# **Spring Security**

# **Reference Documentation**

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# **Spring Security: Reference Documentation** by Ben Alex and Luke Taylor 3.0.0.RC1

# **Table of Contents**

Preface	ix
I. Getting Started	1
1. Introduction	2
1.1. What is Spring Security?	2
1.2. History	4
1.3. Release Numbering	4
1.4. Getting Spring Security	5
Project Modules	5
Core - spring-security-core.jar	5
Web - spring-security-web.jar	5
Config - spring-security-config.jar	5
LDAP-spring-security-ldap.jar	6
ACL - spring-security-acl.jar	6
CAS - spring-security-cas-client.jar	6
OpenID - spring-security-openid.jar	6
Checking out the Source	6
2. Security Namespace Configuration	7
2.1. Introduction	7
Design of the Namespace	8
2.2. Getting Started with Security Namespace Configuration	8
web.xml Configuration	8
A Minimal <http> Configuration</http>	9
What does auto-config Include?	. 10
Form and Basic Login Options	. 10
Using other Authentication Providers	. 12
Adding a Password Encoder	. 13
2.3. Advanced Web Features	. 13
Remember-Me Authentication	13
Adding HTTP/HTTPS Channel Security	
Session Management	. 14
Detecting Timeouts	. 14
Concurrent Session Control	14
Session Fixation Attack Protection	. 15
OpenID Login	15
Adding in Your Own Filters	. 16
Setting a Custom AuthenticationEntryPoint	18
2.4. Method Security	. 18
The <global-method-security> Element</global-method-security>	. 18
Adding Security Pointcuts using protect-pointcut	. 19
2.5. The Default AccessDecisionManager	19
Customizing the AccessDecisionManager	. 19
2.6. The Authentication Manager and the Namespace	20
3. Sample Applications	21

3.1. Tutorial Sample	. 21
3.2. Contacts	. 21
3.3. LDAP Sample	. 22
3.4. CAS Sample	22
3.5. Pre-Authentication Sample	. 23
4. Spring Security Community	. 24
4.1. Issue Tracking	. 24
4.2. Becoming Involved	. 24
4.3. Further Information	24
II. Architecture and Implementation	. 25
5. Technical Overview	. 26
5.1. Runtime Environment	. 26
5.2. Core Components	. 26
SecurityContextHolder, SecurityContext and Authentication Objects	
Obtaining information about the current user	27
The UserDetailsService	. 27
GrantedAuthority	. 28
Summary	28
5.3. Authentication	
What is authentication in Spring Security?	. 28
Setting the SecurityContextHolder Contents Directly	
5.4. Authentication in a Web Application	. 31
ExceptionTranslationFilter	31
AuthenticationEntryPoint	. 32
Authentication Mechanism	. 32
Storing the SecurityContext between requests	
5.5. Access-Control (Authorization) in Spring Security	
Security and AOP Advice	
Secure Objects and the AbstractSecurityInterceptor	. 33
What are Configuration Attributes?	
RunAsManager	
AfterInvocationManager	
Extending the Secure Object Model	
5.6. Localization	
6. Core Services	. 37
6.1. The Authentication Manager, Provider Manager and	
AuthenticationProviders	
DaoAuthenticationProvider	
6.2. UserDetailsService Implementations	
In-Memory Authentication	
JdbcDaoImpl	
Authority Groups	
III. Web Application Security	
7. The Security Filter Chain	
7.1. DelegatingFilterProxy	. 42

7.2. FilterChainProxy	42
Bypassing the Filter Chain	44
7.3. Filter Ordering	. 44
7.4. Use with other Filter-Based Frameworks	45
8. Core Security Filters	. 46
8.1. FilterSecurityInterceptor	. 46
8.2. ExceptionTranslationFilter	47
AuthenticationEntryPoint	48
AccessDeniedHandler	48
8.3. SecurityContextPersistenceFilter	48
SecurityContextRepository	49
8.4. UsernamePasswordAuthenticationFilter	
Application Flow on Authentication Success and Failure	. 50
9. Basic and Digest Authentication	
9.1. BasicAuthenticationFilter	
Configuration	52
9.2. DigestAuthenticationFilter	
Configuration	
10. Remember-Me Authentication	
10.1. Overview	55
10.2. Simple Hash-Based Token Approach	
10.3. Persistent Token Approach	
10.4. Remember-Me Interfaces and Implementations	
TokenBasedRememberMeServices	
PersistentTokenBasedRememberMeServices	57
11. Session Management	
11.1. SessionManagementFilter	
11.2. SessionAuthenticationStrategy	
11.3. Concurrency Control	
12. Anonymous Authentication	
12.1. Overview	
12.2. Configuration	61
12.3. AuthenticationTrustResolver	
IV. Authorization	. 64
13. Common Authorization Concepts	65
13.1. Authorities	
13.2. Pre-Invocation Handling	65
The AccessDecisionManager	. 65
Voting-Based AccessDecisionManager Implementations	66
13.3. After Invocation Handling	68
ACL-Aware AfterInvocationProviders	69
13.4. Authorization Tag Libraries	70
14. Secure Object Implementations	
14.1. AOP Alliance (MethodInvocation) Security Interceptor	
Explicit MethodSecurityIterceptor Configuration	

	14.2. AspectJ (JoinPoint) Security Interceptor	72
V. A	Advanced Topics	75
	15. Domain Object Security (ACLs)	76
	15.1. Overview	76
	15.2. Key Concepts	77
	15.3. Getting Started	79
	16. Pre-Authentication Scenarios	81
	16.1. Pre-Authentication Framework Classes	81
	AbstractPreAuthenticatedProcessingFilter	81
	AbstractPreAuthenticatedAuthenticationDetailsSource	82
	J2eeBasedPreAuthenticatedWebAuthenticationDetailsSource	82
	PreAuthenticatedAuthenticationProvider	82
	Http403ForbiddenEntryPoint	82
	16.2. Concrete Implementations	83
	Request-Header Authentication (Siteminder)	83
	Siteminder Example Configuration	83
	J2EE Container Authentication	84
	17. LDAP Authentication	85
	17.1. Overview	85
	17.2. Using LDAP with Spring Security	85
	17.3. Configuring an LDAP Server	85
	Using an Embedded Test Server	86
	Using Bind Authentication	86
	Loading Authorities	86
	17.4. Implementation Classes	87
	LdapAuthenticator Implementations	87
	Common Functionality	88
	BindAuthenticator	88
	PasswordComparisonAuthenticator	88
	Active Directory Authentication	88
	Connecting to the LDAP Server	88
	LDAP Search Objects	88
	FilterBasedLdapUserSearch	89
	LdapAuthoritiesPopulator	. 89
	Spring Bean Configuration	89
	LDAP Attributes and Customized UserDetails	90
	18. Java Authentication and Authorization Service (JAAS) Provider	92
	18.1. Overview	92
	18.2. Configuration	92
	JAAS CallbackHandler	93
	JAAS AuthorityGranter	93
	19. CAS Authentication	94
	19.1. Overview	94
	19.2. How CAS Works	94
	19.3. Configuration of CAS Client	94

20. X.509 Authentication	97
20.1. Overview	97
20.2. Adding X.509 Authentication to Your Web Application	97
20.3. Setting up SSL in Tomcat	98
21. Run-As Authentication Replacement	99
21.1. Overview	99
21.2. Configuration	99
A. Security Database Schema	101
A.1. User Schema	101
Group Authorities	101
A.2. Persistent Login (Remember-Me) Schema	102
A.3. ACL Schema	102
Hypersonic SQL	
PostgreSQL	103
B. The Security Namespace	105
B.1. Web Application Security - the <a href="http">http</a> Element	105
<a href="http"><a href="http"><a href="http">Attributes</a></a></a>	
servlet-api-provision	
path-type	
lowercase-comparisons	
realm	
entry-point-ref	
access-decision-manager-ref	106
access-denied-page	
once-per-request	106
create-session	106
access-denied-handler	106
The <intercept-url> Element</intercept-url>	107
pattern	107
method	107
access	107
requires-channel	107
The <port-mappings> Element</port-mappings>	107
The <form-login> Element</form-login>	107
login-page	108
login-processing-url	108
default-target-url	108
always-use-default-target	108
authentication-failure-url	108
authentication-success-handler-ref	108
authentication-failure-handler-ref	108
The <http-basic> Element</http-basic>	109
The <remember-me> Element</remember-me>	109
data-source-ref	109
token-repository-ref	109

services-ref	109
token-repository-ref	109
The key Attribute	109
token-validity-seconds	109
user-service-ref	109
The <session-management> Element</session-management>	110
session-fixation-protection	110
The <concurrency-control> Element</concurrency-control>	110
The max-sessions attribute	110
The expired-url attribute	110
The error-if-maximum-exceeded attribute	110
The session-registry-alias and session-registry-ref	
attributes	110
The <anonymous> Element</anonymous>	111
The <x509> Element</x509>	111
The subject-principal-regex attribute	111
The user-service-ref attribute	111
The <openid-login> Element</openid-login>	111
The <logout> Element</logout>	111
The logout-url attribute	111
The logout-success-url attribute	111
The invalidate-session attribute	112
B.2. Authentication Services	112
The <authentication-manager> Element</authentication-manager>	112
The <authentication-provider> Element</authentication-provider>	112
Using <authentication-provider> to refer to an</authentication-provider>	
AuthenticationProvider Bean	112
B.3. Method Security	113
The <global-method-security> Element</global-method-security>	113
The <secured-annotations> and <jsr250-annotations></jsr250-annotations></secured-annotations>	
Attributes	113
Securing Methods using <pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre>	113
The <after-invocation-provider> Element</after-invocation-provider>	113
LDAP Namespace Options	113
Defining the LDAP Server using the <pre><ld><pre></pre></ld></pre> <pre>Element</pre>	113
The <ldap-provider> Element</ldap-provider>	114
The <ldap-user-service> Element</ldap-user-service>	115

# **Preface**

Spring Security provides a comprehensive security solution for J2EE-based enterprise software applications. As you will discover as you venture through this reference guide, we have tried to provide you a useful and highly configurable security system.

Security is an ever-moving target, and it's important to pursue a comprehensive, system-wide approach. In security circles we encourage you to adopt "layers of security", so that each layer tries to be as secure as possible in its own right, with successive layers providing additional security. The "tighter" the security of each layer, the more robust and safe your application will be. At the bottom level you'll need to deal with issues such as transport security and system identification, in order to mitigate manin-the-middle attacks. Next you'll generally utilise firewalls, perhaps with VPNs or IP security to ensure only authorised systems can attempt to connect. In corporate environments you may deploy a DMZ to separate public-facing servers from backend database and application servers. Your operating system will also play a critical part, addressing issues such as running processes as non-privileged users and maximising file system security. An operating system will usually also be configured with its own firewall. Hopefully somewhere along the way you'll be trying to prevent denial of service and brute force attacks against the system. An intrusion detection system will also be especially useful for monitoring and responding to attacks, with such systems able to take protective action such as blocking offending TCP/IP addresses in real-time. Moving to the higher layers, your Java Virtual Machine will hopefully be configured to minimize the permissions granted to different Java types, and then your application will add its own problem domain-specific security configuration. Spring Security makes this latter area - application security - much easier.

Of course, you will need to properly address all security layers mentioned above, together with managerial factors that encompass every layer. A non-exhaustive list of such managerial factors would include security bulletin monitoring, patching, personnel vetting, audits, change control, engineering management systems, data backup, disaster recovery, performance benchmarking, load monitoring, centralised logging, incident response procedures etc.

With Spring Security being focused on helping you with the enterprise application security layer, you will find that there are as many different requirements as there are business problem domains. A banking application has different needs from an ecommerce application. An ecommerce application has different needs from a corporate sales force automation tool. These custom requirements make application security interesting, challenging and rewarding.

Please read Part I, "Getting Started", in its entirety to begin with. This will introduce you to the framework and the namespace-based configuration system with which you can get up and running quite quickly. To get more of an understanding of how Spring Security works, and some of the classes you might need to use, you should then read Part II, "Architecture and Implementation". The remaining parts of this guide are structured in a more traditional reference style, designed to be read on an asrequired basis. We'd also recommend that you read up as much as possible on application security issues in general. Spring Security is not a panacea which will solve all security issues. It is important that the application is designed with security in mind from the start. Attempting to retrofit it is not a good idea. In particular, if you are building a web application, you should be aware of the many potential vulnerabilities such as cross-site scripting, request-forgery and session-hijacking which you should be

taking into account from the start. The OWASP web site (http://www.owasp.org/) maintains a top ten list of web application vulnerabilities as well as a lot of useful reference information.

We hope that you find this reference guide useful, and we welcome your feedback and suggestions.

Finally, welcome to the Spring Security community.

# **Part I. Getting Started**

The later parts of this guide provide an in-depth discussion of the framework architecture and implementation classes, which you need to understand if you want to do any serious customization. In this part, we'll introduce Spring Security 3.0, give a brief overview of the project's history and take a slightly gentler look at how to get started using the framework. In particular, we'll look at namespace configuration which provides a much simpler way of securing your application compared to the traditional Spring bean approach where you have to wire up all the implementation classes individually.

We'll also take a look at the sample applications that are available. It's worth trying to run these and experimenting with them a bit even before you read the later sections - you can dip back into them as your understanding of the framework increases. Please also check out the project website [http://static.springsource.org/spring-security/site/index.html] as it has useful information on building the project, plus links to articles, videos and tutorials.

#### 1. Introduction

#### 1.1 What is Spring Security?

Spring Security provides comprehensive security services for J2EE-based enterprise software applications. There is a particular emphasis on supporting projects built using The Spring Framework, which is the leading J2EE solution for enterprise software development. If you're not using Spring for developing enterprise applications, we warmly encourage you to take a closer look at it. Some familiarity with Spring - and in particular dependency injection principles - will help you get up to speed with Spring Security more easily.

People use Spring Security for many reasons, but most are drawn to the project after finding the security features of J2EE's Servlet Specification or EJB Specification lack the depth required for typical enterprise application scenarios. Whilst mentioning these standards, it's important to recognise that they are not portable at a WAR or EAR level. Therefore, if you switch server environments, it is typically a lot of work to reconfigure your application's security in the new target environment. Using Spring Security overcomes these problems, and also brings you dozens of other useful, customisable security features.

As you probably know two major areas of application security are "authentication" and "authorization" (or "access-control"). These are the the two main areas that Spring Security targets. "Authentication" is the process of establishing a principal is who they claim to be (a "principal" generally means a user, device or some other system which can perform an action in your application). "Authorization" refers to the process of deciding whether a principal is allowed to perform an action within your application. To arrive at the point where an authorization decision is needed, the identity of the principal has already been established by the authentication process. These concepts are common, and not at all specific to Spring Security.

At an authentication level, Spring Security supports a wide range of authentication models. Most of these authentication models are either provided by third parties, or are developed by relevant standards bodies such as the Internet Engineering Task Force. In addition, Spring Security provides its own set of authentication features. Specifically, Spring Security currently supports authentication integration with all of these technologies:

- HTTP BASIC authentication headers (an IEFT RFC-based standard)
- HTTP Digest authentication headers (an IEFT RFC-based standard)
- HTTP X.509 client certificate exchange (an IEFT RFC-based standard)
- LDAP (a very common approach to cross-platform authentication needs, especially in large environments)
- Form-based authentication (for simple user interface needs)
- · OpenID authentication
- Authentication based on pre-established request headers (such as Computer Associates Siteminder)
- JA-SIG Central Authentication Service (otherwise known as CAS, which is a popular open source single sign on system)
- Transparent authentication context propagation for Remote Method Invocation (RMI) and HttpInvoker (a Spring remoting protocol)

- Automatic "remember-me" authentication (so you can tick a box to avoid re-authentication for a predetermined period of time)
- Anonymous authentication (allowing every call to automatically assume a particular security identity)
- Run-as authentication (which is useful if one call should proceed with a different security identity)
- Java Authentication and Authorization Service (JAAS)
- JEE container autentication (so you can still use Container Managed Authentication if desired)
- Kerberos
- Java Open Source Single Sign On (JOSSO) \*
- OpenNMS Network Management Platform \*
- AppFuse \*
- AndroMDA \*
- Mule ESB \*
- Direct Web Request (DWR) \*
- Grails \*
- Tapestry \*
- JTrac \*
- Jasypt \*
- Roller \*
- · Elastic Path \*
- Atlassian Crowd \*
- Your own authentication systems (see below)

(\* Denotes provided by a third party; check our integration page [http://acegisecurity.org/powering.html] for links to the latest details)

Many independent software vendors (ISVs) adopt Spring Security because of this significant choice of flexible authentication models. Doing so allows them to quickly integrate their solutions with whatever their end clients need, without undertaking a lot of engineering or requiring the client to change their environment. If none of the above authentication mechanisms suit your needs, Spring Security is an open platform and it is quite simple to write your own authentication mechanism. Many corporate users of Spring Security need to integrate with "legacy" systems that don't follow any particular security standards, and Spring Security is happy to "play nicely" with such systems.

Sometimes the mere process of authentication isn't enough. Sometimes you need to also differentiate security based on the way a principal is interacting with your application. For example, you might want to ensure requests only arrive over HTTPS, in order to protect passwords from eavesdropping or end users from man-in-the-middle attacks. This is especially helpful to protect password recovery processes from brute force attacks, or simply to make it harder for people to duplicate your application's key content. To help you achieve these goals, Spring Security fully supports automatic "channel security", together with JCaptcha integration for human user detection.

Irrespective of how authentication was undertaken, Spring Security provides a deep set of authorization capabilities. There are three main areas of interest in respect of authorization, these being authorizing web requests, authorizing whether methods can be invoked, and authorizing access to individual domain

object instances. To help you understand the differences, consider the authorization capabilities found in the Servlet Specification web pattern security, EJB Container Managed Security and file system security respectively. Spring Security provides deep capabilities in all of these important areas, which we'll explore later in this reference guide.

#### 1.2 History

Spring Security began in late 2003 as "The Acegi Security System for Spring". A question was posed on the Spring Developers' mailing list asking whether there had been any consideration given to a Spring-based security implementation. At the time the Spring community was relatively small (especially by today's size!), and indeed Spring itself had only existed as a SourceForge project from early 2003. The response to the question was that it was a worthwhile area, although a lack of time currently prevented its exploration.

With that in mind, a simple security implementation was built and not released. A few weeks later another member of the Spring community inquired about security, and at the time this code was offered to them. Several other requests followed, and by January 2004 around twenty people were using the code. These pioneering users were joined by others who suggested a SourceForge project was in order, which was duly established in March 2004.

In those early days, the project didn't have any of its own authentication modules. Container Managed Security was relied upon for the authentication process, with Acegi Security instead focusing on authorization. This was suitable at first, but as more and more users requested additional container support, the fundamental limitation of container-specific authentication realm interfaces became clear. There was also a related issue of adding new JARs to the container's classpath, which was a common source of end user confusion and misconfiguration.

Acegi Security-specific authentication services were subsequently introduced. Around a year later, Acegi Security became an official Spring Framework subproject. The 1.0.0 final release was published in May 2006 - after more than two and a half years of active use in numerous production software projects and many hundreds of improvements and community contributions.

Acegi Security became an official Spring Portfolio project towards the end of 2007 and was rebranded as "Spring Security".

Today Spring Security enjoys a strong and active open source community. There are thousands of messages about Spring Security on the support forums. There is an active core of developers who work on the code itself and an active community which also regularly share patches and support their peers.

#### 1.3 Release Numbering

It is useful to understand how Spring Security release numbers work, as it will help you identify the effort (or lack thereof) involved in migrating to future releases of the project. Officially, we use the Apache Portable Runtime Project versioning guidelines, which can be viewed at http://apr.apache.org/versioning.html. We quote the introduction contained on that page for your convenience:

"Versions are denoted using a standard triplet of integers: MAJOR.MINOR.PATCH. The basic intent is that MAJOR versions are incompatible, large-scale upgrades of the API. MINOR versions retain source and binary compatibility with older minor versions, and changes in the PATCH level are perfectly compatible, forwards and backwards."

#### 1.4 Getting Spring Security

You can get hold of Spring Security in several ways. You can download a packaged distribution from the main Spring download page [http://www.springsource.com/download/community?project=Spring %20Security], download individual jars (and sample WAR files) from the Maven Central repository (or a SpringSource Maven repository for snapshot and milestone releases) or, alternatively, you can build the project from source yourself. See the project web site for more details.

#### **Project Modules**

In Spring Security 3.0, the codebase has been sub-divided into separate jars which more clearly separate different functionaltiy areas and third-party dependencies. If you are using Maven to build your project, then these are the modules you will add to your pom.xml. Even if you're not using Maven, we'd recommend that you consult the pom.xml files to get an idea of third-party dependencies and versions. Alternatively, a good idea is to examine the libraries that are included in the sample applications.

#### Core - spring-security-core.jar

Contains core authentication and access-contol classes and interfaces, remoting support and basic provisioning APIs. Required by any application which uses Spring Security. Supports standalone applications, remote clients, method (service layer) security and JDBC user provisioning. Contains the top-level packages:

- org.springframework.security.core
- org.springframework.security.access
- org.springframework.security.authentication
- org.springframework.security.provisioning
- org.springframework.security.remoting

#### Web - spring-security-web.jar

Contains filters and related web-security infrastructure code. Anything with a servlet API dependency. You'll need it if you require Spring Security web authentication services and URL-based access-control. The main package is org.springframework.security.web.

#### Config - spring-security-config.jar

Contains the security namespace parsing code (and hence nothing that you are likely yo use directly in your application). You need it if you are using the Spring Security XML namespace for configuration. The main package is org.springframework.security.config.

#### LDAP - spring-security-ldap.jar

LDAP authentication and provisioning code. Required if you need to use LDAP authentication or manage LDAP user entries. The top-level package is org.springframework.security.ldap.

#### ACL - spring-security-acl.jar

Specialized domain object ACL implementation. Used to apply security to specific domain object instances within your application. The top-level package is org.springframework.security.acls.

#### CAS - spring-security-cas-client.jar

Spring Security's CAS client integration. If you want to use Spring Security web authentication with a CAS single sign-on server. The top-level package is org.springframework.security.cas.

#### OpenID - spring-security-openid.jar

OpenID web authentication support. Used to authenticate users against an external OpenID server. org.springframework.security.openid.Requires OpenID4Java.

#### **Checking out the Source**

Since Spring Security is an Open Source project, we'd strongly encourage you to check out the source code using subversion. This will give you full access to all the sample applications and you can build the most up to date version of the project easily. Having the source for a project is also a huge help in debugging. Exception stack traces are no longer obscure black-box issues but you can get straight to the line that's causing the problem and work out what's happening. The source is the ultimate documentation for a project and often the simplest place to find out how something actually works.

To obtain the source for the project trunk, use the following subversion command:

```
svn checkout https://src.springframework.org/svn/spring-security/trunk/
```

You can checkout specific versions from https://src.springframework.org/svn/spring-security/tags/.

# 2. Security Namespace Configuration

#### 2.1 Introduction

Namespace configuration has been available since version 2.0 of the Spring framework. It allows you to supplement the traditional Spring beans application context syntax with elements from additional XML schema. You can find more information in the Spring Reference Documentation [http://static.springframework.org/spring/docs/2.5.x/reference/xsd-config.html]. A namespace element can be used simply to allow a more concise way of configuring an individual bean or, more powerfully, to define an alternative configuration syntax which more closely matches the problem domain and hides the underlying complexity from the user. A simple element may conceal the fact that multiple beans and processing steps are being added to the application context. For example, adding the following element from the security namespace to an application context will start up an embedded LDAP server for testing use within the application:

```
<security:ldap-server />
```

This is much simpler than wiring up the equivalent Apache Directory Server beans. The most common alternative configuration requirements are supported by attributes on the ldap-server element and the user is isolated from worrying about which beans they need create and what the bean property names are. <sup>1</sup>. Use of a good XML editor while editing the application context file should provide information on the attributes and elements that are available. We would recommend that you try out the SpringSource Tool Suite [http://www.springsource.com/products/sts] as it has special features for working with standard Spring namespaces.

To start using the security namespace in your application context, all you need to do is add the schema declaration to your application context file:

In many of the examples you will see (and in the sample) applications, we will often use "security" as the default namespace rather than "beans", which means we can omit the prefix on all the security namespace elements, making the content easier to read. You may also want to do this if you have your application context divided up into separate files and have most of your security configuration in one of them. Your security application context file would then start like this

<sup>&</sup>lt;sup>1</sup>You can find out more about the use of the ldap-server element in the chapter on LDAP.

We'll assume this syntax is being used from now on in this chapter.

#### **Design of the Namespace**

The namespace is designed to capture the most common uses of the framework and provide a simplified and concise syntax for enabling them within an application. The design is based around the large-scale dependencies within the framework, and can be divided up into the following areas:

- Web/HTTP Security the most complex part. Sets up the filters and related service beans used to apply the framework authentication mechanisms, to secure URLs, render login and error pages and much more.
- Business Object (Method) Security options for securing the service layer.
- AuthenticationManager handles authentication requests from other parts of the framework.
- AccessDecisionManager provides access decisions for web and method security. A default one will be registered, but you can also choose to use a custom one, declared using normal Spring bean syntax.
- AuthenticationProviders mechanisms against which the authentication manager authenticates users.
   The namespace provides supports for several standard options and also a means of adding custom beans declared using a traditional syntax.
- *UserDetailsService* closely related to authentication providers, but often also required by other beans.

