

§ Sym break

§ Topological order, topological phase, topological phase transition,
 $J_A = J_B$

§ Alternating FM & AFM Couplings

§ Haldane spin chain

§ determine phase boundary of the topological phase as a
function of relative strength of the exchange coupling & the magnetic exchange anisotropy

§ Jordan - Wigner transformation ✓

§ non-local string operators

§ mean-field approx

§ coupled self-consistency eqns

§ simple ~~mode~~ model Hamiltonian ✓

§ fermion creation & annihilation operator c ✓

$\gamma \rightarrow 1$

- Strong anisotropy \Rightarrow 2-gap of spin frozen \Rightarrow fermions non-interacting
have topological phase transition @ $J_A = J_B$

- weaker anisotropy \Rightarrow mean-field approx \Rightarrow numerical solution of coupled
self-consistency equations

- $\gamma \rightarrow 0$

1D spin chain:

→ Heisenberg chain (Beth Ansatz)

use \rightarrow XY chain (Jordan-Wigner transformation) (Pg 22)

Periodic boundary conditions: Pg 29
Section 2.7 (An Intro to Quantum Spin systems)

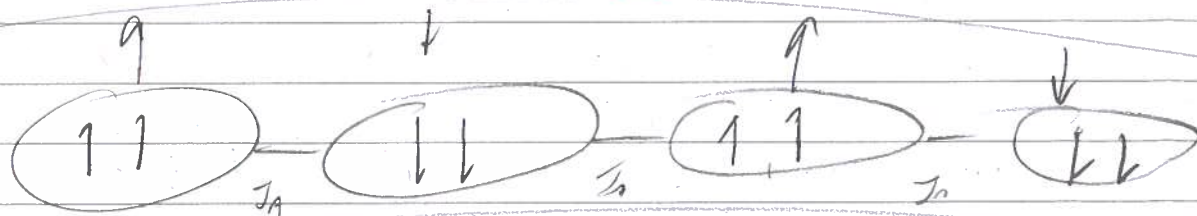
end

Ends of chain are joined

\rightarrow N^{th} site is a nearest-neighbour of the 1^{st} ,
as well as of $(N-1)^{\text{th}}$

taking $N \rightarrow \infty$

$$\begin{aligned} \mathcal{H} &= J [\underline{S}_1 \cdot \underline{S}_2 + \underline{S}_2 \cdot \underline{S}_3 + \dots + \underline{S}_N \cdot \underline{S}_1] \\ &= J \sum_{i=1}^N \underline{S}_i \cdot \underline{S}_{i+1} \quad i+N \equiv 1 \end{aligned}$$



g 80. γ : anisotropy \rightarrow combination of
Heisenberg & Ising

$\gamma = 0 \rightarrow$ XX model

$\gamma = 1 \rightarrow$ Ising case

A - B - C
A w/o B & C A & w/o C

correction factor for anisotropy?

Ham. / Energy \Rightarrow

$$\mathcal{H} = J \sum_j [(1+\gamma) S_j^x S_{j+1}^x + (1-\gamma) S_j^y S_{j+1}^y]$$

g 81 \Rightarrow ~~$\mathcal{H} = J \sum_j \Delta S_j^z S_{j+1}^z + S$~~

$$= J \sum_j \left[\gamma (S_j^x S_{j+1}^x - S_j^y S_{j+1}^y) + (S_j^z S_{j+1}^z + S_j^x S_{j+1}^x + S_j^y S_{j+1}^y) \right]$$



