - C_{i,2}^t C_{i,2} \right) \right]$$

D - E :

$$\begin{aligned} T_{i,2}^x T_{i+1,1}^x - T_{i,2}^y T_{i+1,1}^y &= \frac{1}{4} (T_{i,2}^+ + T_{i,2}^-) (T_{i+1,1}^+ + T_{i+1,1}^-) \\ &\quad + \frac{1}{4} (T_{i,2}^+ - T_{i,2}^-) (T_{i+1,1}^+ - T_{i+1,1}^-) \\ \Rightarrow \frac{1}{4} (T_{i,2}^+ T_{i+1,1}^+ + T_{i,2}^+ T_{i+1,1}^- + T_{i,2}^- T_{i+1,1}^+ + T_{i,2}^- T_{i+1,1}^-) \end{aligned}$$

$$+ \frac{1}{4} (T_{i,2}^+ T_{i+1,1}^+ - T_{i,2}^+ T_{i+1,1}^- - T_{i,2}^- T_{i+1,1}^+ + T_{i,2}^- T_{i+1,1}^-)$$

$$= \frac{1}{2} (T_{i,2}^+ T_{i+1,1}^+ + T_{i,2}^- T_{i+1,1}^-) = \frac{1}{2} (C_{i,2} D_{i,2} D_{i+1,1} C_{i+1,1} + C_{i,2}^t D_{i,2} D_{i+1,1} C_{i+1,1}^t)$$

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$$D - F = \frac{1}{2} (c_{i,2} D_{i,2} D_{i+1,1} c_{i+1,1} + c_{i,2}^+ D_{i,2} D_{i+1,1} c_{i+1,1}^+) \quad |$$

$$\stackrel{(*)}{=} \frac{1}{2} (c_{i,2} D_{i,2} D_{i,2} (1 - 2 c_{i,2}^+ c_{i,2}) c_{i+1,1})$$

$$+ c_{i,2}^+ D_{i,2} D_{i,2} (1 - 2 c_{i,2}^+ c_{i,2}) c_{i+1,1}^+)$$

$$= \frac{1}{2} (c_{i,2} c_{i+1,1} - 2 c_{i,2} c_{i,2}^+ c_{i,2} c_{i+1,1})$$

$$+ c_{i,2}^+ c_{i+1,1}^+ - 2 c_{i,2}^+ c_{i,2}^+ c_{i,2} c_{i+1,1}^+) \quad | = 0$$

$$= \frac{1}{2} (c_{i,2} c_{i+1,1} - 2 (1 - c_{i,2}^+ c_{i,2}) c_{i,2} c_{i+1,1} \\ + c_{i,2}^+ c_{i+1,1}^+) \quad |$$

$$= \frac{1}{2} (c_{i,2} c_{i+1,1} - 2 c_{i,2} c_{i+1,1} + 2 c_{i,2}^+ c_{i,2} c_{i+1,1} + c_{i,2}^+ c_{i+1,1})$$

$$\stackrel{c_{i,2}^+ = 0}{=} \frac{1}{2} (-c_{i,2} c_{i+1,1} + c_{i,2}^+ c_{i+1,1}) = \frac{1}{2} (c_{i+1,1} c_{i,2} + c_{i,2}^+ c_{i+1,1})$$

$$\hat{H}_A = -\frac{J_F}{2} \sum_i [c_{i+1,1} c_{i,2} + c_{i,2}^+ c_{i+1,1} + 2(1-\alpha) (\frac{1}{2} - c_{i,2}^+ c_{i,2}) (\frac{1}{2} - c_{i+1,1}^+ c_{i+1,1})]$$

$$\hat{H} = \hat{H}_F + \hat{H}_A$$

$$\hat{H} = -\frac{J_F}{2} \sum_i [c_{i,1}^+ c_{i,2} + c_{i,2}^+ c_{i,1} + \rho (c_{i+1,1} c_{i,2} + c_{i,2}^+ c_{i+1,1})]$$

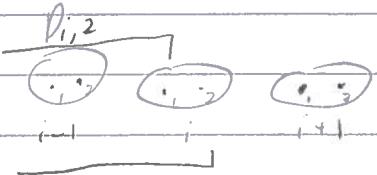
$$- J_F (1-\alpha) \sum_i (\frac{1}{2} - c_{i,2}^+ c_{i,2}) (\frac{1}{2} - c_{i,2}^+ c_{i,2})$$

$$- J_F \rho (1-\alpha) \sum_i (\frac{1}{2} - c_{i,2}^+ c_{i,2}) (\frac{1}{2} - c_{i+1,1}^+ c_{i+1,1})$$

$$\text{Easy case: } XY_{\text{case}} \Rightarrow \alpha = -1$$

$$\hat{H}_{xx} = -\frac{J_F}{2} \sum_i (c_{i,1}^+ c_{i,2} + c_{i,2}^+ c_{i,1} + \rho (c_{i+1,1} c_{i,2} + c_{i,2}^+ c_{i+1,1}))$$

$$\stackrel{(*)}{=} D_{i+1,1} = D_{i,2} (1 - 2 c_{i,2}^+ c_{i,2})$$



$$\stackrel{(*)}{=} D_{i+1,1} = D_{i,2} (1 - 2 c_{i,2}^+ c_{i,2})$$

FT to change to momentum space

& diagonalize

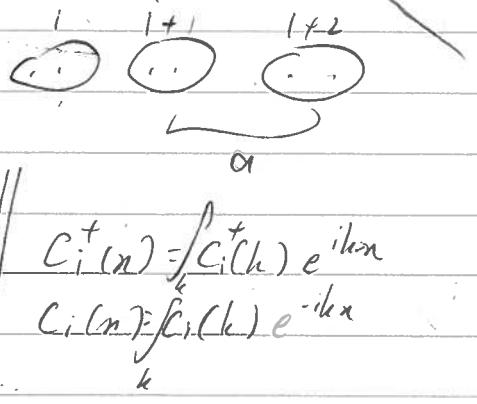
Hopping terms:

$$\sum_i (c_{i,1}^\dagger c_{i,2} + h.c.) \xrightarrow{\text{FT}} \sum_n (c_1^\dagger(n) c_2(n) + h.c.)$$

$$= \sum_n \int \int c_1^\dagger(k) e^{ikn} c_2(k') e^{-ik'n}$$

$$+ c_2^\dagger(k) e^{-ik'n} c_2^\dagger(k') e^{ikn}$$

$$= \sum_n \int \int c_1^\dagger(k) c_2(k') e^{i(k-k')n} + c_2^\dagger(k) c_2^\dagger(k') e^{-i(k-k')n} \Bigg| \sum_n e^{i(k-k')n} = \delta_{kk'}$$



$$\begin{aligned} &\stackrel{(k=k')}{=} \int_k c_1^\dagger(k) c_2(k) + c_2^\dagger(k) c_2^\dagger(k) \\ &\stackrel{(k+k')}{=} \int_k \frac{dk}{2\pi} \left[c_1^\dagger(k) c_2(k) + c_2^\dagger(k) c_2^\dagger(k) \right] \\ &\stackrel{0}{=} -C_2(-k) C_1^\dagger(-k) - C_1(-k) C_2^\dagger(-k) \end{aligned} \quad \begin{aligned} &\stackrel{k \in \mathbb{Z}, \neq 0}{=} \int_{-\pi}^{\pi} \frac{dk}{2\pi} f(k) \\ &\stackrel{\hat{k}=-k}{=} - \int_{-\pi}^{\pi} \frac{d\hat{k}}{2\pi} f(-\hat{k}) = \int_{-\pi}^{\pi} \frac{d\hat{k}}{2\pi} f(\hat{k}) \\ &= \frac{1}{2} \int_{-\pi}^{\pi} \frac{dk}{2\pi} (f(k) + f(-k)) \end{aligned}$$