We'll see how these work together in the following sections.

# 2.2 Getting Started with Security Namespace Configuration

In this section, we'll look at how you can build up a namespace configuration to use some of the main features of the framework. Let's assume you initially want to get up and running as quickly as possible and add authentication support and access control to an existing web application, with a few test logins. Then we'll look at how to change over to authenticating against a database or other security information repository. In later sections we'll introduce more advanced namespace configuration options.

#### web.xml Configuration

The first thing you need to do is add the following filter declaration to your web.xml file:

```
<filter>
  <filter-name>springSecurityFilterChain</filter-name>
  <filter-class>org.springframework.web.filter.DelegatingFilterProxy</filter-class>
</filter>
<filter-mapping>
  <filter-name>springSecurityFilterChain</filter-name>
  <url-pattern>/*</url-pattern>
</filter-mapping>
```

This provides a hook into the Spring Security web infrastructure. DelegatingFilterProxy is a Spring Framework class which delegates to a filter implementation which is defined as a Spring bean in your application context. In this case, the bean is named "springSecurityFilterChain", which is an internal infrastructure bean created by the namespace to handle web security. Note that you should not use this bean name yourself. Once you've added this to your web.xml, you're ready to start editing your application context file. Web security services are configured using the <http> element.

#### A Minimal <a href="http">http</a>> Configuration

All you need to enable web security to begin with is

```
<http auto-config='true'>
    <intercept-url pattern="/**" access="ROLE_USER" />
    </http>
```

Which says that we want all URLs within our application to be secured, requiring the role ROLE\_USER to access them.

#### Note

You can use multiple <intercept-url> elements to define different access requirements for different sets of URLs, but they will be evaluated in the order listed and the first match will be used. So you must put the most specific matches at the top.

To add some users, you can define a set of test data directly in the namespace:

If you are familiar with pre-namespace versions of the framework, you can probably already guess roughly what's going on here. The <a href="http">http</a> element is responsible for creating a FilterChainProxy and the filter beans which it uses. Common issues like incorrect filter ordering are no longer an issue as the filter positions are predefined.

The <authentication-provider> element creates a DaoAuthenticationProvider bean and the <user-service> element creates an InMemoryDaoImpl. All authentication-provider elements must be within the authentication-manager element, which creates a ProviderManager and registers the authentication providers with it. You can find more detailed information on the beans that are created in the namespace appendix. It's worth cross-checking this if you want to start understanding what the important classes in the framework are and how they are used, particularly if you want to customise things later.

The configuration above defines two users, their passwords and their roles within the application (which will be used for access control). It is also possible to load user information from a standard properties file using the properties attribute on user-service. See the section on in-memory authentication for more details on the file format. Using the <authentication-provider> element means that the user information will be used by the authentication manager to process authentication requests. You can have multiple <authentication-provider> elements to define different authentication sources and each will be consulted in turn.

At this point you should be able to start up your application and you will be required to log in to proceed. Try it out, or try experimenting with the "tutorial" sample application that comes with the project. The above configuration actually adds quite a few services to the application because we have used the auto-config attribute. For example, form-based login processing is automatically enabled.

#### What does auto-config Include?

The auto-config attribute, as we have used it above, is just a shorthand syntax for:

```
<http>
<form-login />
<http-basic />
<logout />
</http>
```

These other elements are responsible for setting up form-login, basic authentication and logout handling services respectively  $^2$ . They each have attributes which can be used to alter their behaviour.

#### Form and Basic Login Options

You might be wondering where the login form came from when you were prompted to log in, since we made no mention of any HTML files or JSPs. In fact, since we didn't explicitly set a URL for the

<sup>&</sup>lt;sup>2</sup>In versions prior to 3.0, this list also included remember-me functionality. This could cause some confusing errors with some configurations and was removed in 3.0. In 3.0, the addition of an AnonymousAuthenticationFilter is part of the default <a href="http">http</a> configuration, so the <anonymous /> element is added regardless of whether auto-config is enabled.

login page, Spring Security generates one automatically, based on the features that are enabled and using standard values for the URL which processes the submitted login, the default target URL the user will be sent to after loggin in and so on. However, the namespace offers plenty of support to allow you to customize these options. For example, if you want to supply your own login page, you could use:

```
<http auto-config='true'>
    <intercept-url pattern="/login.jsp*" access="IS_AUTHENTICATED_ANONYMOUSLY"/>
    <intercept-url pattern="/**" access="ROLE_USER" />
    <form-login login-page='/login.jsp'/>
</http>
```

Note that you can still use auto-config. The form-login element just overrides the default settings. Also note that we've added an extra intercept-url element to say that any requests for the login page should be available to anonymous users <sup>3</sup>. Otherwise the request would be matched by the pattern /\*\* and it wouldn't be possible to access the login page itself! This is a common configuration error and will result in an infinite loop in the application. Spring Security will emit a warning in the log if your login page appears to be secured. It is also possible to have all requests matching a particular pattern bypass the security filter chain completely:

```
<http auto-config='true'>
    <intercept-url pattern="/css/**" filters="none"/>
    <intercept-url pattern="/login.jsp*" filters="none"/>
    <intercept-url pattern="/**" access="ROLE_USER" />
    <form-login login-page='/login.jsp'/>
</http>
```

Note that these requests will be completely oblivious to Spring Security, so you will not be able to access information on the current user or call secured methods during the request.

If you want to use basic authentication instead of form login, then change the configuration to

```
<http auto-config='true'>
    <intercept-url pattern="/**" access="ROLE_USER" />
    <http-basic />
</http>
```

Basic authentication will then take precedence and will be used to prompt for a login when a user attempts to access a protected resource. Form login is still available in this configuration if you wish to use it, for example through a login form embedded in another web page.

#### **Setting a Default Post-Login Destination**

If a form login isn't prompted by an attempt to access a protected resource, the default-target-url option comes into play. This is the URL the user will be taken to after logging in, and defaults to

<sup>&</sup>lt;sup>3</sup>See the chapter on anonymous authentication for more details.

"/". You can also configure things so that they user *always* ends up at this page (regardless of whether the login was "on-demand" or they explicitly chose to log in) by setting the always-use-default-target attribute to "true". This is useful if your application always requires that the user starts at a "home" page, for example:

#### **Using other Authentication Providers**

In practice you will need a more scalable source of user information than a few names added to the application context file. Most likely you will want to store your user information in something like a database or an LDAP server. LDAP namespace configuration is dealt with in the LDAP chapter, so we won't cover it here. If you have a custom implementation of Spring Security's UserDetailsService, called "myUserDetailsService" in your application context, then you can authenticate against this using

```
<authentication-manager>
  <authentication-provider user-service-ref='myUserDetailsService'/>
</authentication-manager>
```

If you want to use a database, then you can use

```
<authentication-manager>
  <authentication-provider>
    <jdbc-user-service data-source-ref="securityDataSource"/>
     </authentication-provider>
</authentication-manager>
```

Where "securityDataSource" is the name of a DataSource bean in the application context, pointing at a database containing the standard Spring Security user data tables. Alternatively, you could configure a Spring Security JdbcDaoImpl bean and point at that using the user-service-ref attribute:

You can also use standard AuthenticationProvider beans as follows

```
<authentication-manager>
  <authentication-provider ref='myAuthenticationProvider'/>
  </authentication-manager>
```

where myAuthenticationProvider is the name of a bean in your application context which implements AuthenticationProvider. See Section 2.6, "The Authentication Manager and the Namespace" for more on information on how the Spring Security AuthenticationManager is configured using the namespace.

#### **Adding a Password Encoder**

When using hashed passwords, it's also a good idea to use a salt value to protect against dictionary attacks and Spring Security supports this too. Ideally you would want to use a randomly generated salt value for each user, but you can use any property of the UserDetails object which is loaded by your UserDetailsService. For example, to use the username property, you would use

```
<password-encoder hash="sha">
    <salt-source user-property="username"/>
    </password-encoder>
```

You can use a custom password encoder bean by using the ref attribute of password-encoder. This should contain the name of a bean in the application context which is an instance of Spring Security's PasswordEncoder interface.

#### 2.3 Advanced Web Features

#### **Remember-Me Authentication**

See the separate Remember-Me chapter for information on remember-me namespace configuration.

#### **Adding HTTP/HTTPS Channel Security**

If your application supports both HTTP and HTTPS, and you require that particular URLs can only be accessed over HTTPS, then this is directly supported using the requires-channel attribute on <intercept-url>:

```
<http>
  <intercept-url pattern="/secure/**" access="ROLE_USER" requires-channel="https"/>
  <intercept-url pattern="/**" access="ROLE_USER" requires-channel="any"/>
    ...
  </http>
```

With this configuration in place, if a user attempts to access anything matching the "/secure/\*\*" pattern using HTTP, they will first be redirected to an HTTPS URL. The available options are "http", "https" or "any". Using the value "any" means that either HTTP or HTTPS can be used.

If your application uses non-standard ports for HTTP and/or HTTPS, you can specify a list of port mappings as follows:

#### **Session Management**

#### **Detecting Timeouts**

You can configure Spring Security to detect the submission of an invalid session ID and redirect the user to an appropriate URL. This is achieved through the session-management element: <a href="http>...">http>...</a> <session-management invalid-session-url="/sessionTimeout.htm" /> </a> </a>/http>

#### **Concurrent Session Control**

If you wish to place constraints on a single user's ability to log in to your application, Spring Security supports this out of the box with the following simple additions. First you need to add the following listener to your web.xml file to keep Spring Security updated about session lifecycle events: listener-class> </listener-benefit (listener-class) </li>

This will prevent a user from logging in multiple times - a second login will cause the first to be invalidated. Often you would prefer to prevent a second login, in which case you can use

The second login will then be rejected. By "rejected", we mean that the user will be sent to the authentication-failure-url if form-based login is being used. If the second authentication takes place through another non-interactive mechanism, such as "remember-me", an "unauthorized" (402) error will be sent to the client. If instead you want to use an error page, you can add the attribute session-authentication-error-url to the session-management element.

If you are using a customized authentication filter for form-based login, then you have to configure concurrent session control support explicitly. More details can be found in the Session Management chapter.

#### **Session Fixation Attack Protection**

Session fixation [http://en.wikipedia.org/wiki/Session\_fixation] attacks are a potential risk where it is possible for a malicious attacker to create a session by accessing a site, then persuade another user to log in with the same session (by sending them a link containing the session identifier as a parameter, for example). Spring Security protects against this automatically by creating a new session when a user logs in. If you don't require this protection, or it conflicts with some other requirement, you can control the behaviour using the session-fixation-protection attribute on <session-management>, which has three options

- migrateSession creates a new session and copies the existing session attributes to the new session. This is the default.
- none Don't do anything. The original session will be retained.
- newSession Create a new "clean" session, without copying the existing session data.

#### OpenID Login

The namespace supports OpenID [http://openid.net/] login either instead of, or in addition to normal form-based login, with a simple change:

```
<http>
  <intercept-url pattern="/**" access="ROLE_USER" />
  <openid-login />
  </http>
```

You should then register yourself with an OpenID provider (such as myopenid.com), and add the user information to your in-memory <user-service>:

You should be able to login using the myopenid.com site to authenticate.

#### Adding in Your Own Filters

If you've used Spring Security before, you'll know that the framework maintains a chain of filters in order to apply its services. You may want to add your own filters to the stack at particular locations or use a Spring Security filter for which there isn't currently a namespace configuration option (CAS, for example). Or you might want to use a customized version of a standard namespace filter, such as the UsernamePasswordAuthenticationFilter which is created by the <form-login> element, taking advantage of some of the extra configuration options which are available by using the bean explicitly. How can you do this with namespace configuration, since the filter chain is not directly exposed?

The order of the filters is always strictly enforced when using the namespace. When the application context is being created, the filter beans are sorted by the namespace handling code and the standard Spring Security filters each have an alias in the namespace and a well-known position.

#### Note

In previous versions, the sorting took place after the filter instances had been created, during post-processing of the application context. In version 3.0+ the sorting is now done at the bean metadata level, before the classes have been instantiated. This has implications for how you add your own filters to the stack as the entire filter list must be known during the parsing of the <a href="http">http</a> element, so the syntax has changed slightly in 3.0.

The filters, aliases and namespace elements/attributes which create the filters are shown in Table 2.1, "Standard Filter Aliases and Ordering". The filters are listed in the order in which they occur in the filter chain.

Table 2.1. Standard Filter Aliases and Ordering

Alias	Filter Class	Namespace Element or Attribute
CHANNEL_FILTER	ChannelProcessingFilte	rhttp/intercept- url@requires-channel
CONCURRENT_SESSION_FIL	<b>TER</b> ncurrentSessionFilte	rsession-management/ concurrency-control
SECURITY_CONTEXT_FILTE	<b>R</b> SecurityContextPersist	e <b>hte</b> Filter
LOGOUT_FILTER	LogoutFilter	http/logout
X509_FILTER	X509AuthenticationFilt	ehrttp/x509

Alias	Filter Class	Namespace Element or Attribute
PRE_AUTH_FILTER	AstractPreAuthenticated Subclasses	d <b>N</b> #AccessingFilter
CAS_FILTER	CasAuthenticationFilte	rN/A
FORM_LOGIN_FILTER	UsernamePasswordAuthen	th <b>cap</b> /ibornillegin
BASIC_AUTH_FILTER	BasicAuthenticationFil	tletrtp/http-basic
SERVLET_API_SUPPORT_FIL	<b>TFAC</b> urityContextHolderA	wartepi@servlet-api- provision
REMEMBER_ME_FILTER	RememberMeAuthenticati	omfüntermember-me
ANONYMOUS_FILTER	AnonymousAuthentication	nhtitpeamonymous
SESSION_MANAGEMENT_FI	LSERsionManagementFilte	rsession-management
EXCEPTION_TRANSLATION	<b>Elkdep</b> tionTranslationFi	llterp
FILTER_SECURITY_INTERCE	EFFORerSecurityIntercep	tlotrtp
SWITCH_USER_FILTER	SwitchUserFilter	N/A

You can add your own filter to the stack, using the custom-filter element and one of these names to specify the position your filter should appear at:

You can also use the after or before attributes if you want your filter to be inserted before or after another filter in the stack. The names "FIRST" and "LAST" can be used with the position attribute to indicate that you want your filter to appear before or after the entire stack, respectively.

#### **Avoiding filter position conflicts**

If you are inserting a custom filter which may occupy the same position as one of the standard filters created by the namespace then it's important that you don't include the namespace versions by mistake. Avoid using the auto-config attribute and remove any elements which create filters whose functionality you want to replace.

Note that you can't replace filters which are created by the use of the <a href="http">http</a> element itself - SecurityContextPersistenceFilter, ExceptionTranslationFilter or FilterSecurityInterceptor.

If you're replacing a namespace filter which requires an authentication entry point (i.e. where the authentication process is triggered by an attempt by an unauthenticated user to access to a secured resource), you will need to add a custom entry point bean too.

#### Setting a Custom AuthenticationEntryPoint

If you aren't using form login, OpenID or basic authentication through the namespace, you may want to define an authentication filter and entry point using a traditional bean syntax and link them into the namespace, as we've just seen. The corresponding AuthenticationEntryPoint can be set using the entry-point-ref attribute on the <a href="http">http</a> element.

The CAS sample application is a good example of the use of custom beans with the namespace, including this syntax. If you aren't familiar with authentication entry points, they are discussed in the technical overview chapter.

#### 2.4 Method Security

From version 2.0 onwards Spring Security has improved support substantially for adding security to your service layer methods. It provides support for JSR-250 security as well as the framework's native @Secured annotation. You can apply security to a single bean, using the intercept-methods element to decorate the bean declaration, or you can secure multiple beans across the entire service layer using the AspectJ style pointcuts.

#### The <global-method-security> Element

This element is used to enable annotation-based security in your application (by setting the appropriate attributes on the element), and also to group together security pointcut declarations which will be applied across your entire application context. You should only declare one <global-method-security> element. The following declaration would enable support for both Spring Security's @Secured, and JSR-250 annotations:

```
<global-method-security secured-annotations="enabled" jsr250-annotations="enabled"/>
```

Adding an annotation to a method (on an class or interface) would then limit the access to that method accordingly. Spring Security's native annotation support defines a set of attributes for the method. These will be passed to the AccessDecisionManager for it to make the actual decision. This example is taken from the tutorial sample, which is a good starting point if you want to use method security in your application:

```
public interface BankService {

    @Secured("IS_AUTHENTICATED_ANONYMOUSLY")
    public Account readAccount(Long id);

    @Secured("IS_AUTHENTICATED_ANONYMOUSLY")
    public Account[] findAccounts();

    @Secured("ROLE_TELLER")
```

```
public Account post(Account account, double amount);
}
```

#### Adding Security Pointcuts using protect-pointcut

The use of protect-pointcut is particularly powerful, as it allows you to apply security to many beans with only a simple declaration. Consider the following example:

```
<global-method-security>
  cprotect-pointcut expression="execution(* com.mycompany.*Service.*(..))"
      access="ROLE_USER"/>
</global-method-security>
```

This will protect all methods on beans declared in the application context whose classes are in the com.mycompany package and whose class names end in "Service". Only users with the ROLE\_USER role will be able to invoke these methods. As with URL matching, the most specific matches must come first in the list of pointcuts, as the first matching expression will be used.

#### 2.5 The Default AccessDecisionManager

This section assumes you have some knowledge of the underlying architecture for access-control within Spring Security. If you don't you can skip it and come back to it later, as this section is only really relevant for people who need to do some customization in order to use more than simple role based security.

When you use a namespace configuration, a default instance of AccessDecisionManager is automatically registered for you and will be used for making access decisions for method invocations and web URL access, based on the access attributes you specify in your intercept—url and protect—pointcut declarations (and in annotations if you are using annotation secured methods).

The default strategy is to use an AffirmativeBased AccessDecisionManager with a RoleVoter and an AuthenticatedVoter.

#### **Customizing the AccessDecisionManager**

If you need to use a more complicated access control strategy then it is easy to set an alternative for both method and web security.

For method security, you do this by setting the access-decision-manager-ref attribute on global-method-security to the Id of the appropriate AccessDecisionManager bean in the application context:

```
<global-method-security access-decision-manager-ref="myAccessDecisionManagerBean">
    ...
</global-method-security>
```

The syntax for web security is the same, but on the http element:

#### 2.6 The Authentication Manager and the Namespace

The main interface which provides authentication services in Spring Security is the AuthenticationManager. This is usually an instance of Spring Security's ProviderManager class, which you may already be familiar with if you've used the framework before. If not, it will be covered later, in #tech-intro-authentication. The bean instance is registered using the authentication-manager namespace element. You can't use a custom AuthenticationManager if you are using either HTTP or method security through the namespace, but this should not be a problem as you have full control over the AuthenticationProviders that are used.

You may want to register additional AuthenticationProvider beans with the ProviderManager and you can do this using the <authentication-provider> element with the ref attribute, where the value of the attribute is the name of the provider bean you want to add. For example:

Another common requirement is that another bean in the context may require a reference to the AuthenticationManager. There is a special element which lets you register an alias for the AuthenticationManager and you can then use this name elsewhere in your application context.

### 3. Sample Applications

There are several sample web applications that are available with the project. To avoid an overly large download, only the "tutorial" and "contacts" samples are included in the distribution zip file. You can either build the others yourself, or you can obtain the war files individually from the central Maven repository. We'd recommend the former. You can get the source as described in the introduction and it's easy to build the project using Maven. There is more information on the project web site at <a href="http://www.springsource.org/security/">http://www.springsource.org/security/</a> [http://www.springsource.org/security/] if you need it. All paths referred to in this chapter are relative to the source directory, once you have checked it out from subversion.

#### 3.1 Tutorial Sample

The tutorial sample is a nice basic example to get you started. It uses simple namespace configuration throughout. The compiled application is included in the distribution zip file, ready to be deployed into your web container (spring-security-samples-tutorial-3.0.x.war). The form-based authentication mechanism is used in combination with the commonly-used remember-me authentication provider to automatically remember the login using cookies.

We recommend you start with the tutorial sample, as the XML is minimal and easy to follow. Most importantly, you can easily add this one XML file (and its corresponding web.xml entries) to your existing application. Only when this basic integration is achieved do we suggest you attempt adding in method authorization or domain object security.

#### 3.2 Contacts

The Contacts Sample is an advanced example in that it illustrates the more powerful features of domain object access control lists (ACLs) in addition to basic application security. The application provides an interface with which the users are able to administer a simple database of contacts (the domain objects).

To deploy, simply copy the WAR file from Spring Security distribution into your container's webapps directory. The war should be called spring-security-samples-contacts-3.0.x.war (the appended version number will vary depending on what release you are using).

After starting your container, check the application can load. Visit http://localhost:8080/contacts (or whichever URL is appropriate for your web container and the WAR you deployed).

Next, click "Debug". You will be prompted to authenticate, and a series of usernames and passwords are suggested on that page. Simply authenticate with any of these and view the resulting page. It should contain a success message similar to the following:

**Security Debug Information** 

Authentication object is of type: org.springframework.security.authentication.UsernamePasswordAuthenticationToken

Authentication object as a String:

org.springframework.security.authentication.UsernamePasswordAuthenticationToken@1f127853:

Principal: org.springframework.security.core.userdetails.User@b07ed00: Username: rod; \

Password: [PROTECTED]; Enabled: true; AccountNonExpired: true;

credentialsNonExpired: true; AccountNonLocked: true; \
Granted Authorities: ROLE\_SUPERVISOR, ROLE\_USER; \

Password: [PROTECTED]; Authenticated: true; \

Details: org.springframework.security.web.authentication.WebAuthenticationDetails@0:

RemoteIpAddress: 127.0.0.1; SessionId: 8fkp8t83ohar; \
Granted Authorities: ROLE\_SUPERVISOR, ROLE\_USER

Authentication object holds the following granted authorities:

ROLE\_SUPERVISOR (getAuthority(): ROLE\_SUPERVISOR)

ROLE\_USER (getAuthority(): ROLE\_USER)

Success! Your web filters appear to be properly configured!

Once you successfully receive the above message, return to the sample application's home page and click "Manage". You can then try out the application. Notice that only the contacts available to the currently logged on user are displayed, and only users with ROLE\_SUPERVISOR are granted access to delete their contacts. Behind the scenes, the MethodSecurityInterceptor is securing the business objects.

The application allows you to modify the access control lists associated with different contacts. Be sure to give this a try and understand how it works by reviewing the application context XML files.

#### 3.3 LDAP Sample

The LDAP sample application provides a basic configuration and sets up both a namespace configuration and an equivalent configuration using traditional beans, both in the same application context file. This means there are actually two identical authentication providers configured in this application.

#### 3.4 CAS Sample

The CAS sample requires that you run both a CAS server and CAS client. It isn't included in the distribution so you should check out the project code as described in the introduction [get-source]. You'll find the relevant files under the sample/cas directory. There's also a Readme.txt file in there which explains how to run both the server and the client directly from the source tree, complete with SSL support. You have to download the CAS Server web application (a war file) from the CAS site and drop it into the samples/cas/server directory.

# 3.5 Pre-Authentication Sample

This sample application demonstrates how to wire up beans from the pre-authentication framework to make use of login information from a J2EE container. The user name and roles are those setup by the container.

The code is in samples/preauth.

## 4. Spring Security Community

#### 4.1 Issue Tracking

Spring Security uses JIRA to manage bug reports and enhancement requests. If you find a bug, please log a report using JIRA. Do not log it on the support forum, mailing list or by emailing the project's developers. Such approaches are ad-hoc and we prefer to manage bugs using a more formal process.

If possible, in your issue report please provide a JUnit test that demonstrates any incorrect behaviour. Or, better yet, provide a patch that corrects the issue. Similarly, enhancements are welcome to be logged in the issue tracker, although we only accept enhancement requests if you include corresponding unit tests. This is necessary to ensure project test coverage is adequately maintained.

You can access the issue tracker at http://jira.springframework.org/browse/SEC.

#### 4.2 Becoming Involved

We welcome your involvement in the Spring Security project. There are many ways of contributing, including reading the forum and responding to questions from other people, writing new code, improving existing code, assisting with documentation, developing samples or tutorials, or simply making suggestions.

#### 4.3 Further Information

Questions and comments on Spring Security are welcome. You can use the Spring Community Forum web site at http://forum.springsource.org [http://forum.springframework.org] to discuss Spring Security with other users of the framework. Remember to use JIRA for bug reports, as explained above. Everyone is also welcome to join the Acegisecurity-developer mailing list and participate in design discussions. The traffic volume is very light.

# Part II. Architecture and Implementation

Once you are familiar with setting up and running some namespace-configuration based applications, you may wish to develop more of an understanding of how the framework actually works behind the namespace facade. Like most software, Spring Security has certain central interfaces, classes and conceptual abstractions that are commonly used throughout the framework. In this part of the reference guide we will look at some of these and see how they work together to support authentication and access-control within Spring Security.

