Enter all group member names (no more than 3) in the cell below:

If you worked on this in a group, but are submitting independently, write your name on one line and indicate the group members by writing "with assistance from:" on the next line.

In [ ]:

# K-Nearest Neighbors Homework

Learning objectives:

- Gain an appreciation for the strengths and weaknesses of KNN classifiers.
- Explore the computational requirements of neighborhood searches for KNN.

### Exercise 1 - Irisis

The code in the cell below loads a modified version of the famous Iris data set (published by R.A. Fisher in 1936). The point of this data set is to predict the species of a flower (three classes) by examining several measurements taken from the petals. This is considered an easy classification problem because the three different classes are well separated in feature space.

I've modified this data set in a way that makes classification more difficult.

The cell below uses cross-validation to tune both the decision tree and KNN classifier.

```
In [ ]: # %matplotlib qt
        import numpy as np
        import matplotlib.pyplot as plt
        import datasource
        from sklearn.tree import DecisionTreeClassifier
        from sklearn.neighbors import KNeighborsClassifier
        from sklearn import model selection
        # import warnings filter
        from warnings import simplefilter
        # ignore all future warnings
        simplefilter(action='ignore', category=FutureWarning)
        # Read in the data...
        X, y = datasource.get iris data()
        X train, X test, y train, y test = model selection.train test split(X, y,
                                                                             train size=0.8,
                                                                              test size=0.2,
                                                                              random state=20,
                                                                              stratify=y)
        # TUNE OUR DECISION TREE----
        folds = 10
        max max leaves = 100
        accuracies = np.zeros((folds, 100 - 2))
        # Loop over all of the hyperparameter settings
        for size in range(2, max max leaves):
            tree = DecisionTreeClassifier(max leaf nodes=size)
```

```
accuracies avg = np.mean(accuracies, axis=0)
        plt.plot(np.arange(2, max max leaves), accuracies avg, '.-')
        plt.xlabel('max leaves')
        plt.ylabel('Accuracy')
        plt.show()
        # NOW REPEAT THE PROCESS FOR KNN-----
        max k = 100
        accuracies = np.zeros((folds, max k - 1))
        for k in range(1, max k):
            knn = KNeighborsClassifier(n neighbors=k)
            # Returns an array of cross validation results.
            accuracies[:, k - 1] = model selection.cross val score(knn, X train, y train,
                                                         cv=folds, scoring='accuracy')
        accuracies avg = np.mean(accuracies, axis=0)
        plt.figure()
        plt.plot(np.arange(1, max k), accuracies avg, '.-')
        plt.xlabel('max k')
        plt.ylabel('Accuracy')
        plt.show()
        # SET THE VALUES BELOW BASED ON CROSS-VALIDATION RESULTS
        max leaf nodes = -1
        n = -1
        ### BEGIN SOLUTION
        max leaf nodes = 9
        n \text{ neighbors} = 1
        ### END SOLUTION
In [ ]: # Enter your hyper-parameters below...
        final tree = DecisionTreeClassifier(max leaf nodes=max leaf nodes)
        final tree.fit(X train, y train)
        print("Decision tree accuracy: {:.4f}".format(final tree.score(X test, y test)))
        final knn = KNeighborsClassifier(n neighbors=n neighbors)
        final knn.fit(X_train, y_train)
        print("KNN accuracy:
                                       {:.4f}".format(final knn.score(X test, y test)))
In [ ]: from numpy.testing import assert almost equal
        knn accuracy = final tree.score(X test, y test)
        assert almost equal(1.0, knn accuracy)
        knn accuracy = final knn.score(X test, y test)
        assert almost equal(.666666667, knn accuracy)
```

accuracies[:, size - 2] = model selection.cross val score(tree, X train, y train,

cv=folds, scoring='accuracy')

# Returns an array of cross validation results.

# Exercise 2 - Data Exploration and Pre-processing

The decision tree above was able to acheive a significantly lower error rate than KNN. Your goal now is to solve the following two problems:

- 1. Determine what it is about the provided data that makes this problem so much harder for KNN.
- 2. Perform pre-processing on the data to make classification easier for KNN.

#### Suggestions:

- Look at the variance of the different attributes:
  - Attributes with a large variance can "drown out" other attributes when Euclidean distance is used for neighborhood calculations. It can be helpful to *normalize* the variance of the different dimensions by rescaling them. (example below)
- Ask the decision tree! The decision tree class has a \_\_feature\_importances that tracks which attributes/features are doing the most work in the tree.
- Use scatter plots to plot each attribute against the class label.
- Use seaborn to plot pairwise relationships between attributes. (Example in the cell below.)