Anomalous term: $\sum_i c_{i,1}^\dagger c_{i,1}^\dagger + c_{i+1,1} c_{i,2}$

$$\text{even form: } \int_0^{\pi} \frac{dk}{2\pi} (f(k) + f(-k))$$

$$= \sum_n c_2^\dagger(n) c_1^\dagger(n+a) + c_1(n+a) c_2(n)$$

or odd

$$\begin{aligned} &\stackrel{\text{FT}}{=} \sum_n \int \int c_2^\dagger(k) e^{ikn} e^{i(k+k')n} c_1^\dagger(k') \\ &\quad + e^{-i(k+k'a)} c_1(k) e^{-ikn} c_2(k') \\ &= \sum_n \int \int c_2^\dagger(k) c_1^\dagger(k') e^{i(k+k')n} e^{-i(k'a)} + c_1(k) c_2(k') e^{-i(k+k')n} e^{-ika} \\ &= \sum_n \int \int \delta_{k,k'} \left[e^{-i(k'a)} c_2^\dagger(k) c_1^\dagger(k') + e^{-ika} c_1(k) c_2(k') \right] \end{aligned}$$

$$\int_{-k}^{k'} e^{-ika} c_2^+(k) c_1^+(-k) + e^{-ika} c_1(k) c_2(-k)$$

$$= \int_{-k}^k \frac{dk}{2\pi} \left[e^{-ika} c_2^+(k) c_1^+(-k) + e^{-ika} c_1(k) c_2(-k) \right]$$

$$\begin{aligned} & \stackrel{(*)}{=} \int_0^k \frac{dk}{2\pi} \left[e^{-ika} c_2^+(k) c_1^+(-k) + e^{-ika} \underbrace{c_1(k) c_2(-k)}_{-c_2(-k) c_1(k)} \right. \\ & \quad \left. + e^{ika} \underbrace{c_2^+(-k) c_1^+(k)}_{-c_1^+(k) c_2^+(-k)} + e^{ika} c_1(-k) c_2(k) \right] \end{aligned}$$

$$= \int_0^k \frac{dk}{2\pi} \left[e^{-ika} c_2^+(k) c_1^+(-k) - e^{-ika} c_2(-k) c_1(k) \right. \\ \left. - e^{ika} c_1^+(k) c_2^+(-k) + e^{ika} c_1(-k) c_2(k) \right] //$$

$$\hat{F}_{xy} = -\frac{\nabla F}{2} \int_k (c_1^+(k), c_2^+(k), c_1(-k), c_2(-k)) \underset{\text{M}}{=} \begin{pmatrix} c_1(k) \\ c_2(k) \\ c_1^+(-k) \\ c_2^+(-k) \end{pmatrix} \Rightarrow \begin{array}{l} \text{to incorporate} \\ \text{anomalous} \\ \text{hopping terms} \end{array}$$

$$\underset{\text{M}}{=} \begin{pmatrix} \square & & x & \\ \square & & x & \\ & x & & \square \\ x & & & \square \end{pmatrix}$$

$$\hat{H}_{xy} = -\frac{\nabla F}{2} \int_k c_1^+(k) c_2(k) + c_2^+(k) c_1(k) + e^{-ika} \beta (c_2^+(k) c_1^+(-k) + c_1(k) c_2(-k))$$

The Hamiltonian:

$$\hat{H} = -\frac{J_F}{2} \int \frac{dk}{2\pi} [c_1^+(k) c_1(k) + c_2^+(k) c_2(k) - c_2(k) c_1^+(k) - c_1(-k) c_2^+(-k)] \\ + e^{-ika} \beta c_1^+(k) c_1^+(-k) - e^{-ika} \beta c_2(-k) c_1(k) \\ - e^{ika} \beta c_1^+(k) c_2^+(-k) + \beta e^{ika} c_1^+(k) c_2(k)$$

By doubling dimensions:

$$\hat{H}_{xy} = -\frac{J_F}{2} \int_k [(c_1^+(k), c_1^+(k), c_1(-k), c_2(-k))] M \begin{pmatrix} c_1(k) \\ c_1^+(k) \\ c_2(k) \\ c_2^+(-k) \end{pmatrix} \\ M = \begin{pmatrix} 0 & 1 & 0 & -pe^{-ika} \\ 1 & 0 & pe^{-ika} & 0 \\ 0 & pe^{ika} & 0 & -1 \\ -pe^{ika} & 0 & -1 & 0 \end{pmatrix}$$

Diagonalizing using Mathematica

$$\epsilon_1(k) = \pm \frac{J_F}{2} \sqrt{\beta^2 + 1 + 2\beta \cos(ka)} \quad \text{for } k=0$$

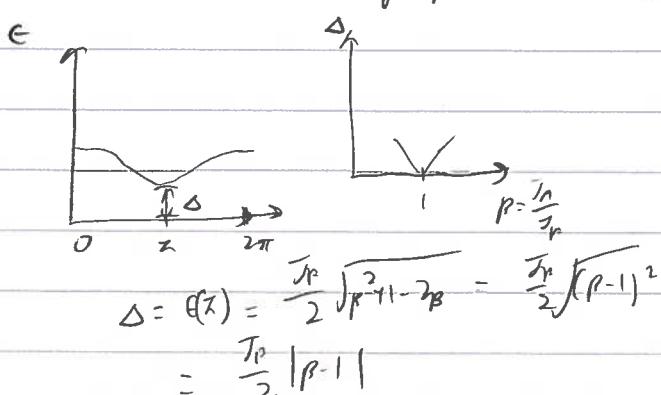
$$\epsilon_2(k) = \pm \frac{J_F}{2} \sqrt{(\beta^2 + 1)^2 - pe^{2ika} - pe^{-2ika} + pe^{ika}} \quad k \in [0, \pi] \\ \beta = \frac{J_F}{2\pi}$$

For $a=1$

$$\epsilon(k) = \frac{J_F}{2} \sqrt{\beta^2 + 1 + 2\beta \cos(k)}$$

Plot [$\epsilon(k)$, $k, 0, 2\pi$] \rightarrow 2π periodic

See Mathematica for plot



$$\Delta = 0 \text{ for } |\beta| = 1 \Leftrightarrow J_A = J_F$$

\Rightarrow topological phase transition $J_A = J_F$

To do: do a easier 2×2 matrix

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introducing new fermion operators: then do the Bogoliubov transformation

1. check for:

$$d_1(k) = \frac{1}{\sqrt{2}} (c_1(k) + c_2(k))$$

$$d_2(k) = \frac{1}{\sqrt{2}} (c_1(k) - c_2(k)) \quad k \in [-\pi, \pi]$$

$$\hat{H} = \sum_k \left(d_1^\dagger(k) d_1(-k) \right) \begin{pmatrix} 2 \times 2 \\ 2 \times 2 \end{pmatrix} \begin{pmatrix} d_1(k) \\ d_1^\dagger(-k) \end{pmatrix} \quad \left| \begin{array}{l} \hat{H} = \sum_k (d_2^\dagger(k) d_2(-k) d_2^\dagger(k) d_2(k)) \\ \hat{H} = \begin{pmatrix} d_1(k) \\ d_1^\dagger(-k) \\ d_2(k) \\ d_2^\dagger(-k) \end{pmatrix} \end{array} \right.$$

$$\hat{\mathcal{J}} = \begin{pmatrix} \nearrow \pm \epsilon, & \nearrow \epsilon \\ \text{Diagram} & \text{Diagram} \end{pmatrix}$$

2. new "arrangement"

$$\hat{H} = \sum_{k \in [0, \pi]} (c_1^\dagger(k), c_1(k), c_2^\dagger(k), c_2(k)) \stackrel{!}{=} \begin{pmatrix} c_1(k) \\ c_1^\dagger(k) \\ c_2(k) \\ c_2^\dagger(-k) \end{pmatrix}$$

3. See Bogoliubov transformation

Mean field approach.