#### 5. Technical Overview

#### **5.1 Runtime Environment**

Spring Security 3.0 requires a Java 5.0 Runtime Environment or higher. As Spring Security aims to operate in a self-contained manner, there is no need to place any special configuration files into your Java Runtime Environment. In particular, there is no need to configure a special Java Authentication and Authorization Service (JAAS) policy file or place Spring Security into common classpath locations.

Similarly, if you are using an EJB Container or Servlet Container there is no need to put any special configuration files anywhere, nor include Spring Security in a server classloader. All the required files will be contained within your application.

This design offers maximum deployment time flexibility, as you can simply copy your target artifact (be it a JAR, WAR or EAR) from one system to another and it will immediately work.

#### **5.2 Core Components**

In Spring Security 3.0, the contents of the spring-security-core jar were stripped down to the bare minimum. It no longer contains any code related to web-application security, LDAP or namespace configuration. We'll take a look here at some of the Java types that you'll find in the core module. They represent the building blocks of the the framework, so if you ever need to go beyond a simple namespace configuration then it's important that you understand what they are, even if you don't actually need to interact with them directly.

#### SecurityContextHolder, SecurityContext and Authentication Objects

The most fundamental object is SecurityContextHolder. This is where we store details of the present security context of the application, which includes details of the principal currently using the application. By default the SecurityContextHolder uses a ThreadLocal to store these details, which means that the security context is always available to methods in the same thread of execution, even if the security context is not explicitly passed around as an argument to those methods. Using a ThreadLocal in this way is quite safe if care is taken to clear the thread after the present principal's request is processed. Of course, Spring Security takes care of this for you automatically so there is no need to worry about it.

Some applications aren't entirely suitable for using a ThreadLocal, because of the specific way they work with threads. For example, a Swing client might want all threads in a Java Virtual Machine to use the same security context. SecurityContextHolder can be configured with a strategy on startup to specify how you would like the contex to be stored. For a standalone application you would use the SecurityContextHolder.MODE\_GLOBAL strategy. Other applications might want to have threads spawned by the secure thread also assume the same security identity. This is achieved by using SecurityContextHolder.MODE\_INHERITABLETHREADLOCAL. You can change the mode from the default SecurityContextHolder.MODE\_THREADLOCAL in two ways. The first is to set a system property, the second is to call a static method on SecurityContextHolder.

Most applications won't need to change from the default, but if you do, take a look at the JavaDocs for SecurityContextHolder to learn more.

#### Obtaining information about the current user

Inside the SecurityContextHolder we store details of the principal currently interacting with the application. Spring Security uses an Authentication object to represent this information. You won't normally need to create an Authentication object yourself, but it is fairly common for users to query the Authentication object. You can use the following code block - from anywhere in your application - to obtain the name of the currently authenticated user, for example:

```
Object principal = SecurityContextHolder.getContext().getAuthentication().getPrincipal();

if (principal instanceof UserDetails) {
   String username = ((UserDetails)principal).getUsername();
} else {
   String username = principal.toString();
}
```

The object returned by the call to getContext() is an instance of the SecurityContext interface. This is the object that is kept in thread-local storage. As we'll see below, Most authentication mechanisms withing Spring Security return an instance of UserDetails as the principal.

#### The UserDetailsService

Another item to note from the above code fragment is that you can obtain a principal from the Authentication object. The principal is just an Object. Most of the time this can be cast into a UserDetails object. UserDetails is a central interface in Spring Security. It represents a principal, but in an extensible and application-specific way. Think of UserDetails as the adapter between your own user database and what Spring Security needs inside the SecurityContextHolder. Being a representation of something from your own user database, quite often you will cast the UserDetails to the original object that your application provided, so you can call business-specific methods (like getEmail(), getEmployeeNumber() and so on).

By now you're probably wondering, so when do I provide a UserDetails object? How do I do that? I thought you said this thing was declarative and I didn't need to write any Java code - what gives? The short answer is that there is a special interface called UserDetailsService. The only method on this interface accepts a String-based username argument and returns a UserDetails:

```
UserDetails loadUserByUsername(String username) throws UsernameNotFoundException;
```

This is the most common approach to loading information for a user within Spring Security and you will see it used throughout the framework whenever information on a user is required.

On successful authentication, UserDetails is used to build the Authentication object that is stored in the SecurityContextHolder (more on this below). The good news is that we provide a number of UserDetailsService implementations, including one that uses an in-memory map (InMemoryDaoImpl) and another that uses JDBC (JdbcDaoImpl). Most users tend to write their own, though, with their implementations often simply sitting on top of an existing Data Access Object

(DAO) that represents their employees, customers, or other users of the application. Remember the advantage that whatever your UserDetailsService returns can always be obtained from the SecurityContextHolder using the above code fragment.

## **GrantedAuthority**

Besides the principal, another important method provided by Authentication is getAuthorities(). This method provides an array of GrantedAuthority objects. A GrantedAuthority is, not surprisingly, an authority that is granted to the principal. Such authorities are usually "roles", such as ROLE\_ADMINISTRATOR or ROLE\_HR\_SUPERVISOR. These roles are later on configured for web authorization, method authorization and domain object authorization. Other parts of Spring Security are capable of interpreting these authorities, and expect them to be present. GrantedAuthority objects are usually loaded by the UserDetailsService.

Usually the GrantedAuthority objects are application-wide permissions. They are not specific to a given domain object. Thus, you wouldn't likely have a GrantedAuthority to represent a permission to Employee object number 54, because if there are thousands of such authorities you would quickly run out of memory (or, at the very least, cause the application to take a long time to authenticate a user). Of course, Spring Security is expressly designed to handle this common requirement, but you'd instead use the project's domain object security capabilities for this purpose.

## **Summary**

Just to recap, the major building blocks of Spring Security that we've seen so far are:

- SecurityContextHolder, to provide access to the SecurityContext.
- SecurityContext, to hold the Authentication and possibly request-specific security information.
- Authentication, to represent the principal in a Spring Security-specific manner.
- GrantedAuthority, to reflect the application-wide permissions granted to a principal.
- UserDetails, to provide the necessary information to build an Authentication object from your application's DAOs or other source source of security data.
- UserDetailsService, to create a UserDetails when passed in a String-based username (or certificate ID or the like).

Now that you've gained an understanding of these repeatedly-used components, let's take a closer look at the process of authentication.

## 5.3 Authentication

Spring Security can participate in many different authentication environments. While we recommend people use Spring Security for authentication and not integrate with existing Container Managed Authentication, it is nevertheless supported - as is integrating with your own proprietary authentication system.

## What is authentication in Spring Security?

Let's consider a standard authentication scenario that everyone is familiar with.

- 1. A user is prompted to log in with a username and password.
- 2. The system (successfully) verifies that the password is correct for the username.
- 3. The context information for that user is obtained (their list of roles and so on).
- 4. A security context is established for the user
- 5. The user proceeds, potentially to perform some operation which is potentially protected by an access control mechanism which checks the required permissions for the operation against the current security context information.

The first three items constitute the authentication process so we'll take a look at how these take place within Spring Security.

- 1. The username and password are obtained and combined into an instance of UsernamePasswordAuthenticationToken (an instance of the Authentication interface, which we saw earlier).
- 2. The token is passed to an instance of AuthenticationManager for validation.
- 3. The AuthenticationManager returns a fully populated Authentication instance on successful authentication.
- 4. The security context is established by calling SecurityContextHolder.getContext().setAuthentication(...), passing in the returned authentication object.

From that point on, the user is considered to be authenticated. Let's look at some code as an example.

```
import org.springframework.security.authentication.*;
import org.springframework.security.core.*;
import org.springframework.security.core.authority.GrantedAuthorityImpl;
import org.springframework.security.core.context.SecurityContextHolder;
public class AuthenticationExample {
 private static AuthenticationManager am = new SampleAuthenticationManager();
 public static void main(String[] args) throws Exception {
   BufferedReader in = new BufferedReader(new InputStreamReader(System.in));
   while(true) {
     System.out.println("Please enter your username:");
     String name = in.readLine();
     System.out.println("Please enter your password:");
     String password = in.readLine();
        Authentication request = new UsernamePasswordAuthenticationToken(name, password);
       Authentication result = am.authenticate(request);
       SecurityContextHolder.getContext().setAuthentication(result);
      } catch(AuthenticationException e) {
        System.out.println("Authentication failed: " + e.getMessage());
     }
    System.out.println("Successfully authenticated. Security context contains: " +
              SecurityContextHolder.getContext().getAuthentication());
```

Here we have written a little program that asks the user to enter a username and password and performs the above sequence. The AuthenticationManager which we've implemented here will authenticate any user whose username and password are the same. It assigns a single role to every user. The output from the above will be something like:

```
Please enter your username:
bob
Please enter your password:
password
Authentication failed: Bad Credentials
Please enter your username:
bob
Please enter your password:
bob
Successfully authenticated. Security context contains: \
org.springframework.security.authentication.UsernamePasswordAuthenticationToken@441d0230: \
Principal: bob; Password: [PROTECTED]; \
Authenticated: true; Details: null; \
Granted Authorities: ROLE_USER
```

Note that you don't normally need to write any code like this. The process will normally occur internally, in a web authentication filter for example. We've just included the code here to show that the question of what actually constitutes authentication in Spring Security has quite a simple answer. A user is authenticated when the SecurityContextHolder contains a fully populated Authentiation object.

#### Setting the SecurityContextHolder Contents Directly

In fact, Spring Security doesn't mind how you put the Authentication object inside the SecurityContextHolder. The only critical requirement is that the SecurityContextHolder contains an Authentication that represents a principal before the AbstractSecurityInterceptor (which we'll see more about later) needs to authorize a user operation.

You can (and many users do) write their own filters or MVC controllers to provide interoperability with authentication systems that are not based on Spring Security. For example, you might be using

Container-Managed Authentication which makes the current user available from a ThreadLocal or JNDI location. Or you might work for a company that has a legacy proprietary authentication system, which is a corporate "standard" over which you have little control. In situations like this it's quite easy to get Spring Security to work, and still provide authorization capabilities. All you need to do is write a filter (or equivalent) that reads the third-party user information from a location, build a Spring Security-specific Authentication object, and put it into the SecurityContextHolder.

If you're wondering how the AuthenticationManager manager is implemented in a real world example, we'll look at that in

## 5.4 Authentication in a Web Application

Now let's explore the situation where you are using Spring Security in a web application (without web.xml security enabled). How is a user authenticated and the security context established?

Consider a typical web application's authentication process:

- 1. You visit the home page, and click on a link.
- 2. A request goes to the server, and the server decides that you've asked for a protected resource.
- 3. As you're not presently authenticated, the server sends back a response indicating that you must authenticate. The response will either be an HTTP response code, or a redirect to a particular web page.
- 4. Depending on the authentication mechanism, your browser will either redirect to the specific web page so that you can fill out the form, or the browser will somehow retrieve your identity (via a BASIC authentication dialogue box, a cookie, a X.509 certificate etc.).
- 5. The browser will send back a response to the server. This will either be an HTTP POST containing the contents of the form that you filled out, or an HTTP header containing your authentication details.
- 6. Next the server will decide whether or not the presented credentials are valid. If they're valid, the next step will happen. If they're invalid, usually your browser will be asked to try again (so you return to step two above).
- 7. The original request that you made to cause the authentication process will be retried. Hopefully you've authenticated with sufficient granted authorities to access the protected resource. If you have sufficient access, the request will be successful. Otherwise, you'll receive back an HTTP error code 403, which means "forbidden".

Spring Security has distinct classes responsible for most of the steps described above. The main participants (in the order that they are used) are the ExceptionTranslationFilter, an AuthenticationEntryPoint and an "authentication mechanism", which is resposible for calling the AuthenticationManager which we saw in the previous section.

## ExceptionTranslationFilter

ExceptionTranslationFilter is a Spring Security filter that has responsibility for detecting any Spring Security exceptions that are thrown. Such exceptions will generally be thrown by

an AbstractSecurityInterceptor, which is the main provider of authorization services. We will discuss AbstractSecurityInterceptor in the next section, but for now we just need to know that it produces Java exceptions and knows nothing about HTTP or how to go about authenticating a principal. Instead the ExceptionTranslationFilter offers this service, with specific responsibility for either returning error code 403 (if the principal has been authenticated and therefore simply lacks sufficient access - as per step seven above), or launching an AuthenticationEntryPoint (if the principal has not been authenticated and therefore we need to go commence step three).

## **AuthenticationEntryPoint**

The AuthenticationEntryPoint is responsible for step three in the above list. As you can imagine, each web application will have a default authentication strategy (well, this can be configured like nearly everything else in Spring Security, but let's keep it simple for now). Each major authentication system will have its own AuthenticationEntryPoint implementation, which typically performs one of the actions described in step 3.

#### **Authentication Mechanism**

Once your browser submits your authentication credentials (either as an HTTP form post or HTTP header) there needs to be something on the server that "collects" these authentication details. By now we're at step six in the above list. In Spring Security we have a special name for the function of collecting authentication details from a user agent (usually a web browser), referring to it as the "authentication mechanism". Examples are form-base login and Basic authentication. Once the authentication details have been collected from the user agent, an Authentication "request" object is built and then presented to the AuthenticationManager.

After the authentication mechanism receives back the fully-populated Authentication object, it will deem the request valid, put the Authentication into the SecurityContextHolder, and cause the original request to be retried (step seven above). If, on the other hand, the AuthenticationManager rejected the request, the authentication mechanism will ask the user agent to retry (step two above).

## Storing the SecurityContext between requests

Depending on the type of application, there may need to be a strategy in place to store the security context between user operations. In a typical web application, a user logs in once and is subsequently identified by their session Id. The server caches the principal information for the duration session. In Spring Security, the responsibility for storing the SecurityContext between requests falls to the SecurityContextPersistenceFilter, which by default stores the context as an HttpSession attribute between HTTP requests. It restores the context to the SecurityContextHolder for each request and, crucially, clears the SecurityContextHolder when the request completes. You shouldn't interact directly with the HttpSession for security purposes. There is simply no justification for doing so - always use the SecurityContextHolder instead.

Many other types of application (for example, a stateless RESTful web service) do not use HTTP sessions and will re-authenticate on every request. However, it is still important that

the SecurityContextPersistenceFilter is included in the chain to make sure that the SecurityContextHolder is cleared after each request.

## 5.5 Access-Control (Authorization) in Spring Security

The main interface resposible for making access-control decisions in Spring Security is the AccessDecisionMananger. It has a decide method which takes an Authentication object representing the principal requesting access, a "secure object" (see below) and a list of security metadata attributes which apply for the object (such as a list of roles which are required for access to be granted).

## **Security and AOP Advice**

If you're familiar with AOP, you'd be aware there are different types of advice available: before, after, throws and around. An around advice is very useful, because an advisor can elect whether or not to proceed with a method invocation, whether or not to modify the response, and whether or not to throw an exception. Spring Security provides an around advice for method invocations as well as web requests. We achieve an around advice for method invocations using Spring's standard AOP support and we achieve an around advice for web requests using a standard Filter.

For those not familiar with AOP, the key point to understand is that Spring Security can help you protect method invocations as well as web requests. Most people are interested in securing method invocations on their services layer. This is because the services layer is where most business logic resides in current-generation J2EE applications. If you just need to secure method invocations in the services layer, Spring's standard AOP will be adequate. If you need to secure domain objects directly, you will likely find that AspectJ is worth considering.

You can elect to perform method authorization using AspectJ or Spring AOP, or you can elect to perform web request authorization using filters. You can use zero, one, two or three of these approaches together. The mainstream usage pattern is to perform some web request authorization, coupled with some Spring AOP method invocation authorization on the services layer.

## Secure Objects and the AbstractSecurityInterceptor

So what *is* a "secure object" anyway? Spring Security uses the term to refer to any object that can have security (such as an authorization decision) applied to it. The most common examples are method invocations and web requests.

Each supported secure object type has its own interceptor class, which is a subclass of AbstractSecurityInterceptor. Importantly, by the time the AbstractSecurityInterceptor is called, the SecurityContextHolder will contain a valid Authentication if the principal has been authenticated.

AbstractSecurityInterceptor provides a consistent workflow for handling secure object requests, typically:

- 1. Look up the "configuration attributes" associated with the present request
- 2. Submitting the secure object, current Authentication and configuration attributes to the AccessDecisionManager for an authorization decision

- 3. Optionally change the Authentication under which the invocation takes place
- 4. Allow the secure object invocation to proceed (assuming access was granted)
- 5. Call the AfterInvocationManager if configured, once the invocation has returned.

#### What are Configuration Attributes?

A "configuration attribute" can be thought of as a String that has special meaning to the classes used by AbstractSecurityInterceptor. They are represented by the interface ConfigAttribute within the framework. They may be simple role names or have more complex meaning, depending on the how sophisticated the AccessDecisionManager implementation is. The AbstractSecurityInterceptor is configured with a SecurityMetadataSource which it uses to look up the attributes for a secure object. Usually this configuration will be hidden from the user. Configuration attributes will be entered as annotations on secured methods, or as access attributes on secured URLs (using the namespace <intercept-url> syntax).

#### RunAsManager

Assuming AccessDecisionManager decides to allow the request, the AbstractSecurityInterceptor will normally just proceed with the request. Having said that, on rare occasions users may want to replace the Authentication inside the SecurityContext with a different Authentication, which is handled by the AccessDecisionManager calling a RunAsManager. This might be useful in reasonably unusual situations, such as if a services layer method needs to call a remote system and present a different identity. Because Spring Security automatically propagates security identity from one server to another (assuming you're using a properly-configured RMI or HttpInvoker remoting protocol client), this may be useful.

#### AfterInvocationManager

Following the secure object proceeding and then returning - which may mean a method invocation completing or a filter chain proceeding - the AbstractSecurityInterceptor gets one final chance to handle the invocation. At this stage the AbstractSecurityInterceptor is interested in possibly modifying the return object. We might want this to happen because an authorization decision couldn't be made "on the way in" to a secure object invocation. Being highly pluggable, AbstractSecurityInterceptor will pass control to an AfterInvocationManager to actually modify the object if needed. This class can even entirely replace the object, or throw an exception, or not change it in any way as it chooses.

AbstractSecurityInterceptor and its related objects are shown in Figure 5.1, "Security interceptors and the "secure object" model".

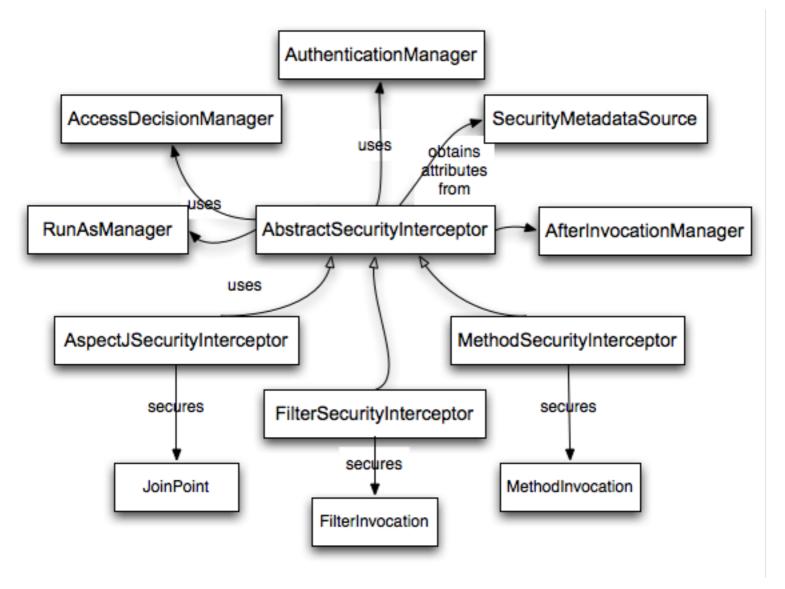


Figure 5.1. Security interceptors and the "secure object" model

#### **Extending the Secure Object Model**

Only developers contemplating an entirely new way of intercepting and authorizing requests would need to use secure objects directly. For example, it would be possible to build a new secure object to secure calls to a messaging system. Anything that requires security and also provides a way of intercepting a call (like the AOP around advice semantics) is capable of being made into a secure object. Having said that, most Spring applications will simply use the three currently supported secure object types (AOP Alliance MethodInvocation, AspectJ JoinPoint and web request FilterInvocation) with complete transparency.

### 5.6 Localization

Spring Security supports localization of exception messages that end users are likely to see. If your application is designed for English-speaking users, you don't need to do anything as by default all Security Security messages are in English. If you need to support other locales, everything you need to know is contained in this section.

All exception messages can be localized, including messages related to authentication failures and access being denied (authorization failures). Exceptions and logging that is focused on developers or system deployers (including incorrect attributes, interface contract violations, using incorrect constructors, startup time validation, debug-level logging) etc are not localized and instead are hard-coded in English within Spring Security's code.

Shipping in the spring-security-core-xx.jar you will find an org.springframework.security package that in turn contains a messages.properties file. This should be referred to by your ApplicationContext, as Spring Security classes implement Spring's MessageSourceAware interface and expect the message resolver to be dependency injected at application context startup time. Usually all you need to do is register a bean inside your application context to refer to the messages. An example is shown below:

```
<bean id="messageSource"
    class="org.springframework.context.support.ReloadableResourceBundleMessageSource">
    cproperty name="basename" value="org/springframework/security/messages"/>
</bean>
```

The messages.properties is named in accordance with standard resource bundles and represents the default language supported by Spring Security messages. This default file is in English. If you do not register a message source, Spring Security will still work correctly and fallback to hard-coded English versions of the messages.

If you wish to customize the messages.properties file, or support other languages, you should copy the file, rename it accordingly, and register it inside the above bean definition. There are not a large number of message keys inside this file, so localization should not be considered a major initiative. If you do perform localization of this file, please consider sharing your work with the community by logging a JIRA task and attaching your appropriately-named localized version of messages.properties.

Rounding out the discussion on localization is the Spring ThreadLocal known as org.springframework.context.il8n.LocaleContextHolder. You should set the LocaleContextHolder to represent the preferred Locale of each user. Spring Security will attempt to locate a message from the message source using the Locale obtained from this ThreadLocal. Please refer to the Spring Framework documentation for further details on using LocaleContextHolder.

## 6. Core Services

Now that we have a high-level overview of the Spring Security architecture and its core classes, let's take a closer look at one or two of the core interfaces and their implementations, in particular the AuthenticationManager, UserDetailsService and the AccessDecisionManager. These crop up regularly throughout the remainder of this document so it's important you know how they are configured and how they operate.

# 6.1 The AuthenticationManager, ProviderManager and AuthenticationProviderS

The AuthenticationManager is just an interface, so the implementation can be anything we choose, but how does it work in practice? What if we need to check multiple authentication databases or a combination of different authentication services such as a database and an LDAP server?

The default implementation in Spring Security is called ProviderManager and rather than handling the authentication request itself, it delegates to a list of configured AuthenticationProviders, each of which is queried in turn to see if it can perform the authentication. Each provider will either throw an exception or return a fully populated Authentication object. Remember our good friends, UserDetails and UserDetailsService? If not, head back to the previous chapter and refresh your memory. The most common approach to verifying an authentication request is to load the corresponding UserDetails and check the loaded password against the one that has been entered by the user. This is the approach used by the DaoAuthenticationProvider (see below). The loaded UserDetails object - and particularly the GrantedAuthoritys it contains - will be used when building the fully populated Authentication object which is returned from a successful authentication and stored in the SecurityContext.

If you are using the namespace, an instance of ProviderMananger is created and maintained internally, and you add providers to it either by using the namespace authentication provider elements, or by adding the <custom-authentication-provider> element to a bean (see the namespace chapter). In this case, you should not declare a ProviderManager bean in your application context. However, if you are not using the namespace then you would declare it like so:

In the above example we have three providers. They are tried in the order shown (which is implied by the use of a List), with each provider able to attempt authentication, or skip authentication by simply returning null. If all implementations return null, the ProviderManager will throw a

ProviderNotFoundException. If you're interested in learning more about chaining providers, please refer to the ProviderManager JavaDocs.

Authentication mechanisms such as a web form-login processing filter are injected with a reference to the ProviderManager and will call it to handle their authentication requests. The providers you require will sometimes be interchangeable with the authentication mechanisms, while at other times they will depend on a specific authentication mechanism. For example, DaoAuthenticationProvider and LdapAuthenticationProvider are compatible with any mechanism which submits a simple username/password authentication request and so will work with form-based logins or HTTP Basic authentication. On the other hand, some authentication mechanisms create an authentication request object which can only be interpreted by a single type of AuthenticationProvider. An example of this would be JA-SIG CAS, which uses the notion of a service ticket and so can therefore only be authenticated by a CasAuthenticationProvider. You needn't be too concerned about this, because if you forget to register a suitable provider, you'll simply receive a ProviderNotFoundException when an attempt to authenticate is made.

#### DaoAuthenticationProvider

The simplest AuthenticationProvider implemented by Spring Security is DaoAuthenticationProvider, which is is also one of the earliest supported by the framework. It leverages a UserDetailsService (as a DAO) in order to lookup the username, password and GrantedAuthoritys. It authenticates the user simply by comparing the password submitted in a UsernamePasswordAuthenticationToken against the one loaded by the UserDetailsService. Configuring the provider is quite simple:

The PasswordEncoder and SaltSource are optional. A PasswordEncoder provides encoding and decoding of passwords presented in the UserDetails object that is returned from the configured UserDetailsService. A SaltSource enables the passwords to be populated with a "salt", which enhances the security of the passwords in the authentication repository. These will be discussed in more detail in ???.