Spin operator & Fermion Operator § 62

Pauli Spin Matrices

For a single site i :

$$+ \equiv 1$$

$$- \equiv 0$$

$$S_i^x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$S_i^y = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \hbar = 1$$

$$S_i^z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\hat{S}^+ = \hat{S}^x + i \hat{S}^y$$

$$\hat{S}^- = \hat{S}^x - i \hat{S}^y$$

Δ

$$S^+ |+\rangle = 0 \quad S^- |-\rangle = 0$$

$$S^+ |-\rangle = |+\rangle \quad S^- |+\rangle = |-\rangle$$

Page 60

Raising operator

$$a_i^\dagger = S_i^x + i S_i^y$$

$$a_i = S_i^x - i S_i^y$$

$$S_i^+ |-\rangle = |+\rangle \quad S_i^+ |+\rangle = 0$$

$$S_i^- |-\rangle = 0$$

$$S_i^- |+\rangle = |-\rangle \quad S_i^- |+\rangle = |-\rangle$$

$$S_i^z |-\rangle = -\frac{1}{2} |-\rangle \quad S_i^z |+\rangle = \frac{1}{2} |+\rangle$$

$$\Rightarrow S_i^- S_i^+ + S_i^+ S_i^- = 1 \quad - (S_i^- S_i^+ + S_i^+ S_i^-) |+\rangle = |+\rangle$$

$$\Rightarrow S_i^2 = S_i^{+2} = 0$$

Commutator relationship for a single sites $i \neq j$

$$[S_i^-, S_j^+] \equiv S_i^- S_j^+ - S_j^+ S_i^- = 0$$

$$S_i^- S_j^+ + S_j^+ S_i^- = 2 S_i^- S_j^+$$

$$S_i^- S_j^- + S_j^- S_i^- = 2 S_i^- S_j^-$$

$$S_i^+ S_j^+ + S_j^+ S_i^+ = 2 S_i^+ S_j^+$$

for

anticommutator for fermions
 \Downarrow analogous

Introducing Fermion operators

c_i & c_i^\dagger commutator for boson particles

Fermions \Rightarrow anticommute

$$\{c_i, c_j^\dagger\} \equiv c_i c_j^\dagger + c_j^\dagger c_i = \delta_{ij} \begin{cases} i=j \Rightarrow 1 \\ i \neq j \Rightarrow 0 \end{cases}$$

$$\{c_i, c_j\} = 0$$

$$\{c_i^\dagger, c_j^\dagger\} = 0 \Rightarrow \text{can be simultaneously measured}$$

For single site \Rightarrow can do $S_i^- = c_i$ $S_i^+ = c_i^\dagger$

BUT \Rightarrow For different sites

Spin operator can be represented in terms of the fermions (c_i)

Transformation from spin operator to fermion operator

$$\Rightarrow \left[\begin{array}{ll} S_i^- = c_i & S_i^+ = c_i^\dagger \\ S_2^- = [\exp(i\pi c_1^\dagger c_1)] c_2 & S_2^+ = c_2^\dagger [\exp(-i\pi c_1^\dagger c_1)] \\ S_i^- = Q_i c_i \quad i \geq 1 & S_i^+ = c_i^\dagger Q_i^\dagger \quad i \geq 1 \end{array} \right]$$

$$Q_i = \exp \left[i\pi \sum_{l=1}^{i-1} c_l^\dagger c_l \right]$$

\Rightarrow Now, need to prove the spin operator from 6.13 commute when on different sites ($i \neq j$)

introduce new operators, A_i and T_i n_i, T_i, Q_i

Let $|+\rangle$ & $|-\rangle$ be the basis for the i^{th} site

Fermion operators acting on these basis functions

$$\begin{array}{ll} c_i^\dagger |+\rangle = 0 & c_i^\dagger |-\rangle = |+\rangle \\ c_i |+\rangle = |-\rangle & c_i |-\rangle = 0 \end{array}$$

Defining:

$n_i =$ number operator

↳ counts the value of the z-component of the angular momentum relative to the state $|-\rangle$

$$n_i \equiv c_i^\dagger c_i$$

$$n_i^\dagger = n_i$$

$$n_i |+\rangle = c_i^\dagger c_i |+\rangle = c_i^\dagger |-\rangle = |+\rangle$$

$$n_i |-\rangle = 0 |-\rangle \quad \text{spin down}$$

$$n_i |-\rangle = c_i^\dagger c_i |-\rangle = 0$$

$$n_i^\dagger |+\rangle = 1 |+\rangle \quad \text{spin up}$$

defining $T_i = e^{i\pi c_i^\dagger c_i} = e^{i\pi n_i}$

$$\Rightarrow T_i |+\rangle = e^{i\pi n_i} |+\rangle = e^{i\pi \cdot 1} |+\rangle = -|+\rangle \quad n_i = 1 \text{ for spin up}$$