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Let \hat{A}, \hat{B} be operators

We have $\hat{A} \cdot \hat{B}$ in the Hamiltonian

for ex

$$-\frac{\partial \beta}{\partial x} - \partial_x \beta(1+\alpha) \leq \sum_i \left(\underbrace{\frac{1}{2} - c_{i2}^+ c_{i2}}_{A} \right) \left(\underbrace{\frac{1}{2} - c_{i1}, c_{i1}}_{B} \right) f$$

We write $\hat{A} \otimes \hat{B}$ as:

$$\hat{A} = \langle \hat{A} \rangle + \delta \hat{A} \quad \langle \rangle \text{ are just numbers}$$

$$\hat{B} = \langle B \rangle + \delta \hat{B}$$

$$\hat{A} \cdot \hat{B} = \langle \hat{A} \rangle \langle \hat{B} \rangle + \langle \hat{A} \rangle S\hat{B} + \langle \hat{B} \rangle S\hat{A} + S\hat{A}S\hat{B} \quad \text{neglect for mean-field approx}$$

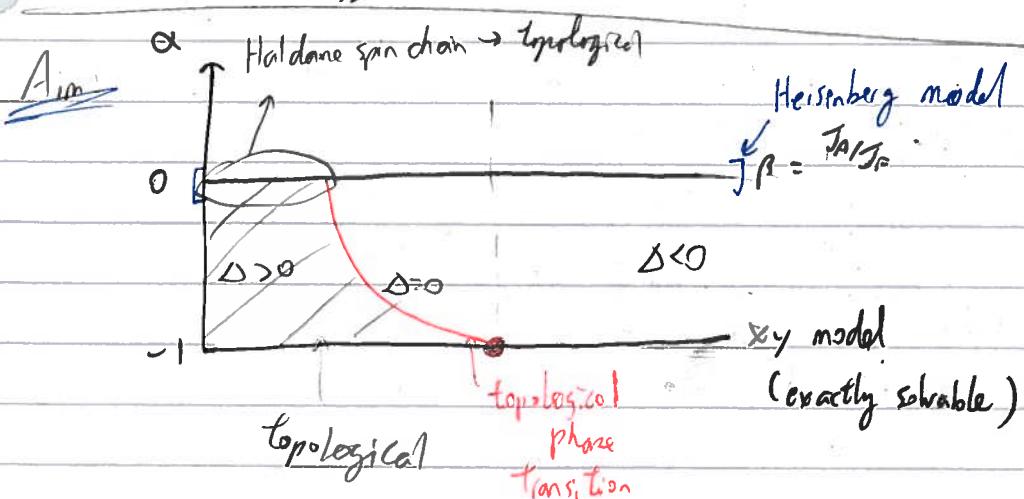
$$= \langle \hat{A} \rangle \langle \hat{B} \rangle + \langle \hat{A} \rangle (B - \langle \hat{B} \rangle) + \langle \hat{B} \rangle (\hat{A} - \langle \hat{A} \rangle)$$

$$= \langle \hat{A} \rangle \hat{B} + \hat{A} \rangle B - \langle \hat{A} \rangle \langle \hat{B} \rangle + \hat{A} \langle \hat{B} \rangle - \langle \hat{A} \rangle \langle \hat{A} \rangle$$

$$\hat{A} \cdot \hat{B} \approx \langle \hat{A} \rangle B + \hat{A} \langle \hat{B} \rangle - \langle \hat{A} \rangle \langle \hat{B} \rangle$$

For the Hami Hoysan?

do the same



$$\hat{H} = -\frac{J_F}{2} \sum_i [\hat{E} + \hat{F} + \hat{G} + \hat{H} + \beta (c_{i+1}^\dagger c_{i2} + c_{i2}^\dagger c_{i1} + \beta (c_{i+1}^\dagger c_{i+1} + c_{i2}^\dagger c_{i+1}))] \quad [I]$$

$$- J_F (1+\alpha) \sum_i (\frac{1}{2} - c_{i1}^\dagger c_{i1}) (\frac{1}{2} - c_{i2}^\dagger c_{i2}) \quad [II]$$

$$- J_F \beta (1+\alpha) \sum_i (\frac{1}{2} - c_{i2}^\dagger c_{i2}) (\frac{1}{2} - c_{i+1}^\dagger c_{i+1}) \quad [III]$$

Not quite right

Reintroducing mean-field approx to make interacting terms vanish for to make it exactly solvable

$$\begin{array}{c} \hat{D} \\ \hat{E} \quad \hat{F} \\ c_{i1}^\dagger c_{i1} c_{i2}^\dagger c_{i2} \end{array} \quad \begin{array}{c} \hat{C}_{i1}^\dagger c_{i2} \\ \hat{A} \quad \hat{B} \\ c_{i2}^\dagger c_{i2} c_{i+1,1}^\dagger c_{i+1,1} \end{array} \quad \begin{array}{c} \hat{A} = c_{i2}^\dagger c_{i2}; \quad \hat{D} = c_{i1}^\dagger c_{i1} \\ \hat{B} = c_{i+1,1}^\dagger c_{i+1,1}; \quad \hat{C} = c_{i+2}^\dagger c_{i+2} \\ \hat{F} = c_{i2}^\dagger c_{i1}; \quad \hat{G} = c_{i+1,1}^\dagger c_{i2} \\ \hat{H} = c_{i2}^\dagger c_{i+1,1}^\dagger \end{array}$$

if make it in
non-interacting

$$[II] \cdot \frac{1}{N} \approx \frac{1}{4} - \frac{1}{2} c_{i+1,1}^\dagger c_{i+1,1} - \frac{1}{2} c_{i2}^\dagger c_{i2} + c_{i2}^\dagger c_{i2} c_{i+1,1}^\dagger c_{i+1,1}$$

$$\text{MFA} \approx \frac{1}{4} - \frac{1}{N} \frac{1}{2} \hat{B} + \frac{1}{2} \hat{A} + (\hat{A} \langle \hat{B} \rangle + \hat{A} \langle \hat{B} \rangle \hat{B} - \langle \hat{A} \rangle \langle \hat{B} \rangle)$$

similarly

$$[I]: \frac{1}{4} - \frac{1}{2} c_{i2}^\dagger c_{i2} - \frac{1}{2} c_{i1}^\dagger c_{i1} + c_{i1}^\dagger c_{i1} c_{i2}^\dagger c_{i2}$$

$$\text{MFA } [I] \approx \frac{1}{4} - \frac{1}{2} \hat{B} - \frac{1}{2} \hat{A} + (\hat{B} \langle \hat{A} \rangle + \hat{A} \langle \hat{B} \rangle - \langle \hat{B} \rangle \langle \hat{A} \rangle)$$

$$\begin{aligned} \hat{H} = -\frac{J_F}{2} \sum_i & [\hat{E} + \hat{F} + \beta \hat{G} + \beta \hat{H} + 2(1+\alpha) \left(\frac{1}{4} - \frac{1}{2} (\hat{B} + \hat{A}) + \hat{B} \langle \hat{A} \rangle + \hat{A} \langle \hat{B} \rangle - \langle \hat{B} \rangle \langle \hat{A} \rangle \right) \\ & + 2\beta(1+\alpha) \left(\frac{1}{4} - \frac{1}{2} (\hat{B} + \hat{A}) + \hat{A} \langle \hat{B} \rangle + \hat{B} \langle \hat{A} \rangle - \langle \hat{A} \rangle \langle \hat{B} \rangle \right)] \end{aligned}$$

$$\begin{aligned} = -\frac{J_F}{2} \sum_i & [\hat{E} + \hat{F} + \beta \hat{G} + \beta \hat{H} + (2+2\alpha) \left(\frac{1}{4} - \frac{1}{2} (\hat{A} + \hat{B}) + \hat{B} \langle \hat{A} \rangle + \hat{A} \langle \hat{B} \rangle - \langle \hat{B} \rangle \langle \hat{A} \rangle \right) \\ & + \frac{\beta}{4} - \frac{\beta}{2} (\hat{B} + \hat{A}) + \beta \hat{A} \langle \hat{B} \rangle + \beta \langle \hat{A} \rangle \hat{B} - \beta \langle \hat{A} \rangle \langle \hat{B} \rangle] \end{aligned}$$

