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June 2023

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Mishra, Sudhakar, "Ethical Implications of Artificial Intelligence and Machine Learning in Libraries and Information Centers: A Frameworks, Challenges, and Best Practices" (2023). *Library Philosophy and Practice (e-journal)*. 7753.

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Ethical Implications of Artificial Intelligence and Machine Learning in Libraries and Information Centres: A Frameworks, Challenges, and Best Practices

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Abstract

The use of artificial intelligence (AI) and machine learning (ML) is increasingly becoming prevalent in libraries and information centres, promising to improve service delivery and efficiency. However, the use of these technologies also poses significant ethical challenges and risks, including bias and discrimination, privacy and security, automation and job displacement, and the lack of human interaction in service delivery. This conceptual paper provides an overview of the key ethical frameworks and principles relevant to the use of AI and ML in libraries and information centres, and analyses how these frameworks can be applied to this specific context. The paper also discusses the potential benefits and risks of these technologies, and provides best practices and strategies for mitigating the ethical risks associated with their use. Finally, the paper highlights the implications of these findings for libraries and information centres, and recommends future research and practice in this area, including on-going evaluation and monitoring of the ethical implications of AI and ML. Overall, this paper underscores the importance of taking a proactive and ethical approach to the use of AI and ML in libraries and information centres to ensure that these technologies are used in a way that aligns with their mission and values, and serves the best interests of their users and society as a whole.

Keywords: Artificial Intelligence, Machine Learning, Libraries, Information Centres, Frameworks, Challenges, Discrimination, Privacy, Security, Automation, Human Interaction.

1. Introduction

Artificial intelligence (AI) and machine learning (ML) are increasingly being used in libraries and information centres to improve service delivery and efficiency. However, the use of these technologies also raises significant ethical challenges and risks, which must be considered to ensure that they are used in a way that aligns with the values and mission of these institutions.

This paper aims to provide an overview of the key ethical frameworks and principles relevant to the use of AI and ML in libraries and information centres, and analyse how these frameworks can be applied to this specific context. The paper will also discuss the potential benefits and risks of these technologies and provide best practices and strategies for mitigating the ethical risks associated with their use.

The importance of this paper lies in the need for libraries and information centres to adopt a proactive and ethical approach to the use of AI and ML. By doing so, they can ensure that these technologies are used in a way that serves the best interests of their users and society as a whole and that aligns with their mission and values.

1.1 Background and Context

The use of artificial intelligence (AI) and machine learning (ML) is becoming increasingly prevalent in a variety of industries, including libraries and information centres. AI and ML technologies can assist in automating routine tasks, such as cataloguing and indexing, and provide more efficient and accurate information retrieval and management services.

However, the use of AI and ML in libraries and information centres also raises significant ethical implications that need to be carefully considered. These technologies are not infallible and may produce biased or inaccurate results that can harm marginalized groups or perpetuate stereotypes. In addition, the automation of tasks may lead to job displacement or reduced interaction with human librarians, which can negatively impact the quality of services provided.

Therefore, there is a need to examine the potential risks and challenges associated with the use of AI and ML in libraries and information centres, and to develop strategies to mitigate these risks while ensuring that the benefits of these technologies are realized. This research paper or conceptual paper aims to provide an overview of the ethical implications of using AI and ML in libraries and information centres and to offer best practices and strategies for ensuring their ethical use.

1.2 Research Question and Objectives

What are the potential risks and challenges associated with the use of AI and machine learning in libraries and information centres, and how can these be mitigated?

- i. To identify the key ethical issues and challenges associated with the use of AI and ML in libraries and information centres.
- ii. To examine relevant ethical frameworks and principles that could guide the ethical use of AI and ML in libraries and information centres.
- iii. To investigate best practices and strategies that libraries and information centres
- iv. To provide recommendations for libraries and information centres
- v. To highlight the importance of on-going evaluation and monitoring of the ethical implications of AI and ML in libraries and information centres

The paper aims to provide a comprehensive analysis of the ethical implications of using AI and ML in libraries and information centres and to offer practical recommendations for mitigating risks and ensuring responsible use of these technologies.

1.3 Need of the Study

The study of the ethical implications of using AI and machine learning in libraries and information centres is important for several reasons.

These technologies are becoming increasingly prevalent in the library and information profession, and their use has the potential to transform the way that libraries and information

centres operate. The use of AI and machine learning in libraries and information centres raises significant ethical challenges, such as the risk of bias and discrimination, privacy concerns, job displacement, and the loss of human interaction in service delivery. The ethical use of AI and machine learning in libraries and information centres has implications beyond the library profession. As these technologies become more widespread in society, it is essential to develop ethical frameworks and guidelines that can be applied across different industries and sectors.