## 6.2 UserDetailsService Implementations

As mentioned in the earlier in this reference guide, most authentication providers take advantage of the UserDetails and UserDetailsService interfaces. Recall that the contract for UserDetailsService is a single method:

```
UserDetails loadUserByUsername(String username) throws UsernameNotFoundException;
```

The returned UserDetails is an interface that provides getters that guarantee non-null provision of authentication information such as the username, password, granted authorities and whether the user account is enabled or disabled. Most authentication providers will use a UserDetailsService, even if the username and password are not actually used as part of the authentication decision. They may use the returned UserDetails object just for its GrantedAuthority information, because some other system (like LDAP or X.509 or CAS etc) has undertaken the responsibility of actually validating the credentials.

Given UserDetailsService is so simple to implement, it should be easy for users to retrieve authentication information using a persistence strategy of their choice. Having said that, Spring Security does include a couple of useful base implementations, which we'll look at below.

## **In-Memory Authentication**

Is easy to use create a custom UserDetailsService implementation that extracts information from a persistence engine of choice, but many applications do not require such complexity. This is particularly true if you're building a prototype application or just starting integrating Spring Security, when you don't really want to spend time configuring databases or writing UserDetailsService implementations. For this sort of situation, a simple option is to use the user-service element from the security namespace:

```
<user-service id="userDetailsService">
    <user name="jimi" password="jimispassword" authorities="ROLE_USER, ROLE_ADMIN" />
    <user name="bob" password="bobspassword" authorities="ROLE_USER" />
    </user-service>
```

This also supports the use of an external properties file:

```
<user-service id="userDetailsService" properties="users.properties"/>
```

The properties file should contain entries in the form

```
username=password,grantedAuthority[,grantedAuthority][,enabled|disabled]
```

For example

```
jimi=jimispassword,ROLE_USER,ROLE_ADMIN,enabled
bob=bobspassword,ROLE_USER,enabled
```

#### JdbcDaoImpl

Spring Security also includes a UserDetailsService that can obtain authentication information from a JDBC data source. Internally Spring JDBC is used, so it avoids the complexity of a fully-featured object relational mapper (ORM) just to store user details. If your application does use an ORM tool, you might prefer to write a custom UserDetailsService to reuse the mapping files you've probably already created. Returning to JdbcDaoImpl, an example configuration is shown below:

You can use different relational database management systems by modifying the DriverManagerDataSource shown above. You can also use a global data source obtained from JNDI, as with any other Spring configuration.

#### **Authority Groups**

By default, JdbcDaoImpl loads the authorities for a single user with the assumption that the authorities are mapped directly to users (see the database schema appendix). An alternative approach is to partition the authorities into groups and assign groups to the user. Some people prefer this approach as a means of administering user rights. See the JdbcDaoImpl Javadoc for more information on how to enable the use of group authorities. The group schema is also included in the appendix.

# Part III. Web Application Security

Most Spring Security users will be using the framework in applications which make user of HTTP and the Servlet API. In this part, we'll take a look at how Spring Security provides authentication and access-control features for the web layer of an application. We'll look behind the facade of the namespace and see which classes and interfaces are actually assembled to provide web-layer security. In some situations it is necessary to use traditional bean configuration to provide full control over the configuration, so we'll also see how to configure these classes directly without the namespace.

# 7. The Security Filter Chain

Spring Security's web infrastructure is based entirely on standard servlet filters. It doesn't use servlets or any other servlet-based frameworks (such as Spring MVC) internally, so it has no strong links to any particular web technology. It deals in HttpServletRequests and HttpServletResponses and doesn't care whether the requests come from a browser, a web service client, an HttpInvoker or an AJAX application.

Spring Security maintains a filter chain internally where each of the filters has a particular responsibility and filters are added or removed from the configuration depending on which services are required. The ordering of the filters is important as there are dependencies between them. If you have been using namespace configuration, then the filters are automatically configured for you and you don't have to define any Spring beans explicitly but here may be times when you want full control over the security filter chain, either because you are using features which aren't supported in the namespace, or you are using your own customized versions of classes.

## 7.1 DelegatingFilterProxy

When using servlet filters, you obviously need to declare them in your web.xml, or they will be ignored by the servlet container. In Spring Security, the filter classes are also Spring beans defined in the application context and thus able to take advantage of Spring's rich dependency-injection facilities and lifecycle interfaces. Spring's DelegatingFilterProxy provides the link between web.xml and the application context.

When using DelegatingFilterProxy, you will see something like this in the web.xml file:

```
<filter>
    <filter-name>myFilter</filter-name>
    <filter-class>org.springframework.web.filter.DelegatingFilterProxy</filter-class>
</filter>

<filter-mapping>
    <filter-name>myFilter</filter-name>
        <url-pattern>/*</url-pattern>
</filter-mapping>
```

Notice that the filter is actually a DelegatingFilterProxy, and not the class that will actually implement the logic of the filter. What DelegatingFilterProxy does is delegate the Filter's methods through to a bean which is obtained from the Spring application context. This enables the bean to benefit from the Spring web application context lifecycle support and configuration flexibility. The bean must implement javax.servlet.Filter and it must have the same name as that in the filter-name element. Read the Javadoc for DelegatingFilterProxy for more information

## 7.2 FilterChainProxy

It should now be clear that you can declare each Spring Security filter bean that you require in your application context file and add a corresponding DelegatingFilterProxy entry to web.xml

for each filter, making sure that they are ordered correctly. This is a cumbersome approach and clutters up the web.xml file quickly if we have a lot of filters. We would prefer to just add a single entry to web.xml and deal entirely with the application context file for managing our web security beans. This is where Spring Secuiryt's FilterChainProxy comes in. It is wired using a DelegatingFilterProxy, just like in the example above, but with the filter-name set to the bean name "filterChainProxy". The filter chain is then declared in the application context with the same bean name. Here's an example:

The namespace element filter-chain-map is used to set up the security filter chain(s) which are required within the application<sup>1</sup>. It maps a particular URL pattern to a chain of filters built up from the bean names specified in the filters element. Both regular expressions and Ant Paths are supported, and the most specific URIs appear first. At runtime the FilterChainProxy will locate the first URI pattern that matches the current web request and the list of filter beans specified by the filters attribute will be applied to that request. The filters will be invoked in the order they are defined, so you have complete control over the filter chain which is applied to a particular URL.

You may have noticed we have declared two SecurityContextPersistenceFilters in the filter chain (ASC is short for allowSessionCreation, a property of SecurityContextPersistenceFilter). As web services will never present a jsessionid on future requests, creating HttpSessions for such user agents would be wasteful. If you had a high-volume application which required maximum scalability, we recommend you use the approach shown above. For smaller applications, using a single SecurityContextPersistenceFilter (with its default allowSessionCreation as true) would likely be sufficient.

In relation to lifecycle issues, the FilterChainProxy will always delegate init(FilterConfig) and destroy() methods through to the underlaying Filters if such methods are called against FilterChainProxy itself. In this case, FilterChainProxy guarantees to only initialize and destroy each Filter bean once, no matter how many times it is declared in the filter chain(s). You control the overall choice as to whether these methods are called or not via the targetFilterLifecycle initialization parameter of DelegatingFilterProxy. By default this property is false and servlet container lifecycle invocations are not delegated through DelegatingFilterProxy.

<sup>&</sup>lt;sup>1</sup>Note that you'll need to include the security namespace in your application context XML file in order to use this syntax.

When we looked at how to set up web security using namespace configuration, we used a DelegatingFilterProxy with the name "springSecurityFilterChain". You should now be able to see that this is the name of the FilterChainProxy which is created by the namespace.

## **Bypassing the Filter Chain**

As with the namespace, you can use the attribute filters = "none" as an alternative to supplying a filter bean list. This will omit the request pattern from the security filter chain entirely. Note that anything matching this path will then have no authentication or authorization services applied and will be freely accessible. If you want to make use of the contents of the SecurityContext contents during a request, then it must have passed through the security filter chain. Otherwise the SecurityContextHolder will not have been populated and the contents will be null.

## 7.3 Filter Ordering

The order that filters are defined in the chain is very important. Irrespective of which filters you are actually using, the order should be as follows:

- 1. ChannelProcessingFilter, because it might need to redirect to a different protocol
- 2. ConcurrentSessionFilter, because it doesn't use any SecurityContextHolder functionality but needs to update the SessionRegistry to reflect ongoing requests from the principal
- 3. SecurityContextPersistenceFilter, so a SecurityContext can be set up in the SecurityContextHolder at the beginning of a web request, and any changes to the SecurityContext can be copied to the HttpSession when the web request ends (ready for use with the next web request)
- 4. Authentication processing mechanisms UsernamePasswordAuthenticationFilter, CasAuthenticationFilter, BasicAuthenticationFilter etc so that the SecurityContextHolder can be modified to contain a valid Authentication request token
- 5. The SecurityContextHolderAwareRequestFilter, if you are using it to install a Spring Security aware HttpServletRequestWrapper into your servlet container
- 6. RememberMeAuthenticationFilter, so that if no earlier authentication processing mechanism updated the SecurityContextHolder, and the request presents a cookie that enables remember-me services to take place, a suitable remembered Authentication object will be put there
- 7. AnonymousAuthenticationFilter, so that if no earlier authentication processing mechanism updated the SecurityContextHolder, an anonymous Authentication object will be put there
- 8. ExceptionTranslationFilter, to catch any Spring Security exceptions so that either an HTTP error response can be returned or an appropriate AuthenticationEntryPoint can be launched

9. FilterSecurityInterceptor, to protect web URIs and raise exceptions when access is denied

## 7.4 Use with other Filter-Based Frameworks

If you're using some other framework that is also filter-based, then you need to make sure that the Spring Security filters come first. This enables the SecurityContextHolder to be populated in time for use by the other filters. Examples are the use of SiteMesh to decorate your web pages or a web framework like Wicket which uses a filter to handle its requests.

# 8. Core Security Filters

There are some key filters which will always be used in a web application which uses Spring Security, so we'll look at these and their supporting classes and interfaces first. We won't cover every feature, so be sure to look at the Javadoc for them if you want to get the complete picture.

## 8.1 FilterSecurityInterceptor

We've already seen FilterSecurityInterceptor briefly when discussing access-control in general, and we've already used it with the namespace where the <intercept-url> elements are combined to configure it internally. Now we'll see how to explicitly configure it for use with a FilterChainProxy, along with its companion filter ExceptionTranslationFilter. A typical configuration example is shown below:

FilterSecurityInterceptor is responsible for handling the security of HTTP resources. It requires a reference to an AuthenticationManager and an AccessDecisionManager. It is also supplied with configuration attributes that apply to different HTTP URL requests. Refer back to the original discussion on these in the technical introduction.

The FilterSecurityInterceptor can be configured with configuration attributes in two ways. The first, which is shown above, is using the <filter-security-metadata-source> namespace element. This is similar to the <filter-chain-map> used to configure a FilterChainProxy but the <intercept-url> child elements only use the pattern and access attributes. Commas are used to delimit the different configuration attributes that apply to each HTTP URL. The second option is to write your own SecurityMetadataSource, but this is beyond the scope of this document. Irrespective of the approach used, the SecurityMetadataSource is responsible for returning a List<ConfigAttribute> containing all of the configuration attributes associated with a single secure HTTP URL.

It should be noted that the FilterSecurityInterceptor.setSecurityMetadataSource() method actually expects an instance of FilterSecurityMetadataSource. This is a marker interface which subclasses SecurityMetadataSource. It simply denotes the SecurityMetadataSource understands FilterInvocations. In the interests of simplicity we'll continue to refer to the FilterInvocationDefinitionSource as a SecurityMetadataSource, as the distinction is of little relevance to most users.

The SecurityMetadataSource created by the namespace syntax obtains the configuration attributes for a particular FilterInvocation by matching the request URL against the configured pattern attributes. This behaves in the same way as it does for namespace configuration. The default is to treat all expressions as Apache Ant paths and regular expressions are also supported for more complex cases. The path-type attribute is used to specify the type of pattern being used. It is not possible to mix expression syntaxes within the same definition. As an example, the previous configuration using regular expressions instead of Ant paths would be written as follows:

Patterns are always evaluated in the order they are defined. Thus it is important that more specific patterns are defined higher in the list than less specific patterns. This is reflected in our example above, where the more specific /secure/super/ pattern appears higher than the less specific /secure/ pattern. If they were reversed, the /secure/ pattern would always match and the /secure/super/ pattern would never be evaluated.

## 8.2 ExceptionTranslationFilter

The ExceptionTranslationFilter sits above the FilterSecurityInterceptor in the security filter stack. It doesn't do any actual security enforcement itself, but handles exceptions thrown by the security interceptors and provides suitable and HTTP responses.

```
<bean id="exceptionTranslationFilter"
    class="org.springframework.security.web.access.ExceptionTranslationFilter">
    cproperty name="authenticationEntryPoint" ref="authenticationEntryPoint"/>
    cproperty name="accessDeniedHandler" ref="accessDeniedHandler"/>
    </bean>

<br/>
<br/>
<br/>
class="org.springframework.security.web.authentication.LoginUrlAuthenticationEntryPoint">
        cproperty name="loginFormUrl" value="/login.jsp"/>
        </bean>

<br/>
class="org.springframework.security.web.access.AccessDeniedHandlerImpl">
        class="org.springframework.security.web.access.AccessDeniedHandlerImpl">
        cproperty name="errorPage" value="/accessDenied.htm"/>
        </bean>
```

#### AuthenticationEntryPoint

The AuthenticationEntryPoint will be called if the user requests a secure HTTP resource but they are not authenticated. An appropriate AuthenticationException or AccessDeniedException will be thrown by a security interceptor further down the call stack, triggering the commence method on the entry point. This does the job of presenting the appropriate response to the user so that authentication can begin. The one we've used here is LoginUrlAuthenticationEntryPoint, which redirects the request to a different URL (typically a login page). The actual implementation used will depend on the authentication mechanism you want to be used in your application.

#### AccessDeniedHandler

What happens if a user is already authenticated an they try to access a protected resource? In normal usage, this shouldn't happen because the application workflow should be restricted to operations to which a user has access. For example, an HTML link to an administration page might be hidden from users who do not have an admin role. You can't rely on hiding links for security though, as there's always a possibility that a user will just enter the URL directly in an attempt to bypass the restrictions. Or they might modify a RESTful URL to change some of the argument values. Your application must be protected against these scenarios or it will definitely be insecure. You will typically use simple web layer security to apply constraints to basic URLs and use more specific method-based security on your service layer interfaces to really nail down what is permissible.

If an AccessDeniedException is thrown and a user has already been authenticated, then this means that an operation has been attempted for which they don't have enough permissions. In this case, ExceptionTranslationFilter will invoke a second strategy, the AccessDeniedHandler. By default, an AccessDeniedHandlerImpl is used, which just sends a 403 (Forbidden) response to the client. Alternatively you can configure an instance explicitly (as in the above example) and set an error page URL which it will forwards the request to <sup>1</sup>. This can be a simple "access denied" page, such as a JSP, or it could be a more complex handler such as an MVC controller. And of course, you can implement the interface yourself and use your own implementation.

It's also possible to supply a custom AccessDeniedHandler when you're using the namespace to configure your application. See the namespace appendix for more details.

## 8.3 SecurityContextPersistenceFilter

We covered the purpose of this all-important filter in #tech-intro-sec-context-persistence so you might want to re-read that section at this point. Let's first take a look at how you would configure it for use with a FilterChainProxy. A basic configuration only requires the bean itself

```
<bean id="securityContextPersistenceFilter"
class="org.springframework.security.web.context.SecurityContextPersistenceFilter"/>
```

<sup>&</sup>lt;sup>1</sup>We use a forward so that the SecurityContextHolder still contains details of the principal, which may be useful for displaying to the user. In old releases of Spring Security we relied upon the servlet container to handle a 403 error message, which lacked this useful contextual information.

As we saw previously, this filter has two main tasks. It is responsible for storage of the SecurityContext contents between HTTP requests and for clearing the SecurityContextHolder when a request is completed. Clearing the ThreadLocal in which the context is stored is essential, as it might otherwise be possible for a thread to be replaced into the servlet container's thread pool, with the security context for a particular user still attached. This thread might then be used at a later stage, performing operations with the wrong credentials.

#### SecurityContextRepository

From Spring Security 3.0, the job of loading and storing the security context is now delegated to a separate strategy interface:

```
public interface SecurityContextRepository {
    SecurityContext loadContext(HttpRequestResponseHolder requestResponseHolder);
    void saveContext(SecurityContext context, HttpServletRequest request, HttpServletResponse response);
}
```

The HttpRequestResponseHolder is simply a container for the incoming request and response objects, allowing the implementation to replace these with wrapper classes. The returned contents will be passed to the filter chain.

The default implementation is HttpSessionSecurityContextRepository, which stores the security context as an HttpSession attribute <sup>2</sup>. The most important configuration parameter for this implementation is the allowSessionCreation property, which defaults to true, thus allowing the class to create a session if it needs one to store the security context for an authenticated user (it won't create one unless authentication has taken place and the contents of the security context have changed). If you don't want a session to be created, then you can set this property to false:

```
<bean id="securityContextPersistenceFilter"
class="org.springframework.security.web.context.SecurityContextPersistenceFilter">
cproperty name='securityContextRepository'>
<bean class='org.springframework.security.web.context.HttpSessionSecurityContextRepository'>
cproperty name='allowSessionCreation' value='false' />
</bean>
```

Alternatively you could provide a null implementation of the SecurityContextRepository interface.

#### 8.4 UsernamePasswordAuthenticationFilter

We've now seen the three main filters which are always present in a Spring Security web configuration. These are also the three which are automatically created by the namespace <a href="http">http</a> element and cannot be substituted with alternatives. The only thing that's missing now is an actual authentication mechanism, something that will allow a user to authenticate. This filter is the most commonly used authentication

<sup>&</sup>lt;sup>2</sup>In Spring Security 2.0 and earlier, this filter was called HttpSessionContextIntegrationFilter and performed all the work of storing the context was performed by the filter itself. If you were familiar with this class, then most of the configuration options which were available can now be found on HttpSessionSecurityContextRepository.

filter and the one that is most often customized <sup>3</sup>. It also provides the implementation used by the <form-login> element from the namespace. There are three stages required to configure it.

- 1. Configure a LoginUrlAuthenticationEntryPoint with the URL of the login page, just as we did above, and set it on the ExceptionTranslationFilter.
- 2. Implement the login page (using a JSP or MVC controller).
- 3. Configure an instance of UsernamePasswordAuthenticationFilter in the application context
- 4. Add the filter bean to your filter chain proxy (making sure you pay attention to the order).

The login form simply contains j\_username and j\_password input fields, and posts to the URL that is monitored by the filter (by default this is /j\_spring\_security\_check). The basic filter configuration looks something like this:

## **Application Flow on Authentication Success and Failure**

The filter calls the configured AuthenticationManager to process each authentication request. The destination following a successful authentication or an authentication failure is controlled by the AuthenticationSuccessHandler and AuthenticationFailureHandler interfaces, respectively. The filter has properties which allow you strategy behaviour completely Some these SO can customize the set you standard implementations are supplied such as SimpleUrlAuthenticationSuccessHandler, SavedRequestAwareAuthenticationSuccessHandler,

SimpleUrlAuthenticationFailureHandler

and

ExceptionMappingAuthenticationFailureHandler. Have a look at the Javadoc for these classes to see how they work.

If authentication is successful, the resulting Authentication object will be placed into the SecurityContextHolder. The configured AuthenticationSuccessHandler will then be called to either redirect or forward the user to the approprate destination. By default a SavedRequestAwareAuthenticationSuccessHandler is used, which means that the user will be redirected to the original destination they requested before they were asked to login.

<sup>&</sup>lt;sup>3</sup>For historical reasons, prior to Spring Security 3.0, this filter was called AuthenticationProcessingFilter and the entry point was called AuthenticationProcessingFilterEntryPoint. Since the framework now supports many different forms of authentication, they have both been given more specific names in 3.0.

<sup>&</sup>lt;sup>4</sup>In versions prior to 3.0, the application flow at this point had evolved to a stage was controlled by a mix of properties on this class and strategy plugins. The decision was made for 3.0 to refactor the code to make these two strategies entirely responsible.

#### Note

The ExceptionTranslationFilter caches the original request a user makes. When the user authenticates, the request handler makes use of this cached request to obtain the original URL and redirect to it. The original request is then rebuilt and used as an alternative.

If authentication fails, the configured AuthenticationFailureHandler will be invoked.

# 9. Basic and Digest Authentication

Basic and digest authentiation are alternative authentication mechanisms which are popular in web applications. Basic authentication is often used with stateless clients which pass their credentials on each request. It's quite common to use it in combination with form-based authentication where an application is used through both a browser-based user interface and as a web-service. However, basic authentication transmits the password as plain text so it should only really be used over an encrypted transport layer such as HTTPS.

#### 9.1 BasicAuthenticationFilter

BasicAuthenticationFilter is responsible for processing basic authentication credentials presented in HTTP headers. This can be used for authenticating calls made by Spring remoting protocols (such as Hessian and Burlap), as well as normal browser user agents (such as Firefox and Internet Explorer). The standard governing HTTP Basic Authentication is defined by RFC 1945, Section 11, and BasicAuthenticationFilter conforms with this RFC. Basic Authentication is an attractive approach to authentication, because it is very widely deployed in user agents and implementation is extremely simple (it's just a Base64 encoding of the username:password, specified in an HTTP header).

## Configuration

To implement HTTP Basic Authentication, you need to add a BasicAuthenticationFilter to your filter chain. The application context should contain BasicAuthenticationFilter and its required collaborator:

The configured AuthenticationManager processes each authentication request. If authentication fails, the configured AuthenticationEntryPoint will be used to retry the authentication process. Usually you will use the filter in combination with a BasicAuthenticationEntryPoint, which returns a 401 response with a suitable header to retry HTTP Basic authentication. If authentication is successful, the resulting Authentication object will be placed into the SecurityContextHolder as usual.

If the authentication event was successful, or authentication was not attempted because the HTTP header did not contain a supported authentication request, the filter chain will continue as normal. The only time the filter chain will be interrupted is if authentication fails and the AuthenticationEntryPoint is called.

## 9.2 DigestAuthenticationFilter

DigestAuthenticationFilter is capable of processing digest authentication credentials presented in HTTP headers. Digest Authentication attempts to solve many of the weaknesses of Basic authentication, specifically by ensuring credentials are never sent in clear text across the wire. Many user agents support Digest Authentication, including FireFox and Internet Explorer. The standard governing HTTP Digest Authentication is defined by RFC 2617, which updates an earlier version of the Digest Authentication standard prescribed by RFC 2069. Most user agents implement RFC 2617. Spring Security's DigestAuthenticationFilter is compatible with the "auth" quality of protection (qop) prescribed by RFC 2617, which also provides backward compatibility with RFC 2069. Digest Authentication is a more attractive option if you need to use unencrypted HTTP (i.e. no TLS/HTTPS) and wish to maximise security of the authentication process. Indeed Digest Authentication is a mandatory requirement for the WebDAV protocol, as noted by RFC 2518 Section 17.1.

Digest Authentication is definitely the most secure choice between Form Authentication, Basic Authentication and Digest Authentication, although extra security also means more complex user agent implementations. Central to Digest Authentication is a "nonce". This is a value the server generates. Spring Security's nonce adopts the following format:

```
base64(expirationTime + ":" + md5Hex(expirationTime + ":" + key))
expirationTime: The date and time when the nonce expires, expressed in milliseconds
key: A private key to prevent modification of the nonce token
```

The DigestAuthenticatonEntryPoint has a property specifying the key used for generating the nonce tokens, along with a nonceValiditySeconds property for determining the expiration time (default 300, which equals five minutes). Whist ever the nonce is valid, the digest is computed by concatenating various strings including the username, password, nonce, URI being requested, a client-generated nonce (merely a random value which the user agent generates each request), the realm name etc, then performing an MD5 hash. Both the server and user agent perform this digest computation, resulting in different hash codes if they disagree on an included value (eg password). In Spring Security implementation, if the server-generated nonce has merely expired (but the digest was otherwise valid), the DigestAuthenticationEntryPoint will send a "stale=true" header. This tells the user agent there is no need to disturb the user (as the password and username etc is correct), but simply to try again using a new nonce.

An appropriate value for DigestAuthenticationEntryPoint's nonceValiditySeconds parameter will depend on your application. Extremely secure applications should note that an intercepted authentication header can be used to impersonate the principal until the expirationTime contained in the nonce is reached. This is the key principle when selecting an appropriate setting, but it would be unusual for immensely secure applications to not be running over TLS/HTTPS in the first instance.

Because of the more complex implementation of Digest Authentication, there are often user agent issues. For example, Internet Explorer fails to present an "opaque" token on subsequent requests in the same session. Spring Security filters therefore encapsulate all state information into the "nonce"

token instead. In our testing, Spring Security's implementation works reliably with FireFox and Internet Explorer, correctly handling nonce timeouts etc.