MFA continued //

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Ap:

$$\begin{aligned} \hat{H} &= -\frac{\beta_F}{2} \sum_i \left[\hat{E} + \hat{F} + \rho \hat{G} + \rho \hat{H} + \frac{1}{2} - \hat{A} - \hat{B} + 2\hat{D}\langle\hat{A}\rangle + 2\hat{A}\langle\hat{B}\rangle - 2\langle\hat{D}\rangle\langle\hat{A}\rangle \right. \\ &\quad + \frac{\rho}{2} - \rho(\hat{B} + \hat{A}) + 2\rho\hat{A}\langle\hat{B}\rangle + 2\rho\langle\hat{A}\rangle\hat{B} - 2\rho\langle\hat{A}\rangle\langle\hat{B}\rangle \\ &\quad \left. + \frac{\alpha\rho}{2} - \alpha\hat{A} - \alpha\hat{B} + 2\alpha\hat{D}\langle\hat{A}\rangle + 2\alpha\hat{A}\langle\hat{B}\rangle - 2\alpha\langle\hat{D}\rangle\langle\hat{B}\rangle \right] \\ &= -\frac{\beta_F}{2} \sum_i \left[\hat{E} + \hat{F} + \frac{1}{2} - \hat{A} - \hat{B} + 2\hat{D}\langle\hat{A}\rangle + 2\hat{A}\langle\hat{B}\rangle - 2\langle\hat{D}\rangle\langle\hat{A}\rangle \right. \\ &\quad + \rho(\hat{G} + \hat{H}) + \rho \left(\frac{1}{2} - \hat{B} - \hat{A} + 2\hat{A}\langle\hat{B}\rangle + 2\langle\hat{A}\rangle\hat{B} - 2\langle\hat{A}\rangle\langle\hat{B}\rangle \right) \\ &\quad \left. + \alpha \left(\frac{1}{2} - \hat{A} - \hat{B} + 2\hat{D}\langle\hat{A}\rangle + 2\langle\hat{A}\rangle\langle\hat{B}\rangle - 2\langle\hat{D}\rangle\langle\hat{B}\rangle \right) \right. \\ &\quad \left. + \alpha\rho \left(\frac{1}{2} - \hat{B} - \hat{A} + 2\hat{A}\langle\hat{B}\rangle + 2\langle\hat{A}\rangle\hat{B} - 2\langle\hat{A}\rangle\langle\hat{B}\rangle \right) \right] \\ &= -\frac{\beta_F}{2} \sum_i \left[\hat{E} + \hat{F} + (1+\alpha) \left(\frac{1}{2} - \hat{A} - \hat{B} + 2\hat{D}\langle\hat{A}\rangle + 2\hat{A}\langle\hat{B}\rangle - 2\langle\hat{D}\rangle\langle\hat{A}\rangle \right) \right. \\ &\quad \left. + \rho(\hat{G} + \hat{H}) + \rho \left(\frac{1}{2} - \hat{B} - \hat{A} + 2\hat{A}\langle\hat{B}\rangle + 2\langle\hat{A}\rangle\hat{B} - 2\langle\hat{A}\rangle\langle\hat{B}\rangle \right) (1+\alpha) \right] \end{aligned}$$

$$\hat{H} = -\frac{\beta_F}{2} \sum_i \left[\hat{E} + \hat{F} + \rho(\hat{G} + \hat{H}) + (1+\alpha) \left(\frac{1}{2} - \hat{A} - \hat{B} + 2\hat{D}\langle\hat{A}\rangle + 2\hat{A}\langle\hat{B}\rangle - 2\langle\hat{D}\rangle\langle\hat{A}\rangle \right) \right. \\ \left. + \rho \left(\frac{1}{2} - \hat{B} - \hat{A} + 2\hat{A}\langle\hat{B}\rangle + 2\langle\hat{A}\rangle\hat{B} - 2\langle\hat{A}\rangle\langle\hat{B}\rangle \right) \right]$$

~~AP = E + F~~

OR

$$\frac{\partial H}{\partial \alpha} = 0 \quad ??$$

for minimizing ??

$$\frac{\partial H}{\partial \beta} = 0 \quad ??$$

✓ 2

$$A = \frac{1}{2} \tilde{A} \tilde{B}$$

$$\frac{\partial \tilde{A}}{\partial \alpha} = -\frac{\beta}{2} \tilde{B} \left(\frac{1}{2} - \tilde{A} \tilde{B} + 2 \tilde{A} \langle \tilde{A} \rangle + 2 \tilde{B} \langle \tilde{B} \rangle - 2 \langle \tilde{A} \rangle \langle \tilde{A} \rangle \right) \\ + \beta \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 \tilde{A} \langle \tilde{B} \rangle + 2 \langle \tilde{A} \rangle \tilde{B} - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \right)$$

$$\frac{\partial \tilde{A}}{\partial \beta} = -\frac{\beta}{2} \tilde{B} \left(\tilde{G} + \tilde{H} + (1+\alpha) \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 \tilde{A} \langle \tilde{B} \rangle + 2 \langle \tilde{A} \rangle \tilde{B} - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \right) \right)$$

$$\frac{\partial \tilde{A}}{\partial \alpha} = \frac{\partial \tilde{A}}{\partial \beta} = 0$$

$$\Rightarrow \frac{1}{2} - \tilde{A} \cdot \tilde{B} + 2 \tilde{B} \langle \tilde{A} \rangle + 2 \tilde{A} \langle \tilde{B} \rangle - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \\ + \beta \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 \tilde{A} \langle \tilde{B} \rangle + 2 \langle \tilde{A} \rangle \tilde{B} - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \right)$$

$$\cancel{\left(\tilde{G} + \tilde{H} + (1+\alpha) \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 \tilde{A} \langle \tilde{B} \rangle + 2 \langle \tilde{A} \rangle \tilde{B} - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \right) \right)}$$

$$= \tilde{G} + \tilde{H}$$

✓ A

$$\Rightarrow (\beta - 1 - \alpha) \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 \tilde{A} \langle \tilde{B} \rangle + 2 \langle \tilde{A} \rangle \tilde{B} - 2 \langle \tilde{A} \rangle \langle \tilde{B} \rangle \right) \\ = \tilde{G} + \tilde{H} - \frac{1}{2} + \tilde{A} + \tilde{B} - 2 \tilde{B} \langle \tilde{A} \rangle + 2 \tilde{A} \langle \tilde{B} \rangle - 2 \langle \tilde{B} \rangle \langle \tilde{A} \rangle$$

$$\langle \tilde{A} \rangle := a \in \mathbb{R} \quad (\beta - 1 - \alpha) \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 b \cdot \tilde{A} + 2 a \cdot \tilde{B} - 2 a b \right)$$

$$\langle \tilde{B} \rangle := d \in \mathbb{R} \quad \Rightarrow \quad = \tilde{G} + \tilde{H} - \frac{1}{2} + \tilde{A} + \tilde{B} - 2 a \tilde{B} + 2 d \tilde{A} - 2 a d$$

$$\langle \tilde{B} \rangle := b \in \mathbb{R} \quad \Rightarrow \quad (\beta - \alpha) \left(\frac{1}{2} - \tilde{B} \cdot \tilde{A} + 2 b \cdot \tilde{A} + 2 a \cdot \tilde{B} - 2 a b \right) - \tilde{G} + \tilde{B} + \tilde{A} - 2 b \tilde{A} - 2 a \tilde{B} + 2 a b$$

$$\tilde{G} + \tilde{H} - \tilde{G} + \tilde{A} + \tilde{B} - 2 a \tilde{B} + 2 d \tilde{A} - 2 a d$$