$$T_i |-\rangle = e^{i\pi n_i} |-\rangle = e^{i\pi \cdot 0} |-\rangle = +|-\rangle \quad n_i = 0 \text{ for spin down}$$

$$T_i^\dagger = e^{-i\pi n_i}$$

$$T_i^\dagger |+\rangle = -|+\rangle$$

$$T_i^\dagger |-\rangle = |-\rangle$$

∴ effect of T_i^\dagger is the same as that of T_i on the two basis states

$$\Rightarrow T_i^\dagger = T_i$$

$$\therefore T_i^2 |+\rangle = |+\rangle \quad \& \quad T_i^2 |-\rangle = |-\rangle$$

$$\hookrightarrow T_i^2 = 1$$

$$n_i n_j = n_j n_i$$

$$\Rightarrow n_i n_j - n_j n_i = 0$$

by commutator relationships

for $\{c_i, c_j^\dagger\} = \delta_{ij}$

$$\{c_i, c_j^\dagger\}$$

$$[T_i, T_j] = 0$$

$$Q_i = \exp \left[i\hbar \sum_{l=1}^{i-1} n_l \right] \quad \& \quad \overset{\text{all}}{n_l} \text{ commute.}$$

Prove that

Provided that $(A, B) = 0$

$$Q_i = \prod_{l=1}^{i-1} e^{i\hbar n_l} = \prod_{l=1}^{i-1} e^{A_l B_l} = e^{A_1} e^{A_2} \dots e^{A_{i-1}}$$

$$Q_i^\dagger = \prod_{l=1}^{i-1} e^{-i\hbar n_l} = \prod_{l=1}^{i-1} T_l^\dagger = \prod_{l=1}^{i-1} T_l = Q_i$$

$$Q_i^\dagger = Q_i$$

$$[C_i, n_j] = C_i n_j - n_j C_i = C_i C_j^\dagger C_j - C_j^\dagger C_j C_i$$

$$= -C_j^\dagger C_i C_j + C_j^\dagger C_i C_j = 0$$

$$[C_i, n_j] = 0$$

$$\{C_i, T_j\} = 0$$

$$[C_i, T_j] = 0$$

$$[C_i, T_j] \neq 0$$

$$[C_i^\dagger, T_j] = 0$$

$$[C_i, Q_j] = 0$$

$$[C_i^\dagger, Q_j] = 0$$

$$[S_i^-, S_j^+] = 0$$

see 6.18-6.21

pg. 65

using 6.1

$$S_j^x S_{j+1}^x = \frac{1}{2} (S_j^+ + S_j^-) \frac{1}{2} (S_{j+1}^+ + S_{j+1}^-)$$

$$= \frac{1}{4} (S_j^+ S_{j+1}^+ + S_j^- S_{j+1}^-) + \frac{1}{4} (S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-)$$

(??)

$$S_j^y S_{j+1}^y = \frac{1}{2i} (S_j^+ - S_j^-) \frac{1}{2i} (S_{j+1}^+ - S_{j+1}^-)$$

$$= -\frac{1}{4} (S_j^+ S_{j+1}^+ + S_j^- S_{j+1}^-) + \frac{1}{4} (S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-)$$

Hamiltonian of the XY model

in terms of the spin operators

$$\mathcal{H} = J \sum_{j=1}^N [(1+\gamma) S_j^x S_{j+1}^x + (1-\gamma) S_j^y S_{j+1}^y]$$

$$= \frac{J}{2} \sum_{j=1}^N [(S_j^- S_{j+1}^+ + S_j^+ S_{j+1}^-) + \gamma (S_j^+ S_{j+1}^+ + S_j^- S_{j+1}^-)]$$