## Configuration

Now that we've reviewed the theory, let's see how to use it. To implement HTTP Digest Authentication, it is necessary to define DigestAuthenticationFilter in the filter chain. The application context will need to define the DigestAuthenticationFilter and its required collaborators:

The configured UserDetailsService is needed because DigestAuthenticationFilter must have direct access to the clear text password of a user. Digest Authentication will NOT work if you are using encoded passwords in your DAO. The DAO collaborator, along with the UserCache, are typically shared directly with a DaoAuthenticationProvider. The authenticationEntryPoint property must be DigestAuthenticationEntryPoint, so that DigestAuthenticationFilter can obtain the correct realmName and key for digest calculations.

Like BasicAuthenticationFilter, if authentication is successful an Authentication request token will be placed into the SecurityContextHolder. If the authentication event was successful, or authentication was not attempted because the HTTP header did not contain a Digest Authentication request, the filter chain will continue as normal. The only time the filter chain will be interrupted is if authentication fails and the AuthenticationEntryPoint is called, as discussed in the previous paragraph.

Digest Authentication's RFC offers a range of additional features to further increase security. For example, the nonce can be changed on every request. Despite this, Spring Security implementation was designed to minimise the complexity of the implementation (and the doubtless user agent incompatibilities that would emerge), and avoid needing to store server-side state. You are invited to review RFC 2617 if you wish to explore these features in more detail. As far as we are aware, Spring Security's implementation does comply with the minimum standards of this RFC.

## 10. Remember-Me Authentication

#### 10.1 Overview

Remember-me or persistent-login authentication refers to web sites being able to remember the identity of a principal between sessions. This is typically accomplished by sending a cookie to the browser, with the cookie being detected during future sessions and causing automated login to take place. Spring Security provides the necessary hooks for these operations to take place, and has two concrete rememberme implementations. One uses hashing to preserve the security of cookie-based tokens and the other uses a database or other persistent storage mechanism to store the generated tokens.

Note that both implemementations require a UserDetailsService. If you are using an authentication provider which doesn't use a UserDetailsService (for example, the LDAP provider) then it won't work unless you also have a UserDetailsService bean in your application context.

## 10.2 Simple Hash-Based Token Approach

This approach uses hashing to achieve a useful remember-me strategy. In essence a cookie is sent to the browser upon successful interactive authentication, with the cookie being composed as follows:

As such the remember-me token is valid only for the period specified, and provided that the username, password and key does not change. Notably, this has a potential security issue in that a captured remember-me token will be usable from any user agent until such time as the token expires. This is the same issue as with digest authentication. If a principal is aware a token has been captured, they can easily change their password and immediately invalidate all remember-me tokens on issue. If more significant security is needed you should use the approach described in the next section. Alternatively remember-me services should simply not be used at all.

If you are familiar with the topics discussed in the chapter on namespace configuration [ns-config], you can enable remember-me authentication just by adding the <remember-me> element:

```
<http>
...
<remember-me key="myAppKey"/>
</http>
```

The UserDetailsService will normally be selected automatically. If you have more than one in your application context, you need to specify which one should be used with the user-service-ref attribute, where the value is the name of your UserDetailsService bean.

## 10.3 Persistent Token Approach

This approach is based on the article http://jaspan.com/improved\_persistent\_login\_cookie\_best\_practice with some minor modifications <sup>1</sup>. To use the this approach with namespace configuration, you would supply a datasource reference:

```
<http>
...
<remember-me data-source-ref="someDataSource"/>
</http>
```

The database should contain a persistent\_logins table, created using the following SQL (or equivalent):

```
create table persistent_logins (username varchar(64) not null, series varchar(64) primary key, token va
```

## 10.4 Remember-Me Interfaces and Implementations

Remember-me authentication is not used with basic authentication, given it is often not used with HttpSessions. Remember-me is used with UsernamePasswordAuthenticationFilter, and is implemented via hooks in the AbstractAuthenticationProcessingFilter superclass. The hooks will invoke a concrete RememberMeServices at the appropriate times. The interface looks like this:

```
Authentication autoLogin(HttpServletRequest request, HttpServletResponse response);

void loginFail(HttpServletRequest request, HttpServletResponse response);

void loginSuccess(HttpServletRequest request, HttpServletResponse response,

Authentication successfulAuthentication);
```

Please refer to the JavaDocs for a fuller discussion on what the methods do, although note at this stage that AbstractAuthenticationProcessingFilter only calls the loginFail() and loginSuccess() methods. The autoLogin() method is called by RememberMeAuthenticationFilter whenever the SecurityContextHolder does not contain an Authentication. This interface therefore provides the underlying remember-me implementation with sufficient notification of authentication-related events, and delegates to the implementation whenever a candidate web request might contain a cookie and wish to be remembered. This design allows any number of remember-me implementation strategies. We've seen above that Spring Security provides two implementations. We'll look at thes in turn.

<sup>&</sup>lt;sup>1</sup>Essentially, the username is not included in the cookie, to prevent exposing a valid login name unecessarily. There is a discussion on this in the comments section of this article.

#### **TokenBasedRememberMeServices**

This implementation supports simpler approach described in Section 10.2. the "Simple Approach". Hash-Based Token TokenBasedRememberMeServices generates RememberMeAuthenticationToken, which is processed by RememberMeAuthenticationProvider. Α key is between this shared authentication provider and the TokenBasedRememberMeServices. In addition. TokenBasedRememberMeServices requires A UserDetailsService from which it can retrieve the username and password for signature comparison purposes, and generate the RememberMeAuthenticationToken to contain the correct GrantedAuthority[]s. Some sort of logout command should be provided by the application that invalidates the cookie if the user requests this. TokenBasedRememberMeServices also implements Spring Security's LogoutHandler interface so can be used with LogoutFilter to have the cookie cleared automatically.

The beans required in an application context to enable remember-me services are as follows:

Don't forget add your RememberMeServices implementation UsernamePasswordAuthenticationFilter.setRememberMeServices() your include RememberMeAuthenticationProvider property, the in your AuthenticationManager.setProviders() RememberMeAuthenticationFilter into your FilterChainProxy (typically immediately after your UsernamePasswordAuthenticationFilter).

#### PersistentTokenBasedRememberMeServices

This class can be used in the same way as TokenBasedRememberMeServices, but it additionally needs to be configured with a PersistentTokenRepository to store the tokens. There are two standard implementations.

- InMemoryTokenRepositoryImpl which is intended for testing only.
- JdbcTokenRepositoryImpl which stores the tokens in a database.

The database schema is described above in Section 10.3, "Persistent Token Approach".

# 11. Session Management

HTTP session related functonality is handled by a combination of the SessionManagementFilter and the SessionAuthenticationStrategy interface, which the filter delegates to. Typical usage includes session-fixation protection attack prevention, detection of session timeouts and restrictions on how many sessions an authenticated user may have open concurrently.

## 11.1 SessionManagementFilter

The SessionManagementFilter checks the contents of the SecurityContextRepository against the current contents of the SecurityContextHolder to deterine whether a user has been authenticated during the current request, typically by a non-interactive authentication mechanism, such as pre-authentication or remember-me <sup>1</sup>. If the repository contains a security context, the filter does nothing. If it doesn't, and the thread-local SecurityContext contains a (non-anonymous) Authentication object, the filter assumes they have been authenticated by a previous filter in the stack. It will then invoke the configured SessionAuthenticationStrategy.

If the user is not currently authenticated, the filter will check whether an invalid session ID has been requested (because of a timeout, for example) and will redirect to the configured invalidSessionUrl if set. The easiest way to configure this is through the namespace, as described earlier.

## 11.2 SessionAuthenticationStrategy

SessionAuthenticationStrategy is used by both SessionManagementFilter and AbstractAuthenticationProcessingFilter, so if you are using a customized form-login class, for example, you will need to inject it into both of these. In this case, a typical configuration, combining the namespace and custom beans might look like this:

<sup>&</sup>lt;sup>1</sup>Authentication by mechanisms which perform a redirect after authenticating (such as form-login) will not be detected by SessionManagementFilter, as the filter will not be invoked during the authenticating request. Session-management functionality has to be handled separately in these cases.

## 11.3 Concurrency Control

Spring Security is able to prevent a principal from concurrently authenticating to the same application more than a specified number of times. Many ISVs take advantage of this to enforce licensing, whilst network administrators like this feature because it helps prevent people from sharing login names. You can, for example, stop user "Batman" from logging onto the web application from two different sessions.

This feature is supported by the namespace, so please check the earlier namespace chapter for the simplest configuration. Sometimes you need to customize things though.

The implementation uses a specialized version of SessionAuthenticationStrategy, called ConcurrentSessionControlStrategy.

#### Note

Previously the concurrent authentication check was made by the ProviderManager, which could be injected with a ConcurrentSessionController. The latter would check if the user was attempting to exceed the number of permitted sessions. However, this approach required that an HTTP session be created in advance, which is undesirable. In Spring Security 3, the user is first authenticated by the AuthenticationManager and once they are successfully authenticated, a session is created and the check is made whether they are allowed to have another session open.

To use concurrent session support, you'll need to add the following to web.xml:

```
<listener>
  <listener-class>
    org.springframework.security.web.session.HttpSessionEventPublisher
  </listener-class>
</listener>
```

In addition, you will need to add the ConcurrentSessionFilter to your FilterChainProxy. The ConcurrentSessionFilter requires two properties, sessionRegistry, which generally points to an instance of SessionRegistryImpl, and expiredUrl, which points to the page to display when a session has expired. A configuration using the namespace to create the FilterChainProxy and other default beans might look like this:

Adding the listener to web.xml causes an ApplicationEvent to be published to the Spring ApplicationContext every time a HttpSession commences or terminates. This is critical, as it allows the SessionRegistryImpl to be notified when a session ends. Without it, a user will never be able to log back in again once they have exceeded their session allowance, even if they log out of another session or it times out.

# 12. Anonymous Authentication

#### 12.1 Overview

It's generally considered good security practice to adopt a "deny-by-default" where you explicitly specify what is allowed and disallow everything else. Defining what is accessible to unauthenticated users is a similar situation, particularly for web applications. Many sites require that users must be authenticated for anything other than a few URLs (for example the home and login pages). In this case it is easiest to define access configuration attributes for these specific URLs rather than have for every secured resource. Put differently, sometimes it is nice to say ROLE\_SOMETHING is required by default and only allow certain exceptions to this rule, such as for login, logout and home pages of an application. You could also omit these pages from the filter chain entirely, thus bypassing the access control checks, but this may be undesirable for other reasons, particularly if the pages behave differently for authenticated users.

This is what we mean by anonymous authentication. Note that there is no real conceptual difference between a user who is "anonymously authenticated" and an unauthenticated user. Spring Security's anonymous authentication just gives you a more convenient way to configure your access-control attributes. Calls to servlet API calls such as getCallerPrincipal, for example, will still return null even though there is actually an anonymous authentication object in the SecurityContextHolder.

There are other situations where anonymous authentication is useful, such as when an auditing interceptor queries the SecurityContextHolder to identify which principal was responsible for a given operation. Classes can be authored more robustly if they know the SecurityContextHolder always contains an Authentication object, and never null.

## 12.2 Configuration

Anonymous authentication support is provided automatically when using the HTTP configurain Spring Security 3.0 and can be customized (or disabled) using the <anonymous> element. You don't need to configure the beans described here unless you are using traditional bean configuration.

Three classes that together provide the anonymous authentication feature. AnonymousAuthenticationToken is an implementation of Authentication, and stores the GrantedAuthoritys which apply to the anonymous principal. There is a corresponding AnonymousAuthenticationProvider, which is chained into the ProviderManager so that AnonymousAuthenticationTokens are accepted. Finally, there is an AnonymousAuthenticationFilter, which is chained after the normal authentication mechanisms and automatically adds an AnonymousAuthenticationToken to the SecurityContextHolder if there is no existing Authentication held there. The definition of the filter and authentication provider appears as follows:

```
<bean id="anonymousAuthFilter"

class="org.springframework.security.web.authentication.AnonymousAuthenticationFilter">
```

The key is shared between the filter and authentication provider, so that tokens created by the former are accepted by the latter<sup>1</sup>. The userAttribute is expressed in the form of usernameInTheAuthenticationToken,grantedAuthority[,grantedAuthority]. This is the same syntax as used after the equals sign for InMemoryDaoImpl's userMap property.

As explained earlier, the benefit of anonymous authentication is that all URI patterns can have security applied to them. For example:

```
<bean id="filterSecurityInterceptor"
    class="org.springframework.security.web.access.intercept.FilterSecurityInterceptor">
    cproperty name="authenticationManager" ref="authenticationManager"/>
    cproperty name="accessDecisionManager" ref="httpRequestAccessDecisionManager"/>
    cproperty name="securityMetadata">
          csecurity:filter-security-metadata-source>
          csecurity:intercept-url pattern='/index.jsp' access='ROLE_ANONYMOUS,ROLE_USER'/>
          csecurity:intercept-url pattern='/hello.htm' access='ROLE_ANONYMOUS,ROLE_USER'/>
          csecurity:intercept-url pattern='/logoff.jsp' access='ROLE_ANONYMOUS,ROLE_USER'/>
          csecurity:intercept-url pattern='/login.jsp' access='ROLE_ANONYMOUS,ROLE_USER'/>
          csecurity:intercept-url pattern='/**' access='ROLE_USER'/>
          c/security:filter-security-metadata-source>" +
          c/property>
          c/bean>
```

#### 12.3 AuthenticationTrustResolver

Rounding out the anonymous authentication discussion is the AuthenticationTrustResolver interface, with its corresponding AuthenticationTrustResolverImpl implementation. This interface provides an isAnonymous(Authentication) method, which allows interested classes to take into account this special type of authentication The ExceptionTranslationFilter this status. uses interface in processing AccessDeniedExceptions. If an AccessDeniedException is thrown, and the authentication is of an anonymous type, instead of throwing a 403 (forbidden) response, the filter will instead commence the AuthenticationEntryPoint so the principal can authenticate properly. This is a

<sup>&</sup>lt;sup>1</sup>The use of the key property should not be regarded as providing any real security here. It is merely a book-keeping exercise. If you are sharing a ProviderManager which contains an AnonymousAuthenticationProvider in a scenario where it is possible for an authenticating client to construct the Authentication object (such as with RMI invocations), then a malicious client could submit an AnonyousAuthenticationToken which it had created itself (with chosen username and authority list). If the key is guessable or can be found out, then the token would be accepted by the anonymous provider. This isn't a problem with normal usage but if you are using RMI you would be best to use a customized ProviderManager which omits the anonymous provider rather than sharing the one you use for your HTTP authentication mechanisms.

necessary distinction, otherwise principals would always be deemed "authenticated" and never be given an opportunity to login via form, basic, digest or some other normal authentication mechanism.

You will often see the ROLE\_ANONYMOUS attribute in the above interceptor configuration replaced with IS\_AUTHENTICATED\_ANONYMOUSLY. This is an example of the use of the AuthenticatedVoter which will see in ???. It uses an AuthenticationTrustResolver to process this particular configuration attribute and grant access to anymous users.

## Part IV. Authorization

The advanced authorization capabilities within Spring Security represent one of the most compelling reasons for its popularity. Irrespective of how you choose to authenticate - whether using a Spring Security-provided mechanism and provider, or integrating with a container or other non-Spring Security authentication authority - you will find the authorization services can be used within your application in a consistent and simple way.

In this part we'll explore the different AbstractSecurityInterceptor implementations, which were introduced in Part I. We then move on to explore how to fine-tune authorization through use of domain access control lists.

## 13. Common Authorization Concepts

#### 13.1 Authorities

As we saw in the technical overview, all Authentication implementations store a list of GrantedAuthority objects. These represent the authorities that have been granted to the principal. The GrantedAuthority objects are inserted into the Authentication object by the AuthenticationManager and are later read by AccessDecisionManagers when making authorization decisions.

GrantedAuthority is an interface with only one method:

```
String getAuthority();
```

This method allows AccessDecisionManagers to obtain a precise String representation of the GrantedAuthority. By returning a representation as a String, a GrantedAuthority can be easily "read" by most AccessDecisionManagers. If a GrantedAuthority cannot be precisely represented as a String, the GrantedAuthority is considered "complex" and getAuthority() must return null.

An example of a "complex" GrantedAuthority would be an implementation that stores a list of operations and authority thresholds that apply to different customer account numbers. Representing this complex GrantedAuthority as a String would be quite difficult, and as a result the getAuthority() method should return null. This will indicate to any AccessDecisionManager that it will need to specifically support the GrantedAuthority implementation in order to understand its contents.

Spring Security includes one concrete GrantedAuthority implementation, GrantedAuthorityImpl. This allows any user-specified String to be converted into a GrantedAuthority. All AuthenticationProviders included with the security architecture use GrantedAuthorityImpl to populate the Authentication object.

## 13.2 Pre-Invocation Handling

As we've also seen in the Technical Overview chapter, Spring Security provides interceptors which control access to secure objects such as method invocations or web requests. A pre-invocation decision on whether the invocation is allowed to proceed is made by the AccessDecisionManager.

## The AccessDecisionManager

The AccessDecisionManager is called by the AbstractSecurityInterceptor and is responsible for making final access control decisions. The AccessDecisionManager interface contains three methods:

```
void decide(Authentication authentication, Object secureObject,
    List<ConfigAttribute> config) throws AccessDeniedException;
```

```
boolean supports(ConfigAttribute attribute);
boolean supports(Class clazz);
```

The AccessDecisionManager's decide method is passed all the relevant information it needs in order to make an authorization decision. In particular, passing the secure Object enables those arguments contained in the actual secure object invocation to be inspected. For example, let's assume the secure object was a MethodInvocation. It would be easy to query the MethodInvocation for any Customer argument, and then implement some sort of security logic in the AccessDecisionManager to ensure the principal is permitted to operate on that customer. Implementations are expected to throw an AccessDeniedException if access is denied.

The by supports(ConfigAttribute) method called the determine the AbstractSecurityInterceptor at startup time to AccessDecisionManager passed ConfigAttribute. The can process the supports(Class) method is called by a security interceptor implementation to ensure the configured AccessDecisionManager supports the type of secure object that the security interceptor will present.

#### **Voting-Based AccessDecisionManager Implementations**

Whilst users can implement their own AccessDecisionManager to control all aspects of authorization, Spring Security includes several AccessDecisionManager implementations that are based on voting. Figure 13.1, "Voting Decision Manager" illustrates the relevant classes.

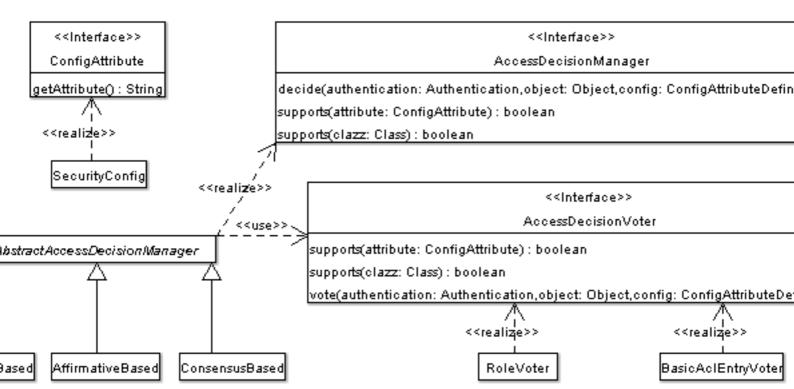


Figure 13.1. Voting Decision Manager

Using this approach, a series of AccessDecisionVoter implementations are polled on an authorization decision. The AccessDecisionManager then decides whether or not to throw an AccessDeniedException based on its assessment of the votes.

The AccessDecisionVoter interface has three methods:

```
int vote(Authentication authentication, Object object, List<ConfigAttribute> config);
boolean supports(ConfigAttribute attribute);
boolean supports(Class clazz);
```

Concrete implementations return an int, with possible values being reflected in the AccessDecisionVoter static fields ACCESS\_ABSTAIN, ACCESS\_DENIED and ACCESS\_GRANTED. A voting implementation will return ACCESS\_ABSTAIN if it has no opinion on an authorization decision. If it does have an opinion, it must return either ACCESS\_DENIED or ACCESS\_GRANTED.

There are three concrete AccessDecisionManagers provided with Spring Security that tally the votes. The ConsensusBased implementation will grant or deny access based on the consensus of non-abstain votes. Properties are provided to control behavior in the event of an equality of votes or if all votes are abstain. The AffirmativeBased implementation will grant access if one or more ACCESS\_GRANTED votes were received (i.e. a deny vote will be ignored, provided there was at least one grant vote). Like the ConsensusBased implementation, there is a parameter that controls the behavior if all voters abstain. The UnanimousBased provider expects unanimous ACCESS\_GRANTED votes in order to grant access, ignoring abstains. It will deny access if there is any ACCESS\_DENIED vote. Like the other implementations, there is a parameter that controls the behaviour if all voters abstain.

It is possible to implement a custom AccessDecisionManager that tallies votes differently. For example, votes from a particular AccessDecisionVoter might receive additional weighting, whilst a deny vote from a particular voter may have a veto effect.

#### RoleVoter

The most commonly used AccessDecisionVoter provided with Spring Security is the simple RoleVoter, which treats configuration attributes as simple role names and votes to grant access if the user has been assigned that role.

It will vote if any ConfigAttribute begins with the prefix ROLE\_. It will vote to grant access if there is a GrantedAuthority which returns a String representation (via the getAuthority() method) exactly equal to one or more ConfigAttributes starting with ROLE\_. If there is no exact match of any ConfigAttribute starting with ROLE\_, the RoleVoter will vote to deny access. If no ConfigAttribute begins with ROLE\_, the voter will abstain. RoleVoter is case sensitive on comparisons as well as the ROLE\_ prefix.

#### **Custom Voters**

It is also possible to implement a custom AccessDecisionVoter. Several examples are provided in Spring Security unit tests, including ContactSecurityVoter and DenyVoter. The ContactSecurityVoter abstains from voting decisions where a CONTACT\_OWNED\_BY\_CURRENT\_USER ConfigAttribute is not found. If voting, it queries the MethodInvocation to extract the owner of the Contact object that is subject of the method call. It votes to grant access if the Contact owner matches the principal presented in the Authentication

object. It could have just as easily compared the Contact owner with some GrantedAuthority the Authentication object presented. All of this is achieved with relatively few lines of code and demonstrates the flexibility of the authorization model.

## 13.3 After Invocation Handling

Whilst the AccessDecisionManager is called by the AbstractSecurityInterceptor before proceeding with the secure object invocation, some applications need a way of modifying the object actually returned by the secure object invocation. Whilst you could easily implement your own AOP concern to achieve this, Spring Security provides a convenient hook that has several concrete implementations that integrate with its ACL capabilities.

Figure 13.2, "After Invocation Implementation" illustrates Spring Security's AfterInvocationManager and its concrete implementations.

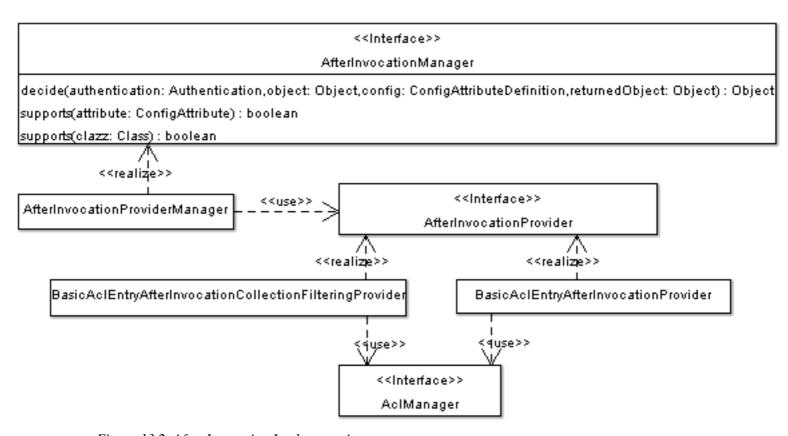


Figure 13.2. After Invocation Implementation

Like many other parts of Spring Security, AfterInvocationManager has a single concrete implementation, AfterInvocationProviderManager, which polls a list of AfterInvocationProviders. Each AfterInvocationProvider is allowed to modify the return object or throw an AccessDeniedException. Indeed multiple providers can modify the object, as the result of the previous provider is passed to the next in the list. Let's now consider our ACL-aware implementations of AfterInvocationProvider.

Please be aware that if you're using AfterInvocationManager, you will still need configuration attributes that allow the MethodSecurityInterceptor's AccessDecisionManager to allow

an operation. If you're using the typical Spring Security included AccessDecisionManager implementations, having no configuration attributes defined for a particular secure method invocation will cause each AccessDecisionVoter to abstain from voting. In turn, if the AccessDecisionManager property "allowIfAllAbstainDecisions" is false, an AccessDeniedException will be thrown. You may avoid this potential issue by either (i) setting "allowIfAllAbstainDecisions" to true (although this is generally not recommended) or (ii) simply ensure that there is at least one configuration attribute that an AccessDecisionVoter will vote to grant access for. This latter (recommended) approach is usually achieved through a ROLE\_USER or ROLE\_AUTHENTICATED configuration attribute

#### **ACL-Aware AfterInvocationProviders**

A common services layer method we've all written at one stage or another looks like this:

```
public Contact getById(Integer id);
```

Quite often, only principals with permission to read the Contact should be allowed to obtain it. In this situation the AccessDecisionManager approach provided by the AbstractSecurityInterceptor will not suffice. This is because the identity of the Contact is all that is available before the secure object is invoked. The AclEntryAfterInvocationProvider delivers a solution, and is configured as follows:

example, will retrieved In the above the Contact and passed The provider will AclEntryAfterInvocationProvider. thrown AccessDeniedException if one of the listed requirePermissions is not held by the Authentication. The AclEntryAfterInvocationProvider queries the acl service to determine the ACL that applies for this domain object to this Authentication.

