

# 1 Group

## 1.1 Law of Composition

**Definition 1.1** (Composition). *Composition (or Law of composition) on a set  $S$  is to combine two element  $a, b \in S$ , to get another element  $p$  in  $S$ :*

$$S \times S \rightarrow S.$$

*Here,  $\times$  means Cartesian product of two sets. We can denote the composition in several ways:*

$$p = ab \quad p = a \cdot b \quad p = a \circ b \quad p = a + b.$$

We will often use  $ab$  (or  $a \cdot b$  when necessary) to denote the composition of  $a$  and  $b$  in this document.

### Example

- In the set  $\mathbb{N}$ , operation “add”  $+$  is a law of composition. It takes two elements of  $a, b \in \mathbb{N}$  and gives an element  $a + b \in \mathbb{N}$ . e.g.  $(2, 3) \mapsto 5$ ,  $(5, 1) \mapsto 6$
- In the set  $\mathbb{R}$ , operation “multiply”  $\cdot$  is a law of composition. It takes two elements of  $a, b \in \mathbb{R}$  and gives an element  $a \cdot b \in \mathbb{R}$ . e.g.  $(-1, 4) \mapsto -4$ ,  $(2, 3.5) \mapsto 7$

Note that the definition of composition naturally brings out the property of closure — the composition of two element of  $S$  is still in the same set.

A way of defining composition is using functions.  $f: S \times S \rightarrow S$ , so for  $a, b \in S$ ,  $f(a, b)$  is the composition of  $a$  and  $b$ .

**Definition 1.2** (Associativity). *For element  $a, b$  and  $c$ , if the composition satisfies  $(ab)c = a(bc)$ , then the composition is **associative**.*

For multiple element  $a_1, a_2, \dots, a_n$ , there’s only one distinct way to define the composition of them:

$$a_1 a_2 \cdots a_n = (a_1 \cdots a_i)(a_i \cdots a_n),$$

where  $1 \leq i < n$ . For instance,

$$a_1 a_2 a_3 a_4 = a_1(a_2 a_3 a_4) = (a_1 a_2)(a_3 a_4) = (a_1 a_2 a_3)a_4 = a_1(a_2 a_3)a_4.$$

**Definition 1.3** (Commutativity). *The composition of two element  $a$  and  $b$  is called **commutative** if  $ab = ba$ .*

**Example** Addition in  $\mathbb{R}$  is commutative:  $a, b \in \mathbb{R}, a + b = b + a$ .

## 1.2 Special elements

**Definition 1.4** (Identity element). *If  $\forall s \in S, \exists e \in S$  such that  $es = s$ , then  $e$  is the **left identity** of  $S$ . Likewise,  $e$  is the **right identity** if  $se = s$ . If  $e$  is both left identity and right identity, then it's called a **two-sided identity** or simply **identity**.*

If we use multiplication to represent composition, then 1 is commonly used as the symbol of identity. And 0 is often identity for addition representation.

### Example

- Consider zero in  $\mathbb{Z}$ . For all  $a \in \mathbb{Z}$ ,  $0 + a = a$ , so 0 is the left identity. And by commutativity we also have  $a + 0 = a$ , so 0 is also the right identity. Therefore, 0 is the identity of addition on  $\mathbb{Z}$
- 1 is the identity of multiplication on  $\mathbb{R}$ , because  $\forall a \in \mathbb{R}, 1 \cdot a = a \cdot 1 = a$

**Definition 1.5** (Inverse). *Let 1 be the identity. If  $\forall a \in S, \exists l \in S$  such that  $la = 1$ , then  $l$  is called the **left inverse** of  $a$ . Likewise,  $ar = 1$  then  $r$  is called the **right inverse** of  $a$ . If  $b$  is both left and right inverse of  $a$ , then it's called the **two-sided inverse** or simply **inverse** of  $a$ , denoted by  $a^{-1}$ .*

### Example

- $-3$  is the additive inverse of 3 in  $\mathbb{R}$ , because  $(-3) + 3 = 3 + (-3) = 0$  and 0 is the identity of addition.
- $1/2$  is the multiplicative inverse of 2 in  $\mathbb{R}$ , because  $(1/2) \times 2 = 2 \times (1/2) = 1$  and 1 is the identity of multiplication.