#### Normalization

A common pre-processing step for machine learning is normalizing the attributes so that they each have zero mean and unit variance. For example, let's look at a scatterplot with dimensions 3 and 5 both before and after rescaling.

```
In []: from sklearn import preprocessing

X_tmp = X_train[:, [3, 5]] # Pull out columns four and 5.

# Plot before normalization
plt.scatter(X_tmp[:,0], X_tmp[:,1], c=y_train)
plt.axis('equal')
plt.show()

# Rescale
scaler = preprocessing.StandardScaler().fit(X_tmp)
X_scaled = scaler.transform(X_tmp)

# Plot the rescaled data
plt.scatter(X_scaled[:,0], X_scaled[:,1], c=y_train)
plt.axis('equal')
plt.show()
```

```
In []: # YOUR CODE HERE!
# In the end you should initialize X_train_fixed to be a modified version of X_train
```

```
### BEGIN SOLUTION
        print(final tree.feature importances )
        #OK. Lets do some preprocessing. We'll pull out dims 1, 2, 4 and 5 and normalize their
        X train fixed = X train[:, [1,2,4,5]]
        X \text{ test fixed} = X \text{ test}[:, [1,2,4,5]]
        def normalize std(X, means=None, stds=None):
            """ Return a copy of X with all columns normalized to have standard
            deviation of 1.0. Means will be unchanged. """
            if means is None:
               means = np.mean(X, axis=0)
            X -= means
            if stds is None:
                stds = np.std(X, axis=0)
            X += means # Reset the means.
            return X, means, stds
        # Normalize the training data...
        X train fixed, means, stds = normalize std(X train fixed)
         # Normalize the testing data in EXACTLY the same way...
        X test fixed, , = normalize std(X test fixed, means, stds)
        ### END SOLUTION
In [ ]: knn = neighbors.KNeighborsClassifier(n neighbors=9)
        knn.fit(X train fixed, y train)
        accuracy = knn.score(X test fixed, y test)
        print(accuracy)
        assert accuracy > .9
```

### Questions

- Describe a scenario where KNN would be a better choice than a decision tree.
- Describe a scenario where a decision tree sould be a better choice than KNN.
- KNN can be useful when we want an on-line learning algorithm. I.e. we want to continue to add training instances over time. This is convenient for KNN since we never need to stop to build a model. KNN may also be more effective in cases where individual attributes are not very predictive when considered in isolation.
- There are many possible answers. Decision trees are more explainable, they don't rely on the dimensions being correctly scaled, they are good at ignoring irrelevant attributes.

## Exercise 3 - KNN Efficiency

# that will work better for KNN learning.

The cell below will time KNN lookups using brute-force searches then plot the results as a function of the size of the dataset. BEFORE RUNNING THE CELL, make a prediction about the trend that you expect to see. Discuss your prediction with your peers, then run the cell and check your answer. Try repeating this experiment using kd tree instead of brute as the lookup algorithm.

Now try re-running this experiment with a 100-dimensional data set instead of a four-dimensional data set. How does this change the results?

```
In []: from sklearn import datasets
        import time
        num features = 4
        \max \text{ size} = 100000
        algorithm = 'brute' # 'kd tree' is the other option
        times = []
        increment = max size // 10
        start = max size // 10
        sizes = range(start, max size, increment)
        print("Timing", end='')
        for num in sizes:
            print(".", end='')
            X, y = datasets.make classification(n samples=num, n features=num features, n classe
            # Algorithm can be either 'brute' or 'kd tree'
            knn = neighbors.KNeighborsClassifier(n neighbors=5, algorithm=algorithm)
            knn.fit(X,y)
            trials = 100
            start = time.time()
            for i in range(trials):
                y = knn.predict([X[np.random.randint(X.shape[0]), :]])
            times.append((time.time() - start)/trials)
        plt.plot(sizes, times)
        plt.xlabel('data size')
        plt.ylabel('time per lookup (s)')
        plt.show()
```

### Questions

- Describe the behavior of KNN under each of the following conditions:
  - Low-dimensional data, brute-force lookups
  - Low-demensional data, kd-tree-based lookups
  - High-dimensional data, brute-force lookups
  - High-demensional data, kd-tree-based lookups

#### **Answers**

- Lookup time scales linearly with the size of the data set.
- Lookup times remain very fast as the size of the data set increases (logarithmic)
- Lookup time scales linearly with the size of the data set.
- Lookup time STILL scales linearly with the size of the data set: kd\_tree doesn't help much.