Similar to the AclEntryAfterInvocationProvider is AclEntryAfterInvocationCollectionFilteringProvider. It is designed to remove Collection or array elements for which a principal does not have access. It never thrown an AccessDeniedException - simply silently removes the offending elements. The provider is configured as follows:

```
<bean id="afterAclCollectionRead"
class="org.springframework.security.acls.afterinvocation.AclEntryAfterInvocationCollectionFilteringProvid
<constructor-arg ref="aclService"/>
<constructor-arg>
    st>
```

As you can imagine, the returned Object must be a Collection or array for this provider to operate. It will remove any element if the AclManager indicates the Authentication does not hold one of the listed requirePermissions.

The Contacts sample application demonstrates these two AfterInvocationProviders.

## 13.4 Authorization Tag Libraries

AuthorizeTag is used to include content if the current principal holds certain GrantedAuthoritys.

The following JSP fragment illustrates how to use the AuthorizeTag:

```
<security:authorize ifAllGranted="ROLE_SUPERVISOR">

    <a href="del.htm?id=<c:out value="${contact.id}"/>">Del</a>

</security:authorize>
```

This tag would cause the tag's body to be output if the principal has been granted ROLE\_SUPERVISOR.

The security: authorize tag declares the following attributes:

- ifAllGranted: All the listed roles must be granted for the tag to output its body.
- ifAnyGranted: Any of the listed roles must be granted for the tag to output its body.
- ifNotGranted: None of the listed roles must be granted for the tag to output its body.

You'll note that in each attribute you can list multiple roles. Simply separate the roles using a comma. The authorize tag ignores whitespace in attributes.

The tag library logically ANDs all of it's parameters together. This means that if you combine two or more attributes, all attributes must be true for the tag to output it's body. Don't add an ifAllGranted="ROLE\_SUPERVISOR", followed by an ifNotGranted="ROLE\_SUPERVISOR", or you'll be surprised to never see the tag's body.

By requiring all attributes to return true, the authorize tag allows you to create more complex authorization scenarios. For example, ifAllGranted="ROLE\_SUPERVISOR" could declare an and ifNotGranted="ROLE\_NEWBIE\_SUPERVISOR" in the same tag, in order to prevent new supervisors from seeing the tag body. However it would no doubt be simpler to use ifAllGranted="ROLE\_EXPERIENCED\_SUPERVISOR" rather than inserting NOT conditions into your design.

One last item: the tag verifies the authorizations in a specific order: first ifNotGranted, then ifAllGranted, and finally, if AnyGranted.

AccessControlListTag is used to include content if the current principal has an ACL to the indicated domain object.

The following JSP fragment illustrates how to use the AccessControlListTag:

```
<security:accesscontrollist domainObject="${contact}" hasPermission="8,16">
<a href="<c:url value="del.htm"><c:param name="contactId" value="${contact.id}"/></c:url>">Del</a>
</security:accesscontrollist>
```

This tag would cause the tag's body to be output if the principal holds either permission 16 or permission 1 for the "contact" domain object. The numbers are actually integers that are used with BasePermission bit masking. Please refer to the ACL section of this reference guide to understand more about the ACL capabilities of Spring Security.

AclTag is part of the old ACL module and should be considered deprecated. For the sake of historical reference, works exactly the samae as AccessControlListTag.

## 14. Secure Object Implementations

## 14.1 AOP Alliance (MethodInvocation) Security Interceptor

Prior to Spring Security 2.0, securing MethodInvocations needed quite a lot of boiler plate configuration. Now the recommended approach for method security is to use namespace configuration. This way the method security infrastructure beans are configured automatically for you so you don't really need to know about the implementation classes. We'll just provide a quick overview of the classes that are involved here.

Method security in enforced using a MethodSecurityInterceptor, which secures MethodInvocations. Depending on the configuration approach, an interceptor may be specific to a single bean or shared between multiple beans. The interceptor uses a MethodDefinitionSource instance to obtain the configuration attributes that apply to a particular method invocation. MapBasedMethodDefinitionSource is used to store configuration attributes keyed by method names (which can be wildcarded) and will be used internally when the attributes are defined in the application context using the <intercept-methods> or or protect-point> elements. Other implementations will be used to handle annotation-based configuration.

### **Explicit MethodSecurityIterceptor Configuration**

You can of course configure a MethodSecurityIterceptor directly in your application context for use with one of Spring AOP's proxying mechanisms:

## 14.2 AspectJ (JoinPoint) Security Interceptor

The AspectJ security interceptor is very similar to the AOP Alliance security interceptor discussed in the previous section. Indeed we will only discuss the differences in this section.

The AspectJ interceptor is named AspectJSecurityInterceptor. Unlike the AOP Alliance security interceptor, which relies on the Spring application context to weave in the security interceptor via proxying, the AspectJSecurityInterceptor is weaved in via the AspectJ compiler. It would not be uncommon to use both types of security interceptors in the same application, with

AspectJSecurityInterceptor being used for domain object instance security and the AOP Alliance MethodSecurityInterceptor being used for services layer security.

Let's first consider how the AspectJSecurityInterceptor is configured in the Spring application context:

As you can see, aside from the class name, the AspectJSecurityInterceptor is exactly the same as the AOP Alliance security interceptor. Indeed the two interceptors can share the same securityMetadataSource, as the SecurityMetadataSource works with java.lang.reflect.Methods rather than an AOP library-specific class. Of course, your access decisions have access to the relevant AOP library-specific invocation (ie MethodInvocation or JoinPoint) and as such can consider a range of addition criteria when making access decisions (such as method arguments).

Next you'll need to define an AspectJ aspect. For example:

```
package org.springframework.security.samples.aspectj;
import org.springframework.security.intercept.aspectj.AspectJSecurityInterceptor;
import org.springframework.security.intercept.aspectj.AspectJCallback;
{\tt import} \ {\tt org.springframework.beans.factory.InitializingBean;}
public aspect DomainObjectInstanceSecurityAspect implements InitializingBean {
private AspectJSecurityInterceptor securityInterceptor;
pointcut domainObjectInstanceExecution(): target(PersistableEntity)
    && execution(public * *(..)) && !within(DomainObjectInstanceSecurityAspect);
Object around(): domainObjectInstanceExecution() {
 if (this.securityInterceptor == null) {
   return proceed();
 }
 AspectJCallback callback = new AspectJCallback() {
     public Object proceedWithObject() {
       return proceed();
 };
 return this.securityInterceptor.invoke(thisJoinPoint, callback);
```

```
public AspectJSecurityInterceptor getSecurityInterceptor() {
    return securityInterceptor;
}

public void setSecurityInterceptor(AspectJSecurityInterceptor securityInterceptor) {
    this.securityInterceptor = securityInterceptor;
}

public void afterPropertiesSet() throws Exception {
    if (this.securityInterceptor == null)
        throw new IllegalArgumentException("securityInterceptor required");
    }
}
```

In the above example, the security interceptor will be applied to every instance of PersistableEntity, which is an abstract class not shown (you can use any other class or pointcut expression you like). For those curious, AspectJCallback is needed because the proceed(); statement has special meaning only within an around() body. The AspectJSecurityInterceptor calls this anonymous AspectJCallback class when it wants the target object to continue.

You will need to configure Spring to load the aspect and wire it with the AspectJSecurityInterceptor. A bean declaration which achieves this is shown below:

That's it! Now you can create your beans from anywhere within your application, using whatever means you think fit (eg new Person();) and they will have the security interceptor applied.

## **Part V. Advanced Topics**

In this part we cover some of the more advanced and less-commonly used features of the framework	ζ.

## 15. Domain Object Security (ACLs)

#### 15.1 Overview

Complex applications often will find the need to define access permissions not simply at a web request or method invocation level. Instead, security decisions need to comprise both who (Authentication), where (MethodInvocation) and what (SomeDomainObject). In other words, authorization decisions also need to consider the actual domain object instance subject of a method invocation.

Imagine you're designing an application for a pet clinic. There will be two main groups of users of your Spring-based application: staff of the pet clinic, as well as the pet clinic's customers. The staff will have access to all of the data, whilst your customers will only be able to see their own customer records. To make it a little more interesting, your customers can allow other users to see their customer records, such as their "puppy preschool" mentor or president of their local "Pony Club". Using Spring Security as the foundation, you have several approaches that can be used:

- 1. Write your business methods to enforce the security. You could consult a collection within the Customer domain object instance to determine which users have access. By using the SecurityContextHolder.getContext().getAuthentication(), you'll be able to access the Authentication object.
- 2. Write an AccessDecisionVoter to enforce the security from the GrantedAuthority[]s stored in the Authentication object. This would mean your AuthenticationManager would need to populate the Authentication with custom GrantedAuthority[]s representing each of the Customer domain object instances the principal has access to.
- 3. Write an AccessDecisionVoter to enforce the security and open the target Customer domain object directly. This would mean your voter needs access to a DAO that allows it to retrieve the Customer object. It would then access the Customer object's collection of approved users and make the appropriate decision.

Each one of these approaches is perfectly legitimate. However, the first couples your authorization checking to your business code. The main problems with this include the enhanced difficulty of unit testing and the fact it would be more difficult to reuse the Customer authorization logic elsewhere. Obtaining the GrantedAuthority[]s from the Authentication object is also fine, but will not scale to large numbers of Customers. If a user might be able to access 5,000 Customers (unlikely in this case, but imagine if it were a popular vet for a large Pony Club!) the amount of memory consumed and time required to construct the Authentication object would be undesirable. The final method, opening the Customer directly from external code, is probably the best of the three. It achieves separation of concerns, and doesn't misuse memory or CPU cycles, but it is still inefficient in that both the AccessDecisionVoter and the eventual business method itself will perform a call to the DAO responsible for retrieving the Customer object. Two accesses per method invocation is clearly undesirable. In addition, with every approach listed you'll need to write your own access control list (ACL) persistence and business logic from scratch.

Fortunately, there is another alternative, which we'll talk about below.

## 15.2 Key Concepts

Spring Security's ACL services are shipped in the spring-security-acl-xxx.jar. You will need to add this JAR to your classpath to use Spring Security's domain object instance security capabilities.

Spring Security's domain object instance security capabilities centre on the concept of an access control list (ACL). Every domain object instance in your system has its own ACL, and the ACL records details of who can and can't work with that domain object. With this in mind, Spring Security delivers three main ACL-related capabilities to your application:

- A way of efficiently retrieving ACL entries for all of your domain objects (and modifying those ACLs)
- A way of ensuring a given principal is permitted to work with your objects, before methods are called
- A way of ensuring a given principal is permitted to work with your objects (or something they return), after methods are called

As indicated by the first bullet point, one of the main capabilities of the Spring Security ACL module is providing a high-performance way of retrieving ACLs. This ACL repository capability is extremely important, because every domain object instance in your system might have several access control entries, and each ACL might inherit from other ACLs in a tree-like structure (this is supported out-of-the-box by Spring Security, and is very commonly used). Spring Security's ACL capability has been carefully designed to provide high performance retrieval of ACLs, together with pluggable caching, deadlock-minimizing database updates, independence from ORM frameworks (we use JDBC directly), proper encapsulation, and transparent database updating.

Given databases are central to the operation of the ACL module, let's explore the four main tables used by default in the implementation. The tables are presented below in order of size in a typical Spring Security ACL deployment, with the table with the most rows listed last:

- ACL\_SID allows us to uniquely identify any principal or authority in the system ("SID" stands for "security identity"). The only columns are the ID, a textual representation of the SID, and a flag to indicate whether the textual representation refers to a principal name or a GrantedAuthority. Thus, there is a single row for each unique principal or GrantedAuthority. When used in the context of receiving a permission, a SID is generally called a "recipient".
- ACL\_CLASS allows us to uniquely identify any domain object class in the system. The only columns are the ID and the Java class name. Thus, there is a single row for each unique Class we wish to store ACL permissions for.
- ACL\_OBJECT\_IDENTITY stores information for each unique domain object instance in the system.
  Columns include the ID, a foreign key to the ACL\_CLASS table, a unique identifier so we know
  which ACL\_CLASS instance we're providing information for, the parent, a foreign key to the
  ACL\_SID table to represent the owner of the domain object instance, and whether we allow ACL
  entries to inherit from any parent ACL. We have a single row for every domain object instance we're
  storing ACL permissions for.

Finally, ACL\_ENTRY stores the individual permissions assigned to each recipient. Columns include
a foreign key to the ACL\_OBJECT\_IDENTITY, the recipient (ie a foreign key to ACL\_SID), whether
we'll be auditing or not, and the integer bit mask that represents the actual permission being granted
or denied. We have a single row for every recipient that receives a permission to work with a domain
object.

As mentioned in the last paragraph, the ACL system uses integer bit masking. Don't worry, you need not be aware of the finer points of bit shifting to use the ACL system, but suffice to say that we have 32 bits we can switch on or off. Each of these bits represents a permission, and by default the permissions are read (bit 0), write (bit 1), create (bit 2), delete (bit 3) and administer (bit 4). It's easy to implement your own Permission instance if you wish to use other permissions, and the remainder of the ACL framework will operate without knowledge of your extensions.

It is important to understand that the number of domain objects in your system has absolutely no bearing on the fact we've chosen to use integer bit masking. Whilst you have 32 bits available for permissions, you could have billions of domain object instances (which will mean billions of rows in ACL\_OBJECT\_IDENTITY and quite probably ACL\_ENTRY). We make this point because we've found sometimes people mistakenly believe they need a bit for each potential domain object, which is not the case.

Now that we've provided a basic overview of what the ACL system does, and what it looks like at a table structure, let's explore the key interfaces. The key interfaces are:

- Acl: Every domain object has one and only one Acl object, which internally holds the AccessControlEntrys as well as knows the owner of the Acl. An Acl does not refer directly to the domain object, but instead to an ObjectIdentity. The Acl is stored in the ACL\_OBJECT\_IDENTITY table.
- AccessControlEntry: An Acl holds multiple AccessControlEntrys, which are often abbreviated as ACEs in the framework. Each ACE refers to a specific tuple of Permission, Sid and Acl. An ACE can also be granting or non-granting and contain audit settings. The ACE is stored in the ACL ENTRY table.
- Permission: A permission represents a particular immutable bit mask, and offers convenience functions for bit masking and outputting information. The basic permissions presented above (bits 0 through 4) are contained in the BasePermission class.
- Sid: The ACL module needs to refer to principals and GrantedAuthority[]s. A level of indirection is provided by the Sid interface, which is an abbreviation of "security identity". Common classes include PrincipalSid (to represent the principal inside an Authentication object) and GrantedAuthoritySid. The security identity information is stored in the ACL\_SID table.
- ObjectIdentity: Each domain object is represented internally within the ACL module by an ObjectIdentity. The default implementation is called ObjectIdentityImpl.
- AclService: Retrieves the Acl applicable for a given ObjectIdentity. In the included implementation (JdbcAclService), retrieval operations are delegated to a LookupStrategy. The LookupStrategy provides a highly optimized strategy for retrieving ACL information, using batched retrievals (BasicLookupStrategy) and supporting custom implementations that leverage materialized views, hierarchical queries and similar performance-centric, non-ANSI SQL capabilities.

• MutableAclService: Allows a modified Acl to be presented for persistence. It is not essential to use this interface if you do not wish.

Please note that our out-of-the-box AclService and related database classes all use ANSI SQL. This should therefore work with all major databases. At the time of writing, the system had been successfully tested using Hypersonic SQL, PostgreSQL, Microsoft SQL Server and Oracle.

Two samples ship with Spring Security that demonstrate the ACL module. The first is the Contacts Sample, and the other is the Document Management System (DMS) Sample. We suggest taking a look over these for examples.

## 15.3 Getting Started

To get starting using Spring Security's ACL capability, you will need to store your ACL information somewhere. This necessitates the instantiation of a DataSource using Spring. The DataSource is then injected into a JdbcMutableAclService and BasicLookupStrategy instance. The latter provides high-performance ACL retrieval capabilities, and the former provides mutator capabilities. Refer to one of the samples that ship with Spring Security for an example configuration. You'll also need to populate the database with the four ACL-specific tables listed in the last section (refer to the ACL samples for the appropriate SQL statements).

Once you've created the required schema and instantiated JdbcMutableAclService, you'll next need to ensure your domain model supports interoperability with the Spring Security ACL package. Hopefully ObjectIdentityImpl will prove sufficient, as it provides a large number of ways in which it can be used. Most people will have domain objects that contain a public Serializable getId() method. If the return type is long, or compatible with long (eg an int), you will find you need not give further consideration to ObjectIdentity issues. Many parts of the ACL module rely on long identifiers. If you're not using long (or an int, byte etc), there is a very good chance you'll need to reimplement a number of classes. We do not intend to support non-long identifiers in Spring Security's ACL module, as longs are already compatible with all database sequences, the most common identifier data type, and are of sufficient length to accommodate all common usage scenarios.

The following fragment of code shows how to create an Acl, or modify an existing Acl:

```
// Prepare the information we'd like in our access control entry (ACE)
ObjectIdentity oi = new ObjectIdentityImpl(Foo.class, new Long(44));
Sid sid = new PrincipalSid("Samantha");
Permission p = BasePermission.ADMINISTRATION;

// Create or update the relevant ACL
MutableAcl acl = null;
try {
   acl = (MutableAcl) aclService.readAclById(oi);
} catch (NotFoundException nfe) {
   acl = aclService.createAcl(oi);
}

// Now grant some permissions via an access control entry (ACE)
acl.insertAce(acl.getEntries().length, p, sid, true);
aclService.updateAcl(acl);
```

In the example above, we're retrieving the ACL associated with the "Foo" domain object with identifier number 44. We're then adding an ACE so that a principal named "Samantha" can "administer" the object. The code fragment is relatively self-explanatory, except the insertAce method. The first argument to the insertAce method is determining at what position in the Acl the new entry will be inserted. In the example above, we're just putting the new ACE at the end of the existing ACEs. The final argument is a boolean indicating whether the ACE is granting or denying. Most of the time it will be granting (true), but if it is denying (false), the permissions are effectively being blocked.

Spring Security does not provide any special integration to automatically create, update or delete ACLs as part of your DAO or repository operations. Instead, you will need to write code like shown above for your individual domain objects. It's worth considering using AOP on your services layer to automatically integrate the ACL information with your services layer operations. We've found this quite an effective approach in the past.

Once you've used the above techniques to store some ACL information in the database, the next step is to actually use the ACL information as part of authorization decision logic. You have a number of choices here. You could write your own AccessDecisionVoter or AfterInvocationProvider that respectively fires before or after a method invocation. Such classes would use AclService to retrieve the relevant ACL and then call Acl.isGranted(Permission[] permission, Sid[] sids, boolean administrativeMode) to decide whether permission is granted or denied. Alternately, you could use our AclEntryVoter, AclEntryAfterInvocationProvider or AclEntryAfterInvocationCollectionFilteringProvider classes. All of these classes provide a declarative-based approach to evaluating ACL information at runtime, freeing you from needing to write any code. Please refer to the sample applications to learn how to use these classes.

## 16. Pre-Authentication Scenarios

There are situations where you want to use Spring Security for authorization, but the user has already been reliably authenticated by some external system prior to accessing the application. We refer to these situations as "pre-authenticated" scenarios. Examples include X.509, Siteminder and authentication by the J2EE container in which the application is running. When using pre-authentication, Spring Security has to

- 1. Identify the user making the request.
- 2. Obtain the authorities for the user.

The details will depend on the external authentication mechanism. A user might be identified by their certificate information in the case of X.509, or by an HTTP request header in the case of Siteminder. If relying on container authentication, the user will be identified by calling the <code>getUserPrincipal()</code> method on the incoming HTTP request. In some cases, the external mechanism may supply role/ authority information for the user but in others the authorities must be obtained from a separate source, such as a <code>UserDetailsService</code>.

#### 16.1 Pre-Authentication Framework Classes

Because most pre-authentication mechanisms follow the same pattern, Spring Security has a set of classes which provide an internal framework for implementing pre-authenticated authentication providers. This removes duplication and allows new implementations to be added in a structured fashion, without having to write everything from scratch. You don't need to know about these classes if you want to use something like X.509 authentication, as it already has a namespace configuration option which is simpler to use and get started with. If you need to use explicit bean configuration or are planning on writing your own implementation then an understanding of how the provided implementations work will be useful. You will find classes under the org.springframework.security.web.authentication.preauth. We just provide an outline here so you should consult the Javadoc and source where appropriate.

## AbstractPreAuthenticatedProcessingFilter

This class will check the current contents of the security context and, if empty, it will attempt to extract user information from the HTTP request and submit it to the AuthenticationManager. Subclasses override the following methods to obtain this information:

```
protected abstract Object getPreAuthenticatedPrincipal(HttpServletRequest request);
protected abstract Object getPreAuthenticatedCredentials(HttpServletRequest request);
```

After calling these, the filter will create a PreAuthenticatedAuthenticationToken containing the returned data and submit it for authentication. By "authentication" here, we really just mean further processing to perhaps load the user's authorities, but the standard Spring Security authentication architecture is followed.

#### **AbstractPreAuthenticatedAuthenticationDetailsSource**

Spring Security authentication filters, the pre-authentication authenticationDetailsSource property which default WebAuthenticationDetails object to store additional information such as the sessionidentifier and originating IP address in the details property of the Authentication role information can be In cases where user obtained from the authentication mechanism, the data is also stored in this property. Subclasses of AbstractPreAuthenticatedAuthenticationDetailsSource use an extended details object which implements the GrantedAuthoritiesContainer interface, thus enabling the authentication provider to read the authorities which were externally allocated to the user. We'll look at a concrete example next.

#### J2eeBasedPreAuthenticatedWebAuthenticationDetailsSource

If the filter is configured with an authenticationDetailsSource which is an instance of this class, the authority information is obtained by calling the isUserInRole(String role) method for each of a pre-determined set of "mappable roles". The class gets these from a configured MappableAttributesRetriever. Possible implementations include hard-coding a list in the application context and reading the role information from the <security-role> information in a web.xml file. The pre-authentication sample application uses the latter approach.

There additional where is stage the roles (or attributes) an are **Spring** Security GrantedAuthority objects using mapped to a Attributes2GrantedAuthoritiesMapper. The default will just add the usual ROLE\_prefix to the names, but it gives you full control over the behaviour.

#### **PreAuthenticatedAuthenticationProvider**

The pre-authenticated provider has little more to do than load the UserDetails object for the user. It does this by delegating to a AuthenticationUserDetailsService. The latter is similar to the standard UserDetailsService but takes an Authentication object rather than just user name:

```
public interface AuthenticationUserDetailsService {
   UserDetails loadUserDetails(Authentication token) throws UsernameNotFoundException;
}
```

This interface may have also other uses but with pre-authentication it allows access to the authorities which were packaged in the Authentication object, as we saw in the previous section. The PreAuthenticatedGrantedAuthoritiesUserDetailsService class does this. Alternatively, it may delegate to a standard UserDetailsService via the UserDetailsByNameServiceWrapper implementation.

## Http403ForbiddenEntryPoint

The AuthenticationEntryPoint was discussed in the technical overview chapter. Normally it is responsible for kick-starting the authentication process for an unauthenticated user (when they try to access a protected resource), but in the pre-authenticated case this doesn't apply. You would

only configure the ExceptionTranslationFilter with an instance of this class if you aren't using pre-authentication in combination with other authentication mechanisms. It will be called if the user is rejected by the AbstractPreAuthenticatedProcessingFilter resulting in a null authentication. It always returns a 403-forbidden response code if called.

## **16.2 Concrete Implementations**

X.509 authentication is covered in its own chapter. Here we'll look at some classes which provide support for other pre-authenticated scenarios.

### **Request-Header Authentication (Siteminder)**

An external authentication system may supply information to the application by setting specific headers on the HTTP request. A well known example of this is Siteminder, which passes the username in a header called SM\_USER. This mechanism is supported by the class RequestHeaderAuthenticationFilter which simply extracts the username from the header. It defaults to using the name SM\_USER as the header name. See the Javadoc for more details.

#### Tip

Note that when using a system like this, the framework performs no authentication checks at all and it is *extremely* important that the external system is configured properly and protects all access to the application. If an attacker is able to forge the headers in their original request without this being detected then they could potentially choose any userame they wished.