A fraction  $\frac{a}{b}$  is exactly the composition of  $a$  and  $b^{-1}$ . And the notation  $\frac{a}{b}$  is not recommended, because sometimes the composition is not commutative, therefore  $ab^{-1}$  and  $b^{-1}a$  are different.

The notations like  $a^n$  or  $a^{-n}$ ,  $n \in \mathbb{N}$  can be recursively defined as below:

$$\begin{aligned}a^{n+1} &:= a^n a, \\a^{-n-1} &= a^{-n} a^{-1}, \\a^0 &= 1.\end{aligned}$$

**Proposition 1.1.** If inverse of  $a$  exists, which means  $a$  has left inverse and right inverse, then the left and right inverse are equal, therefore the inverse is unique.

*proof.* If  $a \in G$  has left inverse  $b$  and right inverse  $c$ . Consider element  $bac \in G$ ,  $(ba)c = 1c = c$ , and  $b(ac) = b1 = b$ . By associativity,  $(ba)c = b(ac)$ , so  $c = b$ . The inverse is unique. ■

**Proposition 1.2.**  $(ab)^{-1} = b^{-1}a^{-1}$ .

*proof.*  $(ab)^{-1}(ab) = 1$  is true, multiply  $b^{-1}$  on the right for both sides.  $(ab)^{-1}(ab)b^{-1} = 1 \cdot b^{-1}$ , which is  $(ab)^{-1}a(bb^{-1}) = (ab)^{-1}a \cdot 1 = b^{-1}$ . This time multiply  $a^{-1}$  on the right for both sides:  $(ab)^{-1}aa^{-1} = b^{-1}a^{-1}$ , the left-hand side is exactly  $(ab)^{-1}$ . ■

And this can be easily generalized to  $n$  elements (using associativity and induction):

$$(a_1a_2 \dots a_n)^{-1} = a_n^{-1} \dots a_2^{-1}a_1^{-1}.$$

### 1.3 Group

**Definition 1.6.** A group  $(G, \cdot)$  is a set  $G$  equipped with a binary operation  $\cdot$  which follows four axioms, namely **closure**, **associativity**, **identity** and **invertibility**.

*Remark.* If a group is commutative, then it's called **abelian group**.

The four axioms are explained below:

**closure** For all  $a, b$  in  $G$ , the result of operation  $\cdot$  is still in  $G$ . This can be written in the form:  $\forall a, b \in G, a \cdot b \in G$ .

**associativity**  $\forall a, b, c \in G, (a \cdot b) \cdot c = a \cdot (b \cdot c)$ .

**identity**  $\exists e \in G$  such that,  $\forall a \in G$ , the equation  $e \cdot a = a \cdot e = a$  holds. Such an element is unique and is called the **identity element**.

**invertibility** For each  $a \in G$ ,  $\exists b$  in  $G$ , commonly denoted  $a^{-1}$ , such that  $a \cdot b = b \cdot a = e$ , where  $e$  is the identity element.

We use ordered pair to denote  $(G, \cdot)$  a set  $G$  equipped with operation  $\cdot$ . So the two parts — set and its operation — together forms the algebraic structure. This is critical, because strictly speaking, a set on its own can not be a group. But informally, it's common to say that a set  $G$  is a group, if no ambiguity is caused.

**Example** These are some familiar abelian groups:  $(\mathbb{Z}, +)$ ,  $(\mathbb{R}, +)$ ,  $(\mathbb{R}^+, \times)$ ,  $(\mathbb{C}, +)$ ,  $(\mathbb{C}, \times)$ . Take  $(\mathbb{R}^+, +)$  for example.