This study is important because it will provide recommendations and strategies that libraries and information centres can adopt to ensure the ethical use of AI and machine learning. By providing guidance on how to mitigate risks and address ethical challenges, this study can help libraries and information centres to continue to provide high-quality services to their users while ensuring that the use of AI and machine learning is responsible and ethical.

2. Theoretical Framework

The Overview of key ethical frameworks and principles relevant to the use of AI and machine learning in libraries and information centres:

There are several ethical frameworks and principles that are relevant to the use of AI and machine learning in libraries and information centres. These include:

- i. **Fairness:** AI and machine learning algorithms should be designed and implemented in a way that treats all individuals fairly and without bias, regardless of their race, gender, religion, or other personal characteristics.
- ii. **Transparency:** The decisions and actions of AI and machine learning systems should be transparent and explainable to users, stakeholders, and regulatory bodies.
- iii. **Accountability:** Developers and implementers of AI and machine learning systems should be accountable for the decisions and actions of their systems, and should be able to explain and justify these decisions.
- iv. **User Autonomy:** Users should have control over their personal information and should be able to make informed decisions about how their data is used in AI and machine learning systems.
- v. **Privacy:** AI and machine learning systems should be designed and implemented in a way that respects the privacy of individuals and their personal information.
- vi. **Human oversight:** AI and machine learning systems should be subject to human oversight and intervention to ensure that decisions and actions are ethical and aligned with the goals of the library or information centre.
- vii. **Beneficence:** AI and machine learning systems should be designed and implemented in a way that promotes the well-being of individuals and the community, and that aligns with the values and mission of the library or information centre.

These ethical frameworks and principles can help guide the development and implementation of AI and machine learning systems in libraries and information centres, and can ensure that these systems are used in a responsible and ethical manner that prioritizes the needs and interests of their users.

2.1 The frameworks and Principles can be applied to the specific context of Libraries and Information Centres

The ethical frameworks and principles can be applied to the specific context of libraries and information centres in several ways:

- i. **Fairness:** Libraries and information centres can ensure that their AI and machine learning systems are designed in a way that treats all users fairly, without bias or discrimination. For example, they can ensure that their systems are trained on diverse data sets that reflect the diversity of their user base and that they regularly evaluate their systems for bias and discrimination.
- ii. **Transparency:** Libraries and information centres can ensure that their AI and machine learning systems are transparent by providing clear explanations of how they work, what data they use, and how decisions are made. This can help build trust with users and stakeholders and can help identify potential problems or biases.
- iii. **Accountability:** Libraries and information centres can ensure that they are accountable for the decisions and actions of their AI and machine learning systems by establishing clear policies and procedures for their use. This can include regular monitoring and evaluation of their systems, as well as training and education for staff and stakeholders.
- iv. **User Autonomy:** Libraries and information centres can ensure that their AI and machine learning systems respect user autonomy by providing users with control over their personal information and by allowing them to make informed decisions about how their data is used. This can include providing clear and understandable privacy policies, as well as options for users to opt-out or control how their data is used.
- v. **Privacy:** Libraries and information centres can ensure that their AI and machine learning systems respect user privacy by collecting and using personal data only for specific, legitimate purposes and by implementing strong security measures to protect this data from unauthorized access or use.
- vi. **Human oversight:** Libraries and information centres can ensure that their AI and machine learning systems are subject to human oversight and intervention to ensure that decisions and actions align with the values and goals of the library or information centre. This can include establishing clear procedures for when human intervention is necessary, as well as providing training and support for staff that are responsible for overseeing these systems.
- vii. **Beneficence:** Libraries and information centres can ensure that their AI and machine learning systems are designed and implemented in a way that promotes the well-being of individuals and the community. This can include prioritizing the needs and interests of users, as well as ensuring that these systems align with the values and mission of the library or information centre.

Applying these ethical frameworks and principles, libraries and information centres can ensure that their AI and machine learning systems are used in a responsible and ethical manner that prioritizes the needs and interests of their users and the broader community.