~~29~~
New arrangements

Introducing new fermion operators:

$$d_1(k) = \frac{1}{\sqrt{2}}(c_1(k) + c_2(k)) \quad d_1^\dagger(k) = \frac{1}{\sqrt{2}}(c_1^\dagger(k) + c_2^\dagger(k))$$

$$d_2(k) = \frac{1}{\sqrt{2}}(c_1(k) - c_2(k)) \quad d_2^\dagger(k) = \frac{1}{\sqrt{2}}(c_1^\dagger(k) - c_2^\dagger(k))$$

Checking the anti-commutator relationships

$$\checkmark \{d_1(k), d_1^\dagger(k)\} = d_1(k)d_1^\dagger(k) + d_1^\dagger(k)d_1(k)$$

$$= \frac{1}{2} [(c_1(k) + c_2(k))(c_1^\dagger(k) + c_2^\dagger(k)) + (c_1^\dagger(k)c_2(k))(c_1(k) + c_2(k))]$$

$$= \frac{1}{2} [\underbrace{\{c_1(k), c_2^\dagger(k)\}}_1 + \underbrace{\{c_1(k), c_2^\dagger(k)\}}_0] = 1$$

$$+ \underbrace{\{c_2(k), c_1^\dagger(k)\}}_1 + \underbrace{\{c_2(k), c_2^\dagger(k)\}}_0$$

$$\checkmark \{d_2(k), d_2^\dagger(k)\} = \frac{1}{2} [(c_1(k) - c_2(k))(c_1^\dagger(k) - c_2^\dagger(k)) + (c_1^\dagger(k) - c_2^\dagger(k))(c_1(k) - c_2(k))]$$

$$= \frac{1}{2} [\{c_1(k), c_1^\dagger(k)\} - \{c_1(k), c_2^\dagger(k)\}]$$

$$- \{c_2(k), c_1^\dagger(k)\} + \{c_2(k), c_2^\dagger(k)\} = 1$$

$$\{d_1(k), d_2^\dagger(k)\} = \frac{1}{2} [(c_1(k) + c_2(k))(c_1^\dagger(k) - c_2^\dagger(k)) + (c_1^\dagger(k) - c_2^\dagger(k))(c_1(k) + c_2(k))]$$

$$= \frac{1}{2} [\underbrace{\{c_1(k), c_1^\dagger(k)\}}_1 + \underbrace{\{c_2(k), c_2^\dagger(k)\}}_0] = 0$$

$$- \underbrace{(c_1(k)c_2^\dagger(k) + c_2^\dagger(k)c_1(k))}_0 - \underbrace{\{c_2(k), c_2^\dagger(k)\}}$$

$$\{d_1^\dagger(k), d_2(k)\} = \frac{1}{2} [(c_1^\dagger(k) + c_2^\dagger(k))(c_1(k) - c_2(k)) + (c_1(k) - c_2(k))(c_1^\dagger(k) + c_2^\dagger(k))]$$

$$= \frac{1}{2} [\{c_1^\dagger(k), c_1(k)\} - \{c_1^\dagger(k), c_2(k)\} + \{c_2^\dagger(k), c_1(k)\} - \{c_2^\dagger(k), c_2(k)\}]$$

• 0

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d₁, d₁[†], d₂, d₂[†] all satisfy the anticommutation relationship

Checking :

$$H_1 = \int_k \left(d_1^+(k) d_1(-k) \right) M_1 \begin{pmatrix} d_1(k) \\ d_1^*(-k) \end{pmatrix}$$

$$+ \int_k \left(d_2^+(k) d_2(-k) \right) M_2 \begin{pmatrix} d_2(k) \\ d_2^*(-k) \end{pmatrix},$$

$$H_1 = \left(d_1^+(k) d_1(-k) \right) M_1 \begin{pmatrix} d_1(k) \\ d_1^*(-k) \end{pmatrix} \cancel{\neq 0}$$

$$\left(d_1^+(k) d_1(-k) \right) M_1 = \frac{1}{\sqrt{2}} \left((c_1^+(k) + c_2^+(k)), (c_1(-k) + c_2(-k)) \right) \begin{pmatrix} m_1 & m_2 \\ m_3 & m_4 \end{pmatrix}$$

$$= \frac{1}{\sqrt{2}} \left[\begin{array}{l} M_1(c_1^+(k) + c_2^+(k)) + M_3(c_1(-k) + c_2(-k)) \\ M_2(c_1^+(k) + c_2^+(k)) + M_4(c_1(-k) + c_2(-k)) \end{array} \right]^T$$

OR

$$H_1 = \left(M_1 d_1^+(k) + M_3 d_1(-k), M_2 d_1^+(k) + M_4 d_1(-k) \right) \begin{pmatrix} d_1(k) \\ d_1^*(-k) \end{pmatrix}$$
$$= M_1 d_1^+(k) d_1(k) + M_3 d_1(-k) d_1(k) + M_2 d_1^+(k) d_1^*(-k) + M_4 d_1(-k) d_1^*(-k)$$

similarly

$$H_2 = p_1 d_2^+(k) d_2(k) + p_3 d_2(k) d_2^*(k) + p_2 d_2^+(k) d_2^*(-k) + p_4 d_2(-k) d_2^*(-k)$$

' doesn't really work '

$$F = \int_k (d_1^+(k), d_1(-k), d_2^+(k), d_2(-k)) \underset{\text{S2}}{\sim} \begin{pmatrix} d_1(k) \\ d_1^*(-k) \\ d_2(k) \\ d_2^*(-k) \end{pmatrix}$$

$$d_1^+(k) d_1(k) = \frac{1}{2} [c_1^+(k) c_1(k) + \underline{c_2^+(k) c_1(k)} + \underline{c_1^+(k) c_2(k)} + \underline{c_2^+(k) c_2(k)}]$$

$$d_2^+(k) d_2(k) = \frac{1}{2} [c_1^+(k) c_1(k) - \underline{c_1^+(k) c_1(k)} - \underline{c_1^+(k) c_2(k)} + c_2^+(k) c_2(k)]$$

$$d_1(-k) d_1^+(-k) = \frac{1}{2} [c_1(-k) c_1^+(-k) + \underline{c_1(-k) c_2^+(-k)} + \underline{c_2(-k) c_1^+(-k)} + c_2(-k) c_2^+(-k)]$$

$$d_2(-k) d_2^+(-k) = \frac{1}{2} [c_1(-k) c_1^+(-k) - \underline{c_1(-k) c_1^+(-k)} - \underline{c_2(-k) c_1^+(-k)} + c_2(-k) c_2^+(-k)]$$

$$(d_1^+(k), d_1(-k), d_2^+(k), d_2(-k)) \begin{pmatrix} a_1 & a_2 & a_3 & a_4 \\ b_1 & b_2 & b_3 & b_4 \\ m_1 & m_2 & m_3 & m_4 \\ n_1 & n_2 & n_3 & n_4 \end{pmatrix} \begin{pmatrix} d_1(k) \\ d_1^*(-k) \\ d_2(k) \\ d_2^*(-k) \end{pmatrix}$$