1. Two the addition of positive real numbers  $a, b$  is still a real number (closure)
2.  $(a + b) + c = a + (b + c)$ , which is associativity
3. For any given  $a \in \mathbb{R}^+$ ,  $1 \times a = a \times 1 = a$  holds, which indicates that 1 is the multiplicative identity of  $\mathbb{R}^+$
4. For any given  $a \in \mathbb{R}^+$ ,  $\exists a^{-1}$  such that  $a^{-1} \times a = a \times a^{-1} = 1$  holds, which indicates all  $a \in \mathbb{R}^+$  is invertable

Therefore,  $(\mathbb{R}^+, \times)$  is a group. Also, for any positive real number  $a$  and  $b$ ,  $a \times b = b \times a$ . So  $(\mathbb{R}^+, \times)$  is also an abelian group.

*Remark.* Note that  $(\mathbb{R}, \times)$  is not a group, because 0 is not invertable:  $\nexists r \in \mathbb{R}$  such that  $r \times 0 = 0 \times r = 1$ .

**Proposition 1.3.** Left identity  $e_L$  and right identity  $e_R$  of a group are the same.

*proof.*  $e_L = e_L e_R = e_R$ . ■

**Proposition 1.4.** The (two-sided) identity of  $G$ , if exists, must be unique.

*proof.* Let  $e, e' \in G$  identities and  $e \neq e'$ , i.e.  $\forall a \in G$ ,  $e = ei$  as well as  $e'a = a$ . Hence  $e' = e'e = e$ . ■

The two propositions above can be concluded with quote below (from Wikipedia):

... it is possible for  $(S, *)$  to have several left identities. In fact, every element can be a left identity. In a similar manner, there can be several right identities. But if there is both a right identity and a left identity, then they must be equal, resulting in a single two-sided identity.

Since groups are sets equipped with operations, and we have cardinality to describe how many elements we have in a set, it's natural to have a similar concept to describe the number of elements contained in a group.

**Definition 1.7** (Order of a group). *The order of a group describe the number of elements contained in this group. Suppose we have group  $(G, \cdot)$ , the order of this group equals the cardinality of  $G$ , denoted by  $|G|$ .*

**Example** The previous example, abelian group  $(\mathbb{Z}, +)$  is an infinite group, because  $\mathbb{Z}$  is an infinite set.

Because of invertibility property, a group follows **cancellation law**.

**Proposition 1.5.** Cancellation law Let  $a, b, c$  be elements of a group  $G$ :

- if  $ac = bc$  or  $ca = cb$  then  $a = b$
- if  $ac = c$  or  $ca = c$  then  $a = 1$

*proof.* Proofs of all cases are analogous — by multiplying  $c^{-1}$  to both sides. ■

Following corollary is the contrapositive of last proposition which is supported by invertability.

**Corollary 1.1.** Let  $a, b, c$  be elements of a group  $G$ :

- if  $a \neq b$ , then  $ca \neq cb$  and  $ac \neq bc$
- if  $a \neq 1$ , then  $ca \neq c$  and  $ac \neq c$

Concider matrices. Not all matrices are invertable, so we can't just say matrix with multiplication operation is or is not a group.

**Definition 1.8** (General linear group). The general linear group of degree  $n$  is the set of  $n \times n$  invertable matrices:

$$\text{GL}_n := \{n \times n \text{ invertable matrices}\}.$$

And enable to distinguish what kind of elements we are having in the matrices, notations like  $\text{GL}_n(\mathbb{R})$  or  $\text{GL}_n(\mathbb{C})$  are used.

## 1.4 半群

半群是弱于群的概念.

**Definition 1.9** (半群).  $(G, \cdot)$  被称为半群, 当且仅当  $G$  对  $\cdot$  封闭且  $\cdot$  满足结合律.

如果  $(G, \cdot)$  中存在  $a$ , 满足  $aa = a$ . 则称  $a$  为  $\cdot$  运算的幂等元. 借助下面的引理可以证明, 有限的半群中必然存在幂等元.