$\gamma=0 \rightarrow$ XY-model

in terms of spin \rightarrow fermion operators

$$S_j^- S_{j+1}^+ = Q_j c_j c_{j+1}^+ Q_{j+1}$$

$$= c_j T_j c_{j+1}^+ \quad [\text{using commutation relationships}]$$

$$\begin{aligned} c_j T_j |+\rangle &= -|+\rangle & c_j |+\rangle &= |+\rangle \\ c_j T_j |-\rangle &= 0 & c_j |-\rangle &= 0 \end{aligned}$$

$$c_j T_j = -c_j$$

$$[S_j^- S_{j+1}^+ = -c_j c_{j+1}^+ = c_{j+1}^+ c_j]$$

similarly

$$\begin{aligned} S_j^+ S_{j+1}^- &= c_j^+ c_{j+1} \\ S_j^+ S_{j+1}^+ &= c_j^+ c_{j+1}^+ \\ S_j^- S_{j+1}^- &= c_{j+1} c_j \end{aligned}$$

For $j=N$, at the end of the series, for periodic boundary conditions:

$$S_N^+ S_1^- = Q_N c_N^+ c_1 \neq c_N^+ c_1 = Q_N c_N^+ c_1 \neq c_N^+ c_1$$

Subbing to the Hamiltonian

$$\mathcal{H} = \frac{J}{2} \sum_{j=1}^N [(c_{j+1}^+ c_j + c_j^+ c_{j+1}) + \gamma (c_j^+ c_{j+1}^+ + c_{j+1} c_j)]$$

$$= \frac{J}{2} [(c_1^+ c_N + c_N^+ c_1) + \gamma (c_N^+ c_1^+ + c_1 c_N)]$$

$$+ \frac{J}{2} Q_N [(c_N c_1^+ + c_1^+ c_N) + \gamma (c_N^+ c_1^+ + c_N c_1)]$$



can be neglected in the limit that $N \rightarrow \infty$
C-cyclic problem

in Ann Phy 11, 457 (1961)

it is in matrix form

In textbook An introduction to Quantum Spin Systems

- 5.4.2 - spins can be arranged in a definite order,
- only neighbouring spins interact
 - 2-components of spins cannot be entered.

$$\cos k = \frac{e^{ik} + e^{-ik}}{2}$$

Eq 6.27:

$$\mathcal{H} = \frac{1}{2} \sum_{j=1}^N [(c_{j+1}^\dagger c_j + c_j^\dagger c_{j+1}) + \gamma (c_j^\dagger c_{j+1}^\dagger + c_{j+1} c_j)]$$

free quadratic Hamiltonian involving only fermion operators

Diagonalise to make use of the translational invariance by

Introducing Fourier transformed operators d_k & d_k^\dagger

diagonalize the Hamiltonian

find Eigenvalue

$$d_k = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{-ikj} c_j$$

$$k \in [-\pi, \pi]$$

$$k = \frac{2\pi}{N} \lambda$$

$$\lambda = (-\frac{N}{2} + 1), \dots, (\frac{N}{2})$$

$$d_k, d_{k_2} = -d_{-k}, d_{-k_2}$$

$$d_k^\dagger = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{ikj} c_j^\dagger$$

$$d_k, d_{k_2} + d_{k_2}, d_k = 0$$

reverse transform

$$\{d_k, d_{k_2}\} = \{d_k^\dagger, d_{k_2}^\dagger\} = 0$$

$$c_j = \frac{1}{\sqrt{N}} \sum_k e^{ikj} d_k$$

using the properties of c_j & c_j^\dagger

d_k 's are fermions

$$c_j^\dagger = \frac{1}{\sqrt{N}} \sum_k e^{-ikj} d_k^\dagger$$

$$\{d_k, d_{k_2}^\dagger\} = \delta_{k, k_2}$$

$$\sum_j e^{i(k-k_2)j} = N \delta_{k, k_2}$$

orthogonality relationships

rewriting each term of the Hamiltonian

$$\sum_j c_{j+1}^\dagger c_j = \sum_j \frac{1}{N} \sum_{k_1, k_2} e^{-ik_1(j+1)} e^{ik_2 j} d_{k_1}^\dagger d_{k_2}$$