$$\begin{pmatrix} d_1^+(k) a_1 + d_1(-k) b_1 + d_2^+(k) m_1 + d_2(-k) n_1 \\ d_1^+(k) a_2 + d_1(-k) b_2 + d_2^+(k) m_2 + d_2(-k) n_2 \\ d_1^+(k) a_3 + d_1(-k) b_3 + d_2^+(k) m_3 + d_2(-k) n_3 \\ d_1^+(k) a_4 + d_1(-k) b_4 + d_2^+(k) m_4 + d_2(-k) n_4 \end{pmatrix}^T \begin{pmatrix} d_1(k) \\ d_1^*(-k) \\ d_2(k) \\ d_2^*(-k) \end{pmatrix}$$

$$\begin{aligned}
&= a_1 d_1^+(k) d_1(k) + b_1 d_1(-k) d_1(k) + m_1 d_2^+(k) d_1(k) + n_1 d_2(-k) d_1(k) \quad JA \\
&+ a_2 d_1^+(k) d_1^*(-k) + b_2 d_1(-k) d_1^*(-k) + m_2 d_2^+(k) d_1^*(-k) + n_2 d_2(-k) d_1^*(-k) \quad JB \\
&+ a_3 d_1^+(k) d_2(k) + b_3 d_1(-k) d_2(k) + m_3 d_2^+(k) d_2(k) + \cancel{m_4} d_2(-k) d_2(k) \quad JC \\
&+ a_4 d_1^+(k) d_2^*(-k) + b_4 d_1(-k) d_2^*(-k) + m_4 d_2^+(k) d_2^*(-k) + \cancel{m_4} d_2(-k) d_2^*(-k) \quad JD
\end{aligned}$$

$$(c_1^T(k) c_1(-k) c_2^T(k) c_2(-k)) \approx \begin{pmatrix} c_1(k) \\ c_1^*(-k) \\ c_2(k) \\ c_2^*(-k) \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & \gamma - \mu \\ 0 & 0 & \mu - \gamma \\ \gamma \mu & 0 & 0 \\ -\mu - \gamma & 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} \gamma c_2^T(k) - \mu^* c_2(-k) \\ \mu^* c_2^T(k) - \gamma c_2(-k) \\ \gamma c_1^T(k) + \mu c_1(-k) \\ -\mu c_1^T(k) - \gamma c_1(-k) \end{pmatrix}^T \begin{pmatrix} c_1(k) \\ c_1^*(-k) \\ c_2(k) \\ c_2^*(-k) \end{pmatrix}$$

$$\mu = \rho e^{i\omega}$$

$$= \gamma c_2^T(k) c_1(k) - \mu^* c_2(-k) c_1(k)$$

$$+ \mu^* c_2^T(k) c_1^*(-k) - \gamma c_2(-k) c_1^*(-k)$$

$$+ \gamma c_1^T(k) c_2(k) + \mu c_1(-k) c_2(k) \\ - \mu c_1^T(k) c_2^*(-k) - \gamma c_2(-k) c_1^*(-k)$$

$$= \gamma (c_2^T(k) c_1(k) - c_2(-k) c_1^*(-k) + c_1^T(k) c_2(k) - c_1(-k) c_2^*(-k)) \\ + \mu^* (c_2^T(k) c_1^*(-k) - c_2(-k) c_1(k))$$

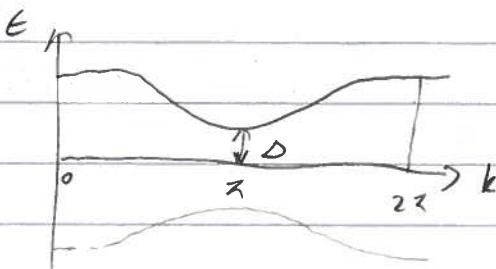
$$+ \mu (c_1(k) c_2(k) - c_1^*(k) c_2^*(-k))$$

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Can use the previous matrix, but switch the ones to δ , $\rho \rightarrow \tilde{\rho}$

$$\underline{M}(k) = \left(\begin{array}{cc|cc} 0 & 0 & \delta^{-1} & \\ 0 & 0 & \mu - \delta & \\ \hline \delta^{-1} & \mu - \delta & 0 & 0 \\ -\mu - \delta & 0 & 0 & 0 \end{array} \right) \quad \mu = \tilde{\beta} e^{ikx}$$

$$\epsilon(k) = \frac{J_F}{2} \sqrt{\tilde{\rho}^2 + \delta^2 + 2\tilde{\beta}\delta \cos(k)} \quad k \in [0, 2\pi]$$



The energy density (energy per unit volume per Ferromagnetic coupling) [dimensions]

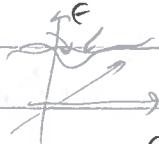
$$E := \frac{E}{N_{FE}} = -\frac{1}{2} \int_0^{2\pi} \frac{dk}{2\pi} \sqrt{\tilde{\rho}^2 + \delta^2 + 2\tilde{\beta}\delta \cos(k)}$$

$$\boxed{\epsilon = -\frac{1}{4\pi} \int_0^{2\pi} dk \left\{ \tilde{\beta}^2 [1 + 2\lambda(1+\alpha)]^2 + [1 - t(1+\alpha)]^2 + 2\tilde{\beta}[1 + 2\lambda(1+\alpha)][1 - t(1+\alpha)] \omega(k) \right\}^{\frac{1}{2}} + (1+\alpha)(\tilde{\rho}^2 - t^2)}$$

λ & δ are given parameters:

$$\left. \begin{array}{l} \lambda \in [-1, 0] \\ \delta \in [0, 1] \end{array} \right\} \begin{array}{l} \text{need to be} \\ \text{fixed first, then} \\ \text{vary} \end{array}$$

ϵ take random starting value (λ_0, t_0)



$$(\lambda_1, t_1) = (\lambda_0, t_0) - \Delta \epsilon (\lambda_0, t_0)$$

$$\epsilon, \epsilon_0$$

$$\frac{1}{10} \Delta \epsilon$$

λ, t need to be determined self-consistently:

$$\epsilon = \epsilon(\lambda, t)$$

$$\text{I. } \frac{\partial \epsilon}{\partial \lambda} = 0$$

$$\text{II. } \frac{\partial \epsilon}{\partial t} = 0$$

$$\Rightarrow \frac{\partial \epsilon}{\partial \lambda} - \frac{\partial \epsilon}{\partial t} = 0$$

$$\therefore 0 \approx$$

$$\text{when } \Delta \epsilon |_{\lambda, t} = \left(\begin{array}{c|c} \frac{\partial \epsilon}{\partial \lambda} & \lambda_0, t_0 \\ \hline \frac{\partial \epsilon}{\partial t} & \lambda_0, t_0 \end{array} \right) \quad \epsilon_{n+1}, \epsilon_n$$

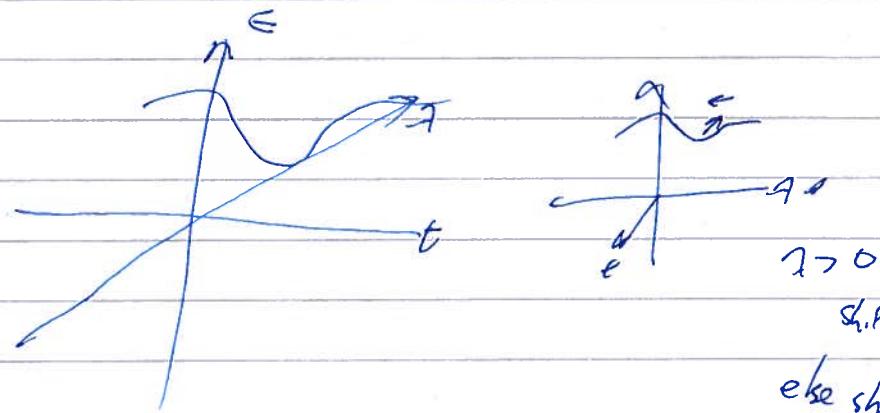
$$\hookrightarrow \frac{\partial \epsilon}{\partial \lambda} |_{\lambda_0, t_0} = \lim_{a \rightarrow 0} \frac{\epsilon(\lambda_0 + a, t_0) - \epsilon(\lambda_0, t_0)}{a} \approx \frac{\epsilon(\lambda_0 + a, t_0) - \epsilon(\lambda_0, t_0)}{a}$$

for a sufficiently small

\hookrightarrow need numerical integration as well. (elliptical integral)