**Lemma 1.1.** 如果对于有限半群  $G$  的元素  $a$ , 存在正整数  $k \geq 2$ , 满足  $a^k = a$ , 则  $G$  中存在幂等元.

*proof.* 对于  $a^k = a$ , 若  $k = 2$ ,  $a$  为幂等元, 引理得证. 若  $k > 2$ , 则将等式两边同时乘以  $a^{k-2}$ . 得到  $a^{2(k-1)} = a^{k-1}$ . 即  $(a^{k-1})^2 = a^{k-1}$ , 而  $a^{k-1} \in G$ , 所以  $G$  中存在幂等元  $a^{k-1}$ . ■

**Proposition 1.6.** 有限的半群必然包含幂等元, 即若  $G$  为有限的半群, 则存在  $a \in G$ , 使得  $aa = a$ .

*proof.* 对于任意  $a \in G$ , 考虑无限序列

$$(a^{2^p})_{p=0}^{\infty} : a, a^2, a^4, a^8, a^{16}, \dots$$

由于封闭性, 序列中每一项都在  $G$  中, 于是必然存在不同的  $s, t$  满足  $a^{2^s} = a^{2^t}$ . 因为如果不然, 序列中的每一项互不相同, 则  $G$  不可能有限. 不失一般性地假设  $s > t$ , 于是有:

$$a^{2^s} = a^{2^{t+(s-t)}} = a^{2^t 2^{s-t}} = a^{2^t},$$

于是得到  $(a^{2^t})^{2^{s-t}} = a^{2^t}$ . 于是我们找到了  $b = a^{2^t} \in G$ , 使得存在  $k = 2^{s-t}$ , 满足  $b^k = b$ , 根据上一个引理,  $G$  中存在幂等元. ■

## 1.5 子群

**Definition 1.10** (子群).  $(G, \cdot)$  为一个群,  $H \subseteq G$ , 若  $H$ :

- 满足封闭性
- 存在单位元
- 每个元素都可逆

则称  $H$  为  $G$  的子群, 记作  $H \leq G$ .

每个群  $G$  都有两个明显的子群, 称为平凡子群, 即单位元构成的集合  $\{1\}$  以及  $G$  本身. 若  $H \leq G$ , 且  $H$  不是平凡子群, 则称  $H$  为  $G$  的真子群, 记作  $H < G$ .

子群只需满足三个条件, 因为结合律自动转移到子集上:  $\forall a, b, c \in H, a, b, c \in G$ ,  $G$  具有结合律, 所以  $H$  也满足结合律. 换句话说, 群  $G$  的子集  $H$  也是一个群, 则  $H$  为  $G$  的子群.

### 1.5.1 整数倍数子群 $\mathbb{Z}a$

定义  $\mathbb{Z}a$  为  $a$  的整倍数构成的集合:

$$\mathbb{Z}a := \{ka \mid k \in \mathbb{Z}\}.$$

等价的定义为:

$$\mathbb{Z}a := \{n \mid \exists k \in \mathbb{Z}, n = ka\}.$$

例

$$\mathbb{Z}0 = \{0\},$$

$$\mathbb{Z}1 = \{0, 1, -1, 2, -2, \dots\} = \mathbb{Z},$$

$$\mathbb{Z}2 = \{0, 2, -2, 4, -4, \dots\},$$

$$\mathbb{Z}5 = \{0, 5, -5, 10, -10, \dots\}.$$

可以证明,  $\mathbb{Z}a$  为  $(\mathbb{Z}, +)$  的子群.  $\mathbb{Z}a$  满足封闭性:  $\forall n_1, n_2 \in \mathbb{Z}a, \exists k_1, k_2 \in \mathbb{Z}$  满足  $n_1 = k_1a, n_2 = k_2a$ . 故  $n_1 + n_2 = (k_1 + k_2)a$ , 而  $k_1 + k_2 \in \mathbb{Z}$ , 所以  $n_1 + n_2 \in \mathbb{Z}a$ .

此外, 0 为  $\mathbb{Z}a$  的单位元; 对于任意  $a \in \mathbb{Z}a$ , 都能找到  $-a \in \mathbb{Z}a$ , 使得  $a + (-a) = 0$ , 即  $\mathbb{Z}a$  中每个元素可逆.

由于  $G$  和其子群  $H$  共享唯一的单位元, 如果  $G$  的单位元 1 也在  $H$  中, 那么 1 也一定是  $H$  的单位元.