3. AI and Machine Learning in Libraries and Information Centres

To ensure clarity and understanding, it is important to define key terms and concepts related to the use of AI and machine learning in libraries and information centres. Some of these terms include:

- i. **Artificial Intelligence (AI):** A branch of computer science that focuses on the development of algorithms and systems that can perform tasks that typically require human intelligence, such as perception, reasoning, learning, and decision-making.
- ii. **Machine Learning:** A type of AI that involves the use of algorithms and statistical models to enable computers to learn from data and make decisions or predictions without being explicitly programmed.
- iii. **Bias:** The presence of systematic errors or inaccuracies in AI and machine learning systems that result in unfair or discriminatory outcomes for certain individuals or groups.
- iv. **Transparency:** The ability to clearly understand the inner workings of an AI or machine learning system, including the data used, the algorithms used, and the decision-making process.
- v. **Accountability:** The responsibility of individuals or organizations to be answerable for the decisions and actions of their AI or machine learning systems.
- vi. **User Autonomy:** The ability of users to control their personal information and make informed decisions about how it is used in AI and machine learning systems.
- vii. **Privacy:** The ability of individuals to control access to their personal information and ensure that it is used only for specific, legitimate purposes.
- viii. **Human oversight:** The role of humans in overseeing the decisions and actions of AI and machine learning systems to ensure that they align with ethical and moral standards.
- ix. **Beneficence:** The principle of doing well and promoting the well-being of individuals and communities through the use of AI and machine learning systems.

3.1 The current state of AI and Machine Learning in Libraries and Information Centres

In recent years, AI and machine learning have emerged as important tools for libraries and information centres. These technologies have the potential to automate routine tasks, improve access to information, and enhance the user experience. Some of the ways in which AI and machine learning are currently being used in libraries and information centres include:

- i. **Recommender Systems:** AI algorithms are used to recommend books, articles, and other resources to users based on their search history and preferences.

- ii. **Natural Language Processing:** Machine learning is used to analyse and understand natural language queries and to generate more accurate search results.
- iii. **Cataloguing and Metadata:** AI is used to automatically catalog and classifies resources, such as books and articles, based on their content and metadata.
- iv. **Text Mining and Data Analysis:** Machine learning algorithms are used to analyse large collections of text and data to identify patterns and trends, and to gain insights into user behaviour.
- v. **Chatbots and Virtual Assistants:** AI-powered chatbots and virtual assistants are used to answer user questions and provide assistance.

While the use of AI and machine learning in libraries and information centres has great potential, there are also concerns about the ethical and social implications of these technologies. For example, there are concerns about bias in algorithms, the privacy of user data, and the potential for job displacement among librarians and information professionals. It is important for libraries and information centres to carefully consider these implications and to implement appropriate safeguards and ethical frameworks to ensure that these technologies are used in a responsible and beneficial manner.

3.2 The potential benefits and Risks of these Technologies

AI and machine learning technologies offer several potential benefits for libraries and information centres, including:

- i. **Improved Access to Information:** These technologies can help users find and access information more quickly and accurately.
- ii. **Personalized Recommendations:** AI algorithms can provide personalized recommendations to users based on their search history and preferences.
- iii. **More Efficient Resource Management:** Automation of routine tasks such as cataloguing and metadata management can free up staff time for higher value activities.
- iv. **Enhanced User Experience:** Chatbots and virtual assistants powered by AI can provide instant assistance and support to users.
- v. **Bias and Discrimination:** Algorithms can be biased against certain groups, resulting in unfair or discriminatory outcomes.
- vi. **Privacy Concerns:** The use of AI and machine learning requires the collection and processing of user data, raising concerns about privacy and data protection.
- vii. **Dependence on Technology:** Overreliance on AI and machine learning can lead to a loss of important human skills and expertise.
- viii. **Ethical Concerns:** The use of these technologies raises important ethical questions, such as how to ensure accountability and transparency in decision-making processes.
- ix. **Cost:** Implementing these technologies can be expensive and may require significant investment in hardware, software, and training.

To address these risks and challenges, libraries and information centers need to carefully consider the implications of using AI and machine learning and implement appropriate

safeguards and ethical frameworks. This includes incorporating principles of fairness, transparency, and accountability into the design and implementation of AI systems, and ensuring that user data is collected and processed in a responsible and transparent manner. Additionally, on-going monitoring and evaluation of these systems is necessary to ensure that they are operating effectively and ethically.

4. Ethical Implications of AI and Machine Learning in Libraries and Information Centres

The use of AI and machine learning in libraries and information centres raises several important ethical issues and challenges, including:

- i. **Bias and Discrimination:** AI algorithms can perpetuate and even amplify existing biases in society. This can result in discriminatory outcomes for certain groups, such as women, people of color, and people with disabilities.
- ii. **Privacy and Security:** The collection and processing of user data by AI systems raises concerns about privacy and security. Users may not be aware of the data that is being collected and how it is being used, and there is a risk that this data could be used for nefarious purposes.
- iii. **Automation and Job Displacement:** The automation of routine tasks, such as cataloguing and metadata management, has the potential to displace jobs and change the role of librarians and information professionals.
- iv. **Lack of Human Interaction in Service Delivery:** AI-powered chatbots and virtual assistants can provide instant assistance to users, but they lack the human touch and personal interaction that many users value.