~~take the inf~~

Wig's theorem



shift left
else shift right

$$\frac{de}{dt} = \sqrt{\beta^2 + 2\beta\tilde{\rho}\cos(k)}$$

$$= \pm \sqrt{\beta^2 + \gamma(1+2\beta\cos(k))}$$

$$\cos^2(k) = 1$$

$$\cos(k) = \pm \sqrt{2\alpha(\beta+1)}$$

$$(1-2t(1+\alpha))^2 + 2\cos(k)(1-2t(1+\alpha))\beta(1+2(1+\alpha)^2) + \beta^2(1+(1+\alpha)^2)^2 > 0$$

non-convergence \Rightarrow stepsize

$$\# > 0$$

saddle point

calculate Δ_{gap}

use new e

which has an

$$\frac{\partial L}{\partial u}$$

some line where the sign is zero

elliptic function

$$a \approx -0.1, b \approx 0.7$$

$$c(a, b)$$

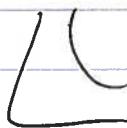
phase boundary by

density $a+b$

bisection

$$t_c = -0.133944$$

$$\beta_c = 0.464767$$



work out what the

sign is

\cap intersect.

\hookrightarrow $t = \text{mid}$,

Notes for meeting

$$\hat{A}_{yy} = -\frac{\beta}{2} \tilde{\gamma} \left\{ \underbrace{(1-2t(1/\alpha))}_{\gamma} (C_{i2}^+ C_{i1} + C_{i1}^+ C_{i2}) + \underbrace{\tilde{\beta}(1+2t(1/\alpha))}_{\tilde{\beta}} (C_{i111} C_{i2} + C_{i1} C_{i111}^+) \right\} + N \sqrt{\epsilon} (1/\alpha) (\beta \lambda^2 - t^2)$$

$$\gamma := (1-2t(1/\alpha))$$

$$\tilde{\beta} := \beta(1+2t(1/\alpha))$$

$$(A_{yy} = -\frac{\beta}{2} \tilde{\gamma} (C_{i2}^+ C_{i1} + C_{i1} C_{i2})) + \tilde{\beta} (C_{i11} +$$

$$D = D(\lambda, t)$$

check gaps.

determine Δt range myself
 $\Delta t < 1$ maybe

integrate numerically

try different Δt ,

to see if converge to
local/global minimum
See optimized algorithm
for numeric integration

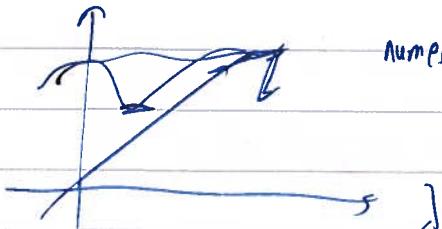
1. fix $\alpha, \beta \xrightarrow{f_1}$ then loop
 $\alpha \in [0, 1]$
 $\beta \in [0, 1]$

OR $\frac{e(\gamma_{\text{stab}}) - e(\gamma_{\text{tol}})}{\alpha}$
for sufficiently small α .

$$\text{II, } \text{converge } \Delta t^2 \\ \Rightarrow \frac{\partial e}{\partial \lambda} = \frac{\partial e}{\partial \tau} = 0 \quad \epsilon \approx 10^{-2} / 10^{-3}$$

II the same starting value

(λ, t_0)



numerical 2 comp vector

IV numerically integrate λ integral of cash

converge local / global minimum

there will be recursion,

hopefully

$$H = -\frac{J_F}{2} \sum_i [c_{i,1}^\dagger c_{i,2} + c_{i,2}^\dagger c_{i,1} + \beta (c_{i,1}^\dagger c_{i,2} + c_{i,2}^\dagger c_{i,1})]$$

$$- J_F (1+\alpha) \sum_i (\frac{1}{2} - c_{i,1}^\dagger c_{i,1}) (\frac{1}{2} - c_{i,2}^\dagger c_{i,2}) \quad \text{I}$$

$$- J_F \beta (1+\alpha) \sum_i (\frac{1}{2} - c_{i,1}^\dagger c_{i,2}) (\frac{1}{2} - c_{i,2}^\dagger c_{i,1}) \quad \text{II}$$

using all possible decoupling

$$\cancel{H} c_{i,1}^\dagger c_{i,1} c_{i,2}^\dagger c_{i,2} = -c_{i,1}^\dagger c_{i,2} c_{i,2}^\dagger c_{i,1} \stackrel{\text{MFA}}{\approx} -\langle c_{i,1}^\dagger c_{i,2} \rangle c_{i,2}^\dagger c_{i,1} - c_{i,1}^\dagger c_{i,2} \langle c_{i,2}^\dagger c_{i,1} \rangle + \langle c_{i,1}^\dagger c_{i,2} \rangle \langle c_{i,2}^\dagger c_{i,1} \rangle \\ = -t(c_{i,2}^\dagger c_{i,1} + c_{i,1}^\dagger c_{i,2}) + t^2$$

$$t = \langle c_{i,1}^\dagger c_{i,2} \rangle = \langle c_{i,2}^\dagger c_{i,1} \rangle \in \mathbb{R}$$

constraint of S:

$$c_{i,1}^\dagger c_{i,1} c_{i,2}^\dagger c_{i,2} \approx g(c_{i,1}^\dagger c_{i,1} + c_{i,2}^\dagger c_{i,2}) - g^2 \quad \Rightarrow g = \langle c_{i,1}^\dagger c_{i,2} \rangle = \langle c_{i,2}^\dagger c_{i,1} \rangle = \frac{1}{2} \in \mathbb{R} \\ - t(c_{i,2}^\dagger c_{i,1} + c_{i,1}^\dagger c_{i,2}) + t^2$$

$$c_{i,2}^\dagger c_{i,2} c_{i+1,1}^\dagger c_{i+1,1} \approx g(c_{i,2}^\dagger c_{i,2} + c_{i+1,1}^\dagger c_{i+1,1}) - g^2 \quad \langle t^2 \rangle = 0 \Leftrightarrow \langle c_{i,m}^\dagger c_{i,m} \rangle = \frac{1}{3} : S \\ + 2(c_{i,2}^\dagger c_{i+1,1} + c_{i+1,1}^\dagger c_{i,2}) - 2^2 \quad \Rightarrow \lambda = \langle c_{i+1,1}^\dagger c_{i,2} \rangle = \langle c_{i,2}^\dagger c_{i+1,1} \rangle \in \mathbb{R}$$

$$\text{I. } \sum_i (\frac{1}{2} - c_{i,1}^\dagger c_{i,1}) (\frac{1}{2} - c_{i,2}^\dagger c_{i,2}) = \cancel{\frac{N}{4}} - \frac{1}{2} \sum_i (c_{i,1}^\dagger c_{i,1} + c_{i,2}^\dagger c_{i,2}) - t(c_{i,2}^\dagger c_{i,1} + c_{i,1}^\dagger c_{i,2}) + Nt^2 \\ + \frac{1}{2} \sum_i (c_{i,1}^\dagger c_{i,1} + c_{i,2}^\dagger c_{i,2}) - \cancel{\frac{N}{4}} \\ = Nt^2 - t \sum_i (c_{i,2}^\dagger c_{i,1} + c_{i,1}^\dagger c_{i,2})$$