$\mathbb{Z}a$  的重要之处在于下面的命题:

**Proposition 1.7.**  $(\mathbb{Z}, +)$  的子群一定有  $\mathbb{Z}a$  的形式.

### 1.5.2 循环群

下一个重要的抽象子群例子为:

**Definition 1.11** (循环群). 群  $G$  中的元素  $x$  生成的子群:

$$\langle x \rangle := \{1, x, x^{-1}, x^2, x^{-2}, \dots\}$$

称为  $G$  循环子群. 如果一个群  $H$  中任意元素都能写成某一元素  $x$  的幂次  $x^n$ , 即能由单个元素  $x$  生成, 则该群被称为循环群.

**例** 考虑  $(\mathbb{R}, \times)$  的元素  $-1$ .  $-1$  的不同幂次得到的元素可能是相同的:

$$\begin{aligned} & \vdots \\ & (-1)^2 = 1 \\ & (-1)^3 = -1 \\ & (-1)^4 = 1 \\ & \vdots \end{aligned}$$

所以实数乘群中,  $-1$  的生成的循环子群为  $\langle -1 \rangle = \{1, -1\}$ .

**Proposition 1.8.**  $S = \{n \in \mathbb{Z} \mid x^n = 1\}$  为  $(\mathbb{Z}, +)$  的子群.

*proof.* 封闭性:  $\forall n_1, n_2 \in S, x^{n_1} = 1, x^{n_2} = 1, x^{n_1}x^{n_2} = x^{n_1+n_2} = 1$ , 所以  $n_1+n_2 \in S$ .

单位元: 只需考虑  $(\mathbb{Z}, +)$  的单位元 0 是否在  $S$  中即可. 显然,  $x^0 = 1, 0 \in S$ , 所以  $S$  存在单位元 0.

逆元:  $\forall n \in S, x^n = 1$ , 所以  $x^{-n} = x^{-n}x^n = x^0 = 1$ . 所以  $-n \in S$ . ■

### 1.5.3 正规子群

**Definition 1.12** (正规子群 (Normal subgroup)). 群  $G$  的子群  $N$  被称为是正规子群, 当且仅当对任意  $n \in N$ , 和任意  $g \in G, gng^{-1} \in N$ .

## 1.6 同态

两个群之间可以存在映射关系, 而满足一定条件的映射称为同态.

**Definition 1.13** (同态 (Homomorphism)). 同态  $\varphi: G \rightarrow G'$  是群  $G$  到  $G'$  的映射, 该映射满足:

$$\forall a, b \in G, \quad \varphi(ab) = \varphi(a)\varphi(b).$$

和映射一样, 同态也有像的概念:

$$\varphi(G) := \text{im } \varphi := \{\varphi(x) \mid x \in G\}.$$

*Remark.* 注意此处  $G$  和  $G'$  中的运算都是用乘法表示的, 不代表它们必须是同一种运算. 严格地定义同态应该是下面这样的,  $\varphi: (G, \circ) \rightarrow (G', *)$ , 其中:

$$\forall a, b \in G, \quad \varphi(a \circ b) = \varphi(a) * \varphi(b).$$

换句话说, 先在  $G$  中对  $a, b$  做运算, 然后在映射到  $G'$  中; 和先映射  $a, b$  到  $G'$  中, 然后做  $G'$  中的运算; 两种路径得到的结果是一样的. 即:  $G$  和  $G'$  中对应的两组元素, 分别在各自的群内合成, 得到的结果也是满足相同的对应关系. 如:  $a' = \varphi(a), b' = \varphi(b)$ . 则  $a \circ b = a' * b'$ .

**例**  $\exp: (\mathbb{R}, +) \rightarrow (\mathbb{R}, \times), \exp(x) = e^x$ , 为一个同态, 因为  $\exp(x+y) = \exp(x)\exp(y)$ . 反过来, 指数函数不是  $(\mathbb{R}, \times)$  到  $(\mathbb{R}, +)$  的同态. 对于  $x, y \in \mathbb{R}$ , 先合成  $xy$ , 再映射  $\exp(xy)$ ; 和先映射  $\exp(x), \exp(y)$ , 再合成  $\exp(x) + \exp(y)$  是不同的.