#### **Siteminder Example Configuration**

A typical configuration using this filter would look like this:

```
<bean id="siteminderFilter" class=</pre>
"org.springframework.security.web.authentication.preauth.header.RequestHeaderAuthenticationFilter">
    <security:custom-filter position="PRE_AUTH_FILTER" />
    cproperty name="principalRequestHeader" value="SM_USER"/>
    <property name="authenticationManager" ref="authenticationManager" />
  <bean id="preauthAuthProvider"</pre>
class="org.springframework.security.web.authentication.preauth.PreAuthenticatedAuthenticationProvider">
    <security:custom-authentication-provider />
   roperty name="preAuthenticatedUserDetailsService">
      <bean id="userDetailsServiceWrapper"</pre>
          class="org.springframework.security.core.userdetails.UserDetailsByNameServiceWrapper">
        cproperty name="userDetailsService" ref="userDetailsService"/>
     </bean>
    </property>
 </bean>
 <security:authentication-manager alias="authenticationManager" />
```

We've assumed here that the security namespace is being used for configuration (hence the user of the custom-filter, authentication-manager and custom-authentication-

provider elements (you can read more about them in the namespace chapter [ns-config]). You would leave these out of a traditional bean configuration. It's also assumed that you have added a UserDetailsService (called "userDetailsService") to your configuration to load the user's roles.

#### **J2EE Container Authentication**

The class J2eePreAuthenticatedProcessingFilter will extract the username from the userPrincipal property of the HttpServletRequest. use of this filter would usually be combined with the use of J2EE roles as described above in the section called "J2eeBasedPreAuthenticatedWebAuthenticationDetailsSource".

There is a sample application in the codebase which uses this approach, so get hold of the code from subversion and have a look at the application context file if you are interested. The code is in the samples/preauth directory.

## 17. LDAP Authentication

#### 17.1 Overview

LDAP is often used by organizations as a central repository for user information and as an authentication service. It can also be used to store the role information for application users.

There are many different scenarios for how an LDAP server may be configured so Spring Security's LDAP provider is fully configurable. It uses separate strategy interfaces for authentication and role retrieval and provides default implementations which can be configured to handle a wide range of situations.

You should be familiar with LDAP before trying to use it with Spring Security. The following link provides a good introduction to the concepts involved and a guide to setting up a directory using the free LDAP server OpenLDAP: http://www.zytrax.com/books/ldap/. Some familiarity with the JNDI APIs used to access LDAP from Java may also be useful. We don't use any third-party LDAP libraries (Mozilla, JLDAP etc.) in the LDAP provider, but extensive use is made of Spring LDAP, so some familiarity with that project may be useful if you plan on adding your own customizations.

## 17.2 Using LDAP with Spring Security

LDAP authentication in Spring Security can be roughly divided into the following stages.

- 1. Obtaining the unique LDAP "Distinguished Name", or DN, from the login name. This will often mean performing a search in the directory, unless the exact mapping of usernames to DNs is known in advance.
- 2. Authenticating the user, either by binding as that user or by performing a remote "compare" operation of the user's password against the password attribute in the directory entry for the DN.
- 3. Loading the list of authorities for the user.

The exception is when the LDAP directory is just being used to retrieve user information and authenticate against it locally. This may not be possible as directories are often set up with limited read access for attributes such as user passwords.

We will look at some configuration scenarios below. For full information on available configuration options, please consult the security namespace schema (information from which should be available in your XML editor).

## 17.3 Configuring an LDAP Server

The first thing you need to do is configure the server against which authentication should take place. This is done using the <ldap-server> element from the security namespace. This can be configured to point at an external LDAP server, using the url attribute:

```
<ldap-server url="ldap://springframework.org:389/dc=springframework,dc=org" />
```

#### **Using an Embedded Test Server**

The <ldap-server> element can also be used to create an embedded server, which can be very useful for testing and demonstrations. In this case you use it without the url attribute:

```
<ldap-server root="dc=springframework,dc=org"/>
```

Here we've specified that the root DIT of the directory should be "dc=springframework,dc=org", which is the default. Used this way, the namespace parser will create an embedded Apache Directory server and scan the classpath for any LDIF files, which it will attempt to load into the server. You can customize this behaviour using the ldif attribute, which defines an LDIF resource to be loaded:

```
<ldap-server ldif="classpath:users.ldif" />
```

This makes it a lot easier to get up and running with LDAP, since it can be inconvenient to work all the time with an external server. It also insulates the user from the complex bean configuration needed to wire up an Apache Directory server. Using plain Spring Beans the configuration would be much more cluttered. You must have the necessary Apache Directory dependency jars available for your application to use. These can be obtained from the LDAP sample application.

## **Using Bind Authentication**

This is the most common LDAP authentication scenario.

```
<ldap-authentication-provider user-dn-pattern="uid={0},ou=people"/>
```

This simple example would obtain the DN for the user by substituting the user login name in the supplied pattern and attempting to bind as that user with the login password. This is OK if all your users are stored under a single node in the directory. If instead you wished to configure an LDAP search filter to locate the user, you could use the following:

```
<ldap-authentication-provider user-search-filter="(uid={0})"
    user-search-base="ou=people"/>
```

If used with the server definition above, this would perform a search under the DN ou=people,dc=springframework,dc=org using the value of the user-search-filter attribute as a filter. Again the user login name is substituted for the parameter in the filter name. If user-search-base isn't supplied, the search will be performed from the root.

## **Loading Authorities**

How authorities are loaded from groups in the LDAP directory is controlled by the following attributes.

- group-search-base. Defines the part of the directory tree under which group searches should be performed.
- group-role-attribute. The attribute which contains the name of the authority defined by the group entry. Defaults to cn
- group-search-filter. The filter which is used to search for group membership. The default is uniqueMember={0}, corresponding to the groupOfUniqueMembers LDAP class. In this case, the substituted parameter is the full distinguished name of the user. The parameter {1} can be used if you want to filter on the login name.

So if we used the following configuration

```
<ldap-authentication-provider user-dn-pattern="uid={0},ou=people"
    group-search-base="ou=groups" />
```

and authenticated successfully as user "ben", the subsequent loading of authorities would perform a search under the directory entry ou=groups,dc=springframework,dc=org, looking for entries which contain the attribute uniqueMember with value uid=ben,ou=people,dc=springframework,dc=org. By default the authority names will have the prefix ROLE\_prepended. You can change this using the role-prefix attribute. If you don't want any prefix, use role-prefix="none". For more information on loading authorities, see the Javadoc for the DefaultLdapAuthoritiesPopulator class.

## 17.4 Implementation Classes

The namespace configuration options we've used above are simple to use and much more concise than using Spring beans explicitly. There are situations when you may need to know how to configure Spring Security LDAP directly in your application context. You may wish to customize the behaviour of some of the classes, for example. If you're happy using namespace configuration then you can skip this section and the next one.

The main LDAP provider class, LdapAuthenticationProvider, doesn't actually do much itself but delegates the work to two other beans, an LdapAuthenticator and an LdapAuthoritiesPopulator which are responsible for authenticating the user and retrieving the user's set of GrantedAuthoritys respectively.

## **LdapAuthenticator Implementations**

The authenticator is also responsible for retrieving any required user attributes. This is because the permissions on the attributes may depend on the type of authentication being used. For example, if binding as the user, it may be necessary to read them with the user's own permissions.

There are currently two authentication strategies supplied with Spring Security:

- Authentication directly to the LDAP server ("bind" authentication).
- Password comparison, where the password supplied by the user is compared with the one stored in the repository. This can either be done by retrieving the value of the password attribute and checking

it locally or by performing an LDAP "compare" operation, where the supplied password is passed to the server for comparison and the real password value is never retrieved.

#### **Common Functionality**

Before it is possible to authenticate a user (by either strategy), the distinguished name (DN) has to be obtained from the login name supplied to the application. This can be done either by simple pattern-matching (by setting the setUserDnPatterns array property) or by setting the userSearch property. For the DN pattern-matching approach, a standard Java pattern format is used, and the login name will be substituted for the parameter {0}. The pattern should be relative to the DN that the configured SpringSecurityContextSource will bind to (see the section on connecting to the LDAP server for more information on this). For example, if you are using an LDAP server with the URL ldap://monkeymachine.co.uk/dc=springframework,dc=org, and have a pattern uid={0},ou=greatapes, then a login name of "gorilla" will map to a DN uid=gorilla,ou=greatapes,dc=springframework,dc=org. Each configured DN pattern will be tried in turn until a match is found. For information on using a search, see the section on search objects below. A combination of the two approaches can also be used - the patterns will be checked first and if no matching DN is found, the search will be used.

#### **BindAuthenticator**

The class BindAuthenticator in the package org.springframework.security.ldap.authentication implements the bind authentication strategy. It simply attempts to bind as the user.

#### **PasswordComparisonAuthenticator**

The class PasswordComparisonAuthenticator implements the password comparison authentication strategy.

#### **Active Directory Authentication**

In addition to standard LDAP authentication (binding with a DN), Active Directory has its own non-standard syntax for user authentication.

### **Connecting to the LDAP Server**

The beans discussed above have to be able to connect to the server. They both have to be supplied with a SpringSecurityContextSource which is an extension of Spring LDAP's ContextSource. Unless you have special requirements, you will usually configure a DefaultSpringSecurityContextSource bean, which can be configured with the URL of your LDAP server and optionally with the username and password of a "manager" user which will be used by default when binding to the server (instead of binding anonymously). For more information read the Javadoc for this class and for Spring LDAP's AbstractContextSource.

## **LDAP Search Objects**

Often more a more complicated strategy than simple DN-matching is required to locate a user entry in the directory. This can be encapsulated in an LdapUserSearch instance which can be supplied to the

authenticator implementations, for example, to allow them to locate a user. The supplied implementation is FilterBasedLdapUserSearch.

#### FilterBasedLdapUserSearch

This bean uses an LDAP filter to match the user object in the directory. The process is explained in the Javadoc for the corresponding search method on the JDK DirContext class [http://java.sun.com/j2se/1.4.2/docs/api/javax/naming/directory/DirContext.html#search(javax.naming.Name,%20java.lang.String,%20java.lang.Object[],

%20javax.naming.directory.SearchControls)]. As explained there, the search filter can be supplied with parameters. For this class, the only valid parameter is { 0 } which will be replaced with the user's login name.

#### LdapAuthoritiesPopulator

After authenticating the user successfully, the LdapAuthenticationProvider will attempt to load a set of authorities for the user by calling the configured LdapAuthoritiesPopulator bean. The DefaultLdapAuthoritiesPopulator is an implementation which will load the authorities by searching the directory for groups of which the user is a member (typically these will be groupOfNames or groupOfUniqueNames entries in the directory). Consult the Javadoc for this class for more details on how it works.

If you want to use LDAP only for authentication, but load the authorities from a difference source (such as a database) then you can provide your own implementation of this interface and inject that instead.

## **Spring Bean Configuration**

A typical configuration, using some of the beans we've discussed here, might look like this:

```
<bean id="contextSource"</pre>
      class="org.springframework.security.ldap.DefaultSpringSecurityContextSource">
 <constructor-arg value="ldap://monkeymachine:389/dc=springframework,dc=org"/>
 property name="password" value="password"/>
</bean>
<bean id="ldapAuthProvider"</pre>
   class="org.springframework.security.ldap.authentication.LdapAuthenticationProvider">
  <bean class="org.springframework.security.ldap.authentication.BindAuthenticator">
    <constructor-arg ref="contextSource"/>
    cproperty name="userDnPatterns">
      <list><value>uid={0},ou=people</value></list>
    </property>
  </bean>
 </constructor-arg>
 <constructor-arg>
  <bean
    class="org.springframework.security.ldap.userdetails.DefaultLdapAuthoritiesPopulator">
    <constructor-arg ref="contextSource"/>
    <constructor-arg value="ou=groups"/>
    roperty name="groupRoleAttribute" value="ou"/>
  </bean>
 </constructor-arg>
```

```
</bean>
```

This would provider LDAP with set up the to access an server URL ldap://monkeymachine:389/dc=springframework,dc=org. Authentication performed by attempting to bind with the DN uid=<user-loginname>,ou=people,dc=springframework,dc=org. After successful authentication, the DN roles will be assigned to the user by searching under ou=groups,dc=springframework,dc=org with the default filter (member=<user's-DN>). The role name will be taken from the "ou" attribute of each match.

To configure a user search object, which uses the filter (uid=<user-login-name>) for use instead of the DN-pattern (or in addition to it), you would configure the following bean

```
<bean id="userSearch"
    class="org.springframework.security.ldap.search.FilterBasedLdapUserSearch">
    <constructor-arg index="0" value=""/>
     <constructor-arg index="1" value="(uid={0})"/>
     <constructor-arg index="2" ref="contextSource" />
</bean>
```

and use it by setting the BindAuthenticator bean's userSearch property. The authenticator would then call the search object to obtain the correct user's DN before attempting to bind as this user.

#### **LDAP Attributes and Customized UserDetails**

The net result of an authentication using LdapAuthenticationProvider is the same as a normal Spring Security authentication using the standard UserDetailsService interface. A UserDetails object is created and stored in the returned Authentication object. As with using a UserDetailsService, a common requirement is to be able to customize this implementation and add extra properties. When using LDAP, these will normally be attributes from the user entry. The creation of the UserDetails object is controlled by the provider's UserDetailsContextMapper strategy, which is responsible for mapping user objects to and from LDAP context data:

Only the first method is relevant for authentication. If you provide an implementation of this interface, you can control exactly how the UserDetails object is created. The first parameter is an instance of Spring LDAP's DirContextOperations which gives you access to the LDAP attributes which were loaded. The username parameter is the name used to authenticate and the final parameter is the list of authorities loaded for the user.

The way the context data is loaded varies slightly depending on the type of authentication you are using. With the BindAuthentication, the context returned from the bind operation will be used to read

the attributes, otherwise the data will be read using the standard context obtained from the configured ContextSource (when a search is configured to locate the user, this will be the data returned by the search object).

# 18. Java Authentication and Authorization Service (JAAS) Provider

#### 18.1 Overview

Spring Security provides a package able to delegate authentication requests to the Java Authentication and Authorization Service (JAAS). This package is discussed in detail below.

Central to JAAS operation are login configuration files. To learn more about JAAS login configuration files, consult the JAAS reference documentation available from Sun Microsystems. We expect you to have a basic understanding of JAAS and its login configuration file syntax in order to understand this section.

## 18.2 Configuration

The JaasAuthenticationProvider attempts to authenticate a user's principal and credentials through JAAS.

Let's assume we have a JAAS login configuration file, /WEB-INF/login.conf, with the following contents:

```
JAASTest {
    sample.SampleLoginModule required;
};
```

Like all Spring Security beans, the JaasAuthenticationProvider is configured via the application context. The following definitions would correspond to the above JAAS login configuration file:

The CallbackHandlers and AuthorityGranters are discussed below.

#### JAAS CallbackHandler

Most JAAS LoginModules require a callback of some sort. These callbacks are usually used to obtain the username and password from the user.

In a Spring Security deployment, Spring Security is responsible for this user interaction (via the authentication mechanism). Thus, by the time the authentication request is delegated through to JAAS, Spring Security's authentication mechanism will already have fully-populated an Authentication object containing all the information required by the JAAS LoginModule.

Therefore, the JAAS package for Spring Security provides two default callback handlers, JaasNameCallbackHandler and JaasPasswordCallbackHandler. Each of these callback handlers implement JaasAuthenticationCallbackHandler. In most cases these callback handlers can simply be used without understanding the internal mechanics.

For those needing full control the callback behavior. internally over JaasAutheticationProvider wraps these JaasAuthenticationCallbackHandlers with an InternalCallbackHandler. The InternalCallbackHandler is the class that actually implements JAAS' normal CallbackHandler interface. Any time that LoginModule is used, it is passed a list of application context configured InternalCallbackHandlers. If the LoginModule requests a callback against the InternalCallbackHandlers, the callback is in-turn passed JaasAuthenticationCallbackHandlers being wrapped.

### **JAAS AuthorityGranter**

JAAS works with principals. Even "roles" are represented as principals in JAAS. Spring Security, on the other hand, works with Authentication objects. Each Authentication object contains a single principal, and multiple GrantedAuthority[]s. To facilitate mapping between these different concepts, Spring Security's JAAS package includes an AuthorityGranter interface.

An AuthorityGranter is responsible for inspecting a JAAS principal and returning a set of Strings, representing the authorities assigned to the principal. For each returned authority string, the JaasAuthenticationProvider creates a JaasGrantedAuthority (which implements Spring Security's GrantedAuthority interface) containing the authority string and the JAAS principal that the AuthorityGranter was passed. The JaasAuthenticationProvider obtains the JAAS principals by firstly successfully authenticating the user's credentials using the JAAS LoginModule, and then accessing the LoginContext it returns. A call to LoginContext.getSubject().getPrincipals() is made, with each resulting principal passed to each AuthorityGranter defined against the JaasAuthenticationProvider.setAuthorityGranters(List) property.

Spring Security does not include any production AuthorityGranters given that every JAAS principal has an implementation-specific meaning. However, there is a TestAuthorityGranter in the unit tests that demonstrates a simple AuthorityGranter implementation.

## 19. CAS Authentication

#### 19.1 Overview

JA-SIG produces an enterprise-wide single sign on system known as CAS. Unlike other initiatives, JA-SIG's Central Authentication Service is open source, widely used, simple to understand, platform independent, and supports proxy capabilities. Spring Security fully supports CAS, and provides an easy migration path from single-application deployments of Spring Security through to multiple-application deployments secured by an enterprise-wide CAS server.

You can learn more about CAS at http://www.ja-sig.org/products/cas/. You will also need to visit this site to download the CAS Server files.

#### 19.2 How CAS Works

Whilst the CAS web site contains documents that detail the architecture of CAS, we present the general overview again here within the context of Spring Security. Spring Security 2.0 supports CAS 3. At the time of writing, the CAS server was at version 3.2.

Somewhere in your enterprise you will need to setup a CAS server. The CAS server is simply a standard WAR file, so there isn't anything difficult about setting up your server. Inside the WAR file you will customise the login and other single sign on pages displayed to users.

When deploying a CAS 3.2 server, you will also need to specify an AuthenticationHandler in the deployerConfigContext.xml included with CAS. The AuthenticationHandler has a simple method that returns a boolean as to whether a given set of Credentials is valid. Your AuthenticationHandler implementation will need to link into some type of backend authentication repository, such as an LDAP server or database. CAS itself includes numerous AuthenticationHandlers out of the box to assist with this. When you download and deploy the server war file, it is set up to successfully authenticate users who enter a password matching their username, which is useful for testing.

Apart from the CAS server itself, the other key players are of course the secure web applications deployed throughout your enterprise. These web applications are known as "services". There are two types of services: standard services and proxy services. A proxy service is able to request resources from other services on behalf of the user. This will be explained more fully later.

## 19.3 Configuration of CAS Client

The web application side of CAS is made easy due to Spring Security. It is assumed you already know the basics of using Spring Security, so these are not covered again below. We'll assume a namespace based configuration is being used and add in the CAS beans as required.

You will need to add a ServiceProperties bean to your application context. This represents your service:

The service must equal a URL that will be monitored by the CasAuthenticationFilter. The sendRenew defaults to false, but should be set to true if your application is particularly sensitive. What this parameter does is tell the CAS login service that a single sign on login is unacceptable. Instead, the user will need to re-enter their username and password in order to gain access to the service.

The following beans should be configured to commence the CAS authentication process:

The CasAuthenticationEntryPoint should be selected to drive authentication using entry-point-ref [ns-entry-point-ref].

The CasAuthenticationFilter has very similar properties to the UsernamePasswordAuthenticationFilter (used for form-based logins). Each property is self-explanatory. Note that we've also used the namespace syntax for setting up an alias to the authentication mnager, since the CasAuthenticationFilter needs a reference to it.

For CAS to operate, the ExceptionTranslationFilter must have its authenticationEntryPoint property set to the CasAuthenticationEntryPoint bean.

The CasAuthenticationEntryPoint must refer to the ServiceProperties bean (discussed above), which provides the URL to the enterprise's CAS login server. This is where the user's browser will be redirected.

Next you need to add a CasAuthenticationProvider and its collaborators:

```
<bean id="casAuthenticationProvider"

class="org.springframework.security.cas.authentication.CasAuthenticationProvider">
```

The CasAuthenticationProvider uses a UserDetailsService instance to load the authorities for a user, once they have been authentiated by CAS. We've shown a simple in-memory setup here.

The beans are all reasonable self-explanatory if you refer back to the "How CAS Works" section.

## 20. X.509 Authentication

#### 20.1 Overview

The most common use of X.509 certificate authentication is in verifying the identity of a server when using SSL, most commonly when using HTTPS from a browser. The browser will automatically check that the certificate presented by a server has been issued (ie digitally signed) by one of a list of trusted certificate authorities which it maintains.

You can also use SSL with "mutual authentication"; the server will then request a valid certificate from the client as part of the SSL handshake. The server will authenticate the client by checking that its certificate is signed by an acceptable authority. If a valid certificate has been provided, it can be obtained through the servlet API in an application. Spring Security X.509 module extracts the certificate using a filter. It maps the certificate to an application user and loads that user's set of granted authorities for use with the standard Spring Security infrastructure.

You should be familiar with using certificates and setting up client authentication for your servlet container before attempting to use it with Spring Security. Most of the work is in creating and installing suitable certificates and keys. For example, if you're using Tomcat then read the instructions here http://tomcat.apache.org/tomcat-6.0-doc/ssl-howto.html. It's important that you get this working before trying it out with Spring Security

## 20.2 Adding X.509 Authentication to Your Web Application

Enabling X.509 client authentication is very straightforward. Just add the < x509/> element to your http security namespace configuration.

```
<http>
...
     <x509 subject-principal-regex="CN=(.*?)," user-service-ref="userService"/>
...
</http>
```

The element has two optional attributes:

- subject-principal-regex. The regular expression used to extract a username from the certificate's subject name. The default value is shown above. This is the username which will be passed to the UserDetailsService to load the authorities for the user.
- user-service-ref. This is the bean Id of the UserDetailsService to be used with X.509. It isn't needed if there is only one defined in your application context.

The subject-principal-regex should contain a single group. For example the default expression "CN=(.\*?)," matches the common name field. So if the subject name in the certificate is "CN=Jimi Hendrix, OU=...", this will give a user name of "Jimi Hendrix". The matches are case insensitive. So "emailAddress=(.?)," will match "EMAILADDRESS=jimi@hendrix.org,CN=..." giving

a user name "jimi@hendrix.org". If the client presents a certificate and a valid username is successfully extracted, then there should be a valid Authentication object in the security context. If no certificate is found, or no corresponding user could be found then the security context will remain empty. This means that you can easily use X.509 authentication with other options such as a form-based login.

## 20.3 Setting up SSL in Tomcat

There are some pre-generated certificates in the samples/certificate directory in the Spring Security project. You can use these to enable SSL for testing if you don't want to generate your own. The file server.jks contains the server certificate, private key and the issuing certificate authority certificate. There are also some client certificate files for the users from the sample applications. You can install these in your browser to enable SSL client authentication.

To run tomcat with SSL support, drop the server.jks file into the tomcat conf directory and add the following connector to the server.xml file

clientAuth can also be set to want if you still want SSL connections to succeed even if the client doesn't provide a certificate. Clients which don't present a certificate won't be able to access any objects secured by Spring Security unless you use a non-X.509 authentication mechanism, such as form authentication.

# 21. Run-As Authentication Replacement

## 21.1 Overview

The AbstractSecurityInterceptor is able to temporarily replace the Authentication object in the SecurityContext and SecurityContextHolder during the secure object callback phase. This only occurs if the original Authentication object was successfully processed by the AuthenticationManager and AccessDecisionManager. The RunAsManager will indicate the replacement Authentication object, if any, that should be used during the SecurityInterceptorCallback.

By temporarily replacing the Authentication object during the secure object callback phase, the secured invocation will be able to call other objects which require different authentication and authorization credentials. It will also be able to perform any internal security checks for specific GrantedAuthority objects. Because Spring Security provides a number of helper classes that automatically configure remoting protocols based on the contents of the SecurityContextHolder, these run-as replacements are particularly useful when calling remote web services

# 21.2 Configuration

A RunAsManager interface is provided by Spring Security:

```
Authentication buildRunAs(Authentication authentication, Object object,
List<ConfigAttribute> config);
boolean supports(ConfigAttribute attribute);
boolean supports(Class clazz);
```

The first method returns the Authentication object that should replace the existing Authentication object for the duration of the method invocation. If the method returns null, it indicates no replacement should be made. The second method is used by the AbstractSecurityInterceptor as part of its startup validation of configuration attributes. The supports(Class) method is called by a security interceptor implementation to ensure the configured RunAsManager supports the type of secure object that the security interceptor will present.

One concrete implementation of a RunAsManager is provided with Spring Security. The RunAsManagerImpl class returns a replacement RunAsUserToken if any ConfigAttribute starts with RUN\_AS\_. If any such ConfigAttribute is found, the replacement RunAsUserToken will contain the same principal, credentials and granted authorities as the original Authentication object, along with a new GrantedAuthorityImpl for each RUN\_AS\_ ConfigAttribute. Each new GrantedAuthorityImpl will be prefixed with ROLE\_, followed by the RUN\_AS\_ConfigAttribute. For example, a RUN\_AS\_SERVER will result in the replacement RunAsUserToken containing a ROLE\_RUN\_AS\_SERVER granted authority.

The replacement RunAsUserToken is just like any other Authentication object. It needs to be authenticated by the AuthenticationManager, probably via delegation to a suitable

AuthenticationProvider. The RunAsImplAuthenticationProvider performs such authentication. It simply accepts as valid any RunAsUserToken presented.