$$= \frac{1}{N} \sum_{k_1, k_2} e^{-ik_1} N \delta_{k_1, k_2} d_{k_2}^\dagger d_{k_2}$$

picking $k_1 = k_2 = k$

$$\Rightarrow \sum_k e^{-ik} d_k^\dagger d_k$$

$$\text{Similarly: } \sum_j c_j^\dagger c_{j+1} = \sum_k e^{ik} d_k^\dagger d_k \Rightarrow \sum_j c_{j+1} c_j^\dagger = \sum_k e^{-ik} d_k^\dagger d_k$$

$$\sum_j c_j^\dagger c_{j+1}^\dagger = \sum_k e^{ik} d_k^\dagger d_{-k}^\dagger$$

$$\sum_j c_{j+1} c_j = \sum_k e^{ik} d_k d_{-k}$$



lin comb of fermion operators

↳ Bogoliubov transformation

$$\rightarrow H = J \sum_k \left[\cos k (d_k^\dagger d_k) + \gamma \frac{e^{ik}}{2} (d_k^\dagger d_{-k}^\dagger + d_k d_{-k}) \right] \quad \text{quasi-particle operators}$$

- no coupling between states w/ diff $|k|$
- still need to diagonalize the coupled k & $-k$ terms

(in momentum space) } }

instead of summing $k \in [-\pi, \pi]$

|| combine k & $-k$

↳ summing $k \in [0, \pi]$

$$H = J \sum_{k=0}^{\pi} \left[\cos(k) (d_k^\dagger d_k + d_{-k}^\dagger d_{-k}) + \gamma \left[\frac{e^{ik}}{2} (d_k^\dagger d_{-k}^\dagger + d_k d_{-k}) + e^{-ik} (d_{-k}^\dagger d_k^\dagger + d_{-k} d_k) \right] \right]$$

using anticommutation relationships

$$H = J \sum_{k=0}^{\pi} \left[\cos k (d_k^\dagger d_k + d_{-k}^\dagger d_{-k}) + \gamma [i \sin k (d_k^\dagger d_{-k}^\dagger + d_k d_{-k})] \right]$$

||

- only d_k and d_{-k}
 d_k^\dagger and d_{-k}^\dagger

diagonalize

the Hamiltonian

↳ we need to look for two diff lin-comb η_k & η_{-k}

?&

also fermion operators

$$\begin{cases} \eta_k = A_k d_k + B_k d_{-k}^\dagger \\ \eta_{-k} = C_k d_{-k} + D_k d_k^\dagger \end{cases}$$

anti-commutation
w/ commutation
relationships

$$\begin{cases} \{\eta_k, \eta_k\} = \{\eta_k^\dagger, \eta_k^\dagger\} = 0 \\ \{\eta_{-k}, \eta_{-k}\} = \{\eta_{-k}^\dagger, \eta_{-k}^\dagger\} = 0 \\ \{\eta_k, \eta_{-k}^\dagger\} = \{\eta_{-k}, \eta_k^\dagger\} = 0 \\ \{\eta_k, \eta_k^\dagger\} = \{\eta_{-k}, \eta_{-k}^\dagger\} = 1 \\ \{\eta_k, \eta_{-k}\} = \{\eta_k^\dagger, \eta_{-k}^\dagger\} = 0 \end{cases}$$

$$|A_k|^2 + |B_k|^2 = 1$$

$$|C_k|^2 + |D_k|^2 = 1$$

$$\mathcal{H} = \sum_{k>0}^k \cos k (d_k^\dagger d_k + d_{-k}^\dagger d_{-k}) + \gamma [\sin k (d_k^\dagger d_{-k}^\dagger + d_k d_{-k})]$$

tgt w/ lin comb of n_k, n_{-k} & d_k, d_{-k}

has the form below:

$$\mathcal{H} = \sum_{k>0} [\Lambda_{1k} n_k^\dagger n_k + \Lambda_{2k} n_{-k}^\dagger n_{-k} + \underbrace{X_k}_{\text{constant}}]$$