绝对值  $||: (\mathbb{R}, \times) \rightarrow (\mathbb{R}, \times)$  也是一个同态,  $|xy| = |x||y|$ .

**几个明显的同态** 平凡同态:  $\varphi: G \rightarrow G'$ , 将  $G$  中的每个元素映射到  $G'$  中的单位元. 于是  $\forall a, b \in G, \varphi(a) = \varphi(b) = \varphi(ab) = e$ .  $\varphi(ab) = e = ee = \varphi(a)\varphi(b)$ .

对于  $H \leq G$ , 存在包含同态:  $i: H \rightarrow G, i(x) = x$ .  $H$  和  $G$  的合成法则一致,  $\forall x \in H, x \in G, i(x) = x$ . 所以  $i(xy) = xy = i(x)i(y)$ .

**Proposition 1.9.** 令  $\varphi: G \rightarrow G'$  为同态.



1.  $a_1, a_2, \dots, a_k \in G, \varphi(a_1 a_2 \cdots a_k) = \varphi(a_1) \varphi(a_2) \cdots \varphi(a_k)$
2. 恒等元映射到恒等元:  $\varphi(1_G) = 1_{G'}$
3. 逆元映射为逆元:  $\varphi(a^{-1}) = \varphi(a)^{-1}$

*proof.* (1) 通过归纳法.

(2)  $\varphi(a) = \varphi(1_G a) = \varphi(1_G) \varphi(a)$ . 两边同时右乘  $\varphi(a)$  在  $G'$  中的逆元:  $\varphi(a) \varphi(a)^{-1} = \varphi(1_G) \varphi(a) \varphi(a)^{-1}$ . 而  $\varphi(a) \varphi(a)^{-1}$  为  $G'$  的单位元  $1_{G'}$ . 所以  $1_{G'} = \varphi(1_G) 1_{G'} = \varphi(1_G)$ .

(3)  $aa^{-1} = 1_G$ , 同时应用  $\varphi$ ,  $\varphi(aa^{-1}) = \varphi(a) \varphi(a^{-1}) = \varphi(1_G) = 1_{G'}$ . 两边同时乘以  $\varphi(a)^{-1}$ ,  $\varphi(a)^{-1} \varphi(a) \varphi(a^{-1}) = \varphi(a^{-1}) = \varphi(a)^{-1} 1_{G'} = \varphi(a)^{-1}$ . ■

**Proposition 1.10.** 同态的像为陪域的子群.  $\varphi: H \rightarrow G$ , 则  $\varphi(H)$  为  $G$  的子群.

*proof.* 封闭性: 对于任意  $x, y \in \varphi(H)$ ,  $\exists a, b \in H, x = \varphi(a), y = \varphi(b)$ .  $xy = \varphi(a) \varphi(b) = \varphi(ab)$ , 由于  $ab \in H, \varphi(ab) \in \varphi(H)$ . 所以  $xy \in \varphi(H)$ .

单位元:  $\forall x \in \varphi(H), \exists a \in H, \varphi(a) = x$ .  $\varphi(a) = \varphi(ae_H) = \varphi(a) \varphi(e_H)$ . 即  $x = x \varphi(e_H)$ . 同样地, 从  $\varphi(a) = \varphi(e_H a)$  可以得到  $x = \varphi(e_H) x$ . 所以  $\varphi(e_H)$  为  $\varphi(H)$  的单位元.

逆元:  $\forall x \in \varphi(H), \exists a \in H, \varphi(a) = x$ .  $\varphi(aa^{-1}) = \varphi(a) = \varphi(a^{-1}) = x \varphi(a^{-1}) = \varphi(e_H) = e_G$ . 同理可以得到,  $\varphi(a^{-1}) x = e_G$ . 所以  $\varphi(a^{-1})$  为  $x$  的逆元. ■

同态的核是定义域中所有映射到陪域单位元的元素集合.

**Definition 1.14** (核(kernel)). 设  $\varphi: G \rightarrow G'$  为同态. 定义同态  $\varphi$  的核为:

$$\ker \varphi := \{x \in G \mid \varphi(x) = 1_{G'}\}.$$

容易验证下面的命题.