To ensure malicious code does not create a RunAsUserToken and present it for guaranteed acceptance by the RunAsImplAuthenticationProvider, the hash of a key is stored in all generated tokens. The RunAsManagerImpl and RunAsImplAuthenticationProvider is created in the bean context with the same key:

By using the same key, each RunAsUserToken can be validated it was created by an approved RunAsManagerImpl. The RunAsUserToken is immutable after creation for security reasons

# Appendix A. Security Database Schema

There are various database schema used by the framework and this appendix provides a single reference point to them all. You only need to provide the tables for the areas of functionality you require.

DDL statements are given for the HSQLDB database. You can use these as a guideline for defining the schema for the database you are using.

# A.1 User Schema

The standard JDBC implementation of the UserDetailsService (JdbcDaoImpl) requires tables to load the password, account status (enabled or disabled) and a list of authorities (roles) for the user.

```
create table users(
   username varchar_ignorecase(50) not null primary key,
   password varchar_ignorecase(50) not null,
   enabled boolean not null);

create table authorities (
   username varchar_ignorecase(50) not null,
   authority varchar_ignorecase(50) not null,
   constraint fk_authorities_users foreign key(username) references users(username));
   create unique index ix_auth_username on authorities (username,authority);
```

# **Group Authorities**

Spring Security 2.0 introduced support for group authorities in JdbcDaoImpl. The table structure if groups are enabled is as follows:

```
create table groups (
  id bigint generated by default as identity(start with 0) primary key,
  group_name varchar_ignorecase(50) not null);

create table group_authorities (
  group_id bigint not null,
  authority varchar(50) not null,
  constraint fk_group_authorities_group foreign key(group_id) references groups(id));

create table group_members (
  id bigint generated by default as identity(start with 0) primary key,
  username varchar(50) not null,
  group_id bigint not null,
  constraint fk_group_members_group foreign key(group_id) references groups(id));
```

# A.2 Persistent Login (Remember-Me) Schema

This table is used to store data used by the more secure persistent token remember-me implementation. If you are using JdbcTokenRepositoryImpl either directly or through the namespace, then you will need this table.

```
create table persistent_logins (
  username varchar(64) not null,
  series varchar(64) primary key,
  token varchar(64) not null,
  last_used timestamp not null);
```

# A.3 ACL Schema

There are four tables used by the Spring Security ACL implementation.

- 1. acl\_sid stores the security identities recognised by the ACL system. These can be unique principals or authorities which may apply to multiple principals.
- 2. acl\_class defines the domain object types to which ACLs apply. The class column stores the Java class name of the object.
- 3. acl\_object\_identity stores the object identity definitions of specific domai objects.
- 4. acl\_entry stores the ACL permissions which apply to a specific object identity and security identity.

It is assumed that the database will auto-generate the primary keys for each of the identities. The JdbcMutableAclService has to be able to retrieve these when it has created a new row in the acl\_sid or acl\_class tables. It has two properties which define the SQL needed to retrieve these values classIdentityQuery and sidIdentityQuery. Both of these default to call identity()

# **Hypersonic SQL**

The default schema works with the embedded HSQLDB database that is used in unit tests within the framework.

```
create table acl_sid (
  id bigint generated by default as identity(start with 100) not null primary key,
  principal boolean not null,
  sid varchar_ignorecase(100) not null,
  constraint unique_uk_1 unique(sid,principal) );

create table acl_class (
  id bigint generated by default as identity(start with 100) not null primary key,
  class varchar_ignorecase(100) not null,
  constraint unique_uk_2 unique(class) );
```

```
create table acl_object_identity (
  id bigint generated by default as identity(start with 100) not null primary key,
  object_id_class bigint not null,
  object_id_identity bigint not null,
 parent_object bigint,
  owner_sid bigint not null,
  entries_inheriting boolean not null,
  constraint unique_uk_3 unique(object_id_class,object_id_identity),
  constraint foreign_fk_1 foreign key(parent_object)references acl_object_identity(id),
  constraint foreign_fk_2 foreign key(object_id_class)references acl_class(id),
  constraint foreign_fk_3 foreign key(owner_sid)references acl_sid(id) );
create table acl_entry (
 id bigint generated by default as identity(start with 100) not null primary key,
  acl_object_identity bigint not null,ace_order int not null,sid bigint not null,
  mask integer not null, granting boolean not null, audit_success boolean not null,
  audit_failure boolean not null,
  constraint unique_uk_4 unique(acl_object_identity,ace_order),
  constraint foreign_fk_4 foreign key(acl_object_identity)
     references acl_object_identity(id),
  constraint foreign_fk_5 foreign key(sid) references acl_sid(id) );
```

#### **PostgreSQL**

```
create table acl_sid(
 id bigserial not null primary key,
 principal boolean not null,
 sid varchar(100) not null,
 constraint unique_uk_1 unique(sid,principal));
create table acl_class(
 id bigserial not null primary key,
 class varchar(100) not null,
 constraint unique_uk_2 unique(class));
create table acl_object_identity(
 id bigserial primary key,
 object_id_class bigint not null,
 object_id_identity bigint not null,
 parent_object bigint,
 owner sid bigint,
 entries_inheriting boolean not null,
 constraint unique_uk_3 unique(object_id_class,object_id_identity),
 constraint foreign_fk_1 foreign key(parent_object) references acl_object_identity(id),
 constraint foreign_fk_2 foreign key(object_id_class) references acl_class(id),
 constraint foreign_fk_3 foreign key(owner_sid) references acl_sid(id));
create table acl_entry(
 id bigserial primary key,
 acl_object_identity bigint not null,
 ace_order int not null,
 sid bigint not null,
 mask integer not null,
 granting boolean not null,
 audit success boolean not null,
 audit_failure boolean not null,
 constraint unique_uk_4 unique(acl_object_identity,ace_order),
 constraint foreign_fk_4 foreign key(acl_object_identity)
     references acl_object_identity(id),
  constraint foreign_fk_5 foreign key(sid) references acl_sid(id));
```

You will have to set the classIdentityQuery and sidIdentityQuery properties of JdbcMutableAclService to the following values, respectively:

- select currval(pg\_get\_serial\_sequence('acl\_class', 'id'))
- select currval(pg\_get\_serial\_sequence('acl\_sid', 'id'))

# **Appendix B. The Security Namespace**

This appendix provides a reference to the elements available in the security namespace and information on the underlying beans they create (a knowledge of the individual classes and how they work together is assumed - you can find more information in the project Javadoc and elsewhere in this document). If you haven't used the namespace before, please read the introductory chapter on namespace configuration, as this is intended as a supplement to the information there. Using a good quality XML editor while editing a configuration based on the schema is recommended as this will provide contextual information on which elements and attributes are available as well as comments explaining their purpose.

# **B.1 Web Application Security - the <http> Element**

The <a href="http">http</a> element encapsulates the security configuration for the web layer of your application. It creates a FilterChainProxy bean named "springSecurityFilterChain" which maintains the stack of security filters which make up the web security configuration <sup>1</sup>. Some core filters are always created and others will be added to the stack depending on the attributes child elements which are present. The positions of the standard filters are fixed (see the filter order table in the namespace introduction), removing a common source of errors with previous versions of the framework when users had to configure the filter chain explicitly in theFilterChainProxy bean. You can, of course, still do this if you need full control of the configuration.

All filters which require a reference to the AuthenticationManager will be automatically injected with the internal instance created by the namespace configuration (see the introductory chapter for more on the AuthenticationManager).

The <a href="http">http></a> namespace block always creates an HttpSessionContextIntegrationFilter, an ExceptionTranslationFilter and a FilterSecurityInterceptor. These are fixed and cannot be replaced with alternatives.

# <http> Attributes

The attributes on the <a href="http">http</a>> element control some of the properties on the core filters.

### servlet-api-provision

Provides versions of HttpServletRequest security methods such as isUserInRole() and getPrincipal() which are implemented by adding a SecurityContextHolderAwareRequestFilter bean to the stack. Defaults to "true".

#### path-type

Controls whether URL patterns are interpreted as ant paths (the default) or regular expressions. In practice this sets a particular UrlMatcher instance on the FilterChainProxy.

<sup>&</sup>lt;sup>1</sup>See the introductory chapter for how to set up the mapping from your web.xml

#### lowercase-comparisons

Whether test URLs should be converted to lower case prior to comparing with defined path patterns. If unspecified, defaults to "true"

#### realm

Sets the realm name used for basic authentication (if enabled). Corresponds to the realmName proerty on BasicAuthenticationEntryPoint.

#### entry-point-ref

Normally the AuthenticationEntryPoint used will be set depending on which authentication mechanisms have been configured. This attribute allows this behaviour to be overridden by defining a customized AuthenticationEntryPoint bean which will start the authentication process.

#### access-decision-manager-ref

Optional attribute specifying the ID of the AccessDecisionManager implementation which should be used for authorizing HTTP requests. By default an AffirmativeBased implementation is used for with a RoleVoter and an AuthenticatedVoter.

#### access-denied-page

Deprecated in favour of the access-denied-handler child element.

#### once-per-request

Corresponds to the observeOncePerRequest property of FilterSecurityInterceptor. Defaults to "true".

#### create-session

Controls the eagerness with which an HTTP session is created. If not set, defaults to "ifRequired". Other options are "always" and "never". The setting of this attribute affect the allowSessionCreation and forceEagerSessionCreation properties of HttpSessionContextIntegrationFilter.allowSessionCreation will always be true unless this attribute is set to "never". forceEagerSessionCreation is "false" unless it is set to "always". So the default configuration allows session creation but does not force it. The exception is if concurrent session control is enabled, when forceEagerSessionCreation will be set to true, regardless of what the setting is here. Using "never" would then cause an exception during the initialization of HttpSessionContextIntegrationFilter.

#### access-denied-handler

This element allows you to set the errorPage property for the default AccessDeniedHandler used by the ExceptionTranslationFilter, (using the error-page attribute, or to supply your own implementation using the ref attribute. This is discussed in more detail in the section on the ExceptionTranslationFilter.

# The <intercept-url> Element

This element is used to define the set of URL patterns that the application is interested in and to configure how they should be handled. It is used to construct the FilterInvocationDefinitionSource used by the FilterSecurityInterceptor and to exclude particular patterns from the filter chain entirely (by setting the attribute filters="none"). It is also responsible for configuring a ChannelAuthenticationFilter if particular URLs need to be accessed by HTTPS, for example.

#### pattern

The pattern which defines the URL path. The content will depend on the path-type attribute from the containing http element, so will default to ant path syntax.

#### method

The HTTP Method which will be used in combination with the pattern to match an incoming request. If omitted, any method will match.

#### access

Lists the access attributes which will be stored in the FilterInvocationDefinitionSource for the defined URL pattern/method combination. This should be a comma-separated list of the attributes (such as role names).

#### requires-channel

Can be "http" or "https" depending on whether a particular URL pattern should be accessed over HTTP or HTTPS respectively. Alternatively the value "any" can be used when there is no preference. If this attribute is present on any <intercept-url> element, then a ChannelAuthenticationFilter will be added to the filter stack and its additional dependencies added to the application context.

If a <port-mappings> configuration is added, this will be used to by the SecureChannelProcessor and InsecureChannelProcessor beans to determine the ports used for redirecting to HTTP/HTTPS.

# The <port-mappings> Element

By default, an instance of PortMapperImpl will be added to the configuration for use in redirecting to secure and insecure URLs. This element can optionally be used to override the default mappings which that class defines. Each child <port-mapping> element defines a pair of HTTP:HTTPS ports. The default mappings are 80:443 and 8080:8443. An example of overriding these can be found in the namespace introduction.

# The <form-login> Element

Used to add an UsernamePasswordAuthenticationFilter to the filter stack and an LoginUrlAuthenticationEntryPoint to the application context to provide authentication on

demand. This will always take precedence over other namespace-created entry points. If no attributes are supplied, a login page will be generated automatically at the URL "/spring-security-login" <sup>2</sup> The behaviour can be customized using the following attributes.

#### login-page

The URL that should be used to render the login page. Maps to the loginFormUrl property of the LoginUrlAuthenticationEntryPoint. Defaults to "/spring-security-login".

#### login-processing-url

Maps to the filterProcessesUrl property of UsernamePasswordAuthenticationFilter. The default value is "/j\_spring\_security\_check".

#### default-target-url

Maps to the defaultTargetUrl property of UsernamePasswordAuthenticationFilter. If not set, the default value is "/" (the application root). A user will be taken to this URL after logging in, provided they were not asked to login while attempting to access a secured resource, when they will be taken to the originally requested URL.

#### always-use-default-target

If set to "true", the user will always start at the value given by default-target-url, regardless of how they arrived at the login page. Maps to the alwaysUseDefaultTargetUrl property of UsernamePasswordAuthenticationFilter. Default value is "false".

#### authentication-failure-url

Maps to the authenticationFailureUrl property of UsernamePasswordAuthenticationFilter. Defines the URL the browser will be redirected to on login failure. Defaults to "/spring\_security\_login?login\_error", which will be automatically handled by the automatic login page generator, re-rendering the login page with an error message.

#### authentication-success-handler-ref

This can be used as an alternative to default-target-url and always-use-default-target, giving you full control over the navigation flow after a successful authentication. The value should be he name of an AuthenticationSuccessHandler bean in the application context.

#### authentication-failure-handler-ref

Can be used as an alternative to authentication-failure-url, giving you full control over the navigation flow after an authentication failure. The value should be he name of an AuthenticationFailureHandler bean in the application context.

<sup>&</sup>lt;sup>2</sup>This feature is really just provided for convenience and is not intended for production (where a view technology will have been chosen and can be used to render a customized login page). The class DefaultLoginPageGeneratingFilter is responsible for rendering the login page and will provide login forms for both normal form login and/or OpenID if required.

# The <a href="http-basic">http-basic</a>> Element

Adds a BasicAuthenticationFilter and BasicAuthenticationEntryPoint to the configuration. The latter will only be used as the configuration entry point if form-based login is not enabled.

#### The <remember-me> Element

Adds RememberMeAuthenticationFilter the to the stack. This in turn will be configured with either TokenBasedRememberMeServices, PersistentTokenBasedRememberMeServices or a user-specified bean implementing RememberMeServices depending on the attribute settings.

#### data-source-ref

If this is set, PersistentTokenBasedRememberMeServices will be used and configured with a JdbcTokenRepositoryImpl instance.

#### token-repository-ref

Configures a PersistentTokenBasedRememberMeServices but allows the use of a custom PersistentTokenRepository bean.

#### services-ref

Allows complete control of the RememberMeServices implementation that will be used by the filter. The value should be the Id of a bean in the application context which implements this interface.

#### token-repository-ref

Configures a PersistentTokenBasedRememberMeServices but allows the use of a custom PersistentTokenRepository bean.

#### The key Attribute

Maps to the "key" property of AbstractRememberMeServices. Should be set to a unique value to ensure that remember-me cookies are only valid within the one application <sup>3</sup>.

#### token-validity-seconds

Maps to the tokenValiditySeconds property of AbstractRememberMeServices. Specifies the period in seconds for which the remember-me cookie should be valid. By default it will be valid for 14 days.

#### user-service-ref

The remember-me services implementations require access to a UserDetailsService, so there has to be one defined in the application context. If there is only one, it will be selected and used automatically

<sup>&</sup>lt;sup>3</sup>This doesn't affect the use of PersistentTokenBasedRememberMeServices, where the tokens are stored on the server side.

by the namespace configuration. If there are multiple instances, you can specify a bean Id explicitly using this attribute.

## The <session-management> Element

Session-management related functionality is implemented by the addition of a SessionManagementFilter to the filter stack.

#### session-fixation-protection

Indicates whether an existing session should be invalidated when a user authenticates and a new session started. If set to "none" no change will be made. "newSession" will create a new empty session. "migrateSession" will create a new session and copy the session attributes to the new session. Defaults to "migrateSession".

If session fixation protection is enabled, the SessionManagementFilter is inected with a appropriately configured DefaultSessionAuthenticationStrategy. See the Javadoc for this class for more details.

# The <concurrency-control> Element

Adds support for concurrent session control, allowing limits to be placed on the number of active sessions a user can have. A ConcurrentSessionFilter will be created, and a ConcurrentSessionControlStrategy will be used with the SessionManagementFilter. If a form-login element has been declared, the strategy object will also be injected into the created authentication filter. An instance of SessionRegistry (a SessionRegistryImpl instance unless the user wishes to use a custom bean) will be created for use by the strategy.

#### The max-sessions attribute

Maps to the maximumSessions property of ConcurrentSessionControlStrategy.

#### The expired-url attribute

The URL a user will be redirected to if they attempt to use a session which has been "expired" by the concurrent session controller because the user has exceeded the number of allowed sessions and has logged in again elsewhere. Should be set unless exception-if-maximum-exceeded is set. If no value is supplied, an expiry message will just be written directly back to the response.

#### The error-if-maximum-exceeded attribute

If set to "true" a SessionAuthenticationException will be raised when a user attempts to exceed the maximum allowed number of sessions. The default behaviour is to expire the original session.

#### The session-registry-alias and session-registry-ref attributes

The user can supply their own SessionRegistry implementation using the session-registry-ref attribute. The other concurrent session control beans will be wired up to use it.

It can also be useful to have a reference to the internal session registry for use in your own beans or an admin interface. You can expose the interal bean using the session-registry-alias attribute, giving it a name that you can use elsewhere in your configuration.

# The <anonymous> Element

Adds an AnonymousAuthenticationFilter to the stack and an AnonymousAuthenticationProvider. Required if you are using the IS\_AUTHENTICATED\_ANONYMOUSLY attribute.

#### The <x509> Element

Adds support for X.509 authentication. An X509AuthenticationFilter will be added to the stack and an Http403ForbiddenEntryPoint bean will be created. The latter will only be used if no other authentication mechanisms are in use (it's only functionality is to return an HTTP 403 error code). A PreAuthenticatedAuthenticationProvider will also be created which delegates the loading of user authorities to a UserDetailsService.

#### The subject-principal-regex attribute

Defines a regular expression which will be used to extract the username from the certificate (for use with the UserDetailsService).

#### The user-service-ref attribute

Allows a specific UserDetailsService to be used with X.509 in the case where multiple instances are configured. If not set, an attempt will be made to locate a suitable instance automatically and use that.

## 

Similar to <form-login> and has the same attributes. The default value for login-processing-url is "/j\_spring\_openid\_security\_check". An OpenIDAuthenticationFilter and OpenIDAuthenticationProvider will be registered. The latter requires a reference to a UserDetailsService. Again, this can be specified by Id, using the user-service-ref attribute, or will be located automatically in the application context.

# The <logout> Element

Adds a LogoutFilter to the filter stack. This is configured with a SecurityContextLogoutHandler.

#### The logout-url attribute

The URL which will cause a logout (i.e. which will be processed by the filter). Defaults to "/j\_spring\_security\_logout".

#### The logout-success-url attribute

The destination URL which the user will be taken to after logging out. Defaults to "/".

#### The invalidate-session attribute

Maps to the invalidateHttpSession of the SecurityContextLogoutHandler. Defaults to "true", so the session will be invalidated on logout.

# **B.2 Authentication Services**

Before Spring Security 3.0, an AuthenticationManager was automatically registered internally. Now you must register one explicitly using the <authentication-manager> element. This creates an instance of Spring Security's ProviderManager class, which needs to be configured with a list of one or more AuthenticationProvider instances. These can either be created using syntax elements provided by the namespace, or they can be standard bean definitions, marked for addition to the list using the authentication-provider element.

## The <authentication-manager> Element

Every Spring Security application which uses the namespace must have include this element somewhere. It is resposible for registering the AuthenticationManager which provides authentication services to the application. It also allows you to define an alias name for the internal instance for use in your own configuration. Its use is described in the namespace introduction. All elements which create AuthenticationProvider instances should be children of this element.

#### The <authentication-provider> Element

This element is basically a shorthand syntax for configuring a DaoAuthenticationProvider. DaoAuthenticationProvider loads user information from a UserDetailsService and compares the username/password combination with the values supplied at login. The UserDetailsService instance can be defined either by using an available namespace element (jdbc-user-service or by using the user-service-ref attribute to point to a bean defined elsewhere in the application context). You can find examples of these variations in the namespace introduction.

#### The <password-encoder> Element

Authentication providers can optionally be configured to use a password encoder as described in the namespace introduction. This will result in the bean being injected with the appropriate PasswordEncoder instance, potentially with an accompanying SaltSource bean to provide salt values for hashing.

# Using <authentication-provider> to refer to an AuthenticationProvider Bean

If you have written your own AuthenticationProvider implementation (or want to configure one of Spring Security's own implementations as a traditional bean for some reason, then you can use the following syntax to add it to the internal ProviderManager's list:

```
<security:authentication-manager>
  <security:authentication-provider ref="myAuthenticationProvider" />
</security:authentication-manager>
```

<bean id="myAuthenticationProvider" class="com.something.MyAuthenticationProvider"/>

# **B.3 Method Security**

# The <global-method-security> Element

This element is the primary means of adding support for securing methods on Spring Security beans. Methods can be secured by the use of annotations (defined at the interface or class level) or by defining a set of pointcuts as child elements, using AspectJ syntax.

Method security uses the same AccessDecisionManager configuration as web security, but this can be overridden as explained above the section called "access-decision-manager-ref", using the same attribute.

#### The <secured-annotations> and <jsr250-annotations> Attributes

Setting these to "true" will enable support for Spring Security's own @Secured annotations and JSR-250 annotations, respectively. They are both disabled by default. Use of JSR-250 annotations also adds a Jsr250Voter to the AccessDecisionManager, so you need to make sure you do this if you are using a custom implementation and want to use these annotations.

#### Securing Methods using cut-pointcut>

Rather than defining security attributes on an individual method or class basis using the @Secured annotation, you can define cross-cutting security constraints across whole sets of methods and interfaces in your service layer using the cprotect-pointcut> element. This has two attributes:

- expression the pointcut expression
- · access the security attributes which apply

You can find an example in the namespace introduction.

#### The <after-invocation-provider> Element

This element can be used to decorate an AfterInvocationProvider for use by the security interceptor maintained by the <global-method-security> namespace. You can define zero or more of these within the global-method-security element, each with a ref attribute pointing to an AfterInvocationProvider bean instance within your application context.

# **LDAP Namespace Options**

LDAP is covered in some details in its own chapter. We will expand on that here with some explanation of how the namespace options map to Spring beans. The LDAP implementation uses Spring LDAP extensively, so some familiarity with that project's API may be useful.

#### Defining the LDAP Server using the <ldap-server> Element

This element sets up a Spring LDAP ContextSource for use by the other LDAP beans, defining the location of the LDAP server and other information (such as a username and password, if it doesn't allow

anonymous access) for connecting to it. It can also be used to create an embedded server for testing. Details of the syntax for both options are covered in the LDAP chapter. The actual ContextSource implementation is DefaultSpringSecurityContextSource which extends Spring LDAP's LdapContextSource class. The manager-dn and manager-password attributes map to the latter's userDn and password properties respectively.

If you only have one server defined in your application context, the other LDAP namespace-defined beans will use it automatically. Otherwise, you can give the element an "id" attribute and refer to it from other namespace beans using the server-ref attribute. This is actually the bean Id of the ContextSource instance, if you want to use it in other traditional Spring beans.

#### The <ldap-provider> Element

This element is shorthand for the creation of an LdapAuthenticationProvider instance. By default this will be configured with a BindAuthenticator instance and a DefaultAuthoritiesPopulator. As with all namespace authentication providers, it must be included as a child of the authentication-provider element.

## The user-dn-pattern Attribute

If your users are at a fixed location in the directory (i.e. you can work out the DN directly from the username without doing a directory search), you can use this attribute to map directly to the DN. It maps directly to the userDnPatterns property of AbstractLdapAuthenticator.

#### The user-search-base and user-search-filter Attributes

If you need to perform a search to locate the user in the directory, then you can set these attributes to control the search. The BindAuthenticator will be configured with a FilterBasedLdapUserSearch and the attribute values map directly to the first two arguments of that bean's constructor. If these attributes aren't set and no user-dn-pattern has been supplied as an alternative, then the default search values of user-search-filter="(uid={0})" and user-search-base=""will be used.

# group-search-filter, group-search-base, group-roleattribute and role-prefix Attributes

The value of group-search-base is mapped to the groupSearchBase constructor argument of DefaultAuthoritiesPopulator and defaults to "ou=groups". The default filter value is "(uniqueMember={0})", which assumes that the entry is of type "groupOfUniqueNames". group-role-attribute maps to the groupRoleAttribute attribute and defaults to "cn". Similarly role-prefix maps to rolePrefix and defaults to "ROLE\_".

# The <password-compare> Element

This is used as child element to <ldap-provider> and switches the authentication strategy from BindAuthenticator to PasswordComparisonAuthenticator. This can optionally be supplied with a hash attribute or with a child <password-encoder> element to hash the password before submitting it to the directory for comparison.

# The <ldap-user-service> Element

This element configures an LDAP UserDetailsService. The class used is LdapUserDetailsService which is a combination of a FilterBasedLdapUserSearch and a DefaultAuthoritiesPopulator. The attributes it supports have the same usage as in <ldap-provider>.