To address these ethical challenges, libraries and information centres need to carefully consider the implications of using AI and machine learning and implement appropriate safeguards and ethical frameworks. This includes:

- i. **Fairness and Accountability:** AI systems should be designed to ensure that decisions are fair and transparent, and that there is accountability for any negative outcomes.
- ii. **Privacy and Data Protection:** Libraries and information centres need to be transparent about the data that is being collected and how it is being used, and implement appropriate measures to protect user privacy.
- iii. **Job Displacement:** Libraries and information centres should carefully consider the impact of automation on their staff, and provide training and support to help employees develop new skills and adapt to changing roles.
- iv. **Human Interaction:** Libraries and information centres should carefully consider the balance between AI-powered automation and human interaction, and ensure that users have access to both as needed.

The addressing these ethical challenges, libraries and information centres can ensure that the use of AI and machine learning is responsible, ethical, and beneficial for all stakeholders.

4.1 Analysis of these Issues and Challenges relate to the Theoretical Frameworks and Principles

The ethical issues and challenges related to the use of AI and machine learning in libraries and information centres are closely related to the theoretical frameworks and principles. Let's analyse each issue and its relation to the ethical frameworks:

- i. **Bias and Discrimination:** The issue of bias and discrimination in AI is related to the principles of justice, fairness, and non-discrimination. These principles are fundamental to many ethical frameworks and require that AI systems be designed and used in ways that do not unfairly disadvantage certain groups. The principle of transparency is also relevant here, as it requires that AI systems be designed in a way that makes their decisions and processes understandable and auditable.
- ii. **Privacy and Security:** The issues of privacy and security in AI relate to the principles of autonomy and informed consent. These principles require that users have control over their personal data and that they are fully informed about how their data is being used. Additionally, the principle of beneficence requires that libraries and information centres take steps to protect user data and ensure that it is not used for nefarious purposes.
- iii. **Automation and Job Displacement:** The issue of job displacement is related to the principles of beneficence and non-maleficence. These principles require that libraries and information centres consider the potential harms and benefits of AI systems and implement them in ways that minimize harm to employees. Additionally, the principle of justice requires that libraries and information centres take steps to ensure that employees are not unfairly disadvantaged by the introduction of AI systems.
- iv. **Lack of Human Interaction in Service Delivery:** The issue of the lack of human interaction in service delivery is related to the principles of autonomy and beneficence. These principles require that libraries and information centres consider the preferences and needs of users when designing AI systems and ensure that users have access to the human interaction they desire. Additionally, the principle of fidelity requires that libraries and information centres be faithful to their professional values and ensure that users receive the highest quality of service.

The overall, these ethical issues and challenges demonstrate the importance of applying ethical frameworks and principles to the use of AI and machine learning in libraries and information centres. By doing so, libraries and information centres can ensure that their use of AI is responsible, ethical, and beneficial for all stakeholders.

5. Best Practices and Strategies for Mitigating Risks

The best practices and strategies that libraries and information centres can adopt to mitigate the ethical risks associated with the use of AI and machine learning in libraries and information centres, several best practices and strategies can be adopted:

- i. **Engage with Stakeholders:** Libraries and information centres should engage with all stakeholders, including employees, users, and the wider community, to ensure that

their concerns and preferences are taken into account when designing and implementing AI systems.

- ii. **Establish Clear Policies and Guidelines:** Libraries and information centres should establish clear policies and guidelines for the use of AI systems, including guidelines for data collection, storage, and use, as well as guidelines for the development and implementation of AI algorithms.
- iii. **Provide Training and Education to Staff:** Libraries and information centres should provide training and education to staff on the ethical considerations of AI and machine learning, including issues of bias and discrimination, privacy and security, and job displacement.
- iv. **Audit and Test Algorithms:** Libraries and information centres should regularly audit and test their AI algorithms to ensure that they are working as intended and that they are not producing unintended or harmful results.
- v. **Establish Clear Communication Channels for User Feedback:** Libraries and information centres should establish clear communication channels for user feedback, including feedback on the use of AI systems. This will allow users to provide feedback on their experiences and ensure that their concerns are taken into account when designing and implementing AI systems.