**Proposition 1.11.** 同态的核是定义域的子群. 设  $\varphi: G \rightarrow G', \ker \varphi \leq G$ .

注意到,  $\ker \varphi$  为  $G$  的子集,  $G$  的单位元  $e_G$  也在  $\ker \varphi$  中, 故  $e_G$  是  $\ker \varphi$  的单位元.

**Proposition 1.12.** 一个同态  $\varphi: G \rightarrow G'$  的核  $\ker \varphi$  是一个正规子群.

*proof.* 要证明  $\forall a \in \ker \varphi, \forall g \in G, gag^{-1} \in \ker \varphi$ . 注意到:  $gag^{-1} \in G$ , 对其应用同态,  $\varphi(gag^{-1}) = \varphi(g) \varphi(a) \varphi(g^{-1}) = \varphi(g) e_{G'} \varphi(g^{-1}) = \varphi(g) \varphi(g^{-1}) = e_{G'}$ . 所以  $gag^{-1} \in \ker \varphi$ . ■

**Proposition 1.13.** 同态  $\varphi: G \rightarrow G'$  是单射当且仅当  $\ker \varphi = \{e_G\}$ .

*proof.* 如果  $\varphi$  是单射的, 则存在唯一  $a \in G$ , 使得  $\varphi(a) = e_{G'}$ . 而  $\varphi(e_G) = e_{G'}$ , 所以  $a = e_G$ . 而对于其余元素, 其像都不为  $e_{G'}$ . 故  $\ker \varphi = \{e_G\}$ .

若  $\ker \varphi = \{e_G\}$ , 对于任意  $a, b \in G$  满足  $\varphi(a) = \varphi(b)$ .  $\varphi(a)\varphi(b)^{-1} = \varphi(b)\varphi(b)^{-1} = e_{G'}$ . 于是  $ab^{-1} \in \ker \varphi$ . 而  $\ker \varphi = \{e_G\}$  为一个单元素集, 故  $ab^{-1} = e_G$ ,  $a = b$ . 说明  $\varphi$  为单射. ■

## 1.7 同构

**Definition 1.15** (同构(Isomorphism)). 若同态  $\varphi: G \rightarrow G'$  是双射的, 则称  $G$  和  $G'$  是同构的. 记作  $G \cong G'$ .

由此可以看出, 同构是比同态更强的条件.

**例**  $\exp: (\mathbb{R}, +) \rightarrow (\mathbb{R}, \times)$ ,  $\exp(x) = e^x$ , 为一个同态, 也为一个同构, 因为  $\exp: x \mapsto e^x$  为一个双射.

**验证同构的方法** 由上一小节的命题, 同态  $\varphi: G \rightarrow G'$  是单射, 当且仅当  $\ker \varphi = \{e_G\}$ . 而  $\varphi$  为满射, 当且仅当像  $\varphi(G) = G'$ .

**Lemma 1.2.** 若  $\varphi: G \rightarrow G'$  为同构, 则其逆映射  $\varphi^{-1}: G' \rightarrow G$  也是同构.

这说明了, 两个同构的群本质是相同的. 二进制加法群和十进制加法群之间就是一种同构关系, 其结构是完全相同的.

$$1011_2 + 0010_2 = 1101_2,$$

$$11_{10} + 2_{10} = 13_{10}.$$

我们忽略了次要的信息, 而提取出两种代数结构的本质. 两个同构的群, 无论形式上有多么不同, 但其本质都是相同的.