$$\text{II. } \sum_i (\frac{1}{2} - c_{i,2}^\dagger c_{i,2}) (\frac{1}{2} - c_{i+1,1}^\dagger c_{i+1,1}) \Rightarrow \cancel{\frac{N}{4}} - \frac{1}{2} \sum_i (c_{i,2}^\dagger c_{i,2} + c_{i+1,1}^\dagger c_{i+1,1}) + \frac{1}{2} \sum_i (c_{i,2}^\dagger c_{i,2} + c_{i+1,1}^\dagger c_{i+1,1}) - \cancel{\frac{N}{4}} \\ + \lambda \sum_i (c_{i,2}^\dagger c_{i+1,1} + c_{i+1,1}^\dagger c_{i,2}) - N\lambda^2 \\ \lambda = \langle c_{i+1,1}^\dagger c_{i,2} \rangle = \langle c_{i,2}^\dagger c_{i+1,1} \rangle \in \mathbb{R}$$

$$= \lambda \sum_i (c_{i,2}^\dagger c_{i+1,1} + c_{i+1,1}^\dagger c_{i,2}) - N\lambda^2$$

$$H_M = -\frac{J_F}{2} \sum_i \{ [1 - 2t(1+\alpha)] (c_{i,1}^\dagger c_{i,2} + c_{i,2}^\dagger c_{i,1}) \\ + \beta [1 + 2\lambda(1+\alpha)] [c_{i+1,1}^\dagger c_{i,2} + c_{i,2}^\dagger c_{i+1,1}] \} \\ + N \cancel{J_F} (1+\alpha) (\beta \lambda^2 - t^2)$$

$$\text{Setting } \gamma := 1 - 2t(1+\alpha)$$

$$\tilde{\beta} := \beta [1 + 2\lambda(1+\alpha)]$$

$$\hat{H}_{MF} = -\frac{J_F}{2} \sum_k (c_1^\dagger(k) c_1(-k) c_2^\dagger(k) c_2(-k)) \stackrel{M(k)}{=} \left(\begin{array}{c} c_1(k) \\ c_2^\dagger(-k) \\ c_2(k) \\ c_1^\dagger(-k) \end{array} \right) \\ + \cancel{J_F} (1+\alpha) (\beta \lambda^2 - t^2)$$

$$\int dk \sqrt{A^2 + B^2 + 2AB \cos(k)}$$

Mathematica

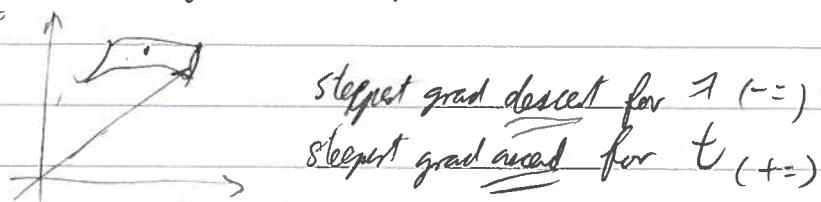
$$= 4|A+B| \text{EllipticE}\left(\frac{4AB}{(A+B)^2}\right)$$

$$A = p[1 + 2\tau(4\alpha)] \quad A = A(p, \tau, \alpha)$$

$$B = 1 - 2\tau(4\alpha) \quad B = B(\tau, \alpha)$$

$$\Rightarrow E = -\frac{1}{2}|A+B| \text{EllipticE}\left(\frac{4AB}{(A+B)^2}\right) \\ + (4\alpha)(p\tau^2 - t^2)$$

* actually finding a saddle point



problems with the first code:

- energy's wrong for some reason
- need use a new E which has an elliptic function

- if $\frac{\text{non-convergence}}{\text{over}} \Rightarrow$ use smaller steps

model answer for comparison:

for $a = -0.1$; $b = 0.8$

$$t = 0.13397$$

$$\tau = 0.464764$$

Next step:

work out phase boundaries by bisection

Report ~~draft~~

Method

2nd Quantized form

Derivation:

Start w/ Hamiltonian

In 2nd Quantized form

w/ spin operators

(Need a Picture)

↓
defining new operators

↓
JW transform

to my spinless fermions

↓ for $x^p \cos: \alpha = -1$

Fourier transform &

change to momentum space

↓ Double dimension $\rightarrow (8 \times 8)$ matrix

Rot. Bogoliubov transformation

to incorporate anomalous term

↓
obtaining matrix (4×4)

↓ diagonalise to get eigenvalue

and double check by MATLAB (?)

& Mathematica

↓

Mean field approach to make

interacting terms vanish,

by using all possible decoupling,

and introducing ~~the~~ 3 more

expectation values, with constraints

↓ & similar to XY case, with

some extra substitutions

diagonalisation is exactly the same as the $\alpha = 1$ case

↳ obtaining energy density equations

Computation:

$\alpha \in [0, 1], \beta \in [-1, 0]$

$\text{f} \# \lambda_0 = \text{rand}(0, 1)$

$t_0 = \text{randn}(0, 1)$

2 determining β & t NL self-consistently

$$\sqrt{\frac{\partial E}{\partial \beta}} = 0, \frac{\partial E}{\partial t} = 0$$

↳ description of code

Results:

1. energy density plot

2. phase transition diagram

Appendix: fit H vs λ_0

$$\hat{H} = -J \sum_i \frac{1}{2} \left((T_i^+ T_{i+1}^- + T_i^- T_{i+1}^+) + (1+\alpha) \underbrace{T_i^2 T_{i+1}^2}_{C} \right)$$

$$A = T_i^+ T_{i+1}^-$$

$$= D_i C_i C_{i+1}^+ D_{i+1}$$

$$= C_i D_i D_{i+1}^- C_{i+1}^+$$

$$= \underbrace{C_i (1 - 2C_i^+ C_i)}_{C_i^+ C_i = 1} C_{i+1}^+$$

$$= C_i (1 - 2C_i^+ C_i) C_{i+1}^+$$

$$= (C_i - 2C_i C_i^+ C_i) C_{i+1}^+$$

$$= C_i C_{i+1}^+ - 2 \underbrace{C_i C_i^+ C_i C_{i+1}^+}_{\{C_i, C_i^+\} = 1}$$

$\therefore C_i^+ =$

$$\{C_i, C_i^+\} = 1$$

$$C_i^+ C_i = 1 - C_i C_i^+$$

$$= C_i C_{i+1}^+ - 2 C_i (1 - C_i C_i^+) C_{i+1}^+$$

$$= C_i C_{i+1}^+ - \underbrace{(C_i - 2C_i C_i^+ C_i^+)}_{=0} C_{i+1}^+$$

$$A = -C_i C_{i+1}^+$$

$$\{C_i, C_{i+1}^+\} = 0$$

$$C_i C_{i+1}^+ = -C_{i+1}$$

$$B = C_i^+ C_{i+1}$$

$$H = -J \sum_i (A + B + 2(1+\alpha)C)$$

$$= -\frac{J}{2} \sum_i (-C_i C_{i+1}^+ + C_i^+ C_{i+1} + 2(1+\alpha) \left(\frac{1}{2} - C_i^+ C_i \right) \left(\frac{1}{2} - C_{i+1}^+ C_{i+1} \right))$$

$$= -\frac{J}{2} \sum_i (C_{i+1} C_i + C_i^+ C_{i+1}) + 2(1+\alpha) \left(\frac{1}{2} - C_i^+ C_i \right) \left(\frac{1}{2} - C_{i+1}^+ C_{i+1} \right)$$