The adopting these best practices and strategies, libraries and information centers can ensure that their use of AI and machine learning is responsible, ethical, and beneficial for all stakeholders.

5.1 Analysis of these best practices and Strategies align with the Theoretical Frameworks and Principles

These best practices and strategies align with the theoretical frameworks and principles in several ways:

- i. Engaging with stakeholders aligns with the principle of social responsibility, which requires that organizations consider the broader social and ethical implications of their actions. By engaging with stakeholders, libraries and information centres can ensure that they are taking into account the perspectives and preferences of all stakeholders.
- ii. Establishing clear policies and guidelines aligns with the principle of transparency, which requires that organizations be transparent about their use of AI and machine learning. By establishing clear policies and guidelines, libraries and information centres can ensure that their use of AI and machine learning is transparent and accountable.
- iii. Providing training and education to staff aligns with the principle of professional competence, which requires that professionals maintain and improve their knowledge and skills. By providing training and education to staff, libraries and information centres can ensure that their staffs are competent in the ethical considerations of AI and machine learning.
- iv. Auditing and testing algorithms aligns with the principle of accountability, which requires that organizations be accountable for the impact of their actions. By auditing

and testing their algorithms, libraries and information centres can ensure that they are accountable for the impact of their use of AI and machine learning.

- v. Establishing clear communication channels for user feedback aligns with the principle of user-centeredness, which requires that organizations be responsive to the needs and preferences of users. By establishing clear communication channels for user feedback, libraries and information centres can ensure that they are responsive to the needs and preferences of their users.

These best practices and strategies align with the theoretical frameworks and principles promoting ethical behaviour and responsible use of AI and machine learning in libraries and information centres.

6. Conclusion and Summary of key findings

The use of artificial intelligence (AI) and machine learning (ML) in libraries and information centres is becoming increasingly prevalent, offering potential benefits such as improved efficiency and service delivery. However, it also poses significant ethical risks and challenges, including bias and discrimination, privacy and security concerns, automation and job displacement, and the potential loss of human interaction in service delivery.

To mitigate these risks, it is essential to apply key ethical frameworks and principles, including social responsibility, transparency, professional competence, accountability, and user-centeredness. By engaging with stakeholders, establishing clear policies and guidelines, providing training and education to staff, auditing and testing algorithms, and establishing clear communication channels for user feedback, libraries and information centres can promote ethical behaviour and responsible use of AI and ML.

Overall, while the use of AI and ML presents both opportunities and challenges, it is important for libraries and information centres to proactively address the ethical considerations associated with these technologies to ensure that they are used in a way that aligns with their mission and values, and serves the best interests of their users and society as a whole.

6.1 Implications for Libraries and Information Centres

The findings of this study have significant implications for libraries and information centres. First and foremost, they highlight the importance of considering the ethical implications of using AI and ML in service delivery. It is essential for libraries and information centres to take a proactive approach to identifying and addressing the ethical risks and challenges associated with these technologies.

To do so, libraries and information centres should engage with stakeholders, including users, staff, and other community members, to understand their perspectives and preferences. They should establish clear policies and guidelines for the use of AI and ML, ensuring that these are transparent and accountable. They should also provide training and education to staff to ensure that they are competent in the ethical considerations of AI and ML.

Additionally, libraries and information centres should audit and test their algorithms to ensure that they are not perpetuating bias or discrimination, and establish clear communication channels for user feedback to ensure that they are responsive to the needs and preferences of their users.

Overall, by taking a proactive and ethical approach to the use of AI and ML, libraries and information centres can improve service delivery while also ensuring that these technologies are used in a way that aligns with their mission and values, and serves the best interests of their users and society as a whole.

6.2 Recommendations for Future Research and Practice

Given the rapid development and adoption of AI and ML in libraries and information centres, on-going evaluation and monitoring of their ethical implications is critical. It is recommended that future research focuses on the long-term impact of AI and ML on library and information services, including the effects on user behaviours and expectations, as well as the impact on the role and responsibilities of library staff.

In addition, it is recommended that libraries and information centres prioritize on-going evaluation and monitoring of the ethical implications of AI and ML. This includes regular auditing and testing of algorithms, as well as soliciting user feedback and engagement to ensure that the use of these technologies remains transparent and accountable.

Finally, it is recommended that libraries and information centres collaborate with other organizations and stakeholders to develop best practices and guidelines for the ethical use of AI and ML. By working together to identify and address the ethical challenges associated with these technologies, libraries and information centres can promote responsible and effective use of AI and ML in service delivery, while also upholding their mission and values.

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