## 1.8 等价关系与等价类

记  $a \sim b$  表示  $a$  和  $b$  等价. 等价关系满足下面的三条性质:

- 自反:  $a \sim a$
- 对称:  $a \sim b$  则  $b \sim a$
- 传递:  $a \sim b$  且  $b \sim c$  则  $a \sim c$

**Definition 1.16** (等价类). 设集合  $S$  中有元素  $a$ , 则  $S$  中所有与  $a$  形成等价关系的元素构成的集合称为  $a$  生成的等价类:

$$[a] := \{b \in S \mid a \sim b\}.$$

所以可以得到下面的性质:

**Proposition 1.14.** 设  $S$  以及等价关系  $\sim$ , 对于任意  $a, b \in S$ :

1.  $a \in [a]$
2.  $a \in [b]$  当且仅当  $a \sim b$
3. 若  $a \in [b]$ , 则  $[a] = [b]$
4. 若  $a \in [b]$ , 则  $b \in [a]$
5. 若  $a \in [b]$ , 则对于任意  $b' \in [b]$ ,  $a \sim b'$

上面很多关系都直接来自等价类的定义, 可以相互推导.

## 1.9 等价类与划分

**Definition 1.17** (划分(Partition)). 设有非空集合  $S$ , 如果集族  $A_i, i \in [1..n]$  满足下面的条件, 则称  $\{A_i\}_{i=1}^n = \{A_1, A_2, \dots, A_n\}$  为  $S$  的一个划分:

- 非空: 对于任意  $1 \leq i \leq n$ ,  $A_i$  非空
- $A_i$  覆盖整个  $S$ :  $\bigcup_{i \in [1..n]} A_i = S$
- 互斥:  $\forall i, j \in [1..n]$ , 如果  $i \neq j$ , 则  $A_i \cap A_j = \emptyset$

*Remark.* 注意, 互斥条件可以使用等价的逆否命题: 若  $A_i \cap A_j \neq \emptyset$ , 则  $i = j$ , 即  $A_i = A_j$ .

**Proposition 1.15.** 集合  $S$  上的等价类构成  $S$  的一个划分.

*proof.* 首先, 对于任意等价类  $[a]$ , 其一定是非空的. 所有元素  $a \in S$  的等价类之并覆盖整个  $S$  是相当自然的, 因为  $a \sim [a]$ . 考虑到如果两个元素如果存在等价关系, 则其等价类相同, 于是所有元素的等价类  $[a], a \in S$  中, 可能有重复的元素. 那么排除掉所有重复计算的等价类, 所有不同的等价类的并仍然覆盖整个  $S$ .

要证明互斥, 考虑不互斥的  $[a]$  和  $[b]$ ,  $[a] \cap [b] \neq \emptyset$ . 则取  $x \in [a] \cap [b]$ , 所以  $x \sim a$  且  $x \sim b$ , 根据等价关系的对称性质,  $a \sim x$ . 对于任意  $a' \in [a]$ ,  $a' \sim a$ , 又有  $a \sim x$ ,  $x \sim b$ , 应用两次传递性  $a' \sim b$ , 所以  $a' \in [b]$ ,  $[a] \subseteq [b]$ . 对称地, 也有  $[b] \subseteq [a]$ . 所以  $[a] = [b]$ . ■

**Definition 1.18** (陪集(coset)). 设有群  $G$  和其子群  $H$ . 设  $a \in G$ , 则集合:

$$aH := \{ah \mid h \in H\}$$

称为  $H$  在  $G$  中的左陪集(left coset).

*Remark.* 注意到: 子群一定包含单位元,  $e_G \in H$ , 所以  $aH$  中一定包含  $a$ .

**Proposition 1.16.** 设子群  $H \leq G$ ,  $a, b \in G$ , 下面三个命题等价:

1.  $a \in bH$
2. 存在  $h \in H$ ,  $a = bh$
3.  $aH = bH$

*proof.* (1) 和 (2) 就是定义的直接阐述.

下面证明 (1)  $\implies$  (3): 若  $a \in bH$ ,  $a = bh_1$  对  $h_1 \in H$  成立. 对于任意  $x \in aH$ , 存在  $h_2 \in H$ ,  $x = ah_2 = bh_1h_2$ . 由于  $h_1h_2 \in H$ , 所以  $x \in bH$ . 而对于任意  $x \in bH$ , 存在  $h_2 \in H$ ,  $x = bh_2 = ah_1^{-1}h_2$ , 由于  $h_1^{-1}h_2 \in H$ , 所以  $x \in aH$ . ■

**Proposition 1.17.** 群  $G$  的子群  $H$  的左陪集构成  $G$  的划分.